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FULL PAPER

Determination of regional lymph node status using ^{18}F -FDG PET/CT parameters in oesophageal cancer patients: comparison of SUV, volumetric parameters and intratumoral heterogeneity

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Objective: We aimed to investigate whether the standardized uptake values, volumetric parameters and intratumoral heterogeneity of fluorine-18-fluorodeoxyglucose (^{18}F -FDG) uptake could predict regional lymph node (rLN) metastasis in oesophageal cancer.

Methods: 51 patients with surgically resected oesophageal cancer were included in the present study. The ^{18}F -FDG positron emission tomography (PET)/CT findings and rLN metastasis were compared with the histopathological results. The intratumoral metabolic heterogeneity was represented by the heterogeneity factor (HF), which was determined for each patient. Univariate and multivariate analyses were used to analyse the associations between the rLN metastasis and clinical findings, standardized uptake values, metabolic tumour volume (MTV), total lesion glycolysis (TLG) and HF.

Results: The rLN(+) group showed statistically significant higher values of MTV (median, 13.59 vs 6.6; $p = 0.0085$),

TLG (median, 119.18 vs 35.96; $p = 0.0072$) and HF (median, 3.07 vs 2.384; $p = 0.0002$) than the rLN(-) group. Univariate analysis showed that maximum standardized uptake value, mean standardized uptake value, MTV, TLG and HF were significantly associated with pathologic rLN involvement. However, in multivariate analysis, the HF was a potent associated factor for the prediction of pathologic rLN metastasis in oesophageal cancer.

Conclusion: In conclusion, ^{18}F -FDG PET/CT parameters such as maximum standardized uptake value, mean standardized uptake value, MTV, TLG and HF were useful for the prediction of pathologic rLN status in patients with oesophageal cancer. However, HF might be the most powerful predictor of rLN metastasis of patients with oesophageal cancer.

Advances in knowledge: Assessment of intratumoral heterogeneity of ^{18}F -FDG PET/CT may be a useful adjunct for rLN staging of oesophageal cancer.

INTRODUCTION

Oesophageal cancer is a high-grade malignancy with a poor prognosis. In the USA, approximately 16,980 new cases of oesophageal cancer are diagnosed each year; the estimated deaths were 15,590 in 2015.¹ The 5-year survival rate is <10% for patients suffering from oesophageal cancer, and showed poor prognosis.

Accurate stage and evaluation of the disease extent is fundamental to determine resectability and overall prognosis. Also, determination of regional lymph node (rLN) metastasis is necessary to determine operability of disease.² Locoregional recurrence after resection is attributed to lymph node (LN) involvement in approximately 40% of patients.³

Accurate assessment of rLN in oesophageal cancer is more complex but essential for selecting appropriate treatments and forecasting disease progression. Current imaging modalities for pre-operative characterization are CT, endoscopic ultrasonography and fluorine-18-fluorodeoxyglucose (^{18}F -FDG) positron emission tomography (PET)/CT.⁴ Although PET using 2-deoxy-2- ^{18}F -FDG has been reported to be a promising functional imaging technique for cancer detection, previous studies showed that ^{18}F -FDG PET/CT has a limited role in the identification of early rLN metastasis but is highly useful for detecting distant metastasis.⁵ Also, diagnosing rLN metastasis is often difficult from its size and maximum standardized uptake value (SUV_{max}).⁶

Recently, several new ^{18}F -FDG PET/CT parameters including metabolic tumour volume (MTV), total lesion

glycolysis (TLG) and intratumoural heterogeneity of FDG uptake represented by heterogeneity factor (HF) were developed to predict prognosis in various cancers.^{7–12} Although these newly described ¹⁸F-FDG PET/CT parameters seemed to be effective for predicting prognosis of various patients with cancer, no study has attempted to adapt and to compare these parameters for the evaluation of rLN status of patients with oesophageal cancer.

The aim of the present study was to investigate whether these various ¹⁸F-FDG PET/CT parameters could predict malignant rLN status and to compare the diagnostic accuracies in patients with oesophageal cancer.

METHODS AND MATERIALS

Patients

The present retrospective study included 51 patients with oesophageal cancer (49 males and 2 females; age range, 51–80 years; median, 69 years old) who underwent surgical treatment. All patients were checked for ¹⁸F-FDG PET/CT for initial staging work-up. For staging, physical examination, routine laboratory tests, oesophagogastroduodenoscopy, bronchoscopy and CT

from the neck to pelvis were performed in all patients. All patients underwent CT within 1 week of ¹⁸F-FDG PET/CT. The exclusion criteria were patients who: (1) received previous neoadjuvant chemoradiotherapy, (2) had cancer of oesophago-gastric junction, (3) had previous history of other cancer, (4) who refused operation and (5) had histology other than squamous cell carcinoma.

The ¹⁸F-FDG PET/CT findings of oesophageal cancer and rLN status were compared with the pathologic findings within 6 weeks after surgical treatment. The study protocol was approved by the institutional review board and written informed consent was waived for retrospective character of the present study.

Fluorine-18-fludeoxyglucose positron emission tomography/CT imaging

¹⁸F-FDG PET/CT images were obtained using a Biograph40 (Siemens Healthcare, Knoxville, TN). Standard patient preparation included a fasting period of at least 8 h and a serum glucose level $<6.7 \text{ mmol l}^{-1}$ (120 mg dl^{-1}) before ¹⁸F-FDG

Figure 1. Representative case of a 56-year-old male patient without regional lymph node metastasis. The metabolic tumour volumes (MTVs) are decreasing from 40% to 80% (a–e) threshold of maximum standardized uptake value (SUV_{max}). The MTVs were 11.08, 4.76, 2.43, 1.12 and 0.65 cm^3 from 40% to 80% thresholds of SUV_{max} . The calculated slope was -0.245 and heterogeneity factor was 0.245.

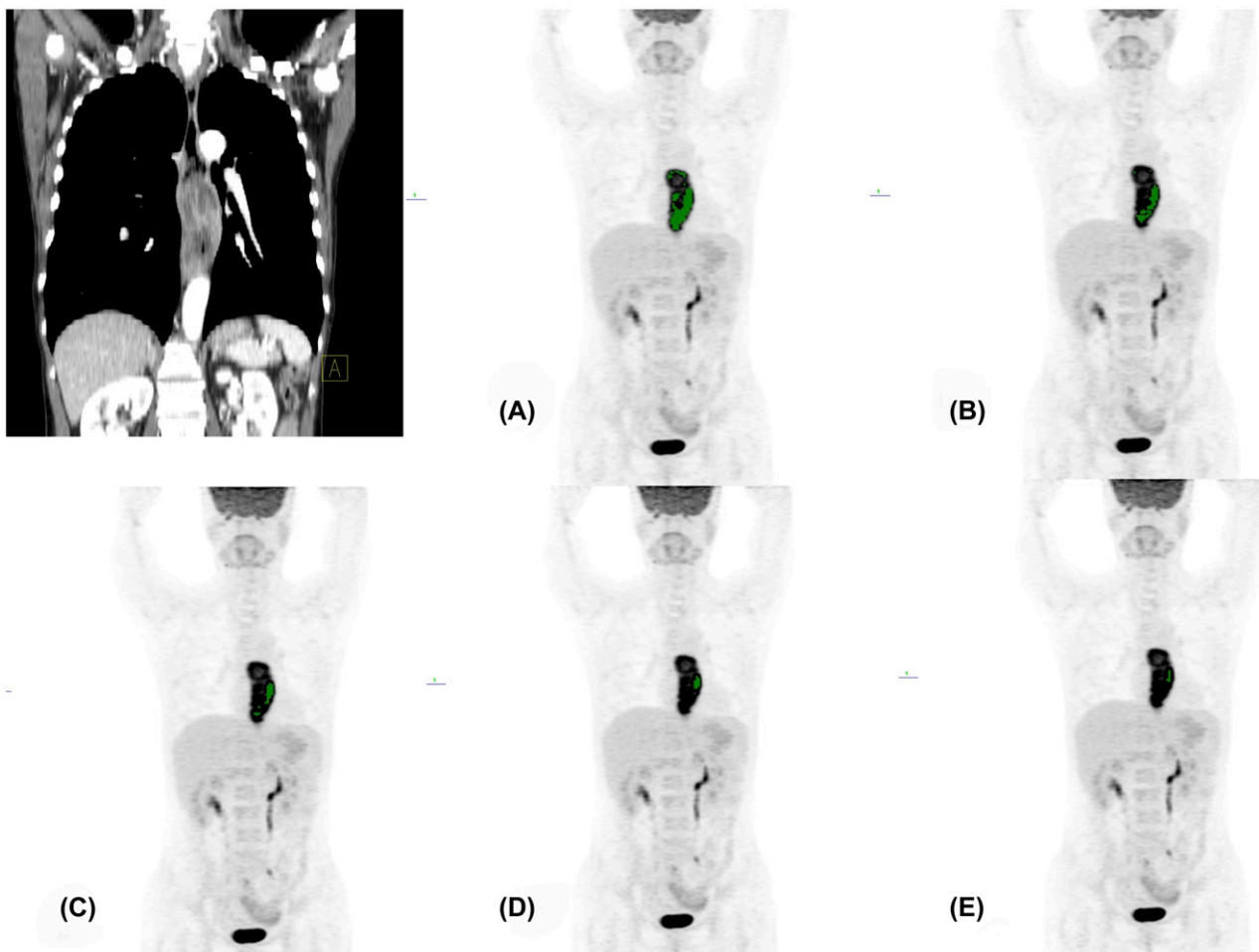
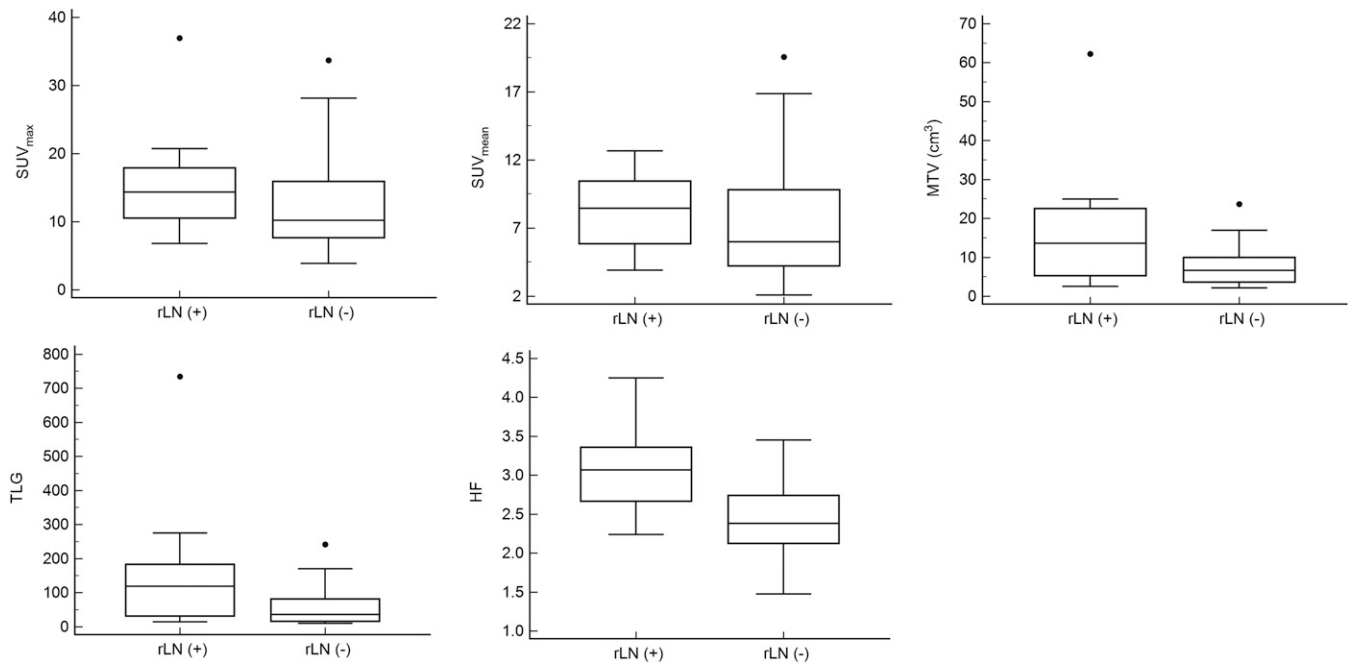


Table 1. Characteristics of patients

Variables	Lymph node status		p-value
	Positive number (%)	Negative number (%)	
Age (years)			0.6217
>69	9 (39.1)	14 (60.9)	
≤69	14 (50)	14 (50)	
Sex			1
Male	21 (45.7)	25 (54.3)	
Female	2 (40)	3 (60)	
T stage			0.3059
T1	4 (36.4)	7 (63.6)	
T2	2 (22.2)	7 (77.8)	
T3	15 (53.6)	13 (46.4)	
T4	2 (66.7)	1 (33.3)	
AJCC stage			<0.0001
I	0 (0)	7 (100)	
II	6 (23.1)	20 (76.9)	
III	17 (94.4)	1 (5.6)	
Location			0.7022
Upper	5 (50)	5 (50)	
Middle	10 (50)	10 (50)	
Lower	8 (38.1)	13 (61.9)	
Differentiation			0.2755
Well	12 (52.2)	11 (47.8)	
Moderate	8 (50)	8 (50)	
Poor	3 (25)	9 (75)	
SUV _{max}			0.0694
>12.2	15 (60)	10 (40)	
≤12.2	8 (30.8)	18 (69.2)	
SUV _{mean}			0.2103
>7.15	14 (56)	11 (44)	
≤7.15	9 (34.6)	17 (65.4)	
MTV (cm ³)			0.0174
>7.3	16 (64)	9 (36)	
≤7.3	7 (26.9)	19 (73.1)	
TLG			0.0174
>60.17	16 (64)	9 (36)	
≤60.17	7 (26.9)	19 (73.1)	
HF			0.0033
>2.705	17 (68)	8 (32)	
≤2.705	6 (23.1)	20 (76.9)	

AJCC, American Joint Committee on Cancer; HF, heterogeneity factor; MTV, metabolic tumour volume; SUV_{max}, maximum standardized uptake value; SUV_{mean}, mean standardized uptake value; TLG, total lesion glycolysis.

Figure 2. The differences of fluorine-18-fludeoxyglucose positron emission tomography/CT parameters according to pathologic regional lymph node (rLN) status. Dots indicate outsider values. HF, heterogeneity factor; MTV, metabolic tumour volume; SUV_{max} , maximum standardized uptake value; SUV_{mean} , mean standardized uptake value; TLG, total lesion glycolysis.



injection. PET/CT imaging was performed 60 min after injection of ^{18}F -FDG (5 MBq kg^{-1} of body weight). The emission scan time per bed position was 2 min 30 s, and six bed positions were acquired. The average total PET/CT examination was 20 min.

Fluorine-18-fludeoxyglucose positron emission tomography/CT image analysis

The ^{18}F -FDG PET/CT images were reviewed by two experienced nuclear medicine physicians, and any disagreement was resolved by consensus. To calculate SUV_{max} , manually defined circular region of interest were drawn on tumour. The MTV was determined as the total number of voxels with the threshold standardized uptake value (SUV) of $\geq 40\%$ of the SUV_{max} in the volume of interest. The TLG was calculated as the MTV multiplied by its mean standardized uptake value (SUV_{mean}).

Measurement of heterogeneity factor

A region of interest was placed to include the primary tumour and a surrounding region of normal tissue to determine the

HF.¹³ The MTVs were calculated with several SUV thresholds. The SUV thresholds of $<40\%$ and $>80\%$ were not included in the HF calculation.^{8,14} Linear regression was performed, and the HF was calculated by finding the derivative ($dV dT^{-1}$). Because the HF values pose negative values as shown in Figure 1, the calculated HF values were modified into absolute values.

Statistical analysis

Statistical analyses were performed using commercially available software v. 14.2 (MedCalc, Mariakerke, Belgium). Receiver-operating characteristic (ROC) curves of ^{18}F -FDG PET/CT parameters were calculated and evaluated by comparing the areas under the curves. The sensitivity and specificity of each parameter were determined at the optimal cut-off values. The χ^2 test, Fisher's exact test and Mann-Whitney U test were used to analyse statistical differences in categorical data, ^{18}F -FDG PET/CT parameters between rLN as appropriate. Univariate analysis was used to analyse the associations between the pathologic rLN status and clinical characteristics and tumoral features, and

Table 2. Receiver-operating characteristic curve analyses for determination of pathologic regional lymph node status

Variables	Sensitivity (%)	Specificity (%)	PLR	NLR	AUC	SE	<i>p</i> -value
$SUV_{max} > 10.28$	82.6	53.5	1.78	0.32	0.655	0.0787	0.0484
$SUV_{mean} > 4.68$	91.3	42.8	1.6	0.2	0.629	0.0799	0.1068
$MTV > 11.14\text{ cm}^3$	56.5	85.7	3.96	0.51	0.716	0.0747	0.0039
$TLG > 94.46$	60.8	82.1	3.41	0.48	0.720	0.0724	0.0023
$HF > 2.762$	73.9	82.1	4.14	0.32	0.811	0.0604	<0.0001

AUC, area under curve; HF, heterogeneity factor; MTV, metabolic tumour volume; NLR, negative likelihood ratio; PLR, positive likelihood ratio; SE, standard error; SUV_{max} , maximum standardized uptake value; SUV_{mean} , mean standardized uptake value; TLG, total lesion glycolysis.

Table 3. Pairwise comparison of ^{18}F -FDG positron emission tomography/CT parameters for prediction of pathologic regional lymph node status

Variables	SUV _{max}	SUV _{mean}	MTV	TLG
SUV _{mean}				
DBA	0.0264			
SE	0.0161			
95% CI	-0.00516 to 0.058			
<i>p</i> -value	0.1011			
MTV				
DBA	0.0606	0.087		
SE	0.0889	0.0865		
95% CI	-0.114 to 0.235	-0.0825 to 0.256		
<i>p</i> -value	0.4955	0.3146		
TLG				
DBA	0.0652	0.0916	0.00466	
SE	0.0629	0.0596	0.0326	
95% CI	-0.0581 to 0.189	-0.0252 to 0.208	-0.0592 to 0.0685	
<i>p</i> -value	0.2999	0.1241	0.8863	
HF				
DBA	0.155	0.182	0.0947	0.0901
SE	0.0945	0.0977	0.103	0.102
95% CI	-0.0299 to 0.34	-0.00983 to 0.373	-0.108 to 0.297	-0.109 to 0.289
<i>p</i> -value	0.1003	0.063	0.3588	0.3754

CI, confidence interval; DBA, difference between areas; HF, heterogeneity factor; MTV, metabolic tumour volume; SE, standard error; SUV_{max}, maximum standardized uptake value; SUV_{mean}, mean standardized uptake value; TLG, total lesion glycolysis.

^{18}F -FDG PET/CT parameters. Multivariate analysis was performed with logistic multivariate analysis to assess the joint effects and interactions of the variables on rLN involvement. Variables with $p < 0.1$ in univariate analysis were included in a multivariate analysis. Statistical significance was defined as $p < 0.05$.

RESULTS

Patient characteristics

Table 1 shows the characteristics of the present cohort. rLN metastases were present in 23 of 51 (45.1%) patients. The rLN metastasis was associated with American Joint Committee on Cancer stage, MTV, TLG and HF. Figure 1 shows CT and ^{18}F -FDG PET images for the calculation of HF (volume-threshold graph).

Comparison of ^{18}F -FDG positron emission tomography/CT parameters

Figure 2 demonstrates the differences of ^{18}F -FDG PET/CT parameters according to pathologic rLN status. In the rLN(+) group, the MTV (median, 13.59 vs 6.6; $p = 0.0085$), TLG (median, 119.18 vs 35.96; $p = 0.0072$) and HF (median, 3.07 vs 2.384; $p = 0.0002$) were significantly higher than the rLN(-) group. However, the SUV_{max} (median, 14.36 vs 10.19; $p = 0.0584$) and SUV_{mean} (median, 8.46 vs 6; $p = 0.1161$) showed no statistical differences.

Prediction of regional lymph node metastasis

Table 2 summarizes the results of ROC analyses. The SUV_{max} [area under curve (AUC), 0.655; 95% confidence interval (CI), 0.509–0.783; $p = 0.0484$], MTV (AUC, 0.716; 95% CI, 0.572–0.833; $p = 0.0039$), TLG (AUC, 0.720; 95% CI, 0.577–0.837; $p = 0.0023$) and HF (AUC, 0.811; 95% CI, 0.676–0.907; $p < 0.0001$) were associated with rLN metastasis.

Comparison of receiver-operating characteristic curves

Table 3 shows pairwise comparison of ROC analyses of ^{18}F -FDG PET/CT parameters for prediction of pathologic rLN involvement. The statistical differences were not found between ^{18}F -FDG PET/CT parameters for prediction of pathologic rLN status.

Univariate and multivariate analyses

In univariate analysis, SUV_{max}, SUV_{mean}, MTV, TLG and HF were significantly associated with pathologic rLN involvement (Table 4). However, in multivariate analysis, the HF was associated with pathologic rLN involvement in oesophageal cancer.

DISCUSSION

The present study demonstrates that ^{18}F -FDG PET/CT parameters such as SUV_{max}, SUV_{mean}, MTV, TLG and HF were

Table 4. Univariate and multivariate analysis of factors associated with pathologic regional lymph node status

Variables	Univariate analysis		Multivariate analysis	
	OR (95% CI)	<i>p</i> -value	OR (95% CI)	<i>p</i> -value
Age (years)				
>69	0.73 (0.23–2.33)	0.6038		
Sex				
Male	1.26 (0.19–8.26)	0.8097		
T stage				
>I	1.58 (0.39–6.27)	0.5082		
Location				
Upper	1.27 (0.32–5.1)	0.7286		
Differentiation				
Poor	1.68 (0.55–5.14)	0.3588		
SUV _{max}				
>12.2	5.48 (1.47–20.29)	0.0109	0.59 (0.02–16.13)	0.7552
SUV _{mean}				
>7.15	7.87 (1.53–40.28)	0.0132	4.59 (0.12–162.8)	0.4018
MTV				
>7.3 cm ³	7.8 (2.03–29.8)	0.0027	2.56 (0.20–32.5)	0.4666
TLG				
>60.17	7.15 (1.99–25.71)	0.0026	8.9 (0.32–241.1)	0.1941
HF				
>2.705	10.3 (2.84–37.98)	0.0004	29.8 (3.04–293.4)	0.0036

CI, confidence interval; HF, heterogeneity factor; MTV, metabolic tumour volume; OR, odd ratio; SUV_{max}, maximum standardized uptake value; SUV_{mean}, mean standardized uptake value; TLG, total lesion lycolysis.

independent risk factors associated with rLN metastasis in patients with oesophageal cancer. Also, our study further suggests that intratumoural heterogeneity of ¹⁸F-FDG uptake might be the most potent predictor of rLN involvement of patients with oesophageal cancer.

Exact pre-operative staging is important for determining the most appropriate therapeutic procedure for curative surgery of oesophageal cancer. Previous studies showed that the 5-year survival rate of patients with oesophageal cancer with metastatic LNs was <15%, compared with that of >40% in patients without LN metastasis.^{15,16}

¹⁸F-FDG PET and PET/CT characterize cellular characteristic on the basis of altered tissue glucose metabolism.¹⁷ Several previous studies demonstrated that ¹⁸F-FDG PET and PET/CT are useful for staging of oesophageal cancer, especially with regard to the tumour depth invasion definition and rLN status.^{18–21} However, ¹⁸F-FDG PET/CT has a limited role in the identification of early rLN metastasis but is highly useful for detecting remote organ metastasis.⁵ Furthermore, determination of rLN metastasis is often difficult from its size and SUV_{max}.⁶ To overcome this limited diagnostic value of SUV_{max} for the detection of rLN involvement, metabolic parameters of ¹⁸F-FDG PET/CT were

described. Previously, we reported that MTVs were potent factors associated with pathologic rLN involvement, and MTV is a more accurate predictor than SUV_{max} with regard to rLN staging in oesophageal cancer.²²

Intratumoural heterogeneity is a well-documented character of malignant tumours and is associated with many tumour phenotypes such as cellular morphology, gene expression, metabolism and metastatic potential.²³ Malignant cancer cells are composed of heterogenous components, not only biologic constituents but also gene expression, metabolic and behavioural characteristics.^{24–26} Heterogeneity varies in the same cancer and has a wide spectrum even in the same stage because there are differences in properties such as the growth rate, vascularity and necrosis within the same tumour cell population.²⁷ Recently, there has been increasing interest in the assessment of intratumoural heterogeneity of ¹⁸F-FDG uptake demonstrating an association of prognosis of patients.^{11,12,28,29}

Some previous studies have investigated the prognostic value of HF in patients with oral cavity cancer and breast cancer.^{11,12} In patients with breast cancer, intratumoural metabolic heterogeneity significantly affected the overall survival in patients with invasive ductal carcinoma. Therefore, they concluded the HF

may act as a robust surrogate marker for the prediction of overall survival in patients with invasive ductal carcinoma.¹¹ Kwon et al¹² concluded that the intratumoral heterogeneity of ¹⁸F-FDG uptake may be a significant prognostic factor for overall survival in addition to cervical lymph node metastasis in oral cavity cancer. The present study adapted the HF for the prediction of rLN status in patients with oesophageal cancer and showed the most independent predictor for rLN involvement.

The present study has some limitations. First, it was a retrospective, single-centre study. Second, the intratumoral metabolic heterogeneity on ¹⁸F-FDG PET scans can be represented by various methods; for example, textural features, elliptic solid mathematical model with homogenous density and cumulative SUV volume histograms.^{28,30,31} Although the present study did

not use the textural features, the textural features were used relatively widely. Also, a feasible and highly reproducible method for obtaining a heterogeneity parameter representing intratumoral metabolic heterogeneity is warranted. Finally, most patients of the present study are male. It could affect the results of the present study of the male predominance.

CONCLUSION

In conclusion, ¹⁸F-FDG PET/CT parameters such as SUV_{max}, SUV_{mean}, MTV, TLG and HF were useful for the prediction of pathologic rLN status in patients with oesophageal cancer. However, HF of ¹⁸F-FDG uptake might be the most powerful predictor of rLN involvement of patients with oesophageal cancer. Further studies are needed to confirm these results and improve statistical accuracy.

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