

# In Vivo Detection of Laminar and Peripapillary Scleral Hypercompliance in Early Monkey Experimental Glaucoma

Kevin M. Ivers,<sup>1,2</sup> Hongli Yang,<sup>1,2</sup> Stuart K. Gardiner,<sup>2</sup> Lirong Qin,<sup>1</sup> Luke Reyes,<sup>1</sup> Brad Fortune,<sup>2</sup> and Claude F. Burgoyne<sup>1,2</sup>

<sup>1</sup>Optic Nerve Head Research Laboratory, Devers Eye Institute, Legacy Research Institute, Portland, Oregon, United States

<sup>2</sup>Discoveries in Sight Research Laboratories of the Devers Eye Institute, Legacy Research Institute, Portland, Oregon, United States

Correspondence: Claude F. Burgoyne, Optic Nerve Head Research Laboratory, Devers Eye Institute, Legacy Research Institute, 1225 NE 2nd Avenue, PO Box 3950, Portland, OR 97208-3950, USA; cfburgoyne@deverseye.org.

KI and HY contributed equally to the work presented here and should therefore be regarded as equivalent authors.

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**PURPOSE.** To compare optical coherence tomography (OCT) detected, optic nerve head (ONH) compliance within control and experimental glaucoma (EG) eyes of 15 monkeys at EG onset.

**METHODS.** Intraocular pressure (IOP) was chronically elevated in one eye of each animal using a laser. Experimental glaucoma onset was identified using confocal scanning laser tomography (CSLT). Optical coherence tomography ONH imaging (40 radial B-scans) was performed at 10 mm Hg before and after laser. At EG onset, OCT scans were obtained at IOP 10 and 30 mm Hg. Optical coherence tomography landmarks within the IOP 10/30 images were delineated to quantify IOP 10/30 differences (*compliance*) for anterior lamina cribrosa surface depth (ALCSD) relative to Bruch's membrane opening (BMO) (*ALCSD-BMO*), ALCSD relative to peripheral BM (*ALCSD-BM*), and BMO depth relative to peripheral BM (*BMOD-BM*). A linear mixed effects model assessed for acute IOP elevation effects, control versus EG eye effects, and their interaction

**RESULTS.** Effects of IOP elevation were greater in EG versus control eyes for *ALCSD-BMO* ( $-46 \pm 45$  vs.  $-8 \pm 13 \mu\text{m}$ ,  $P = 0.0042$ ) and *ALCSD-BM* ( $-92 \pm 64$  vs.  $-42 \pm 22 \mu\text{m}$ ,  $P = 0.0075$ ). Experimental glaucoma eye-specific *ALCSD-BMO* and *ALCSD-BM* compliance exceeded the range of control eye compliance in 9 and 8 of the 15 EG eyes, respectively. Post-laser peak IOP ( $R^2 = 0.798$ ,  $P < 0.0001$ ) and post-laser mean IOP ( $R^2 = 0.634$ ,  $P < 0.0004$ ) most strongly correlated to EG versus control eye differences in *ALCSD-BMO* compliance.

**CONCLUSIONS.** Laminar (*ALCSD-BMO*) and peripapillary scleral (*ALCSD-BM*) hypercompliance are present in most monkey eyes at the onset of EG.

**Keywords:** glaucoma, optic nerve head, lamina cribrosa, sclera, optical coherence tomography, hypercompliance

Axonal damage in glaucoma is believed to occur within the optic nerve head (ONH) at the level of the lamina cribrosa, a sieve-like connective tissue structure composed of capillary-containing collagen beams enmeshed within an astrocyte syncytium through which the retinal ganglion cell (RGC) axons pass.<sup>1,2</sup> From a biomechanical standpoint, the ONH neural and connective tissues experience IOP-related stress and strain at all levels of IOP.<sup>3,4</sup> Depending on the anatomy and material properties of the load-bearing connective tissues, IOP-related stress and strain can be substantial at normal levels of IOP,<sup>5</sup> and increase in all eyes if IOP becomes elevated.<sup>6,7</sup>

How a given ONH responds to the level of IOP it experiences, and separately to acute IOP elevations, depends not just on the level of IOP or the magnitude of IOP elevation, but also the geometry and material properties (structural stiffness) of the lamina cribrosa and peripapillary sclera as well as cerebral spinal fluid pressure, retrolaminar tissue pressure, translaminar pressure gradient, and orbital tissue pressure.<sup>3,4</sup> A growing body of experimental<sup>8,9</sup> and theoretical work<sup>6,7</sup> supports the concept of a laminar-scleral dynamic in which the net compliance or rigidity of the sclera exerts a large

influence over the magnitude of lamina cribrosa deformation at all levels of IOP. Although a previous study of ONH surface deformation in dogs suggested substantial ONH surface movement with acute IOP elevation,<sup>10</sup> several studies in which laminar deformation was measured directly suggest little posterior laminar deformation follows acute IOP elevation in healthy monkey<sup>9,11,12</sup> and human eyes.<sup>13</sup> Although surprising, this finding may be due, in part, to scleral canal expansion, which pulls the lamina taut within the scleral canal, counteracting forces that act to deform the lamina in a posterior or outward direction.<sup>8</sup> Nevertheless, this finding does not rule out that in the setting of minimal posterior laminar deformation, there can still be anterior laminar deformation and/or elongation within an expanded scleral canal,<sup>8</sup> with resultant strain on basement membranes of laminar beam astrocytes.

We have previously used simultaneous videography,<sup>14,15</sup> confocal scanning laser tomography (CSLT) ONH surface imaging,<sup>16</sup> postmortem histology,<sup>8,11</sup> and three-dimensional (3D) histomorphometric reconstruction<sup>9,17</sup> to study ONH compliance in response to acute IOP elevation in normal and glaucomatous monkey eyes. These studies indirectly suggested



**TABLE 1.** List of the Abbreviations and the Corresponding Full Names of Anatomical Terms and Instruments

Acronyms/Terms	Full Name
<i>*Acute Compliance</i>	Acute (IOP 30 minus IOP 10) change at CSLT onset
ALCS	Anterior lamina cribrosa surface
<i>*ALCSD-BM</i>	Anterior lamina cribrosa surface depth relative to BM reference plane
<i>*ALCSD-BMO</i>	Anterior lamina cribrosa surface depth relative to BMO reference plane
BM	Bruch's membrane
BMO	Bruch's membrane opening
<i>*BMOD-BM</i>	Bruch's membrane opening depth relative to BM reference plane
Compliance Test	ONH imaging at manometric IOPs of 10 and 30 mm Hg
CSLT	Confocal scanning laser tomography
<i>*Fixed Deformation</i>	Fixed (IOP 10) change from baseline values at CSLT onset
ILM	Internal limiting membrane
MPD	Mean position of the disc
<i>*MRW</i>	Minimum rim width
OCT	Optical coherence tomography
ONH	Optic nerve head
<i>*PLTT</i>	Prelaminar tissue thickness
RGC	Retinal ganglion cell
RNFL	Retinal nerve fiber layer
<i>*RNFLT</i>	Retinal nerve fiber layer thickness
RPE	Retinal pigment epithelium
TCA	Topographic change analysis

\* Parameters are italicized throughout the manuscript to separate them from the anatomy and anatomic phenomena they measure.

laminar and peripapillary scleral hypercompliance were present at the onset of monkey experimental glaucoma (EG); however, each study was either not specific to the lamina cribrosa,<sup>14-16</sup> or was postmortem and therefore could not separate “fixed deformation” from “acute compliance” within each individual study eye.<sup>11,17</sup> More recently, we have used optical coherence tomography (OCT) to characterize ONH neural and connective tissue compliance in normal monkey eyes.<sup>12</sup> In these studies and in all forms of mechanical testing, “compliance” is defined to be the magnitude of deformation demonstrated by a tissue or structure in response to a given load. However, “compliance” also can refer to the actual structural stiffness of a tissue or object (i.e., the combination of its geometry and material properties that together determine its “elasticity” or “deformability”). To clarify our previous and current use of this term, OCT compliance testing detects tissue deformation, not structural stiffness.

In the present study, we define ONH compliance to be the magnitude of OCT-detected ONH neural and connective tissue deformation following acute IOP elevations of fixed magnitude (IOP 10 to 30 mm Hg) and duration (30 minutes). The primary purpose was to use in vivo OCT imaging to compare EG versus control eye ONH morphology change following acute IOP elevation from 10 to 30 mm Hg in both eyes of 15 monkeys at the onset of unilateral EG (EG onset). Our hypothesis was that most animals would demonstrate greater acute posterior deformation of the lamina cribrosa and peripapillary sclera within the EG eye as compared with the contralateral control eye, and in so doing, provide direct evidence for laminar and peripapillary hypercompliance in early monkey EG.<sup>14</sup> Using in vivo OCT ONH imaging to detect ONH connective tissue hypercompliance at the onset of monkey EG is important for

two reasons. First, it is a likely manifestation of underlying ONH connective tissue remodeling, failed remodeling, or mechanical failure,<sup>18</sup> which suggests that it may be a biomarker for the presence of these processes and therefore a means of identifying underlying mechanisms and treatment interventions in future studies. Second, if present and detectable in human ocular hypertensive patients, it may be evidence of an early connective tissue response to the level of mechanical stress and strain they are experiencing, which precedes and/or predicts subsequent RGC injury and loss. In this context, it might represent a “structural” precursor not only to “functional” loss, but also to clinically significant “structural” involvement of the neural tissues.

## METHODS

### Abbreviations

Table 1 defines all acronyms and a subset of common terms used in this report. Parameters are italicized throughout the manuscript to separate them from the anatomy and anatomic phenomena they measure.

### Animals

We studied 15 rhesus macaque monkeys (*Macaca mulatta*) in strict accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. All experimental methods and animal care procedures adhered to the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research and were approved and monitored by the Institutional Animal Care and Use Committee at Legacy Health (US Department of Agriculture license 92-R-0002 and OLAW assurance A3234-01).

### Experimental Design

Both eyes of each animal underwent IOP 10 (mm Hg, see Methods) CSLT and OCT ONH imaging (see below) on at least three occasions at baseline (pre-laser). One eye of each monkey was then randomly assigned to EG and thus received 180° of argon laser photocoagulation to the trabecular meshwork to induce chronic IOP elevation.<sup>19,20</sup> A second 180° treatment was performed 2 weeks later. Post-laser imaging sessions in both the lasered (hereafter referred to as the EG) eye and contralateral control eye continued in 1- to 3-week intervals. Laser photocoagulation was repeated in additional 90° increments as necessary to achieve and/or maintain IOP elevation.

All animals were followed through the onset of CSLT-detected ONH surface topography change confirmed on two subsequent occasions in the EG eye<sup>20</sup> and then to various endpoints beyond onset as part of their primary studies. For the present report, although IOP 10 imaging was performed in each animal at each baseline and post-laser imaging session, *Acute Compliance* testing (IOP 10/30 mm Hg) (see below) was implemented after most of the primary study protocols were beyond baseline testing. Only those animals that had acute compliance testing on the second confirmation of EG onset (within the IOP 10 data sets) were selected for this study. EG versus control eye differences in *Acute Compliance* at the second confirmation of EG onset is the primary outcome of this report.

### Intraocular Pressure 10 (mm Hg) Imaging Protocol

Animals were anesthetized with 15 mg/kg intramuscular ketamine and 0.2 mg/kg midazolam followed by a 0.05 mg/kg subcutaneous bolus of atropine sulphate, then intubated

and maintained on 100% oxygen and 1% to 2% isoflurane gas.<sup>16,21</sup> Vital signs were monitored and maintained within normal ranges. Intravenous lactated Ringer's solution or 6% Hetastarch was administered to maintain blood pressure if ever mean arterial pressure fell below 65 mm Hg. One drop of topical 0.5% proparacaine was applied to each eye before a lid speculum was placed. Intraocular pressure was measured three times by Tonopen (Tonopen XL; Reichert, Inc., Depew, NY, USA). Pupils were then dilated with 0.5% tropicamide and 2.5% phenylephrine before a plano rigid contact lens was placed on each eye with topical lubricant (0.5% carboxymethylcellulose sodium; Allergan, Inc., Irvine, CA, USA) to keep the cornea moistened during each experiment. To reduce the "acutely reversible" component of ONH tissue deformation caused by elevated ambient IOP in EG eyes, a 27-gauge cannula connected to a manometer was inserted through the temporal cornea into the anterior chamber of both eyes. Intraocular pressure was set at 10 mm Hg and held constant for 30 minutes. Optic nerve head surface topography was measured by CSLT using a Heidelberg Retina Tomograph II (HRT II; Heidelberg Engineering GmbH, Heidelberg, Germany).<sup>22,23</sup> A minimum of three individual scans were acquired at each imaging session and averaged to create a mean topography for each eye.<sup>16</sup> Eighty standard, enhanced depth imaging, 870-nm OCT (Spectralis Spectral-Domain OCT; Heidelberg Engineering, GmbH) radial B-scans were acquired over a 15° area (768 A-scans per B-scan,  $n = 9$  repetitions). All repetitive scans were acquired using eye tracking (Spectralis Manual) and averaged to reduce speckle noise. For each monkey eye, the center of the ONH was estimated and registered during the first imaging session. The Spectralis eye-tracking algorithm was used to align all follow-up images to the baseline set in real time. Image quality scores of all included HRT II scans were at least 80% and image quality scores of all included Spectralis OCT scans were at least 15 dB.

### Acute Compliance (IOP 10/30) Imaging Protocol

During the second EG onset confirmation imaging session, after the IOP 10 data sets had been acquired (see above) IOP was manometrically elevated to 30 mm Hg for 30 minutes and OCT imaging was repeated.

### Experimental Glaucoma Onset Detected by CSLT

The disc margin was outlined within the baseline CSLT image of each eye and automatically transferred to all subsequent images in the longitudinal series. The parameter mean position of the disc (MPD)<sup>22</sup> was calculated for each IOP 10 imaging session and defined as the height of the surface of the ONH (i.e., average height of all pixels located within the disc margin contour line) relative to the height of a standard reference plane (a plane that runs parallel to the peripapillary retinal surface and is set 50  $\mu$ m below the retinal surface height at the papillomacular bundle, which is located in the  $-10^\circ$  to  $-4^\circ$  section temporal of the contour line; HRT II Operating Instructions; software version 1.6). Onset of MPD was defined as the first post-laser session in which the MPD value was below the eye-specific 95% limits-of-agreement (LOA) for test-retest repeatability determined by the baseline testing sessions, and was also outside of the baseline LOA in the two subsequent imaging sessions.

Tomographic change analysis (TCA) is a native change-detection algorithm embedded within the HRT II software package.<sup>23,24</sup> Onset of TCA was independently evaluated in each eye by two experienced investigators (CFB and BF)<sup>25</sup> masked to treatment condition (EG versus control) reading the TCA maps retrospectively. Change onset of TCA was qualitatively defined as the first image showing ONH surface change exceeding baseline

variability that was confirmed in the two subsequent sessions. In practice, each investigator sought the first post-laser imaging session in which the number of superpixels demonstrating posterior TCA change exceeded the number and location of red TCA superpixels within the  $n = 5$  baseline imaging days. Change onset of TCA at a given post-laser imaging session required a clinician to see similar TCA change in the two subsequent sessions. The magnitude of TCA change (i.e., the depth of change at each superpixel) was not included in this determination. Where necessary, interobserver differences in TCA onset were adjudicated by the two readers through discussion. For this study, EG onset was determined by CSLT change onset either with the MPD or TCA metric, whichever occurred earliest. Monkeys were euthanized within 1 to 93 weeks after EG onset, as required by their primary studies. Axon counts at the time of EG onset (the target of this study) were therefore not available for most eyes and are therefore not reported.

### Pre- and Post-Laser IOP Characterization

Baseline and Post-Laser Mean IOP were calculated for each eye as the mean of all baseline or post-laser IOP measurements. Post-Laser Peak IOP was defined to be the maximum, post-laser IOP recorded for each eye. Post-Laser Cumulative IOP Exposure was calculated for each eye as the IOP at each post-laser measurement, multiplied by the number of days from the last to the current IOP measurement, summed over the period of post-laser follow-up (expressed in units of mm Hg  $\times$  day). Experimental glaucoma eye *Post-Laser Cumulative IOP Difference* was calculated as the EG versus control eye difference in Post-Laser Cumulative IOP Exposure on the pre-sacrifice day of imaging (last OCT imaging under manometric IOP control prior to sacrifice).

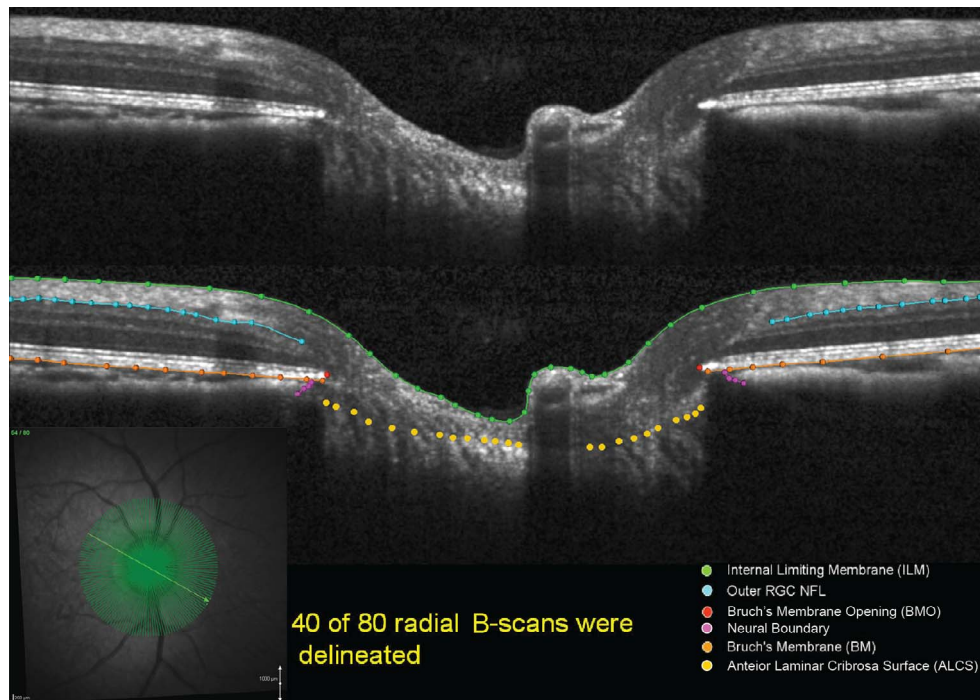
### Optical Coherence Tomography Data Set Delineation and Parameterization

Customized "Multiview" software (built on the Visualization Toolkit [VTK] Kitware, Inc., Clifton Park, NY, USA) was used to delineate a standard set of anatomic features within 40 of the 80 radial B-scans (every other scan) of each OCT data set by two trained technicians who were masked to the treatment condition (EG or control), timing (baseline or post-laser session), and condition (IOP 10 or 30) of the OCT volume as previously described (Figs. 1, 2).<sup>26-28</sup>

For the internal limiting membrane (ILM), posterior boundary of the retinal nerve fiber layer (RNFL), posterior boundary of Bruch's membrane (BM)/retinal pigment epithelium (RPE) complex, and neural boundary categories, each layer was delineated using discrete points interconnected by a Bézier curve. The position of each point in each category was finely adjusted so that the fitted Bézier curve matched the feature of interest as closely as possible. Bruch's membrane opening (BMO) was delineated using two discrete points at either side of the neural canal. The anterior lamina cribrosa surface (ALCS) was delineated based on our previous direct comparisons between OCT B-scans and matched histologic sections,<sup>26</sup> as well as our previous publications on OCT laminar visualization<sup>28</sup> and longitudinal change detection.<sup>21,29</sup> For each OCT B-scan data set, a point cloud including all of the above landmarks was generated (Fig. 2). Because the Spectralis  $x,y$  transverse dimension is calibrated for human eyes, a scaling factor of 0.857 was used to correct for ocular magnification differences in the average adult rhesus monkey eye as previously described.<sup>29</sup>

To parameterize each OCT ONH data set, two reference planes were used: a BMO reference plane based on the best-fitting ellipse through the 80 delineated BMO points in a 3D space (2 points/B-scan  $\times$  40 B-scans), and a BM reference plane





**FIGURE 1.** Original and delineated OCT ONH data sets in a normal monkey eye. *Green lines/points*: ILM; *blue lines/points*: outer boundary of the RNFL; *orange lines/points*: BM/RPE; *red points*: BMO; *purple points*: neural boundary; *yellow points*: ALCS. One of two B-scans was delineated and thus yielded 40 delineated data sets for each monkey eye at a time.

based on the best-fitting ellipse through the BM/RPE complex points located at a 1500- $\mu\text{m}$  radius from the BMO centroid along the BMO plane. Six parameters from OCT ONH radial scans were calculated and empirically categorized into ONH connective tissue, ONH neural tissue, and peripapillary RNFL groups as shown in Figure 2.

Optic nerve head connective tissue parameters (Fig. 2A) included anterior lamellar cribrrosa surface depth (ALCSD) relative to BMO reference plane (ALCSD-BMO), ALCSD relative to BM reference plane (ALCSD-BM), and BMO depth relative to the BM reference plane (BMOD-BM). The two reference planes were used to define change that resulted from lamellar deformation alone (BMO reference plane) and change that included both lamellar and peripapillary scleral deformation (BM reference plane). Optical nerve head neural tissue parameters (Fig. 2B) included prelaminar tissue thickness (PLTT) and minimum rim width (MRW).<sup>21,29-31</sup> The RNFL parameter (Fig. 2C) included the thickness calculated at an eccentricity of 1200  $\mu\text{m}$  from the BMO centroid (RNFLT).

### Fixed Deformation at EG Onset Values for Each Parameter

For both eyes of each animal, the magnitude of *Fixed Deformation* at EG onset for each parameter was calculated as the IOP 10 data set value at EG onset minus the IOP 10 data set value at the last baseline imaging session (Fig. 3).

### Acute Compliance (IOP 10/30) Values for Each Parameter at EG Onset

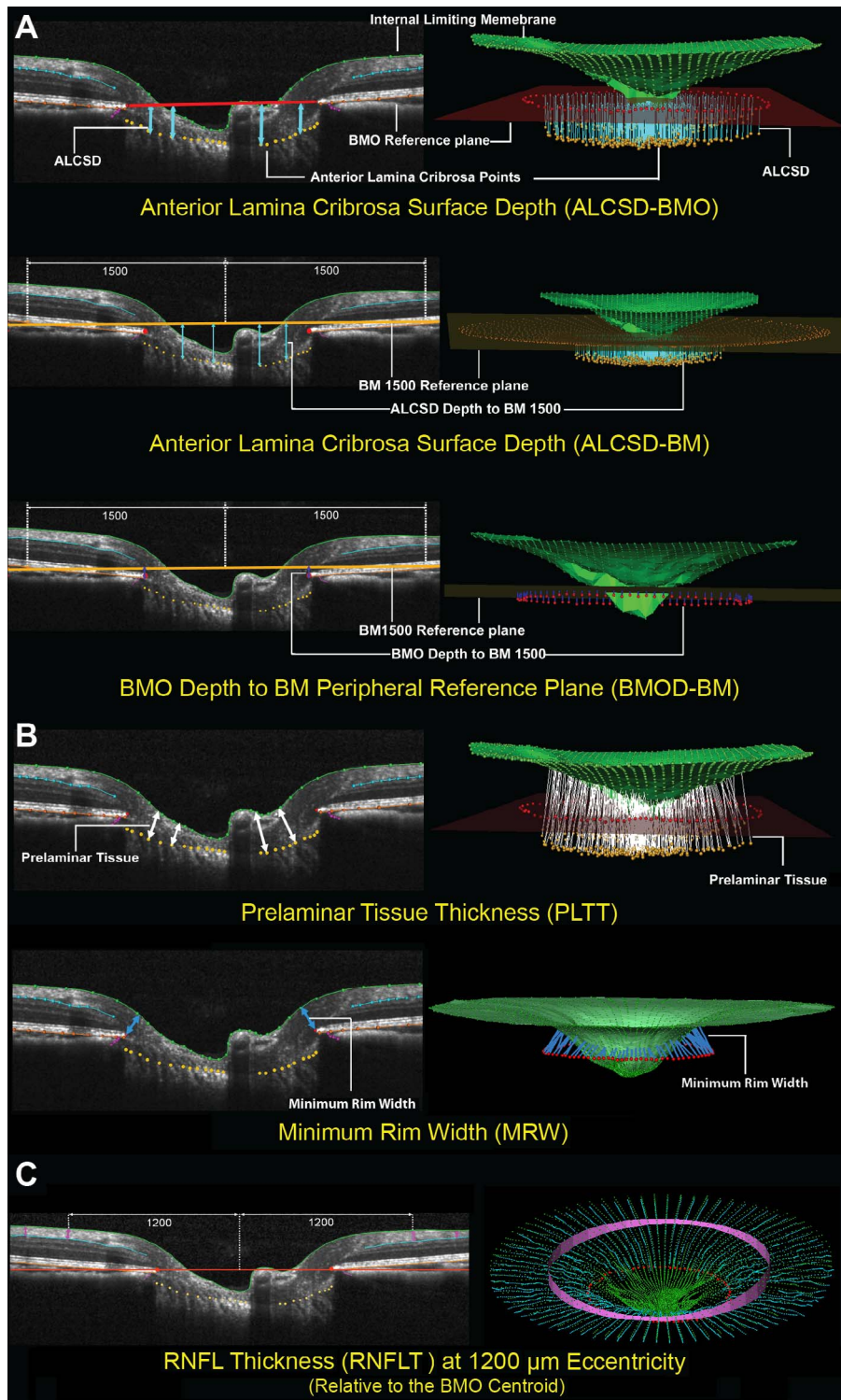
For both eyes of each animal the *Acute Compliance* value was determined for each parameter at EG onset as the value within the IOP 30 data set minus the value within the IOP 10 data set (Fig. 3). EG versus control eye *Acute Compliance Difference at Onset* was then calculated for each parameter and each EG eye.

The experiment-wide control eye range in *Acute Compliance* for each parameter was then calculated from the  $n = 15$  control eye values. Eye-specific EG eye hypercompliance was defined to be present for a parameter when the *Acute Compliance* of an individual EG eye was more negative than the maximum value of control eye *Acute Compliance*, as outlined above. An eye-specific decrease in EG eye compliance was defined to be present for a given parameter when the *Acute Compliance* value of that parameter was less negative than the minimum value of control eye *Acute Compliance*.

### Statistical Analysis

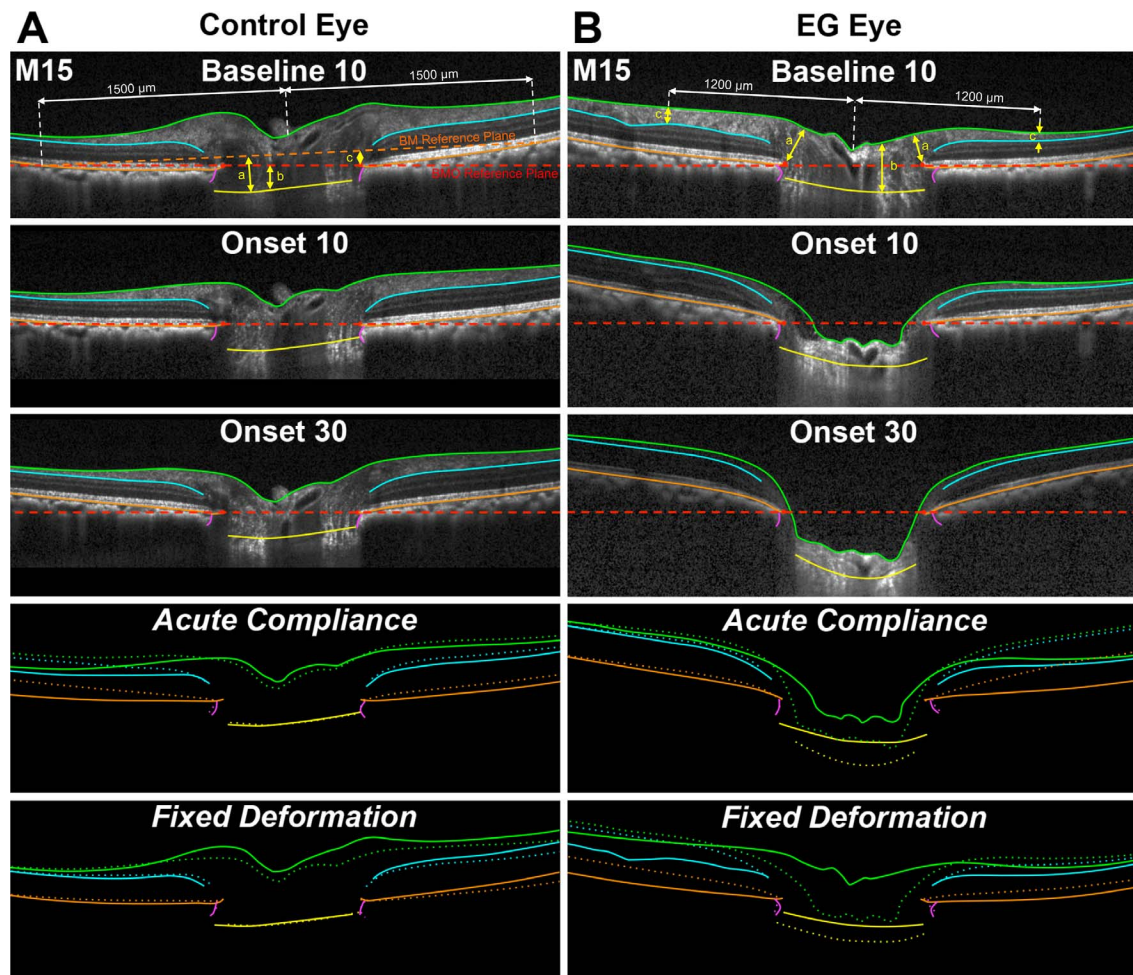
Analyses were performed using R software (R Foundation for Statistical Computing, Vienna, Austria). The magnitude and significance of *Fixed Deformation* at EG onset within the control and EG eyes were assessed for each parameter within a linear mixed effects model in which EG versus control eye differences were assessed within the interaction of Eye Condition (EG versus control) and Time (baseline versus EG onset). The magnitude and significance of *Acute Compliance* at EG onset effects within the control and EG eyes were assessed for each parameter within a linear mixed effects model in which EG versus control eye differences were assessed within the interaction of Eye Condition (EG versus control) and IOP (IOP 10 versus IOP 30 mm Hg). In each analysis, a significant interaction was taken as evidence of an EG versus control eye difference in *Fixed Deformation* or *Acute Compliance* at EG onset.

Four groups of linear regression analyses were then performed. Within each group, correlations achieving  $P \leq 0.05$  were considered significant. First, we assessed whether *Fixed Deformation* at EG onset in each OCT parameter was correlated with each post-laser IOP insult parameter. Second, we assessed whether *Acute Compliance* at EG onset in each OCT parameter was correlated with each post-laser IOP



**FIGURE 2.** Optical coherence tomography neural and connective tissue parameters. **(A)** Optic nerve head connective tissues. Optical coherence tomography ONH connective tissue parameters are designed to detect connective tissue deformation (reversible) and/or remodeling (permanent). Anterior lamina cribrosa surface depth (blue arrows) is measured at each delineated ALCS point as the perpendicular distance from the BMO reference plane (red line) and BM reference plane (orange line) defined by two delineated BM points at 1500  $\mu$ m eccentricity from the BMO centroid. Depth of BMO is measured at each delineated BMO point as the perpendicular distance from the BM reference plane (orange line). **(B)** Optic nerve head neural tissues. Neural tissue parameters are designed to detect neural tissue changes that occur either due to neural tissue damage or secondary to connective tissue deformation. Prelaminar tissue thickness is measured as the normal from the tangent to the ALCS to the ILM (green line). Minimum rim width (blue arrows) is measured at each delineated BMO point (red) as the minimum distance to ILM. **(C)** Nonstandard peripapillary RNFL. Retinal nerve fiber layer thickness is measured on either side of the posterior RNFL boundary (turquoise line) at ILM points that are 1200  $\mu$ m from the centroid of the 80 delineated BMO points (the BMO centroid).





**FIGURE 3.** Representative B-scans from Control and EG eyes of Monkey 15, with ONH and retinal anatomy delineated at baseline at 10 mm Hg and at CSLT-detected EG onset at IOP 10 and 30 mm Hg showing *Fixed Deformation* and *Acute Compliance* at EG onset. *Green lines*: ILM; *blue lines*: outer boundary of the RNFL; *orange lines*: BM/RPE; *red points*: BMO; *purple lines*: Neural Boundary; *yellow points*: ALCS. *Dotted lines* represent *Fixed Deformation* and *Acute Compliance* at EG onset. **(A)** Connective tissue parameters: **(a)** *ALCSDBM*, **(b)** *ALCSDBMO*, and **(c)** *BMOD-BM*. **(B)** Neural tissue parameters: **(a)** *MRW*, **(b)** *PLTT*, and **(c)** *RNFLT*. A substantially larger *Acute Compliance* and *Fixed Deformation* can be seen in the EG eye at EG onset compared with the fellow control eye. Comparisons in bottom four panels were aligned in reference to BMO.

insult parameter. Third, we assessed whether EG versus control eye differences in each post-laser IOP insult parameter were correlated to EG versus control eye differences in *Acute Compliance* at EG onset for each OCT parameter. Fourth, we assessed whether control versus EG eye *Acute Compliance* at EG onset for each OCT parameter were correlated.

## RESULTS

A total of 15 (age 3.4–22.3 years) rhesus monkeys (2 male, 13 female) were studied (Table 2). Animal order in Table 2 was based on the magnitude of EG versus control eye *Acute Compliance* difference in the parameter *ALCSDBM* (Fig. 2). The range of *Post-Laser Mean IOP* was 10 to 25 mm Hg in the EG eyes and 9 to 14 mm Hg in the control eyes. *Post-Laser Peak IOP* ranged from 15 to 58 mm Hg in the EG eyes and 12 to 20 mm Hg in the control eyes. *Post-Laser Cumulative IOP Difference* ranged from 76 to 1587 mm Hg  $\times$  days at CSLT-detected EG onset. Post-laser time to EG onset ranged from 46 to 230 days with a mean of 139 days. Axial length at baseline ranged from 18.8 to 22.4 mm (mean  $\pm$  SD: 19.8  $\pm$  0.9 mm) and 18.8 to 22.5 mm (mean  $\pm$  SD: 19.9  $\pm$  0.9 mm) ( $P=0.368$ ,

two-tailed, paired *t*-test) in control and future EG eyes, respectively. Experimental glaucoma eye axial length at EG onset (20.11  $\pm$  0.80 mm) was significantly larger than at baseline (19.86  $\pm$  0.86 mm) ( $P=0.025$ ), whereas control eye axial length at EG onset (19.92  $\pm$  0.76 mm) was not significantly different from baseline (19.83  $\pm$  0.84 mm) ( $P=0.27$ ). Axial length change from baseline at EG onset ranged from  $-2.0\%$  to  $5.4\%$  (mean  $\pm$  SD: 0.5%  $\pm$  1.7%) and  $-2.8\%$  to  $5.7\%$  (mean  $\pm$  SD: 1.3%  $\pm$  2.0%) in control and EG eyes, respectively.

### Fixed Deformation at EG Onset

The magnitude of *Fixed Deformation* at EG onset for both the control and EG eye of each animal is reported for all parameters in Supplementary Table S1 and plotted in Figure 4. Linear mixed effects modeling (Table 3) demonstrated a statistically significant degree of *Fixed Deformation* for all parameters in EG eyes ( $P \leq 0.05$ ), without demonstrating evidence of significant *Fixed Deformation* for any parameter being present within the control eyes ( $P > 0.05$ ) compared with their baseline. The effect of the interaction between eye condition (EG versus control) and time point (baseline versus

TABLE 2. Demographic, Ocular Biometric, and IOP Parameters for Each Study Animal

Animal No.*	Animal ID	Age, y	Sex	Weight, kg	Baseline Mean IOP C/EG, mm Hg	Post-Laser Time to CSLT Onset, d	Post-Laser Mean IOP C/EG, mm Hg	Post-Laser Peak IOP C/EG, mm Hg	EG Eye Cumulative IOP Insult - CSLT Onset, mm Hg × d†	Axial Length at Baseline C/EG, mm‡	Axial Length Change at CSLT Onset, C/EG
1	22159	20.3	F	8.1	9/10	93	10/12	14/18	202	19.9/19.9	0.7/-0.9
2	22165	22.3	F	5.9	10/10	142	10/11	14/17	236	19.8/19.7	-0.1/0.3
3	28498	14.5	F	5.3	13/12	189	12/13	16/20	76	22.4/22.5	-0.6/0.9
4	27023	3.9	F	6.8	10/10	93	9/11	12/22	204	19.2/19.5	1.4/5.7
5	28494	3.4	F	5.6	14/13	230	12/16	18/30	755	19.9/19.8	-1.4/0.1
6	18664	15.8	F	7.2	11/11	139	9/10	12/15	245	19.6/19.7	1.9/0.8
7	19211	14.6	F	5.9	10/10	106	11/13	19/27	263	19.8/20.0	-2.0/0.3
8	27806	3.4	M	9.3	11/10	218	12/17	18/35	1134	18.8/19.0	5.4/2.6
9	25354	5.1	M	5.8	9/10	155	10/13	14/35	471	19.8/19.8	0.3/2.6
10	20457	13.9	F	7.2	10/11	100	10/25	14/54	1587	19.8/20.0	0.3/1.3
11	19193	15.6	F	6.7	10/9	91	9/26	12/58	1574	19.7/19.6	-0.5/1.1
12	28496	14.5	F	5.1	15/15	205	14/19	19/47	984	20.8/20.7	0.8/-2.8
13	28327	9.0	F	4.9	11/10	46	11/21	16/47	482	19.8/19.8	-0.2/2.9
14	13904	16.1	F	5.7	14/13	206	13/20	20/55	1155	19.3/19.2	0.7/1.7
15	18121	16.5	F	7.5	8/10	78	9/25	13/52	1332	18.9/18.8	1.1/3.4

C, control eyes; EG, experimental glaucoma eyes; F, female; M, male.

\* Animals ordered by the magnitude of *Acute Compliance* difference in *ALCSD-BM* between control and EG eyes (Supplementary Table S2).† *Post-Laser Cumulative IOP Difference* between the EG eye and control eye from the onset of trabecular meshwork laser to CSLT onset session.

‡ Future EG eye.

EG onset) on *Fixed Deformation* at EG onset was statistically significant for all parameters ( $P \leq 0.05$ ).

### Acute Compliance at EG Onset

The magnitude of *Acute Compliance* at the onset of ONH surface topography change in the EG eye is reported in Supplementary Table S2 and graphed in Figure 5 for both the control and EG eyes of each animal. For all animals considered together, linear mixed effects modeling (Table 4) demonstrated statistically significant *Acute Compliance* effects on all parameters within the control eyes and on all parameters except *RNFLT* ( $-2 \pm 4 \mu\text{m}$ ,  $P = 0.2193$ ) within the EG eyes. However, the interaction between eye condition (EG versus control) and IOP during imaging (10 vs. 30 mm Hg) achieved significance only for *ALCSD-BM* (control eye:  $-42 \pm 14 \mu\text{m}$  [mean  $\pm$  SD], EG eye:  $-92 \pm 64 \mu\text{m}$ ;  $P = 0.0075$ ) and *ALCSD-BMO* (control eye:  $-8 \pm 13 \mu\text{m}$ ; EG eye:  $-46 \pm 45 \mu\text{m}$ ;  $P = 0.0042$ ). Although these data suggest the overall presence of lamellar and peripapillary scleral hypercompliance at EG onset in this group of monkey eyes, the presence of EG eye hypercompliance was specific to individual eyes, occurring in 9 of the 15 EG eyes for *ALCSD-BMO*, 8 for *ALCSD-BM*, and 4 for *BMOD-BM*. The presence of decreased compliance in the EG eye was also present for *MRW* (2 eyes), *RNFLT* (2 eyes), *ALCSD-BM* (2 eyes), and *BMOD-BM* (1 eye). The effect of age failed to achieve significance for Control eye, EG eye, or EG versus Control eye differences in acute compliance for all parameters ( $P > 0.05$ ).

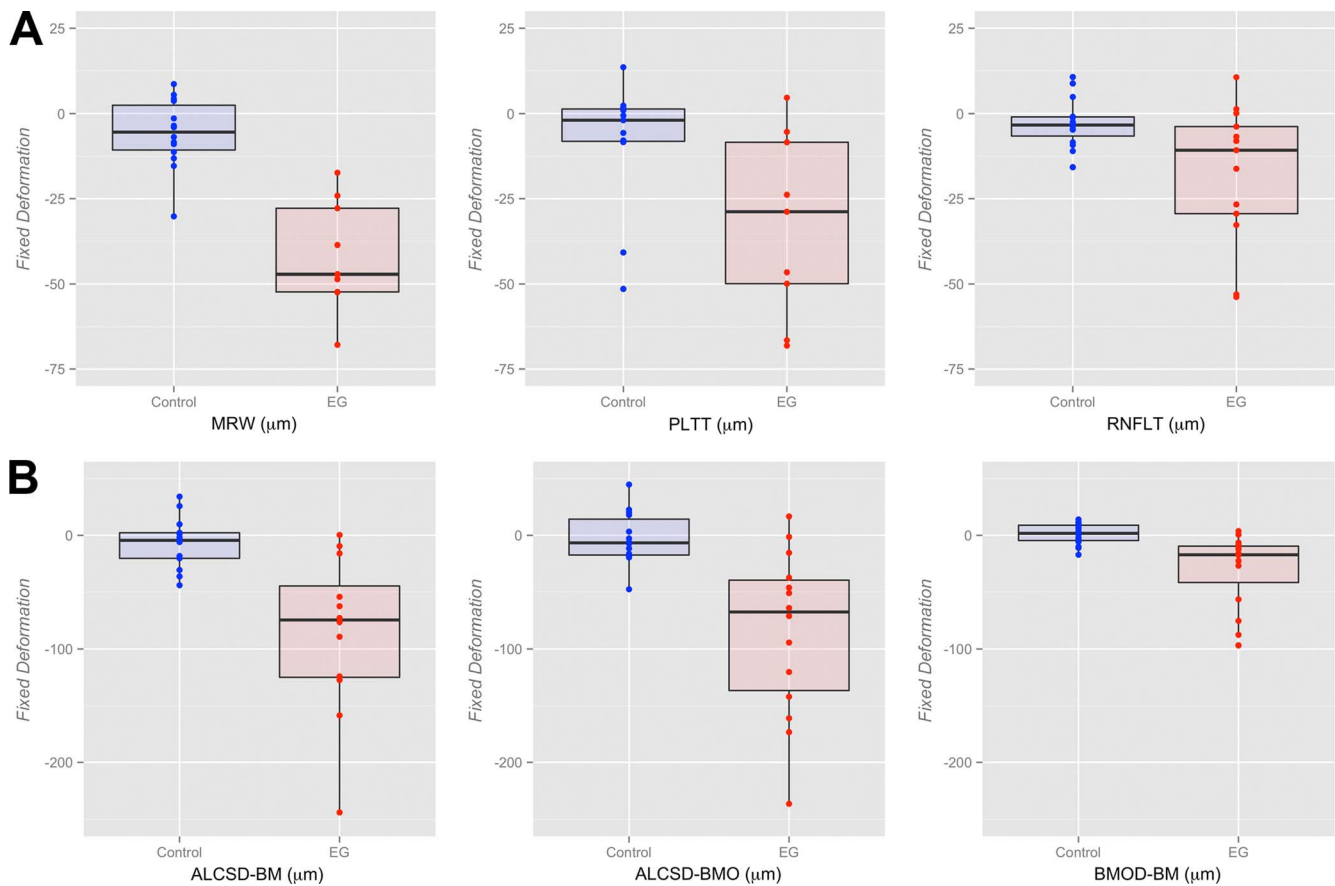
### Correlation Analyses

**Fixed Deformation Versus Post-Laser IOP.** Figure 6 displays scatter plots for significant correlations between the *Fixed Deformation* at EG onset for each OCT parameter and each IOP parameter. *Post-laser peak IOP* correlated with *MRW* ( $R^2 = 0.448$ ,  $P = 0.006$ ), *ALCSD-BM* ( $R^2 = 0.543$ ,  $P = 0.002$ ), *ALCSD-BMO* ( $R^2 = 0.522$ ,  $P = 0.002$ ), and *BMOD-BM* ( $R^2 = 0.323$ ,  $P = 0.027$ ). *Post-laser mean IOP* also correlated with *MRW* ( $R^2 = 0.437$ ,  $P = 0.007$ ), *ALCSD-BM* ( $R^2 = 0.496$ ,  $P = 0.003$ ), *ALCSD-BMO* ( $R^2 = 0.438$ ,  $P = 0.007$ ), and *BMOD-BM* ( $R^2 = 0.365$ ,  $P = 0.017$ ). Finally, *Post-laser cumulative IOP difference* correlated only with *MRW* ( $R^2 = 0.270$ ,  $P = 0.047$ ).

**Acute Compliance Versus Post-Laser IOP.** Figure 7 displays scatter plots for significant correlations between the same three post-laser IOP parameters and the magnitude of *Acute Compliance* in OCT parameters at EG onset. *ALCSD-BMO Acute Compliance* correlated with *post-laser peak IOP* ( $R^2 = 0.807$ ,  $P < 0.0001$ ), *post-laser mean IOP* ( $R^2 = 0.711$ ,  $P < 0.0001$ ), and *post-laser cumulative IOP difference* ( $R^2 = 0.684$ ,  $P = 0.0001$ ).

### Experimental Glaucoma Versus Control Eye Differences in Acute Compliance and EG Versus Control Eye Differences in Post-Laser IOP

Figure 8 displays scatter plots for significant correlations between EG versus control eye differences in post-laser IOP parameters and the magnitude of EG versus control eye differences in OCT parameter *Acute Compliance*. Experimental glaucoma versus control eye differences in *ALCSD-BMO Acute Compliance* were correlated with EG versus control eye differences in *post-laser peak IOP* ( $R^2 = 0.798$ ,  $P < 0.0001$ ) and *post-laser mean IOP* ( $R^2 = 0.634$ ,  $P = 0.0004$ ). Thus, the degree to which lamellar compliance increased in each individual EG eye as compared with its fellow control eye was related to the magnitude of EG eye post-laser IOP elevation.



**FIGURE 4.** Box plots representing the distributions (median, interquartile range, and extremes) of EG (*red*) and Control (*blue*) eye *Fixed Deformation* at EG onset for all OCT (A) neural and (B) connective tissue parameters. The scale extends from  $-75$  to  $25$   $\mu\text{m}$  across all (A) neural tissue parameters and from  $-250$  to  $50$   $\mu\text{m}$  across all (B) connective tissue parameters.

### Control Versus EG Eye Acute Compliance

Figure 9 displays scatter plots for correlations between EG eye *Acute Compliance* and control eye *Acute Compliance* at EG onset. The *BMOD-BM Acute Compliance* in control eyes correlated with *BMOD-BM* ( $R^2 = 0.780$ ,  $P < 0.0001$ ) and *MRW* ( $R^2 = 0.537$ ,  $P = 0.002$ ) *Acute Compliance* in the EG eyes. Furthermore, control eye *ALCSD-BM Acute Compliance* correlated with EG eye *ALCSD-BM Acute Compliance*

( $R^2 = 0.540$ ,  $P = 0.002$ ). Although we do not have *Acute Compliance* Data for these eyes when they were normal (i.e., at baseline), these data suggest that if EG eye *Acute Compliance* at baseline was similar to control eye *Acute Compliance* at EG onset, baseline EG eye peripapillary scleral compliance (*BMOD-BM*) predicts the magnitude of EG eye peripapillary scleral compliance at EG onset in the monkey eye.

**TABLE 3.** The Magnitude of Experiment-Wide *Fixed Deformation* at EG Onset Across all Animals

Parameter	Effect Size ( <i>P</i> Value), Onset – Baseline		Interaction Term <i>P</i> Value, Eye Condition $\times$ Time
	Control Eyes	EG Eyes	
<i>BMOD-BM</i>	$1 \pm 9$ $\mu\text{m}$ (0.6407)	$-30 \pm 33$ $\mu\text{m}$ (0.0031†)	0.0014*
<i>ALCSD-BM</i>	$3 \pm 34$ $\mu\text{m}$ (0.7322)	$-132 \pm 114$ $\mu\text{m}$ (0.0005†)	0.0001*
<i>ALCSD-BMO</i>	$2 \pm 29$ $\mu\text{m}$ (0.7968)	$-100 \pm 90$ $\mu\text{m}$ (0.0005†)	0.0002*
<i>PLTT</i>	$-16 \pm 44$ $\mu\text{m}$ (0.1963)	$-63 \pm 71$ $\mu\text{m}$ (0.0090†)	0.0512*
<i>MRW</i>	$-13 \pm 29$ $\mu\text{m}$ (0.1061)	$-79 \pm 57$ $\mu\text{m}$ (0.0001†)	0.0004*
<i>RNFLT</i>	$-3 \pm 7$ $\mu\text{m}$ (0.1459)	$-18 \pm 20$ $\mu\text{m}$ (0.0089†)	0.0113*

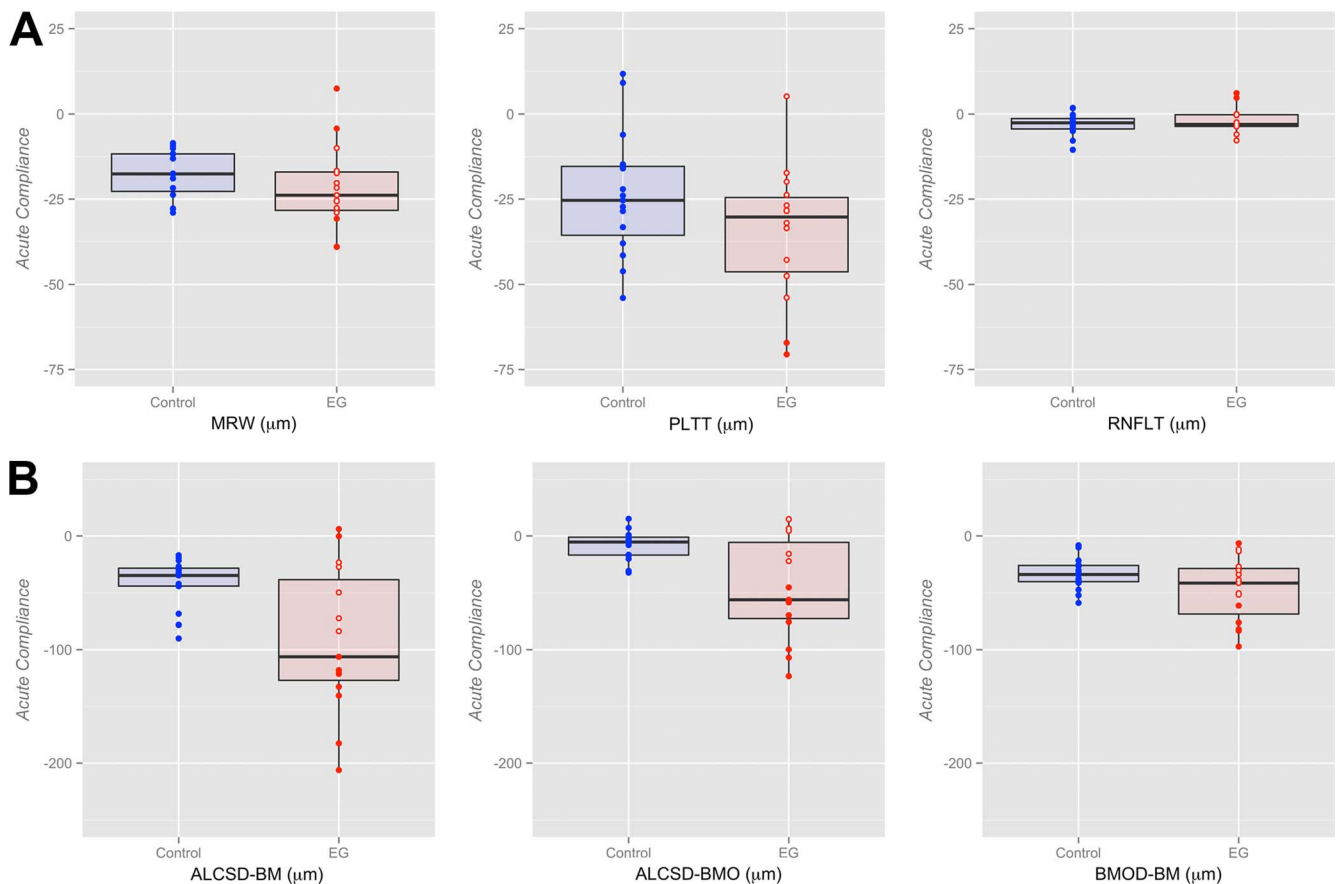
Effect size: change from baseline to EG onset (mean  $\pm$  SD). Negative effect size for *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM* indicates posterior deformation; positive effect size for *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM* indicates anterior deformation.

Interaction term: the interaction between eye condition (EG versus control) and time (pre-laser baseline versus post-laser CSLT-detected EG onset) was tested for each parameter. We hypothesize that the time of imaging will have an effect on ONH neural and connective tissues that is also modulated by eye condition. A significant interaction suggests that the time of imaging and eye condition are significantly interdependent.

\*  $P \leq 0.05$  represents significant interactions between eye condition (EG versus control) and time (baseline versus CSLT-detected EG onset); linear mixed effects model.

†  $P \leq 0.05$  represents significant comparisons between onset and baseline; linear mixed effects model.





**FIGURE 5.** Box plots representing distributions (median, interquartile range, and extremes) of EG (red) and Control eye (blue) *Acute Compliance* at EG onset for all OCT neural (A) and connective tissue (B) parameters. The scale extends from  $-75$  to  $25$   $\mu\text{m}$  across all (A) neural tissue parameters and from  $-250$  to  $50$   $\mu\text{m}$  across all (B) connective tissue parameters. Experimental glaucoma eyes that fall outside the range of control eye parameters are shown as filled red circles; whereas EG eyes that are within the range of control eye parameters are shown as empty red circles (some circles overlap and appear as one). Eye-specific hypercompliance in EG eyes occurred in *MRW* (3 of 15 eyes), *PLTT* (2 of 15 eyes), *ALCSD-BM* (8 of 15 eyes), *ALCSD-BMO* (9 of 15 eyes), and *BMOD-BM* (4 of 15 eyes). An eye-specific decrease in compliance in EG eyes was seen in *MRW* (two eyes), *RNFLT* (two eyes), *ALCSD-BM* (two eyes), and *BMOD-BM* (one eye).

### Representative EG Versus Control Eye Acute Compliance Comparisons

Figure 10 displays the delineated IOP 10 and IOP 30 OCT data sets from the EG and control eyes of Monkey 8. The control eye of this animal demonstrated the largest control eye *Acute Compliance* change in the OCT connective tissue parameters

*BMOD-BM*, *ALCSD-BM*, and *ALCSD-BM*. It therefore represents the upper end of the range of control eye *Acute Compliance* used to define hypercompliance in individual EG eyes ( $-59.0$   $\mu\text{m}$ ,  $-90.1$   $\mu\text{m}$ , and  $-32.0$   $\mu\text{m}$ , respectively, see Supplementary Table S2 and Methods). The EG eye of this animal demonstrates substantial hypercompliance for the same three parameters ( $-82.0$   $\mu\text{m}$ ,  $-140.5$   $\mu\text{m}$ , and  $-58.6$   $\mu\text{m}$ , respectively). The

**TABLE 4.** The Magnitude of Experiment-Wide *Acute Compliance* at EG Onset Across all Animals

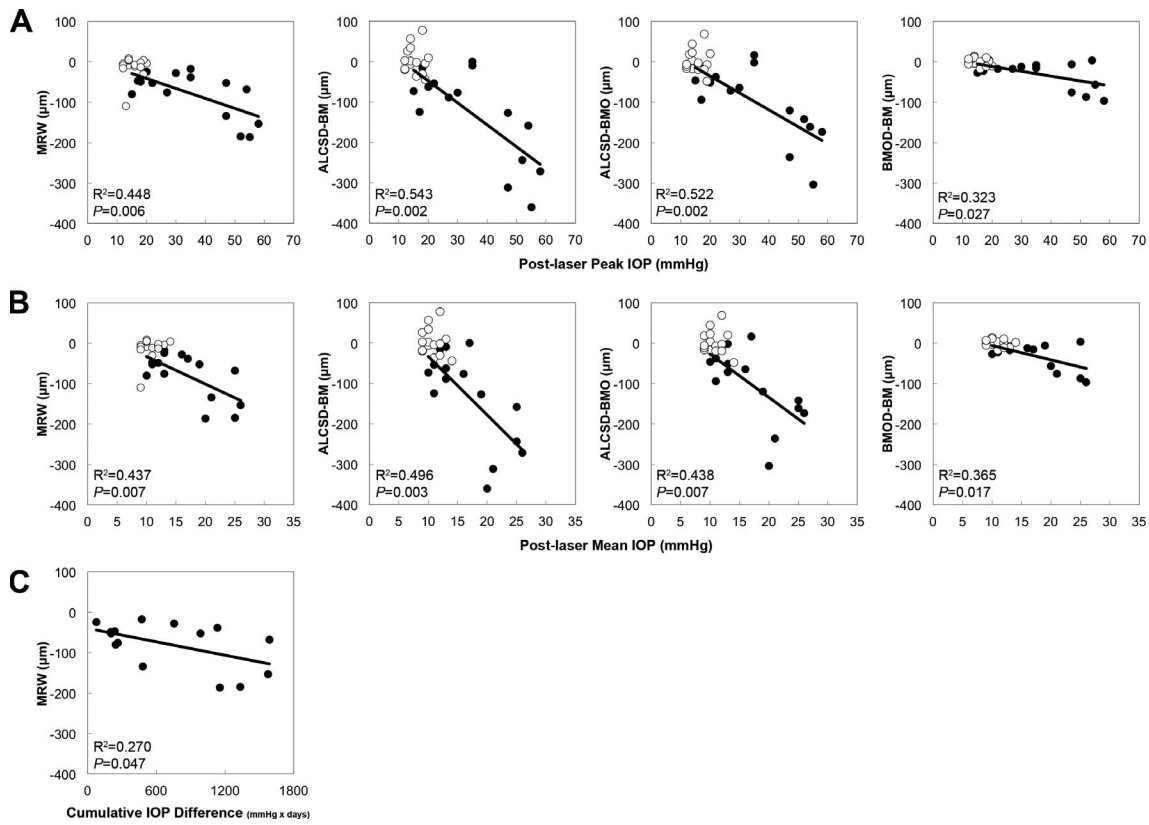
Parameter	Effect Size ( <i>P</i> Value), IOP 30 – IOP 10		Interaction Term <i>P</i> Value, Eye Condition $\times$ IOP
	Control Eyes	EG Eyes	
<i>BMOD-BM</i>	$-33 \pm 14$ $\mu\text{m}$ ( $<0.0001$ †)	$-47 \pm 28$ $\mu\text{m}$ ( $<0.0001$ †)	0.1027
<i>ALCSD-BM</i>	$-42 \pm 22$ $\mu\text{m}$ ( $<0.0001$ †)	$-92 \pm 64$ $\mu\text{m}$ ( $<0.0001$ †)	0.0075*
<i>ALCSD-BMO</i>	$-8 \pm 13$ $\mu\text{m}$ (0.0304†)	$-46 \pm 45$ $\mu\text{m}$ (0.0015†)	0.0042*
<i>PLTT</i>	$-24 \pm 19$ $\mu\text{m}$ (0.0002†)	$-35 \pm 20$ $\mu\text{m}$ ( $<0.0001$ †)	0.1341
<i>MRW</i>	$-18 \pm 7$ $\mu\text{m}$ ( $<0.0001$ †)	$-22 \pm 12$ $\mu\text{m}$ ( $<0.0001$ †)	0.3090
<i>RNFLT</i>	$-3 \pm 3$ $\mu\text{m}$ (0.0032†)	$-2 \pm 4$ $\mu\text{m}$ (0.2193)	0.3375

Effect size: change from IOP 10 to IOP 30 mm Hg (mean  $\pm$  SD). Negative effect size for *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM* indicates posterior deformation; positive effect size for *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM* indicates anterior deformation.

Interaction term: the interaction between eye condition (EG versus control) and time (pre-laser baseline versus post-laser CSLT-detected EG onset) was tested for each parameter. A significant interaction suggests that the time of imaging and eye condition are significantly interdependent.

\*  $P \leq 0.05$  represents significant interactions between eye condition (EG versus control) and IOP (IOP 10 mm Hg versus IOP 30 mm Hg); linear mixed effects model.

†  $P \leq 0.05$  represents significant comparisons between onset and baseline; linear mixed effects model.



**FIGURE 6.** Significant correlations between EG eye *Fixed Deformation* at EG onset versus post-laser IOP. Scatter plots showcasing correlations between *Fixed Deformation* at EG onset in OCT parameters and post-laser IOP insult parameters. (A) *Post-laser Peak IOP* and (B) *Post-laser Mean IOP* were both correlated with *MRW*, *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM*. (C) *Post-laser Cumulative IOP Difference* was correlated only with *MRW*. *Filled circles*: EG eyes; *open circles*: control eyes. Correlation analysis based on EG eye data only. Control eye data points shown for reference.

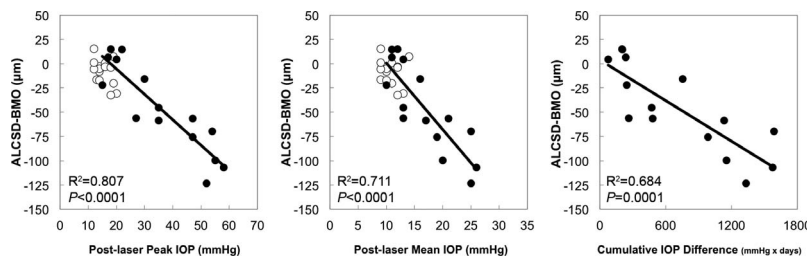
distinction between *ALCSD-BM* (which captures both peripapillary scleral and lamellar deformation, relative to peripheral BM) and *ALCSD-BMO* (which captures lamellar deformation relative to BMO, alone) can be clearly seen for both eyes, by overlaying the IOP 10 and IOP 30 data sets using the BMO reference plane (in Figs. 10C, 10H) or by overlapping the IOP 10 and IOP 30 data sets using the BM reference plane (Figs. 10D, 10I).

Figure 11 displays the delineated IOP 10 and IOP 30 OCT data sets from the EG and control eyes of Monkey 15. The EG eye of this animal demonstrates the largest magnitude of EG versus control eye difference in *ALCSD-BM Acute Compliance* (−136.6 μm, Supplementary Table S2), which was the measure used to rank the animals 1 to 15 (Table 1). It also demonstrated the largest magnitude of EG versus control eye difference in *BMOD-BM* (−44.8 μm, Supplementary Table S2) and *ALCSD-BMO* (−137.6 μm, Supplementary Table S2). It therefore demonstrates

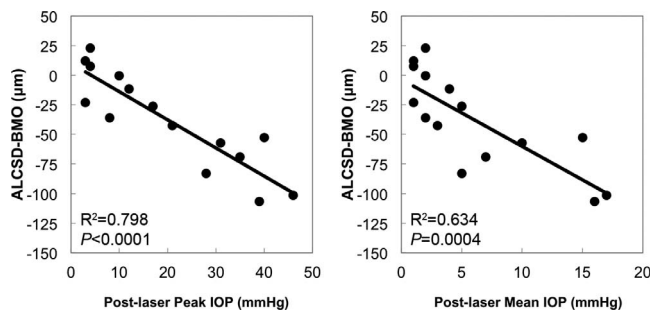
the greatest magnitude of ONH lamellar and peripapillary scleral connective tissue hypercompliance among the 15 EG eyes.

### DISCUSSION

The purpose of this study was to use in vivo OCT imaging to compare EG versus control eye ONH neural and connective tissue *Acute Compliance* at the onset of ONH surface deformation in unilateral EG. Our principal findings are as follows. First, acute compliance of the monkey ONH was greater in EG compared with control eyes at onset of ONH surface deformation, both overall, and in as many as 9 of the 15 EG eyes using eye-specific criteria for lamellar and/or peripapillary scleral hypercompliance. Second, EG eye-specific hypercompliance was most prevalent and greatest in magnitude for the three OCT ONH connective tissue parameters: *ALCSD-BM*,



**FIGURE 7.** Significant correlations between EG eye *Acute Compliance* versus Post-laser IOP. Scatter plots showcasing correlations between *Acute Compliance* at EG onset in OCT parameters and post-laser IOP insult parameters. The *ALCSD-BMO* was correlated with *Post-laser Peak IOP*, *Post-laser Mean IOP*, and *Post-laser Cumulative IOP Difference*. *Filled circles*: EG eyes; *open circles*: control eyes. Correlation analysis based on EG eye data only. Control eye data points shown for reference.



**FIGURE 8.** Significant correlations between EG versus control eye differences in *Acute Compliance* and EG versus control eye differences in Post-laser IOP. Scatter plots showcasing correlations between EG versus control eye post-laser IOP parameters and the magnitude of EG versus control eye *Acute Compliance* at EG onset in OCT parameters. Experimental glaucoma versus control eye differences in *ALCSD-BMO* correlated with EG versus control eye differences in both *Post-laser Peak IOP* and *Post-laser Mean IOP*.

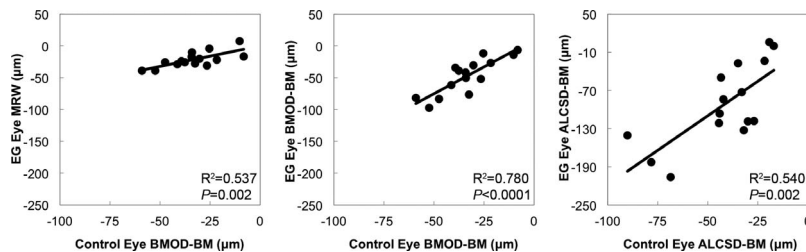
*ALCSD-BMO*, and *BMOD-BM*. Third, within the nine EG eyes demonstrating eye-specific hypercompliance, the magnitude of acute laminar deformation was profoundly different, which suggests that structural stiffness change in early monkey EG is also eye-specific.

Our detection of ONH lamina cribrosa and peripapillary scleral hypercompliance at the onset of ONH surface topography change in monkey EG using in vivo OCT imaging, supports and expands on our previous studies which were based on simultaneous videography,<sup>14,15</sup> CSLT ONH surface imaging,<sup>16</sup> postmortem histology,<sup>8,11</sup> and 3D histomorphometric reconstruction.<sup>9,17</sup> It also expands on our previous characterization of monkey scleral material property changes in the setting of chronic experimental IOP elevation that detected scleral hypercompliance before stiffening in a subset of eyes.<sup>32</sup> Although these previous studies indirectly suggested laminar and peripapillary scleral hypercompliance were present, each study was either not specific to the lamina cribrosa,<sup>14,16</sup> or was postmortem and therefore could not separate “fixed deformation” from “acute compliance” within each individual study eye.<sup>11,17</sup> Although OCT IOP 10/30 imaging was initiated too late to enable longitudinal analysis of ONH hypercompliance in each individual EG eye in the current study, longitudinal baseline and post-laser IOP 10/30 compliance testing is now ongoing in a separate cohort of 20 animals. We believe that longitudinal *Acute Compliance* testing should increase our ability to detect EG eye-specific compliance change, because we will use the eye-specific, “normal” test-retest LOA for *Acute Compliance* (established during the baseline testing sessions), which will likely be tighter than the range of values observed across the control eyes at a single time point as used in this report.

The detection of ONH connective tissue hypercompliance in early monkey EG is important for the following reasons. First, it is a likely manifestation of underlying ONH connective tissue remodeling, failed remodeling, or mechanical failure,<sup>18</sup> which suggests that it may be both a biomarker for the presence of these processes and a means of identifying underlying mechanisms and treatment interventions. Second, if present in human ocular hypertensive patients, it may be evidence of an early connective tissue response to the eye-specific level of mechanical stress and strain within the ONH connective tissues that represents early connective tissue “structural” change. The relationship between the onset of early connective tissue structural change and the onset of RGC axonal injury could then be studied.

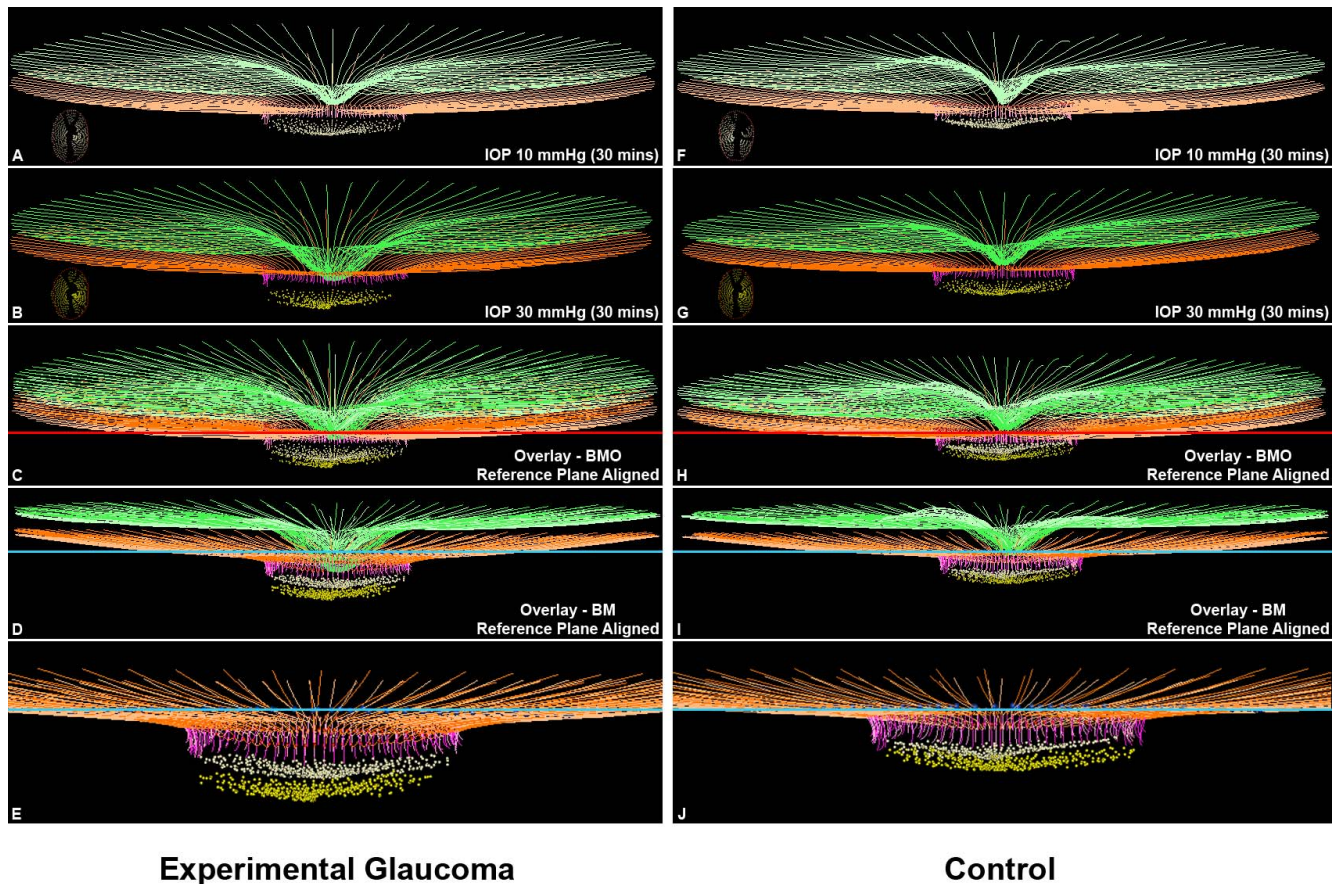
Regarding the mechanistic implications of our findings, we have previously reported that laminar thickening, scleral canal expansion, posterior laminar bowing, laminar insertion migration, and laminar beam thickness increases and decreases, underlie the detectable onset of unilateral EG in the monkey eye.<sup>33</sup> Continuum finite element modeling in a subset of 3 EG eyes,<sup>34,35</sup> and laminar microarchitectural quantification in a larger cohort of 17 EG eyes (Lockwood H, et al. *IOVS* 2015;56:ARVO E-Abstract 6151), both describe profound increases in laminar connective tissue volume (defined to be the number of connective tissue voxels within the lamina cribrosa) at this same stage of the neuropathy. These findings were recently confirmed within an expanded group of 21 monkeys euthanized at early through end-stage unilateral EG.<sup>18</sup> In that study, the lamina was thinned in the eyes with the greatest overall connective tissue deformation, but this occurred in eyes with levels of CSLT ONH surface change that far exceeded the animals in the current report. Optical coherence tomography longitudinal detection of laminar thickness change, and laminar insertion migration using a 1050 nm wavelength OCT and high-resolution 768 × 768 grid imaging, are part of our current longitudinal OCT compliance testing protocols. The biomechanical and cellular mechanisms contributing to a decrease of laminar and peripapillary scleral structural stiffness in the setting of these dramatic increases in laminar connective tissue volume are currently under study in our laboratory.

Regarding *Acute Compliance* testing in human ocular hypertensive subjects, several issues require clarification. Agoumi et al.<sup>13</sup> reported that the anterior laminar surface demonstrated little posterior deformation in response to ophthalmodynamometry-based acute IOP elevations of approximately 12 mm Hg in 12 glaucoma eyes, 12 age-matched control eyes, and 12 young control eyes. These data are not dissimilar from the monkey control eyes of our present report, in which the overall effect of 30-minute IOP elevations to 30 mm Hg on the parameter *ALCSD-BMO* was small ( $-8 \pm 13 \mu\text{m}$ ) though significant ( $P = 0.0304$ ). Although it may be the case that most human eyes demonstrate little posterior laminar



**FIGURE 9.** Significant correlations between EG eye *Acute Compliance* and Control eye *Acute Compliance*. Scatter plots showing correlations between EG eye and control eye *Acute Compliance* at EG onset. Experimental glaucoma eye *BMOD-BM Acute Compliance* correlated with both control eye MRW and *BMOD-BM Acute Compliance*. Experimental glaucoma eye *ALCSD-BM Acute Compliance* correlated with control eye *ALCSD-BM Acute Compliance*.



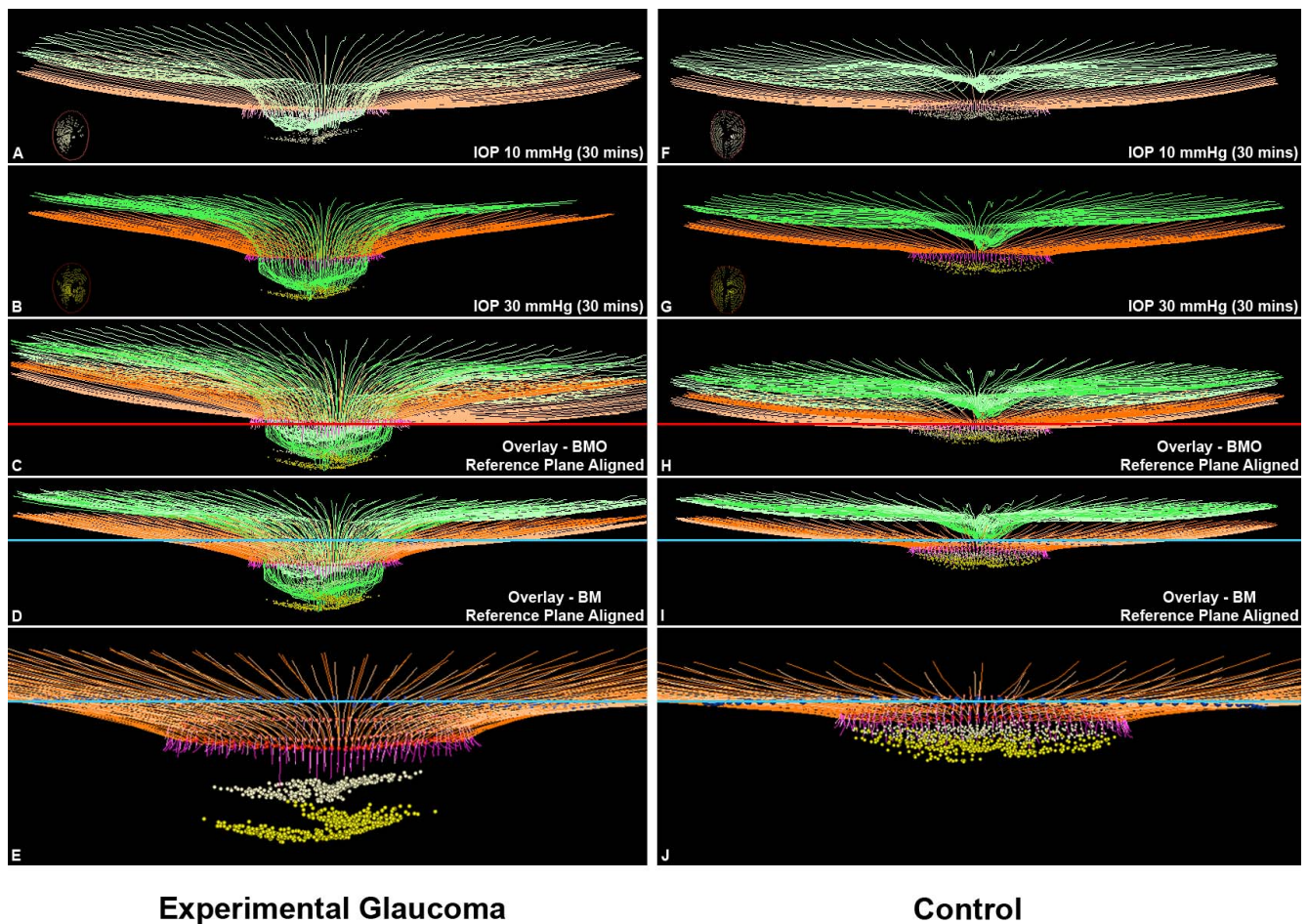


**FIGURE 10.** Experimental glaucoma eye *Acute Compliance* (left) exceeds Control eye *Acute Compliance* (right) in the animal that demonstrates the greatest Control eye *Acute Compliance* (Monkey 8). Delineated structures within the IOP 10 (A, F) and IOP 30 mm Hg (B, G) OCT data sets of the EG (left) and control (right) eyes of Monkey 8. Insets in these panels are en face views of the delineated BMO and ALCS points of each data set. In (C) and (H) the IOP 10 and IOP 30 OCT data sets have been overlaid by anchoring them to their shared BMO reference plane shown in red. In (D) and (I), the same IOP 10 and IOP 30 OCT data sets have been overlaid by anchoring them to their shared BM reference plane shown in blue. Note that posterior deformation of the IOP 30 ALCS (yellow dots) is present relative to the IOP 10 ALCS (off-white dots) in (C), and this deformation is larger in (D) because it also includes posterior deformation of BM relative to its reference plane (blue line). No adjustments to z-axis magnification have been made to these images. Magnified views of (D) and (I) are shown in (E) and (J) absent the ILM (green) so as to make the laminar and peripapillary scleral deformation components more apparent. Structures shown are ILM (green), BM (orange), BMO (red points), and ALCS (yellow points). To differentiate the structures in the overlaid images, the colors at 10 mm Hg have been washed out. The control eye of this animal demonstrated the largest control eye *Acute Compliance* change in the OCT connective tissue parameters *BMOD-BM*, *ALCSD-BM*, and *ALCSD-BMO*. It therefore set the upper range of control eye *Acute Compliance* used for the definition of EG eye hypercompliance ( $-59.0 \mu\text{m}$ ,  $-90.1 \mu\text{m}$ , and  $-32.0 \mu\text{m}$ , respectively, see Supplementary Table S2 and Methods). The EG eye of this animal demonstrates substantial hypercompliance for the same three parameters ( $-82.0 \mu\text{m}$ ,  $-140.5 \mu\text{m}$ , and  $-58.6 \mu\text{m}$ , respectively). The distinction between *ALCSD-BM* (which captures both peripapillary scleral and laminar deformation, relative to peripheral BM) and *ALCSD-BMO* (which captures laminar deformation relative to BMO, alone) can be clearly seen for both eyes by overlaying the IOP 10 and IOP 30 data sets using the BMO reference plane (in [C] and [H]) or by overlapping the IOP 10 and IOP 30 data sets using the BM reference plane (in [D] and [I]).

deformation following an instantaneous IOP elevation of 12 mm Hg, undetected deformation within the plane of the scleral canal following sclera canal expansion may have been substantial.<sup>13</sup> Larger studies in which higher instantaneous IOP elevations are achieved and scleral canal and peripapillary scleral deformations are measured are necessary to more completely characterize the range of compliance present in human healthy and glaucoma eyes. However, if, in these more comprehensive studies, it is still the case that most human eyes demonstrate little posterior laminar deformation, the cross-sectional (or longitudinal) detection of hypercompliance in ocular hypertensive eyes may only be easier as a result. Our data in monkeys in this study are based on 30-minute rather than instantaneous IOP elevations. The viscoelastic component of deformation also may be different in human versus monkey eyes. Detecting hypercompliance in monkey eyes using instantaneous IOP elevations, after hypercompliance has been

detected within a 30-minute test, will be the subject of future studies.

The ONH connective tissue parameters *ALCSD-BM*, *ALCSD-BMO*, and *BMOD-BM* demonstrated the largest overall and most frequent individual EG eye hypercompliance effects. In the control monkey eyes of our study, although the experiment-wide magnitude of posterior laminar deformation (estimated by the parameter *ALCSD-BMO*) was also minimal after 30 minutes of IOP elevation ( $-8 \pm 13 \mu\text{m}$ ), posterior peripapillary scleral deformation (estimated by the magnitude of BMO movement relative to a peripheral BM reference plane or *BMOD-BM*) was larger ( $-33 \pm 14 \mu\text{m}$ ) (Table 4). Interestingly, this deformation was in turn, not quite as large as movement of the BMO centroid reported by Fortune et al.<sup>36</sup> in a separate group of nine normal monkey eyes relative to a more peripheral BM reference plane following acute IOP elevations to 45 mm Hg for 60 minutes (mean  $41.2 \pm 17.1 \mu\text{m}$ ;



**FIGURE 11.** Experimental glaucoma eye *Acute Compliance* (left) far exceeds Control eye *Acute Compliance* (right) in the animal that demonstrates the greatest EG eye *Acute Compliance* (Monkey 15). Delineated structures within the IOP 10 (A, F) and IOP 30 mm Hg (B, G) OCT data sets of the EG (left) and control (right) eyes of Monkey 15. *Insets* in these panels are en face views of the delineated BMO and ALCS points of each data set. In (C) and (H), the IOP 10 and IOP 30 OCT data sets have been overlaid by anchoring them to their shared BMO reference plane shown in red. In (D) and (I), the same IOP 10 and IOP 30 OCT data sets have been overlaid by anchoring them to their shared BM-based reference plane shown in blue. Note that posterior deformation of the IOP 30 ALCS (yellow dots) is present relative to the IOP 10 ALCS (off-white dots) in (C), and this deformation is larger in (D) because it also includes posterior deformation of BM relative to its reference plane (blue line). No adjustments to z-axis magnification have been made to these images. Magnified views of (D) and (I) are shown in (E) and (J) absent the ILM (green) so as to make the laminar and peripapillary scleral deformation components more apparent. The structures shown are the ILM (green), BM (orange), BMO (red points), and ALCS (yellow points). To differentiate the structures in the overlaid images, the colors at 10 mm Hg have been washed out. The EG eye of this animal demonstrates the largest magnitude of EG versus control eye difference in *ALCSD-BM Acute Compliance*, which was the measure used to rank the animals 1 to 15 ( $-136.6 \mu\text{m}$ , Supplementary Table S2). It also demonstrated the largest magnitude of EG versus control eye difference in *BMOD-BM* ( $-44.8 \mu\text{m}$ , Supplementary Table S2), and *ALCSD-BMO* ( $-137.6 \mu\text{m}$ , Supplementary Table S2). It therefore demonstrates the greatest magnitude of ONH laminar and peripapillary scleral connective tissue hypercompliance among the 15 EG eyes.

range, 18–71  $\mu\text{m}$ ). In the present study, the combination of peripapillary scleral deformation relative to peripheral BM (as measured by *BMOD-BM*) and laminar deformation relative to BMO (as measured by *ALCSD-BMO*) roughly equaled the parameter *ALCSD-BM* ( $-42 \pm 22 \mu\text{m}$ ), as would be expected.

Unlike the control eyes, acute IOP elevation within the EG eyes produced an effect size for *BMOD-BM* ( $-47 \pm 28 \mu\text{m}$ ) that was similar to *ALCSD-BMO* ( $-46 \pm 45 \mu\text{m}$ ). These data suggest that although the compliance of both the peripapillary sclera and lamina increased in early EG, the relative increase in compliance was greater for the lamina cribrosa compared with the peripapillary sclera. These data separately suggest (but do not prove) that the lamina cribrosa alterations we have previously reported in monkey early EG (outlined above) decrease rather than increase lamina cribrosa structural stiffness. Finally, because previous data from finite element modeling<sup>35</sup> and laminar microarchitectural quantification (Lockwood H, et

al. *IOVS* 2015;56:ARVO E-Abstract 6151) acquired in other early EG eyes indicate that laminar connective tissue volume increases rather than decreases in early EG, these data taken together with the data from the current study suggest that it is alterations in the material properties of the lamina rather than its geometry that have made it less stiff.

However, given that the material properties of the ONH and scleral connective tissues are nonlinear,<sup>37</sup> it is difficult to directly compare their stiffness even within the control and EG eyes of individual animals. An alternative explanation could argue that the EG eye lamina cribrosa tissue is most likely stiffer than control eye tissue under their respective in vivo working pressures (i.e., IOPs of 25 to 45 mm Hg for most EG eyes and IOPs of 10 to 18 mm Hg for most control eyes) and that the EG eye increases its laminar connective tissue volume to maintain homeostatic tension within individual connective tissue and basement membrane fibers that are bearing the



increased loads induced by chronic IOP elevation. In this context, the appearance of EG eye hypercompliance, when only looked for between IOPs of 10 and 30 mm Hg, becomes an artifact of experimental design. In this scenario, for EG eyes, a reduction in IOP to 10 mm Hg would be expected to cause a significant unloading of the collagen fibers, whereas this would result in very little change for the control eyes. Because collagen carries little to no load under compressive or low strains, it would be additionally expected that the displacements between 10 and 30 mm Hg in the EG eyes would be larger, as most of this range is below EG homeostatic tension, while displacements in control eyes would be small, as most of this range would represent an increase from homeostatic tension. Studies designed to more completely assess the stress/strain curve (i.e., throughout the homeostatic range of loading [IOP 10, 20, 30, and 45 mm Hg] for both EG and control eyes), as well as to directly test or infer the material properties of the lamina cribrosa, are necessary to confirm which of these explanations are true. Such studies, if performed longitudinally, and with IOP telemetry,<sup>38</sup> would allow the within-eye comparison of EG eye compliance at “baseline” and “chronically elevated” levels of “homeostatic” IOP.

Finally, two explanations for increased posterior deformation of the peripapillary sclera and lamina, which do not require any alteration of the lamina cribrosa to be operative, also should be considered. First, if the peripapillary sclera stiffens early in the neuropathy (as has been described by Girard et al.,<sup>32</sup> in monkey EG) a reduction of scleral canal expansion at all levels of IOP might follow, which in turn would reduce the sclera's stiffening of the lamina. If present, this would reduce the effective stiffness of a lamina that is itself unchanged, leaving it subject to increased posterior deformation at all levels of IOP elevation. Second, although stiffening of the peripapillary sclera is not consistent with peripapillary scleral hypercompliance, if stiffening progressed to the point that the peripapillary sclera became brittle, then posterior deformation of a stiffened sclera could follow the onset of peripapillary scleral mechanical failure. Hypercompliance of a lamina that was itself unchanged would then be detected using the strategies in this report. Performing longitudinal OCT compliance testing and postmortem mechanical testing of the peripapillary sclera<sup>32</sup> at the earliest evidence of EG onset will be required to address these concerns.

It also should be noted that Monkeys 1 and 2 demonstrated EG eye *Acute Compliance* values for *BMOD-BM* (Monkey 1) and *ALCSD-BM* (Monkeys 1 and 2) that were less than the lower end of the range of control eye *Acute Compliance* values for these parameters. These data suggest the presence of decreased (rather than increased) peripapillary scleral compliance in Monkey 1 and decreased laminar and peripapillary scleral compliance in Monkeys 1 and 2. This decreased compliance may represent early stiffening of the peripapillary sclera that, as mentioned, has been measured in previous mechanical testing of early monkey EG sclera.<sup>32</sup> It also may represent early stiffening of the lamina cribrosa in these eyes in glaucoma that has been directly<sup>39</sup> and indirectly<sup>14,40</sup> suggested later in the neuropathy by a series of previous reports. The eye-specific timing of laminar and peripapillary scleral compliance change in monkey EG will be elucidated within the aforementioned ongoing longitudinal studies.

Regarding correlation analysis of IOP effects, *Post-laser Peak IOP* and *Post-laser Mean IOP* were similarly predictive of both *Fixed Deformation* and *Acute Compliance* in the control and EG eyes. This finding is consistent with a literature that supports the importance of both measures without consistently suggesting one is a more powerful predictor of EG eye change. Several previous reports in the monkey EG model have suggested peak IOP was more predictive of EG eye change. In

the first, we reported that *Maximum IOP* was the most important predictor of the short-term rate of CSLT-detected ONH surface change and OCT RNFLT change in 59 unilateral EG animals.<sup>19</sup> More recently, we reported that although Cumulative IOP Insult and Maximum IOP both correlated to the magnitude of 3D histomorphometric ONH connective tissue deformation in 21 EG eyes extending from onset to end-stage CSLT-detected surface change,<sup>18</sup> the correlation was stronger for Maximum IOP than for Cumulative IOP Insult ( $R = 0.64$ ,  $P = 0.0019$  and  $R = 0.48$ ,  $P = 0.0286$ , respectively). However, several other studies have reported similar prediction of CSLT and OCT outcomes using mean versus peak post-laser IOP.<sup>41–43</sup> These issues will be more powerfully studied when telemetric IOP measurements in the monkey EG model<sup>38</sup> allow for more accurate characterization of what duration of exposure at what levels of IOP are most important.

The presence of a significant correlation between EG and control eyes for *Acute Compliance* in the connective tissue parameter *BMOD-BM* is of interest because it suggests that if EG eye *Acute Compliance* at baseline (pre-laser) was similar to control eye *Acute Compliance* at both baseline and EG onset, then EG eye baseline compliance would predict the magnitude of EG eye compliance at EG onset. The likelihood that control and EG eye *Acute Compliance* were similar at baseline in this study is supported by our previous study in which *Acute Compliance* was tested in both eyes of five bilateral normal monkeys.<sup>12</sup> In that study, only one of the five animals had substantial between-eye differences in *Acute Compliance* (defined by acute IOP elevation from 10 to 45 mm Hg for 60 minutes). The ability of baseline ONH compliance to predict the susceptibility of the ONH neural and connective tissues to glaucomatous damage is currently under study in prospective longitudinal studies.

Our study includes the following limitations. First, IOP 10/30 compliance testing was not performed at baseline, so eye-specific longitudinal detection of the onset and progression of hypercompliance (relative to baseline) could not be performed. Instead, EG versus control eye differences in *Acute Compliance* at EG onset are reported. First, as mentioned above, we required that EG eye *Acute Compliance* exceed the range of control eye *Acute Compliance* to be defined as significant. However, as also mentioned above, we believe detection of hypercompliance will be more frequent among individual eyes when longitudinal data are available for analysis because the range of test-retest variability within individual eyes is likely to be narrower than the cross-sectional range of control eye values used in this study.

Second, because we do not have compliance testing on the control eyes at baseline (i.e., when they were “normal”), it is possible that they became less compliant over the course of post-laser follow-up, creating the appearance of hypercompliance in the EG eyes. It is conceivable that either the frequent anterior chamber needle insertions required for manometric control of IOP during imaging, or the onset of EG in the contralateral EG eye might elicit this effect within the control eyes. However, we think this is unlikely because the deformations we report in the 15 control eyes of this study (following acute IOP elevations from 10 to 30 mm Hg for 30 minutes) are remarkably similar in magnitude to the deformations we previously reported in the five bilateral normal animals mentioned above (in which IOP was elevated from 10 to 45 mm Hg for 60 minutes in both eyes).<sup>12</sup> In that study, animal age ranged from 1 to 10 years compared with 3.4 to 22.3 in the present report. The range of *Acute Compliance* for the 10 eyes of that report (for *BMOD-BM* [then called Neural Canal Opening (NCO) Depth]),  $-15$  to  $-68$   $\mu\text{m}$ ; for *ALCSD-BMO* (called Anterior Lamina Cribrosa Surface - NCO),  $+7$  to  $-40$   $\mu\text{m}$ ; and for *ALCSD-BM* (called Anterior Lamina Cribrosa



Surface - BM),  $-24$  to  $-111$   $\mu\text{m}$  are very close to the range of *Acute Compliance* for the same parameters in the 15 control eyes of the present study (for *BMOD-BM*,  $-8.2$  to  $-59$   $\mu\text{m}$ ; for *ALCSD-BMO*,  $+15.1$  to  $-32.4$   $\mu\text{m}$ ; and for *ALCSD-BM*,  $-17$  to  $-90.1$   $\mu\text{m}$ ) even though the present study included older animals and IOP elevations that were lower and of shorter duration.

Third, we<sup>4,8</sup> and others<sup>6,7</sup> have described the laminar-scleral dynamic within the ONH and the ability of a more compliant peripapillary sclera to allow expansion of the scleral canal in response to acute or chronic IOP elevation. Our study was not designed to assess this phenomenon, but improved imaging of the scleral canal and peripapillary sclera will be incorporated into future reports.

Fourth, although we refer to our study endpoint as EG onset, because we required two confirmations of EG eye onset it is most likely that it is well beyond the earliest onset of glaucomatous change. We are working to implement rapid automated segmentation of our full complement of OCT-detected ONH landmarks<sup>21</sup> so as to be able to detect change from baseline at the time of image acquisition. Although it is likely that most of the animals were studied at levels of axon loss between 0% and 30%,<sup>21</sup> future studies to detect early connective tissue change that precedes axon loss may be possible.

In summary, this study is the first to report direct, in vivo, evidence of laminar and peripapillary scleral hypercompliance in most monkey eyes at the onset of CSLT-detected, ONH surface topography change in unilateral monkey EG. This finding is important because it strongly suggests that the cellular mechanisms that underlie increased laminar connective tissue volume,<sup>34</sup> laminar thickening,<sup>18,33</sup> laminar beam enlargement (Lockwood H, et al. *IOVS* 2015;56:ARVO E-Abstract 6151), scleral canal expansion,<sup>33</sup> laminar insertion migration,<sup>18,27</sup> and posterior laminar and peripapillary scleral bowing<sup>18,33</sup> alter the structural stiffness of both the lamina and peripapillary sclera at this same stage of the neuropathy. The questions of whether these processes are protective or damaging to the ONH connective tissues, whether they are primary or occur in response to neural or connective tissue damage, and whether they are protective or damaging to the RGC axons are under study.

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