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## Meta-analysis of rare variant association tests in multi-ethnic populations

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### Abstract

Several methods have been proposed to increase power in rare variant association testing by aggregating information from individual rare variants (MAF<0.005). However, how to best combine rare variants across multiple ethnicities and the relative performance of designs using different ethnic sampling fractions remains unknown. In this study, we compare the performance of several statistical approaches for assessing rare-variant associations across multiple ethnicities. We also explore how different ethnic sampling fractions perform, including single-ethnicity studies and studies that sample up to four ethnicities. We conducted simulations based on targeted sequencing data from 4,611 women in four ethnicities (African, European, Japanese American, and Latina). As with single-ethnicity studies, burden tests had greater power when all causal rare variants were deleterious, and variance component-based tests had greater power when some causal rare variants were deleterious and some were protective. Multi-ethnic studies had greater power than single-ethnicity studies at many loci, with inclusion of African Americans providing the largest impact. On average, studies including African Americans had as much as 20% greater power than equivalently-sized studies without African Americans. This suggests that association studies between rare variants and complex disease should consider including subjects from multiple ethnicities, with preference given to genetically diverse groups.

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### Keywords

Fine-mapping; Sequencing study design; Statistical genetics; Study subject selection; Multiethnic meta-analysis

### Introduction

Despite the successes of genome-wide association studies (GWAS), which have identified hundreds of common variants associated with complex diseases and traits, the genetic basis of these traits has not been fully explained.<sup>1–4</sup> It is likely that rare variants contribute to the remaining heritability, <sup>5,6</sup> but to which extent remains an important open empirical question. Individual rare variants (defined here as minor allele frequency (MAF) < 0.005) are difficult to study, as they require prohibitively large samples sizes to have adequate power to detect realistic effect sizes. As an alternative, many methods have been proposed for aggregating information from individual rare variants.<sup>7–14</sup> However, these have been proposed in the context of studies conducted in a single ethnicity. It remains an open question how to best combine rare variants in association testing across ethnicities in a multi-ethnic study, and the relative performance of designs using optimal sampling fraction across ethnicities remains unknown.

Previous studies evaluating the performance of aggregate rare-variant tests have often used simulated genotype data,<sup>9–12</sup> which may not adequately capture all of the properties of empirical data. Those studies that have used empirical sequencing data have been restricted to small sample sizes (under 2,000).<sup>15–17</sup> Importantly, most previous studies do not consider multi-ethnic populations, and when they do, they focus on samples drawn from at most two ethnic groups <sup>18,19</sup> or from within one continental population.<sup>20–22</sup>

We address some of these gaps using simulations based on empirical targeted sequencing data from 4,611 women in four ethnicities (African American, European American, Japanese, Latino). It is well established that multi-ethnic designs can aid in identifying the causal variant in the context of fine-mapping GWAS-identified loci;<sup>23</sup> whether multi-ethnic designs increase the power of aggregate rare-variant tests remains an open question. We compare the performance of several statistical approaches for combining evidence for rare-variant association across multi-ethnic samples. We also investigate optimal ethnic sampling fractions when samples of multiple ethnicities are available.

Our findings suggest that a multi-ethnic study that includes African American subjects may be advantageous since it leverages the greater genetic diversity among Africans.

### **Materials and Methods**

### **Breast Cancer Targeted Sequencing Data**

We generated next-generation sequencing data targeting 12 regions (Supplementary Table I) spanning 5,500kb on 2,316 breast cancer cases and 2,295 controls from three cohorts (the Nurses' Health Study <sup>24</sup>, the Nurses' Health Study II <sup>25</sup> and the Multiethnic Cohort <sup>26</sup>). Our study population is ethnically diverse with 937 women of African-American ancestry, 907

women of Latino ancestry and 1,256 women of Japanese ancestry from the Multiethnic Cohort (MEC) and 1,511 women of European ancestry from Nurses' Health Study (NHS) and Nurses' Health Study II (NHSII) (Table I). Boundaries of targeted regions were defined by recombination hotspots flanking single nucleotide polymorphisms with published genome-wide-significant associations to breast cancer risk. These regions contained 74 genes, ranging in length from 0.4 kb to 910 kb, for a total of 182 kb of exonic sequence. Target capture was performed using custom Agilent baits, and high-depth sequencing was performed at the Broad Institute using the Illumina HiSeq platform. Reads were aligned using BWA and genotypes called using GATK in batches of approximately 100 samples<sup>27–30</sup>. Batches were balanced with respect to ethnicity and case-control status. Over 97% of bases in coding regions had >20× coverage, with a mean coverage of  $174\times$ . All of the subjects were previously genotyped using Illumina HumanHap arrays. Concordance in genotype calls at variable sites was over 99.7% across four pairs of duplicate samples; concordance between sequencing genotype calls and GWAS genotypes was also over 99.7%.

We used SnpEff to annotate coding variants, and restricted analysis to non-synonymous SNPs and stop-gain, stop-lost, start-lost, and splice site donor or acceptor variants<sup>31</sup>.

### Simulated case-control data sets

For the 47 genes that had at least one non-synonymous variable site, we simulated casecontrol studies under the null hypothesis that the gene was not associated with disease risk. In addition, we simulated data under four alternative scenarios that were characterized by two conditions: the absolute value of the allele-specific log relative risk was either constant or inversely proportional to the minor allele frequency, and the minor alleles at causal loci were either all deleterious or a mixture of deleterious and protective alleles.

Case and control genotypes from each ethnic group were sampled by taking random draws (with replacement) from the observed genotypes. Assuming the disease is rare, control genotypes were sampled with equal probability, while case genotypes were selected with probabilities proportional to the genotype relative risks. Specifically: for a given ethnicity, the genotype for the *t*<sup>th</sup> control  $g_{0i} = (g_{i1}, ..., g_{iJ_k})$  in gene *k* was set equal to  $g_{\lambda} = (g_{\lambda 1}, ..., g_{\lambda J_k})$  where  $\lambda$  is drawn from the set of subject indices for the observed data {1=1, ..., *L*} with probability  $Pr(\lambda=1)=\pi_{01}=L^{-1}$ . Here  $g_{ij}$  is the observed genotype at variable site *j* for the *t*<sup>th</sup> individual in a particular ethnicity; *L* is the total number of subjects sequenced in that ethnicity (e.g. *L*=937 for African Americans); and *J\_k* is the number of rare varying sites (MAF<0.005 in the pooled sample of 4,611 women) in gene *k*. Genotypes for cases were sampled based on a specified log relative risk, setting

$$\pi_{1l} = \frac{\exp(\sum_j [\beta_j g_{lj}])}{\sum_{l^*=1,\dots,L} \{\exp(\sum_j [\beta_j g_{l^*j}])\}}$$

Here  $\beta_j$  is the log relative risk for variable site *j*, defined by the simulated penetrance model. Setting  $I_{Pro,j}$  equal to 1 if minor allele *j* has a causal protective effect and 0 for a deleterious effect; setting  $I_{MD}$  equal to 1 if there is MAF dependence and 0 otherwise; and setting  $I_{Cau,j}$ 

equal to 1 if variant *j* is causal and 0 otherwise, the log relative risk for the minor allele at site *j* is:

$$\beta_j = I_{\text{Cau},j} \times (-1)^{I_{\text{Pro},j}} \times \left[ \beta * \log \left( \sqrt{\frac{\text{maf}_{\text{max}}}{\text{maf}_j}} \right)^{I_{\text{MD}}} \right].$$

Here  $\beta$  is defined so that the mean relative risk over causal variants is equal to a target value  $\beta \in \{1.5, 2.5, 3.0, 4.0\}$ :

$$\beta = \left(\frac{\sum_{j} \log\left(\sqrt{\frac{\mathrm{maf}_{\mathrm{max}}}{\mathrm{maf}_{j}}}\right)^{I_{\mathrm{MD}}}}{\sum_{j} I_{\mathrm{Cau},j}}\right)^{-1} \overline{\beta}.$$

For all alternatives, we assumed that a fraction of rare variants  $(p_{Cau})$  were causal and generated the indicator  $I_{Cau,j}$  for each SNP from a Bernoulli $(p_{Cau})$  distribution. For alternatives where causal variants were a mixture of protective and deleterious variants, we assumed that a fraction of causal variants  $(p_{Pro|Cau})$  were protective  $(I_{Pro,j} i.i.d.$ Bernoulli $(p_{Pro|Cau})$ ).  $I_{Cau,j}$  was shared across ethnicity but  $I_{Pro,j}$  was drawn independently for each ethnicity, introducing heterogeneity across ethnicities for some scenarios.

### Association tests

For each gene k, we tested for association between rare variants and case-control status within each ethnicity separately using two aggregate association approaches: a burden test<sup>12</sup> and the Sequence Kernel Association Test (SKAT)<sup>32</sup>. Both of these approaches test the null hypothesis:

$$H_0:\beta_1=\beta_2=\cdots=\beta_{J_k}=0.$$

The burden test collapses all rare alleles within a gene by creating an indicator variable  $X_{eik}$  which is equal to 1 if subject *i* in ethnicity *e* carries any rare variant in gene *k* and 0 otherwise. Logistic regression is then used to test the association between case-control status and  $X_{eik}$  by fitting the model

$$logit = [E(y_{ei})] = \hat{\alpha} + \hat{\theta}_{ek} X_{eik}$$

and comparing  $\left(\frac{\widehat{\hat{\theta}_{ek}}}{SE(\widehat{\hat{\theta}_{ek}})}\right)^2$  to a central chi-squared 1 df distribution.

SKAT is equivalent to the score test for  $\tau^2 = 0$  from a random effects model where the  $\beta_j$ s are normally distributed with mean 0 and variance  $w_{ej}^2 \tau^{2/32}$ . We used the default setting for SKAT and set  $w_{ej}$ =Beta(MAF<sub>ej</sub>,1,25), where MAF<sub>ej</sub> is the minor allele frequency in subjects of ethnicity *e* sample. The SKAT test statistic for association in ethnicity *e* is

$$Q_{e} \! = \! \sum\nolimits_{j=1,...,J_{k}} w_{ej}^{2} S_{ej}^{2}$$

where  $S_{ej} = \sum_i g_{eij}(y_{ei} - \mu_{ei})$  and  $\mu_{ei}$  is the expected value of case-control status Y for subject *i* in ethnicity *e* under the null. SKAT can adjust for covariates (such as genome-wide genetic principal components) by incorporating them in the model for  $\mu_{ei}$ . For simplicity we simulated and fit models without any covariate effects; in this case  $\mu_{ei}=\mu_e$  and is simply the proportion of cases in the sample from ethnicity *e*. The statistic  $Q_e$  is distributed as a mixture of chi-squared random variables, where the mixing proportions depend on the weights and genetic covariance in ethnicity *e*.

### Burden-test meta-analysis

Standard fixed-effect meta-analysis techniques can be applied to the ethnic-specific estimates of  $\hat{\theta_{ek}}$  to test the null hypothesis that  $\theta_{1k} = ... = \theta_{Ek} = 0$  (E is the total number of ethnic groups considered). We consider three meta-analysis approaches. The first approach is based on the inverse-variance weighted estimate of the mean effect of carrying any rare variant across ethnicities. If the causal effects or allele frequencies at the causal variants differ greatly across ethnicity—in particular, if some causal variants are monomorphic in one or more populations—then the true  $\theta_{ek}$ s may differ across ethnicity, and the average effect may be small—causing the inverse variance weighted test to lose power. <sup>33,34</sup>

The second and third approaches account for possible heterogeneity in  $\theta_{ek}$ , at the cost of increased degrees of freedom. The second approach tests for heterogeneity in the ethnic-specific effects using Cochran's Q and has E-1 degrees of freedom. The third approach is a joint test of mean effect and heterogeneity that sums the fixed-effect test of the overall mean and Cochran's Q. Because these tests are independent, the joint test has E degrees of freedom.  $^{33,34}$ 

### SKAT and SKAT-O meta-analysis

We assume that the allelic effects  $\beta_j$  are constant across ethnicity, and calculate a homogeneous and heterogeneous MetaSKAT statistic (Hom-Meta-SKAT and Het-Meta-SKAT) proposed by Lee et al.<sup>35</sup>:

$$Q_{\text{hom-meta-SKAT}} = \sum_{j=1}^{m} \left( \sum_{e=1}^{E} w_{ej} S_{ej} \right)^2, \text{ and}$$

$$Q_{\text{het-meta-SKAT}} = \sum_{j=1}^{m} \sum_{e=1}^{E} w_{ej}^{2} S_{ej}^{2}.$$

Under the null, these statistics are also distributed as a mixture of chi-squared variables, where the mixing proportions depend on the ethnic-specific weights and genetic covariance matrices.

To apply SKAT-O, described by Lee et al<sup>35</sup> as an optimal linear combination of burden and SKAT statistics, we selected "optimal" instead of the default method in the MetaSKAT\_wZ function of the MetaSKAT package in R software.

### Comparing studies with different ethnic sample fractions

We selected 14 different combinations of samples from at least two of four ethnicities (African American, European American, Japanese, and Latino) to construct studies with a total of 3,520 total subjects (tri-ethnic samples have 3,522 subjects). For all of these combinations the case-control ratio within each ethnic group was 1:1. We chose four combinations that included subjects from all four ethnicities but had differing proportions of ancestries (4:1:1:2, 2:1:1:1, 3:2:1:2, or 1:1:1:1 of African American, European American, Japanese, and Latino subjects, respectively). We also simulated populations by selecting equal numbers of individuals from three out of the four ethnicities at a time (four combinations) and finally from only two of the four ethnicities (six combinations).

### Results

We hypothesized that there may be differences in rare variant association test performance due to both choice of rare variant test statistic and ethnic sampling fractions since rare variant tests are better powered in study populations with a higher number of varying sites. Distributions of rare variants by ethnicity and gene are given in Tables II-III and Figure I. Consistent with previous reports<sup>4,36,37</sup>, African Americans have the highest number of rare varying sites, followed by Latinos. Consequently, the carrier proportion (the fraction of subjects carrying at least one rare, non-synonymous or truncating allele in a gene) is highest in these populations (Table II). For most genes, the carrier proportion (CP) was less than 2%, except in African Americans (median CP = 2.6%). Table III lists summary values for ten genes with the five highest and five lowest overall CP.

Defining rare variants according to ethnic-specific instead of study population MAF increases the number of rare variants and CP in each ethnicity. This alternate definition did not change the relative ordering of gene CP by ethnicity. On average, African American subjects had the highest gene-specific rare variant burden using either definition. A full listing of study-wide and ethnic-specific CP is included in Supplementary Table II.

We first evaluated rare variant association meta-analysis techniques under the null. In individual ethnic groups, we examined Type I error rates of the burden test and SKAT (Figure II, green boxes). In addition to the false positive rate, we explored a measure of test statistic inflation,  $\lambda$  for individual ethnic groups (Figure III, left with blue shading) and all

five meta-analysis techniques. For the 33/47 of genes with an overall CP higher than 0.01, both the burden test and SKAT adequately controlled the Type I error rate. The burden test had deflated Type I error when the overall CP was less than 0.01. For some of the genes with overall CP less than 0.01, the deflation in the burden test for some ethnic groups was considerable (e.g.  $\lambda$  was less than 0.5 in European and Japanese ancestry subjects for *RMND1*), owing to the low CP (the *RMND1* CPs for European and Japanese ancestry subjects were both 0.002). The SKAT test statistic was generally not dramatically deflated for genes with CPs less than 0.01, although 5 out of 14 genes with low CP (<0.01) had  $\lambda$  < 0.85 in at least one ethnic group.

For most multi-ethnic designs, the meta-analyses based on the burden test--the inverse variance weighted meta-analysis, Cochran's Q, and the joint test--all showed deflation (median  $\lambda < 0.95$ ; Figure II). SKAT meta-analysis test statistics (het and hom) were slightly inflated (median  $\lambda > 1.04$ ; Figure III). As with the single-ethnicity analyses, the deflation for the burden test statistics was greater for genes with overall CP less than 0.01. Cochran's Q and joint tests had notably miniscule inflation factors ( $\lambda < 0.7$ ) for designs that included all four ethnicities. The most extreme inflation factors (Cochran's Q  $\lambda < 0.5$ ) were for genes where the CP in European, Latino or Japanese subjects was 0.005 or less.

We next considered how power to detect causal association was influenced by penetrance model, design, and overall CP (Figures IV and V). Consistent with previous reports <sup>32</sup>, the burden test has higher power than SKAT when all of the causal variants are deleterious, but SKAT has higher power than the burden test when an appreciable proportion of the causal variants are protective. Reducing the total proportion of causal variants gave qualitatively similar results, however the advantage of the fixed-effect burden test when all causal variants were deleterious declined as we lowered the proportion of deleterious causal sites to 10% (Supplementary Figure II, Supplementary Table III, Supplementary Table IV). When only 10% of the rare variants were causal, MetaSKAT had better power than the fixed-effect burden test. Hom-Meta-SKAT and Het-Meta-SKAT have roughly the same power, although when all causal rare variants are deleterious, Hom-Meta-SKAT has slightly greater power. This is consistent with previous reports when the majority of genetic effects are shared across studies.<sup>35</sup> The two techniques for meta-analyzing burden test results while accounting for possible heterogeneity in effects across ethnicity-the joint test and Cochran's Q-both had relatively low power. In the scenarios plotted in Figure V, for example, Cochran's Q had less than 20% power, while all other tests had greater than 25% power.

The proportion of the total sample in different ethnicities affected the power of multi-ethnic meta-analyses (Figures IV and V). Fixing the total sample size, the power for the different sampling fractions we considered differed by as much as 0.25 (for models with fixed relative risks) or 0.37 (for models where the relative risk increased with decreasing MAF (Supplementary Table V). Study populations with African Americans maintained better power when the majority (70%) of causal variants were protective (Supplementary Table VI),

Notably, studies restricted to African Americans had greater power than studies of other single ethnic groups, as well as many studies that sampled multiple ethnicities. This raises

the question of whether ethnicity influences power after controlling for differences in CP. In single ethnicities, power for gene-based tests grouped according to ethnic-specific CP (Supplementary Table II) were similar across the four ethnicities. Given the same CP, gene-based tests were equivalently powered regardless of ethnicity. The overall CP had a much stronger effect on power than ethnicity: the average power for genes with overall CP less than 0.01 and those with CP greater than 0.03 differed by more than 0.60 in many scenarios.

### Discussion

Using empirical targeted sequencing data on a large sample of 4,611 women from four ethnic groups (African American, European American, Japanese and Latino), we evaluated the performance of several different approaches to meta-analyzing aggregate tests of association between rare variants and disease, as well as the power of designs with varying sampling fractions across the four ethnic groups.

Although Type I error rates were low for the meta-analysis techniques we explored, Type I error rates for genes with sufficient variation (CP 0.01) in all ethnicities were consistent with nominal alpha levels. Our findings are analogous to the work of Ma et al. who also observed deflated type I error rates for meta-analysis of individual sites with allele frequencies below 1% in a population of 908 subjects of Northern European ancestry.<sup>38</sup> Choice of study subjects also influenced Type I error rates and, unlike inverse-variance meta-analysis of burden tests and MetaSKAT, which held relatively steady, Type I error for the joint test and Cochran's Q were greatly deflated for all genes as the number of ethnicities increased and subgroup sizes dropped.

We hypothesized that causal variants might be detected using tests of heterogeneity due to population private variants, but this was not the case. Both Cochran's Q and the joint test had low power to detect bidirectional and unidirectional effects compared to MetaSKAT and inverse-variance meta-analysis of the burden test. For high CP, MetaSKAT and the burden test were both well-powered to detect association when all causal variants were deleterious. The burden test meta-analysis was better powered to detect uniformly deleterious variants than MetaSKAT, but in the presence of protective effects, both MetaSKAT approaches maintained higher power. Our results suggest that Hom-Meta-SKAT is preferable to Het-Meta-SKAT. These tests were nearly indistinguishable in the presence of protective effects, but Hom-Meta-SKAT was better-powered to detect deleterious variants. Of the meta-analyses techniques explored, Hom-Meta-SKAT performed best under the alternate hypotheses while maintaining appropriate Type I error.

Study populations that included higher proportions of African Americans had more valid test statistic performance under the null and had more power to detect association using either the burden test or MetaSKAT regardless of penetrance model. This may be due to the higher number of rare varying sites in African American study populations <sup>4</sup> (Supplementary Figure I). This suggests that studies testing for association between rare variants and complex disease should consider including subjects from multiple ethnicities, with preference given to genetically diverse groups. We emphasize that we have only considered a small subset of possible sampling fractions, as we were limited by the grid of sampling

fractions we chose (due to computational constraints) and, more importantly, by the four populations included in our sample. Further work on the study design incorporating multiple ethnicities in the candidate gene, candidate pathway, exome- and genome-wide contexts is needed.

Because we focused on test validity and power, our simulations did not model intra- or interethnicity population stratification bias. This is an important concern for analysis of empirical data <sup>39–42</sup>. Our stratified analysis strategy accounts for possible inter-ethnicity differences in disease rates. Methods for accounting for intra-ethnicity population stratification are also important. For example, O'Connor et al. show that including ten principal components of genetic variation to account for fine-scale population structure may be sufficient to reduce inflation in aggregate rare variant tests due to differences in disease rates across European subpopulations<sup>41</sup>.

We examined a diverse set of rare-variant association tests in multi-ethnic samples, but an exhaustive survey is beyond the scope of this paper. Many other methods have been proposed for meta-analysis of GWAS data including MANTRA of Morris,<sup>43</sup> a binary effects model by Han and Eskin<sup>44</sup> for burden scores and RE-VC and RE-VC-O of Tang and Lin.<sup>45</sup> Varying parameters of the approach described by Lee and colleagues<sup>35</sup> and examined here could also provide an endless array of approaches to address this question. SKAT-O<sup>35</sup>, a weighted combination of SKAT and the burden test statistics, was powered just as well or better than the burden test or SKAT. (Supplementary Table VI)

Next generation sequencing association studies—including targeted sequencing, exome sequencing, and soon whole-genome sequencing studies—are still in their earliest stages and this study is one of the largest of its kind. The current study included more ethnicities than most next-generation sequencing studies to date<sup>16,20,27,32,36,43,46–48</sup>. A larger sample size allows detection of more rare variation and greater power in association testing. However, our study population was not infinite and simulations drawing from a finite population to generate larger population sizes could lead to an underestimate of the amount and perhaps, influence of rare variation. In addition, the current study still omits some continental populations. Future studies may uncover new ways to optimize rare variant studies with even more diverse populations.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Median Count for 907 Subjects (500 Iterations)

### Figure 1.

Observed total non-singleton sites in subjects of each ethnicity for the sample size indicated in legend. Bars display median counts of singletons, doubletons and tripletons for 500 bootstrap samples of 907 subjects from each ethnicity.

### b. Cochran's Q

Study PopIn Subject Ratio (A:E:J:L)



a. Inverse-Variance

### Figure 2.

Study PopIn Subject Ratio (A:E:J:L)

Type I error (at nominal 5% alpha) for individual ethnic results and several sampling fractions Panels a-d each contain vertical box-plots of gene Type I error (false positive) rates for indicated metaanalysis statistic. Green horizontal line is placed at the nominal type I error rate of alpha=0.05. The X-axis lists study populations as a ratio of African American, European American, Japanese American, and Latina subjects. Box plots are arranged from left to right by increasing median Type I error rate for fourteen multiethnic and four mono-ethnic study populations. Green box plots are burden (panels a,b, and d) or SKAT tests (panel c) in a mono-ethnic population.



### Figure 3.

The blue-shaded portion to the left shows median  $\lambda$  for burden and SKAT tests in four monoethnic populations. Median  $\lambda$  is shown to the right by decreasing joint test lambda GC for five color-coded metaanalysis statistics. X-axis lists study populations as a ratio of African American, European, Japanese, and Latino subjects.



### Figure 4.

In panels a and b, all causal variants are deleterious. In lower panels, a portion of causal variants are protective. Panels a and c display burden tests and meta-analysis of burden tests. Panels b and d display MetaSKAT and SKAT tests. Within each panel, left field shows statistical power for all genes by CP and by overall CP for fourteen multi-ethnic populations. Study populations are ordered by increasing mean power for all genes from left to right. In the far right field of each panel, four ethnicities are arranged from left to right by mean power for all genes. Mean power is shown for genes in each category of ethnic-specific CP for the indicated ethnicity. Legend: Overall CP was estimated in a population of 4,611 women as the proportion of women carrying at least one rare variant. Ethnicity specific CP was estimated in each ethnicity as the proportion of women of that ethnicity who carry at least one rare variant.



### Figure 5.

Both penetrance models use a RR of 2.5. In the left panel, all causal variants are deleterious and in the right panel, a portion of causal variants are protective. Multiethnic study populations are arranged in the same order for both left and right panels and power for inverse-variance meta-analysis of burden tests increases for all deleterious causal variants increases from left to right. Again, X-axis depicts multiethnic study populations as the ratio of African American, European American, Japanese American and Latina subjects. Not shown: power for Cochran's Q was under 0.2 for all scenarios depicted.

### Table I Study Subject Count by Cohort and Ethnicity

Cohort	Ethnicity	Total (% Subjects)
	African American	937 (20%)
MEC	Japanese American	1,256 (27%)
	Latino American	907 (20%)
NHS	European American	1,511 (33%)
	All	4,611 (100%)

Sequencing was performed for subjects from three cohorts — Multiethnic Cohort (MEC) and Nurses' Health Studies (NHS) I and II. Ethnicity refers to self-reported ancestry validated by GWAS data.

# Table II Gene Counts by Carrier Proportion and Self-Reported Ethnicity

arrier Proportion (CP)	African American	European American	Japanese American	Latino	ЧΙ
$\mathbf{P} = 0$	24 (32%)	27 (36%)	27 (36%)	25 (34%)	23 (31%)
< CP 0.01	12 (16%)	20 (27%)	18 (24%)	21 (28%)	18 (24%)
0.01 < CP  0.02	6 (8%)	14 (19%)	12 (16%)	12 (16%)	10 (14%)
0.02 < CP  0.03	10 (14%)	4 (5%)	5 (7%)	7 (9%)	10 (14%)
P > 0.03	22 (30%)	9 (12%)	12 (16%)	9 (12%)	13 (18%)
All CP	74 (100%)	74 (100%)	74 (100%)	74 (100%)	74 (100%)

who carry 1 non-synonymous (NS) rare variant divided by the number of individuals of that ancestry. Table displays count and (percent) of genes according to carrier proportion for each self-reported ancestry. 'All' refers to study participants from all ancestries combined. There were no NS rare variants observed in any ancestry for 23 of the 74 genes. Author Manuscript

# Table III

# Variant, Median Singleton, and Carrier Proportion for Genes with Highest and Lowest Carrier Proportions

Gene         Chr         # Total         3           TACC2         10         255         3           MYO9B         19         158         4           ANKLE1         19         82         5           FAM129C         19         67         67	# Rare						
TACC2 10 255 MYO9B 19 158 ANKLE1 19 82 FAM129C 19 67		Singleton Proportion	0	1	7	$\mathfrak{s}^+_+$	<b>Carrier Proportion</b>
<i>MYO9B</i> 19 158 <i>ANKLE1</i> 19 82 <i>FAM129C</i> 19 67	230	0.53	3,823	679	76	33	17.1%
ANKLE1 19 82 FAM129C 19 67	151	0.46	4,235	351	25	0	8.2%
FAM129C 19 67	71	0.45	4,260	321	21	6	7.6%
	61	0.48	4,299	286	25	1	6.8%
ZFYVE26 14 131	121	0.66	4,348	253	6	-	5.7%
HAUS8 19 40	36	0.67	4,545	65	1	0	1.4%
PGLS 19 20	19	0.53	4,548	63	0	0	1.4%
<i>ABHD8</i> 19 20	19	0.47	4,551	59	1	0	1.3%
BTBD16 10 23	21	0.62	4,553	58	0	0	1.3%
DDA1 19 7	7	0.43	4,565	46	0	0	1.0%
Median 32	29	0.58	4,527	75	-	0	1.8%

**Chr** = Chromosome; **Total** = Varying non-synonymous(NS) sites observed in study population; **Rare** = Varying sites with minor allele frequency (MAF) < 0.005, a subset of *Total*; **Singleton Proportion** - Median number of singleton sites as described in Figure 1 as a proportion of *Rare*; **0**; **1**; **2**; **and 3**+ - Number of subjects out of 4,611 with 0, 1, 2, and 3 or more NS rare minor alleles. **Carrier** Proportion - Proportion of study subjects with at least one rare, NS minor allele.