

HHS Public Access

Author manuscript *J Immunol.* Author manuscript; available in PMC 2017 August 15.

Published in final edited form as:

J Immunol. 2016 August 15; 197(4): 1100–1110. doi:10.4049/jimmunol.1501996.

BCG Re-vaccination of Adults with Latent *Mycobacterium tuberculosis* Infection Induces Long-lived BCG-Reactive Natural Killer Cell Responses¹

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Abstract

One third of the global population is estimated to be latently infected with *Mycobacterium tuberculosis* (*M.tb*). We performed a phase 1 randomized, controlled trial of isoniazid preventive therapy (IPT) before re-vaccination with Bacille Calmette-Guerin (BCG) in healthy, tuberculin skin test positive (15mm induration), HIV-negative, South African adults. We hypothesised that pre-clearance of latent bacilli with IPT modulates BCG immunogenicity following re-vaccination.

Frequencies and co-expression of IFN γ , TNF α , IL-2, IL-17, and/or IL-22 in CD4, and IFN γ -expressing CD8, $\gamma\delta$ T, CD3⁺CD56⁺ NKT-like and NK cells in response to BCG were measured using whole blood intracellular cytokine staining and flow cytometry.

We analyzed 72 participants who were BCG re-vaccinated after IPT (n=33) or without prior IPT (n=39). IPT had little effect on frequencies or cytokine co-expression patterns of *M.tb*- or BCG-specific responses. Re-vaccination transiently boosted BCG-specific Th1 cytokine-expressing CD4, CD8 and $\gamma\delta$ T cells. Despite high frequencies of IFN γ -expressing BCG-reactive CD3⁺CD56⁺ NKT-like, CD3⁻CD56^{dim} and CD3⁻CD56^{hi} NK cells at baseline, BCG re-vaccination boosted these responses, which remained elevated up to one year after re-vaccination.

¹This study was funded by the Tuberculosis Research Unit at Case Western Reserve University, established with funds from the United States National Institutes of Allergy and Infectious Diseases, National Institutes of Health and Human Services, under Contract No. NO1-AI95383 and HHSN266200700022C/NO1-AI-70022 and National Institutes of Health grant RO1-AI087915. SS is supported by Carnegie corporation and South Africa National Research Foundation Innovation post-doctoral fellowships.

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Such BCG-reactive memory NK cells were induced by BCG vaccination in infants, while *in vitro* IFN- γ expression by NK cells upon BCG stimulation was dependent on IL-12 and IL-18.

Our data suggest that isoniazid pre-clearance of *M.tb* bacilli has little effect on the magnitude, persistence or functional attributes of lymphocyte responses boosted by BCG re-vaccination. Our study highlights surprising durability of BCG-boosted memory NKT-like and NK cells expressing anti-mycobacterial effector molecules, which may be novel targets for TB vaccines.

Introduction

Tuberculosis is the leading cause of morbidity and mortality from a curable bacterial infection worldwide (1). It is estimated that one third of the global population is infected with *Mycobacterium tuberculosis* (M.tb) (2), placing a massive number of people at risk for subsequent TB disease. Isoniazid preventative therapy (IPT), targeted at clearing replicating *M.tb* bacilli, decreases the risk of TB disease by 60% in a combined analysis of randomized controlled trials of IPT in HIV-uninfected adults (3). However, in settings of ongoing *M.tb* transmission, efficacy of IPT may be short-lived (4), and prophylaxis is typically targeted at high-risk populations, such as young children and HIV-infected persons (5). Paradoxically, established latent *M.tb* infection (LTBI) may afford some protection against risk of active TB disease upon subsequent re-infection in Bacille Calmette-Guerin (BCG)-naïve persons (6). The mechanisms of this protective effect are unknown, but one possibility is through maintenance of protective *M.tb* antigen-driven effector responses, as demonstrated in BCGvaccinated mice (7). As a consequence, some investigators have argued that IPT-mediated clearance of *M.tb* may remove antigen-driven immunity, rendering the person at elevated risk of reinfection with M.tb and risk of TB disease. Household contacts of index TB cases reverted from positive tuberculin skin tests (TST) following IPT in a Ugandan cohort study (8) and decreases in *M.tb*-specific T cell responses were reported after anti-TB treatment (9) or treatment of LTBI (10). However, other studies showed no changes or even increased levels of IFNy responses after IPT and/or TB treatment (11-16).

We recently completed a phase 1 randomized controlled trial in healthy, TST-positive HIVnegative South African adults to determine how INH treatment before re-vaccination with BCG changed *M.tb*-specific immune responses (17). We reasoned that pre-clearance of latent *M.tb* bacilli by IPT would enhance specific immune responses to *M.tb* after BCG revaccination, compensating for possible waning of immunity resulting from clearance of the underlying *M.tb* infection. We previously reported that IPT had no effect on changes in QuantiFERON-TB Gold In-Tube assay results during short-term follow-up (17), and that BCG re-vaccination of adults with latent *M.tb* infection (LTBI) was safe and well tolerated (18).

In the present study, we performed comprehensive analyses of *M.tb* and BCG-specific CD4 and CD8 T cell responses, as well as BCG-reactive natural killer (NK) cells and unconventional T cells, such as, CD3⁺CD56⁺ (hereafter termed NKT-like) and gamma delta ($\gamma\delta$) T cells, and addressed three questions: First, does INH pre-treatment of adults with LTBI modulate frequencies and/or functional profiles of mycobacteria-specific immune responses? Second, can BCG re-vaccination boost pre-existing mycobacteria-specific

responses in adults who are highly sensitized to *M.tb*? Third, does BCG re-vaccination induce durable changes in BCG-reactive immune cells, which could potentially be targeted

Materials and Methods

1. Study Participants and Treatment Groups

with whole cell vaccines against TB?

BCG re-vaccination trial—We recruited healthy 18 to 40 year old South African adults, who were strongly TST positive (15mm induration when tested with PPD RT-23); HIVseronegative; received BCG at birth and had a visible BCG scar. Participants were recruited from the population of Worcester in the Western Cape, South Africa; an area highly endemic for TB. In this phase I trial, participants were randomized in parallel into two groups in a 1:1 ratio (Fig. 1) as previously described (17, 18). Participants in the first group were observed for 7 months, then vaccinated with BCG, and subsequently treated with INH 6 months later (Observation-BCG-INH: OBI group). Participants in the second group received a course of 6 months of INH within a maximum period of 7 months, followed by BCG vaccination and a subsequent period of observation (INH-BCG-Observation: IBO group). Danish strain 1331 BCG Vaccine SSI (Statens Serum Institut, Copenhagen, Denmark), the BCG vaccine used in the South African national immunization program and one of the most widely administered BCG vaccines worldwide, was administered intradermally at an adult dose of 2 to 8×10^5 CFUs. INH (isoniazid, Westward Pharmaceutical Corporation, Eatontown, NJ, USA) was administered daily at 5mg/kg rounded up to the nearest 100mg (maximum dose 300 mg/ day), and INH adherence was monitored by pill counts at clinic visits and random urine INH metabolite testing (18). Heparinized whole blood was collected from participants and processed within 45 minutes of phlebotomy, as previously described (19), at enrollment, 1 month after IPT initiation, at BCG vaccination, at 3 and 5 weeks, and 1 year postvaccination (Fig. 1B and C).

Delayed BCG vaccination study—We performed a sub-analysis of a larger delayed BCG-vaccination cohort. Pregnant mothers were contacted through public and private hospitals in Worcester, in the Western Cape, South Africa. Healthy newborns born to consenting mothers received intradermal BCG (Danish strain 1331, Statens Serm Institut) vaccine at the infant dose of $1-4 \times 10^5$ CFUs at 6 weeks of age. Another cohort of healthy infants who received BCG at birth, as is routine, was recruited. Heparinized whole blood was collected at 5 weeks of age from all infants, prior to administration of other routine vaccinations (and BCG in one group) scheduled at 6 weeks of age.

Healthy adult volunteer recruitment—We recruited healthy adult volunteers over 18 years of age, who received BCG vaccination at birth. Heparinized whole blood was collected to test effects of cytokine stimulation and neutralizing antibodies, as described below.

2. Ethics Statement

All adult participants provided written informed consent. The protocol was approved by the Medicines Control Council (MCC) of South Africa, Human Research Ethics Committee (HREC) of the University of Cape Town (Ref. 387/2008) and the University Hospitals Case

Medical Center institutional review board. The trial was registered on ClinicalTrials.gov (NCT01119521). Mothers of infants in the delayed BCG-vaccination cohort provided written informed consent. Human Research Ethics Committee (HREC) of the University of Cape Town also approved protocols for blood collection from healthy volunteers and infants vaccinated at birth (Ref. 126/2006) and delayed BCG vaccination (Ref. 177/2011). We adhered to the World Medical Association's Declaration of Helsinki and Good Clinical Practice (GCP) guidelines during the treatment of all participants.

3. Antigens and Whole Blood Intracellular Cytokine Staining (WB-ICS) assay

Heparinized whole blood was collected from participants and processed within 45 minutes of phlebotomy, as previously described (19). Antigens included BCG Vaccine SSI (Biovac, Cape Town, South Africa) reconstituted in RPMI, (final concentration 1.2×10^6 CFU/mL blood), and, in some experiments, 15-mer peptide pools spanning ESAT-6 and CFP-10 (Peptide Protein Research Ltd, London, UK, final concentration of 1µg/mL/peptide). Phytohaemagglutinin (PHA, at 5µg/1mL) was used as a positive mitogen control (Bioweb, South Africa), and medium only was used for unstimulated negative controls. All ICS assay stimulations were performed with anti-CD28 and anti-CD49d co-stimulatory antibodies (BD BioSciences, Missisauga, Canada) each at 0.5µg/mL blood. Blood was stimulated at 37°C for a total of 12 hours and Brefeldin-A (Sigma Aldrich, St. Louis, USA) was added for the final 5 hours stimulation at 10µg/mL. After stimulation, blood was treated with 2mM EDTA, red blood cells were lysed with 1:10 FACS Lysing solution (BD), and fixed white cells were cryopreserved in cryosolution containing 10% DMSO, 40% FCS and 50% RPMI. For cytokine stimulation experiments, we stimulated fresh whole blood with BCG in the ICS assay, as described above, in the presence of combinations of the following recombinant cytokines or blocking antibodies: rhIL-2 (BD BioSciences, catalogue no. 554603), rhIL-12p70 (eBioscience, San Diego, CA, USA, catalogue no. 34-8129), or rhIL-18/IL-1F4 (R&D Systems, Minneapolis, MN, USA, catalogue no. B001-5) at a final concentration each of 0.1µg/mL in whole blood. Neutralizing antibodies included isotype controls: mouse IgG1 (clone. 11711, R&D Systems), and Rat IgG2a (clone. A110-2, R&D Systems), as well as rat anti-human IL-2 (clone. MQ1-17H12, BD Biosciences), mouse anti-human IL-12 (clone. 24910. R&D Systems), and mouse anti-human IL-18 (clone. 125-2H, R&D Systems). All were used at a final concentration of 10µg/mL in blood. The ICS assay was completed as described above.

4. Antibodies and Flow Cytometry

Cryopreserved whole blood samples were thawed and stained at 4°C in BD perm/wash buffer (BD) with either one of two antibody cocktails, described in detail in **Table. S1**. Samples were acquired on BD LSR-II flow cytometer configured with 4 lasers: Solid state Blue (488nm; 100mW; 3 detectors), Solid state Violet (405nm; 25mW; 8 detectors), HeNe gas Red (635nm; 70mW; 3 detectors), and Diode-pumped Coherent Compass (532nm; 150mW; 8 detectors). Single stained rat κ chain BD CompBeads (BD) were used to compensate APC in the first panel, and mouse single stained κ chain BD CompBeads (BD) for all other parameters. Samples from the same participant were batched for acquisition on the same day.

5. Statistical Analysis

Flow cytometry files were analyzed using Flowjo version 9.7-9.8 (Treestar, Ashland, OR, USA), as shown in **Suppl. Fig. 1**. Background subtractions were performed in Pestle version 1.7 and Boolean cytokine combinations were analyzed in SPICE version 5.3 (20). Statistical analyses and graphs were performed using Prism version 6 (GraphPad, La Jolla, CA, USA). Paired longitudinal comparisons within the same group were done using Wilcoxon signed rank test. Comparisons across the two treatment groups were done using the Mann-Whitney Utest. P-values were reported unadjusted, but were interpreted after adjustment using Bonferroni correction for multiple comparisons.

Results

Enrolment and demographic characteristics

We enrolled and followed up seventy-two participants; either randomized into INH-BCG-Observation (IBO, n = 33) or Observation-BCG-INH arms (OBI, n = 39) from October 2010 to July 2013 (**Fig. 1**). Baseline characteristics of the cohort were previously reported (18); there were no significant differences between the two groups with respect to age, gender, body mass index (BMI), whole blood count (WBC), hemoglobin levels, QFT positivity rate or size of TST induration. Adherence with IPT during the trial was excellent for both study arms; 87% of all urine INH metabolite tests performed during the trial were positive (18).

Minor effect of IPT on total mycobacteria-specific immune responses

We first sought to determine if pre-clearance of underlying *M.tb* infection with INH modulates cytokine co-expression patterns and/or frequencies of *M.tb*-specific T cells. Total ESAT-6/CFP10-specific CD4 and CD8 responses, defined as cells expressing any of the 5 cytokines, decreased after enrolment in both groups (IBO p=0.0076, OBI p=0.0005). This decline was not different between participants who received IPT and those who did not (**Fig. 2A**), and therefore, suggests that IPT did not modulate frequencies of mycobacteria-specific CD4 T cells. Frequencies of ESAT-6/CFP10-specific CD8 responses were too low to accurately define cytokine co-expression patterns (**Fig 2A**). IFN γ , TNF α , IL-2, IL-17 and/or IL-22 co-expression profiles of ESAT-6/CFP10-specific CD4 T cells were not modulated by IPT (**Fig. 2B**). Similarly, no differences were observed in $\gamma\delta$ T cells, CD3⁺CD56⁺ NKT-like, CD3⁻CD56^{dim} or CD3⁻CD56^{hi} NK cell responses to ESAT-6/CFP10 stimulation between the two groups (**Suppl. Fig. 2A**).

We also analyzed effects of IPT on frequencies of BCG-reactive CD4, CD8, $\gamma\delta$ T cells, CD3⁺CD56⁺ NKT-like, or CD3⁻CD56⁺ NK cells and observed no marked differences (**Fig. 2C and Suppl. Fig. 2B**). However, in the IPT-treated group, relative proportions of IL-22-expressing cells amongst total cytokine-expressing BCG-specific CD4 T cells increased while the proportions of cells expressing IFN γ decreased. This was not observed in the IPT-untreated group (**Fig. 2D**).

BCG transiently boosted mycobacteria-specific CD4, CD8 and γδ T cell responses

We also determined if BCG re-vaccination boosted mycobacteria-specific CD4 T cell responses in adults who were highly sensitized to mycobacterial antigens (**Fig. 3A**). Relative

to the pre-vaccination time-point, we observed increased frequencies of total cytokineexpressing BCG-specific CD4 responses at 3 and 5 weeks after BCG re-vaccination (**Fig. 3B and C**). This finding was observed irrespective of whether participants received IPT pretreatment or not (**Fig. 3C and D**). However, BCG re-vaccination resulted in a decrease in proportions of IL-22-expressing BCG-specific CD4 T cells in the INH pre-treated group only (**Fig. 3E**). In both groups, total BCG-specific responses reverted to baseline levels 1 year after re-vaccination (**Fig. 3F**).

Similarly to CD4 T cells, frequencies of IFN γ -expressing CD8 and $\gamma\delta$ T cells were also transiently boosted by BCG re-vaccination, although to a lesser magnitude than CD4 T cells (**Fig. 4**). BCG-reactive CD8, $\gamma\delta$ T, CD3⁺CD56⁺ NKT-like, CD56^{dim} and CD56^{hi} NK cells predominantly expressed IFN γ (**Suppl. Fig. 3A**). Therefore, we analyzed frequencies of total BCG-reactive IFN γ -expressing cells to simplify the analysis. At 1 year post-vaccination, BCG-specific IFN γ -expressing CD8 and $\gamma\delta$ T cells had reverted to levels observed before BCG re-vaccination irrespective of IPT pre-treatment (**Fig. 4C and F**).

BCG re-vaccination induced durable CD3+CD56+ NKT-like responses

M.tb-specific CD3⁺TCRV β 11⁺ NKT cells were recently shown to contribute to the antimycobacterial immune response (21). We also sought to determine if BCG re-vaccination boosted BCG-reactive CD3⁺CD56⁺ NKT-like cell responses. Before vaccination, IPT preclearance had no effect on frequencies of BCG-reactive CD3⁺CD56⁺ NKT-like cells (**Fig. S2B**). Frequencies of BCG-reactive IFN γ -expressing CD3⁺CD56⁺ NKT-like cells significantly increased above baseline levels 3 and 5 weeks after BCG re-vaccination (**Fig. 5A and B**). Remarkably, however, these BCG-reactive CD3⁺CD56⁺ NKT-like cell responses remained above baseline levels up to 1 year post-vaccination in the IBO group (**Fig. 5C**), suggesting that BCG re-vaccination boosted highly durable NKT-like cell responses.

BCG re-vaccination induced highly durable CD56^{dim} and CD56^{hi} NK responses

A recent study reported no changes in IFN γ -expressing NK cell frequencies after BCG vaccination in humans, but showed that BCG-induced heterologous protective immunity against disseminated *C. albicans* infection in SCID mice was partially dependent on NK cells (22). To determine if BCG re-vaccination of persons with underlying LTBI boosted mycobacteria-reactive NK cell responses, we quantified cytokine-expressing CD56^{dim} and CD56^{hi} NK cells (**Fig. 5D and G**). BCG-reactive CD56^{dim} and CD56^{hi} NK cells expressed almost exclusively IFN γ and none of the other 4 cytokines (**Suppl. Fig. 3A**). Surprisingly, frequencies of IFN γ -expressing CD56^{dim} and CD56^{hi} NK cells repressing CD56^{dim} and CD56^{hi} NK cells were markedly higher than those observed before BCG re-vaccination (**Fig. 5F and I**).

BCG-responsive IFN γ -expressing CD56^{dim} and CD56^{hi} NK cells are distinct NK subsets with effector function

The highly durable BCG-reactive NK responses prompted us to explore phenotypic and/or functional markers that may associate with their memory characteristics. We measured cell surface expression of CD57, CD158b, CD161, CD8 and CD16 (FcyRIII), and intracellular expression of IFN_γ and perforin, by CD56^{dim} and CD56^{hi} NK cells in a subset of 19 participants from the IBO group (Table S1, panel 2). Within the entire NK population, CD16 was expressed highly on CD56^{dim}, but not CD56^{hi} NK cells, as previously described (23). As a consequence, we included CD16 as an additional lineage marker to differentiate CD56^{dim} and CD56^{hi} NK cells in subsequent analyses (Fig. 6A). Expression of the NK surface markers CD57, CD158b, CD161 and CD8 was more heterogeneous in bulk CD56^{dim}CD16⁺ than in CD56^{hi}CD16^{lo} NK cells (Fig. 6B and C). Although both NK subsets expressed perforin, the CD56^{dim}CD16⁺ NK subset expressed higher perforin levels than CD56^{hi}CD16^{lo} NK cells (Fig. 6A and D). This is consistent with the previously reported greater cytotoxic potential of the CD56^{dim}CD16⁺ NK subset (23). Relative to unstimulated samples, BCG stimulation also induced higher expression of perforin in CD56^{hi}CD16^{lo}, but not CD56^{dim}CD16⁺ NK cells (Fig. 6E). At 1 year after BCG revaccination, BCG-stimulated CD56hiCD16lo NK cells expressed higher levels of perforin compared with baseline (unadjusted p=0.023), suggesting a highly durable BCG-mediated modulation of cytotoxic effector function.

One explanation for the memory function of NK cells may be that BCG induces NK cell differentiation, as reported previously (22). To explore this, we compared the immunophenotypes of NK cells before and after BCG re-vaccination. No marked changes in cell surface expression of CD57, CD158b, CD161 or CD8 were detected for either NK subset following BCG re-vaccination (**Fig 6C**). The predominant BCG-reactive, IFNγ-expressing CD56^{hi}CD16^{lo} and CD56^{dim}CD16⁺ NK subsets expressed a CD8⁻CD57⁻CD158b⁻CD161⁺ phenotype (**Fig. 6C**).

The unexpected responses by these lymphocyte subsets to BCG re-vaccination prompted us to explore their relative contributions to the IFN γ response to BCG. Although $\gamma\delta$ T cells, NKT-like cells, CD56^{dim} and CD56^{hi} NK cells constitute less than a quarter of the lymphocytes in peripheral blood, these cells collectively comprised approximately half of the overall BCG-specific IFN γ -expressing cellular response (**Fig. 6F**).

BCG-responsive IFN γ -expressing NKT-like and NK cells are induced by BCG vaccination in infants

Viral infections, such as CMV, have been reported to sensitize NK cells to differentiate into adaptive "memory" NK cells with enhanced antiviral responses (24, 25). Based on our observed enhanced NK responses to BCG following re-vaccination (**Fig. 5D-I**), we hypothesized that BCG vaccination of mycobacteria-naïve infants would sensitize BCG-reactive memory NK responses. Consequently, we measured frequencies of IFNγ-expressing BCG-reactive CD56^{dim}CD16⁺ and CD56^{hi}CD16^{lo} cells in 5 week old infants who either received BCG at birth or not (**Fig. 7A-C**). Frequencies of IFNγ-expressing BCG-reactive CD56^{dim}CD16^{lo} were very low in unvaccinated infants (**Fig. 7B and C**).

By contrast, infants who received routine BCG vaccination at birth had high levels of IFNγexpressing NK cells (**Fig. 7B and C**). Interestingly, BCG vaccination also induced high frequencies of IFNγ-expressing BCG-reactive CD3⁺CD56⁺ NKT-like cells (**Fig. 7B and C**).

BCG-responsive IFNγ-expressing NKT-like and NK cells are activated by IL-12 and IL-18

A possible mechanism underlying the highly durable BCG-induced NK memory response is through bystander activation by cytokines expressed by conventional BCG-specific memory T cells. Two studies reported that durable NK responses induced by rabies virus vaccination, or a novel TB vaccine candidate, were associated with IL-2 expressed by antigen-specific CD4 T cells (26, 27). We therefore investigated associations between frequencies of BCGreactive NK memory responses and cytokine-expressing BCG-specific CD4 T cells in the adults who received BCG re-vaccination. We detected a moderate positive correlation between frequencies of BCG-specific IL-2-expressing CD4 T cells and BCG-reactive IFNγexpressing CD56^{hi}CD16^{lo}, as well as CD56^{dim}CD16⁺ NK cells 3 weeks following revaccination (**Fig. 8A**). However, this association was not observed before or one year after BCG re-vaccination (**Fig. 8A**). Since BCG-specific IL-2-expressing memory CD4 T cells were not maintained for 52 weeks (**Figs. 3 and 8B**), this bystander activation may only partially explain the NK memory response. Furthermore, although PHA stimulation induced high frequencies of IL-2-expressing CD4 T cells, this was not associated with enhanced IFNγ-expressing memory NK cells in a manner similar to BCG (**Fig. 8B**).

We therefore sought to determine to which degree IFN γ expression by NK cells after BCG stimulation was dependent on IL-2, or the pro-inflammatory innate cytokines IL-12 and IL-18, which have been reported to promote NK responses (28, 29). Stimulation of whole blood with rIL-2 alone induced IFN- γ expression from a moderate proportion of CD56^{dim}CD16⁺ and CD56^{hi}CD16^{lo} NK cells (**Fig. 8C and D**). Stimulation with rIL-12 and rIL-18 in the absence of BCG markedly enhanced the proportion of responding NK cells.

Conversely, blocking IL-12 and IL-18 with neutralizing antibodies virtually completely abolished BCG-induced IFN γ expression by CD56^{dim}CD16⁺ and CD56^{hi}CD16^{lo} NK cells (**Fig. 8E**). Blocking with IL-2 alone did not significantly reduce the NK response to BCG (**Fig. 8E**). Collectively, these data suggest that BCG-induced IFN γ expression by NK cells is entirely dependent on bystander stimulation with the innate cytokines IL-12 and IL-18.

Discussion

In this study, we addressed three critical immunological questions related to TB prevention strategies in endemic areas (30). Firstly, whether isoniazid treatment of *M.tb*-sensitized adults modulated mycobacteria-specific immune responses. Secondly, whether BCG revaccination boosted pre-existing mycobacteria-specific responses in adults with previous exposure and sensitization to *M.tb* and, thirdly, whether BCG re-vaccination induced durable changes to BCG-reactive lymphocytes.

Our data showed that IPT had little detectable impact on the magnitude or cytokineexpression profiles of conventional mycobacteria-specific T cells or BCG-reactive CD3⁺CD56⁺ NKT-like and CD3⁻CD56⁺ NK cell responses in peripheral blood. This was

consistent with previous studies that measured effects of IPT on frequencies of antigenspecific T cells (11-16), although other studies have reported decreases in antigen-specific T cell responses after IPT (8-10). One exception was an unexpected moderate proportional increase in frequencies of BCG-specific IL-22-expressing CD4 T cells, which was reversed following BCG re-vaccination. Higher levels of IL-22 were reported in the bronchoalveolar lavage fluid (BALF) of pulmonary TB patients relative to healthy individuals (31), suggesting a role for IL-22 in the immune response to *M.tb*. Thus, it remains possible that higher proportions of BCG-specific IL-22-expressing CD4 T cells in peripheral blood are associated with IPT-induced reduction in antigen load at the infection site. Re-vaccination with BCG reversed the relative proportions of IL-22 and IFN γ , potentially through reintroduction of antigen at the injection site (**Fig. 3E**).

A moderate decrease in ESAT-6/CFP-10-specific CD4 T cell responses was observed in both groups, independently of IPT treatment. This was consistent with the previously reported decrease in *M.tb*-specific IFN γ responses measured by QuantiFERON TB-Gold In-Tube assay in these groups (17), and is likely the result of waning T cell responses following a transient boost by TST, performed at screening (32). Detection of cytokine-expressing NK and $\gamma\delta$ T cell responses after ESAT-6/CFP-10 stimulation was likely a result of bystander activation from antigen-specific cytokine-expressing ESAT-6/CFP-10-specific CD4 and/or CD8 T cells. Collectively, we found no evidence to suggest that INH pre-clearance of *M.tb* might compromise the durability of mycobacteria-specific immune responses (8), at least in the short-term. However, given the high-levels of *M.tb* transmission in the study setting in South Africa (32), it is possible that some participants may have been re-exposed and/or re-infected, which may in turn boost levels of mycobacteria-specific memory T cells.

We previously reported that BCG re-vaccination of these *M.tb*-sensitized, TST+ adults appeared safe and well tolerated (18). We now demonstrate that BCG re-vaccination transiently boosted Th1 cytokine-expressing, mycobacteria-specific CD4, CD8 and $\gamma\delta$ T cell responses despite high levels of prior *M.tb*-sensitization. IPT pre-treatment also did not affect BCG-boosting of these responses. The transient nature of these T cell responses was not surprising given the high baseline magnitude of *M.tb*-specific T cell responses. This may represent an example of masking of the BCG-induced immune response, which has previously been described in children (33). Nevertheless, we demonstrate that BCG is immunogenic, even in the context of prior sensitization, providing evidence against the contrary hypothesis of immunological blocking of the BCG-induced immune response (34). Our study does not address whether these findings have implications for BCG-induced protective immunity. Frequencies and cytokine-expression profiles of BCG-specific CD4, CD8 and $\gamma\delta$ T cells did not correlate with risk of TB in 10-week old infants (35), highlighting the importance of broadening our assessment of BCG-reactive immune responses.

We show for the first time in humans that TB vaccine-boosted CD3⁺CD56⁺ NKT-like cell responses persisted up to 1 year post-vaccination, suggesting memory function akin to conventional T cells. This is consistent with a recent report that BCG vaccination of non-human primates induced IFNγ-expressing glucose monomycolate (GMM)-specific NKT responses (36). Mycolic acids, including GMMs, are present on both *M.tb* and BCG, and are

recognized by CD1b-restricted (37) and CD1c-restricted NKT cells (36). Interestingly, CD1restricted NKT responses were reported to be inhibited by isoniazid therapy (38). We cannot rule out that the CD1-restricted NKT cell subset may have been modulated by IPT in our study. Since we used CD3⁺CD56⁺ as a broad heterogeneous definition of NKT cells, we were not able to definitively identify CD1-restricted NKT cells. Ongoing work aims to elucidate the memory potential of specific CD1-restricted T cells in response to BCG, as a model for whole cell TB vaccines, using CD1 tetramers (39).

The most intriguing finding in this study was that BCG re-vaccination boosted peripheral blood BCG-reactive CD56hiCD16lo and CD56dimCD16⁺ NK cells, which persisted for a very long time, at least 1 year after vaccination. The dependence of IFN- γ expressing NK responses to BCG on prior BCG vaccination in infants is consistent with adaptation of these NK cells to display immunological memory. Such innate cell memory function has been attributed to epigenetic modifications in previous studies. For example, CMV was shown to induce durable genome-wide epigenetic footprints in signaling adaptors of NK cells, such as SYK (40). These epigenetic changes modulated antibody-dependent expansion of CMVreactive NK cells through reduced $Fc\gamma R$ expression (41). Epigenetic modification of NK cells has also been suggested to underlie BCG-induced cross-protection against related pathogens, such as Mycobacterium leprae (42), and C. albicans (22). Our phenotypic profiling of CD56dim and CD56hi NK cells, based on a limited set of NK markers, did not support striking differentiation of BCG-reactive NK cells. In mycobacteria-unexposed infants, however, BCG-reactive IFN_γ-expressing CD56^{hi} NK cells were expanded in vaccinated infants (Fig. 7). Therefore, BCG may induce epigenetic modifications and differentiation of NK cells, but we only tested a limited repertoire of NK receptors as a surrogate for differentiation. Alternatively, BCG has also been shown to induce immune training via NOD2-mediated epigenetic modification of monocyte inflammatory genes (43). Such epigenetic modifications of monocytes could result in enhanced IL-12 and IL-18 production to indirectly drive sustained NK activation upon re-stimulation (44). Finally, BCG vaccination also expands the frequencies of BCG-specific IL-2⁺ conventional CD4 T cells (45), which may indirectly expand BCG-reactive NK cells. Our study design did not allow identification of the exact mechanism underlying the BCG-induced memory response by NK cells.

A previous study reported BCG-induced unconventional CD4⁻CD8⁻ T cells, including $\gamma\delta$ T cells and possibly NK cells, in 10-week old infants with no prior mycobacterial sensitization who had received BCG at birth (46). Since BCG-induced conventional CD4 and CD8 T cell response peaks at 10 weeks of age (47), it is possible that BCG-reactive recall response by unconventional cells was indirectly activated by cytokines expressed by BCG-specific conventional T cells. NK recall responses were shown to depend on IL-2 expressed by antigen-specific CD4 T cells, as well as IL-12 and IL-18 from other accessory cells. In the present study, frequencies of IL-2-expressing BCG-specific CD4 responses weakly correlated with IFN γ -expressing BCG-reactive NK cells. However, induction of IL-2 by PHA stimulation or addition of rhIL-2, as well as the more durable BCG-reactive NK responses observed post-BCG re-vaccination suggest that IL-2 was not the primary driver of BCG-reactive NK responses. In fact, no clear sign of waning of these memory NK

populations was detected even 1 year after BCG re-vaccination. It was clear, nonetheless, that IL-12 and IL-18 were indispensible for IFN γ production by both CD56^{hi}CD16^{lo} and CD56^{dim}CD16⁺ BCG-reactive NK cells. IL-12 signaling was previously shown to be required for the generation and maintenance of CMV-reactive memory NK cells (29). Furthermore, IL-18 signaling downstream of *M.tb* infection in mice, was recently shown to be critical for IFN γ production by NK cells, which conferred partial protection independently of antigen-specific T cell responses (28).

Interestingly, we found that CD56^{dim} and CD56^{hi} NK subsets contributed differentially to the BCG-specific response. Perforin expression was universally high in CD56^{dim} cells, whereas its expression was inducible in CD56^{hi} cells by BCG stimulation. We show that BCG-responsive IFN γ -expressing NK cells predominantly expressed CD161 and not CD158b or CD57. CD161 expression has been associated with activation in immature NK cells (48), while expression of NK receptor CD158b (KIR2DL2/DL3) (49) and CD57 (50) on CD56⁺ NK cells have been associated with attenuation of NK functions in chronic infections. Therefore, our data suggest that BCG-boosted NK cells are in an early or intermediate stage of differentiation. Under this hypothesis, high mycobacterial loads, for instance during active TB disease, may drive NK differentiation towards a more differentiated CD158b⁺CD57⁺ phenotype with possible compromise of IFN γ expression, as previously shown in response to viral infections (49, 50). Regardless, we did not observe any changes in NK cell phenotype after BCG re-vaccination that may be associated with the observed memory characteristic.

In conclusion, our study demonstrates the impact of BCG re-vaccination on properties of mycobacteria-induced responses in *M.tb*-sensitized populations. NKT-like and NK memory responses may be novel targets for induction by new TB vaccines in endemic countries. Further work is needed to investigate how new vaccines modulate NK functions, and whether these NK cells may contribute to protective immunity to TB. Systematic testing and treatment of LTBI is recommended for persons at risk of progressing to active disease in high-income or upper middle-income countries, with estimated TB incidence rates below 100/100,000 population (51). In resource-limited and middle-income countries with TB incidence rates above 100/100,000 population, IPT for treatment of LTBI is recommended only for children below 5 years of age and people living with HIV (51). Since INH preclearance of latent *M.tb* bacilli had no marked effect on the magnitude, persistence or functional attributes of lymphocyte responses boosted by BCG, pre-vaccination IPT would likely neither interfere with nor enhance the protective efficacy of new TB vaccines and vaccination strategies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Fig. 1.

(A) Consort diagram of participant recruitment and enrolment. (**B and C**) Study design: Schematic representation of treatments schedule of visits and blood draws in the two groups. (**B**) Group receiving no INH before BCG re-vaccination, observed for 6 months, then receiving 6 month dose of INH (in a maximum period of 7 months) for clinical equivalence (Observation-BCG-INH: OBI, n = 39). (**C**) Group receiving 6 months dose of INH, BCG revaccinated, observed for 12 months (INH-BCG-Observation: IBO, bottom, n = 33). Blood draws for whole blood ICS are indicated with inverted triangles for both groups. Blue and red shaded squares correspond to when the OBI and IBO groups received IPT, respectively. Stars denote time-points when additional experiments characterizing NK cells were performed.



Fig. 2. Effect of INH on ESAT-6/CFP-10-reactive cytokine-expressing lymphocyte subsets (A) Frequencies of ESAT-6/CFP-10-reactive cytokine-expressing CD4⁺ (left) and CD8⁺ (right) T cells. All frequencies were background subtracted. Red and blue lines denote the group treated (IBO) or not treated (OBI) with INH for 6 months in the time window preceding BCG re-vaccination, respectively. No sample was collected at 22 weeks before BCG re-vaccination in the OBI group. Unadjusted p-values were calculated with the Mann-Whitney U-test, comparing frequencies of cytokine-positive cells between the two groups. To correct for multiple testing (Bonferroni method), P-values below 0.025 were considered statistically significant. (B) Median proportions of ESAT-6/CFP10 peptide pool-specific CD4 T cells co-expressing IFNy, TNFa, IL-2, IL-17, and/or IL-22, either after isoniazid treatment (IBO) or observation (OBI). Arcs represent the cytokines expressed within each pie slice. (C) Same graphs as (A) for BCG-specific responses. (D) Proportions of BCGspecific CD4 T cells co-expressing IFNy and/or IL-22 following isoniazid or observation. Unadjusted p-values were calculated with the Wilcoxon signed rank test before and after IPT or observation. To correct for multiple testing (Bonferroni method) P-values below 0.0125 (4 comparisons) were considered statistically significant.



Fig. 3. BCG-specific CD4 T cell responses after BCG re-vaccination

(A) Schematic diagram of blood draws to assess BCG-specific T cell responses after revaccination. (B) Representative flow cytometry plots of CD4 T cells in one OBI participant. First and second rows correspond to TNFa vs IFNy profiles before BCG vaccination (pre-BCG vaccination, top) and 3 weeks post-vaccination (bottom) in the unstimulated (UNS, left) or BCG-stimulated (BCG, right) samples. (C) Total frequencies of BCG-specific cytokine-positive CD4 T cells in all participants from the OBI (top, blue) or IBO groups (bottom, red). P-values were calculated using Wilcoxon signed rank test, comparing the preand post-vaccination visits. Bonferroni-adjusted p-value threshold of 0.025 after correcting for the two performed comparisons was considered statistically significant. (D) Median (error bars represent IQR) frequencies of BCG-induced CD4 T cell responses. Blue and red lines correspond to group pre-treated (IBO) or not treated (OBI) with INH for 6 months. Unadjusted p-values were calculated using the Mann-Whitney U-test, comparing the two groups. (E) Median proportions of BCG-specific CD4 T cells co-expressing IFN γ , TNF α , IL-2, IL-17, and/or IL-22, either in groups observed only (OBI, top) or pre-treated with INH for 6 months (IBO, bottom). Numbers in the pie slices indicate the number of co-expressed cytokines. Arcs represent the cytokines expressed within each pie slice. P-values were calculated using a non-parametric paired permutation test comparing the proportions of subsets between two pies. (F) Median (error bars denote IQR) BCG-specific cytokine responses 1 year post-vaccination to pre-BCG baseline: total expression of at least one of 5

cytokines (IL-2, IL-17, IL-22, IFN γ and TNF α) in CD4 T cells. P-values were calculated using Wilcoxon signed rank test between baseline and 1-year post-vaccination responses within each group. Bonferroni adjusted p-value threshold of 0.025 was considered statistically significant.









(A) Representative flow cytometry plots depicting IFNγ-expressing cells in BCG-reactive CD3⁺CD56⁺ NKT-like cells. (B) Frequencies of BCG-reactive IFNγ-expressing cells within each cell subset in participants from the OBI group only. Unadjusted p-values, calculated using the Wilcoxon signed rank test, represent comparisons between timepoints (top right).
(C) Comparison of median (error bars denote IQR) BCG-specific IFNγ responses 1 year post-vaccination to pre-BCG baseline. *P*-values were calculated using Wilcoxon signed rank test between baseline and 1-year post-vaccination responses. Bonferroni adjusted p-value threshold of 0.025 was used to correct for the two performed comparisons in B and C. Similar graphs are shown for CD3⁻CD56^{dim} NK cells (D-F) and CD3⁻CD56^{hi} NK cells (G-I).



Fig. 6. Functional and phenotypic characterization of BCG-reactive $\rm CD56^{dim}$ and $\rm CD56^{hi}~NK$ cells

(A) Representative flow cytometry plots of CD16 and CD56 expression by CD3⁻ lymphocytes to identify NK cell subsets (top left): CD56^{hi}CD16^{lo} and CD56^{dim}CD16⁺ populations. Perforin expression by NK subsets and CD3⁻CD56⁻CD16⁻ non-T or NK cells plotted against CD56 expression (bottom left). (B) Representative plots of total (left) CD56^{hi}CD16⁻ (blue plots, top) and CD56^{dim}CD16⁺ (red plots, bottom), and BCG-reactive IFNγ-expressing cells (right panels), showing co-expression patterns of CD158b/CD57 (left panels) and CD8/CD161 (right panels). (C) Combinatorial expression of CD8, CD57, CD158b and CD161 as median proportions of CD56^{hi}CD16^{lo} cells (top) and CD56^{dim}CD16⁺ (bottom), either in total NK cell populations before vaccination (left) or 3 weeks post BCG re-vaccination (middle), or only within BCG-reactive IFN_γ-expressing NK cells 3 weeks post re-vaccination (right). Asterisks and arrow correspond to the predominant CD8⁻CD57⁻CD158b⁻CD161⁺ Boolean combination in both NK subsets. (**D**) Box and whisker plots of perforin median fluorescence intensities (MFI) in BCG-stimulated CD56^{hi}CD16^{lo} (blue) and CD56^{dim}CD16⁺ (red) NK cells. Horizontal lines represent medians, the boxes the IQR and the whiskers represent the range. Unadjusted p-values were calculated with Wilcoxon signed rank test between the two subsets at vaccination baseline (pre-BCG), 3 weeks, and 1 year post re-vaccination. (E) Perforin expression, measured as MFI, in a paired analysis of unstimulated and BCG-stimulated samples in CD56^{hi}CD16⁻ (blue lines, top) and CD56^{dim}CD16⁺ cells (red lines, bottom). Unadjusted p-values were calculated with Wilcoxon signed rank test. (F) Median proportions of the respective lymphocyte subsets within peripheral blood 3 weeks post-vaccination in the IBO group (left)

or the median proportional contribution of these lymphocyte subsets to the total BCG-reactive IFN γ^+ cells (right).



Fig. 7. IFN γ -expressing BCG-reactive CD3⁺CD56⁺ NKT-like, CD3⁻CD56^{dim} NK and CD3⁻CD56^{hi} NK cells are induced by BCG vaccination

(A) Study design of delayed BCG vaccination study in infants. Schematic representation of vaccination and blood draws for BCG-unvaccinated (delayed) and BCG-vaccinated infants. Blood was drawn at 5 weeks of age in both groups. (B) Representative flow cytometry plots depicting TNF α vs IFN γ expression in CD3⁺CD56⁺ NKT-like cells (green, left), CD3⁻CD56^{dim}CD16⁺ NK (red, middle), and CD3⁻CD56^{hi}CD16^{lo} NK cells (blue, right) in unstimulated (top) or BCG-stimulated (bottom) samples from either a vaccinated (left panels) or unvaccinated infant (right panels). (C) Frequencies of BCG-reactive IFN γ^+ CD3⁺CD56⁺ NKT-like cells (green, left), CD3⁻CD56^{hi}CD16^{lo} NK (red, middle), and CD3⁻CD56^{hi}CD16^{lo} NK (blue, right) cells. Horizontal lines represent medians, boxes represent the IQR, and whiskers represent the range. Unadjusted p-values were calculated with the Mann-Whitney *U*-test, comparing frequencies of cytokine-positive cells between the two groups. P-values below 0.05 were considered statistically significant.



Fig. 8. By stander activation of BCG-reactive NK cell IFN $\!\gamma$ expression

(A) Frequencies of BCG-specific IL2-expressing CD4⁺ T cells and IFNγ-expressing CD56^{dim}CD16⁺ (red symbols, left) or CD56^{hi}CD16⁻ (blue symbols, middle) NK cells at 3 weeks post BCG re-vaccination in the BCG re-vaccination trial. Unadjusted p-values and correlation coefficients were calculated with Spearman's non-parametric correlation test. The plot on the right depicts Spearman's r coefficients before BCG-vaccination and at 3 weeks or 1 year following BCG re-vaccination. (**B**) Frequencies of IL2-expressing CD4⁺ T cells (green, left), IFNγ-expressing CD3⁻CD56^{dim}CD16⁺ NK (red, middle), and CD3⁻CD56^{hi}CD16^{lo} NK (blue, right) cells in samples stimulated with BCG (top) or PHA (bottom). Horizontal lines represent medians, boxes the IQR, and whiskers represent the range. (**C**) Representative flow cytometry plots of IFNγ expression in CD3⁻CD56^{dim}CD16⁺ NK (red) and CD3⁻CD56^{hi}CD16^{lo} NK (blue) in whole blood from healthy donors incubated with the shown cytokines, blocking antibodies or BCG. (**D and E**) Frequencies of IFNγ expressing CD3⁻CD56^{dim}CD16⁺ NK (red, top) and CD3⁻CD56^{hi}CD16^{lo} NK (blue, bottom) in blood from 5 donors incubated with the shown cytokines, blocking antibodies and/or BCG. Unadjusted p-values were calculated with the Wilcoxon signed rank test.