

REVIEW

The tumor microenvironment: An irreplaceable element of tumor budding and epithelial-mesenchymal transition-mediated cancer metastasis

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ABSTRACT

Tumor budding occurs at the invasive front of cancer; the tumor cells involved have metastatic and stemness features, indicating a poor prognosis. Tumor budding is partly responsible for cancer metastasis, and its initiation is based on the epithelial-mesenchymal transition (EMT) process. The EMT process involves the conversion of epithelial cells into migratory and invasive cells, and is a profound event in tumorigenesis. The EMT, associated with the formation of cancer stem cells (CSCs) and resistance to therapy, results from a combination of gene mutation, epigenetic regulation, and microenvironmental control. Tumor budding can be taken to represent the EMT *in vivo*. The EMT process is under the influence of the tumor microenvironment as well as tumor cells themselves. Here, we demonstrate that the tumor microenvironment dominates EMT development and impacts cancer metastasis, as well as promotes CSC formation and mediates drug resistance. In this review, we mainly discuss components of the microenvironment, such as the extracellular matrix (ECM), inflammatory cytokines, metabolic products, and hypoxia, that are involved in and impact on the acquisition of tumor-cell motility and dissemination, the EMT, metastatic tumor-cell formation, tumor budding and CSCs, and cancer metastasis, including subsequent chemo-resistance. From our point of view, the tumor microenvironment now constitutes a promising target for cancer therapy.

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The tumor budding concept and its significance

Tumor budding refers to thin, anaplastic cell cords, undifferentiated cancer cells, individual free cells, and is recognized as neoplastic epithelium or aggregates of cancer cells (up to 5 cells) at the invasive front (Fig. 1).¹ Tumor budding has recently received much attention, particularly in the setting of colorectal carcinomas.^{1,2} Tumor budding is identified as a representation of the EMT and the cells display migratory and invasive properties.^{2,3} From published data, even if the initiation of tumor budding did not equate with the EMT, there are many parallel events between them. For example, tumor budding cells usually have membranous E-cadherin down-regulation and nuclear β -catenin expression, which symbolizes a mesenchymal-like characteristic just as in the EMT process.^{1,4} Our own data has shown that epithelial biomarkers such as E-cadherin (CDH1) and occludin (OCLN) are down-regulated, and mesenchymal markers such as N-cadherin (CDH2) and fibronectin (FN1), are up-regulated in tumor budding, samples

accurately microdissected from patient-derived colorectal cancer specimens, compared to primary tumor cells. Moreover, TGF β , WNT5A/TCF4, NOTCH3, SNAIL, and TWIST1, which are classic EMT regulators, are also known to be upregulated in tumor budding. Loss or reduction of E-cadherin occurs in most of budding tumor cells. In fact, most colorectal carcinoma with a high degree of budding tumor cells that are detached and migrate a short distance into the adjacent stroma are well or moderately differentiated (Fig. 1). The EMT is needed for the formation of tumor budding.¹ When genetic and epigenetic changes occur, cancer cells lose their cell-cell and cell-matrix contacts, remodel the cytoskeleton, and detach from neoplastic glands, then extend lamellipodia or filopodia into the adjacent interstitial component. Membrane-type metalloproteinase and the urokinase plasminogen activator system are needed in the process of pericellular proteolysis.

Tumor budding itself might take part in ECM degradation. This is supported by the overexpression of matrix

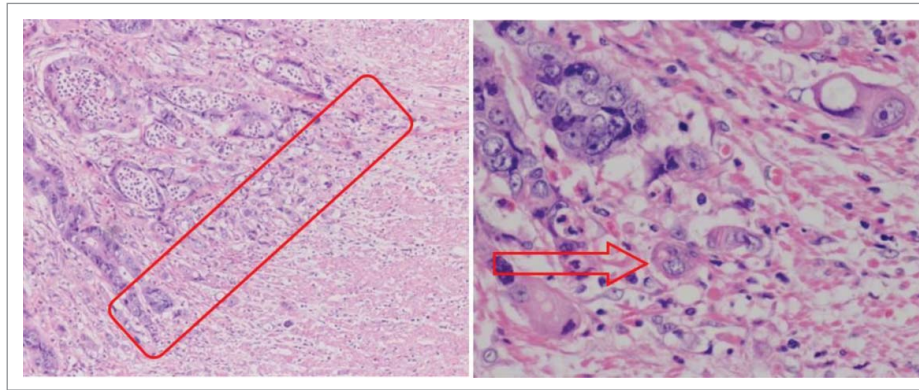


Figure 1. Microscopic finding of colorectal cancer (hematoxylin and eosin staining). Tumor buddings are defined as individual cancer cells or small clusters (up to 5 cancer cells) at the invasive front of cancer.

metalloproteinases MMP-2 and MMP-9 and urokinase plasminogen-activator receptor in high-grade tumor budding specimens, based on immunohistochemical experiments.³ It is important to note that this results in the formation of new extracellular matrix by different components while the old cell-matrix composition is hydrolyzed. It is clear that the tumor microenvironment itself induces the EMT and the initiation of tumor budding. Tumor budding was first described by Imai.⁵ Evidences showed that the numbers of tumor buddings at the invasive front have significant correlations with lymphatic invasion and lymph-node metastasis.⁶⁻¹⁰ Others showed that tumor budding in colorectal cancers indicates a poor prognosis and local recurrence.⁷ Tumor budding is a prognostic biomarker in cancer patients, independent of pathological stage. It has been shown that Dukes' B with tumor budding has a survival rate similar to Dukes' C with no budding in colorectal cancer cases, and it turns out that cases with high-grade tumor budding lead to a worse outcome.⁷ In sum, tumor budding at the invasive front is strongly associated with lymph-node metastasis, local and distant relapse, and a poor prognosis in advanced colorectal cancer.¹¹ Moreover, tumor budding-associated signal pathways, such as the Wnt pathway, are simultaneously associated with the development of CSCs and the EMT.¹²

Outline of the epithelial-to-mesenchymal transition

The EMT process is an ubiquitous phenomenon during differentiation and development processes, and is fully functional in embryonic development, wound healing, and neoplastic progress.^{13,14} For decades, studies have shed light on its critical function in metastasis, CSC formation, and resistance to therapy.^{15,16} Epithelial cells gradually lose their polarity

and transform into mesenchymal phenotypes, accompanied by actin cytoskeleton reprogramming and enhanced motility. At the molecular level, E-cadherin, which is a classical epithelial biomarker, is dramatically downregulated, while some of the mesenchymal biomarkers (N-cadherin, vimentin, and fibronectin) are up-regulated in the EMT process.¹⁷ The basic mechanisms of the EMT process involve genetic and epigenetic changes.¹⁸ Beyond that, the tumor microenvironment also has significant effect on the EMT program. The tumor microenvironment is composed of cellular and non-cellular components. The former includes lymphocytes, macrophages, cancer-associated fibroblasts (CAFs), and vascular endothelial cells, while the latter includes ECM, pH, oxygen pressure, and various metabolic products. Changes in these influential factors have an impact on the development of the EMT (Fig. 2).^{19,20} Researches in recent years have shed light on the classic TGF- β , Wnt, Rho, NF- κ B, Notch, and STAT signaling pathways and the growth factor pathways, which all play regulatory roles in the EMT process independently or synergistically.²¹⁻²³ Other transcriptional factors, like Snail, Twist, Smad, Ras, the Zeb family, and GSK-3 β , are responsible for the activation of EMT-associated pathways.²⁴ Moreover, inflammatory cytokines, such as tumor necrosis factor α (TNF α) and IL6 can also induce the EMT *in vitro*. Hypoxia-inducible factor (HIF-1 α), which is secreted in an oxygen-deficient environment, can advance the development of the transition program. The EMT is always accompanied by loss of cell polarity. EMT inducers such as Zeb1 and Snail indirectly inhibit the transcription of cell polarity genes like crumbs, and repression of crumbs activates the TGF- β signaling pathway, forming a positive loop to promote the EMT process. The development of the EMT is an integrated network

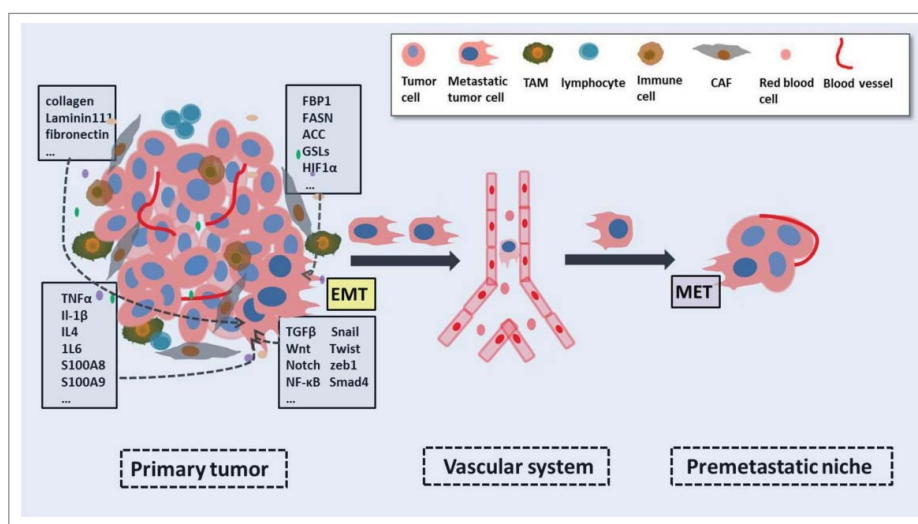


Figure 2. The impact of the tumor microenvironment on the EMT and cancer metastasis. The surrounding environment of tumor cells embraces multiple different type of cells and biomolecules, including CAFs, TAMs, endothelial cells, inflammatory cells, metabolic products. Biomolecules from the tumor microenvironment, such as growth factors, cytokines and glycolipids, influence the arrangement of cytoskeleton and cancer cell behaviors *via* a variety of signaling pathways or paracrine manners. Regulated cancer cells turn into metastatic ones due to the EMT process. And then metastatic tumor cells swim into the neighboring area, enter into the vascular system and finally settle down in their favorite organ.

involving transcriptional and post-transcriptional regulation and cytokines from the surrounding environment. Therefore, targeting EMT biomarkers could be an effective therapeutic scheme for

The ins and outs of the microenvironment and metastasis

Cancer metastasis is a multimodal and multistep process, and the pathogenesis originates from the internal and external environment. Cancer metastasis resulting in high recurrence and low survival rates urgently needs to be addressed. Traditionally, the metastatic process can be divided into 3 steps. First, cancer cells gain motility and invasive power, likely manipulated by the EMT and the surrounding environment, with losses of cell-cell adhesion and polarity. Second, disseminating neoplastic cells, such as in tumor budding, must penetrate vascular endothelial cells twice, namely intravasation and extravasation, or enter the lymphatic system. Third, some of the circulating tumor cells find a metastatic site in a distant organ for new nodule formation. The tumor microenvironment which includes different effectors of inflammatory system, ECM, hypoxia factors, and metabolic products, is closely involved with all steps of metastasis process.²⁵ While EMT is a likely mechanism for the formation of invasive and metastatic cells. Tumor budding and other disseminating cancer cells may also be responsible for the metastasis.^{26,27} Budding tumor cells and other disseminating cancer cells are like seeds raised and

navigated in their soil microenvironment; this is the “seed and soil” theory, first proposed by Paget.²⁸ The tumor microenvironment at the invasive front of cancer promotes the formation of tumor budding and other disseminating cancer cells.²⁷ While cancer cells with metastatic potential, such as tumor budding, may have specific interactions with their host microenvironment to promote migration, and stimulate proliferation and survival.²⁹ Genetic changes mark the over-proliferation of tumor cells, and the EMT pairs this characteristic with invasive properties leading to the initiation of metastasis, while the dominant regulation of the tumor microenvironment is emphasized in later stages.

Inflammatory response versus EMT and cancer metastasis

The occurrence of the EMT is propelled by the tumor microenvironment which is composed of stromal cells, infiltrating immune cells, and chemical factors.³⁰ In the context of malignance, the inflammatory microenvironment is postulated to participate in angiogenesis, the formation of a hypoxic environment, cancer cell stemness, and changes in microRNA.³¹ This microenvironment may act in 2 ways. On one hand, genetic changes in cancer cells may result in the over-production of inflammatory mediators. On the other hand, the formation of an inflammatory stroma could be a host-reactive defense against this vicious proliferation, despite the fact that there is no clear evidence to support the existence

of a common molecular pathway between those 2 mechanisms.

Inflammatory cytokines such as TNF α and interleukin-1 β (IL-1 β), which are usually highly secreted by tumor cells, exist in the tumor microenvironment (Fig. 2).^{32,33} TNF α is ubiquitously overexpressed in all sorts of cell types, mostly in immune cells that occur in chronic inflammation. TNF α is well known to lead to the EMT by activating the NF- κ B pathway.^{34,35} Aberrant activation of NF- κ B is related to cancer cell proliferation, angiogenesis, invasion, and metastasis.³⁶ The inflammatory cytokines S100A8 and S100A9 are reported to mediate metastasis *via* a TLR4-mediated NF- κ B signaling cascade.³⁷ Recent findings have provided evidence that TNF α and IL-1 β co-induce tumor cell spreading, the EMT, and invasiveness in breast tumor cells.³² Another study on TNF α and IL-1 β finds that cancer cells and macrophages influence each other's behavior.³⁸ As a result, TNF α and IL-1 β secreted by macrophages infiltrating the tumor microenvironment promote the expression of TGF- β , which is a classical EMT-inducible factor. TGF- β plays a dual role in tumor development, while TGF- β represses cell proliferation and induces apoptosis in stark contrast to its tumor invasion and metastasis function. This is based on the different context in which TGF- β acts. When a tumor has just started, TGF- β takes on an anti-cancer role in the normal microenvironment with ECM and other antagonistic mediators, while during tumor environment TGF- β is a prominent mediator of tumorigenesis.³⁹⁻⁴² TGF- β and TNF α induce the expression of HGF in the tumor stroma. The HGF/Met signaling promotes tumorigenesis and the EMT, and meanwhile, activation of this signaling could activate the MAPK, PI3K/Akt, and NF- κ B pathways which are also involved in the EMT and metastasis.^{43,44}

Another inflammatory cytokine with a typical pro-EMT effect is IL-6. Extensive evidence supports the idea that IL-6 promotes the EMT by activating the TGF- β signaling pathway.⁴⁵ Moreover, IL-6 induces E-cadherin downregulation and vimentin up-regulation through the JAK/STAT3/Snail pathway in head and neck cancer.⁴⁶ At the location of metastasis, molecules secreted by primary tumor cells contribute to form a metastatic-permissive environment for invasive tumor cells, termed the pre-metastatic niche to stimulate the arrest, adhesion, and the invasion of metastatic tumor cells.

Several molecules and various cell types are involved in metastatic niche formation.⁴⁷⁻⁴⁹ VEGF receptor 1 is reported to be located in the pre-metastasis niche before tumor cells settle down, and is the main molecule of the pre-metastatic niche. Besides,

inflammatory factors, such as TGF- β and TNF α , and MMPs together with stromal-derived factor 1 and CXC-chemokine receptor facilitate metastatic niche formation. Bone marrow-derived myeloid cells, CAFs, and endothelial cells are also important parts of metastatic microenvironment. Colony-stimulating factor (CSF-1) and VEGF accelerate the transformation of M1 type macrophages into immunosuppressive M2, as in tumor-associated macrophages (TAMs).^{50,51}

TAMs are associated with a poor prognosis in 80% of clinical studies, especially in prostate, ovarian, breast and cervical cancers.⁵² They are reported to participate in many steps of tumor metastasis and are known to promote metastasis through the cooperation of signaling pathways.⁵³ They are the main orchestrator of the tumor microenvironment, and directly affect tumor cell growth, angiogenesis, ECM remodeling, and the EMT progress. TAMs at the invasive front have been confirmed to form a feedback loop together with neoplastic cells undergoing the EMT, through the overexpression of CCL18 from TAMs and GM-CSF from EMT-like cells.⁵⁴ The interaction between these 2 cell types ends with distant metastasis. Silencing of GM-CSF and CCL18 inhibits this positive loop and blocks metastasis. Liu *et al.* used IL4 to induce M2 polarized TAMs and found that they decrease E-cadherin expression, upregulate mesenchymal biomarkers at the transcription and translation levels, and increase the fibroblastic phenotype in pancreatic cancer models. And TAMs promote the EMT partially *via* the TLR4/IL10 signaling pathway.⁵⁵ Recently, Singh and coworkers have shed light on pro-inflammatory cytokines, such as TNF α and IL-6 that are secreted by TAMs; these promote the intracellular accumulation of TGF β , and then upregulate ROS and Reactive nitrogen species (RNS) leading to oxidative stress in breast cancer cells. This signaling axis would activate CREB, the EMT process, and metastasis.³⁸ Extensive evidence has shown that a series of activatory inflammatory cytokines, growth factors, and chemokines originating from chronic inflammation are capable of advancing carcinogenesis and EMT progress; non-steroidal anti-inflammatory drugs have achieved a good curative effect on cancer.

Extracellular matrix components versus EMT and metastasis

Increasing numbers of studies suggest that the ECM and its receptors are indispensable for the successive development of cancer, from benign lesion to malignant tumor and metastasis.⁵⁶⁻⁵⁸ The ECM is a complicated network that includes several macromolecules, such as laminins, collagens, tenascin, nidogen/entactin, thrombospondin,

hyaluronan, chondroitin sulfate, and fibronectins. The ECM is responsible for the architectural support to tumor cells and provides the interactions between tumor cells and the stromal components.^{59,60} Epithelial-mesenchymal interactions play crucial roles in tumor issue, as disorder of these interactions induces carcinogenesis and tumor cell invasion.^{61,62} Stromal collagen proliferation in mouse mammary tissues, significantly enhance to the formation of tumors, the invasive phenotype of tumor cells, and distant lung metastasis by approximately three-fold.⁶² Moreover, tumor cell growth and expansion leads to a responsive proliferation of collagen and other ECM components, inevitably accompanied by matrix reorganization, which involves increased tumor cell motility and the delivery of a series of EMT-related cell signals, such as TGF- β , Wnt, and Rho.

Another study performed by Yasushi Shintani showed that NMuMG breast cancer cells cultured on collagen I become fibroblastic, and E-cadherin expression on the cell-cell border is dramatically down-regulated, with the upregulation of mesenchymal markers, such as N-cadherin and fibronectin. And this typical EMT process on NMuMG breast cancer cells induced by collagen I is mediated by Rac1 and c-Jun NH2-terminal kinase (JNK) signaling pathway.⁶³ Moreover, the morphological phenotype conversion is restored by Rac1 inhibition or JNK signaling inhibition, indicating that the P13K-Rac-JNK signaling pathway might be the critical signaling channel to mediate the collagen-induced EMT process in breast cancer cells.⁶³ The basement membrane protein laminin 111, which is a member of laminin family and presents the best characterized laminin isoform, is another ECM component that has an effect on the EMT and metastasis.^{64,65} It has been noted that laminin 111 blocks the MMP3-mediated EMT by preventing the expression and membrane location of the MMP3 downstream signaling molecule Rac-1b, a highly activated splice variant of small GTPase Rac1. And this EMT-inhibitory effect is achieved *via* laminin 111 interacting with its special integrin receptor, α 6-integrin.⁶⁶ Conversely, fibronectin restores Rac-1b in the membrane *via* its integrin receptor α 5-integrin and enhances epithelium-to-mesenchyme conversion. Based on the study by Chen, tumor cells cultured in fibronectin-rich not laminin-rich ECM develop a mesenchymal phenotype; while those in the former (fibronectin-rich medium) overexpress classical EMT markers, those in the latter (laminin-rich medium) maintain epithelial characteristics.⁶⁶ Other ECM molecules, such as hyaluronan, and tenascin, also have been reported to promote EMT process and metastasis.⁶⁷⁻⁶⁹ Taking all

these findings together, we are confident in recognizing that the ECM microenvironment as a critical regulator of the EMT and metastasis.

Metabolic products versus EMT and metastasis

Over the past decades, functional studies have implicated carbohydrate metabolism in malignant transformation.⁷⁰ The development of a tumor consumes considerable amounts of oxygen and ATP, and cancer cells use aerobic glycolysis.⁷¹ In general, cancer cells carry out glycolysis in the presence or absence of oxygen in order to satisfy the elevated need for the energy, macromolecular precursors, and NADPH needed for proliferation.

Glucose homeostasis *in vivo* depends on catabolic glycolysis/oxidative phosphorylation and gluconeogenesis. Fructose-1, 6-bisphosphate (FBP1) is a critical enzyme in catabolic oxidative phosphorylation. Silencing of the FBP1 promoter by DNA methylation has been reported in liver, gastric, and colon cancers.^{72,73} Dong showed that the FBP1 promoter embraces 9 reduplicative E-box (CAGGTG) binding sites for Snail. Coincidentally, silencing of both the FBP1 promoter and E-cadherin promoter is mediated by the Snail-G9a-Dnmt1 complex, suggesting that FBP1 might be required for Snail-mediated EMT (Fig. 2). Dong and colleagues found that FBP1, which directly inhibits glucose uptake, directly binds Snail and restores Snail-mediated E-cadherin down-regulation, finally inhibiting the basal-like phenotype transition in luminal breast tumor cells. They also found that FBP1 expression significantly restrained the percentage of CD44⁺/CD24⁻/EpCAM⁺ population in BLBC cell lines, suggesting that FBP1 is probably associated with induced pluripotent stem cell reprogramming.⁷⁴ Collectively, these results suggest that epigenetic silencing of FBP1 is of great importance in basal-like breast cancer cell EMT and metastasis. Given these results, they speculated that targeting this Snail-G9a-Dnmt1-FBP1 chromatin modification complex would be an efficient therapy for metastatic breast cancer.^{74,75}

In addition to glucose metabolism, cancer cell proliferation needs lipogenesis and membrane production. Several lipogenic enzymes are required for tumor cell proliferation, such as fatty acid synthase (FASN) and acetyl-CoA carboxylase. FASN is associated with EMT and cancer metastasis.⁷⁶ Jiang *et al.* found that stable knockdown of FASN is enough to induce the EMT, advance cancer cell extravasation *in vitro*, and promote lung metastasis *in vivo*. Meanwhile, they found that sterol regulatory element binding protein, carbohydrate-responsive-element binding protein, and transcriptional factors of lipogenesis, undergo a sharp decline in the TGF β -induced EMT process. Glycosphingolipids

(GSLs), located at the cell membrane and functionally united with cell growth factors and signal transducers, have been reported to participate in several cellular activities, including cytoplasmic signal transduction, cell adhesion, and motility. Guan and colleagues showed that complete *in vitro* GSL knockdown by EtDO-P4, which is a GSLs synthase inhibitor, causes a phenotype reversion from epithelium to mesenchyme, accompanied by downregulation of epithelial markers and upregulation of mesenchymal markers.⁷⁷ Another report from the same laboratory showed that GSLs, particularly ganglioside, are of great importance in the regulation of HGF-induced cell motility and invasiveness through Met tyrosine kinase.⁷⁸ Collectively, current studies indicate that metabolism is not simply a consequence but rather plays a crucial role in dictating the phenotype conversions and cell dissemination exhibited by cancer cells.

Hypoxia-mediated EMT and cancer metastasis

Another factor impacting the EMT and tumor metastasis is hypoxia.⁷⁹ There is a balance between tissue oxygen consumption *in vivo*. When cancer cells enter into an infinite proliferation cycle, the oxygen consumption sharply increases, causing a relatively hypoxic environment around neoplastic cells.⁸⁰ Increasing evidence shows that hypoxia participates in every step of cancer progression.^{81,82} Hypoxia increases angiogenesis, cancer cell survival, and metastasis in various cancer types, including breast, colorectum, head, and neck.^{83,84}

Using a computerized polarographic electrode system to test 52 patients with cervical cancer, Hockel *et al.* found that hypoxic tumors have a worse survival rate than non-hypoxic tumors.⁸⁵ Actually, hypoxia plays a dual role in regulating human cancer progression.^{86,87} Hypoxia predominantly induces the overexpression of HIF-1 (Fig. 2).⁸⁸ Microarray profiling has identified the target genes of HIF-1 that execute different functions in cancer progression, including proliferation and survival (IGF2, TNF α , IGFBP1/2/3), apoptosis (NIX, NIP3), motility (c-MET, AMF/GPI, TNF α), cytoskeletal structure (KIR14/18/19, VIM), angiogenesis (EG-VEGF, TGF- β 3, VEGF), ECM metabolism (FN1, MMP2, collagen type V), and drug resistance.⁷⁹ It is well established that HIF-1 can directly induce the EMT, elevating the protein levels of EMT-related transcription factors and mesenchymal biomarkers (VIM, FN1, CDH2) and downregulating the expression of epithelial characteristics (CDH1, TJP1). It has been reported that HIF-1 α reduces caveolin-1 (Cav-1), which is controlled by heat shock protein 90 (HSP90) under hypoxic conditions in gastric carcinogenesis. The downregulation of Cav-1 is

associated with the expression level of epidermal growth factor receptor (EGFR) which stably activates its downstream effector STAT3. Overexpression of STAT3 in the nucleus leads to decreasing expression of epithelial biomarkers, along with the overexpression of mesenchymal molecules. Meanwhile, crosstalk between EGFR and the TGF- β signaling pathway associated with Wnt signaling also elevates the EMT progression and cancer cell invasion.⁸⁹ Discoidin domain receptor (DDR2), being recognized as a unique subfamily of tyrosine kinases, is reported to be closely related to the expression level of HIF-1 α under hypoxic conditions in breast cancer cells.⁹⁰ DDR2 is usually secreted by mesenchymal cells or fibroblasts. Ren and coworkers found that DDR2 participates in hypoxia-mediated cell migration, invasion, and the EMT, which probably requires the regulation of transcription factor Snail. They also confirmed that DDR2 silencing interrupts the hypoxia-activated ERK/MAPK signaling pathway, suggesting that DDR2 is a reliable therapeutic target in breast cancer therapy. In addition, HIF-1 α cooperating with the transcription factors ROS, STAT3, and TWIST1 promote the EGF-mediated prostate cancer cell EMT through the ROS/STAT3/HIF-1 α /TWIST1/N-cadherin signaling cascade.⁹¹

The occurrence and development of malignant tumors benefit from the complicated network of the tumor itself and the crosstalk between tumor cells and the microenvironment. Ample evidence enables us to reasonably conclude that tumor cell hypoxia is good for the EMT and metastasis. Hepatocyte growth factor (HGF) is overexpressed by CAFs under hypoxia, following up with the up-regulation of Met tyrosine kinase. Co-expression of HGF and Met are beneficial to cancer cell motility and EMT progress.⁹² In this context, we conclude that hypoxia advances the process of malignant progression intrinsically and externally. More and more evidences have shed light on the influence of hypoxia on tumor procession. Hypoxia probably participates in angiogenesis, cell invasion, the EMT, and metastasis. Thus, targeting hypoxia-regulated genes may be used to cancer therapy.

Stem-like characteristics in the EMT Program and microenvironment

Studies in recent years have shown that the EMT process contributes to building the stemness of cancer cells, even directly generating cancer stem cells.^{93,94} CSCs, which usually express a CD44^{high}/CD24^{low} antigen phenotype, are capable of self-renewal and differentiating into adult cancer cells.⁹⁵ Xenografts of CSCs in immune-deficient mice promote the mammosphere-forming efficiency of cancer.⁹³ It has been

reported that using Snail, Twist, or TGF- β 1 to successfully induce the EMT in both non-tumorigenic and immortalized human mammary epithelial cells results in the reshaping of cancer cells into mesenchymal-like cells and they simultaneously acquire the CD44^{high}/CD24^{low} marker profile.^{93,94,96} It is further known that E-cadherin is a regulator of pluripotency; it is downregulated in stem-like cells and N-cadherin is conversely upregulated.⁹⁷ Cancer cell stemness is also deeply influenced by the microenvironment. Myofibroblast-secreted factors, which are stromal activators of Wnt signaling pathway, are available for the expression of CSC markers and restoration of the CSC phenotype of differentiated cancer cells.⁹⁸ The EMT process has long been associated with the formation of cancer cell stemness. Moreover, it is known that crosstalk between signaling pathways regulates the EMT and stem cell formation. The tight relationships among CSCs, the EMT, and the microenvironment is confirmed by the above evidences.^{93,94,96,97}

EMT-related therapeutic resistance in the tumor microenvironment

Resistance to cancer therapy is responsible for a poor outcome. Environment-mediated drug resistance (EMDR) is inherent in tumors, unlike acquired resistance which is a consequence of adaptive genetic and epigenetic mutation due to chemotherapy and radiotherapy.⁹⁹ Generally, the tumor microenvironment contains many factors including soluble factors such as interleukins, extracellular matrix components such as fibronectin and collagen, and stromal cells that contribute to weakening drug activity and result in minimal residual disease. EMDR is instantaneous and less complicated than acquired resistance. EMDR is regulated by integrated signaling pathways between the tumor cell and its surrounding environment.⁹⁹ Hazlehurst *et al.* used myeloma cells *in vitro* to compare gene expression differences between EMDR and acquired resistance when myeloma cells were treated with melphalan.¹⁰⁰ They concluded that there were 69 gene expression changes associated with EMDR, contrasting with 1479 for acquired resistance. It is deduced from their data that targeting microenvironment will be more effective to cure cancer.

Damiano *et al.* used drug-sensitive 8266 human myeloma cells to demonstrate the mechanism of cell adhesion-mediated resistance. Human myeloma cells stably express VLA-4($\alpha_4\beta_1$) integrin fibronectin receptors, and β_1 integrin stably upregulates BCL-2 which is a drug resistance-related biomarker. Using RNase assays to guarantee the transcriptional level of BCL-2

and BCL-X_L were stable, the author and his colleague investigated whether VLA-4($\alpha_4\beta_1$) integrin fibronectin acceptor acts against the apoptotic effects induced by doxorubicin and melphalan when pre-adhered to fibronectin in comparison to cells in suspended culture.¹⁰¹ Actually, β_1 integrin may confer multi-drug resistance. Another study in the same laboratory showed that β_1 integrin also regulates Bim degradation, a member of the BCL-2 family known to contribute to drug-resistance as mentioned above, to eliminate the efficacy of chemotherapy through mediating the adhesion of tumor cells to fibronectin.¹⁰² To sum up, cell adhesion-mediated drug resistance provides an index for environmental factors when accessing the efficacy of chemo-therapeutic drugs.

The tumor microenvironment is inundated with soluble factors, such as cytokines and chemokines derived from either autocrine or paracrine sources, and they play an essential role in chemotherapy-resistance. IL-6 has been implicated in various stages of malignancy by mediating several signaling pathways.^{103,104} Robyn Catlett-Falcone and his colleagues studied IL-6-related tumor cell apoptosis in the *in vitro* human myeloma cell line U226, which is intrinsically resistant to Fas-mediated apoptosis and expresses the anti-apoptotic protein BCL-X_L.¹⁰⁵ They found that down-regulated expression of IL-6 effectively blocks BCL-X_L expression and induces tumor cell apoptosis. What is more, IL-6 was confirmed to enhance myeloma cell survival through the STAT signaling pathway, demonstrating that IL-6 and the STAT pathway might be efficient therapeutic targets for cancer.

Tumorigenesis is a comprehensive result of genetic and epigenetic changes accompanied by crosstalk with the tumor microenvironment. That is why cancer therapy remains a challenge. Acquired and *de novo* resistance are the 2 mechanisms of chemotherapeutic resistance. The EMT is another pathway to acquired drug resistance. In a study by Zhang and colleagues, >50% resistant non-small cell lung cancer (NSCLC) were shown to harbor an EGFR mutation. Using an EGFR-targeted tyrosine kinase inhibitor such as erlotinib is effective in NSCLC, but does not last, since resistance is acquired.¹⁰⁶ The authors found that genetic or pharmacological alteration of receptor tyrosine kinase AXL affects the sensitivity of tumor cells to erlotinib in NSCLC models. They also found that overexpression of AXL is closely linked to some of the EMT biomarkers, such as vimentin. They showed that knockdown of vimentin by siRNA partially inhibits AXL expression and restores erlotinib sensitivity in HCC827 cells compared to parental HCC827 cells. Taken together, this study shows that the EMT marked by vimentin overexpression plays a

significant role in acquired drug resistance to erlotinib regulated by AXL in human EGFR-mutant NSCLC. As the tumor microenvironment is responsible for the EMT, we can presumably say that the tumor microenvironment may support a possible role in acquired tumor resistance.

Snail at the crossroads of different cancer programs

The tumor microenvironment plays critical roles in stem-like cell formation, such as tumor budding, and EMT-mediated metastasis. But among all these EMT-related inducers, which is the “hub gene” that cross-regulates EMT-related CSC formation, metastasis, and drug resistance? We collected evidence and found that Snail took part in all EMT-related aspects, including tumor cell stemness, metastasis, and drug resistance (Fig. 3). Sufficient evidence shows that overexpression of Snail is sufficient to induce the EMT *in vivo* by directly binding to the E-cadherin promoter.^{21,107,108} Moreover, compared to other transcriptional factors involved in the EMT process, Snail might be the initiator.¹⁰⁹ Zhu *et al.* found that Snail induces the EMT in oral squamous cell carcinoma (OSCC) tumor cells, and facilitates the formation of OSCC tumor cell stemness.¹¹⁰ It has also been demonstrated that breast cancer cells obtain stemness characteristics when Snail is overexpressed.⁹³ Another study shows that upregulation of Snail is significantly associated with tumor budding and lymphatic metastasis.²⁷ Spatiotemporal regulation of the EMT is

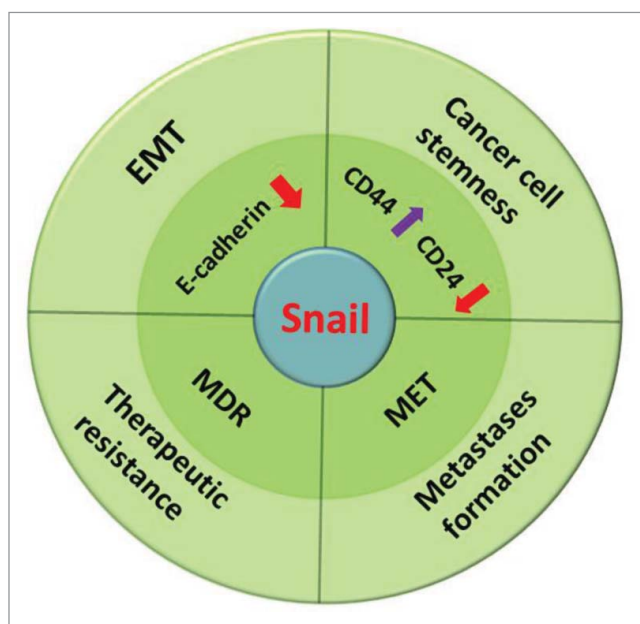


Figure 3. Snail at the crossroads of different cancer programs.

critical to efficient tumor cell metastasis *in vivo*. Tumor cells undergoing the EMT in primary tumors are capable of non-proliferation and mobility, since EMT-related transcriptional factors, such as Snail, inhibit cell proliferation.^{111,112} When tumor cells reach distant sites, they need to revert to an epithelial identity to form metastases.¹⁰⁹ Transcriptional EMT regulators upregulated in the primary tumor are blocked in distant metastatic niches to activate tumor cell proliferation and metastasis formation. Especially the down-regulation of Snail activates the mesenchymal epithelial transition (MET) process which favors the distant metastasis formation (Fig. 3).¹¹³ The ATP binding cassette (ABC transporters) is associated with multi-drug resistance. Tumor cells that overexpress ABC transporters are usually more invasive and chemoresistant.¹¹⁴⁻¹¹⁶ Snail can directly bind to the promoter of ABC transporters and reduce the chemo-sensitivity of tumor cells in breast cancer.¹¹⁷ We speculate that targeting Snail is an effective means of invasive cancer therapy. The EMT process and cancer metastasis involve multiple steps that are influenced by many chemokines and cytokines. We have confidence in the speculation that more potential “hub genes” await experimental certification.

Summary

Surrounding stromal cells that are recruited by tumor cells allow the latter to escape from senescence or apoptosis, enter the circulation, and seed in distant sites. The local microenvironment is of great importance to the final fate of metastatic tumor cells. Metastatic tumor cells, such as budding cells, have preferred organs for settlement. For example, colorectal cancer prefers to metastasize to liver and brain; breast cancer to bone, liver, and brain. It is well-documented that the preferred organ sites for metastases are encoded by genetic alteration of specific tumor cells themselves^{118,119} and the signal communication between tumor cells and their constantly-changing microenvironment which partially derives from the products secreted by metastatic tumor cells.^{47,120,121} Evidence indicates that the local microenvironment of tumor cells critically influences their ability for stemness formation, migration, intravasation and extravasation, and secondary tumor formation. Although the mechanisms involved in these processes have been well characterized in both *in vivo* and *in vitro* models, crosstalk between tumor cells and the microenvironment is still poorly understood. Far more attention should be paid to clarify the dynamic interactions between tumor cells and the microenvironment.

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