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Topoisomerase II α Amplification Does Not Predict Benefit From Dose-Intense Cyclophosphamide, Doxorubicin, and Fluorouracil Therapy in *HER2*-Amplified Early Breast Cancer: Results of CALGB 8541/150013

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ABSTRACT

Purpose

We have demonstrated that patients with *HER2*-amplified tumors derive more benefit from higher doses of doxorubicin-containing chemotherapy (cyclophosphamide, doxorubicin, and fluorouracil [CAF]). Because topoisomerase II α (Topo-II α) is a target for doxorubicin and is coamplified in 20% to 50% of *HER2*-amplified tumors, we postulated that $\text{Topo-Ia copy number might account for the}$ benefit from CAF dose escalation in *HER2*-positive tumors. To address this hypothesis, we examined Topo-II α and *HER2* copy number, CAF dose, and clinical outcomes in Cancer and Leukemia Group B (CALGB) 8541.

Patients and Methods

Topo-II α and *HER2* copy number were measured by fluorescent in situ hybridization (FISH) using a triple-probe system, which includes Topo-II α , *HER2*, and chromosome 17 (CEP17). Topo-II α and/or HER2 were classified as amplified (≥ two copies/CEP17, deleted (≤ 0.67 copies/CEP17) and normal copy number $(> .67$ to < 2.0 copies/CEP17).

Results

Topo-II_a/*HER2*/CEP17 measurement was successful in 624 of 687 cases. *HER2* was amplified in 117 cases (19%). Topo-II α was amplified in 41 cases (7%) and deleted in 69 cases (11%). Topo-II α amplification was highly correlated with *HER2* amplification (39 of 41; $P <$.0001), *HER2* by immunohistochemistry, and by dual-probe FISH. Topo-IIa was deleted in both the *HER2*-amplified (30 of 69; 43%), normal (22 of 69; 32%) and *HER2*-deleted tumors (17 of 69; 25%). Although Topo-II_a-amplified tumors were nearly always *HER2* amplified, these tumors did not receive benefit from increasing the dose of CAF $(P = .15)$.

Conclusion

The correlative companion study CALGB 8541-150013 does not support the hypothesis that Topo-II α amplification is the mechanism behind benefit from increased dose of anthracyclines in *HER2*-positive breast cancer.

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INTRODUCTION

The use of chemotherapy to treat early-stage breast cancer is proven to extend survival¹; however, the best chemotherapeutic regimen has not been determined. Although doxorubicin-containing regimens are standard for higher-risk patients, they tend to have higher toxicity, in particular, a 1% to 3% risk of congestive heart failure in patients who receive doses of more than 300 mg/m².² In addition, newer nonanthracycline treatment options are available; however, methods to select which patients are most likely to benefit from anthracyclines are not.3,4 Better understanding of the predictive value of certain biologic markers should allow us to identify chemotherapy regimens that are most likely to be effective with the least amount of toxicity.

The *HER2* or *ErbB2* oncogene is overexpressed in approximately 25% to 30% of human breast cancer specimens and is associated with a worse outcome in many studies.^{5,6} Accumulating evidence has shown that *HER2* expression is associated with improved outcome after doxorubicin-based therapy.^{7,8} The Cancer and Leukemia Group B (CALGB) has retrospectively evaluated*HER2* expression using various methods and has shown that patients with

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tumors that overexpress *HER2* who received high-dose cyclophosphamide, doxorubicin, and fluorouracil (CAF) had a better outcome compared with patients with *HER2*-positive tumors who received moderate or low-dose CAF.7,9 In National Surgical Adjuvant Breast and Bowel Project B11, patients with estrogen receptor (ER) or progesterone receptor (PR) –negative disease were randomly assigned between melphalan and fluorouracil versus melphalan, doxorubicin, and fluorouracil.10 Only patients whose tumors were *HER2* positive by immunohistochemistry were found to benefit from the addition of doxorubicin (hazard ratio $= 0.60$; 95% CI, 0.47 to 0.92). Pritchard et $al¹¹$ evaluated the role of *HER2*, measured by fluorescent in situ hybridization (FISH) and immunohistochemistry (IHC) in premenopausal women with node-positive breast cancer who had received either adjuvant cyclophosphamide, epirubicin, and fluorouracil (CEF) or cyclophosphamide, methotrexate, and fluorouracil (CMF). In patients whose tumors showed amplification of *HER2*, CEF was superior to CMF on the basis of relapse-free survival, whereas tumors that lacked amplification of *HER2* saw no such incremental benefit.

Topoisomerase II α (Topo-II α) is a DNA-modifying enzyme that binds to the double helix to release torsional stress and create doublestrand breaks that allow replication to occur. Drugs that interfere with Topo-II α include the anthracyclines (doxorubicin, daunorubicin), etoposide, teniposide, and amsacrine. These agents act by binding covalently with Topo-II α after double-strand breaks have occurred, inducing lethal cellular damage by inhibition of religation. Increase in Topo-II α expression is associated with sensitivity to these agents, both in cell lines and tumors, as a result of increased substrate on which the drug may act.^{12,13} Topo-II α occurs in the same amplicon on chromosome 17 (CEP17) as *HER2*, and Jarvinen et al¹⁴ have shown in breast cancer cell lines and primary tumors that amplification or deletion of the Topo-IIα gene is frequent in *HER2* tumors. Of 57 HER2-amplified primary breast carcinomas, 25 (44%) showed $ErbB2$ -topoII α coamplification, and 24 (42%) showed a physical deletion of the Topo-II α gene. These studies showed a consistent relationship between deletion of the gene and decreased protein expression.15

Our previous work has shown that activation of the *HER* family of receptors is associated with upregulation of $\text{Topo-II}\alpha$ and increase in sensitivity to doxorubicin.¹⁶ This suggests an alternative mechanism of sensitivity to anthracyclines, which involves receptor activation rather than coamplification. In an attempt to understand the mechanism of this observation, we evaluated Topo-II α activity on activation of the *HER2* receptor using a chimeric receptor model.¹⁷ We showed that the increase in sensitivity to doxorubicin can be explained by increased enzymatic activity of Topo-II α as measured by decatenating and cleavage assays.¹⁸ In addition, this effect could be reversed by the anti-*HER2* antibody, trastuzumab, in breast cancer cells that overexpress *HER2* (BT474). Chimeric cells were also more sensitive to etoposide; therefore, it is likely that doxorubicin sensitivity is mediated by Topo-II α .

Between January 1985 and May 1991, CALGB 8541 randomly assigned patients with node-positive breast cancer to three treatment arms evaluating different dose and scheduling of CAF: arm 1 (lowdose), 300 mg/m² of cyclophosphamide, 30 mg/m² of doxorubicin, and 300 mg/m² of fluorouracil every 28 days for four cycles; arm 2 (moderate dose), 400 mg/m² of cyclophosphamide, 40 mg/m² of doxorubicin, and 400 mg/m² of fluorouracil every 28 days for six cycles, and arm 3, 600 mg/m² of cyclophosphamide, 60 mg/m² of doxorubicin, and 600 mg/m2 of fluorouracil every 28 days for four cycles.19 At a median follow-up of 9 years, disease-free survival (DFS) and overall survival (OS) for patients on the moderate- and high-dose arms are superior to the corresponding survival measures for patients on the low-dose arm (two-sided $P < .0001$ and two-sided $P = .004$, respectively), with no difference in DFS or OS between the moderateand the high-dose arms. This study attempted to address whether Topo-II α amplification is responsible for the benefit seen with increasing the dose of anthracycline in CALGB 8541.

PATIENTS AND METHODS

Patients

A subgroup of patients registered to CALGB 8541 who received adjuvant doxorubicin and whose tumors had been previously evaluated for *HER2* by PathVysion FISH (Abbott Molecular; Abbott Park, IL) were included in this retrospective study, provided that sufficient invasive cancer remained in the block to provide representative sections of the primary tumor for assay. Six hundred eighty-seven patient samples matching these criteria were available from the CALGB Pathology Coordinating Office. Topo-II α /*HER2*/CEP17 measurement was successful in 624 (91%) of 687 available cases. There was no significant difference in patient characteristics between the 624 patients assessable for Topo-II α compared with the entire 1,572 patients registered to CALGB 8541. Age, tumor size, and number of positive nodes were compared using the Wilcoxon Rank-Sum test. The χ^2 test was used to test for differences in menopausal status, receptor status, and the three dose levels of CAF (Table 1). There was no significant difference in patient characteristics between patients assessable for Topo-II α across the three CAF dose arms. Age, tumor size, and number of positive nodes were compared using the Wilcoxon rank-sum test. The χ^2 test was used to test for differences in menopausal status, receptor status, and the three dose levels of CAF (Table 2).

As only existing pathologic specimens were studied that were not identifiable to the investigators on this study, additional informed consent was not required. Samples provided to laboratory investigators were

NOTE. Age, tumor size and No. of positive nodes were compared using the Wilcoxon rank–sum test. The χ^2 test was used to test for differences in percentage premenopausal, receptor positive, and in the three dose levels of CAF.

Abbreviation: CAF, cyclophosphamide, doxorubicin, and fluorouracil.

NOTE. Age, tumor size, and No. of positive nodes were compared using the Kruskal–Wallis test. The χ^2 test was used to test for differences in percentage premenopausal and receptor positive.

Abbreviation: CAF, cyclophosphamide, doxorubicin, and fluorouracil.

stripped of identifiers, and relinking of clinical outcome with laboratory findings was performed at the CALGB Statistical Center. This study was reviewed and approved by the institutional review board at the institutions where the laboratory work was performed.

HER2 by CB11 immunohistochemistry has been previously performed and reported. PathVysion FISH for *HER2*¹⁰ and S-phase by flow cytometry have been previously reported.²⁰⁻²²

FISH for **HER2** *and Topo-II*

FISH for *HER2* and $Topo-II\alpha$ was performed using the Vysis LSI FISH Probe, Topo-II α (17.21-22)/HER2(17q11.2-q12/)CEP17(17p11.1-q11.1) Tricolor Probe in the laboratory of L.D. The system is similar to that used for the VYSIS PathVysion kit for *HER2*, which is approved by the United states Food and Drug Administration. We used the VP2000 automated tissue processor and HYBRITE denaturation hybridization system from Abbott Molecular for all assays.23 Acid pretreatment and protease digestion to breakdown formaldehyde cross-links was performed (Vysis Paraffin pretreatment kit), followed by sodium chloride-sodium citrate (SSC) and formamide denaturation (72°C, 5 minutes). After dehydration, the *HER2*/CEP17/Topo-II α probe cocktail was added, and coverslips were applied and sealed with rubber cement. Slides were incubated in a humidity chamber overnight for 18 hours at 37°C. On the following day, slides were washed in a stringency buffer (SSC, NP40), dried on a slide warming tray and incubated with 4'-6-diamidino-2-phenylindole (DAPI) for nuclear identification. Slides were stored in the dark, at -20° C. Nonamplified and amplified control slides (fixed cell lines embedded in paraffin, MDA-MB-231 and Hs578T, respectively) were analyzed with each assay, provided in the Vysis kit. Twenty nuclei were scored individually for Topo-II α , *HER2*, and CEP17 probes. By convention, $\text{Topo-II}\alpha$ and/or *HER2* were considered amplified when the ratio of Topo-II α /CEP17 signals or *HER2*/CEP17 signals was \geq 2.00. A case was considered deleted when the ratio of signals $was \leq 0.67$. Cases with signal ratios between 0.67 and 2.00 were considered normal copy number in this study.15

Statistical Methods

All FISH data were submitted to the CALGB Statistical Center as a continuous variable for analysis. Statistical analyses were performed by CALGB statisticians using SAS 9.1 (SAS Institute, Cary, NC). We used a multivariate Cox proportional hazards regression model to test the interaction of treatment arm and Topo-II α while adjusting for important covariates. The relationship between Topo-II α amplification with *HER2* amplification by FISH was determined by contingency tables and test for association with the χ^2 test. The χ^2 test was also used to test for associations between Topo-II α amplification and deletion with ER/PR status. The Kruskal-Wallis test was

used to evaluate for differences in the mean rank values for *HER2* IHC, FISH ratio, S phase, and ER-positive status in the three Topo-II α categories (amplified, deleted, and normal). Kaplan-Meier estimates were used to graphically display outcomes for DFS and OS by dose (low, moderate, high) and by marker/method for amplified versus nonamplified $HER2$ or $Topo$ -II α amplified/deleted/diploid.

RESULTS

We performed FISH for *HER2*, Topo-II α , and CEP17 using the tripleprobe system on 687 of 687 available cases. Topo-II α /HER2/CEP17 measurement was successful in 624 (91%) of 687 available cases. *HER2* was amplified in 117 cases (19%) and deleted in 18 cases (3%). Topo-II α was amplified in 41 cases (7%) and deleted in 69 cases (11%). A comparison of *HER2* FISH status in CALGB 8869 using PathVysion compared with *HER2* FISH using tricolor system (Vysis) in theCALGB 9344 study showed the sensitivity and specificity of 95% and 97% for Topo-II α by triple probe and for Topo-II α by dual probe (L.D.), respectivelyl. The Pearson correlation coefficient of FISH dual probe to tricolor probe is 0.88 ($P < .001$).

Topo-IIa was deleted in both the *HER2*-amplified (30 of 69; 43%), normal (22 of 69; 32%), and *HER2*-deleted tumors (17 of 69; 25%). A scatterplot of *HER2* versus Topo-II α copy number reveals a biphasic relationship between these two amplicons, with *HER2* amplified tumors either showing coamplification of Topo-II α or reduction in copy number of Topo-II α (median, 0.83) compared with *HER2* nonamplified tumor samples (Fig 1). Of note, Topo-II α was never amplified in more than five copies per cell, despite high levels of *HER2* amplification of up to 14 copies: CEP17.

Topo-II α amplification was highly correlated with *HER2* amplification (39 of 41;*P*-.0001),*HER2*by IHC (CB11;*P*-.0001), and by dual-probe $FISH$ ($P < .0001$; Table 3). The median S phase in the

Fig 1. Topoisomerase II α copy number versus HER2 copy number. Topoisomerase II α and HER2 copy number was determined using Vysis Triple Probe kit (HER2, TOP2A, CEP17). The topoisomerase \mathbb{I} a ratio was measured by counting the number of TOP2A signals/nucleus in a minimum of 20 nuclei over the number of CEP17 signals/nucleus in a minimum of 20 nuclei. The HER2 ratio was measured by counting the number of HER2 signals/nucleus in a minimum of 20 nuclei over the number of CEP17 signals/nucleus in a minimum of 20 nuclei. Topoisomerase II α ratio (Y-axis) is plotted against HER2 ratio (X-axis) and the correlation coefficient calculated using SAS 9.1.

NOTE. The values displayed for *HER2* IHC, FISH ratio, and S phase are medians and for ER the percentage positive. Differences in the mean ranks or proportions are compared using the Kruskal–Wallis test.

Abbreviations: Topo–II α , topoisomerase II α ; IHC, immunohistochemistry; FISH, fluorescence in situ hybridization; ER, estrogen receptor.

Topo-II α –nonamplified group was 12, whereas it was 17.5 in the Topo-II α –amplified group (*P* = .066). Topo-II α amplification did not show a statistically significant association with ER and/or PR negativity ($P = .15$). Topo-II α deletion was also correlated with *HER2* amplification ($P < .0001$) and overexpression ($P < .0001$), but not with ER/PR or S phase.

To determine whether*HER2* amplification remained a predictor of benefit from anthracycline in this subset of patients evaluated by the triple probe, we performed an interaction analysis of *HER2* ratio with CAF dose. *HER2*-amplified tumors treated with moderate- and higher-dose CAF regimens had an improved DFS and OS compared with those treated with the low-dose CAF regimen in an unadjusted analysis ($P = .0032$). Although the trend was similar, the interaction between *HER2* and CAF in the Cox proportional hazards model adjusted for CAF dose, number of positive nodes, tumor size, and menopausal status was of borderline significance ($P = .079$; Fig 2).

The benefit of CAF dose in Topo-II α –amplified tumors was then evaluated. Despite the fact that *HER2* amplification suggested benefit from CAF dose escalation, Topo-II α amplification did not account for this benefit, as no interaction of CAF dose was seen in Topo-II α – amplified cases. An unplanned analysis of $Topo-II\alpha$ copy number \leq 0.67 seemed to show more benefit from an increased dose of CAF in the Kaplan-Meier plot. However, the interaction of Topo-II α and dose of CAF was not statistically significant in the Cox proportional hazards multivariate model. Similarly, Topo-II α normal cases showed no benefit from increasing CAF dose (Fig 3).

Multivariate Cox proportional hazards models with either DFS orOS as the dependent variable and otherindependent variables (CAF treatment arm, number of positive nodes [square root transformed], tumor size ≤ 2 $\nu > 2$ cm], menopausal status [pre ν peri/post], Topo-II α [deleted *v* not], and the interaction of Topo-II α and CAF treatment assignment) resulted in nonstatistically significant interaction (*P* values of .24 and .21, respectively). No interaction with dose was observed in the *HER2* normal/deleted group or $\text{Topo-II}\alpha$ normal/ deleted groups.

In view of the biologic connection between *HER2* and Topo-II and the putative association between $\text{Topo-II}\alpha$ and anthracyclines, we evaluated whether Topo-II α contributed to the benefit from escalating doses of CAF in the *HER2*-amplified cases. In a multivariate Cox proportional hazards model adjusting for CAF dose, number of nodes, tumor size, and menopausal status, *HER2* amplification predicted benefit from CAF dose escalation, but in the current analysis, Topo-II α amplification with or without *HER2* amplification did not account for this benefit (Table 4).

DISCUSSION

The mechanism by which patients with *HER2*-amplified tumors benefit more from anthracyclines is unknown. We attempted to address one hypothesis, that Topo-II α amplification was responsible for this effect, with negative findings. It is particularly notable that *HER2* amplified tumors continued to show benefit from increasing doses of CAF in this subset tested with the *HER2*, CEP17, Topo-II α triple probe, yet Topo-II α amplification did not stratify the patients in this way. This suggests that an alternative mechanism to coamplification should be considered and perhaps lies in the fact that $\text{Topo-II}\alpha$ gene expression is not well represented by copy number as measured by FISH.

A number of retrospective studies have evaluated the role of Topo-II α amplification as a predictive marker of response to anthracyclines in breast cancer.^{26,26a,26b} Di Leo et al²⁷ evaluated *HER2* and Topo-II α gene aberrations by FISH in a series of 430 primary breast cancer samples of patients with node-positive breast cancer treated with one of two epirubicin-containing regimens versus CMF. In this study, Topo-II α evaluation suggested that the superiority of anthracyclines over CMF in *HER2*-amplified patients could be confined to the subgroup of Topo-II α –amplified tumors. In contrast, Knoop et al28 retrospectively identified and analyzed tumor tissue for *HER2* positivity and for Topo-II α amplification and deletion from 805 of

Fig 2. Disease-free survival by cyclophosphamide, doxorubicin, and fluorouracil (CAF) dose in HER2 amplified and nonamplified tumors. Kaplan-Meier estimates were calculated using SAS 9.1 for disease-free survival by CAF dose (low, moderate, high) for HER2 amplified versus HER2 nonamplified tumors. $P = .079$, interaction term for the three arms.

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Fig 3. Disease-free survival by cyclophosphamide, doxorubicin, and fluorouracil (CAF) dose in tumors with topoisomerase (topo) II α amplification, deletion and normal copy number. Kaplan-Meier estimates were calculated using SAS 9.1 for disease-free survival by CAF dose (low, moderate, high) for tumors with topo II α amplification, topo II α deletion and topo II α diploid (normal) copy number. *P* = .15, interaction term for the three arms.

980 patients randomly assigned to CMF versus CEF in the Danish Breast Cancer Cooperative Group trial 89D. Topo-II α changes were identified in 23% of the 773 evaluated tumors: 12% had Topo-II α amplification and 11% had Topo-II α deletions. They reported im-

proved recurrence-free survival both in patients with $\text{Topo-II}\alpha$ amplification and in patients with $Topo-II\alpha$ –deleted tumors who were treated with CEF compared with CMF. Patients with normal Topo-II α genotype had a similar outcome in both treatment arms. This finding was not expected, as the hypothesis was that the deletions predicted resistance to anthracyclines.

In the Breast Cancer International Research Group 06 trial, correlation between response to anthracyclines and $\text{Topo-II}\alpha$ aberrations was prospectively planned. Patients with $\text{Topo-II}\alpha$ amplification had better DFS after adjuvant therapy in both the trastuzumab-containing arm without anthracycline and in the nontrastuzumab-containing arm that included an anthracycline.^{30,31} The investigators did not find a significant level of Topo-II α deletion, and interpreted these data to mean that $Topo-II\alpha$ amplification was a good surrogate of benefit from anthracycline.

O'Malley et al³² evaluated the predictive value of Topo-II α in premenopausal women with node-positive breast cancer randomly assigned in the MA.5 trial to CEF versus CMF. Cox model analysis suggested that $\text{Topo-II}\alpha$ protein overexpression was highly predictive of a better DFS with CEF than with CMF. In this study, both Topo-II α amplification and deletion predicted benefit from CEF versus CMF for both DFS and OS. Analysis from the United Kingdom National Epirubicin Adjuvant Trial (NEAT), which compared CMF with epirubicin, followed by CMF, included *HER2*, Topo-II α , Ki67, and chromosome 17 polysomy.33 The results suggest that the most powerful predictor of benefit from adjuvant anthracycline is chromosome 17 polysomy, perhaps as a marker of chromosome instability. No effect was observed for differing Topo-II α status.

In our current study, Topo-IIα was coamplified in 33% of *HER2*amplified tumors and was rarely seen in non–*HER2*-amplified tumors. Topo-II α deletion was numerically more common than amplification (43% *v* 33%) although not statistically different. Of note, *HER2*-amplified tumors seemed to show two patterns of Topo-II $α$ copy number, either amplified or reduced in number compared with *HER2*-nonamplified tumors. This supports the contention that *HER2* amplification is associated with $\text{Topo-II}\alpha$ copy number alterations, an indirect indicator of genomic instability. The association with sensitivity to anthracyclines may be explained by the fact that tumors with greatest degree of genomic instability showed the highest levels of Topo-II α mRNA as shown by Carter et al²⁴ using multiple gene expression data sets.

It is also apparent, from recent high-density genomic mapping, that FISH analysis may not accurately predict amplification at a particular locus. Indeed, Lezon-Geyda et al³⁴ have shown that the Topo-II locus is rarely amplified using Representational Oligonucleotide Microarray Analysis, even when the FISH probe suggests this is the case. Hence it seems more likely that Topo-II copy number alterations may be a surrogate for a more global event (ie, genomic instability).

On the basis of this study and others cited, we do not support the hypothesis that $\text{Topo-II}\alpha$ amplification alone explains the benefit of anthracyclines in *HER2* tumors. CALGB 8541 did not include a nonanthracycline arm; however, in this retrospective subset analysis, Topo-II α does not explain the reported benefit from higher doses of doxorubicin-containing chemotherapy in CALGB 8541. Further studies are required to define the relationship between

Topo-II α copy number, RNA, and protein in data sets with treatment response information.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Although all authors completed the disclosure declaration, the following author(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

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