



# **Partial Diversity Generates Effector Immunity Specificity of the Bac41- Like Bacteriocins of** *Enterococcus faecalis* **Clinical Strains**

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### **ABSTRACT**

**Bacteriocin 41 (Bac41) is the plasmid-encoded bacteriocin produced by the opportunistic pathogen** *Enterococcus faecalis***. Its genetic determinant consists of** *bacL1* **(effector),** *bacL2* **(regulator),** *bacA* **(effector), and** *bacI* **(immunity). The secreted effectors BacL1 and BacA coordinate to induce the lytic cell death of** *E. faecalis***. Meanwhile, the immunity factor BacI provides self-resis**tance to the Bac41 producer, *E. faecalis*, against the action of BacL<sub>1</sub> and BacA. In this study, we demonstrated that more than half **of the 327 clinical strains of** *E. faecalis* **screened had functional Bac41 genes. Analysis of the genetic structure of the Bac41 genes in the DNA sequences of the** *E. faecalis* **strains revealed that the Bac41-like genes consist of a relatively conserved region and a variable region located downstream from** *bacA***. Based on similarities in the variable region, the Bac41-like genes could be classified into type I, type IIa, and type IIb. Interestingly, the distinct Bac41 types had specific immunity factors for self-resistance, BacI1 or BacI2, and did not show cross-immunity to the other type of effector. We also demonstrated experimentally that the specificity of the immunity was determined by the combination of the C-terminal region of BacA and the presence of the unique BacI1 or BacI2 factor. These observations suggested that Bac41-like bacteriocin genes are extensively disseminated among** *E. faecalis* **strains in the clinical environment and can be grouped into at least three types. It was also indicated that the partial diversity results in specificity of self-resistance which may offer these strains a competitive advantage.**

#### **IMPORTANCE**

**Bacteriocins are antibacterial effectors produced by bacteria. In general, a bacteriocin-coding gene is accompanied by a cognate immunity gene that confers self-resistance on the bacteriocin-producing bacterium itself. We demonstrated that one of the bacteriocins, Bac41, is disseminated among** *E. faecalis* **clinical strains and the Bac41 subtypes with partial diversity. The Bac41-like bacteriocins were found to be classified into type I, type IIa, and type IIb by variation of the cognate immunity factors. The antibacterial activity of the respective effectors was specifically inhibited by the immunity factor from the same type of Bac41 but not the other types. This specificity of effector-immunity pairs suggests that bacteriocin genes might have evolved to change the immunity specificity to acquire an advantage in interbacterial competition.**

**E***nterococcus faecalis* is a Gram-positive commensal bacterium present in the intestinal tract of healthy humans or animals, but it is also a causative agent of opportunistic infectious diseases, including urinary infectious disease, bacteremia, infective endocarditis, and others  $(1-4)$  $(1-4)$  $(1-4)$ . As represented by the development of drug resistance, the acquisition of new genes via mobile genetic elements (MGEs), such as plasmids, raises the concern of increased severity of these enterococcal diseases [\(5\)](#page-10-3). Enterococcal plasmids also encode various bacteriocins, which are bactericidal peptides or proteins produced by bacteria [\(6\)](#page-10-4). Enterococcal bacteriocins are generally divided into three classes [\(7,](#page-10-5) [8\)](#page-10-6). Heat- and acid-stable bacteriocin peptides are called class I and class II [\(6\)](#page-10-4). Class I bacteriocin peptides are referred to as lantibiotics, and this class contains nonproteinogenic amino acids generated by posttranslational modification. Only two class I bacteriocins, betahemolysin/bacteriocin (cytolysin) and enterocin W, have been identified in enterococci [\(1,](#page-10-0) [3,](#page-10-1) [6,](#page-10-4) [9](#page-10-7)[–](#page-10-8)[11\)](#page-10-9). Class II bacteriocin peptides are not posttranscriptionally modified and include most enterococcal bacteriocins, such as AS-48, enterocin A, bacteriocin 21 (Bac21), Bac31, Bac32, Bac43, and Bac51, which were isolated from clinical strains of *E. faecalis* or *Enterococcus faecium* [\(6,](#page-10-4) [7,](#page-10-5) [12](#page-10-10)[–](#page-10-11)[18\)](#page-10-12). In contrast, class III bacteriocins, which are also referred to as bacteriolysins, are heat-labile antimicrobial proteins showing enzymatic bactericidal activity [\(7,](#page-10-5) [19,](#page-10-13) [20\)](#page-10-14). To date, the only en-

terococcal bacteriolysins to be identified are enterolysin A and bacteriocin 41 (Bac41) [\(21](#page-10-15)[–](#page-10-16)[23\)](#page-10-17).

Bac41 was originally cloned from the pheromone-responsive plasmid pYI14 of the clinical strain *E. faecalis* YI714 [\(21\)](#page-10-15). Like other common bacteriocins, the bactericidal activity of Bac41 has a narrow spectrum against *E. faecalis* but is not active against *E. faecium*, *Enterococcus hirae*, *Streptococcus pyogenes*, *Streptococcus pneumoniae*, *Staphylococcus aureus*, or *Listeria monocytogenes* [\(21,](#page-10-15) [24\)](#page-10-18). The determinant genetic element of Bac41 consists of six open reading frames (ORFs), including the four characterized genes  $bacL_1$ ,  $bacL_2$ ,  $bacA$ , and  $bacI$  [\(Fig. 1\)](#page-1-0) [\(21\)](#page-10-15). The Bac41 lytic system has a classical antimicrobial effector/immunity module acting in

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<span id="page-1-0"></span>**FIG 1** Genetic organization comparison of type I, type IIa, and type IIb Bac41 gene clusters. The genetic organization of each of type of Bac41 gene cluster sequenced in this study was aligned, and their nucleotide sequences compared by bl2seq (BLASTn) using Genome Matcher software. Bac41<sub>pYI14</sub> [\(AB271686.1\)](http://www.ncbi.nlm.nih.gov/nucleotide/AB271686.1), Bac41<sub>pYI12</sub> [\(LC114487\)](http://www.ncbi.nlm.nih.gov/nuccore?term=LC114487), and Bac41<sub>pMGT421</sub> [\(LC114488\)](http://www.ncbi.nlm.nih.gov/nuccore?term=LC114488) represent the type I, type IIa, and type IIb Bac41 gene clusters, respectively. The color scale represents nucleotide sequence similarity (%).

interbacterial interaction [\(25\)](#page-10-19). The *bacL*<sub>1</sub>- and *bacA*-encoded proteins,  $BacL<sub>1</sub>$  and BacA, are effector proteins secreted into the environment to actually express the antimicrobial activity against *E. faecalis*. The lytic effector, BacL<sub>1</sub>, has the NlpC/P60-type D-isoglutamyl-L-lysine endopeptidase domain located in its amino acid (aa)-163-to-315 region to degrade *E. faecalis* peptidoglycan [\(24\)](#page-10-18). The binding of  $BacL<sub>1</sub>$  via the aa-329-to-590 region is limited to the cell division-associated area on the *E. faecalis* cell surface, and it specifically recognizes peptidoglycans with stem peptides crossbridged by L-Ala–L-Ala, which is a unique structure existing in *E. faecalis* [\(46\)](#page-11-0). The other effector, BacA, is required in addition for the bacteriolysis of target *E. faecalis* cells, although its action has not been elucidated by experimental work to date. Therefore, Bac41 is a unique two-component bacteriolysin consisting of two effector proteins, BacL<sub>1</sub> and BacA. Again, BacI is the cognate immunity factor providing self-resistance to protect a Bac41-producing *E. faecalis* from Bac41 activity [\(21\)](#page-10-15).

In this study to understand the physiology of the Bac41 system in the clinical environment, we carried out an epidemiological study and demonstrated that functional Bac41-type bacteriocins with a degree of diversity were detected in more than half of the *E. faecalis* clinical isolates tested. A comparison of Bac41-like genes of the *E. faecalis* DNA sequences present in public databases and our collections revealed that there was diversity in *bacA* and *bacI* but not in *bacL<sub>1</sub>* and *bacL<sub>2</sub>*. Our experimental study also revealed that these diversities could be classified into three types based on their amino acid sequences and that each type appeared to generate a specific immunity.

#### **MATERIALS AND METHODS**

**Bacterial strains, plasmids, and antimicrobial reagents.** The bacterial strains and plasmids used in this study are shown in [Table 1.](#page-2-0) The oligonucleotides used in this study are shown in [Table 2.](#page-3-0) The clinical isolates of *E. faecalis* used in this study are listed in Table S1 in the supplemental material [\(26\)](#page-10-20). *Enterococcal* strains were routinely grown in Todd-Hewitt broth (THB; Difco, Detroit, MI) at 37°C [\(27\)](#page-10-21), unless otherwise noted. *Escherichia coli* strains were grown in Luria-Bertani (LB; Difco) medium at 37°C. The antibiotic concentrations for the selection of *E. coli* were 100 mg liter $^{-1}$  ampicillin, 12.5 mg liter $^{-1}$  tetracycline, and 50 mg liter $^{-}$ 1 chloramphenicol. The concentration of chloramphenicol for the routine

selection of *E. faecalis* harboring pAM401 derivatives was 20 mg liter<sup>-1</sup>. All antibiotics were obtained from Sigma Co. (St. Louis, MO).

*In vitro* **competition assay.** To assess the advantage of carrying a plasmid in a population *in vitro*, equal volumes of the overnight cultures of *E. faecalis* OG1S (plasmid null) and *E. faecalis* OG1S harboring pAM401 derivatives were diluted 100-fold with fresh THB broth, followed by cocultivation at 37°C. At set time periods, serial dilutions of coculture samples were plated on THB with or without chloramphenicol (20 mg/ liter). As pAM401 derivatives carry the *catB* gene, the CFU count for plasmid-harboring cells was estimated by counting colonies grown on THB agar containing chloramphenicol. The total cell count, including cells with and without a plasmid, was obtained by determining the total count of CFU on THB without antibiotics.

**Antimicrobial susceptibility testing.** The MICs of the antibiotics were determined by the agar dilution method. An overnight culture of each strain grown in Mueller-Hinton broth (Nissui, Tokyo, Japan) was diluted 100-fold with fresh broth. An inoculum of approximately  $5 \times 10^5$ cells was spotted onto a series of Mueller-Hinton agar (Eiken, Tokyo, Japan) plates containing a range of concentrations of the test drug. After incubation at 37°C for 24 h, the number of colonies that had grown on the plates was determined. The MIC breakpoints for resistance to antibiotics were defined as suggested by Clinical and Laboratory Standards Institute (CLSI) guidelines [\(http://clsi.org/\)](http://clsi.org/).

**Colony-directed PCR.** To simultaneously detect *bacL<sub>1</sub>*, *bacL<sub>2</sub>*, and the *E. faecalis*-specific *ddl* gene [\(28\)](#page-10-22), multiplex PCR was performed with KOD FX plus (Toyobo, Tokyo, Japan) using a bacterial colony from an agar plate as the template [\(29,](#page-10-23) [30\)](#page-10-24). The PCR cycling conditions comprised 5 min at 94°C, followed by 35 cycles of 10 s at 98°C, 30 s at 55°C, and 1 min 30 s at 68°C, using the GeneAmp PCR 9700 thermal cycler (Bio-Rad, Hercules, CA).

**Soft-agar assay for bacteriocin activity.** The soft-agar assay for bacteriocin activity was performed as described previously [\(24,](#page-10-18) [31\)](#page-10-25). Briefly, the test bacterial strain or  $1 \mu$ l of recombinant protein solution was inoculated into THB soft agar (0.75%) containing the indicator strain and was then incubated at 37°C for 24 h. The formation of an inhibitory zone was evaluated as a sign of bacteriocinogenic activity of the test strain.

**Isolation of type II Bac41 plasmids pYI12 and pMGT421 and cloning and DNA sequence analysis of the Bac41-like genes they carry.** In this study, we examined the previously reported Bac41-producing pheromone-responsive conjugative plasmid pYI12 that had been isolated from *E. faecalis* clinical strain YI712 [\(21\)](#page-10-15). To determine the restriction map and to clone the Bac41-like bacteriocin genes of pYI12, the relational cloning methodology was used as described in our previous reports [\(15,](#page-10-26) [16,](#page-10-27) [21\)](#page-10-15). pYI12 plasmid DNA was digested with BamHI, EcoRI, SalI, or XbaI or doubly digested with a combination of two of these restriction enzymes. The molecular sizes of the eight BamHI fragments, A to H, were found to be 36.7, 13.7, 5.8, 4.5, 4.1, 3.3, 2.0, and 0.2 kb, respectively. To determine the order of the BamHI fragments of pYI12, a relational clone set was constructed. After agarose gel electrophoresis of plasmid pYI12 DNA partially digested with BamHI, fragments greater than 7 kb in size were eluted and used for cloning. The cloning vector used was pAM401 [\(32\)](#page-10-28), and the host strain was *E. coli* DH5α. DNA sequence analysis was carried out as previously reported [\(15,](#page-10-26) [16,](#page-10-27) [21\)](#page-10-15). A deletion kit (Nippon Gene, Tokyo, Japan) and a Bac41 expression plasmid (pBac<sub>pYI12</sub>, containing five BamHI fragments, F, E, H, G, and D, of pYI12) obtained from the screening were used. The resulting constructs were sequenced in both orientations with a *Taq* BigDye terminator cycle sequencing kit (Applied Biosystems, Foster City, CA), a model 377 DNA sequencer, and an ABI Prism 310 gene analyzer (Applied Biosystems). The DNA sequence data of the Bac41 expressing plasmid (pAM401 derivative containing five BamHI fragments of pYI12 spanning a 14.2-kb region) revealed that the BacA of pYI12 was classified as a type IIa Bac41 in this study [\(Fig. 1;](#page-1-0) see Fig. S3 in the supplemental material). The genetic analysis of the bacteriocin determinants of pBac41<sub>pYI12</sub> by Tn5-transposon mutagenesis was conducted as previously described [\(15,](#page-10-26) [16,](#page-10-27) [21\)](#page-10-15).

<span id="page-2-0"></span>**TABLE 1** Bacterial strains and plasmids used in this study



*<sup>a</sup>* VRE, vancomycin-resistant *Enterococcus*.

To find whether various other Bac41 types differed from the prototype Bac41 encoded on pYI14, we screened the bacteriocinogenic *E. faecalis* clinical isolates by PCR using the specific primer sets for the *bacL*<sub>1</sub>, *bacA*, and *bacI* (*bacI1*) genes, listed in [Table 2.](#page-3-0) The *bacL<sub>1</sub>*-positive, *bacA* (Nterminal-region)-positive, and *bacI1*-negative strains were picked up for further analysis in this study. The representative Bac41-like bacteriocinencoding plasmid pMGT421 from a bacteriocinogenic clinical isolate, *E. faecalis* GUHEfs421, was used in this study. The locations of the determinants were examined, and DNA sequence analysis was performed as previously described [\(15,](#page-10-26) [16,](#page-10-27) [21\)](#page-10-15). To examine the variable region spanning the C-terminal end of *bacA* and the immunity gene, inverse PCR using the HindIII restriction enzyme was performed. The Bac41 expression plasmid pBac41<sub>pMGT421</sub>, a derivative of pAM401, was constructed by PCR methodology utilizing the DNA sequence data and specific primer set used in this study [\(Tables 1](#page-2-0) and [2\)](#page-3-0). The immunity genes were cloned into a shuttle vector, pAM401 or pMGS100 [\(33\)](#page-10-29), using the corresponding primers listed in [Table 2.](#page-3-0)

**Construction of expression plasmids.** The amplification of the respective genes for plasmid construction was carried out by PCR using the corresponding primers, indicated in [Table 2.](#page-3-0) The amplified DNA frag-

ments were inserted into the respective expression vectors using restriction enzymes (NEB, Ipswich, MA) and a DNA ligation kit (TaKaRa, Shiga, Japan) as described elsewhere. Overlap extension PCR was used for the construction of phacI2<sub>pYI14</sub>, containing ORF13 (named *bacI2* in this study) along with the original promoter region upstream from *bacI* (renamed *bacI1*) and an in-frame deletion (453 bp/151 aa) of *bacI* (*bacI1*) encoded on pYI14. The constructs of the pET22 derivative were designed without the *pelB* sequence. For the construction of pET22::*bacA*<sub>pYI12</sub> or pET22::*bacA*<sub>pMGT421</sub>, where the BamHI site was used, the *pelB* sequence in  $pET22(+)$  was eliminated by inverse PCR. The constructed plasmids were sequenced to confirm that the desired sequence had been inserted.

**Purification of His-tagged recombinant proteins.**The preparation of the recombinant proteins was carried out as previously described. Briefly, the recombinant-expressing *E. coli* strains were inoculated into 500 ml of fresh LB and cultured at 37°C with shaking until an optical density at 600 nm of 0.5 to 0.7 was obtained. Then, isopropyl- $\beta$ -D-thiogalactoside was added to a final concentration of 0.5 mM, and an additional incubation at 30°C with shaking for 3 h was carried out. The collected bacterial cells were resuspended in 10 ml of lysis buffer (25 mM Tri-HCl, 150 mM NaCl, 10 mM imidazole, 10 mg ml<sup>-1</sup> lysozyme, pH 8.0) with EDTA-free pro-

#### <span id="page-3-0"></span>**TABLE 2** Oligonucleotides used in this study



*<sup>a</sup>* Lowercase letters indicate additional nucleotides forming tags to be digested by restriction enzymes for plasmid construction.

tease inhibitor cocktail (cOmplete, mini, EDTA-free; Roche Diagnostic Corporation, Indianapolis, IN) to be enzymatically lysed at 37°C for 30 min following sonication in ice using a sonicator (ultrasonic disruptor UD-201; TOMY Digital Biology Co., Tokyo, Japan) set at power level 6, 40% duty cycle, for 20 min. The resulting clarified and soluble lysate was added to 1 ml 50% Ni-nitrilotriacetic acid (NTA) nickel chromatography resin (Ni-NTA purification system; Invitrogen, Carlsbad, CA). After washing with 40 ml wash buffer (25 mM Tris-HCl, 150 mM NaCl, 20 mM imidazole, pH 8.0), the His-tagged protein was eluted with elution buffer (25 mM Tris-HCl, 150 mM NaCl, 200 mM imidazole, pH 8.0). The resulting His-tagged protein solution was subjected to ultracentrifugation using an Amicon ultra centrifugal filter (catalog no. UFC801024; Millipore Billerica, MA) and then resuspended in phosphate-buffered saline (PBS). The protein concentration was determined by the Bradford method (protein assay kit; Bio-Rad).

**Statistical analysis.** The statistical significance of the findings was evaluated by using the chi-square and Fisher exact tests. Results were considered to be statistically significant at  $P$  values of  $\leq 0.05$ .

**Bioinformatic analysis.** Genetic information for the *E. faecalis* strains was obtained from the draft genome database in NCBI [\(https://www.ncbi](https://www.ncbi.nlm.nih.gov/) [.nlm.nih.gov/\)](https://www.ncbi.nlm.nih.gov/). Amino acid sequence alignments were generated by using ClustalW version 2.0 [\(http://clustalw.ddbj.nig.ac.jp/\)](http://clustalw.ddbj.nig.ac.jp/) [\(34\)](#page-10-30). The evolutionary history was inferred by using the maximum-likelihood method based on the JTT matrix-based model. Evolutionary analyses were conducted in MEGA7 [\(35\)](#page-10-31). A graphic alignment showing the organization [\(36\)](#page-11-2) of the genes was produced using GenomeMatcher software [\(http:](http://www.ige.tohoku.ac.jp/joho/gmProject/gmhomeJP.html) [//www.ige.tohoku.ac.jp/joho/gmProject/gmhomeJP.html\)](http://www.ige.tohoku.ac.jp/joho/gmProject/gmhomeJP.html) [\(37\)](#page-11-3). The transmembrane helix domain prediction was obtained by using the TMHMM server [\(http://www.cbs.dtu.dk/services/TMHMM/\)](http://www.cbs.dtu.dk/services/TMHMM/) [\(38\)](#page-11-4).

**Accession numbers.** The nucleotide sequences reported in this article are available from the DDBJ, EMBL, and GenBank nucleotide sequence databases under accession numbers [LC114487](http://www.ncbi.nlm.nih.gov/nuccore?term=LC114487) (pYI12-bac41) and [LC114488](http://www.ncbi.nlm.nih.gov/nuccore?term=LC114488) (pMGT421-bac41).

# **RESULTS**

**Functional Bac41 genes are extensively disseminated among clinical** *E. faecalis* **strains.** Since the Bac41 system excludes *E. faecalis* cells without a Bac41-coding plasmid, it is postulated that Bac41 promotes the expansion of the plasmid on which it is encoded in the *E. faecalis* population. Indeed, this hypothesis was confirmed by an *in vitro* competition assay, as follows. An *E. faecalis* strain harboring a shuttle vector with the *catB* gene and pAM401 or pHT1100 was mixed with a plasmid-null *E. faecalis* strain at a 1:1 ratio, and the ratio of chloramphenicol-resistant *E. faecalis* cells to the whole *E. faecalis* population was assessed (see Fig. S1 in the supplemental material). The CFU count of *E. faecalis* cells harboring the control vector, pAM401, was equivalent to half of the total population and equal to that counted at the initial period of incubation even after 6 or 12 h of incubation. In contrast, *E. faecalis* cells harboring pHT1100, which is the pAM401 vector containing the entire Bac41 operon, became dominant in the total population and excluded the plasmid-null population in

<span id="page-4-0"></span>**TABLE 3** Proportions of  $bacL_1$  and  $bacL_2$  gene-positive strains and growth inhibition of *E. faecalis* OG1S among enterococcal strains

	Presence of:		No. $(\% )$ of strains		
Enterococcal species <sup>a</sup>	OG1S inhibition	bacL <sub>2</sub> $bacL_1$		with indicated profile	
E. faecalis ( $n = 327$ )	$^{+}$	$^{+}$	$^{+}$	195(59.6)	
	$^{+}$	$^{+}$		1(0.3)	
	$^{+}$		$^{+}$	6(1.8)	
	$^{+}$			61(18.7)	
		$^{+}$	$^{+}$	6(1.8)	
		+		2(0.6)	
			$^{+}$	3(0.9)	
				53(16.2)	
<i>E. faecium</i> or other $(n = 78)$	$^+$			17(21.8)	
				61(78.2)	

<sup>*a*</sup> The presence of the *E. faecalis*-specific *ddl* gene (*ddl<sub>E. faecalis*</sub>) was used to identify strains as *E. faecalis*.

6 and 12 h of incubation. This result indicated that carrying the Bac41 system is advantageous for the competitive survival of the *E. faecalis* strain carrying the plasmid.ddl.

To experimentally verify this hypothesis in the strains isolated from a clinical environment, we screened for Bac41 production in our collection of 405 clinical strains of enterococci (see Table S1 in the supplemental material). The screening was performed using PCR to detect the  $bacL_1$  and  $bacL_2$  genes and by examining the strains for growth inhibition activity against *E. faecalis* OG1S in the soft-agar test [\(Table 3\)](#page-4-0). The strains that were positive in both these tests were defined as Bac41-positive strains. Detection of the *E. faecalis*-specific *ddl* gene (*ddl<sub>E. faecalis*)</sub> was also carried out to confirm the species. Among the 405 enterococcal strains, 327 strains gave rise to the  $ddl_{E. \, faces}$  PCR product, indicating that those strains were *E. faecalis* [\(Table 3\)](#page-4-0). Of the 327 *E. faecalis* isolates, 195 (59.6%) strains were Bac41 positive. Sixty-one (18.7%) strains of *E. faecalis* still appeared to have Bac41-independent bacteriocin activity against *E. faecalis*. In contrast, non-*E. faecalis* enterococci (ddl<sub>E. faecalis</sub> negative) did not include any Bac41-positive strains, although 17 (21.8%) strains showed undefined bacteriocin activity against *E. faecalis*. Collectively, the Bac41-positive strains accounted for more than half of the clinical isolates of *E. faecalis* strains, and the Bac41 system appears to be specific to *E. faecalis* and not to occur in other *Enterococcus* species.

**Relationships of Bac41 systems to types of clinical specimens from which strains were isolated and drug resistance profiles.** The clinical strains used in this study were derived from a variety of sources and showed resistance to several drugs. Most of the Bac41-positive (66%) or -negative (69%) strains were derived from urine (see Table S1 in the supplemental material), and thus, no relationship between the specimen that was isolated and Bac41 possession was shown [\(Table 4\)](#page-4-1). It is known that antibiotic resistance, such as vancomycin resistance or high-level resistance to aminoglycosides, is often horizontally transferred by plasmids in *E. faecalis* [\(39\)](#page-11-1). There was a statistically significant increase in the frequency of resistance to erythromycin, gentamicin, kanamycin, or tetracycline in Bac41-positive strains [\(Table 5\)](#page-4-2). Resistance to the other drugs showed equal rates of incidence in Bac41-positive and -negative strains.

<span id="page-4-1"></span>



**The BacA homologues in** *E. faecalis* **strains are divided into five clades.** To date, 440 *E. faecalis* (NCBI Taxonomy accession number 1351) genome assemblies have been registered at the NCBI database [\(http://www.ncbi.nlm.nih.gov\)](http://www.ncbi.nlm.nih.gov). Consistent with the results from our collection [\(Table 3\)](#page-4-0), 160 Bac41 homologues were found in the public database, although partial sequences were identified in some genomes (see Table S2 in the supplemental material). In contrast with poor diversity of  $BacL<sub>1</sub>$  and  $BacL<sub>2</sub>$ homologues (see Fig. S2), BacA homologues consist of seven variants, comprising clades I, IIa, IIb, IIc, III, IV, and V [\(Fig. 2A\)](#page-5-0). Clade I, which includes the BacA of pYI14, formed the majority group, containing 125 coding sequences (CDSs). The second major group, clade II, included three subclades, IIa, IIb, and IIc, containing 19, 10, and 4 CDSs, respectively. Clade III BacA was found in only one strain. Clades IV and V (15 and 4 CDSs, respectively) seemed to be phylogenetically distant from the other clades. Indeed, only clades I, IIa, IIb, and III were accompanied by  $BacL<sub>1</sub>$ and Bac $L_2$  and suggested to be related to the Bac41 system [\(Fig.](#page-5-0) [2B\)](#page-5-0). Thus, we newly defined the Bac41-like gene clusters as type I, type IIa, type IIb, and type III based on the clades of the *bacA*

<span id="page-4-2"></span>**TABLE 5** Frequencies of drug resistance among Bac41-negative or -positive *E. faecalis* strains

Drug <sup>a</sup>	that were:	No. (%) of strains resistant to drug				
	Bac41 negative $(n = 132)$	Bac41 positive $(n = 195)$	Statistical significance <sup>b</sup>			
AMP	9(6.8)	17(8.7)	<b>NS</b>			
MIN	7(5.3)	11(5.6)	<b>NS</b>			
<b>VAN</b>	6(4.5)	17(8.7)	<b>NS</b>			
ERY	59 (44.7)	151 (77.4)	< 0.0001			
<b>CHL</b>	6(4.5)	6(3.1)	<b>NS</b>			
CIP	13(9.8)	24(12.3)	<b>NS</b>			
$GEN^c$	29(22.0)	72(36.4)	0.0054			
KAN <sup>c</sup>	45(34.2)	130(66.7)	< 0.0001			
$STR^c$	25(18.9)	44 (22.6)	NS.			
TET	67(51.1)	123(63.1)	0.0322			

*<sup>a</sup>* AMP, ampicillin; MIN, minocycline; VAN, vancomycin; ERY, erythromycin; CHL, chloramphenicol; CIP, ciprofloxacin; GEN, gentamicin; KAN, kanamycin; STR, streptomycin; TET, tetracycline.

*<sup>b</sup>* NS, not significant.

*<sup>c</sup>* The levels of resistance to aminoglycosides were high.



<span id="page-5-0"></span>**FIG 2** Diversity of BacA proteins in *E. faecalis* strains. (A) The phylogenic tree of BacA homologues of *E. faecalis* strains was constructed using the JTT model in MEGA7 based on the amino acid sequence alignment generated by ClustalW version 2.0. The tree with the highest log likelihood is shown. The percentage of trees in which the associated taxa clustered together is shown next to each branch. The initial tree(s) for the heuristic search was obtained automatically by applying the Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using the JTT model and then selecting the topology with the superior log-likelihood value. The tree is drawn to scale, with branch lengths measured in the number of substitutions per site. The analysis involved 174 amino acid sequences. All positions containing gaps and missing data were eliminated. The names of the source strains are shown. (B) Genetic structure alignment for the flanking regions of the respective BacA homologues. Color scale represents amino acid sequence similarity (%). Conserved CDSs, including *bacL<sub>1</sub>* and *bacL<sub>2</sub>*, are represented in black. Specific CDSs including *bacA*, *bacI1*, and *bacI2* are represented as follows: type I, orange; type IIa, yellow; type IIb, pink; type IIc, cyan; type III, blue; types IV and V, gray.



<span id="page-6-0"></span>**FIG 3** Diversity of Bac41-like gene clusters. (A) Molecular structures of type I, IIa, and IIb BacA proteins are represented. The region from the N terminus through the middle is conserved, and aa-81-to-140 and aa-208-to-491 regions are considered to be the putative peptidoglycan binding domain and GH25 peptidoglycan hydrolase, respectively. The C-terminal end is predicted to be a transmembrane (TM) helix domain. The aa-537-to-726/733 region is a variable region that is unique to each type. (B and C) The molecular structures of BacI1 and type I, IIa, and IIc BacI2 proteins are represented. The predicted four or two transmembrane helices are located in BacI1 and BacI2 proteins, respectively. Type IIa and type IIb BacI2 proteins show low degrees of similarity to each other throughout the whole molecule.

homologues. The amino acid sequences of  $BacL<sub>1</sub>$  and  $BacL<sub>2</sub>$  homologues showed high identity with each other among the distinct types of Bac41-like gene clusters. In contrast, although there is a highly conserved region from the N terminus through the middle of BacA (aa 1 to 536), diversity among the distinct clades converged within the C-terminal moiety of BacA (aa 537 to 726/ 723) [\(Fig. 3A;](#page-6-0) see also Fig. S3). Furthermore, the immunity gene *bacI*, which is located downstream from *bacA*, is represented in type I but not type IIa or IIb Bac41 gene clusters [\(Fig. 2B\)](#page-5-0). These data suggest that, among the diverse Bac41-like genes, there is a conserved region from  $bacL_1$  to the 5' region of *bacA* and a variable region from the 3' region of *bacA* that includes *bacI* [\(Fig. 1\)](#page-1-0).

**Identification of an alternative immunity gene,** *bacI2***, for type II Bac41.** To test whether the type II Bac41s are functional, we cloned the representative type IIa or type IIb Bac41 genes from plasmid pYI12 or pMGT421, respectively [\(Fig. 1\)](#page-1-0). The supernatant of *E. faecalis* OG1S harboring either pAM401::Bac41<sub>pYI12</sub> or pAM401::Bac41<sub>pMGT421</sub> showed bacteriocinogenic activity against *E. faecalis* [\(Fig. 4\)](#page-6-1). In addition, both of the recombinant effector components, recombinant BacL<sub>1</sub> (rBacL<sub>1</sub>) and rBacA, from either Bac41<sub>pYI12</sub> or Bac41<sub>pMGT421</sub> exerted bactericidal activity against *E. faecalis*[\(Fig. 5\)](#page-7-0), demonstrating that both type IIa and type IIb Bac41s are functional, as well as type I Bac41. In general, a gene encoding a bacteriocin is accompanied by its cognate immunity gene, resulting in self-resistance in the bacteriocin-producing strain [\(7\)](#page-10-5). In the type I Bac41 system, the highly conserved immunity gene *bacI*, which is located downstream from *bacA*, encodes a transmembrane protein that provides self-resistance via an unde-fined mechanism [\(Fig. 3B;](#page-6-0) see also Fig. S5A in the supplemental material) [\(21\)](#page-10-15). Unexpectedly, the type IIa and type IIb Bac41 genetic clusters completely lacked a *bacI* homologue [\(Fig. 1](#page-1-0) and [2B\)](#page-5-0). In addition, the *bacI* of type I Bac41 did not function to provide immunity against type II Bac41s [\(Fig. 4\)](#page-6-1). Therefore, it was supposed that the type II Bac41 utilizes an alternative immunity factor rather than the type I-encoded *bacI*. To avoid confusion, the immunity gene for type I Bac41 that has been referred to simply as *bacI* is hereinafter renamed *bacI1*. To identify the cognate immu-



<span id="page-6-1"></span>**FIG 4** Specific protection in *E. faecalis* strains with introduced *bacI* genes that confer immunity against distinct types of Bac41s. The culture supernatants of *E. faecalis* OG1S harboring a plasmid vector carrying type I Bac41 (pBac41<sub>pYI14</sub>), type IIa Bac41 (pBac41<sub>pYI12</sub>), or type IIb Bac41 (pBac41<sub>pMGT421</sub>) were spotted onto THB soft-agar (0.75%) plates containing the indicator strains. OG1S strains with the introduced immunity gene type I *bacI1* (pbacI1<sub>pYI14</sub>), type I *bacI2* (pbacI2<sub>pYI14</sub>), type IIa *bacI2* (pbacI2<sub>pYI12</sub>), or type IIb *bacI2* (pbacI2<sub>pMGT421</sub>) were used as indicator strains. The plates were incubated at 37°C for 24 h, and the formation of halos was evaluated.



<span id="page-7-0"></span>FIG 5 Specific protection in *E. faecalis* strains with introduced *bacI* genes against chimeric combinations of recombinant BacL<sub>1</sub> and BacA effectors. (A) Recombinant His-tagged BacL<sub>1</sub> and BacA proteins derived from pYI14 (rBacL<sub>1pYI14</sub> and rBacA<sub>pYI14</sub>), pYI12 (rBacL<sub>1pYI12</sub> and rBacA<sub>pYI12</sub>), or pMGT421 (rBacL1pMGT421 and rBacApMGT421) proteins (400 ng) were separated by SDS-PAGE and stained with Coomassie brilliant blue (CBB). (B) Combinations of recombinant His-tagged BacL<sub>1</sub> and BacA proteins derived from pYI14 (rBacL<sub>1pYI14</sub> and rBacA<sub>pYI14</sub>), pYI12 (rBacL<sub>1pYI12</sub> and rBacA<sub>pYI12</sub>), or pMGT421 (rBacL1pMGT421 and rBacApMGT421) proteins (25 ng each) were spotted onto THB soft-agar (0.75%) plates containing the indicator strains. OG1S strains with the introduced immunity gene type I *bacI1* (p*bacI1<sub>pY114</sub>*), type I *bacI2* (p*bacI2<sub>pY114</sub>*), type IIa *bacI2* (p*bacI2<sub>pY112</sub>)*, or type IIb *bacI2* (p*bacI2<sub>pMGT421</sub>)* were used as indicator strains. The plates was incubated at 37°C for 24 h, and the formation of halos was evaluated.

nity factor responsible for the self-resistance in type II Bac41 systems, we carried out a Tn*5* transposon mutagenesis screening study and identified the gene, designated *bacI2*, located immediately downstream from the *bacA* gene in the type IIa Bac41 derived from pYI12. The *bacI2* gene was conserved in type IIa, type IIb, and even type I Bac41 gene clusters (see Fig. S4). BacI2 also has two predicted transmembrane domains but does not show any se-quence similarity to the type I Bac41 immunity factor BacI1 [\(Fig.](#page-6-0) [3C;](#page-6-0) see also Fig. S5B). The introduction of the pYI12-derived *bacI2* (*bacI2*<sub>pYI12</sub>) clone effectively provided resistance to the type II Bac41<sub>pYI12</sub> [\(Fig. 4\)](#page-6-1). In addition, the pMGT421-derived *bacI2*  $(bacI2_{\text{pMG}T421})$  also conferred self-resistance to Bac41<sub>pMGT421</sub>. These data demonstrated that the cognate immunity system in type II Bac41 was distinct from that of the type I Bac41 but that *bacI2* could function as an alternative cognate immunity factor in the type II Bac41. However, it was unexpected that the type IIb *bacI2*<sub>pMGT421</sub> did not show cross immunity against type IIa Bac41, derived from pYI12, and vice versa [\(Fig. 4\)](#page-6-1), despite the similarity between the amino acid sequences of type IIa and type IIb BacI2 (56% identity). These observations indicated that, in addition to the differing immunity specificities between type I and type II Bac41s, there are also different immunity specificities between type IIa and type IIb Bac41s, and they suggested that the slight difference in amino acid sequence between type IIa and type IIb BacI2s results in the specificity of self-resistance.

**BacA but not BacL**<sub>1</sub> is key to the immunity specificity. In Bac41, the bacteriocinogenic activity is actually exerted by the combination of two secreted effector proteins,  $BacL<sub>1</sub>$  and BacA [\(21,](#page-10-15) [24\)](#page-10-18). To reveal which effector component is responsible for the resistance specificity, we prepared recombinants of  $BacL<sub>1</sub>$  and BacA derived from pYI14 (type I Bac41), pYI12 (type IIa Bac41), and pMGT421 (type IIb Bac41) [\(Fig. 5A\)](#page-7-0). The susceptibility of *E. faecalis* harboring the control vector, phacI1<sub>pYI14</sub>, phacI2<sub>pYI14</sub>, p*bacI2*<sub>pYI12</sub>, or p*bacI2*<sub>pMGT421</sub> to chimeric combinations of each  $BacL<sub>1</sub>$  and BacA derived from a different type of Bac41 was examined [\(Fig. 5B\)](#page-7-0). As expected, when the origin of the BacA component was identical to that of the introduced immunity factor, resistance was provided. In contrast, each immunity gene did not confer resistance against a different type of BacA. The origin of  $BacL<sub>1</sub>$  did not affect the specificity of immunity. This result clearly demonstrated that the relationship between BacA and BacI1/ BacI2 determined the specificity of self-resistance in different Bac41-like bacteriocins.

# **DISCUSSION**

**Epidemiological relationship of Bac41 systems in certain** *E. faecalis***lineages.**In this report, our epidemiological study demonstrated that functional Bac41 genes were extensively propagated among *E. faecalis* clinical strains [\(Table 3\)](#page-4-0). Therefore, the frequency of detection of Bac41 in clinical strains was notably high compared to the frequency of detection of the known major virulence factor beta-hemolysin/bacteriocin [\(3,](#page-10-1) [10,](#page-10-8) [31\)](#page-10-25). As far as our investigation showed, Bac41 genes are carried on the pheromone-responsive conjugative plasmids belonging to the RepA\_N-type plasmid family, whose host range is narrow and restricted to *E. faecalis* [\(40\)](#page-11-5). In this aspect, the Bac41 gene element is, at least in theory, suspected to be freely disseminated among *E. faecalis* lineages without restriction by host background. Indeed, the epigenetic backgrounds of our collected isolates were geographically diverse, and the isolates varied as to the characteristics of the individual inpatients (gender and age) and the hospitals from which they were obtained, suggesting that they were independent and unlikely to belong to certain clonal strains (see Table S1 in the supplemental material) [\(41\)](#page-11-6). However, the collected isolates were largely from urineassociated specimens, and fewer were obtained from blood or cases of infective endocarditis. Besides, their multilocus sequence typing (MLST)-based strain lineages (sequence types [STs]) were not yet defined. Alternatively, the sequence types in some genome-sequenced strains are available from previous reports [\(42,](#page-11-7) [43\)](#page-11-8), and we could partially investigate the correlations of Bac41 types with ST clonal lineages [\(Table 6;](#page-8-0) see also

ST (CC)	No. of strains with Bac41 assemblies of type:						Total no.	No. (%)	
	None	I (type IIa bacI2)	I (type IIb bacI2)	IIa	IIb	<b>IIc</b>	Ш	of strains	possessing Bac41
2(2)	12		7					19	7(37)
6(2)	17	3	59					81	64 (79)
4(4)	2							4	2(50)
32(4)	4							4	0(0)
8(8)	4							4	0(0)
64(8)	3							5	2(40)
9(9)	9							11	2(18)
21(21)	6							6	0(0)
40(40)	11							11	0(0)
103			5					5	5(100)
Other	52	3	4	6				68	16(24)
Unknown	160		40	12	6	3		222	62(28)
Total	280	8	117	20	10	$\overline{4}$		440	160(36)

<span id="page-8-0"></span>**TABLE 6** Frequencies of Bac41 genes in genome-sequenced *E. faecalis* strains*<sup>a</sup>*

*<sup>a</sup>* These 440 *E. faecalis* genomes were obtained from the NCBI database [\(http://www.ncbi.nlm.nih.gov\)](http://www.ncbi.nlm.nih.gov).

Table S1). Notably, of 100 strains belonging to clonal complex 2 (CC2), which is the major hospital-adapted lineage, containing ST2 and ST6 [\(44,](#page-11-9) [45\)](#page-11-10), 71 strains (71%) were Bac41 positive. In contrast, for 11 strains of the other major lineage, ST40, there were no Bac41-positive strains. The other lineages also include Bac41-positive strains at rates of 20 to 30%. Therefore, there must be at least some tropism by which Bac41 tends to be possessed by strains in a certain lineage, CC2. It is notable that strain FA2-2, belonging to ST8, shows lower susceptibility (tolerance) to Bac41 than the *E. faecalis* OG1RF strain and its derivatives belonging to ST1 (CC1) [\(46\)](#page-11-0). The genetic lineages that show tolerance to Bac41 might be scarcely affected by the selective force of Bac41 and so might take only slight advantage from the possession of Bac41. Therefore, it could be difficult for Bac41 to spread among such a lineage in the natural environment, resulting in the formation of tropism. However, any conclusion regarding a correlation of Bac41 with specific lineages needs further extensive and detailed epidemiological studies.

**Features of Bac41-unrelated BacA homologues.** Unlike the low degree of diversity in  $BacL<sub>1</sub>$  and  $BacL<sub>2</sub>$  proteins, the BacA homologues are divided into five clades [\(Fig. 2A\)](#page-5-0). Clades I and II of the Bac41 subtypes were widely distributed among the *E. faecalis*strains; however, clade III BacA was only found in one strain. As previously demonstrated, BacA alone does not function as a bacteriolysin; it requires cooperation with  $BacL<sub>1</sub>$  [\(24\)](#page-10-18). Type IIc and type III Bac41 loci lacked *bacL<sub>1</sub>*/*bacL*<sub>2</sub> and immunity genes, respectively, suggesting that they are pseudo-bacteriocin loci. In addition, the genetic structures flanking clade IV or V *bacA* also did not contain any other Bac41-related genes, suggesting that they were not functional homologues [\(Fig. 2B\)](#page-5-0). As shown in our previous study, a BacA homologue with a relatively low level of similarity was found in the *Bacillus subtilis* chromosome [\(21\)](#page-10-15). Although its activity is unclear, the clade IV or V *bacA* gene may be present at a locus distinct from the Bac41-containing genetic element on the chromosome.

**Deduced mechanism of BacA-BacI1/-BacI2 interaction for specific resistance.** The bacteriolytic proteins secreted by type VI secretion systems of Gram-negative bacteria are extensively studied examples of effector-immunity interaction. For instance, the Tae effectors of *Pseudomonas aeruginosa* are degra-

dation enzymes that are injected into target bacterial cells to induce bacteriolysis [\(25\)](#page-10-19). Tae-producing bacterial cells also express the cognate immunity Tai proteins for self-resistance to the toxicity of the corresponding effectors. A structural biology study has shown that Tai directly binds to Tae and inhibits its enzymatic activity, thus providing protection from the toxic effect. Our recent studies revealed the molecular mechanism of bacteriolysis by the Bac41 effectors  $BacL<sub>1</sub>$  and BacA [\(21,](#page-10-15) [24\)](#page-10-18). In particular,  $BacL<sub>1</sub>$  acts as an endopeptidase enzyme that degrades *E. faecalis* peptidoglycan and binds to the cell division site on the bacterial cell wall through specific recognition of the L-Ala–L-Ala cross-bridge. On the other hand, the cell wall degradation activity of  $BacL<sub>1</sub>$  is not sufficient to kill bacterial cells. In addition to  $BacL<sub>1</sub>$ , an as-yet-undefined triggering action of BacA is required to induce the bacteriolysis of target cells. The precise molecular function of BacA still remains unclear, except for speculation based on its amino acid sequence. The C-terminal end of BacA has a predicted transmembrane domain [\(Fig. 3A\)](#page-6-0), and it is postulated that BacA disrupts the plasma membrane to trigger bacteriolysis. This study revealed that the unique C-terminal moiety of BacA was also a critical determinant for protection by the immunity protein BacI. The TMHMM-predicted sequence suggests that BacI1 is anchored to the plasma membrane via four transmembrane domains [\(Fig. 3B\)](#page-6-0). The predicted sequence also suggests that aa 36 to 66 and aa 125 to 153 are exposed on the exterior of the cell. The two outside domains are thought to interact with the immunity-determining domain, namely, the C terminus of type I BacA. Similarly, BacI2 is predicted to be anchored to the plasma membrane [\(Fig. 3C\)](#page-6-0) and its aa-54-to-78 region is thought to be exposed externally to interact with the C terminus of type IIa or type IIb BacA. There is no sequence similarity among these external regions that were presumed to be BacA-interacting domains. BacIs show sequence diversity through the entire molecule in BacI1, type IIa BacI2, and type IIb BacI2. A number of effector/immunity studies have shown that immunity proteins do not show any common sequence features compared to effectors sharing functionally conserved domains. Collectively, it is possible that BacI1 or BacI2 physically interacts with the variable C-terminal region of BacA and inhibits its membrane

disruption activity to protect host *E. faecalis* cells. It remains unresolved precisely how BacI1 or BacI2 inhibits cognate BacA activity and, also, how BacA triggers the bacteriolysis of target cells at the molecular level. Further study is required to understand the precise molecular mechanism of the Bac41 effector/ immunity system.

**Xenoresistance behavior of BacI2 in type I Bac41 systems.** Our data clearly showed that, unlike type I Bac41 systems, selfresistance immunity of type IIa or IIb Bac41 systems was provided by the newly identified BacI2 but not BacI1 [\(Fig. 4\)](#page-6-1). Interestingly, BacI2 was also conserved in type I Bac41 systems, despite BacI2 not providing immunity against type I BacA [\(Fig.](#page-5-0) [2B\)](#page-5-0). Understanding the immunity systems was further complicated as the BacI2 proteins encoded in type I Bac41 systems were further divided into two subgroups which were similar to type IIa or type IIb BacI2 proteins, respectively (see Fig. S4B in the supplemental material). Type I Bac41<sub>pYI14</sub> contained a BacI2 that was similar to type IIb BacI2 rather than type IIa BacI2 [\(Table 7\)](#page-9-0). Although it no longer served to provide selfresistance, BacI2<sub>pYI14</sub> still functioned to provide immunity against type IIb Bac $A_{pMGT421}$  but not against type IIa Bac $A_{pY112}$ [\(Fig. 4\)](#page-6-1). Although the physiological role of BacI2 in type I Bac41 systems remains obscure, it may serve as a xenoresistance mechanism to provide cells with a competitive advantage against even unrelated type IIb Bac41 proteins.

<span id="page-9-0"></span>**Role of Bac41 in competitive advantage in a microbial ecosystem.** Since Bac41 excluded *E. faecalis* without a Bac41-encoding plasmid from the population, this system was interpreted as an example of selfish behavior by the plasmids themselves, so that the Bac41-coding plasmids can be efficiently propagated among populations of *E. faecalis* cells (see Fig. S1 in the supplemental material). This propagation force appears to be more effective under conditions where *E. faecalis* cells are allowed to actively proliferate, leading to fluctuation of strain populations, because Bac41 activity is only exerted against dividing cells and not static cells [\(26\)](#page-10-20). In fact, in the competition experiment, the predominance of Bac41-harboring cells was no longer observed after 24 h of incubation when bacterial cells were postconfluent (data not shown). This self-selection module appears to be one of the reasons why Bac41 was extensively distributed among *E. faecalis* isolates. In general, the possession of bacteriocin genes is considered to be a competitive tool for acquiring a niche. Here, we focused on Bac41-mediated plasmid maintenance, as shown in Fig. S1. The toxin-antitoxin (TA) system is a well-known example of a plasmid maintenance system that prevents a plasmid from being lost from host cells [\(47\)](#page-11-11). The role of Bac41 appeared to be similar to that of the TA system. On the other hand, Bac41 excludes not only daughter cells but also unassociated cells, because the Bac41 effectors  $BacL<sub>1</sub>$  and BacA are secreted proteins that diffuse into the environment [\(24\)](#page-10-18). Therefore, it is proposed that Bac41 is a more effective maintenance system at the population level than is the TA system. The recent studies focusing on incompatibility of a vancomycin-resistant *E. faecalis* strain, V583, with commensal *E. faecalis* demonstrated that temperate phage or mobile genetic elements (MGEs) play a role in competition in microbial ecosystems [\(48,](#page-11-12) [49\)](#page-11-13). Here, we suggested another example of an MGE-associated player, Bac41, that could influence the social behavior of *E. faecalis*. It has been described elsewhere that MGEs drive bacterial genomic evolution [\(50\)](#page-11-14). Our results presented here suggest



that an MGE component itself might also be evolved to have partial-variant-generating effector-resistance specificity.

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