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Current State in the Development of Candidate Therapeutic HPV Vaccines

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Summary

The identification of human papillomavirus (HPV) as an etiological factor for HPV-associated malignancies creates the opportunity to control these cancers through vaccination. Currently, available preventive HPV vaccines have not yet demonstrated strong evidences for therapeutic effects against established HPV infections and lesions. Furthermore, HPV infections remain extremely common. Thus, there is urgent need for therapeutic vaccines to treat existing HPV infections and HPV-associated diseases. Therapeutic vaccines differ from preventive vaccines in that they are aimed at generating cell-mediated immunity rather than neutralizing antibodies. The HPV-encoded early proteins, especially oncoproteins E6 and E7, form ideal targets for therapeutic HPV vaccines since they are consistently expressed in HPV-associated malignancies and precancerous lesions, playing crucial roles in the generation and maintenance of HPV-associated disease. Our review will cover various therapeutic vaccines in development for the treatment of HPV-associated lesions and cancers. Furthermore, we review strategies to enhance vaccine efficacy and the latest clinical trials on therapeutic HPV vaccines.

Keywords

HPV; human papillomavirus; immunotherapy; therapeutic vaccines; cervical cancer; clinical trials; HPV E6; HPV E7; adjuvant; combinatorial approach

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Introduction

Human papillomavirus (HPV) is the causative agent in a host of conditions including warts, cancer, and other diseases. HPV is best known for its role in causing nearly 100% of cases of cervical cancer, which is the fourth most common cancer in women [1,2]. In addition, HPV has been indicated to be a human biologic carcinogen for 5 other types of cancers: penile, vaginal, vulvar, anal, and oropharynx including the base of the tongue and tonsils, with a high percentage of oropharyngeal cancer cases in the United States being attributed to HPV [1–4].

The identification of HPV as an etiological factor for HPV-associated malignancies creates the opportunity for the control of these cancers through immunization and other target therapies. Traditionally, vaccines have been mainly used as a preventative measure against infectious diseases. Indeed, there have been several successes in the development of prophylactic vaccines against disease-causing HPV types, targeting the major capsid protein L1 of the viral particle (for review see [5,6]). However, even though the prophylactic vaccines have been proven effective in preventing vaccinated, healthy patients from acquiring HPV infections or previously infected patients who does not have active infection (HPV seropositive but HPV DNA negative) from being re-infected by the same HPV type, there are no strong evidence to demonstrate the therapeutic effects of these prophylactic vaccines in treating and clearing established HPV infections and HPV-associated lesions (for review see [7,8]). In addition, the HPV infections remain extremely common globally, representing a significant health burden [2]. Thus, there remains an important need to develop effective treatments for established HPV infections and associated diseases. One potential treatment method involves the use of therapeutic vaccines that, unlike the preventive vaccines that intend to generate neutralizing antibodies against viral particles, aim to stimulate cell-mediated immune responses to specifically target and kill the infected cells.

In general, most of the sexually active women are infected by HPV during their lifetime. However, majority of these infections remain asymptomatic and are readily cleared by the immune system. A small fraction of the infected women whose immune system fails to clear the infection will develop persistent HPV infection, which may progress into low and high grade cervical intraepithelial neoplasia (CIN) and cervical carcinoma, or regress at any step of this process (for review see [9,10]). It is very often that as HPV-associated lesions progress into cancer, HPV viral DNA will be integrated into the genome of the host [11]. The integration process often leads to the deletion of many early (E1, E2, E4, E5) and late (L1, L2) genes. The deletion of L1 and L2 genes during the integration renders the prophylactic vaccines ineffective in targeting these infected cells. Importantly, E2 is a negative regulator of the HPV oncogenes E6 and E7. The deletion of the E2 gene during integration leads to the elevated expression of these oncoproteins, which is a process thought to contribute to the carcinogenesis of HPV-associated lesions (for review see [12,13]). It has been reported that in certain subset of HPV-associated cervical cancer, the HPV DNA remains episomal and is not integrated into host genome (for review see [14–16]). Nonetheless, the deregulated expression of E6 and E7 remains a common biological hallmark of HPV-associated cancers.

Since HPV oncoproteins E6 and E7 are required for the generation and maintenance of HPV-associated malignancies, they are consistently expressed and remain present and transcriptionally active in the transformed cells in HPV-induced cancer and precancerous lesions. Furthermore, because HPV E6 and E7 are foreign proteins, they can circumvent the issue of immune tolerance against self-antigens, a challenge presented by many other cancers, and thus they serve as ideal targets for therapeutic HPV vaccines (for review see [8]). These findings have inspired many attempts to create an optimal immunotherapeutic strategy against HPV infections and disease. Our review will cover various therapeutic HPV vaccines in development for the treatment of HPV associated diseases, in particular, cancers and lesions. Furthermore, we will review strategies designed to enhance the efficacy of these vaccines and review the latest clinical trials on therapeutic HPV vaccines.

Types of Therapeutic HPV vaccines

There are multiple types of therapeutic vaccines, mainly targeting HPV oncoproteins E6 and E7, that have been developed and tested in preclinical and clinical trials. These therapeutic vaccines include live vector, protein or peptide, nucleic acid, and cell-based vaccines. Generally, therapeutic vaccines will contain E6 and E7 antigens in various forms and aim to deliver these antigens to antigen presenting cells (APCs) to stimulate antigen presentation through major histocompatibility complex (MHC) class I and MHC class II, leading to the generation of CD8+ cytotoxic T cell or CD4+ helper T cell responses respectively. Before the E6 and E7 antigens can be presented on the MHC class I molecule of the APCs for the stimulation of CD8+ T cell responses, they are first processed and digested by the proteasome in the APCs into smaller peptides. Importantly, not all peptide fragments derived from the digestion of antigen can be successfully loaded onto MHC molecule and recognized by antigen-specific T cells [17]. Only some of these short peptides that contain the sequence of antigenic fragments (epitopes) can bind to the MHC molecule with high affinity and interact with the T cell receptor (TCR) of antigen-specific T cells for the elicitation of immune responses [18–20]. Most therapeutic HPV vaccines focus on eliciting immune responses against the E7 antigen because it is well characterized immunologically compared to E6 in preclinical model. The following sections will describe the characteristics of various therapeutic HPV vaccines, which are also summarized in Table 1. Figure 1 depicts the mechanisms of different types of therapeutic HPV vaccines in eliciting antigen-specific cell-mediated immune response.

Live vector-based therapeutic HPV vaccines

Live vector-based vaccines can be categorized as bacterial or viral vectors, both capable of replicating within the body to express and spread the antigen. Live vector-based vaccines are highly immunogenic, and there are wide ranges of vectors to be selected to deliver the antigen in a desired manner. However, live-vector based vaccines face a major drawback in that they pose potential safety risks, particularly for individuals with impaired immune system. In addition, the efficacy of immune response of repeated immunization using the same vector is limited due to the induction of vector-specific neutralizing antibodies and other pre-existing vector-specific immunity (for review see [8,21,22]). Solving these issues can further enhance the efficacy of live vector-based vaccines.

Bacterial vectors

Various bacterial vectors have been investigated for therapeutic HPV vaccines including *Listeria monocytogenes* [23], *Lactobacillus lactis* [24], *Lactobacillus plantarum* [25], and *Lactobacillus casei* [26].

Among the bacterial vectors, listeria is a promising vector due to its ability to infect macrophages and secrete a pore-forming toxin listeriolysin O (LLO) to evade phagosomal lysis and replicate in the cytoplasm of the host cell [27]. This ability allows the bacteria to be present in both cytoplasm and endosomal compartments, and the antigen peptides in the bacteria can thus be presented via MHC Class I to cytotoxic T cells as well as MHC Class II to helper T cells [28]. Listeria based E7 vaccines have been shown to cause regression of implanted, E6/E7 expressing solid tumors as well as to limit the growth of spontaneous E6/E7 expressing tumor in HPV-16 E6/E7 transgenic mice [23]. Furthermore, another study has demonstrated that listeriolysin induces a decrease in regulatory T cell population by preferentially expanding CD4⁺ FoxP3⁻ T cells and CD8⁺ T cells in tumor bearing mice, thus augmenting the therapeutic and antitumor effects of vaccine against E6/E7 expressing TC-1 tumors [29].

Other attenuated bacterial vectors can also be generated by transforming them with plasmids containing the genes of interest. Examples of this includes mutant strains of *Salmonella*, *Shigella*, and *E. coli*, which can serve as carriers for the delivering of plasmid encoding genes of interest to APCs. Among them, the natural route of infection of Salmonella makes it an advantageous carrier that may even allow for oral vaccination [30]. Previous studies have tested the use of Salmonella as carrier for the delivery of HPV-16 E7 protein or E7 epitopes to generate E7-specific responses [31].

Viral vectors

Viral vectors have the ability to effectively infect and express encoded antigens, which makes them attractive for the development of therapeutic HPV vaccines. Several viral vectors have been investigated to deliver HPV E6 and E7 antigens, including adenoviruses [32], adeno-associated viruses [33], alphaviruses [34], and vaccinia virus [35].

Vaccinia virus is an enveloped, double-stranded DNA virus belonging to the poxvirus family. With a large genome and highly infectious nature, in addition to the low likelihood of aberrant integration of foreign DNA into its genome, vaccinia makes a promising vector [36]. Vaccinia has been used in animal models, utilizing intracellular targeting strategies to enhance antigen presentation by dendritic cells (DCs). Vaccinia-based vaccines include vaccinia encoding the E7 fused to calreticulin (CRT), a protein capable of enhancing MHC class I antigen presentation while minimizing the risk of potential malignant transformations of target cells [35], vaccinia expressing E7 fused to LLO [37], and vaccinia expressing E7 linked to sorting signals and lysosomal-associated membrane protein (SigE7-LAMP-1) [37]. Vaccinia-based vaccines encoding SigE7LAMP and expressing human Fms-like tyrosine kinase 3 ligand displayed protective anti-tumor effects by increasing antigen specific CD8⁺ T cell responses [38].

Adenoviruses have also shown potential as effective vectors in preclinical studies. A study showed that vaccination with replication-deficient adenoviruses encoding CRT linked to E7 (CRT/E7) fusion protein successfully eradicated the E7 expressing established tumors in mice [32]. Adenovirus vaccine encoding chimeric hepatitis B virus surface antigen (HBsAg) and HPV-16 E7 proteins have also been shown to induce enhanced E7-specific antibody and cytotoxic T lymphocyte (CTL) responses in vaccinated mice [39].

An alphavirus vector based immunotherapeutic vaccine encoding a fusion protein of HPV16 E6 and E7 into the recombinant Semliki Forest virus (SFV) particles (SFVeE6,7) was demonstrated to be unhampered by regulatory T cells, indicating that it may be a potential candidate for treatment of HPV as it may not require additional immune interventions to modulate Treg activity [40].

In another study, SFV expressing HPV-16 E7 was able to induce E7 specific cytotoxic T cells in HPV-transgenic mice [41]. The response can be enhanced through the co-administration of interleukin 2 (IL-2), inhibiting tumor angiogenesis [42]. A more recent study further enhanced the potency of recombinant Semliki Forest virus (rSFV) vaccine by fusing rSFV replicon particles expressing HPV E6 and/or E7 to helper T-cell epitopes and an ER targeting signal, which they reported to generate potent antigen CD8⁺ T cell responses leading to TC-1 tumor clearance and prevent formation of TC-1 tumors in mice [43].

Lentiviruses are able to transduce a variety of cell lines including tumor cells and dendritic cells. A therapeutic vaccine based on integrase defective lentiviral vector (IDLV) has been designed to deliver a mutated form of HPV16 E7 protein fused with CRT. Previous study has shown that a single shot of vaccination with IDLV-CRT/E7 is capable of inducing E7-specific humoral and cellular immune responses eradicating preexisting E7-expressing TC-1 tumor and preventing tumor growth in mice for up to one year after vaccination [44].

As mentioned before, a problem with live vector-based vaccines is the generation of antiviral immune responses and neutralizing antibodies upon primary exposure to the vaccine, which limits the effectiveness of subsequent administrations of the vaccine. This issue was partially addressed by a previous study that demonstrated that cyclooxygenase 2 (COX-2) inhibitors such as celecoxib, may prevent the generation of neutralizing antibodies to vaccinia, preventing repeated administration of the vaccine from losing infectivity [45]. Another concern for the use of live vector based vaccine is the intrinsic pathogenic potential of viral and bacterial vectors, which can be a safety risk for immunocompromised or immunosenescent individuals who have impaired immune functions (for review see [46]). Due to these concerns, other forms of therapeutic vaccines have also been explored.

Protein and Peptide-Based Vaccine

Peptides and proteins derived from HPV antigens are processed by dendritic cells and presented on either MHC class I or II molecules to stimulate CD8⁺ or CD4⁺ T-cell immune responses [8,47].

Peptide-Based Vaccine

Peptide-based vaccines are stable, safe, and easy to produce. In general, peptide-based vaccines are of poor immunogenicity. To enhance vaccine potency, peptides are linked to lipids and adjuvants, such as chemokines, cytokines, and Toll-like receptor (TLR) ligands [47]. These methods enhance the vaccine's ability to activate innate and adaptive immunity, boosting CD8⁺ T cell responses (for review see [8]). However, the short peptides may fail to incorporate the epitope that is required to stimulate an efficient immune response [48]. Peptide-based vaccines are MHC specific, where the specific immunogenic epitopes of HPV antigens need to be identified for each individual for the vaccine to be effective [49]. As a result, peptide-based vaccines may not be ideal for large-scale treatment [50]. A possible solution to improve peptide-based vaccines is to apply overlapping long-peptide vaccines, which has been proven effective in inducing antigen specific T cell responses in several preclinical models (for review see [47,49]).

Protein-Based Vaccine

Similar to peptide-based vaccines, protein-based vaccines are safe and easy to produce. The benefit of using protein-based vaccines is that they contain all possible human leukocyte antigen (HLA) epitopes, which circumvents the limitation of MHC restriction that arises in the peptide-based vaccines (for review see [47,49]).

The limitations of protein-based vaccines are that they also suffer from low immunogenicity and most of them are presented through MHC class II pathway that activates the production of antibody instead of generating CTL response due to their exogenous nature (for review see [49]). Possible solutions to overcome these problems focus on enhancing the MHC class I presentation. Adjuvants and immunostimulating molecules are added to enhance endogenous processing which will increase the protein uptake by MHC class I, and fusion proteins that target the antigen of DCs are created which increase MHC I presentation and activate CD8⁺ response [47].

Nucleic acid-based vaccines

DNA vaccine

DNA vaccines are safe, stable, easy to produce, and have the ability to sustain antigen expression in cells for longer duration than RNA or protein vaccines, making them an emerging, attractive, and potentially effective approach for antigen-specific immunotherapy. DNA vaccination involves the injection of plasmid DNA that encodes the antigen of interest (HPV E6 and E7 in this case) into the host cells. DNA vaccines do not lead to generation of neutralizing antibody against the injected plasmid, compared to live vector vaccine, and are thus capable of repeated vaccination [8]. There is a potential risk that administration of DNA encoding HPV oncogenes E6 and E7 may lead to cellular transformation, which was addressed by modifying E6 and E7 DNA that results in subsequent expression of proteins that are incapable of oncogenic transformation [51].

In general, a DNA vaccine is administered via intramuscular approach. However, myocytes are the cells that predominantly uptake the injected DNA after intramuscular injections.

Even though myocytes transfected with injected DNA will express the target antigen, they cannot fully activate strong immune responses because they are not professional APCs [52]. DCs play a crucial role in presenting the antigen to naive CD8+ cytotoxic T cells, and do so through two possible pathways [53]. Firstly, DCs can uptake exogenous antigen released from transfected myocytes through phagocytosis and subsequently process and present the exogenous antigen on MHC I molecule through the cross presentation pathway [54]. Secondly, DCs can be directly transfected by vaccination and present target antigen expressed endogenously to CD8+ T cells through direct presentation [55].

There is an important limitation of DNA vaccines in that naked DNA has low intrinsic immunogenicity due to the inability to amplify and spread from transfected cells to surrounding cells in vivo as compared to live vector based vaccines. To overcome this, several strategies to enhance the potency of DNA vaccines have been developed, targeting the important role that DCs play in vaccine mediated immunotherapy, these strategies aims to: 1) increase the amount of DCs that express target antigen, 2) enhance antigen processing and presentation by DCs, and 3) improve interaction between DCs and T cells (for review, see [56]). The strategies to enhance vaccine potency will be discussed in greater detail in a later section.

RNA Vaccine

Naked RNA replicon vaccine can be derived from RNA viruses including Sindbis virus [57], Venezuelan Equine Encephalitis virus [34], as well as **SFV** [58]. RNA replicon is capable of self-replication, leading to sustained level of antigen expression and an enhanced immunogenicity. Since the viral structural genes have been excluded from the RNA replicon vectors, they do not form viral particles, and thus will not lead to the generation of neutralizing antibody, allowing for repeated administration. RNA replicons also alleviate the potential risk of chromosomal integration and cellular transformation that is associated with DNA vaccines, and are thus highly advantageous as vaccination method targeting potentially oncogenic proteins like E6 and E7.

One major disadvantage of the RNA replicons is its low stability. To overcome this issue, attempts have been made to combine RNA replicons and DNA vaccine into DNA-launched RNA replicon, which are also called ‘suicidal DNA’ because it triggers apoptosis in cells that uptake the injected DNA to prevent potential integration and transformation of the transfected cells. The ‘suicidal DNA’ has been applied in a therapeutic HPV vaccine in preclinical models, during which the generation of potent HPV antigen-specific CD8+ T cell responses and antitumor effects were observed [59]. However, because the ‘suicidal DNA’ will elicit apoptosis in transfected cells, including DCs transfected with injected DNA, this approach has led to poor immunogenicity. To address this issue, genes encoding antiapoptotic protein have been incorporated into the suicidal DNA vector to enhance survival of transfected antigen presenting cells, which have been shown to generate even greater antigen-specific CD8+ T cell responses and more potent antitumor effects than the suicidal DNA vector that only encodes for E7 antigen in preclinical models [60]. An alternative strategy to overcome the issue of apoptosis is to use flavivirus Kunjin (KUN) vector to deliver the replicons. KUN does not induce apoptosis in transfected cells, thus

allowing direct presentation by transfected DCs compared to ‘suicidal DNA’ vector [61]. DNA-launched KUN replicons encoding HPV16-E7 antigen were capable of generating E7-specific T cell responses and antitumor effects in preclinical models [62]. Despite the promising results demonstrated by RNA replicon vaccines in the preclinical model and the ongoing clinical trials testing the efficacy of RNA vaccines in treating other types of cancer such as lung cancer [63], RNA vaccines targeting HPV antigens and HPV related diseases have not yet progressed to be tested in clinical settings.

Whole Cell-based vaccines

Dendritic cell-based vaccine

The knowledge in dendritic cell biology, together with improved methods for preparing DCs ex vivo, have paved the way for the development of DC-based vaccines as another plausible strategy for therapeutic vaccines against HPV-associated malignancies. Dendritic cell-based HPV vaccines involve loading the DCs with HPV antigens ex vivo by infecting [64] or transfecting DCs with DNA or RNA encoding HPV antigen [65,66] or by pulsing DCs with antigen protein, peptide, or tumor lysate [67–70], and subsequent delivery of DCs into patients. In addition, DCs are capable of serving as natural adjuvants to enhance the potency of antigen-specific immunotherapy against cancer (for review see [71]). Since T-cell mediated apoptosis may limit the lifespan of DCs and their ability to prime T cells, strategies to prolong DC survival have been incorporated to enhance the efficacy of DC-based vaccines. One of such strategies is to transfect DCs with siRNAs targeting pro-apoptotic molecules such as Bak, Bid, Bim, and Bax proteins. Such strategies have been shown to generate enhanced antigen-specific CD8+ T cell activation and antitumor effects in mice [68,69]. In a more recent study, siRNA cocktail targeting immunosuppressive factors including interleukin 10 (IL-10) and tumor growth factor beta (TGF-B) was introduced into DCs to enhance the potency of DC-based vaccine and was shown to be able to elicit strong antitumor effects against immune-resistant, HPV-16 E7 expressing TC-1 (P3) tumor model [72].

Similar to other types of vaccines, there are also several limitations for DC-based vaccine. Firstly, the use of autologous DCs for individualized therapy is technically demanding and thus difficult for large-scale production for general population. In addition, variations in culturing techniques used to prepare DCs may lead to varying vaccine quality and lacking of standard criteria for evaluating the vaccines. Also, because it is necessary for the DCs to enter lymphoid organs to generate an effective T-cell mediated immune response, determining the most effective route of DC vaccine administration is important to maximize the effects elicited by the vaccine.

Tumor cell-based vaccine

Tumor cells are isolated and manipulated ex vivo to express immune modulatory proteins, which enhances their immunogenicity in vivo. Cytokine genes IL-2, IL-12 [73], and granulocyte macrophage colony stimulating factor (GM-CSF) [74] have been used in HPV transformed tumor cell-based vaccines for mice with HPV-16 induced tumors to promote the

differentiation of naïve T cells into effector or helper T cells and to stimulate stem cells to produce granulocytes.

Although tumor cell-based vaccines have gone through clinical trials in melanoma, pancreatic cancer, renal cell carcinoma, and colorectal carcinoma, tests for HPV associated tumors have not been conducted. The advantage of these vaccines is that tumor antigens do not have to be well identified and they may be able to cover more tumor antigens. However, this approach would not be practical for HPV associated-cervical cancers, as HPV has well-known tumor-specific antigens.

In addition, using tumor-based vaccinations has the potential risk of implanting new cancers in patients, thus preventing clinical trials in patients with mild HPV-associated diseases or precancerous lesions. Knowing the problems involved in tumor cell-based vaccines, the potency and purity must be individually tailored. Comparing with the other options of vaccines, tumor cell-based vaccines are considerably more time consuming and expensive to prepare. As a result, tumor cell-based vaccines against HPV have yet to be utilized and tested in clinical trials.

Enhancing The Therapeutic Effects of the Vaccines

Because many of the therapeutic HPV vaccine designs described above, such as protein, peptide and DNA based vaccines, have intrinsically low immunogenicity, administration of these vaccines alone may not effectively elicit the desired antigen-specific immune responses and therapeutic effects. Therefore, strategies to enhance the therapeutic effects of therapeutic vaccines have been investigated. This section describes and discusses examples of strategies developed to improve vaccine efficacy.

Immunostimulating Molecules / Adjuvants

Adjuvant is a term originated from Latin word *adjuvare*, which means to help or to aid [75]. Co-administration of antigen with adjuvant can enhance the antigen-specific immune response based on these immune functional activities: 1) Translocation of antigens to lymph nodes to be recognized by T cells, 2) protection of antigen to enable longer antigen exposure, 3) enhancement of local reaction at injection site, 4) induction of proinflammatory cytokines secretion, and 5) interaction with pattern recognition receptors such as Toll-Like Receptors [76]. In general, adjuvants help activate APCs to up-regulate molecules essential for antigen presentation, including MHC molecules, costimulatory molecules, and cytokines. These events allow more effective antigen presentation to the adaptive immune system, leading to better T cell activation and expansion (for review see [77]).

CRT in DNA vaccines is an example of immunostimulating molecule utilization [78]. CRT is a carrier protein associated with peptides delivered into the endoplasmic reticulum (ER) by transporters associated with antigen processing to aid antigen presentation. DNA vaccines encoding CRT linked to HPV antigen E7 led to expression of a fusion protein CRT/E7, which has a better homing ability into the ER of cells, including DCs, for better MHC-I antigen presentation and CD8⁺ CTL activation. In addition, the potency of CRT/E7 vaccine can be further enhanced when co-administered with additional adjuvants.

Vaccination with CRT/E7 together with DNA encoding papillomavirus capsid proteins L1 and/or L2 generates even greater E7-specific CD8+ T cell responses than vaccination with CRT/E7 alone, resulting in potent antitumor effects, tumor control, and survival of tumor bearing mice [79].

Anti-apoptotic molecules, as mentioned in previous sections, are another example of adjuvant [60]. Administration of anti-apoptotic protein, such as BCL-xL, increase DC resistance to CD8+ cytotoxic T cell-mediated killing, allowing for longer antigen presentation by DCs. Co-vaccination with DNA encoding HPV E7 antigen and DNA encoding anti-apoptotic protein has been shown to enhance E7-specific CD8+ T cell responses and antitumor effects [80].

Interferon-stimulating gene 15 (ISG15) is an ubiquitin-like protein induced by type I interferon stimulation and is associated with production of interferon gamma (IFN- γ). A study demonstrated that ISG15 could serve as a potent CD8+ T cell mediated adjuvant and, when vaccinated with DNA vaccine targeting HPV-16 E7, leads to substantial increase in E7-specific IFN- γ responses, expansion of CD8+ T cells, and control of HPV-associated tumors in preclinical model [81].

A novel adjuvant consisting of a synthetic RNA cross-linked with polymeric carrier has been shown to be capable of activating murine TLR-7 or human TLR-8 pathway [82]. TC-1 tumor bearing mice vaccinated with HPV-16 E7 peptide and RNA adjuvant generated a greater E7-specific CD8+ CTL responses and antitumor effects compared to mice vaccinated with E7 peptide only or E7 peptide with immune stimulant polyinosinic:polycytidylic acid (Poly I:C).

Combinatorial Approaches

While strategies to enhance different types of therapeutic vaccines have been developed, it has been shown that integration of various therapeutic approaches can further increase immunogenicity and efficacy of therapeutic HPV vaccines. Recent developments of therapeutic HPV vaccines have shifted to focus on combinatorial approaches. The potential combinations of various strategies are described below.

Prime-boost regimen for therapeutic HPV vaccines

The variety of therapeutic vaccines available leads to the hypothesis that administration of different vaccines through prime-boost regimens can potentially enhance overall potency of therapeutic treatment. For example, because DNA vaccines often generate weak cell-mediated immune responses, a combinatorial vaccination approach can be used to overcome this limitation. Indeed, it has been shown that priming the immune system with DNA vaccine encoding HPV-16 E6/E7 followed by boosting with recombinant vaccinia based therapeutic HPV vaccine [83], adenovirus based vaccine [84], or HPV-16 E6/E7 expressing tumor based vaccine [85] led to the generation of greater HPV-16 E6/E7 specific CD8+ T cell responses than vaccination with one type of vaccine alone in preclinical models. Mice primed with Sindbis virus RNA replicon vaccine against E7 antigen and boosted with vaccinia vector vaccine encoding E7 antigen have also been shown to generate better CD8+

T cell immune responses and more potent antitumor effects than mice treated with single type of vaccination [86]. Another study also showed that even though vaccinating mice with E7 protein vaccine CyaA336/E7, a fusion protein consisting of full length HPV-16 E7 inserted at position 336 of the cell-invasive adenylate cyclase toxoid of *Bordetella pertussis*, can lead to the generation of E7 specific immune response and treatment effects against E7 expressing TC-1 tumor, the therapeutic efficacy of CyaA336/E7 vaccine could be further enhanced when boosted with attenuated modified vaccinia virus Ankara (MVA) that expressed E7 protein [87].

Combining therapeutic HPV vaccines with immunomodulatory agents

Modulation of the tumor microenvironment is an important factor contributing to effective immunotherapy. The tumor microenvironment usually contains many factors that can hinder the effectiveness of immune therapies. Example of this includes the release of immunosuppressive cytokines including IL-10 [88] and TGF- β [89] by regulatory T cells that resides in tumor microenvironment. Thus, depletion of T regulatory cells in tumor microenvironment can significantly enhance potency of therapeutic HPV vaccine [90]. Other immunosuppressive molecules present in tumor microenvironments include B7 homolog-1 (B7-H1), also known as program death ligand 1 (PD-L1) (for review see [91]), signal transducer and activator of transcription 3 (STAT3) (for review see [92]), indoleamine 2,3-dioxygenase (IDO) enzyme (for review see [93]), galectin-1 (for review see [94]), and MHC class I polypeptide-related sequence A and B (MICA / B) (for review see [95]). These immune suppressive molecules all serve as potential targets of immune modulation for enhancing treatment effect of therapeutic HPV vaccines (for review see [96]).

Vitamin E has been shown to have strong anticarcinogenic properties capable of inhibiting proliferation and inducing apoptosis in cancer cells [97]. Administration of vitamin E has been demonstrated to induce TC-1 necrosis in vivo as well as alleviating immune suppression by reducing the amount of myeloid derived suppressor cells (MDSCs) that resides in the tumor microenvironment, ultimately leading to potent antitumor effects when administered along with E7-specific adoptive T cell transfer [98].

In addition to reducing immune suppressive factors presented in tumor microenvironment, immunostimulants have also demonstrated success in enhancing the potency of immunotherapy. Administration of agonist antibody of costimulatory receptor 4-1BB along with E6/E7 peptide vaccine resulted in high CD8+ versus regulatory T cells ratio, infiltration of E7-specific CD8+ T cells, and regression of TC-1 tumors [99]. Histone deacetylase inhibitor (HDACi) has been shown to increase MHC I and II molecule expression on the surface of TC-1 tumor cells, making them more susceptible to E7-specific T cells generated through therapeutic HPV DNA vaccination [100]. Furthermore, local administration of molecules that activates innate immune responses such as imiquimod or GM-CSF have been shown to lead to secretion of proinflammatory cytokines in the tumor location, which promotes DCs maturation, migration, and cross presentation, resulting in potent local antigen-specific immune responses against TC-1 tumors [101,102].

Combining therapeutic HPV vaccines with other therapeutic modalities

Therapeutic HPV vaccines may also be combined with other therapeutic modalities, including chemotherapy, radiation therapy, or other therapeutic agents to strengthen the overall therapeutic effects [103–111]. Several chemotherapies and radiotherapies have been shown to augment the potency of therapeutic HPV vaccines. For example, we showed that combination of radiation therapy with therapeutic HPV peptide vaccine improves potency of therapeutic HPV vaccine. Radiation therapy induces cancer cell deaths and release of tumor antigen and endogenous adjuvant within tumor microenvironment, which was sufficient to elicit priming and expansion of E7 specific CD8+ CTLs in TC-1 tumor bearing mice following vaccination with E7 peptide, leading to potent therapeutic antitumor effects against E7 expressing TC-1 tumor [112]. Likewise, treatment with chemotherapeutic agents cyclophosphamide [113] or cisplatin [114,115] have also been shown to improve therapeutic HPV vaccine potency.

Optimal Route of vaccination

Method of administration

The method of vaccination, particularly for DNA vaccine, is important in eliciting the desired therapeutic effects. Delivery methods targeting DNA to areas rich in DCs can increase the population of DCs presenting the antigen, leading to more T cell priming and activation. Intradermal administration via gene gun presents a potentially effective method of DNA vaccination. Gene gun ballistically delivers gold particles coated with DNA that encodes target antigen to the Langerhan cells, immature DCs of the skin. Vaccination via gene gun has been shown to require the least amount of DNA to generate potent antigen-specific CD8+ T cell immune responses compared to biojector or intramuscular injection [116]. A drawback of gene gun vaccination is that the amount of DNA that can be delivered with each shot of the gene gun is limited [117], thus may require multiple administrations, increasing the risk of local side effects. Intramuscular injection followed by electroporation is another effective administration method [118]. Electroporation applies a small electric current through the local area, enhancing DNA uptake by the muscle cells, creating large numbers of antigen expressing and releasing muscle cells. Local DCs can then process and present these antigens through the MHC class I pathway. In addition, electroporation induces cytokines release and creates a favorable environment for DCs. A study comparing various method of DNA vaccination showed that intramuscular injection with electroporation generated higher amount of E7-specific CD8+ T cells than conventional intramuscular injection without electroporation or epidermal delivery via gene gun [118]. Other alternative methods of vaccination include the use of laser beam [119] or microencapsulation of DNA [120].

Location of administration

There are increasing amounts of evidence suggesting that the delivery site of vaccines is an important factor contributing to the success of vaccines. Recent data suggest that targeted delivery of vaccines to tumor-draining lymph nodes (tDLNS) is a potential vaccination strategy that can enhance the efficacy of cancer vaccines [121]. We have performed many studies comparing the therapeutic effect of vaccine when vaccinated locally, near the tumor

location, versus when vaccinated systemically, distant from tumor location. When all other conditions are similar, intratumoral administration of therapeutic HPV vaccine has been shown to generate more potent local antigen specific immune responses and antitumor effects compared to subcutaneous [112], intraperitoneal [115], or intramuscular [114] administration. Furthermore, we have demonstrated that intravaginal administration of therapeutic HPV DNA vaccine followed by electroporation leads to generation antigen-specific CD8+ T cells that express a4B7, a surface marker known to home T cells to mucosal areas including the cervicovaginal tract [122]. Thus, local vaccination proximal to the tumor or tDLNS may potentially be applied to enhance the potency of future therapeutic HPV vaccines as well as therapeutic vaccines targeting other types of cancer.

Therapeutic HPV Vaccines Clinical Trials

Clinical trials are essential for evaluating whether a therapeutic HPV vaccine is able to contain HPV infections and HPV-associated diseases in human. Here, we summarized a number of recent clinical trials that implemented various forms of therapeutic HPV vaccines against HPV-associated lesions and malignancies (Table 2).

Live vector-based

In 2009, first clinical use of a *Listeria*-based therapeutic HPV vaccine was reported [123]. The vaccine, *Lm*-LLO-E7 (also known as ADXs11-001 or ADXS-HPV) consists of a prfA-defective *Listeria monocytogenes* strain transformed with plasmid encoding HPV-16 E7 antigen fused to a fragment of nonhemolytic LLO [124]. The phase I trial tested the safety of this vaccine construct in 15 patients with metastatic, refractory, or recurrent advanced squamous cell carcinoma of the cervix who had failed prior chemotherapy, radiotherapy and/or surgery. The patients were vaccinated intravenously, followed by IV supplementation of 500mg ampicillin 5 days after vaccination, followed by a 10-day oral course of ampicillin (500mg QID). The study demonstrated that the vaccine was well tolerated by patients. Common adverse effects observed include pyrexia, vomiting, chills, headache and anemia, nausea and tachycardia, and musculoskeletal pain, with 6 patients (40%) experience vaccine related grade 3 adverse events and no drug-related grade 4 adverse events observed. The overall change in physical examination were considered not clinically significant and mainly attributed to underlying disease. Peripheral blood mononuclear cells (PBMCs) were collected from the patients, and of the three patients with PBMC samples viable for testing, an increase in E7-specific IFN- γ + T cells were detected in the PBMCs of these patients after vaccination. In addition, reduction in total tumor size was observed in 4 patients (30.8%), suggesting that the vaccine might have certain therapeutic effects in controlling the progression of cancer.

A more recent study tested the safety and efficacy of oral vaccination of a bacterial vector-based therapeutic HPV vaccine in a Phase I/IIa study involving 17 patients with HPV-16+ cervical intraepithelial neoplasia (CIN) 3 lesions [125]. The vaccine, GLBL101c, is generated from a recombinant *Lactobacillus casei* that expresses a modified version of HPV16-E7 antigen that is no longer carcinogenic [26]. The bacterial vector based vaccine was made into powder and enclosed in capsule and administered to patients through

ingestions. None of the participating patients experienced serious adverse events. Although only two patients demonstrated a significant increase in E7-CMI in PBMCs, significant increase in E7-specific cell-mediated immune responses was observed in the cervical vaginal tract of all patients receiving the vaccine, with 9 of the 13 patients (69%) who received 4–6 capsules/day experienced pathological down-grade to CIN3 and were followed up for 12 months without additional treatment, during with 5 of the 9 patients (56%) showed further cytological regression to Low grade squamous intraepithelial lesion (LSIL).

TG4001 vaccine is a suspension of MVATG8042 particles consisting of attenuated recombinant MVA containing sequences encoding modified HPV-16 E6/E7 as well as human IL-2. Its safety and efficacy has been evaluated in twenty-one patients with HPV-16 related CIN2/3 lesions [126]. Each patient received three subcutaneous injection of 5×10^7 pfu of TG4001 on either the right or left thighs with 1-week interval between each administration. 19 patients (90%) reported at least 1 adverse event, with 65 of the 86 total AE reported were attributed to TG4001. Most of the AE were mild or moderate, with no grade-3 or 4 observed. The documented AE includes inflammation, pruritus, and edema at the injection site, and lymphadenopathy, fever, headache, asthenia, bone pain, and vaginal discharge for systemic reaction. At six months after the treatment, ten of the twenty one patients (48%) were evaluated as clinical responders with disease regression to LSIL. In addition, HPV-16 DNA clearance were observed in 8 of the 10 responders, while HPV-16 mRNA clearance were observed in 7, and no recurrence of high-grade lesion was observed in these responders at 12 months after treatment.

Another MVA based therapeutic HPV vaccine has been created and designed to target HPV E2 protein instead of E6 or E7 oncoprotein [127]. The safety and efficacy has been evaluated by multiple clinical trials [128,129]. Recently, the MVA E2 vaccine has been used in a phase III clinical study for the treatment of HPV-induced ano-genital intraepithelial lesions, including CIN1, 2, and 3 or condyloma lesions for females, and condyloma lesions in urethra or anal lesions for males [130]. A total of 1356 participating patients including 1176 females and 180 males participated in the study. The vaccine was injected locally to the lesion site, either into the uterus of female patients, instilled into the urethra of male patients, or injected locally into visible lesions. 825 of 870 (94.82%) female patients with low-grade lesions and 220 of 300 (73.33%) female patients with high-grade lesions demonstrated lesion clearance at the end of treatment. The overall efficacy of MVA E2 vaccine in treating HPV-induced CIN lesions in this study is around 90%. All of the male patients showed complete elimination of lesions. During the 5 years follow up period, only 5 out of the 141 vaccinated female patients with high-grade lesions and no male patients experienced lesion recurrence. In addition, antibodies against HPV-E2 proteins were detected in the serum of every treated patients and generation of cytotoxic T cell response specific against HPV antigens were observed in all randomly tested patients. Common adverse events observed during the study include headaches, flu symptoms, fever, chills, abdominal pain, and joint pain, which were all considered to be grade 1 / mild side effects. These encouraging results demonstrated the therapeutic potential of MVA E2 vaccine in stimulating the immune system to treat HPV associated intraepithelial lesions.

Peptide and Protein-Based Vaccine

The therapeutic potential of HPV16 synthetic long-peptide vaccine (HPV16-SLP) in human patients has been studied substantially in multiple clinical trials [131–133]. The vaccine, HPV16-SLP, consists of 9 E6 and 4 E7 peptides of 25 to 35 amino acids long with overlap of 10 to 14 amino acids between each subsequent peptide, as well as Montanide ISA-51 as adjuvant [134]. The ability of HPV16-SLP vaccines to establish long-term immunologic memory in patients with low-grade abnormalities of the cervix was further tested in a placebo-controlled, double-blinded phase II study [135]. 50 patients with LSIL or cervical abnormality were recruited. The patients were randomly assigned to receive either the vaccine or placebo on the arm or thigh followed by either vaccine or placebo booster one year after the first administration. Common AEs observed in this study include flu-like syndrome, injection site reactions. 97% of vaccinated patients generated significant HPV-16 specific immune responses. The study showed that two low-dose HPV16-SLP vaccinations could induce strong and stable HPV-16 specific T cell immune responses in vaccinated patients that last for at least one year.

The applicability of a therapeutic HPV peptide-based vaccine with adjuvant Montanide and GMCSF (GL-0810) has also been tested in patients with recurrent / metastatic (RM) squamous cell carcinoma of the head and neck (SCCHN) in a phase I dose escalation trial [136]. The vaccination was well tolerated, with mainly grade 1 AE including erythema, pain, and itching at injection site. Out of the five patients with HPV-16+ RM SCCHN and received all four subcutaneous vaccinations, four (80%) of them generated T cell and antibody responses, and no dose limiting toxicities was observed. The median progression free survival and the median overall survival of patients who received vaccination were 80 and 196 days respectively. The study demonstrated that the vaccine was well tolerated by patients with late stage cancer and was capable of eliciting an immune response.

HPV therapeutic vaccine TA-CIN is a subunit vaccine comprising HPV16 E6E7L2 fusion protein [137]. This vaccine has been proven safe and immunogenic in multiple phase I and II trials [138–140]. The ability of the TA-CIN vaccine to be administered with topical immunomodulatory, imiquimod, for treating patients with grade 2 or 3 vulvar intraepithelial neoplasia (VIN) was further tested in another phase II study [141]. 19 Patients received imiquimod 5% cream as well as 3 times of intramuscular TA-CIN vaccination (128µg / time) to the deltoid muscle at 1 month interval. Common AE includes local inflammation, ulceration, malaise and flu-like symptoms after imiquimod cream application. No side effects or AEs associated with TA-CIN vaccination were identified. 20 weeks after vaccination, a significant increase in infiltrating CD8+ and CD4+ T cells were observed in lesion responders, and complete regression of VIN was observed in 63% of treated patients at week 52 after treatment, who also demonstrated the generation of immune responses at week 20. The study demonstrated that the ability to elicit antigen-specific immune responses in patients correlates with lesion regression.

Nucleic acid-based vaccines

Many clinical trials have been conducted recently evaluating the efficacy of therapeutic HPV DNA vaccines in human patients. One such trial incorporated the strategy of heterologous

prime-boost vaccination to treat patients with HPV-16 associated CIN2/3 lesion [142]. The DNA vaccine used in this study, pNGVL4a-sig/E7(detox)/HSP70, is a plasmid encoding mutated form of HPV16-E7 linked to signal peptide and heat shock protein 70, which have been shown to enhance the immune response generated by the antigen [116]. Another vaccine used in the study, TA-HPV, is a recombinant HPV vaccinia virus based vaccine encoding the HPV-16 and 18 E6 and E7 protein [36]. 12 Patients were injected intramuscularly twice with pNGVL4a-sig/E7(detox)/HSP70 and boosted with intramuscular injection of TA-HPV, with each vaccination given in one month intervals. All reported AEs were considered to be mild. Noted side effects include tenderness, local reaction at injection site, blister with drainage, erythema and pruritus. 7 of the 12 (58%) vaccinated patients developed HPV 16-specific CMI, predominantly HPV-16 E7-specific responses. In addition, the study demonstrated that a significant increase in antigen-specific CD8+ T cell infiltrate in the HPV associated lesions could be observed, regardless of a lack in increase of antigen specific CD8+ T cell responses in the peripheral blood. Thus, the study suggested that local immune responses, instead of the traditionally monitored systemic immune responses, are ultimately responsible for the therapeutic effects against target lesions and better clinical outcomes.

A phase I trial was recently conducted testing the safety and efficacy of a therapeutic HPV DNA vaccine, GX-188E, in 9 patients with cervical intraepithelial neoplasia 3 (CIN3) [143]. The GX-188E DNA vaccine is engineered to express fusion protein of HPV16 and HPV18 E6 and E7 that is fused to the extracellular domain of Flt3L and signal sequence of plasminogen activator (tpa). Flt3L and tpa inclusion sought to promote trafficking and presentation of the fusion protein to the secretory pathway, thus enhancing the potency of the vaccine. Patients were vaccinated with GX-188E DNA through intramuscular injection into the deltoid muscle followed by electroporation. Among the 9 vaccinated patients, only grade 1 or lower adverse events associated with vaccination were observed, and the patients recovered quickly from the adverse event. These AEs associated with vaccination include chills, injection site pain, swelling and hypoesthesia. Some other AEs, including headache, rhinitis and fatigue, could potentially be associated with vaccination. After vaccination, no anti-DNA antibodies were detected in patients. These results indicate that GX-188E is safe and well tolerated by human patients. Furthermore, statistically significant cellular immune responses were observed in all vaccinated patients. GX-188E vaccination also elicits a weak antibody response against E7 protein in 3 of the 9 vaccinated patients. At 8 weeks post last vaccination, complete lesion regression was observed in 6 of the 9 patients, and no lesion recurrence was observed in these responders throughout the remaining period of the trial, while 1 additional patient demonstrated complete lesion regression at 36 weeks post vaccination. These findings encourage further clinical studies of GX-188E.

A therapeutic HPV DNA vaccine, VGX-3100, is a mixture of two plasmids encoding optimized consensus of E6 and E7 antigen of HPV 16 and 18 [144,145]. The vaccine has been administered to eighteen female patients with previously treated CIN2/3 lesions via intramuscular injection into deltoid muscle followed by electroporation [146]. All eighteen patients received three rounds of vaccination and the vaccine was well tolerated with no dose-limiting toxicity observed. AEs that were related to vaccination includes injection site reaction, fever, pain during electroporation, tenderness. Furthermore, induction of HPV-

specific CD8+ T cells with full cytolytic function was detected in 14 of the 18 (78%) patients. In addition, 17 of 18 (94%) patients demonstrated increased HPV16 E7 antibody titers, and increased HPV18 E7 antibody titers were observed in all 18 patients. Increase in antibody titers against HPV16 and 18 E6 were observed in 12 (67%) and 7 (39%) patients respectively. These results demonstrated the potential of the vaccine to induce robust antigen specific immune responses and contribute to elimination of HPV-infected cells and subsequent regression of lesion. The encouraging results in this phase I study prompted the initiation of phase IIb trial, further verifying the therapeutic potential of VGX-3100 DNA vaccine for the treatment of CIN2/3 lesions in a randomized, double-blind, placebo-controlled study [147]. 167 patients were enrolled in the phase IIb study, with 125 patients receiving VGX-3100 vaccine and 42 patients receiving placebo. The vaccine was administered intramuscularly into deltoid muscle followed by electroporation. Common AEs observed include injection site reactions, fatigues, headache, myalgia, nausea, and arthralgia. The major side effect experienced by VGX-3100 recipients but not by placebo recipients is erythema (78.4% of patients in VGX-3100 group and 57.1% of patients in placebo group, $p = 0.007$). 49.5% of VGX-3100 recipients had histopathological regression compared to 30.6% for placebo recipients ($p = 0.034$), based on the per-protocol analysis. Concomitant regression and viral clearance were observed in 39.5% of vaccinated patients compared to 15.4% of patients who received placebo. The study has also demonstrated that higher HPV-specific T cell and humoral responses were elicited in the vaccinated cohort than the placebo cohort, and correlated the observed immune responses to lesion regression and viral clearance.

Whole Cell-based vaccines

The therapeutic potential demonstrated by DC-based vaccines in the preclinical models prompted studies to further test their efficacy in human patients. A phase I, dose escalation trial has been conducted to evaluate the safety, toxicity, and immunogenicity of a DC-based vaccine in patients with stage Ib or IIa cervical cancer [148]. The autologous dendritic cells were obtained from the patients and pulsed with full length HPV16/18-E7 oncoprotein and keyhole limpet hemocyanin (KLH) before they were vaccinated back into the patient via subcutaneous injection to the inguinal ligament of the anterior mid-thigh. 14 patients enrolled in the study, with 10 of the 14 patients completed the DC vaccine protocol with a total of 5 vaccinations. Among the 10 patients, 1 has HPV 18+ squamous cell carcinoma, 7 with HPV 16+ squamous cell carcinoma, and 2 harboring HPV16+ adenocarcinoma. The study reported that the DC-based vaccine was safe and well tolerated by the patients, with only minor local reactions, including erythema, swelling, and pruritus, observed after vaccination. Increases in HPV-specific humoral response were observed in patients after vaccination. The study also reported an increase in E7-specific CD4+ T cell immune responses in patients after vaccination, but no statistically significant increase in CD8+ T cell responses were observed.

Another phase I clinical trial evaluated the toxicity and immunogenicity of a DC-based vaccine in patients with HPV+ advanced, recurrent cervical cancer [149]. Fourteen participating patients were separated into three treatment arms: saline only control, unprimed mature DC, and autologous tumor lysate primed mature DC. Dendritic cells were

collected from the patient and pulsed with or without tumor lysate obtained from the same patient. Saline control, unprimed mature DC, or autologous tumor lysate primed mature DCs were administered to patients via intra-dermal injection. Only grade 0 and grade 1 toxicity were observed in three of the fourteen patients, which includes itching at vaccination site, fever, chills, abdominal discomfort, and vomiting, suggesting that the DC based vaccine was well tolerated. However, no statistically significant increase proliferation of lymphocytes were observed in patients of all treatment arm were observed. In addition, biopsy samples could not be obtained from all patients after vaccination for detailed evaluation. Even though one of the patients treated with autologous tumor lysate primed mature DC subsequently received cisplatin chemotherapy showed complete clinical response and remained disease free for more than 72 months, the sample size of the study was too small for definite conclusions.

In addition to the results from various clinical trials described above, Table 3 listed and summarized the currently ongoing therapeutic HPV vaccine clinical trials for different HPV-associated diseases.

Expert Commentary & Five-Year View

The identification and characterization of high-risk HPV as a causative agent for many cases of cancer provides an important rationale for the development of therapeutic HPV vaccines. The ongoing developments in the field provide encouraging evidence for the possibility of the eventual eradication of HPV-related diseases and malignancies. In the development of therapeutic HPV vaccines, we discussed various approaches targeting the most relevant antigens associated with HPV associated malignancies, the HPV E6 and E7 oncoproteins, which represent tumor-specific antigens and ideal targets for therapeutic HPV vaccines. Based on our studies and studies from other peers in the field, we conclude that current therapeutic HPV vaccines, including live vector based, peptide/protein based, nucleic acid based, and whole cell based immunization, are each associated with advantages and limitations. Many current studies focus on implementing strategies such as incorporation of adjuvants, prime-boost regimens, and other combinations strategies to further enhance the T cell immune responses. Increasing understanding of the tumor microenvironment has led to the use of therapeutic vaccines with chemo-radiotherapy as well as molecules capable of blocking negative regulations and immune suppressions present in the tumor microenvironment to enhance the therapeutic effect of treatment strategies. In addition, understanding the importance of local immune responses will lead to future studies identifying the ideal vaccination sites.

Interestingly, several preclinical studies testing the efficacy of potential therapeutic HPV vaccine candidates have reported the ability of therapeutic HPV vaccines in generating protective effects and prevent the development of cancer in vaccinated mice. However, most of the currently designed therapeutic HPV vaccine candidates mainly aimed to target cancer causing HPV types (16 and 18). In addition, T cell mediated immune responses is more specific than antibody mediated immune responses, and thus therapeutic HPV vaccines may not protect patients from other HPV types that are included in the currently available prophylactic vaccines (HPV 6 and 11 etc.). Thus, in the present state, one should still

consider using prophylactic vaccine for age-specific preventive vaccination and administer therapeutic HPV vaccines to those with detected lesions and/or infections. In the future, with further understandings and improvements, therapeutic HPV vaccines may potentially be administered to healthy patients as a mean to prevent the establishment of potential HPV infections and cancers.

With continued effort in the development of therapeutic HPV vaccines and the knowledge accumulated from recent and previous studies, we can foresee the continued success of therapeutic HPV vaccines over the next five years. We anticipate that, in the near future, therapeutic HPV vaccines will become a clinically available option, alongside other therapies including surgery, chemotherapy, and radiation therapy, for the control of HPV-associated diseases.

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List of Abbreviations

APCs	antigen presenting cells
CIN	cervical intraepithelial neoplasia
COX	cyclooxygenase
CRT	calreticulin
CTL	cytotoxic T lymphocyte
DCs	dendritic cells
ER	endoplasmic reticulum
GMCSF	granulocyte macrophage colony stimulating factor
HBsAg	hepatitis B virus surface antigen
HDACi	histone deacetylase inhibitor
HLA	human leukocyte antigen
HPV	human papillomavirus
IDLV	integrase defective lentiviral vector
IFN	interferon
IL	interleukin
ISG	interferon-stimulating gene
KLH	keyhole limpet hemocyanin

KUN	flavivirus Kunjin
LAMP	lysosomal-associated membrane protein
LLO	listeriolysin O
LSIL	low grade squamous intraepithelial lesion
MDSCs	myeloid derived suppressor cells
MHC	major histocompatibility complex
MVA	modified vaccinia virus Ankara
RM	recurrent / metastatic
SCCHN	squamous cell carcinoma of the head and neck
SFV	Semliki Forest virus
tDLNS	tumor-draining lymph nodes
TCR	T cell receptor
TGF	tumor growth factor
TLR	Toll-like receptor
VIN	vulvar intraepithelial neoplasia

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Reference annotations

* *Of interest*

** *Of considerable interest*

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Key-issues

- Human papillomavirus (HPV) the key etiological factor for cervical cancer.
- The current commercial preventive HPV vaccines have been shown to be efficacious in generating capsid-specific neutralizing antibody responses to HPV-16 and HPV-18, the two most common types associated with cervical cancer.
- There are no strong evidence to show that prophylactic vaccines have definite therapeutic effects against pre-existing HPV infections and related diseases. HPV-induced neoplasia is highly prevalent globally and therefore, continued development of therapeutic vaccines is critical.
- Antigen-specific immunotherapy generated by therapeutic HPV vaccines has focused on enhancing T cell-mediated killing of HPV-transformed tumor cells, which constitutively express HPV-encoded proteins, E6 and E7.
- Encouraging success in preclinical studies has been demonstrated by various types of therapeutic HPV vaccines.
- Each type of therapeutic HPV vaccine has its limitations, and strategies have been designed to overcome these limitations to further enhance the efficacy of the vaccines.
- Therapeutic HPV vaccines can be synergized with additional therapeutic strategies to generate more potent therapeutic effects.
- Delivery site of therapeutic vaccines may be crucial for eliciting the desired immune responses, which warrants for further investigation.
- Various therapeutic HPV vaccines targeting HPV E6 and E7 have been explored in clinical trials.

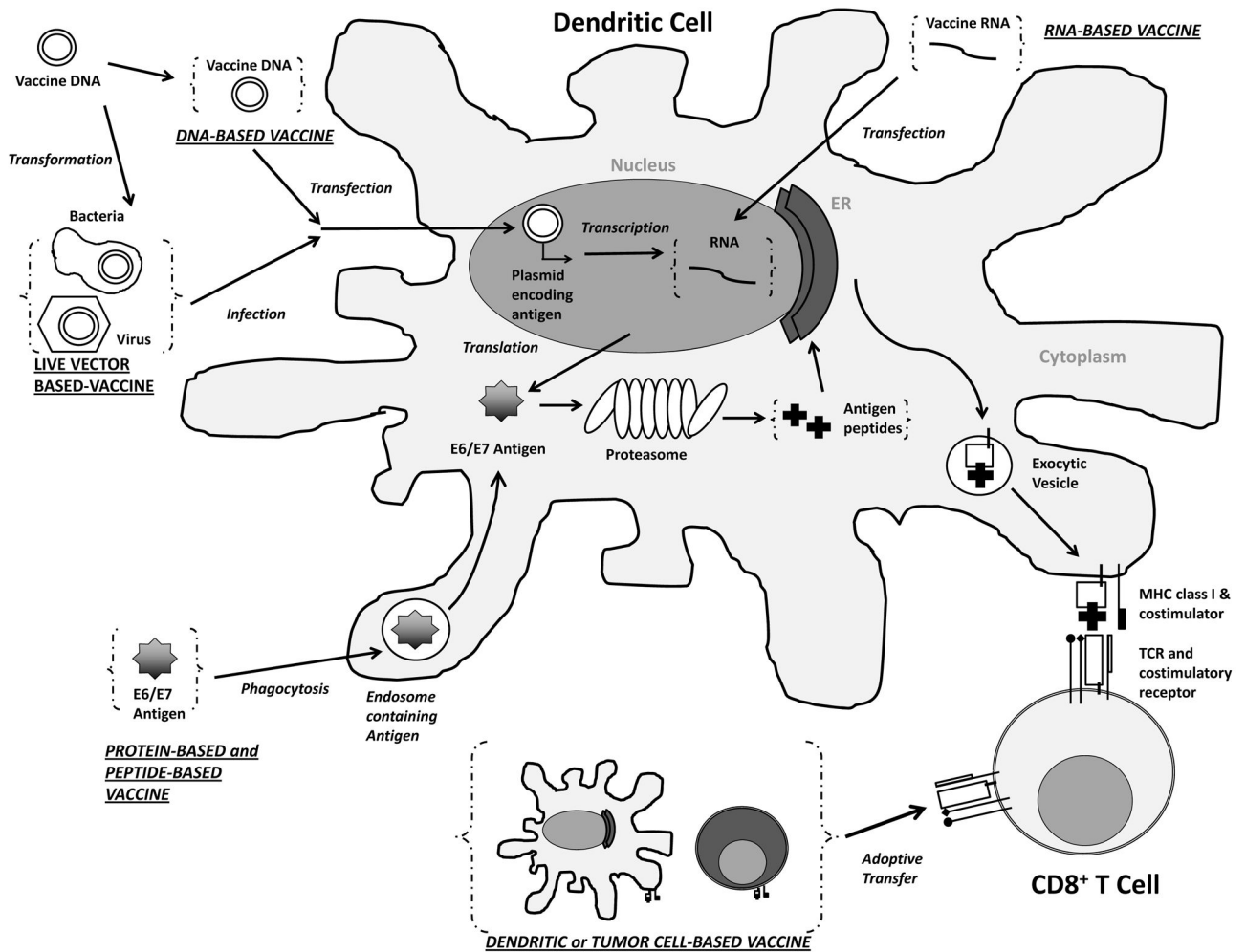


Figure 1. Immune activation by therapeutic HPV vaccination

Administration of various types of therapeutic HPV vaccines leads to introduction of antigen into the body in different forms. DNA plasmids encoding antigens (HPV oncoprotein E6 and E7) can be transfected into dendritic cells through DNA vaccination or infection of modified live vector vaccine. The DNA encoding antigens will be transcribed into RNA, which can also be introduced into the cell through RNA vaccination. The transcribed RNA will be further translated into antigen proteins, or long peptides, which can also be taken up by the dendritic cells through phagocytosis following protein-based vaccination or peptide-based vaccination. The antigen proteins or long peptides are then processed by the proteasomes into short peptides, loaded onto class one major histocompatibility complex (MHC I) inside the endoplasmic reticulum (ER) to be presented to T cell receptors (TCR) of CD8+ T cells. Alternatively, dendritic cells or tumor cells can be prepared ex vivo to express target antigen on MHC I molecules as well as the necessary co-stimulatory molecules and be vaccinated back to the body (a process called adoptive transfer) as whole cell-based vaccines for the priming of T cells. (ER – Endoplasmic Reticulum; MHC – Major Histocompatibility Complex; TCR – T Cell Receptor; E6/E7 – Human Papillomavirus E6 and E7 Protein.)

Table 1

Characteristics of current therapeutic HPV vaccines

	Advantages	Disadvantages	Reference
Bacterial vector based	<ul style="list-style-type: none"> Highly immunogenic Wide range of selection for available vectors Can deliver engineered DNA plasmid or protein to APCs 	<ul style="list-style-type: none"> Potentially toxic / harmful to patients Potential pre-existing immunity Generation of neutralizing antibodies limit the efficacy of repeated administration 	[21–31]
Viral vector based	<ul style="list-style-type: none"> Highly immunogenic Wide range of selection for available vectors Different viral vector possess different immunological properties Can be engineered to include and express costimulatory molecules / cytokines 	<ul style="list-style-type: none"> Potentially toxic / harmful to patients Potential pre-existing immunity Generation of neutralizing antibodies limit the efficacy of repeated administration May elicit immune response mainly targeting viral vector antigens over HPV tumor antigens 	[21–22, 32–46]
Peptide based	<ul style="list-style-type: none"> Stable, safe, easy to produce Can include multiple epitopes Can be modified for better MHC binding 	<ul style="list-style-type: none"> Low immunogenicity Immunogenic epitopes may need to be determined Must match with patient's MHC class I molecules 	[47–50]
Protein based	<ul style="list-style-type: none"> Stable, safe, easy to produce No MHC Class I restriction 	<ul style="list-style-type: none"> Low immunogenicity Usually better at generating humoral response than cell-mediated response 	[47, 49]
DNA based	<ul style="list-style-type: none"> Stable, safe, easy to produce, store, and transport Capable of repeated administration DNA sequences can be engineered to include targeting and costimulatory genes Multiple delivery methods available Continued expression of antigen from plasmid lead to sustained antigen expression on MHC-peptide complex 	<ul style="list-style-type: none"> Low immunogenicity Potential risk of chromosomal integration Lack intrinsic ability to self amplify and spread to surrounding cells 	[51–56]

	Advantages	Disadvantages	Reference
RNA replicon based	<ul style="list-style-type: none"> • Able to amplify in transfected cells to enhance antigen expression • Non-infectious, no risk for chromosomal integration and/or cell cellular transformation • Multiple delivery vectors available 	<ul style="list-style-type: none"> • Relatively unstable, difficult to store and handle. • Stimulate transfected cell to undergo apoptosis; reduced immunogenicity • Preparation / production is labor intensive • Difficult to prepare in large quantity 	[34, 57–63]
DC based	<ul style="list-style-type: none"> • Highly immunogenic • Serve as natural adjuvant • Multiple methods of antigen loading 	<ul style="list-style-type: none"> • Personalized cell processing, labor intensive, costly • No standardized quality control and criteria for vaccine preparation 	[64–72]
Tumor cell based	<ul style="list-style-type: none"> • Capable of presenting undefined tumor antigen • Likely to express relevant tumor antigens 	<ul style="list-style-type: none"> • Safety concern for tumor injection in patients • Labor intensive preparation • Weak antigen presentation • Requires autologous tumor cells or availability of tumor cell lines 	[8, 73–74]

APCs - antigen presenting cells; MHC - major histocompatibility complex; DC - dendritic cell

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Table 2
Recent Therapeutic HPV Vaccine Clinical Trials Using Different Forms of Vaccines

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Outcome	Side Effects	Reference
Bacterial Vector Based							
Lm-LLo-E7 (ADXS11-001; ADXS-HPV)	HPV-16 E7	prfA-defective <i>Listeria monocytogenes</i> strain transformed with plasmid encoding HPV-16 E7 antigen fused to a fragment of nonhemolytic listeriolysin O (LLO)	Advaxis, Inc.	Phase I in patients with metastatic, refractory or recurrent advanced squamous cell carcinoma of the cervix (15 patients)	Increase in E7-specific T cells detected in PBMCs of three patients. Reduction in tumor size observed in 4 patients.	Pyrexia, vomiting, chills, headache, anemia, nausea, tachycardia, muscle and skeletal pain.	[123]
GLBL101c	HPV16-E7	Recombinant <i>Lactobacillus Casei</i> expressing modified version of HPV16-E7	GENOLAC BL Corp	Phase I/IIa in HPV16+ CIN3 patients (17 patients)	Significant increase in E7-CMI in cervical vaginal tract. 9 patients experienced disease regression to CIN2, and 5 further regressed to LSIL.	No major side effects observed.	[125]
Viral Vector Based							
TC4001	HPV-16 E6/E7	Recombinant Modified Vaccinia Ankara expressing HPV-16 E6, E7, and IL-2	Transgene/Roche	Phase I in HPV16+ CIN2/3 Patients (21 patients)	10 of 21 (48%) showed disease regression. HPV DNA clearance in 8 patients and mRNA clearance in 7 patients.	Inflammation, pruritus, edema, lymphadenopathy, fever, headache, asthenia, bone pain, vaginal discharge	[126]
MVA E2	HPV-16 E2	Recombinant Modified Vaccinia Ankara encoding E2 from BPV	Instituto Mexicano del Seguro Social	Phase I/II in CIN1/2/3 Patients (78 patients)	50% vaccinated patients were free of lesions at the end of treatment, and 87% were lesion free by 3 weeks after treatment.	Headache, flu-like symptom, fever, chills, abdominal pain, joint pain.	[128]
				Phase I/II in male patients with flat	No lesions or HPV detected in 28 of 30	No local or systemic adverse effects reported.	[129]

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Outcome	Side Effects	Reference
				condyloma lesions (30 patients) Phase III in patients with HPV-induced AGIN (1176 female patients and 180 male patients)	patients 4 weeks after treatment. 90% lesion clearance in female treated patient and 100% lesion clearance in male treated patients. Antibody and T cell responses observed in all tested patients.	Headache, flu-like symptom, fever, chills, abdominal pain, joint pain.	[130]
Peptide / Protein Based							
HPV16-SLP	HPV-16 E6/E7	Combination of nine HPV-16 E6 and four HPV-16 E7 synthetic peptides with incomplete Freund's adjuvant	ISA Pharmaceuticals	Phase II in patients with HPV16+ VIN3 (20 patients)	15 patients had objective clinical response at 12 months. 9 complete response and 6 partial response. 85% with circulating HPV-16 specific T cells. 83% had CMI against HPV-16.	Local swelling, redness, increased skin temperature, pain at vaccination site, fever, flu like symptoms, chills, and tiredness.	[131]
				Phase II study in patients with HPV16+ HSIL (9 patients)	All vaccinated patients showed strong HPV-specific T cell response after vaccination. Change in patterns of immune infiltrate.	Itching, redness, swelling, and pain at injection site, headache, diarrhea, fatigue/dizziness, nausea, chills, myalgia, rash, fever, urticarial, edema.	[132]
				Phase II in patients HPV16+ advanced or recurrent gynecological carcinoma (20 patients)	9 patients with HPV-16 specific immune response. Duration of survival correlates with	Injection site reaction, fever, chills, fatigue, nausea, flu-like symptom	[133]

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Outcome	Side Effects	Reference
GL-0810	HPV-16 antigen	HPV-16 immunomodulatory peptide with adjuvant Montanide and GM-CSF	Gliknik Inc.	Phase II in patients with low-grade abnormalities of the cervix (50 patients)	97% of vaccinated patients generated HPV 16-specific CMI	Flu-like symptom, injection site reaction.	[135]
				Phase I in patients with recurrent/metastatic squamous cell carcinoma of the head and neck (9 patients)	80% of patients who received 4 vaccinated developed T cell and antibody response. Progression free and over all survival is 80 and 196 days respectively.	Erythema, itching, and pain at injection site	[136]
TA-CIN	HPV-16 E6/E7/L2	HPV16 E6E7L2 fusion protein	Xenova Research Limited	Phase I in healthy patients (40 subjects)	TA-CIN specific IgG in 24 of 32 vaccinated patients. 25 of 32 vaccinated patients generated CMI.	Injection site reaction, tenderness. Headache and fatigue	[138]
				Phase II with VIN2/3 patients (19 patients)	63% lesion response 1 year after vaccination. Significant increase. Significant CMI observed in lesion responders.	Local reaction associated with imiquimod.	[141]
TA-CIN + TA-HPV	HPV-16/18 E6/E7/L2	HPV16 E6E7L2 fusion protein and vaccinia virus with HPV16/18 E6E7	Celtic Pharma	Phase I with HPV16+ VIN patient (10 patients)	Partial or complete clinical response in 2 patients. All but 1 patient showed HPV-16 specific IgG and/or T cell responses.	Pain at injection site.	[139]
				Phase II with HPV16+ high-	17 patients showed TA-	N/A	[140]

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Outcome	Side Effects	Reference
Nucleotide Based							
pNGVL4a-sig/E7(detox)/HSP70 + TA-HPV	HPV-16/18 E6/E7	Plasmid encoding mutated form of HPV16-E7 linked to sig and HSP70 and vaccinia virus with HPV16/18 E6/E7	Sidney Kimmel Comprehensive Cancer Center	Phase I with HPV16+ CIN3 Patients (12 patients)	58% vaccinated patients have generated HPV-16 E7-specific CMI. Increase CD8+ T cell infiltration to lesions.	Tenderness, local site reaction, blister, erythema, pruritus	[142]
GX-188E	HPV-16/18 E6/E7	Plasmid encoding fusion protein of HPV 16/18 E6/E7 linked to Flt3L and tpa	Genexine, Inc	Phase I in patients with HPV 16/18+ CIN3 (9 patients)	All patients displayed enhanced HPV-specific CMI. 7 patients demonstrated complete lesion regression by the end of the trial.	Chills, injection site pain, swelling, hypoesthesia, headache, fatigue, rhinitis	[143]
VGX-3100	HPV-16/18 E6/E7	Mixture of two plasmids encoding optimized consensus of E6 and E7 antigen of HPV 16 and 18	Inovio Pharmaceuticals	Phase I with HPV16/18 + CIN2/3 Patients (18 patients)	HPV-specific CMI observed in 78% patients and HPV-specific humoral response observed in all patients.	Injection site reaction, pain, fever, tenderness.	[146]
				Phase IIb with HPV16/18 + CIN2/3 Patients (167 patients)	49.5% vaccinated patient demonstrated regression compared to 30.6% in placebo group. Vaccinations enhance T cell and humoral response.	Injection site reaction, fatigue, headache, lyalgia, nausea, arthralgia, erythema	[147]

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Outcome	Side Effects	Reference
Whole Cell Based							
DC + KLH	HPV-16 and HPV-18 E7	Dendritic Cells pulsed with HPV-16 and HPV-18 E7 and keyhole limpet hemocyanin	National Institute of Health	Phase I in patients with stage Ib or IIa cervical cancer (10 patients)	Increase in HPV-specific humoral and CD4+ T cell responses, but not CD8+ T cell responses.	Local site reaction, erythema, swelling, pruritus	[148]
DC	HPV antigens	DC pulsed with HPV + tumor lysate	Department of Biotechnology (DBT, Govt. of India)	Phase I in patients with HPV+ advanced, recurrent cervical cancer (14 patients)	No significant increase in lymphocyte proliferation observed. Lack of biopsy sample and small sample size prevent definite conclusions.	Local site reaction, fever, chills, abdominal discomfort, vomiting.	[149]

CIN - Cervical intraepithelial neoplasia; AGIN - Ano Genital Intraepithelial Neoplasia; HSIL - High-grade squamous intraepithelial lesion; VIN - vulvar intraepithelial neoplasia

Table 3 Currently Ongoing Therapeutic HPV Vaccine Clinical Trials for Different HPV-Associated Diseases

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Estimated Date of Trial Completion	Clinical Trials.gov Identifier
Persistent HPV Infection and Low-Grade Squamous Intraepithelial Lesion						
PDS0101	HPV-16 E6/E7	R- enantiomer of 1,2-dioleoyl-3-trimethylammonium-propane chloride + Peptides HPV-16 E6 and E7	PDS Biotechnology Corp.	Phase I in female patients with high risk HPV infection or CIN I (18 estimated patients)	Information not provided	NCT02065973
ProCervix	HPV-16/18 E7	2 recombinant adenylate cyclase (CyaA) proteins: CyaA-HPV 16E7 & CyaA-HPV 18E7	Geniteel	Phase II in female patients with HPV16/18+ infection or ASCUS/LSIL (220 estimated patients)	December 2016	NCT01957878
Cervical Intraepithelial Neoplasia (CIN) / High-Grade Squamous Intraepithelial Lesion						
GX-188E	HPV-16/18 E6/E7	Plasmid encoding fusion protein of HPV 16/18 E6/E7 linked to FIT3L and tpa	Genexine, Inc	Phase II in HPV 16/18+ CIN2, CIN2/3, and CIN3 patients in Eastern Europe (120 estimated patients)	December 2017	NCT02596243
pNGVL4a-CRT/E7(detox)	HPV-16 E7	Plasmid encoding mutated form of HPV 16-E7 linked to calreticulin	Sidney Kimmel Comprehensive Cancer Center	Phase II in HPV 16/18+ CIN3 patients in South Korea (72 estimated patients)	June 2016	NCT02139267
pNGVL4a-sig/E7(detox)/HSP70 + TA-HPV	HPV-16/18 E6/E7	Plasmid encoding mutated form of HPV16-E7 linked to sig and HSP70 and vaccinia virus with HPV16/18 E6/E7		Phase I in patients with HPV16+ CIN2/3 (39 estimated patients)	December 2015	NCT00988559
				Phase I in patients with HPV16+ CIN3 in combination with topical imiquimod (48	July 2016	NCT00788164

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Estimated Date of Trial Completion	Clinical Trials.gov Identifier
TVGV-1 + GPI-0100	HPV-16 E7	Fusion protein of HPV-16 E7 and ER targeting sequence	THE VAX Genetics Vaccine Co.	estimated patients) Phase IIa in patients with HPV induced cervical HSIL (51 estimated patients)	June 2017	NCT02576561
Pepcan + Candin	HPV-16 E6	HPV16 E6 peptides combined with Candida skin testing reagent candin.	University of Arkansas	Phase II in patients with cervical HSIL (125 estimated patients)	August 2020	NCT02481414
Anal Intraepithelial Neoplasia (AIN)						
ISA101 (SLP-HPV-01; HPV16-SLP)	HPV-16 E6/E7	Combination of nine HPV-16 E6 and four HPV-16 E7 synthetic peptides with incomplete Freund's adjuvant	ISA Pharmaceuticals	Phase I/II in HIV+ male patients with HPV-16+ AIN2/3 (45 estimated patients)	February 2018	NCT01923116
HPV-Associated Incurable Solid Tumors						
ISA101 (SLP-HPV-01; HPV16-SLP)	HPV-16 E6/E7	Combination of nine HPV-16 E6 and four HPV-16 E7 synthetic peptides with incomplete Freund's adjuvant	ISA Pharmaceuticals	Phase II in patients with HPV-16+ Incurable solid tumors (oropharyngeal squamous cell carcinoma, cervical, vulvar, vaginal, anal, and penile cancer) as combination therapy with Nivolumab (28 estimated patients)	December 2018	NCT02426892
Head and Neck Cancer						
ADX511-001 (Lm-LLo-E7)	HPV-16-E7	prfA-defective Listeria monocytogenes strain transformed with plasmid encoding HPV-16 E7 antigen fused to a	Advaxis, Inc.	Phase II in patients with HPV+ Oropharyngeal Squamous Cell Carcinoma before robot-	March 2017	NCT02002182

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Estimated Date of Trial Completion	Clinical Trials.gov Identifier
INO-3112 (VGX-3100 + INO-9012)	HPV-16/18 E6/E7	Mixture of three plasmids encoding optimized consensus of E6 and E7 antigen of HPV 16 and 18 and proprietary immune activator expressing IL-12	Inovio Pharmaceuticals	Phase I/IIa in patients with HPV associated head and neck squamous cell carcinoma (25 estimated patients)	December 2017	NCT02163057
Cervical Cancer						
ADX511-001 (Lm-LLo-E7)	HPV-16-E7	prfA-defective <i>Listeria monocytogenes</i> strain transformed with plasmid encoding HPV-16 E7 antigen fused to a fragment of nonhemolytic listeriolysin O (LLO)	Advaxis, Inc.	Phase II in patients with persistent or recurrent squamous or non-squamous cell carcinoma of the cervix (67 estimated patients)	October 2018	NCT01266460
INO-3112 (VGX-3100 + INO-9012)	HPV-16/18 E6/E7	Mixture of three plasmids encoding optimized consensus of E6 and E7 antigen of HPV 16 and 18 and proprietary immune activator expressing IL-12	Inovio Pharmaceuticals	Phase I/IIa in female patients with new, recurrent, or persistent cervical cancer (30 estimated patients)	April 2019	NCT02172911
ISA101 (SLP-HPV-01; HPV16-SLP)	HPV-16 E6/E7	Combination of nine HPV-16 E6 and four HPV-16 E7 synthetic peptides with incomplete Freund's adjuvant	ISA Pharmaceuticals	Phase II in patients with locally advanced cervical cancer as combination therapy with chemoradiation (126 estimated patients)	May 2021	NCT02501278
				Phase I/II in female patients with HPV-16+ advanced or recurrent cervical cancer	December 2016	NCT02128126

Vaccine	Antigen(s)	Construct	Organization	Trial Design	Estimated Date of Trial Completion	Clinical Trials.gov Identifier
TA-CIN + GPI-0100	HPV-16 E6/E7/L2	HPV16 E6E7L2 fusion protein + GPI-0100 adjuvant	Sidney Kimmel Comprehensive Cancer Center	(48 estimated patients) Phase I in patients with HPV16 associated cervical cancer (30 estimated patients)	May 2020	NCT02405221

AGIN - Ano Genital Intraepithelial Neoplasia; AIN - Anal intraepithelial Neoplasia; ASCUC - atypical squamous cells of undetermined significance; CIN - Cervical intraepithelial neoplasia; ER - Endoplasmic reticulum; HIV - Human immunodeficiency virus; HPV - Human papillomavirus; HSIL - High-grade squamous intraepithelial lesion; LSIL - Low-grade squamous intraepithelial lesion