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A BIOPHYSICAL MODEL OF THE CORTEX-BASAL GANGLIA-THALAMUS NETWORK IN THE 6-OHDA LESIONED RAT MODEL OF PARKINSON'S DISEASE

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Abstract

Electrical stimulation of sub-cortical brain regions (the basal ganglia), known as deep brain stimulation (DBS), is an effective treatment for Parkinson's disease (PD). Chronic high frequency (HF) DBS in the subthalamic nucleus (STN) or globus pallidus interna (GPi) reduces motor symptoms including bradykinesia and tremor in patients with PD, but the therapeutic mechanisms of DBS are not fully understood. We developed a biophysical network model comprising of the closed loop cortical-basal ganglia-thalamus circuit representing the healthy and parkinsonian rat brain. The network properties of the model were validated by comparing responses evoked in basal ganglia (BG) nuclei by cortical (CTX) stimulation to published experimental results. A key emergent property of the model was generation of low-frequency network oscillations. Consistent with their putative pathological role, low-frequency oscillations in model BG neurons were exaggerated in the parkinsonian state compared to the healthy condition. We used the model to quantify the effectiveness of STN DBS at different frequencies in suppressing low-frequency oscillatory activity in GPi. Frequencies less than 40 Hz were ineffective, low-frequency oscillatory power decreased gradually for frequencies between 50 Hz and 130 Hz, and saturated at frequencies higher than 150 Hz. HF STN DBS suppressed pathological oscillations in GPe/GPi both by exciting and inhibiting the firing in GPe/GPi neurons, and the number of GPe/GPi neurons influenced was greater for HF stimulation than low-frequency stimulation. Similar to the frequency dependent suppression of pathological oscillations, STN DBS also normalized the abnormal GPi spiking activity evoked by CTX stimulation in a frequency dependent fashion with HF being the most effective. Therefore, therapeutic HF STN DBS effectively suppresses pathological activity by influencing the activity of a greater proportion of neurons in the output nucleus of the BG.

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Keywords

Deep brain stimulation; Parkinson's disease; 6-OHDA lesioned rat model; Subthalamic nucleus; Computational model; Pathological oscillatory activity

1 INTRODUCTION

Parkinson's disease (PD) is a neurological disorder caused by degeneration of dopaminergic neurons in the substantia nigra pars compacta (SNc) (Agid et al., 1987; Hornykiewicz, 1998). The primary motor symptoms of PD are rest tremor, akinesia/bradykinesia, rigidity, postural instability and gait disorders (Jankovic et al., 2000; Quinn et al., 1989; Rajput et al., 2008). Levodopa, a dopamine precursor, is used as a first-line therapy for treating PD. However, patients treated with levodopa can develop debilitating dyskinesias (Marsden et al., 1982), after which surgical interventions are often recommended. Chronic high frequency stimulation in the subthalamic nucleus (STN) is effective in suppressing PD motor symptoms (Moro et al., 2010; Weaver et al., 2009). However, despite the clinical effectiveness of STN deep brain stimulation (DBS), its mechanisms are not fully understood.

6-OHDA-lesioned rats and MPTP-treated non-human primates are widely used animal models to study the pathophysiology of PD (Blesa & Przedborski, 2014). Although animal models are rendered parkinsonian by a common mechanism (loss of dopaminergic neurons), there is considerable variation in the neuronal activity underlying the pathophysiology, including differences in firing rates, firing patterns, responses to cortical stimulation, and neuronal synchronization across different basal ganglia (BG) structures (Kita & Kita, 2011; Nambu et al., 2000). Computational models of the BG play an important role in helping to understand both PD pathophysiology and the therapeutic mechanism of DBS. Neural activity in several existing computational models of the BG closely matches neural acitivity in MPTP-treated primates (Hahn & McIntyre, 2010; Humphries & Gurney, 2012; Kang & Lowery, 2013; Rubin & Terman, 2004; So, Kent, et al., 2012), but no current computational model adequately represents the 6-OHDA lesioned rat model of PD.

The objective of the present study was to develop a computational model representing the parkinsonian state in 6-OHDA lesioned rats, and, following validation, use the model to investigate the therapeutic mechanisms of STN DBS in alleviating parkinsonian symptoms. We implemented a biophysical model with Hodgkin-Huxley type neurons to represent the closed loop cortex-basal ganglia-thalamus-cortex circuit, and used the model to study the effectiveness of STN DBS at different frequencies in suppressing pathological lowfrequency oscillatory neural activity. Pathological low-frequency oscillatory activity across different BG nuclei is correlated with motor symptoms of Parkinson's disease (Brocker et al., 2013; Kühn et al., 2008; Levy et al., 2002), and thereby serves as a model-based proxy for the efficacy of DBS.

2 METHODS

The model included 10 single compartment model neurons in each of the cortex (CTX), striatum (Str), STN, globus pallidus externa (GPe), globus pallidus interna (GPi; or, in the

rat, the homologous entopeduncular nucleus, EP), and thalamus (TH) interconnected with model synapses to form a functional network (Fig. $1(A),(B)$). The intra-cortical and intrastriatal wiring configurations were stochastic, while all other connectivity followed a structured/deterministic pattern based on prior computational models (Rubin & Terman, 2004; So, Kent, et al., 2012). All model equations are provided in Appendix A. Simulations were implemented in Matlab R2014a with equations solved using the forward Euler method with a time step of 0.01 ms. We ran additional simulations with both shorter and longer time steps (0.005, 0.025 ms), and the model results (peak oscillatory frequencies) were robust across different time steps.

2.1 CTX Model Neuron

The cortical network comprised reciprocally connected regular spiking (RS) excitatory neurons and fast-spiking inhibitory interneurons (FSI), both based on the model developed by Izhikevich (Izhikevich, 2003). Cortical neurons in the model were quiescent at resting membrane potential. The membrane potential, v_{rs} , of a regular spiking cortical neuron was calculated using

$$
\frac{dv_{rs}}{dt} = 0.04 * v_{rs}^2 + 5 * v_{rs} + 140 - u_{rs} - I_{ie} - I_{thce}
$$

where I_{ie} is the synaptic current from FSI to RS neuron (each RS neuron received synaptic input from four FSI), and I_{thco} is the synaptic input received from the TH (each RS neuron received synaptic input from a single TH neuron). An alpha synapse was used to model the synaptic dynamics,

$$
S\text{=}\overline{g}_{syn}\ast\frac{t-t_d}{\tau}\ast e^{-\frac{t-t_d}{\tau}}
$$

where \overline{g}_{syn} is the maximal synaptic conductance, t_d is the synaptic transmission delay, and τ is the time constant. All synaptic transmission delays are shown in Table 1.

The membrane potential, v_{fsi} , of a FSI was calculated using

$$
\frac{dv_{fsi}}{dt} = 0.04 * v_{fsi}^2 + 5 * v_{fsi} + 140 - u_{fsi} - I_{ei}
$$

where I_{ei} is the synaptic current from RS to FSI neuron (each FSI received synaptic inputs from four RS neurons). In both equations, u is a state variable that represents the recovery of membrane potential.

2.2 Str Model Neuron

Medium spiny neurons (MSN) comprise 90–95% of all striatal neurons in rodents (Chang $\&$ Kitai, 1985; Chang et al., 1982), and MSN neurons of the direct and indirect pathways are modulated by D1 and D2 dopamine receptors, respectively (Nicola et al., 2000). The striatal network included medium spiny neurons (MSN) from both the direct and indirect pathways,

as developed previously (McCarthy et al., 2011), that were quiescent at rest. The membrane potential v_{str} of direct and indirect MSNs was calculated using

$$
C_m \frac{dv_{Str}}{dt} = -I_l - I_K - I_{Na} - I_m - I_{gaba} - I_{costr}
$$

where I_{N_a} , I_K and I_l are voltage-dependent sodium and potassium ionic currents and a nonspecific leakage current, I_m is an outward potassium current modulated by acetylcholine through M1 muscarinic receptors, and I_{gaba} is recurrent inhibitory synaptic current (each direct and indirect MSNs received inhibitory axonal collaterals from 30% and 40% of the remaining MSNs, respectively (Taverna et al., 2008), modeled using an exponential synapse), and I_{cost} is the synaptic input from the CTX (each MSN received excitatory input from one RS CTX neuron, modeled using an alpha synapse). The exponential synapse was modeled using

$$
S\text{=}\overline{g}_{syn}\ast e^{-\frac{t-t_d}{\tau}}
$$

where \bar{g}_{sun} is the maximal synaptic conductance, t_d is the synaptic transmission delay, and τ is the time constant.

2.3 STN Model Neuron

STN neurons were adopted from a previous model (Otsuka et al., 2004) and were spontaneously active with firing rates in the range of 2–10 spikes/s, which is comparable to rates observed in rat in vivo. The membrane potential of a STN neuron, v_{stn} , was calculated using

$$
C_m\frac{dv_{STN}}{dt}=-I_{Na}-I_{K}-I_{a}-I_{L}-I_{T}-I_{Cak}-I_{l}-I_{gesn}-I_{cosn,ampa}-I_{cosn,nmda}+I_{dbs}
$$

where I_{N_a} , I_K and I_l are voltage-gated sodium and potassium ionic currents and a nonspecific leakage current, I_L is a L-type calcium current, I_T is a T-type calcium current, I_{CaK} is a calcium-dependent potassium current that is dependent upon the intracellular calcium concentration, and I_{gesn} is the inhibitory synaptic current from GPe with dynamics modeled using a bi-exponential synapse,

$$
\begin{array}{c} t_p{=}t_d{+}\frac{\tau_d{*}\tau_r}{\tau_d{-}\tau_r}* \ln \frac{\tau_d}{\tau_r}\\ f{=}\frac{t_p{-}t_d\tau_r}{\tau_r}{+}e^{-\frac{(t_p-t_d)}{\tau_d}}\\ S{=}\overline g_{syn}*f*(e^{-\frac{t-t_d}{\tau_d}}-e^{-\frac{t-t_d}{\tau_r}}) \end{array}
$$

Here, \bar{g}_{sum} is the maximal synaptic conductance, t_d is the synaptic transmission delay, τ_r is the rise time, and τ_d is the decay time. Rise and decay times of $\tau_r = 1.1$ ms and $\tau_d = 7.8$ ms respectively were used for IPSCs elicited at GPe-STN synapses (Baufreton et al., 2009). Each STN neuron received inhibitory input from two GPe neurons. Model STN neurons

included both AMPA and NMDA glutamate receptors with the AMPA/NMDA receptor ratio equal to one (Farries et al., 2010). $I_{cosn,ampa}$ and $I_{cosn,nmda}$ are the CTX-STN synaptic currents mediated by AMPA-R and NMDA-R, respectively (each STN neuron received excitatory input from two cortical neurons). Rapid rise ($\tau_r = 0.5$ ms) and decay ($\tau_d = 2.49$ ms) times were used for AMPA-R EPSCs, while NMDA-R EPSCs ($\tau_r = 2$ ms and $\tau_d = 90$ ms) were slower.

2.4 GP Model Neurons

The GPe and GPi/EP neurons were modified from those in a previous model (So, Kent, et al., 2012). The constant applied bias current representing the striatal input to GPe was replaced by the synaptic current from indirect MSNs. The membrane potential of a GPe neuron, v_{GPe} , was calculated using

$$
C_m \frac{dv_{\scriptscriptstyle{GPe}}}{dt} \!=\! -I_l - I_{\scriptscriptstyle{K}} - I_{\scriptscriptstyle{Na}} - I_{\scriptscriptstyle{T}} - I_{\scriptscriptstyle{Ca}} - I_{\scriptscriptstyle{ahp}} - I_{\scriptscriptstyle{snge,ampa}} - I_{\scriptscriptstyle{snge,nmda}} - I_{\scriptscriptstyle{gee}} - I_{\scriptscriptstyle{strype}} + I_{\scriptscriptstyle{appgpe}}
$$

The ionic currents are similar to STN neurons, as described above, except for the addition of a high threshold calcium current, $I_{C_{\alpha}}$ and the absence of a L-type calcium current. Pallidal neurons receive differential innervation from STN and this is believed to be the origin of the dichotomous firing behavior of GP neurons in rodents (Mallet, Pogosyan, Márton, et al., 2008). Consistent with this observation, the model included two types of GPe neurons, with some receiving excitatory input from two STN neurons $(I_{\text{single,ampa}})$ and $I_{\text{single,nmda}})$, while others did not (Fig. 1(B)). The STN-GPe synaptic connections were mediated by both AMPA and NMDA glutamate receptors (Götz et al., 1997). The decay time of GPe NMDA-R was slightly faster (τ_d = 67 ms) when compared to STN NMDA-R, although the rise times were identical in both neurons. The kinetics of GPe AMPA-R were identical to STN. All GPe neurons received inhibitory axonal collateral from two other GPe neurons (I_{gege}) (Bolam et al., 2000). Each GPe neuron received inhibitory input from all indirect Str MSNs, and these accounted for nearly 80–90% of the total synaptic connections found in GPe (Sims et al., 2008). GPe neurons received a constant bias current $I_{\text{appgpe}}(3 \ \mu A/cm^2)$ representing the net synaptic input from all sources that were not exclusively modeled.

GPi (or, entopeduncular nucleus, EP) is the primary output nucleus of the BG. The membrane potential, v_{GP_i} of a GPi neuron was calculated using

$$
C_m \frac{dv_{\text{GP}i}}{dt} = -I_l - I_K - I_{\text{Na}} - I_T - I_{\text{Ca}} - I_{\text{ahp}} - I_{\text{sngi}, \text{ampa}} - I_{\text{gegi}} - I_{\text{strgp}i} + I_{\text{appgpi}}
$$

with ionic currents similar to GPe neurons described above I_{gegi} , I_{stgpi} and $I_{sngi,ampa}$ are the synaptic inputs from GPe, direct Str MSN, and STN, respectively, all converging onto GPi neurons (Kita, 2001). Each GPi neuron received inhibitory input from two GPe neurons (I_{gegi}) and from all direct Str MSNs (I_{stgpi}) . STN-GPi synaptic connectivity was similar to GPe with a portion of GPi neurons not receiving any synaptic input from STN (Fig. 1(B)), although currently there is no experimental evidence indicating whether or not the finding of two types of neurons in rodent GP(e) also extends to GPi (EP). STN-GPi synaptic dynamics

were mediated by only AMPA-R with kinetics identical to those of STN-GPe. GPi neurons also received a constant bias current $I_{appgpi}(3 \mu A/cm^2)$ similar to GPe. GP neurons in the model were quiescent at resting membrane potential.

2.5 TH Model Neuron

TH neurons were modified from those in a previous model (So, Kent, et al., 2012). The current pulses to TH representing the sensorimotor cortical (SMC) input were replaced by a constant applied current $(I_{\text{appth}} = 1.2 \ \mu A/cm^2)$ representing the cerebellar input to TH. The membrane potential of a TH neuron, v_{Th} was calculated using

$$
C_m \frac{dv_{Th}}{dt} = -I_l - I_K - I_{Na} - I_T - I_{gith} + I_{appth}
$$

with ionic currents similar to the GPe neurons described above. Each TH neuron received inhibitory input from a single GPi neuron (I_{gith}) . Model TH neurons were not spontaneously active at the resting membrane potential.

2.6 Modeling Different States

We modeled three states representing control (normal), 6-OHDA lesioned (PD), and 6- OHDA lesioned plus STN DBS in rats. The PD state, resulting from the loss of striatal dopamine neurons, was implemented by making three changes to the normal state. First, loss of striatal dopamine is accompanied by an increase in acetylcholine levels (Ach) in the Str (Ikarashi et al., 1997). This results in a reduction of M-type potassium current in both the direct and indirect MSNs (Brown, 2010; McCarthy et al., 2011), and was modeled by decreasing the maximal conductance g_m from 2.6 to 1.5 mS/cm². Second, dopamine loss results in reduced sensitivity of direct Str MSN to cortical stimulation (Mallet et al., 2006), which was modeled by decreasing the maximal corticostriatal synaptic conductance g_{cost} from 0.07 to 0.026 *mS/cm*². Finally, striatal dopamine depletion causes an increase in the synaptic strength of intra-GPe axonal collaterals resulting in aberrant GPe firing (Miguelez et al., 2012), and this was modeled by increasing the maximal synaptic conductance g_{gege} from 0.125 to 0.5 mS/cm². DBS was modeled by applying intracellular current pulses in all STN model neurons so that every pulse evoked one action potential at frequencies in the range of 5–200 Hz (amplitude 300 μ A/cm², duration 0.3 ms).

2.7 Outcome Measures

PD is accompanied by an increase in low-frequency oscillatory activity across the cortex and BG (Mallet, Pogosyan, Márton, et al., 2008; Mallet, Pogosyan, Sharott, et al., 2008; McConnell et al., 2012). Oscillatory power in the beta band correlates with akinesia/ bradykinesia (Kühn et al., 2008), while oscillations in the alpha band may be associated with tremor (Shaw & Liao, 2005). Therefore, we quantified the effects of STN DBS at different frequencies on low-frequency oscillatory power in the model GPi in the PD state. Spectral analyses were performed using the Chronux neural signal analysis package (www.chronux.org) (sliding 1 s window, 0.1 s step size and [3 5] tapers [3 is the timebandwidth product and 5 is the number of tapers]) and MATLAB R2014a. Oscillatory power in the GPi was calculated by integrating the spectral power of GPi spike times in the 7–35

Hz frequency band. Changes in the responses evoked in GPi by CTX activation might be associated with PD motor symptoms (Degos et al., 2005; Kita & Kita, 2011). Therefore, we also quantified the strength and duration of the GPi response (long inhibition) evoked by CTX stimulation and used it as a surrogate to study the frequency dependent effects of STN DBS. The duration of inhibition was the difference between time instances at which the GPi evoked firing rates were just lesser and greater than the mean firing rate. The area corresponding to this duration of long inhibition in the GPi evoked response was quantified as strength.

3 MODEL VALIDATION

Model parameter values were selected based on independent experimental evidence wherever possible, as described above, but the values of several parameters were tuned to match the repsonses evoked in the basal ganglia by cortical stimulation in rats (Kita $\&$ Kita, 2011). GPe and GPi applied currents $(I_{\text{appgpe}} I_{\text{appgpi}})$ were fixed at $(3 \mu A/cm^2)$ to generate firing rates in STN, GPe and GPi neurons similar those measured in rats. Maximal synaptic and ionic conductances (g_{gege} , g_{costr} , g_{m}) were either increased or decreased between normal and PD conditions as described under 'Modeling Different States' section. We applied supra-threshold stimulus pulses to each cortical neuron (duration 0.3 ms, amplitude 300 $\mu A/cm^2$, frequency 1 Hz) and analyzed the activity evoked in Str, STN, GPe and GPi using post-stimulus time histograms (PSTH) in the normal and PD states. The PSTH had a bin width of 1 ms and was averaged across 10 neurons for 100 trials. The model PSTHs were compared with experimental results obtained under similar conditions (Kita & Kita, 2011).

3.1 Str Response to CTX Stimulation

CTX stimulation evoked a strong excitatory response in model Str MSNs in both the normal and PD conditions, similar to evoked responses in rats (Kita & Kita, 2011) (Fig. 2). In the PD state, CTX stimulation evoked strong excitation in model Str neurons followed by longduration GABAergic inhibition due to cortical disfacilitation (Fig. 2(B)). Cortical disfacilitation was due to the inhibition of regular spiking excitatory neurons by fast spiking interneurons. Model Str MSNs exhibited increased firing in the PD state as compared to the normal condition, and this increase in mean firing rate was also observed in rat MSNs following dopamine depletion (Mallet et al., 2006; Pang et al., 2001).

3.2 STN Response to Cortical Stimulation

In the normal state, CTX stimulation evoked early excitation followed by late excitation in model STN neurons (Fig. 3(A)) by activation via the hyperdirect pathway of AMPA-R and NMDA-R, respectively. The reduction in firing rate between the early and late excitation was due to the difference in timing between the activation of AMPA-R and NMDA-R rather than synaptic inhibition. Following CTX stimulation in the PD state, model STN neurons exhibited early and late excitation followed by protracted inhibition (Fig. 3(B)) due to the increased late excitation of GPe leading to late inhibition of STN. The model PSTHs were consistent with the experimental PSTHs from rats (Kita & Kita, 2011) (Fig. $3(C)(D)$).

3.3 GPe Response to Cortical Stimulation

In the normal state, model GPe neurons responded to CTX stimulation with early excitation, short inhibition, and weaker late excitation (Fig. 4(A)). The early excitation and short inhibition were mediated by STN and Str, respectively, while the late excitation was mediated by both STN and Str. The GPe response to CTX stimulation in the PD state included early excitation, short inhibition, and large amplitude and long duration late excitation (Fig. 4(B)). The increased late excitation in the PD state was due to the protracted inhibition of Str MSNs, which exhibited higher levels of activity in the PD state that disinhibited the GPe neurons. The model results are similar to the GPe responses in rats (Kita & Kita, 2011) (Fig. 4(C),(D)).

3.4 GPi Response to Cortical Stimulation

The responses evoked in Str, GPe, and STN by CTX stimulation converged on GPi neurons, which exhibited two major response patterns. The CTX stimulation generated either early excitation, short inhibition, and late excitation or short inhibition followed by late excitation in model GPi neurons in the normal state (Fig. $5(A)(C)$). The early excitation of GPi was due to activation of STN neurons via the hyperdirect pathway, the short inhibition was mediated by the activation of direct MSNs, and the late excitation was mediated by the indirect activation of MSN through GPe and the subsequent disinhibition of GPi. GPi neurons exhibited either one of the two response types depending upon whether or not they received inputs from STN neurons.

The response patterns in GPi in the PD state differed considerably from those under normal conditions. CTX stimulation evoked either early excitation followed by strong, long duration inhibition or only long duration inhibition in model GPi neurons (Fig. 5(B),(D)). The early short inhibition in the normal state was replaced by strong, long duration inhibition. The absence of short inhibition was due to the reduced sensitivity of direct MSNs to CTX stimulation in the parkinsonian (dopamine depleted) condition, while the increased late excitation in GPe and increased long inhibition in STN resulted in strong, long duration inhibition in GPi. The model results are similar to GPi responses measured in rats (Kita $\&$ Kita, 2011) (Fig. 5(E),(F),(G),(H)).

3.5 Model Neuron Firing Rates and Patterns

Recordings in 6-OHDA lesioned rats indicate that there is an increase in Str MSN firing rate after administration of 6-OHDA (Kita & Kita, 2011; Pang et al., 2001). Similarly, the firing rates of STN and GPi neurons in 6-OHDA rats are higher than in control, while those of GPe neurons are lower following lesion (Hollerman & Grace, 1992; Mallet, Pogosyan, Márton, et al., 2008). Changes in firing rates of neurons in the model were consistent with these experimental results (Fig. 6): Str, STN and GPi neurons exhibited increased firing rates in the PD condition, while GPe neuron firing rates decreased. In the PD state, the model STN, GPe and GPi neurons exhibited more rhythmic burst-like firing patterns (Fig. 7), and this was consistent with experimental studies (Kita & Kita, 2011; Mallet, Pogosyan, Márton, et al., 2008).

4 RESULTS

The validated model was used to study the effects of PD and STN DBS on spiking and oscillatory activity of model BG neurons.

4.1 Low-Frequency Oscillatory Activity

Model BG neurons exhibited increased oscillatory activity in the beta band $\sim 20\text{Hz}$) in the PD state when compared to normal conditions (Fig. $8(A),(B),(C)$), in agreement with experimental observations following unilateral 6-OHDA lesion (Cruz et al., 2012). The model STN and GPi neurons also exhibited low-frequency oscillatory activity in the alpha band (~ 9 Hz) in the PD condition (Fig. 8(A),(B),(C)), similar to oscillatory activity (7–10 Hz) in 6-OHDA lesioned rats (McConnell et al., 2012). We investigated the robustness of oscillatory frequencies first by randomizing the values of the three parameters that were modified to model the PD state $(g_m, g_{cost}, g_{gege}),$ and second by making the connections between model neurons stochastic. Spectral power from ten such trials was calculated and then compared with the spectral power in the PD condition. There were no changes in the peak oscillatory frequencies between the original parameterization or either the new set of randomized parameters or the stochastic connectivity, although the magnitude of spectral power varied (Fig. 8(D),(E),(F),(G),(H),(I)). Spectral analysis of the spike times of model GPi neurons revealed that episodes of beta band oscillatory activity interrupted alpha oscillatory activity in the PD state (Fig. $9(A), (B)$), consistent with experimental evidence that episodes of tremor-related oscillations desynchronized beta activity in PD patients (Levy et al., 2002).

Infusion of an NMDA antagonist (cis-4-[phosphomethyl]-piperidine-2-carboxylic acid) into STN suppressed STN beta band oscillations in 6-OHDA lesioned rats (Pan et al., 2014). Infusion of NMDA antagonist into STN was simulated in the model by reducing the NMDA-R synaptic conductance $g_{\text{cosn, nmda}}$ in the hyperdirect pathway to zero. Similar to the experimental observation, reducing the NMDAR synaptic conductance substantially reduced beta oscillatory activity in model STN neurons, but did not alter low-frequency oscillatory activity (Fig. 9(C,D)).

4.2 Model Neuron Firing Rates during STN DBS

The intrinsic activity of STN neurons was masked during HF STN DBS and firing patterns were more regular (Fig. 7). HF STN DBS resulted in both increases and decreases in the firing rate of a greater number of model pallidal neurons than low-frequency STN DBS (Fig. 10(A),(B)), consistent with experimental observations in 6-OHDA lesioned rats (McConnell et al., 2012). Excitation through the STN-GPe pathway resulted in increased firing of some GPe neurons, while inhibition through the axonal collaterals of excited GPe neurons reduced the firing rate of other GPe neurons. The increase in GPi firing rate resulted from activation of the STN-GPi pathway, while reductions in rate were due to excitation of GPe neurons and subsequent inhibition of GPi neurons through the STN-GPe-GPi pathway. Due to this dichotomous response, there was no substantial increase in the mean firing rates of the model GPe or GPi neurons between low and high frequency STN DBS (Fig. 10(A),(B)),

matching well the changes in firing rates observed in 6-OHDA lesioned rats during STN DBS (McConnell et al., 2012).

4.3 STN DBS Frequency-Dependent Suppression of Beta Frequency Oscillations

Stimulation frequency is a determinant of the effectiveness of STN DBS in reducing PD symptoms in 6-OHDA lesioned rats (So, McConnell, et al., 2012), and abnormal lowfrequency oscillatory activity in the output nuclei of the BG is correlated with PD symptoms in the 6-OHDA rat model of PD (McConnell et al., 2012). We quantified the effectiveness of STN DBS in the model by calculating the total low-frequency (7–35Hz) power of activity in model GPi neurons during DBS normalized to the baseline GPi power in the PD state. STN DBS at frequencies less than 40 Hz did not cause any substantial change in the lowfrequency power of model GPi neuron activity. The GPi low-frequency power decreased gradually for DBS frequencies between 50 Hz and 130 Hz, and saturated at DBS frequencies greater than 150 Hz (Fig. 11). The stimulation frequency-dependent suppression of GPi low frequency oscillatory power matched the stimulation frequency-dependent suppression of motor symptoms in 6-OHDA rats (Li et al., 2012; McConnell et al., 2012; Ryu et al., 2013). Further, HF STN DBS suppressed low-frequency oscillations in GPe, STN, and GPi neurons to levels much lower than in the normal and PD states (Fig. 8(A),(B),(C)).

4.4 STN-DBS Frequency-Dependent Normalization of Abnormal GPi Activity Evoked by CTX Stimulation

Changes in the activity evoked in the BG by CTX might be causative of motor deficits observed in PD (Degos et al., 2005). In the model during PD conditions, CTX stimulation evoked abnormal responses in GPi characterized by the absence of early short inhibition present in the healthy condition and the presence of strong, long-lasting late inhibition not present in the healthy condition. We quantified the effects of STN DBS on the responses evoked in GPi by CTX stimulation in the model. STN DBS at frequencies less than 30 Hz did not cause any substantial change in the GPi response evoked by CTX stimulation (Fig. $12(A)$). Both the strength and duration of late inhibition decreased gradually for stimulus frequencies between 45 Hz and 130 Hz (Fig. 12(A)). During 130Hz STN DBS, the strength and duration of late inhibition in the GPi response evoked by CTX stimulation in the PD state was greatly normalized (Fig. 12(B),(C),(D)). However, HF STN DBS did not restore the early short inhibition in the GPi response evoked by CTX stimulation under normal conditions (Fig. 12(B)).

5 DISCUSSION

We developed a computational model of the cortical-basal ganglia-thalamus circuit in the 6- OHDA lesioned rat model of PD, including a closed-loop connection between thalamus and cortex. Following extensive validation, demonstrating that the model replicated a wealth of experimental data, we used the model to quantify the effects of STN DBS on low-frequency oscillatory activity. The model was validated by comparing responses evoked by CTX stimulation in Str, STN, GPe, and GPi model neurons with experimental PSTHs. The model accounted for the key differences observed in the response patterns between the normal and PD states. Second, the firing rates and patterns observed in the normal and PD states were

consistent with those in experimental studies. Finally, the two key emergent properties of the model – oscillatory activity across different nuclei and stimulation frequency-dependent suppression of this oscillatory activity – also matched well with experimental studies. Finally, the frequency-dependent effects of STN DBS in suppressing pathological lowfrequency oscillatory activity paralleled the frequency-dependent normalization of abnormal responses evoked in the output nucleus of the BG by CTX stimulation.

5.1 Importance of CTX Induced Responses in GPi

The timing of the GPi response components evoked by CTX stimulation reflects the importance of the direct, indirect and hyperdirect pathways in the normal functioning of the BG. According to the "center-surround model", the sequence of activation of the three BG pathways is functionally significant (Nambu et al., 2002). When a movement is initiated, the cortex exerts a rapid and strong excitatory influence on the output nucleus of the BG (GPi) via the hyperdirect pathway. Excitation of GPi, which results in inhibition of TH, is thought to negate all competing motor programs. Next, the cortical activation of the direct pathway results in strong inhibition of GPi, which likely disinhibits the TH. This allows the CTX to transmit the selected motor program efficiently through the TH. Finally, the activation of the indirect pathway again causes excitation of the GPi and subsequent inhibition of the TH. The functional implication is believed to be that unwanted motor programs are suppressed, which further aids in the transmission of only the selected motor program. Nambu and colleagues conducted their study in non-human primates, but the hypotheses they put forward regarding the center-surround model may also apply in rats since the GPi response patterns to CTX stimulation are similar in both animals (Kita & Kita, 2011).

However, in 6-OHDA lesioned rats, CTX stimulation evokes abnormal responses in GPi with notable differences being the insensitivity of the direct pathway to CTX stimulation and the increased firing of indirect pathway neurons (Kita & Kita, 2011; Mallet et al., 2006). These alterations in the normal functioning of the CTX-BG pathways resulted in abnormal CTX stimulation induced GPi responses in the model. HF STN DBS partially restored the normal functioning of BG pathways in the model by normalizing the abnormal CTX stimulation induced GPi response that was caused due to the increased firing of indirect pathway neurons. However, HF STN DBS failed to restore the component that was lost as a result of the reduced sensitivity of the direct pathway neurons to CTX stimulation. Hence, we predict in 6-OHDA lesioned rats that increased firing of indirect pathway neurons and transmission of this activity to the BG output nuclei might contribute to PD motor symptoms.

5.2 Neural Activity in 6-OHDA Lesioned Rats and Parkinsonian Primates

In both the 6-OHDA-lesioned rat and the MPTP-treated non-human primate models of PD, striatal dopamine depletion results in an increase in the firing rate of indirect Str MSNs (DeLong, 1990; Mallet et al., 2006; Pang et al., 2001). This is consistent with the classical model of PD that hypothesizes that SNc dopaminergic neurons exert an inhibitory effect on the indirect Str MSN and the loss of this inhibition results in PD symptoms. Also common to both animal models is the presence of exaggerated, synchronized pathological lowfrequency oscillatory activity across BG nuclei and CTX in the parkinsonian state (Mallet,

Pogosyan, Márton, et al., 2008; Raz et al., 2000), and suppression of such activity by effective STN DBS (Hammond et al., 2007; McConnell et al., 2012). The responses evoked in different BG nuclei by CTX stimulation are also similar in rats and non-human primates (Kita & Kita, 2011; Nambu et al., 2000; Tremblay & Filion, 1989). The downstream effects of STN DBS are also similar across the two species: behaviorally effective STN DBS evokes both excitation and inhibition in both rat and non-human primate GPi/SNr neurons (Bosch et al., 2011; Dorval et al., 2008; Hahn & McIntyre, 2010; McConnell et al., 2012). One of the model predictions is the existence of a population of GPi neurons that does not receive any input from STN. Our decision to extend the experimental observation of two populations of GPe neurons in rodents – one that receives STN input and one that does not (Mallet, Pogosyan, Márton, et al., 2008) – to GPi, enabled the model GPi neurons to exhibit two different response types to CTX stimulation, and these response types matched well with experimental responses (Kita & Kita, 2011). The GPi neurons that did not receive STN input, had a greater probability of being inhibited during HF STN DBS through the STN-GPe-GPi pathway. Hence, the presence of a diverse population of GPi neurons, with varying levels of synaptic input from STN, might be one of sources of the heterogeneous GPi responses observed during STN DBS. Other potential sources include the activation of inhibitory Str-GPi (direct pathway) and GPe-GPi fibers of passage (Bosch et al., 2011).

One major difference between rats and non-human primates is the firing rate of BG neurons. The firing rates of STN, GPe, and GPi neurons in rats are much lower when compared to non-human primates in both normal and parkinsonian conditions (Wichmann & Soares, 2006). Our model adequately accounts for this difference and the mean firing rate of all model BG neurons was< 40 spikes/s in both normal and PD states. The differences in firing rates likely underlie the variations in the frequency-dependent effects of DBS between the animal models. While low frequency stimulation (~50 Hz) was sufficient to mask and regularize the intrinsic activity of a model neuron firing at a low rate, higher frequency stimulation (>100 Hz) was necessary to achieve similar effects in a neuron that fired at a higher rate (Grill et al., 2004). In primates, STN DBS frequencies above 100 Hz relieve symptoms, while frequencies below 50 Hz are usually ineffective (Fogelson et al., 2005; Timmermann et al., 2004). However, in rats, the therapeutic window of STN-DBS starts as low as 50 Hz and reaches peak effectiveness at around 130 Hz (Li et al., 2012; Ryu et al., 2013). The STN DBS frequency tuning profile in the model was similar to these experimental studies in rats. Another major difference between the two animal models is the response of GPe neurons to HF STN DBS. Behaviorally effective STN DBS evokes both excitation-inhibition patterns in rat GPe neurons (McConnell et al., 2012), whereas a majority of non-human primate GPe neurons are excited during effective HF STN DBS (Dorval et al., 2008; Hahn & McIntyre, 2010). The model GPe neurons exhibited this dichotomous response during HF STN DBS similar to the experimental study in rats.

5.3 Prior Computational Models of the BG Circuit

Efforts continue to understand better the therapeutic mechanism of STN DBS using computational models of the BG. Initial attempts to explain the therapeutic mechanism of HF STN DBS used the classical rate model of PD (Albin et al., 1989). According to this model, dopamine depletion results in an imbalance characterized by decreased activation of

the direct pathway and an increased activation of the indirect pathway. Increased activation of the indirect pathway leads to a decrease in GPe firing rate and a subsequent increase in the STN and GPi firing rates. The firing rate of GPi is further increased by the decreased activation of the direct pathway. Therefore, a hyperactive GPi during PD increases inhibition of the TH, which results in bradykinesia/akinesia. Single unit recordings across BG nuclei in 6-OHDA rat and MPTP-treated monkey support the classical rate model (Bergman et al., 1994; Hollerman & Grace, 1992; Mallet, Pogosyan, Márton, et al., 2008; Wichmann & Soares, 2006). However, the classical rate model failed to explain the therapeutic mechanism of STN DBS. Results from experimental studies suggest that DBS activates the efferent axons of the stimulated nucleus (Anderson et al., 2003; Hashimoto et al., 2003). Hence, HF STN DBS should increase the firing rate of GPi neurons. However, according to the classical rate model, a hyperactive GPi during HF STN DBS should lead to a more bradykinetic state than those observed during PD. This prediction of the rate model is in contrast with the clinical outcome observed during HF STN DBS in PD patients. Therefore, the classical rate model does not convincingly explain the therapeutic effects of HF STN DBS and, collectively, these observations suggested that it is not just the firing rate, but also the pattern of neural firing that needs to be considered to explain the therapeutic mechanism of HF STN DBS.

Rubin and Terman (RT) (Rubin & Terman, 2004) developed a biophysical computational model of the BG network. In the PD state, the BG neurons exhibit more burst-like firing, and this pattern of activity was reflected in the RT model. However, despite representation of the activity patterns observed during PD, the RT model did not reproduce the frequency dependent effects of STN DBS on PD symptoms (So, Kent, et al., 2012), as frequencies as low as 20 Hz were effective in suppressing a model proxy for symptom, which is inconsistent with clinical observations (Birdno & Grill, 2008). So et al. (So, Kent, et al., 2012) revised the properties of the RT model to account for the frequency-dependent effects of STN DBS. However, the firing rates and patterns of activity observed in model BG neurons during PD in the revised model are not consistent with those seen in the 6-OHDA lesioned rat.

Kang and Lowery developed a biophysical model of the cortico-BG-thalamic circuit that included the hyperdirect pathway but not the striatum (Kang & Lowery, 2013). The direct and indirect pathways representing the striatal inputs to GPi and GPe respectively were modeled using constant applied currents. The key prediction of the model was the emergence of oscillatory activity in STN depending upon the synaptic strength of hyperdirect pathway. Although the model accounted for the pathological oscillatory activity similar to those seen in PD, the model was not validated against any experimental data, and the oscillations were achieved as a result of parameter tuning rather than being an emergent property of a validated model. The firing rate of GPi neurons $(110 \pm 15 \text{ spikes/s})$ in the Kang and Lowery model was comparable to those seen in primates rather than rats. The corticobasal ganglia-thalamic model that we developed was validated both at the cellular and network levels and reproduced key features of experimental data from the 6-OHDA lesioned rat model of PD.

5.4 Mechanism of STN DBS

At least three sites are possible sources of pathological low-frequency oscillatory activity in PD. Firstly, cortical neurons exhibit synchronous beta oscillations in PD, as seen in CTX local field potentials in 6-OHDA lesioned rats (Mallet, Pogosyan, Sharott, et al., 2008), and there is evidence for generation of the beta rhythm in CTX (Yamawaki et al., 2008). Hence, the CTX is a potential source of low-frequency oscillations in the PD state independent of its synaptic inputs. A second possible source of pathological low-frequency oscillations is the Str (McCarthy et al., 2011), as an increase in Str ACh as a result of dopamine loss is sufficient for Str neurons to generate oscillations in the 8–30Hz band. Finally, the reciprocally connected STN-GPe network is capable of generating oscillations without any synaptic inputs from the CTX or Str (Plenz & Kital, 1999). In the model, BG beta band oscillatory actvity was suppressed when the NMDA synaptic conductance of the hyperdirect pathway was reduced. Hence, the model supports the hypothesis that beta oscillatory activity generated in the CTX enters the BG through the STN, which receive strong excitatory projections from the CTX, and oscillatory input from STN drives GPe and GPi to oscillate in the beta band as observed in the 6-OHDA lesioned rat (Moran et al., 2011).

Regardless of the source, propagation of pathological low-frequency oscillatory activity to the GPi occurs through the STN, and this might explain why surgical interventions involving the STN are effective for relieving PD motor symptoms. STN lesion silences its efferents to GPi and GPe, such that pathological low-frequency oscillatory activity cannot reach the output of the BG. In the model, HF STN DBS suppressed pathological low-frequency oscillations by exciting some GPi neurons through the STN-GPi pathway and inhibiting other GPi neurons through the STN-GPe-GPi pathway. Excited GPi neurons showed a decrease in pathological burst activity and exhibited a more regularized firing, while inhibited GPi neurons simply did not transmit the pathological activity to the TH. A greater proportion of neurons were inhibited and excited during effective HF STN DBS when compared to ineffective LF STN DBS. Therefore, the therapeutic effects of HF STN DBS might arise from the ability to both excite and inhibit greater numbers of neurons in the output nucleus of the BG through the STN-GPe and STN-GPe-GPi pathway when compared to LF STN DBS. The STN is strategically located and able to influence GPi neurons both directly and indirectly. GPi neurons in a computational model of the BG circuit representative of non-human primates exhibited hetergenous responses during STN DBS similar to our study (Humphries $&$ Gurney, 2012). The authors suggested that an optimum distribution of GPi excitatory/inhibitory responses was necessary for STN DBS to be effective, and concluded that HF STN DBS was better equipped to produce those effects than LF STN DBS. Behaviorally effective HF STN DBS in 6-OHDA-lesioned rats resulted in both excitation and inhibition of SNr neurons similar to those observed in the model (Bosch et al., 2011). The excitation and inhibition of SNr neurons during STN DBS was due to the activation of STN efferents to SNr and GPe efferents to SNr passing through STN respectively. The same study also showed an increase in the number of SNr neurons being inhibited and excited during HF STN DBS than during LF STN DBS.

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Appendix

All transmembrane potentials (v) are expressed in mV , intrinsic and synaptic conductances in mS/cm^2 , currents in $\mu A/cm^2$, and time constants in *msec*. For all cell models the membrane capacitance is 1 $\mu A/cm^2$

Thalamic Neuron Model

$$
C_m \frac{av_{Th}}{dt} = -I_l - I_K - I_{Na} - I_t - I_{gith} + I_{appth}
$$

$$
\frac{dh}{dt} = \frac{h_{\infty}(v_{Th}) - h}{\tau_h(v_{Th})}
$$

$$
\frac{dr}{dt} = \frac{r_{\infty}(v_{Th}) - r}{\tau_r(v_{Th})}
$$

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Table 2

I

External Globus Pallidus Neuron Model

$$
C_m \frac{dv_{GPe}}{dt} = -I_l - I_K - I_{Na} - I_t - I_{Ca} - I_{ahp} - I_{snge,ampa} - I_{snge,nmda} - I_{gege} - I_{strype} + I_{appgpe}
$$

$$
\frac{dn}{dt} = \frac{0.1*(n_{\infty}(v_{GPe}) - n)}{\tau_n(v_{GPe})}
$$

$$
\frac{dh}{dt} = \frac{0.05*(h_{\infty}(v_{GPe}) - h)}{\tau_n(v_{GPe})}
$$

$$
\frac{dr}{dt} = \frac{r_{\infty}(v_{GPe}) - r}{\tau_r(v_{GPe})}
$$

$$
\frac{dCA}{dt} = 10^{-4} * (-I_{Ca} - I_t - 15 * CA)
$$

Table 3

GPe neuron model equations

Internal Globus Pallidus Neuron Model

$$
C_{m} \frac{dv_{GPi}}{dt} = -I_{l} - I_{K} - I_{Na} - I_{t} - I_{Ca} - I_{ahp} - I_{sngi} - I_{gegi} - I_{strgpi} + I_{appgpi}
$$

$$
\frac{dn}{dt} = \frac{0.1*(n_{\infty}(v_{GPi}) - n)}{\tau_{n}(v_{GPi})}
$$

$$
\frac{dh}{dt} = \frac{0.05*(h_{\infty}(v_{GPi}) - h)}{\tau_{h}(v_{GPi})}
$$

$$
\frac{dr}{dt} = \frac{r_{\infty}(v_{GPi}) - r}{\tau_{r}(v_{GPi})}
$$

$$
\frac{dCA}{dt} = 10^{-4} * (-I_{ca} - I_{t} - 15 * CA)
$$

Table 4

GPi neuron model equations

Subthalamic Nucleus Neuron Model

$$
C_{m} \frac{dv_{STN}}{dt} = -I_{Na} - I_{L} - I_{L} - I_{U_{Cak}} - I_{L} - I_{gesn} - I_{cosn, ampa} - I_{cosn, nmda} + I_{dbs}
$$
\n
$$
\frac{dn}{dt} = \frac{(n_{\infty}(v_{STN}) - n)}{\tau_{n}(v_{STN})}
$$
\n
$$
\frac{dn}{dt} = \frac{(n_{\infty}(v_{STN}) - h)}{\tau_{n}(v_{STN})}
$$
\n
$$
\frac{dm}{dt} = \frac{(m_{\infty}(v_{STN}) - n)}{\tau_{m}(v_{STN})}
$$
\n
$$
\frac{da}{dt} = \frac{(a_{\infty}(v_{STN}) - b)}{\tau_{b}(v_{STN})}
$$
\n
$$
\frac{dc}{dt} = \frac{(v_{\infty}(v_{STN}) - b)}{\tau_{b}(v_{STN})}
$$
\n
$$
\frac{dc}{dt} = \frac{(c_{\infty}(v_{STN}) - d1)}{\tau_{c}(v_{STN})}
$$
\n
$$
\frac{dd2}{dt} = \frac{(d1_{\infty}(v_{STN}) - d1)}{\tau_{d}(v_{STN})}
$$
\n
$$
\frac{dq}{dt} = \frac{(d2_{\infty}(v_{STN}) - d2)}{\tau_{d}(v_{STN})}
$$
\n
$$
\frac{dp}{dt} = \frac{(v_{\infty}(v_{STN}) - p)}{\tau_{p}(v_{STN})}
$$
\n
$$
\frac{dq}{dt} = \frac{(q_{\infty}(v_{STN}) - p)}{\tau_{p}(v_{STN})}
$$
\n
$$
\frac{dr}{dt} = \frac{(r_{\infty}(v_{STN}) - p)}{\tau_{r}(v_{STN})}
$$
\n
$$
\frac{dr}{dt} = \frac{(r_{\infty}(v_{STN}) - p)}{\tau_{r}(v_{STN})}
$$
\n
$$
\frac{dCa_{i}}{dt} = -5.18 \times 10^{-6} \times (I_{L} + I_{t}) - (2 \times 10^{-3} \times Ca_{i})
$$

Table 5

STN neuron model equations STN neuron model equations

I

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Striatum Medium Spiny Neuron Model

$$
C_m \frac{dv_{Str}}{dt} = -I_l - I_K - I_{Na} - I_m - I_{gaba} - I_{costr}
$$

\n
$$
\frac{dm}{dt} = \alpha_m (v_{Str}) * (1 - m) - \beta_m (v_{Str}) * m
$$

\n
$$
\frac{dh}{dt} = \alpha_h (v_{Str}) * (1 - h) - \beta_h (v_{Str}) * h
$$

\n
$$
\frac{dn}{dt} = \alpha_n (v_{Str}) * (1 - n) - \beta_n (v_{Str}) * n
$$

\n
$$
\frac{dp}{dt} = \alpha_p (v_{Str}) * (1 - p) - \beta_p (v_{Str}) * p
$$

\n
$$
\frac{dS}{dt} = G_{gaba} (v_{Str}) * (1 - S) - (\frac{S}{tau_{ia}})
$$

Table 6

MSN neuron model equations

Cortical Regular Spiking Projection Neuron Model

$$
\frac{dv_{rs}}{dt} = 0.04 * v_{rs}^2 + 5 * v_{rs} + 140 - u_{rs} - I_{ie} - I_{thco}
$$
\n
$$
\frac{du_{rs}}{dt} = a_{rs} * ((b_{rs} * v_{rs}) - u_{rs})
$$
\n
$$
if v_{rs} \ge 30 \, mV, then
$$
\n
$$
v_{rs} = c_{rs}
$$
\n
$$
u_{rs} = u_{rs} + d_{rs}
$$

Table 7

CTX regular spiking neuron model parameters

Table 8

CTX Regular Spiking neuron model equations

Cortical Fast Spiking Interneuron Model

$$
\frac{dv_{fsi}}{dt} = 0.04 * v_{fsi}^2 + 5 * v_{fsi} + 140 - u_{fsi} - I_{ei}
$$

$$
\frac{du_{fsi}}{dt} = a_{fsi} * ((b_{fsi} * v_{fsi}) - u_{fsi})
$$

$$
if v_{fsi} \ge 30 mV, then
$$

$$
v_{fsi} = c_{fsi}
$$

$$
u_{fsi} = u_{fsi} + d_{fsi}
$$

Table 9

CTX fast spiking interneuron model parameters

Table 10

CTX fast spiking interneuron model equations

Table 11

Healthy and PD state parameters

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Fig. 1.

Cortical-basal ganglia-thalamus network model. (A) Model schematic showing connections within the network. (B) Details of synaptic connections within the network model. Each rCortex neuron receives excitatory input from one TH neuron and inhibitory input from four randomly selected iCortex neurons. Each iCortex neuron receives excitatory input from four randomly selected rCortex neurons. Each dStr neuron receives excitatory input from one rCortex neuron and inhibitory axonal collaterals from three randomly selected dStr neurons. Each idStr neuron receives excitatory input from one rCortex neuron and inhibitory axonal

collaterals from four randomly selected idStr neurons. Each STN neuron receives inhibitory input from two GPe neurons and excitatory input from two rCortex neurons. Each GPe neuron receives inhibitory axonal collaterals from any two other GPe neurons and inhibitory input from all idStr neurons. Each GPi neuron receives inhibitory input from two GPe neurons and inhibitory input from all dStr neurons. Some GPe/GPi neurons receive excitatory input from two STN neurons, while others do not. Each TH neuron receives inhibitory input from one GPi neuron

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Fig. 2.

Str responses to CTX stimulation. (A) Model Str PSTH obtained under normal conditions shows strong excitation following CTX stimulation. Str neurons are not spontaneously active under normal conditions. (B) Model Str PSTH obtained during PD state shows strong excitation and long inhibition following CTX stimulation. Str neurons exhibit increased spontaneous firing during PD. (C,D) Experimental PSTHs (Kita & Kita, 2011) match well with model results. The red lines depict the mean firing rate of neurons

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Fig. 3.

STN responses to CTX stimulation. (A) Model STN PSTH obtained under normal conditions shows early excitation and late excitation following CTX stimulation. (B) Model STN PSTH obtained during PD state shows early excitation, late excitation and long inhibition following CTX stimulation. (C,D) Model PSTHs are comparable with PSTHs obtained from an experimental study (Kita & Kita, 2011). The red and blue lines depict the mean firing rate of neurons

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Fig. 4.

GPe responses to CTX stimulation. (A) Model GPe PSTH obtained under normal condition shows early excitation, short inhibition and weak late excitation following CTX stimulation. (B) Model GPe PSTH obtained during PD state shows weak early excitation, short inhibition and strong late excitation following CTX stimulation. (C,D) Model PSTHs are comparable with PSTHs obtained from an experimental study (Kita & Kita, 2011). The red and blue lines depict the mean firing rate of neurons

Fig. 5.

GPi responses to CTX stimulation. (A,C) Model GPi PSTHs obtained under normal conditions show either early excitation, short inhibition and late excitation or short inhibition and late excitation following CTX stimulation. (B,D) Model GPi PSTHs obtained during PD state show either early excitation and long inhibition or only long inhibition following CTX stimulation. (E,F,G,H) Model PSTHs are comparable with PSTHs obtained from an experimental study (Kita & Kita, 2011). The red and blue lines depict the mean firing rate of neurons

Fig. 6.

Firing rates of model and experimental (Kita & Kita, 2011) neurons in striatum (Str), subthalamic nucleus (STN), globus pallidus externa (GPe) and globus pallidus interna (GPi) under normal and PD conditions. Standard error bars for model data are shown for 10 tensecond simulations under each condition

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Firing patterns of STN, GPe and GPi neurons. Rastergrams and interspike interval (ISI) plots under normal, PD, and PD condition with 130 Hz STN DBS. During the PD state, neurons fired in a more rhythmic burst fashion, while 130 Hz STN DBS suppressed these bursts by either exciting or inhibiting the firing of GPe/GPi neurons

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Fig. 8.

Oscillatory activity across BG nuclei. (A,B,C) Power spectra (PS) of STN, GPe and GPi spike times show exaggerated oscillatory activity in both the alpha and beta band during PD conditions (blue) when compared to the normal state (red). PS show the suppression of these pathological alpha and beta oscillations during HF STN DBS (gray) across all BG nuclei. (D,E,F) PS of STN, GPe and GPi spike times during PD condition show robust oscillatory frequencies across original model parameters (red) and randomized parameters - *gm*, *gcostr*, *ggege* values chosen from an uniform distribution with mean listed in Table 11 (Appendix A). The blue line and gray band represent the average and range respectively across 10 trials. (G,H,I) PS of STN, GPe and GPi spike times during PD condition show robust oscillatory frequencies across original model parameters (red) and a model with stochastic connectivity. Model neurons received synaptic inputs from randomly selected neurons. The blue line and gray band represent the average and range respectively across 10 trials

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Fig. 9.

Alpha and beta band oscillatory activity during PD condition in model BG neurons. (A,B) Spectrograms of STN, GPi spike times exhibit prominent oscillations in both the alpha and beta band. GPi oscillatory activity in the alpha band at 9Hz is interrupted by periods of beta frequency oscillation at 20Hz. (C) Spectrogram of STN spike times shows suppression of STN beta band oscillations following reduction of NMDA-R synaptic conductance in STN (mimicking NMDA antagonist infusion) at 1sec. NMDA antagonist did not have any effect on the STN oscillatory activity at 9Hz. (D) Log-transformed STN peak beta band power reduces following simulation of NMDA antagonist infusion at ~1sec

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Fig. 10.

Effects of STN DBS frequency on pallidal neurons firing rate. (A,B) Mean (black bar) and individual (red dot) firing rates of model GPe/GPi neurons. There was no substantial increase in the mean firing rates of model GPe/GPi neurons between low and high frequency STN DBS. Standard error bars are shown for variation in firing rates across 10 neurons for each stimulus frequency. Each red circular marker represents the firing rate of an individual neuron for a total of 10 neurons each in GPe and GPi. Note the activity of a greater number of GPe/GPi neurons being influenced during HF STN DBS than during LF STN DBS

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Fig. 11.

Effect of STN DBS frequency on GPi low-frequency oscillatory activity. (A,B,C,D) Spectrograms of GPi spike times during PD and three different STN DBS stimulus frequencies (10 Hz, 45 Hz and 130 Hz). During PD, GPi neurons exhibited synchronized oscillatory activity in both the alpha and beta band. 10 Hz STN DBS slightly increased this oscillatory activity. Although 45 Hz STN DBS reduced the GPi oscillatory activity, it did not completely suppress the oscillations. 130 Hz STN DBS completely suppressed the GPi oscillations and reversed PD symptoms. (E) Effect of STN DBS frequency on model GPi neurons 7–35Hz power. Standard error bars are shown for 10 ten-second simulations for each stimulus frequency

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Fig. 12.

Frequency-dependent effects of STN DBS on GPi responses evoked by CTX stimulation. (A) PSTHs showing CTX stimulation evoked GPi activity at various STN DBS frequencies. (B) PSTHs showing CTX stimulation induced GPi responses under normal, PD and 130 Hz STN DBS conditions. 130 Hz STN DBS effectively normalized the enhanced late inhibition observed in GPi response relative to PD. However, 130 Hz STN DBS failed to restore the early short inhibition seen in the GPi response under normal conditions. Arrow indicates the time (100 ms) at which the CTX was stimulated by a single pulse. (C,D) 130 Hz STN DBS reduced both the strength and duration of late inhibition which were exaggerated during PD to values similar to those seen under normal conditions

Table 1

Synaptic Connection Parameters

