



Published in final edited form as:

*JAMA Oncol.* 2016 August 1; 2(8): 1014–1022. doi:10.1001/jamaoncol.2016.0173.

## Prospective validation of rapid plasma genotyping as a sensitive and specific tool for guiding lung cancer care

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### Abstract

**Importance**—Plasma genotyping of cell-free DNA (cfDNA) has the potential to allow for rapid noninvasive genotyping while avoiding the inherent shortcomings of tissue genotyping and repeat biopsies.

**Objective**—To prospectively validate plasma droplet digital PCR (ddPCR) for the rapid detection of common *EGFR* and *KRAS* mutations as well as the *EGFR T790M* acquired resistance mutation.

**Design**—Eligible patients underwent an initial blood draw and immediate plasma ddPCR for *EGFR* exon 19 del, L858R, T790M and/or *KRAS G12X* between July 2014 and June 2015. All patients underwent biopsy for tissue genotyping which was used as the reference standard for comparison; rebiopsy was required for patients with acquired resistance to EGFR kinase inhibitors. Test turnaround time (TAT) was measured in business days from blood draw until test reporting.

**Setting**—National Cancer Institute (NCI) designated comprehensive cancer center.

**Participants**—Advanced non-squamous NSCLC patients that are either (i) newly diagnosed and planned for initial therapy or (ii) have developed acquired resistance to an EGFR kinase inhibitor and are planned for re-biopsy.

**Main Outcome Measure**—Plasma ddPCR assay sensitivity, specificity and TAT.

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SD, RA, AM, NF and SM report no conflicts of interest.

**Results**—180 patients were enrolled in the study (120 newly diagnosed, 60 with acquired resistance). Tumor genotype included 80 *EGFR* exon 19/L858R mutants, 35 *EGFR* T790M, 25 *KRAS* G12X mutants. Median TAT for plasma ddPCR was 3 days. Tissue genotyping median TAT was 12 days for newly diagnosed patients and 27 days for acquired resistance patients. Plasma ddPCR exhibited a PPV of 100% (95%CI 91-100%) for *EGFR* 19 del, 100% (95%CI 85-100%) L858R and 100% (95%CI 79-100%) for *KRAS*, but lower for T790M at 79% (95%CI 62-91%). Sensitivity of plasma ddPCR was 82% (95%CI 69-91%) for *EGFR* 19 del, 74% (95%CI 55-88%) for L858R and 77% (95%CI 60-90%) for T790M but lower for *KRAS* at 64% (95%CI 43-82%). Sensitivity for *EGFR* or *KRAS* was higher in patients with multiple metastatic sites ( $p=0.001$ ) and those with hepatic ( $p=0.001$ ) or bone metastases ( $p=0.004$ ), specifically.

**Conclusion**—Plasma ddPCR detects *EGFR* and *KRAS* mutations rapidly with the high specificity needed to select therapy and avoid repeat biopsies. This assay may also detect *EGFR* T790M missed by tissue genotyping due to tumor heterogeneity in resistant disease. This is the first prospective study to demonstrate the utility of ddPCR-based plasma genotyping in advanced NSCLC.

### Keywords

NSCLC; genomics; plasma genotyping

## INTRODUCTION

Plasma genotyping utilizes tumor-derived cell-free DNA (cfDNA) to allow for rapid noninvasive genotyping of tumors. This technology is currently poised to transition into a treatment decision-making tool in multiple cancer types. It is particularly relevant to the treatment of advanced non-small cell lung cancer (NSCLC) where therapy hinges upon rapid and accurate detection of targetable *EGFR*, *ALK* and *ROS1* alterations.<sup>1-6</sup> Plasma genotyping is capable of circumventing many limitations of standard tissue genotyping including slow turnaround time (TAT), limited tissue for testing and the potential for failed biopsies. It may be particularly useful in directing the rapid use of new targeted therapies for acquired resistance in advanced *EGFR* mutant NSCLC where the need for repeat biopsy and heterogeneity of resistance mechanisms has amplified the inherent limitations of traditional genotyping.<sup>7,8</sup>

The need to carefully validate the test characteristics of each of the myriad individual plasma genotyping assays before use in clinical decision-making is paramount. We have previously reported the development of a quantitative droplet digital polymerase chain reaction (ddPCR)-based assay for the detection of *EGFR* kinase mutations and *KRAS* codon 12 mutations in plasma.<sup>9</sup> The detection of these mutations has the potential to guide treatment by either facilitating targeted therapy with an *EGFR* tyrosine kinase inhibitor (TKI) or ruling out the presence of other potentially targetable alteration in the case of *KRAS*.<sup>5</sup> Alternative platforms including Cobas, peptide nucleic acid-mediated PCR, multiplexed next-generation sequencing (NGS), high performance liquid chromatography (HPLC) and Scorpion-ARMS have also been examined in retrospective analyses of patient samples.<sup>10-22</sup> The test characteristics of these assays have been variable and may be attributable to differences in testing platforms as well as the retrospective nature of these studies, their smaller size and

the timing of blood collection with respect to disease progression and therapy initiation. The absence of reliable prospective data on the use of specific plasma genotyping assays in advanced NSCLC has left key aspects of its utility largely undefined and slowed its uptake as a tool for clinical care in both newly diagnosed and EGFR acquired resistance patients.

We have conducted the first prospective study of the use of ddPCR-based plasma genotyping for the detection of *EGFR* and *KRAS* mutations (NCT02279004). This study was performed in the two settings where we anticipate clinical adoption of this assay: (i) newly diagnosed advanced NSCLC patients and (ii) those with acquired resistance to EGFR kinase inhibitors. The primary aim of this study was to prospectively evaluate the feasibility and accuracy of this assay for the detection of *EGFR/KRAS* mutations in newly diagnosed patients and *EGFR* T790M in acquired resistance patients in a clinical setting. Additional endpoints included test TAT and the effect of sample treatment conditions on test accuracy.

## METHODS

### Trial Design

Advanced NSCLC patients were prospectively enrolled onto an institutional review board (IRB)-approved plasma genotyping study protocol (Dana-Farber/Harvard Cancer Center protocol #14-147, NCT02279004). Patients were eligible for the study if they had biopsy-proven advanced or recurrent non-squamous NSCLC and were either treatment naive (cohort 1) or had acquired resistance to an EGFR TKI (cohort 2). All patients must have been planned to begin new systemic therapy and have either tissue available for standard genotyping or a planned repeat biopsy. All patients had radiographic evidence of disease, were 18 years of age or older and signed written informed consent before any study-related procedure. Participant-defined race was recorded given known associations between race and the frequency of *EGFR* mutant tumors.

All patients underwent an initial paired blood collection after study enrollment. These two tubes of blood subsequently underwent plasma isolation, cfDNA extraction and ddPCR-based genotyping. One tube of blood was processed and analyzed immediately as per standard operating procedures and the second subjected to pre-planned variations in specimen handling designed to simulate real-world testing conditions including (i) standard EDTA tube shipped overnight on ice and (ii) Streck tube shipped at room temperature. Comparison between paired samples was made on the basis of sample quality, total DNA as determined by PicoGreen assay and quantitative ddPCR result. Differences between paired tubes were analyzed by paired T-test. If more than two weeks elapsed before initiating planned systemic therapy, the blood draw was repeated. TAT for plasma genotyping was measured in business days from the date of blood draw until reporting of results to the study investigator.

Patient samples from cohort 1 underwent ddPCR-based plasma genotyping for *EGFR* exon 19 del, L858R and *KRAS* codon 12 mutations. Cohort 2 samples underwent testing for *EGFR* exon 19 del, L858R and T790M. Plasma genotyping results were compared to tissue genotyping results from initial biopsy (cohort 1) or re-biopsy at acquired resistance (cohort 2) as the reference standard.

Patients from both cohorts that had a mutation detected by ddPCR-based genotyping subsequently underwent two follow-up blood draws at 1-2 weeks and 4-6 weeks after beginning systemic therapy. These samples underwent serial quantitative genotyping by ddPCR for the detected mutation.

### Plasma Genotyping

Samples from venous blood draws were collected in EDTA tubes and underwent centrifugation within 1 hour of sample collection and plasma preparation as previously described.<sup>9</sup> Immediate extraction of cfDNA was then performed using the QIAmp circulating nucleic acid kit (QIAGEN) according to the manufacturer's protocol. DNA was eluted in 100  $\mu$ L of AVE buffer and stored at  $-80^{\circ}\text{C}$  until genotyping was performed. Genotyping of cfDNA was performed by ddPCR (BioRad) and primer/probes were custom-ordered from Life Technologies. The development of this assay has been previously described.<sup>9</sup> Briefly, cfDNA is emulsified into approximately 20 000 droplets, mixed with appropriate primer/probe mixes and then undergoes PCR to endpoint. Droplets are then read in a flow cytometer and fluorescence signal quantified in order to determine the number of copies of mutant allele per mL (eMethods). To simulate standard clinical practice, the assay was performed twice weekly (Monday and Thursday). Laboratory personnel performing plasma ddPCR were blinded to tissue genotyping results.

### Tissue Genotyping

Clinical tumor genotyping was performed for all patients on initial biopsy material (cohort 1) or re-biopsy material following development of acquired resistance (cohort 2). Turnaround time for tissue genotyping was measured from the date of the initial genotyping order until the reporting of the final genotyping result. In cases where a repeat biopsy was required to successfully complete tissue genotyping, the time required to perform the repeat biopsy was included in the TAT measurement.

### Statistical Analysis

From a total of 120 NSCLC patients studied in cohort 1, we estimated that 24 and 30 would have *EGFR* and *KRAS* mutations, respectively, based upon prior data at our institution. Concordance between tumor and plasma genotyping results had at least 80% power to detect a kappa statistic of 0.85 (compared to a null of 0.6) while controlling for a one-sided type 1 error rate of 0.15.

For the 60 patients with acquired resistance that were planned for cohort 2, we estimated that half would harbor T790M detected in their resistance biopsy. An expanded target of 80 patients was originally planned but was revised to 60 patients due to feasibility concerns. Concordance between tumor and plasma genotyping results for T790M had 88% power to detect a kappa coefficient of 0.85 (compared to a null of 0.6) while controlling for a one-sided type 1 error rate of 0.05. Categorical variables were compared using Fisher's exact test, and continuous variables were compared using the Wilcoxon rank sum test or Kruskal-Wallis test. No adjustments have been made for multiple comparisons.

## RESULTS

### Patients

A total of 180 patients with advanced NSCLC were enrolled in the study with either newly diagnosed disease (n=120) or acquired resistance to an EGFR TKI (n=60). The majority of patients had adenocarcinoma histology (94%) and only a minority had either NSCLC NOS (3%) or adenosquamous histology (3%) (Table 1). Patients were predominantly female (62%) and primarily Caucasian (83%) and Asian (10%). Patients who did not complete their initial blood draw or any tissue genotyping were excluded from analysis (eFigure 1). An additional 28 patients did not have sufficient tissue available for *KRAS* G12X testing after completion of initial *EGFR* testing and were excluded from the *KRAS* G12X analysis.

The confirmed tissue genotypes of the 115 eligible newly diagnosed patients included 14 *EGFR* exon 19 del, 13 *EGFR* L858R, 26 *KRAS* G12X and 62 *EGFR/KRAS* wild-type (Table 1). The 54 eligible patients with acquired resistance possessed a range of EGFR sensitizing mutations (37 *EGFR* exon 19 del, 18 *EGFR* L858R, 5 rare) and 35 (58%) of these patients were *EGFR* T790M positive according to tissue genotyping performed on re-biopsy specimens.

### Turnaround Time & Repeat Biopsy

Plasma genotyping was completed successfully in all patients. The median turnaround time from blood collection to report delivery was 3 business days in newly diagnosed patients and 2 business days in acquired resistance patients (range 1-7 days). In comparison, the median turnaround time for tissue genotyping in newly diagnosed patients was significantly longer at 12 business days (range 1-54 days,  $p < 0.001$ ). The median turnaround time for tissue genotyping was similarly longer in patients with acquired resistance to EGFR kinase inhibitors at 27 business days (range 1-146 days). A repeat biopsy was required in 22 (19%) newly diagnosed patients in order to obtain sufficient tissue to complete genotyping. Similarly, 12 (21%) acquired resistance patients required multiple repeat biopsies in order to obtain sufficient tissue for *EGFR* T790M genotyping. Turnaround time measurements included the time required to obtain an additional biopsy if necessary due to failure of one or more biopsy attempts.

### Assay Characteristics

The accuracy of the *EGFR* exon 19 del, L858R and *KRAS* G12X assays were studied first in newly diagnosed patients (n=115) (eFigure 1). Plasma ddPCR exhibited high specificity for the detection of *EGFR* exon 19 del (100%, 101/101), L858R (100%, 102/102) and *KRAS* G12X (100%, 62/62). Positive predictive value was similarly high for all assays at 100% (Table 2). Assay sensitivity was more modest for *EGFR* exon 19 del (86%, 12/14), L858R (69%, 9/13) and *KRAS* G12X (64%, 16/25) (Table 2). Concordance was 0.91 ( $p=0.01$ ) for *EGFR* exon 19 del, 0.8 ( $p=0.08$ ) for L858R and 0.72 ( $p=0.13$ ) for *KRAS* G12X. Assay sensitivity among newly diagnosed and acquired resistance patients was similar for the detection of *EGFR* exon 19 del (82%, 41/50) and L858R (74%, 23/31) (Table 2). A single false positive result was initially reported for *EGFR* exon 19 del testing (132 copies/mL) which occurred in a young, never smoker with a scant tumor biopsy that was

negative for any *EGFR* mutations. Repeat biopsy was then performed and subsequent tumor genotyping confirmed an *EGFR* exon 19 del mutation.

Accuracy of the *EGFR* T790M assay was studied in patients with acquired resistance to *EGFR* TKI. The detection of this resistance mutation by plasma ddPCR exhibited a lower specificity (63%, 12/19) and positive predictive value (79%, 27/34) than was seen for *EGFR* sensitizing mutations when compared to tumor genotyping of the resistance biopsy, thus, concordance was also lower for the detection of *EGFR* T790M (kappa statistic 0.4,  $p=0.097$ ). Sensitivity of this assay was similar to that observed for *EGFR* sensitizing mutations (77%, 27/35) (Table 2). The test characteristics for the detection of *EGFR* sensitizing mutations were similar in acquired resistance patients compared to newly diagnosed patients (Table 2).

### Predictors of Test Sensitivity and Dynamic Range

Patient and disease characteristics potentially associated with increased test sensitivity were examined using a composite test sensitivity variable combining both *EGFR* and *KRAS* assay sensitivity results. Of the variables listed in Table 1, a significant association was demonstrated between test sensitivity and the presence of hepatic metastases ( $p=0.001$ ), bone metastases ( $p=0.007$ ), increasing number of metastatic sites ( $p=0.001$ ) (Figure 1).

The relationship between detected mutant *EGFR* or *KRAS* cfDNA copy number and clinical characteristics was next examined as a marker of tumor cfDNA shed. Given the wide dynamic range noted with this assay (Figure 1), significant associations between clinical characteristics and  $\log_{10}$ -transformed mutant cfDNA copy number in patients with detected mutant cfDNA were sought. Only increasing number of metastatic sites was associated with a higher mutant cfDNA copy number ( $p=0.03$ ).

### Paired Analysis

Multiple real-world sample treatment conditions were tested using paired samples drawn from the same patient at the same point in time. The use of an EDTA tube that was shipped on ice overnight before processing revealed identical qualitative assay results and not significantly different total DNA ( $p=0.38$ ) and mutant allele copy number ( $p=0.26$ ) compared to immediate processing ( $n=25$  patients). Similarly, use of a Streck DNA-preservation tube shipped at room temperature overnight yielded identical qualitative assay results and there was no significant difference in total DNA ( $p=0.25$ ) or mutant allele copy number ( $p=0.32$ ) compared to standard processing ( $n=20$  patients) (eFigure 2).

### Exploratory patterns of mutant cfDNA changes in response to systemic therapy

Patients with a detectable mutation by plasma ddPCR underwent serial blood draws on treatment. A total of 50 patients completed at least one follow-up blood draw on treatment. Serial quantitative plasma ddPCR among these patients revealed clear changes in the level of detectable mutant allele frequency during treatment (Figure 2). Changes in detectable mutation by plasma ddPCR fell into several recurrent, descriptive patterns including complete resolution of detectable mutant cfDNA either at initial repeat blood draw (Figure 2A) or subsequently (Figure 2B), residual detectable mutant cfDNA following initial

decrease (Figure 2C), initial decrease followed by increase (Figure 2D) or initial increase that was either transient (Figure 2E) or maintained (Figure 2F). Patients with complete resolution of mutant cfDNA at either 2 or 6 weeks exhibited a treatment discontinuation rate of 0% (0/23) at initial and 4% (1/23) second re-imaging assessment. Patients without complete resolution exhibited a treatment discontinuation rate of 33% (9/27) at initial re-imaging and 56% (15/27) at second re-imaging assessment. Treatment discontinuation decisions were made by treating clinicians who were blinded to serial plasma genotyping results. Objective data on overall survival and progression-free survival are presently immature. These patterns of change in plasma response are exploratory at present, but provide a potential framework for future analysis of the correlation between changes in detectable mutant cfDNA and response to therapy.

## DISCUSSION

In this prospective study, we demonstrate the highly specific and rapid nature of plasma genotyping. No false positives were seen for driver mutations in *EGFR* or *KRAS*, and turnaround time from specimen draw to result was a matter of days. This assay exhibited 100% positive predictive value for the detection of these mutations. Sensitivity was more modest and was directly correlated with both number of metastatic sites and the presence of liver or bone metastases. This newly demonstrated relationship is likely related to increased cfDNA shed in the setting of more extensive disease where tumor cfDNA shed is the chief driver of assay sensitivity and determines its upper limit. The characteristics of plasma ddPCR prospectively demonstrated in this study are similar or improved compared to previous retrospective reports of other cfDNA genotyping assays.<sup>10-13,15,16,23,24</sup> These retrospective studies are smaller, frequently examine a mix of tumor types/stages and lack the careful prospective design needed to demonstrate the readiness of this technology to transition to a tool for selecting therapy. Studies that utilize retrospective samples from clinical trials that enrolled only *EGFR* mutant patients are further limited by an inability to both blind laboratory investigators to tissue genotype and to generalize their assay test characteristics to a genetically heterogeneous real-world patient population.<sup>11</sup> These differences and the multiple platforms examined previously have led to variable test characteristics and uncertainty regarding the clinical application of these technologies. This study is the first to prospectively demonstrate the ability of a ddPCR-based plasma genotyping assay to rapidly and accurately detect *EGFR* and *KRAS* mutations in a real-world clinical setting with the rigor necessary to support that this assay is capable of directing clinical care.

Even with a diagnostic sensitivity of less than 100%, such a rapid assay with 100% positive predictive value carries the potential for immense clinical utility. The 2-3 day TAT contrasts starkly with the 27 day TAT for tumor genotyping seen in patients needing a new tumor biopsy. This long turnaround time is due largely to the practical reality that many newly diagnosed patients require a repeat biopsy to obtain tissue for genotyping as do all acquired resistance patients. Consider the case of one subject that participated in this study, an octogenarian patient with metastatic NSCLC who had developed acquired resistance to erlotinib with painful bone metastases (Figure 3). Due to the patient's age and comorbidities, significant concerns existed about the risks of a biopsy and further systemic therapy. Plasma

was drawn on study and within 24 hours ddPCR demonstrated 806 copies/mL of *EGFR* T790M. A confirmatory lung biopsy was performed which confirmed *EGFR* T790M. A third-generation EGFR kinase inhibitor, osimertinib, was subsequently initiated and the patient had a partial response to therapy which was maintained for over 1 year. The potential of this technology to avoid repeat biopsy in both newly diagnosed patients with insufficient tissue as well as patients with acquired resistance is considerable.

A key limitation of plasma ddPCR is that while this method is extremely adept at rapidly detecting specific targetable mutations, it cannot easily detect copy number alterations and rearrangements. The ddPCR panel assessed in this study thus cannot currently detect targetable alterations in either ALK or ROS1. This limitation may potentially be addressed by utilizing targeted NGS of cfDNA for broad, multiplexed detection of complex genomic including *ALK* and *ROS1* rearrangements although this method is potentially slower than ddPCR-based methods and has been less thoroughly evaluated.<sup>25</sup> The potential exists to utilize these technologies in tandem in advanced NSCLC to facilitate rapid initiation of therapy. Tissue genotyping and repeat biopsy would be specifically used to direct therapy in cases where plasma genotyping was uninformative due to limitations of assay sensitivity. This approach would be particularly useful in cases of *EGFR* acquired resistance where a repeat biopsy for T790M testing could be avoided entirely in many patients. Beyond detecting targetable alterations in order to drive therapy, and the identification of non-targetable oncogenic drivers such as *KRAS* mutations that preclude the presence of other targetable alterations may guide a clinician to rapidly initiate alternative therapies such as chemotherapy or immunotherapy.<sup>5</sup> The finding that assay sensitivity is highest in patients with more extensive metastatic disease suggests that those patients most in need of rapid treatment initiation would also be least likely to have false negative results.

One surprising result of our study was evidence of recurrent false positive results for *EGFR* T790M in patients with acquired resistance, despite no false positives for other mutations studied. The sensitivity of the *EGFR* T790M assay was comparable to that of the *EGFR* sensitizing mutation assays and similarly related to both disease burden and the presence of liver or bone metastases which are likely predictive of increased tumor cfDNA shed. We hypothesize that the lower assay specificity is due to the genomic heterogeneity whereby the T790M status of the biopsied site is not representative of all metastatic sites in a patient, a phenomenon supported by mounting evidence in the acquired resistance setting.<sup>26,27</sup> This is consistent with the finding that a minority of apparently *EGFR* T790M tissue negative patients respond to therapy with third generation EGFR kinase inhibitors.<sup>7,8,28</sup> These observations raise questions regarding the fallibility of tissue based genotyping as the reference standard for T790M status. The use of plasma genotyping to detect *EGFR* T790M thus has great potential to identify patients that would benefit from newly approved third generation EGFR kinase inhibitors but would be unable to access them based on falsely negative tissue genotyping results. Indeed, plasma genotyping may allow more reliable assessment of both T790M status as well as the biology of resistance across all sites of a heterogeneous cancer as opposed to a tissue biopsy and is likely to be an essential tool for future trials targeting drug resistance. The potential to avoid repeat biopsy entirely in patients where plasma ddPCR detects T790M further strengthens the utility of this



technology, although repeat biopsy would still be required in patients with uninformative plasma ddPCR due to limitations with respect to assay sensitivity.

This study also examined the potential of the quantitative nature of ddPCR-based plasma genotyping to allow for the early prediction of treatment response. Distinct patterns of change in mutant allele copy number were observed as early as 2 weeks after treatment and were similar to those reported in other tumor types.<sup>19,20</sup> We hypothesize that these distinct patterns of change in this study will correlate with specific patterns of radiographic response and emergence of acquired resistance and plan to report these data once mature. The observed differences in treatment discontinuation rates observed in this study comparing patients with complete resolution of detectable mutant cfDNA compared to those with incomplete resolution support this hypothesis. The use of this technology to monitor disease status in real-time has potential utility for both routine clinical care as well as use as an integrated biomarker in early-phase clinical trials.<sup>10</sup>

In conclusion, ddPCR-based plasma genotyping is a technology that is ready to be employed for clinical decision-making in advanced NSCLC patients. This assay is capable of rapidly detecting *EGFR* and *KRAS* mutations with minimal false positives and with the robustness needed for real-world testing. It has great utility for the detection of actionable genomic alterations in patients who are unable to undergo repeat biopsies and may even detect mutations missed by standard tissue genotyping due to tissue heterogeneity. As third generation *EGFR* T790M inhibitors come into clinical use, the need for re-biopsy and potential role of plasma genotyping will expand dramatically. Further, the potential combination of rapid ddPCR-based plasma genotyping assays with plasma NGS assays for more comprehensive noninvasive genotyping may represent a new paradigm for clinical genotyping.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

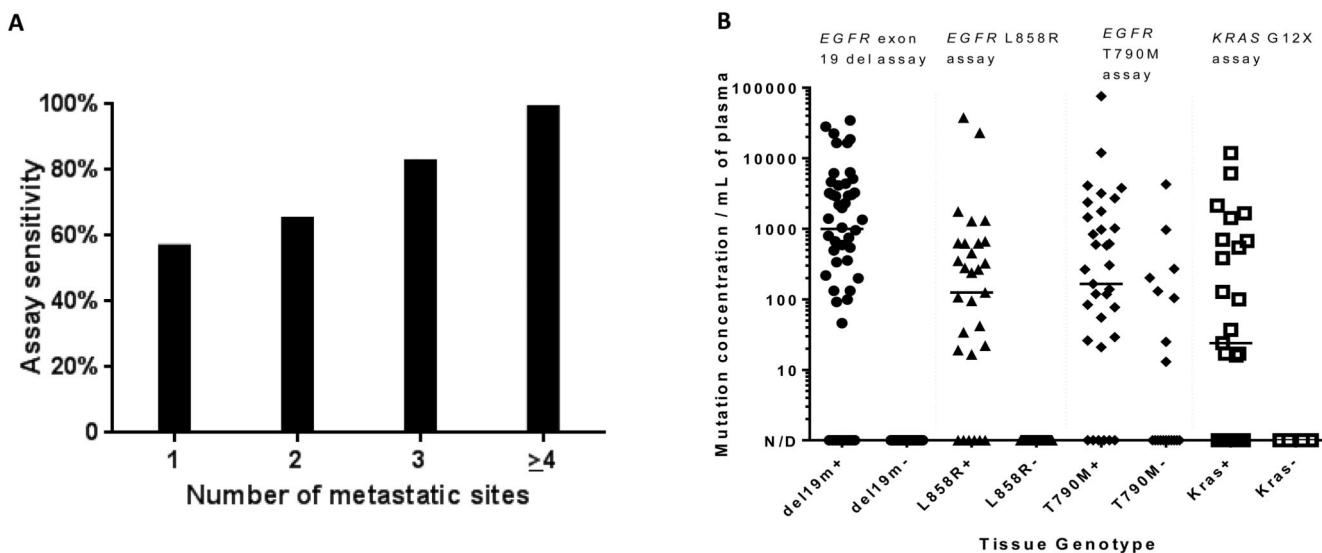
Supported in part by the United States Department of Defense, the National Cancer Institute of the National Institutes of Health (grants R01CA135257, R01CA114465 and P50CA090578), the Phi Beta Psi Sorority, the Stading-Younger Cancer Foundation, the International Association for the Study of Lung Cancer, the Canadian Institutes of Health Research, the Canadian Association of Medical Oncologists, the Gallup Research Fund and the Kaplan Research Fund. These funding organizations were not directly involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication. AGS, SD, CPP and GRO had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. AGS, SD, CP and GRO were responsible for the data analysis contained in this study.

GRO is a consultant/advisory board member for Ariad, AstraZeneca, Boehringer Ingelheim, Clovis Oncology, and Sysmex; and has received honoraria from AstraZeneca, Boehringer Ingelheim and Chugai. PAJ is a consultant for Boehringer Ingelheim, AstraZeneca, Genentech, Pfizer, Merrimack Pharmaceuticals, Clovis Oncology, Roche, Sanofi and Chugai; and has stock ownership in Gatekeeper Pharmaceuticals. AGS has received travel funding from AstraZeneca and Genentech-Roche. CPP has received travel funding and honoraria from BioRAD Laboratories and Clovis Oncology. GRO, CPP, and PAJ are inventors on a pending patent related to findings described in this manuscript.

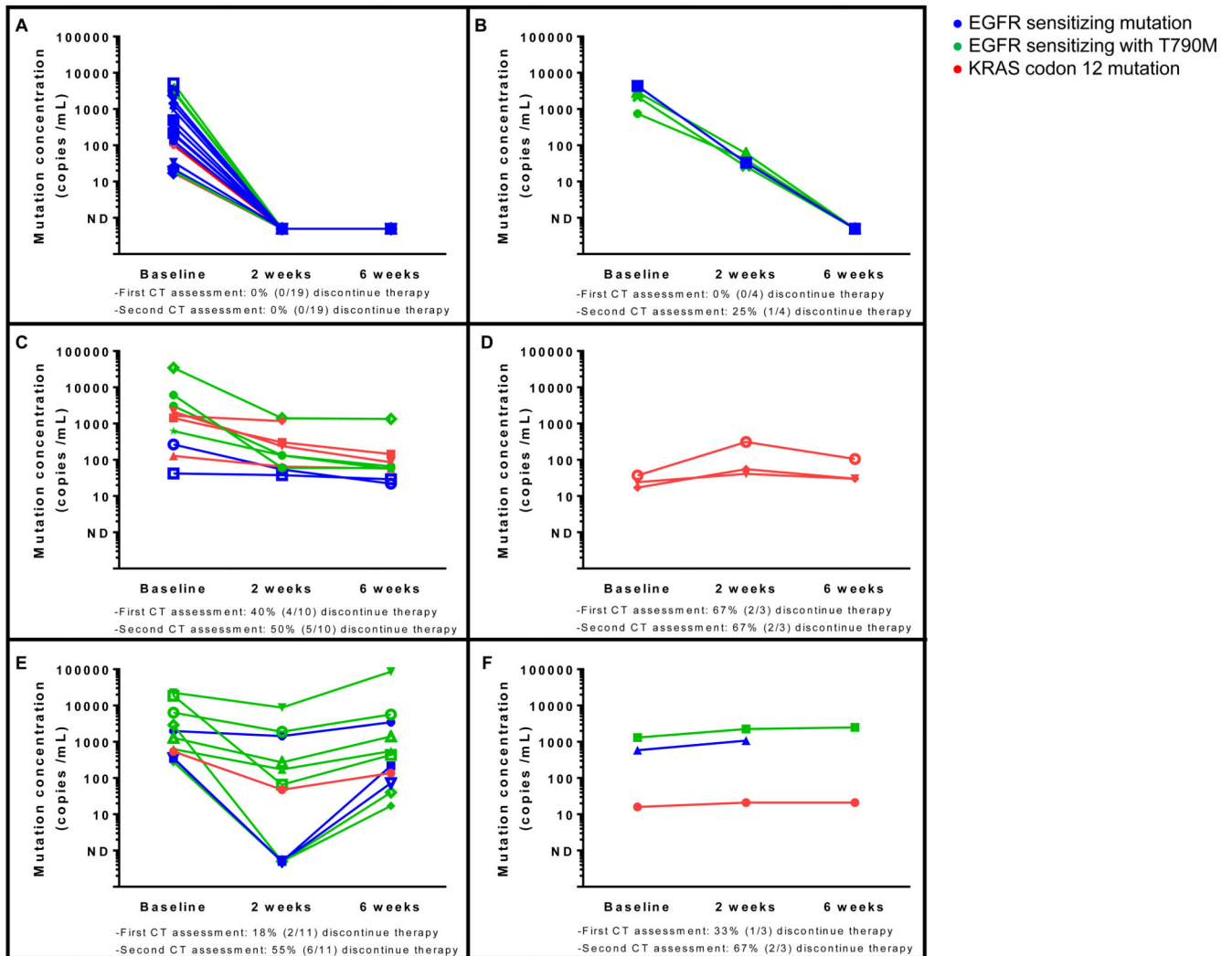
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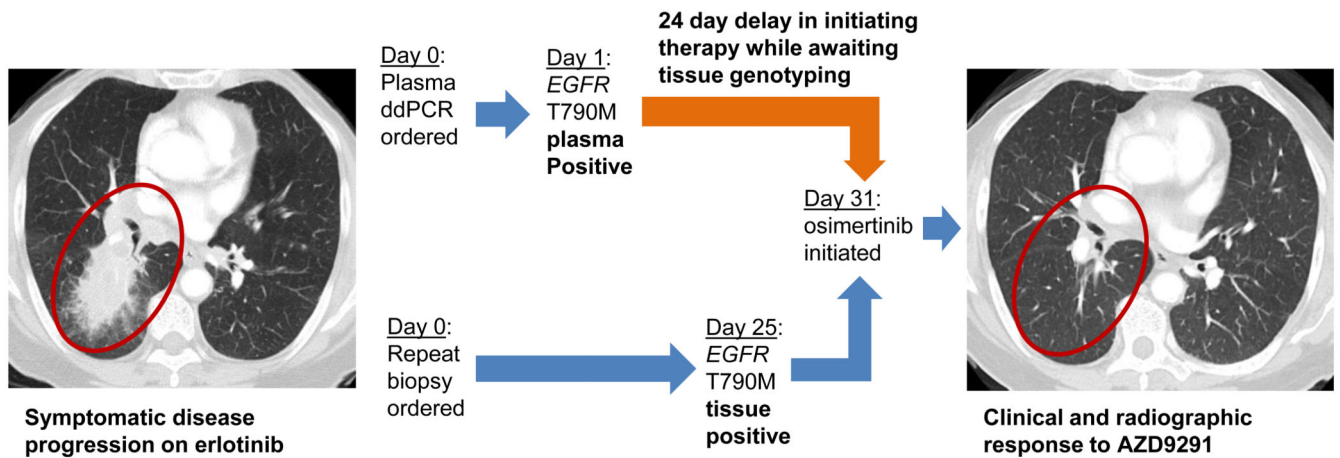


**Figure 1.**  
 (A) The sensitivity of plasma ddPCR for the detection of EGFR and KRAS mutations increases directly with the number of metastatic sites present in a given patient ( $p < 0.001$ ).  
 (B) Dynamic range of plasma genotyping using a validated ddPCR-based assay. Wide dynamic range and the absence of false positives are noted for the detection of KRAS G12X and EGFR sensitizing mutations. A small number of false positives are seen with the EGFR T790M assay – potentially secondary to tumor heterogeneity with respect to acquired resistance mechanisms ( $n=174$ ).



**Figure 2.**

Distinct patterns of ddPCR plasma response emerge in patients undergoing serial plasma genotyping at 2 weeks and 6 weeks post-treatment. Mutant cfDNA was observed to either become undetectable at 2 weeks (A), decrease and then become undetectable at 6 weeks (B), decrease progressively but remain detectable at 6 weeks (C), increase initially and then decrease at 6 weeks (D), decrease at 2 weeks and then rebound at 6 weeks (E), or progressively increase (F). Patients with complete resolution of mutant cfDNA (A-B) exhibited a treatment discontinuation rate of 0% (0/23) and 4% (1/23) at initial and second restaging CT scans. Alternatively, patients without complete resolution (C-F) had a treatment discontinuation rate of 33% (9/27) at initial re-imaging and 56% (15/27) at second re-imaging assessment. Patient genotypes included EGFR sensitizing alone (--), EGFR sensitizing in the presence of T790M (--), and KRAS G12X (--).



**Figure 3.**

**Case Report:** A patient in their eighties with metastatic *EGFR* mutant NSCLC developed acquired resistance to erlotinib. Symptomatic progression of pulmonary and bone metastases were noted (primary lung lesion labelled). Empiric single-agent chemotherapy or best supportive care alone were considered given the patient's age and comorbidities. However, plasma ddPCR was performed and the result returned the next day revealing 806 copies/mL of *EGFR* T790M. The patient underwent rebiopsy which confirmed *EGFR* T790M and the patient was able to start therapy with osimertinib – a novel third generation *EGFR* kinase inhibitor with excellent clinical and radiographic response. Importantly, the plasma ddPCR T790M result was returned 24 days before the results of the repeat tissue biopsy were available.

Table 1

## Patient Characteristics

		Total		Cohort 1		Cohort 2	
				Newly Diagnosed	Acquired Resistance		
<b>Patients</b>		<b>180*</b>		<b>120</b>		<b>60</b>	
<b>Sex</b>	Male	68	38%	50	42%	18	30%
	Female	112	62%	70	58%	42	70%
<b>Median Age</b>		62		64		58	
<b>Race</b>	White	152	84%	109	91%	43	72%
	Asian	20	11%	7	6%	13	22%
	Black	5	3%	2	2%	3	5%
	Hispanic	3	2%	2	2%	1	1%
<b>Stage at diagnosis</b>	Recurrent	5	3%	5	4%	0	-
	IIIB	3	2%	3	3%	0	-
	IV	172	95%	112	93%	60	100%
<b>Number of Metastatic Sites</b>	1	72	40%	55	46%	17	28%
	2	62	34%	41	34%	21	35%
	3	23	13%	15	12%	8	13%
	4	23	13%	9	8%	14	23%
<b>Site of biopsy utilized for genotyping</b>	Lung			47	39%	29	53%
	Pleural biopsy, fluid			12	10%	3	5%
	Liver			7	6%	6	10%
	Lymph node			28	23%	8	14%
	Other			26	22%	10	18%
<b>Tissue Genotype</b>	<i>EGFR</i> exon 19 del	50	28%	14	12%	37	62%
	<i>EGFR</i> L858R	32	18%	13	11%	18	30%
	Rare <i>EGFR</i>	5	3%	0	0%	5	8%
	<i>EGFR</i> T790M	-	-	-	-	35	58% †
	<i>KRAS</i> G12X	26	14%	26	22%	-	-
	<i>EGFR/KRAS</i> WT	64	36%	64	53%	-	-
	Failed	3	1%	3	3%	5	8% ‡
<b>Tissue genotyping method</b>	Sanger sequencing			6	5%	0	0%
	PCR			29	25%	43	78%
	Targeted NGS			12	10%	12	22%
	PCR and NGS			70	60%	0	0%
<b>Additional Biopsy Required</b>		34	19%	22	19%	12	21%

		Total		Cohort 1		Cohort 2	
				Newly Diagnosed		Acquired Resistance	
<b>Patients</b>		<b>180<sup>*</sup></b>		<b>120</b>		<b>60</b>	
<b>Histology</b>	AdenoCa	169	94%	112	93%	57	95%
	Adenosquamous	6	3%	3	3%	3	5%
	NSCLC NOS	5	3%	5	4%	0	-

<sup>†</sup> Denotes percentage of *EGFR* mutant patients in this cohort which are *T790M* positive.

<sup>‡</sup> Denotes percentage of *EGFR* mutant patients in this cohort which did not complete biopsy for T790M testing.

<sup>\*</sup> 30 patients included in this study were also included in previously reported validation of an alternative plasma NGS assay for cfDNA genotyping.<sup>25</sup>

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**Table 2**

Plasma ddPCR assay sensitivity, specificity and positive predictive value.

<u>Assay</u>		<u>Sensitivity analysis</u>			<u>Specificity analysis</u>			<u>Positive predictive value</u>
		<u>Sensitivity</u>	<u>True positive (tissue + plasma +)</u>	<u>False negative (tissue + plasma -)</u>	<u>Specificity</u>	<u>True negative (tissue - plasma -)</u>	<u>False positive (tissue- plasma +)</u>	
<b>EGFR exon 19 del</b>	Newly diagnosed	<b>86%</b> (57-98%)	12	2	<b>100%</b> (96-100%)	101	0	<b>100%</b> (74-100%)
	Acquired resistance	<b>81%</b> (64-92%)	29	7	<b>100%</b> (85-100%)	23	0	<b>100%</b> (88-100%)
	<u>Overall</u>	<b>82%</b> (69-91%)	41	9	<b>100%</b> (97-100%)	124	0	<b>100%</b> (91-100%)
<b>EGFR L858R</b>	Newly diagnosed	<b>69%</b> (39-91%)	9	4	<b>100%</b> (96-100%)	102	0	<b>100%</b> (66-100%)
	Acquired resistance	<b>78%</b> (52-94%)	14	4	<b>100%</b> (91-100%)	41	0	<b>100%</b> (77-100%)
	<u>Overall</u>	<b>74%</b> (55-88%)	23	8	<b>100%</b> (97-100%)	143	0	<b>100%</b> (85-100%)
<b>EGFR T790M</b>	Acquired resistance	<b>77%</b> (60-90%)	27	8	<b>63%</b> (38-84%)	12	7	<b>79%</b> (62-91%)
<b>KRAS G12X</b>	Newly diagnosed	<b>64%</b> (43-82%)	16	9	<b>100%</b> (94-100%)	62	0	<b>100%</b> (79-100%)

Note: 95% exact binomial confidence intervals noted below each value.