

HHS Public Access

Author manuscript *J Glaucoma*. Author manuscript; available in PMC 2018 April 01.

Published in final edited form as:

J Glaucoma. 2017 April; 26(4): 311-314. doi:10.1097/IJG.00000000000370.

A Comparison of Sequential Glaucoma Drainage Device Implantation versus Cyclophotocoagulation Following Failure of a Primary Drainage Device

Joshua D. Levinson, MD¹, Annette L. Giangiacomo, MD¹, Allen D. Beck, MD¹, Paul B. Pruett, MD¹, Hillary M. Superak, MSPH², Michael J. Lynn, MS², and Anastasios Costarides, MD, PhD¹

¹Department of Ophthalmology, Emory University, Atlanta, GA

²Department of Biostatistics and Bioinformatics, Emory University, Atlanta, GA

Abstract

Purpose—To compare sequential glaucoma drainage device (GDD) implantation with transscleral diode cyclophotocoagulation (CPC) following failure of a primary GDD.

Materials and Methods—Retrospective review of all patients who underwent GDD implantation at a single institution over ten years. Patients who required an additional GDD and/or CPC were analyzed. Success was defined as absence of: loss of light perception, reoperation for glaucoma, and IOP >21 or < 6 at two consecutive visits after an initial 3-month period.

Results—Thirty-two patients received sequential GDD. Twenty-one underwent CPC. Cohorts were statistically similar in regards to age, sex, race, and number of previous surgeries. Preoperatively, the GDD cohort had a lower IOP and better visual acuity. The mean length of follow-up was 37.9 months for the GDD group and 46.3 months for CPC. Both procedures significantly reduced IOP, however CPC led to a greater reduction (p=0.0172). Survival analysis found the 5-year probability of surgical success to be 65.3% for sequential GDD and 58.0% for CPC (p=0.8678). No cases of phthisis occurred in either group. There were 2 cases of endophthalmitis (6.3%) in the GDD group, and none in the CPC group. In eyes without pre-existing corneal edema, estimated corneal decompensation probability at 3-years was 31.6% for GDD and 6.7% for CPC (p=0.0828).

Conclusion—Sequential GDD and CPC are both effective at reducing IOP following the failure of a primary GDD. CPC after GDD failure warrants further investigation as it led to a greater reduction in IOP with fewer serious adverse events.

Keywords

Glaucoma Drainage Device; Transscleral Cyclophotocoagulation; Glaucoma Surgery

Conflicts of Interest

The authors report no conflicts of interest

Corresponding Author: Anastasios P. Costarides, MD, PhD, Emory Eye Center, 1365-B Clifton Rd, Suite 6152B, Atlanta, GA 30322, Phone: 404-778-5416, Fax: 404-778-4350, a.costarides@emoryhealthcare.org.

Background

Glaucoma drainage devices (GDD) are increasingly being used in the management of glaucoma¹. Despite their efficacy, at times GDD fail to adequately control intraocular pressure (IOP). After five years, the Tube Versus Trabeculectomy Study reported treatment failure in 33% of the tube group². In the Ahmed Versus Baerveldt Study, the cumulative probability of failure was 51% in the Ahmed group and 34% in the Baerveldt group at three years³.

In cases of primary GDD failure, treatment options include revision or replacement of the GDD, placement of a sequential GDD, or cyclodestruction⁴. Diode-laser transscleral cyclophotocoagulation (CPC) is one of the most commonly used procedures to ablate the ciliary body⁵ and decrease production of aqueous humor.

Several studies have found transscleral cyclophotocoagulation to be effective in controlling IOP^{5–9}. CPC has the advantage of being a relatively quick procedure that can be performed in an office setting in eyes with conjunctival scarring from prior surgery. However, concerns about chronic hypotony, phthisis and vision loss have frequently relegated CPC to a treatment of last resort for eyes that fail medical and surgical interventions and those with limited visual potential⁶.

The management of a patient with uncontrolled IOP following implantation of a GDD is challenging. Little evidence is available in the literature to support one treatment modality over another. The purpose of this retrospective study is to compare the outcomes of sequential GDD implantation with transscleral diode CPC following failure of a primary drainage device.

Materials and Methods

A retrospective chart review was performed of the medical records of all adult patients who underwent GDD implantation at the Emory Eye Center between January 1, 2000 and December 31, 2010. The records pertaining to 702 primary GDD were obtained. Review of these records identified patients who later underwent implantation of a sequential GDD and/or CPC. Eyes that underwent implantation of a sequential GDD or CPC after removal of the primary GDD were not included for analysis. This study was approved by Emory University's Institutional Review Board. Analyses include data beginning at each patient's last visit prior to the intervention and continuing through September 1st, 2012. Baseline clinical data included age, sex, race, type of glaucoma, number of glaucoma medications, number of prior surgeries, and visual acuity (VA). Semi-quantitative visual acuities were converted to LogMAR acuities using values described previously in the literature¹⁰.

All procedures were performed at the Emory Eye Center in Atlanta, GA. The choice of procedure, GDD model, and CPC laser settings were made at the discretion of the surgeon.

Outcome data included both efficacy of IOP reductions and major complications. Success was defined as an absence of all of the following: IOP > 21 or < 6 at two consecutive visits after an initial 3-month period, reoperation for glaucoma, and/or loss of light perception.

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Reoperation for glaucoma included additional GDD surgery or CPC. IOP, vision and number of glaucoma medications were recorded at the last available clinic visit. In cases where reoperation for glaucoma was necessary, data collection was stopped at the time of the additional procedure.

GDD implants included Ahmed FP7 and S2 (New World Medical, Rancho Cucamonga, CA), Baerveldt-350 (Advanced Medical Optics, Santa Ana, CA), and Molteno-3 (IOP Inc, Costa Mesa, CA). The sequential GDD was placed into another quadrant with a reinforcing graft of donor cornea or sclera. The Iridex-G probe was used in conjunction with an Iridex 810nm SLx diode laser (Iridex, Mountain View, CA) to perform transscleral cyclophotocoagulation procedures. Typical CPC parameters at our institution include 1250–1450 milliwatts, 3500–4000 microseconds, and 180–270 degrees of treatment. CPC in this study included a median of 15 applications per treatment session (range 12–21).

Corneal decompensation was chosen as a complication of interest. Corneal status was noted preoperatively. Eyes were excluded from this secondary analysis if they were noted to have corneal failure or the presence of a corneal graft prior to undergoing a sequential GDD or CPC.

Baseline characteristics were compared between groups using chi-square tests for categorical variables and t-tests for continuous variables. Survival analysis was conducted using the Kaplan-Meier survival estimate and log-rank test.

Results

A total of 56 eyes were identified that met the inclusion criteria. Three eyes were excluded from analysis in the CPC group: one that underwent CPC on the first post-operative day following implantation of a primary GDD and two blind eyes in which CPC was performed for comfort care. Fifty-three eyes were included for analysis in this study; Thirty-two received sequential GDD and 21 underwent CPC.

Pre-operative clinical variables can be found in Table 1. There was no statistically significant difference in age, gender, or number of previous surgeries between the groups. The average number of prior incisional surgeries was 3.2 in the GDD group and 3.0 in the CPC group, reflecting the complexity of these cases.

For glaucoma diagnosis, the GDD group included 9 (28.1%) patients with chronic angle closure glaucoma, 8 (25.0%) with open angle glaucoma, 6 (18.8%) with neovascular glaucoma, 5 (15.6%) with inflammatory glaucoma, 2 (6.3%) with traumatic glaucoma, and 2 (6.3%) with a diagnosis of "other" which included mixed mechanism glaucoma. The CPC group included 6 (28.6%) with chronic angle closure glaucoma, 5 (23.8%) patients with open angle glaucoma, 5 (23.8%) with neovascular glaucoma, 1 (4.8%) with traumatic glaucoma, 1 (4.8%) with inflammatory glaucoma, and 3 (14.3%) with a diagnosis of "other". In the sequential GDD group, choice of implant included Ahmed-S2 (15.6%), Ahmed-FP7 (62.5%) Baerveldt-350 (18.8%), and Moteno-3 (3.1%).

Significant differences were noted in pre-operative IOP and LogMAR VA. Eyes that were chosen to undergo CPC had on average higher IOP (33.2 vs. 27.8, p=0.0275) and worse LogMAR VA (1.40 vs. 0.95, Snellen equivalent 20/502 vs 20/178, p=0.0414). Both groups included several patients with Count Fingers or worse visual acuity at baseline (25.0% in the GDD group and 38.1% in the CPC group). Final visual acuity was 1.80 in the CPC group (Snellen 20/1262) and 1.18 (Snellen 20/302) for sequential GDD.

Mean length of follow up for the GDD and CPC groups was 37.9 months and 46.3 months, respectively. The survival probabilities for the GDD and CPC groups, respectively, were 80.3% vs. 85.0% at 1 year and 65.3% vs 58.0% at 5 years. Kaplan-Meier survival analysis (Figure 1) did not demonstrate a statistically significant difference in survival between groups (p=0.8678). In both groups, uncontrolled intraocular pressure was the most common reason for surgical failure. A list of the percentage of patients meeting each failure criterion is presented in Table 2. Univariate analysis of risk factors for surgical failure found only higher pre-operative IOP to be statistically significant (Table 3).

Both procedures were effective in reducing intraocular pressure (Table 4). IOP was recorded at the pre-operative and last follow up exams. IOP decreased on average 40.7% (27.8 vs 16.5 mmHg, p<0.0001) in the GDD group and 56.3% (33.2 vs 14.5 mmHg, p<0.0001) in the CPC group. CPC led to a greater reduction in IOP than sequential GDD (p=0.0172). Both groups required fewer ocular hypotensive agents at the final follow up visit compared to pre-operatively.

Post-operative complications are listed in Table 5. Special interest was paid to the development of corneal decompensation. Eyes with corneal grafts or pre-operative corneal decompensation were excluded from this analysis. Corneal decompensation occurred in 19.2% (5/26) of the GDD group and 5.6% (1/18) of the CPC group. Kaplan-Meier estimates of the probability of corneal failure at 3-years were 31.6% and 6.7% in the GDD and CPC groups, respectively (p=0.0828, Figure 2). Also of note, there were 2 cases of endophthalmitis (6.3%) in the GDD group and none in the CPC group.

Discussion

This study retrospectively reviewed the surgical outcomes of sequential GDD versus transscleral CPC following failure of a primary drainage device. Both procedures were found to be effective in lowering intraocular pressure and decreasing ocular hypotensive medications. No statistical difference was found in surgical success rates between groups. CPC resulted in a greater reduction in IOP, though this was influenced by a higher pre-operative IOP in the CPC group.

Published data regarding surgical outcomes for sequential GDD are limited to the results of small, retrospective studies^{11–14}. These studies found sequential GDD to be a viable option for controlling IOP with surgical success rates ranging from 37–83%. Complications of GDD include overfiltration with hypotony, corneal decompensation, exposure of the device through the conjunctiva, endophthalmitis, and failure to adequately control IOP¹⁵.

Semchyshyn et al. retrospectively studied the outcomes of CPC following failure of a primary GDD in adults and children. CPC significantly reduced IOP and mean number of glaucoma medications¹⁶. Later studies by Panarelli et al.¹⁷ and Ness et al¹⁸ also found transscleral CPC to be an effective treatment following shunt failure.

Only one study could be identified that directly compared the outcomes of sequential GDD and CPC following failure of a primary drainage device. Sood and Beck⁴ retrospectively reviewed outcomes in a small case series with pediatric glaucoma. Successful outcomes were achieved in 62.5% of patients who underwent implantation of a sequential GDD and 66.7% of those receiving CPC at 24 months.

The most serious complications with CPC are phthisis and loss of light perception. It is notable that no cases of phthisis occurred in either treatment group during the study period. Loss of light perception occurred in one eye that underwent sequential GDD and two eyes that underwent CPC. All three patients that lost light perception in this study had Count Fingers or worse vision pre-operatively, and no cases of loss of light perception occurred in the immediate post-operative period.

Exposure of a GDD through the conjunctiva has been shown to be a risk factor for endophthalmitis¹⁹. GDD exposure was noted in three cases in our series including two cases associated with endophthalmitis. No cases of endophthalmitis occurred in the CPC group, and no primary GDD exposed following CPC. Another well-known complication of GDD implantation is the loss of corneal endothelial cells resulting in corneal edema²⁰. The incidence of corneal edema following sequential GDD has been reported to be as high as 43%¹³. The rate of corneal decompensation in our study was higher in the GDD group, though this difference did not reach statistical significance (p=0.0828) likely due to the limited statistical power of the study.

This study has several limitations, most notably its retrospective nature and small sample size. Data was collected from multiple surgeons without standardization of implant model or laser parameters.

CPC has traditionally been used a treatment of last resort in refractory glaucoma, and that has been the practice at our center as well. It is, therefore, not surprising that the patients chosen to undergo CPC in this study had higher pre-operative IOP and worse pre-operative visual acuity on average. This selection bias is significant in the interpretation of our results as higher pre-operative IOP was found to be a predictor of surgical failure. It is possible that the success rate in the CPC group would have been improved if performed on a group similar to those that underwent sequential GDD implantation. Other studies have shown favorable results when CPC has been used as a primary glaucoma treatment in eyes with preserved visual potential^{7,8,21}. However, it is also possible that more vision loss would have been noted in the CPC group had the preoperative acuity been better, as cystoid macular edema is a well-known risk of CPC.

In comparing rates of corneal decompensation between treatment groups, eyes with preexisting corneal edema or corneal grafts were excluded from analysis. Measurement of preand post-operative endothelial cell counts in a prospective study would be helpful to control

for pre-operative differences between groups and more accurately assess the relative risk of corneal decompensation for each intervention.

In summary, both sequential GDD and transscleral CPC are effective in reducing IOP following failure of a primary drainage device. CPC after primary GDD failure warrants further investigation for use in eyes with preserved central vision as it may provide similar IOP-lowering effects with a lower risk of serious adverse events.

Acknowledgements

Supported by NIH Departmental Core Grant EY006360 and Research to Prevent Blindness, Inc, New York, New York. The sponsor or funding organization had no role in the design or conduct of this research.

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Figure 1. Kaplan-Meier survival curve: Sequential GDD versus CPC.

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Figure 2.

Kaplan-Meier survival curve. Corneal survival following Sequential GDD versus CPC

Pre-operative clinical and demographic variables

Pre-Op Variable	GDD (n=32)	CPC (n=21)	p-value [†]
Age (years)	58.0 ± 13.1	62.7 ± 12.0	0.1927
Gender – Male	12 (37.5%)	10 (47.6%)	0.4646
# Previous Surgeries	3.2 ± 1.2	3.0 ± 1.7	0.6381
ЮР	27.8 ± 8.5	33.2 ± 8.6	0.0275*
logMAR Visual Acuity	0.95 ± 0.73	1.40 ± 0.81	0.0414*

 $^{\dagger}\mathrm{Chi}\textsc{-square}$ test for categorical variables, t-test for continuous variables

Reasons for surgical failure

Outcome	GDD (n=32)	CPC (n=21)	
Failure	12 (37.5%)	10 (47.6%)	
IOP < 6	2 (6.3%)	3 (14.3%)	
IOP > 21	8 (25.0%)	6 (28.6%)	
Re-operation for Glaucoma	5 (15.6%)	3 (14.3%)	
Loss of LP	1 (3.1%)	2 (9.5%)	

 † Percentages sum to greater than total failure percentage as several patients met multiple criteria for surgical failure

Univariate analysis of risk factors for surgical failure

Pre-Op Variable	p-value [†]	
Age	0.4042	
Race	0.7176	
Sex	0.4184	
Procedure Choice	0.8673	
# Previous Surgeries	0.8094	
Pre-op IOP	0.0199*	
Pre-op VA	0.4388	

 $^{\dot{7}}\text{conducted}$ with Wald χ^2 Tests

Intraocular Pressure Management

Variable	GDD	СРС	p-value [†]
ЮР			
Pre-op	27.8 ± 8.5	33.2 ± 8.6	
Final	16.5 ± 8.7	14.5 ± 8.5	*
Reduction	11.3 ± 9.8	18.7 ± 12.0	0.0172*
% Reduction*	40.7% (p < 0.0001)	56.3% (p < 0.0001)	
Glaucoma Meds			
Pre-op	3.88 ± 0.98	3.57 ± 1.03	
Final	2.56 ± 1.32	2.62 ± 1.60	0.3906
Reduction	$1.31 \pm 1.31 \; (p < 0.0001)$	$0.95 \pm 1.72 \text{ (p=0.0194)}$	

 † Tests for the difference in IOP reduction between treatment groups.

*Test for difference in pre-operative and final IOP within the same treatment group

T-tests were used for continuous variables; a Cochran-Armitage Trend Test was used for the ordinal IOP Category variable

Surgical Complications

Complication	GDD	СРС
Shallow Anterior Chamber	4 (12.5%)	1 (4.8%)
Serous Choroidal Detachment	4 (12.5%)	3 (14.3%)
Hemorrhagic Choroidal Detachment	0 (0.0%)	0 (0.0%)
Phthisis	0 (0.0%)	0 (0.0%)
Re-operation for Glaucoma	5 (15.6%)	3 (14.3%)
Loss of LP	1 (3.1%)	2 (9.5%)
Endophthalmitis	2 (6.3%)	0 (0.0%)
Enucleation	1 (3.1%)	0 (0.0%)
GDD Tube Occlusion	3 (9.4%)	N/A
GDD Exposure	3 (9.4%)	N/A