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## Risk Factors and Biomarkers of Age-Related Macular Degeneration

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### Abstract

A biomarker can be a substance or structure measured in body parts, fluids or products that can affect or predict disease incidence. As age-related macular degeneration (AMD) is the leading cause of blindness in the developed world, much research and effort has been invested in the identification of different biomarkers to predict disease incidence, identify at risk individuals, elucidate causative pathophysiological etiologies, guide screening, monitoring and treatment parameters, and predict disease outcomes. To date, a host of genetic, environmental, proteomic, and cellular targets have been identified as both risk factors and potential biomarkers for AMD. Despite this, their use has been confined to research settings and has not yet crossed into the clinical arena. A greater understanding of these factors and their use as potential biomarkers for AMD can guide future research and clinical practice. This article will discuss known risk factors and novel, potential biomarkers of AMD in addition to their application in both academic and clinical settings.

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## 1. Introduction to AMD

Age-related macular degeneration (AMD) was first described in the medical literature as a “symmetrical central choroido-retinal disease occurring in senile persons” in 1874. Later, the terms “age-related maculopathy”, “age-related macular degeneration” (de Jong, 2006), and “age-related macular disease” (Bird, 1996) were acknowledged as descriptions of age-related central visual impairment. AMD is characterized by central visual loss due to degenerative and neovascular alteration in the macular region of the retina (Gehrs et al., 2006).

AMD is the predominant cause of blindness in developed countries (Evans et al., 2004). It is becoming similarly important in the developing world in association with increasing longevity and Westernisation of diet and lifestyle (Krishnan et al., 2010). AMD may be classified as dry or wet, with geographic atrophy commonly seen with the former and choroidal neovascularization (CNV) commonly seen in the latter. Wet or neovascular AMD (nvAMD) is a result of CNV, or the growth of new blood vessels from the choroid into the sub-retinal space and sub-RPE, eventually leading to vision loss (Kokotas et al., 2011). AMD may also be classified into early, intermediate, or advanced disease (Bourla and Young, 2006). The Age-Related Eye Disease Study (AREDS) divided AMD into 5 different categories based on amount, size, and nature of drusen, location and area of retinal pigmented epithelium (RPE) atrophy, and neovascularization (Age-Related Eye Disease Study Research, 2001). Early AMD initially manifests as pigmentary irregularities of the retina and deposits of extracellular material called drusen (Figure 1.2) that collect at the RPE-choroidal interface. Drusen can be categorized as small ( $\leq 63\mu\text{m}$  or less), intermediate ( $>63\mu\text{m}$  but  $<125\mu\text{m}$ ), or large ( $>125\mu\text{m}$ ) (Age-Related Eye Disease Study Research, 2000). Intermediate AMD often involves more confluent collections of intermediate and large size drusen and poses a greater risk for the development of late or advanced AMD (Age-Related Eye Disease Study Research, 2001). Approximately 1 in 2 persons with extensive macular drusen will progress within 5 years to vision threatening geographic atrophy (GA) (Figure 1.3) and/or neovascularisation (Figure 1.4) (Davis et al., 2005), which are late stage manifestations of the condition (Sunness et al., 1999; Wong et al., 2008). GA is currently untreatable and although nvAMD may now be controlled with antiangiogenic agents, the majority of patients so treated have residual visual disability due to varying degrees of retinal tissue disruption, scarring and/or atrophy. Furthermore, antiangiogenic treatments are invasive and costly (Raftery et al., 2007), requiring monthly intravitreal injections and long-term follow-up, and involve increased risk of intra-ocular infection. In the US, it is estimated that visual impairment due to AMD will double by the year 2050 and that the use of antiangiogenic agents will only reduce that by 17% (Rein et al., 2009). Healthcare costs in the UK for nvAMD patients have been shown to be seven-fold that of age-matched controls when factors such as falls, depression and help with daily tasks are accounted for (Raftery et al., 2007). These facts make a compelling case for identification and development of robust biomarkers to enable earlier, more accurate diagnosis and better prediction of likely prognosis.

## 2. Biomarkers: Definition and Conceptual Framework

A biomarker can be a substance, structure, or biochemical or molecular alteration measured in human body parts, fluids, or products that can affect or predict disease incidence. It has been formally defined as “a characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or pharmacologic responses to a therapeutic intervention” (Biomarkers Definitions Working, 2001). This formal definition was developed by the Biomarkers and Surrogate Endpoint Working Group (under the direction of the Office of the Director, National Institutes of Health) to provide a conceptual framework and classification system within a rapidly burgeoning field. The formal classification system further divided biomarkers into three main groups:

*Type 0:* those used to estimate the emergence or development of a disease. These may be used in combination to produce a panel of biomarkers that reflect a specific disease state and are used in the prediction, early onset, progression, regression, treatment efficacy and diagnosis of disease.

*Type 1:* those that predict the responses to therapeutic interventions. These are most commonly associated with the pharmaceutical and biotechnology industries.

*Type 2:* those that in principle could be used as surrogate clinical endpoints in the course of clinical trials. In this situation, usually one principal biomarker is chosen which has been comprehensively characterized and validated.

In practice, biomarkers can provide the means to further understand the prediction, cause, diagnosis, progression or regression of disease as well as outcome of treatment (Mayeux, 2004). The substantial interest in biomarker identification and assay development stems from their potential to address significant scientific problems including: more efficient and specific end points in drug discovery and development, more direct measurement of exposures in epidemiological and clinical studies which are free from recall bias, as well as providing information on absorption and metabolism. Biomarkers serve this purpose for other major disease processes, such as in cancer (Perera and Weinstein, 2000).

Biomarker development for AMD is focused on identifying disease risk factors, both developing assays for screening and diagnosis, and using this information for prognostic application and guiding treatment decision-making. Biomarkers are vital to identifying susceptible patient populations and exposures that may lead to disease prior to AMD development. Sensitive, validated biomarkers are vital for early detection of AMD and influencing late outcomes of the disease process with appropriate treatment.

## 3. Biomarkers of Susceptibility

### 3.1 Age

Age is the strongest demographic risk factor associated with age-related macular degeneration (Age-Related Eye Disease Study Research, 2000; Blumenkranz et al., 1986; Buch et al., 2005; Choudhury et al., 2011; Erke et al., 2012; Klein et al., 1997; Klein et al., 2007; Klein et al., 2002; Miyazaki et al., 2005; Owen et al., 2012; Rein et al., 2009; Tomany

et al., 2003; Wang et al., 2007; Woo et al., 2015). Table 1 combines data from four different studies estimating the prevalence of age-related macular degeneration by age group (Friedman et al., 2004; Klein et al., 1992; Smith et al., 2001a; Vingerling et al., 1995b). These data show the overwhelming increase in risk of AMD with age.

### 3.2 Gender

Current evidence concerning gender and the associated risk of developing AMD is conflicting. Many studies have concluded that there is no significant increase risk for developing AMD based on gender alone (Age-Related Eye Disease Study Research, 2000; Erke et al., 2012; Frank et al., 2000; Owen et al., 2012).

One study found that early AMD incidence is slightly, but not significantly, higher in men than women, and that the incidence of late AMD is significantly higher in men than women with an odds ratio of 2.62 (Miyazaki et al., 2005). Another study, a meta-analysis of AMD in Europe, found some evidence to suggest a higher risk of late-stage wet AMD in women compared to men (Owen et al., 2012). In addition, the Age Related Eye Disease Research Group (AREDS) found that intermediate drusen, extensive small drusen, or the pigment abnormalities associated with AMD were found more often in females (Age-Related Eye Disease Study Research, 2000). In the UK, Owen et al. (2012) found that the prevalence of AMD in women was 60% higher than that of men (314,000 cases in women, 192,000 men). In addition, women had an incidence of late-AMD (both dry and wet AMD) of 4.1 per 1000 compared to 2.3 per thousand for men. However, this study admitted that the difference in gender prevalence could have been due to the fact that there are a greater number of older women in the UK than in other parts of the world (Owen et al., 2012).

Certain AMD risk SNPs may also be gender specific (Grassmann et al., 2015). These results suggest that women may be at a higher risk of developing the disease, but more epidemiological studies are needed to draw definitive conclusions on the association between gender and AMD.

### 3.3 Race

Data support the conclusion that race is a significant risk factor in the development of AMD. In the year 2000, AMD was the leading cause of blindness among Caucasian Americans, accounting for 54% of cases (Congdon et al., 2004). Many studies support the conclusion that whites are significantly more likely to develop AMD (especially wet AMD) than blacks (Attebo et al., 1996; Bressler et al., 2008; Clemons et al., 2005; Congdon et al., 2004; Frank et al., 2000; Friedman et al., 1999; Rein et al., 2009). The Baltimore Eye Study reported a nearly four-fold higher risk of developing any form of AMD for whites compared to blacks and late AMD was significantly more prevalent in whites (Friedman et al., 1999).

The AREDS Group found higher rates of choroidal neovascularization (CNV) in whites compared to blacks (Clemons et al., 2005). Another study also suggested that choroidal neovascularization (CNV) was more associated with whites, but that late-stage dry AMD (with geographic atrophy) was more associated with blacks (Bressler et al., 2008). In contrast, for dry AMD, one research group suggested that whites were more likely to progress from medium to large foveal drusen, but this study did not find any significant data

concerning the progression to GA or CNV in blacks versus whites (Chang et al., 2008). Notably, correlations of race with AMD prevalence might suggest that the degree of ocular pigmentation could be a significant protective factor, with white Caucasians showing the highest rates (5.4%), followed by Chinese (4.6%), Hispanics (4.2%) and blacks (2.4%) (Klein et al., 2006; Priya et al., 2012).

Although early stages of AMD seem to be race-independent, evidence suggests that those of Caucasian descent are significantly more likely to develop the later, more symptomatic stages of AMD that result in a loss of central vision. In addition, race appears to impact the role of various genetic influences on AMD (Restrepo et al., 2014). The effect of different genetic variants on AMD among different racial or ethnic groups will be discussed later.

### 3.4 Iris Color

Iris color has also been shown to be associated with AMD risk. Patients with lighter colored irides have been shown to have a two-fold higher incidence of AMD (Frank et al., 2000; Nicolas et al., 2003) than those with darker irides. One study showed that those with brown eyes, compared to those with blue eyes, were more likely to develop early stage AMD, but those with brown eyes were significantly less likely to have RPE depigmentation, a distinct feature of late stage AMD (Tomany et al., 2003). Another study supported this conclusion with evidence that Caucasians with blue irides are significantly more likely to develop AMD than Caucasians with brown irides (Frank et al., 2000). A meta-analysis showed that there seemed to be a protective effect of brown irides compared to blue, however this result was not significant (Chakravarthy et al., 2010).

More recently, Klein et al. found a modest association of iris color with incidence of early AMD, however there was no association between AMD development and gene markers of iris pigmentation variability (Klein et al., 2014). Another recent study by Schick et al (2015) found no association between iride color and early or late AMD (Schick et al., 2015). Iris color may be associated with AMD risk, however more definitive research is necessary.

### 3.5 Obesity

Obesity may be associated with the risk of developing AMD, although a causal relationship has not been established. Seddon et al. (2003) found that the relative risk for AMD was 2.35 for those with a BMI over 30, and 2.32 for those with a BMI between 25 and 29 ( $P=0.007$ ) (Seddon et al., 2003a). They reported a 2-fold increase in progression of AMD when comparing patients in the highest and lowest tertiles for waist circumference ( $P=0.02$ ). Comparing these two groups' waist to-hip-ratio yielded a relative risk of 1.84 ( $P=0.02$ ). In addition, those that exercised vigorously three times per week had a 25% risk reduction in AMD progression when compared to those that did not exercise (Seddon et al., 2003a). In addition, Adams et al. found that a 0.1 waist/hip ratio increase was correlated with a 13% chance increase of developing early AMD ( $P=0.03$ ), and a 75% chance increase of developing late AMD ( $P=0.02$ ). However, an inverse relationship was seen between waist/hip ratio and risk of AMD with women (Adams et al., 2011). Schaumberg et al. found that increased body mass index was correlated with dry AMD in men. However, lean individuals were also found at risk, hinting that the data was controversial (Schaumberg et

al., 2001). Overall it appears that obesity is associated with AMD, but it is unclear whether there is any causative relationship between the two. In addition, it appears that there is a stronger correlation between AMD and obesity in men than in women.

### 3.6 Hypertension

Whether elevated blood pressure increases the risk of developing AMD is a matter of controversy. Some studies have shown that elevated blood pressure contributes to the pathophysiology of AMD (Churchill et al., 2006; Klein et al., 2003). One retrospective study used blood pressure record data from 1,828 subjects and found a “small and consistent significant association between age-related maculopathy and systemic hypertension” (Sperduto and Hiller, 1986). In comparison to normotensive individuals, another study found that patients with both treated and uncontrolled blood pressure have a three-fold increase in the development of exudative macular degeneration (Klein et al., 2003). An additional study added to the complexity of the matter, concluding that even patients with controlled hypertension were at an increased risk of AMD (Hyman et al., 2000).

Drusen size has also been correlated with hypertension. The AREDS group reported that the presence of large drusen in the macula, or extensive intermediate size drusen, is associated with hypertension and the use of hypertensive medication such as hydrochlorothiazide (Age-Related Eye Disease Study Research, 2000). On the other hand, another group noted that the presence of cardiovascular disease, including hypertension, did not increase the risk of late AMD (Delcourt et al., 2001). An additional study concluded that hypertension does not contribute to CNV (Blumenkranz et al., 1986).

Hypertension may be associated with an increased risk of AMD, however more definitive research is necessary.

## 4. Biomarkers in Heritability and Genetics

Studies have shown that there is a strong correlation between a family history of AMD and the subsequent development of both early and late forms of the disease, as judged by higher concordance rates among monozygotic twins when compared with dizygotic, and segregation analyses comparing first degree relatives of affected individuals as compared with the general population (Priya et al., 2012; Smith and Mitchell, 1998). One study showed that the risk of developing late AMD was increased nearly 4-fold for those with a family history of AMD, particularly in cases of nvAMD (Smith and Mitchell, 1998). This risk is amplified when immediate family members have the disease, with one study estimating a 27.8 times increase in risk with an affected parent and 12 times increase in risk for those with an affected sibling (Shahid et al., 2012).

Despite the strong genetic influence on the development of AMD, there is much dispute between *associated* versus *causative* pathological genes. For monogenic disorders, where one mutation is necessary and sufficient to cause symptoms of disease, there are accepted criteria whereby a mutation is judged to be causative of pathogenesis. First, the putative mutation should correspond with symptoms of disease in a family with a number of affected individuals. Second, it should be absent from a large number of ethnically-matched control

individuals. Third, similar mutations in the same gene should cause similar disorders in different kindreds. Fourth and finally, the mutation should have a predicted impact on protein structure and function (Gorin, 2012). The gold standard proof-positive of causality is that, when engineered into animal models, such mutations should produce similar symptoms of disease to those seen in affected patients, and therefore be amenable to appropriate gene therapies.

For complex disorders, these standards of proving causality are much more difficult to obtain. Given that most cases of AMD occur later in life, large family cohorts are difficult to obtain and most AMD studies have focused on groups of smaller families and sibling pair or genome-wide association studies (GWAS) using larger numbers of less closely related individuals (Priya et al., 2012). While statistical analysis of large datasets can be incredibly powerful, linkage disequilibrium (close proximity of variants on the same chromosome, meaning that alleles are largely segregated together without recombination when passed from one generation to another) can make it difficult to identify which variant is, in fact, responsible for disease risk. This is even more problematic when the variants in question do not have an obvious predicted effect on protein structure or function (Gorin, 2012). A factor that is merely contributory to a complex, multi-factorial disorder is likely to have a much more subtle effect on disease progression than a mutation which is necessary and sufficient, on its own, to cause symptoms of disease. This makes positive identification more difficult. Furthermore, the logistics associated with engineering these variants into animal models, and then crossbreeding them such that a number of additive variants are sufficient to cause symptoms are also exponentially more challenging.

Despite these complexities, progress has been made in identifying approximately 40 genes that may be associated with the development of AMD. Some of these genes fall into broad groups based on their function such as genes with retinal-specific function, immune function, neovascularization, or lipoprotein-related function. These gene groups will be discussed below. Additionally, seven new loci with an association to AMD were recently identified by the AMD consortium (Fritsche et al., 2013). Genes found in these loci will be introduced although their specific function and role in AMD development and progression is currently not well understood.

#### 4.1 Genes with Retinal-Specific Function

Unlike retinitis pigmentosa (RP) and other early onset retinal degenerations, retinal specific genes have minimal impact on AMD susceptibility. Only three monogenic macular dystrophy-related genes (ABCA4, ApoE, and TIMP-3) show any association with AMD risk. Of these genes, only ABCA4 expression is restricted to the retina while ApoE and TIMP-3 have additional, systemic functions. ABCA4 will be discussed presently while TIMP-3 and ApoE will be discussed later under sections related to their systemic function.

**4.1.1 ABCA4**—ABCA4 is an ATP-binding cassette (ABC) reporter protein belonging to the ABC1 subfamily (Allikmets et al., 1997b). It is a specific marker for photoreceptor cells and found in the outer segment disks of this retinal layer. Its function involves the transportation of N-retinylidene phosphatidylethanolamine (PE) across membranes in

photoreceptor cells (Beharry et al., 2004). In the absence of functional ABCA4, a N-retinylidene PE complex accumulates, leading to a buildup of lipofuscin fluorophore A2E (Sparrow et al., 1999), and eventual aberrant cholesterol metabolism in the RPE cells (Lakkaraju et al., 2007). Retinal degeneration in those with compromised ABCA4 may result from loss of the ability to transport N-retinylidene PE out of photoreceptor cells, or RPE toxicity associated with A2E buildup (Beharry et al., 2004).

Mutations in ABCA4 are associated with a host of retinal diseases including Stargardt disease (Allikmets et al., 1997b), autosomal recessive Retinitis pigmentosa 19 (Martinez-Mir et al., 1998), cone-rod dystrophy3 (Maugeri et al., 2000), fundus flavimaculatus (Shroyer et al., 1999), severe retinal dystrophy (Singh et al., 2006) and age-related macular degeneration (Allikmets et al., 1997a; Allikmets et al., 1997b). ABCA4 does not represent a common risk factor for AMD. It is likely associated with a specific AMD subtype that manifests a fine granular pattern with peripheral punctate spots and which shares some features with Stargardt disease (Fritsche et al., 2012). Notably, carriers of Stargardt disease are thought to be at higher risk for the development of AMD (Allikmets, 2000; Allikmets et al., 1997a; Shroyer et al., 1999). Of note, the phenotypic similarity between AMD and Stargardt disease (Westeneng-van Haften et al., 2012) may lead to misdiagnosis in primary care settings and overrepresentation of ABCA4 mutations in large cohorts of AMD patients where phenotyping was not highly rigorous.

In summary, ABCA4 mutations cause a range of retinopathies that vary in severity related to the degree of residual retinal function. Despite this, they are not a common cause of AMD (Fritsche et al., 2012; Guymer et al., 2001) and more research is needed to clarify its actual role in AMD development.

**4.1.2 ApoE**—To be discussed under *Lipoprotein-related genes* (section 4.4.2).

**4.1.3 TIMP-3**—To be discussed in *Neovascularization-related genes* (section 4.3.2).

## 4.2 Immune System-Related Genes

An increasing body of evidence implicates aberrant inflammatory processes as culprits in a long list of diseases associated with aging, including AMD. The immune system primarily exists to mount innate and adaptive defences against pathogens. However, it can also be activated by particulate matter accumulation and altered host material such as drusen, a hallmark of early AMD. This response is typically chronic and represents a “sterile” form of inflammation that is unconnected with infection (Campbell and Doyle, 2013; Doyle et al., 2012).

Immunological responses have long been implicated in AMD progression, but the pathways involved have remained unclear. Many polymorphisms of various genes coding for components of the immune system have been implicated in AMD. In this section we will discuss the function of genes coding for various components of the complement cascade in addition to other immune-related genes including: ARMS2/HTRA1, EFEMP1, and Hemicentin1.



**4.2.1 Complement**—Many of the immune-related genetic polymorphisms associated with AMD code for various components of the complement cascade. Complement consists of a series of soluble proteins (over 25 in total), circulated via the hematopoietic system to almost all parts of the body. The complement cascade can be activated by a number of triggers, usually related to infection, and aids in the destruction of foreign pathogens. There are three complement pathways: classical pathway, alternative pathway, and mannose-binding lectin pathway. Although different in their initiating events, all three pathways result in complement activation and eventual formation of transmembrane pores, called the membrane attack complex (MAC), leading to rapid influx of extracellular fluid and subsequent cell death (Figure 2). Additionally, various complement components (i.e. C3b and C5a) act as opsonins (marking pathogen for ingestion by phagocytes) and also serve as chemoattractants to recruit appropriate inflammatory cells to the site of infection (Manthey et al., 2009; Thompson et al., 2007).

Different components of the complement pathways have been associated with AMD, namely factor H, factor H-related complement, factor B, factor D, and factors 2, 3, and 5. The functions of these proteins will be discussed below. In general, mutations leading to dysregulation of the complement system result in increased inflammation, aberrant activation of the immune system, and subsequent increased risk of AMD development; contrastingly, mutations in complement activators lead to decreased complement activity and are protective against developing AMD.

**4.2.1.1 Complement Factor H:** In 2005, Complement Factor H (CFH) was first identified as a regulator of innate immunity in the human retina, with individuals with a variant form of this factor having an increased risk of age-related macular degeneration (Edwards et al., 2005; Haines et al., 2005; Klein et al., 2005). CFH is a regulatory control of the complement system and gene polymorphisms leading to its dysfunction have some of the strongest genetic ties to the development of AMD (Babanejad et al., 2015; Garcia et al., 2015; Horie-Inoue and Inoue, 2014; Klein et al., 2013; Shen et al., 2015; Wong et al., 2015).

CFH plays two critical roles in the innate immune system. First, it regulates the conversion of C3 to C3a and C3b. Secondly, CFH inactivates C3b to iC3b, preventing the formation of C3 convertase and subsequently opsonizes pathogens (Rodriguez de Cordoba et al., 2004). Through inactivation of C3b to iC3b, CFH prevents formation of the downstream membrane attack complex (MAC). Hageman et al. suggested that at-risk alleles decreasing the function of CFH may lead to greater levels of MAC complex at the RPE-choroid interface, compromising the integrity of Bruch's membrane, a common pathology seen in nvAMD (Hageman et al., 2005). By inactivating C3b, CFH acts as a potent negative regulator of the alternative complement pathway. Dysregulation in this inflammatory pathway caused by CFH polymorphisms can increase the risk of developing AMD, while proper CFH function serves as a predictive protecting factor.

It is estimated that nearly 50% of the risk of developing AMD can be attributed to CFH variants, resulting in decreased function of CFH (Edwards et al., 2005; Klein et al., 2013; Shen et al., 2015). CFH variants have been consistently found as a component of drusen in those with a history of AMD (Hageman et al., 2005). Several studies have found positive

associations between sequence polymorphisms in the CFH gene and early stage AMD (Chen et al., 2010; Habibi et al., 2013; Holliday et al., 2013; Quan et al., 2012; Rodriguez de Cordoba et al., 2004; Yuan et al., 2013), although it appears that CFH variants play a more apparent role in late stage geographic atrophy and neovascular AMD (Hageman et al., 2005; Klein et al., 2013). High levels of variant CFH DNA transcript can be found in RPE and choroid layers of the eye (Hageman et al., 2005).

In addition, the presence of certain variant CFH alleles has shown to increase levels of lipid metabolism products responsible for oxidative stress such as malondialdehyde (MDA) (Weismann et al., 2011). Increased levels of MDA provide one probable mechanism responsible for RPE cell death (Klein et al., 2013; Weismann et al., 2011). Many of the CFH SNPs encode regions of the functional CFH protein that contain binding sites for C3b, heparin, sialic acid, and C-reactive protein, alluding to the possibility that these SNPs may influence binding affinities of different molecules to CFH (Hageman et al., 2005). Although not entirely understood, CFH variants likely lead to increased risk of AMD development through their negative impact on proper CFH function and subsequent dysregulation of the inflammatory complement pathway.

Much of the risk conferred by CFH mutations comes from the Y402H variant (rs1061170). In this high-risk variant, a tyrosine residue is replaced by a histidine at the 402 amino acid position, limiting its regulatory function (Ormsby et al., 2008). Edwards et al. estimated that this specific allele variant may account for up to 50% of the attributable risk of AMD (Edwards et al., 2005). Those that possess at least one high-risk C allele in the Y402H variant are two to three times more likely to develop AMD compared to homozygote individuals with T alleles (Quan et al., 2012). CFH variants are associated with both early and late stage AMD. Gangnon et al. found that the CC genotype of the CFH Y402H SNP was associated with a statistically significant increase in incidence of early AMD (Hazard Ratio=1.98) and progression of early AMD to late AMD (Hazard Ratio = 1.72) when compared to the TT genotype (Gangnon et al., 2012). A genome-wide association study (GWAS) found that the CFH SNP rs10737680 was strongly associated with early stage AMD (OR=3.1,  $P < 1.6 \times 10^{-75}$ ) (Chen et al., 2010). In another recent GWAS, CFH SNP rs1329424 showed an odds ratio of 1.41 ( $P=1.5 \times 10^{-31}$ ) for the early stage AMD (Holliday et al., 2013). These results affirm that there are many CFH polymorphisms associated with early stage AMD. Although CFH variants are linked with early AMD, they are more strongly and frequently associated with late-stage AMD (Edwards et al., 2005; Gangnon et al., 2012; Haines et al., 2005; Klein et al., 2011; Klein et al., 2013; Klein et al., 2005; Magnusson et al., 2006). Again, the Y402H polymorphism appears to be the most prominent genetic risk factor. Haines et al. reported odds ratios for nvAMD of 3.45 and 5.57 for those that were heterozygotes and homozygotes for the C allele, respectively (Haines et al., 2005). Magnusson et al. reported odds ratios of 2.39 for advanced AMD in patients possessing at least one risk-allele compared to controls (Magnusson et al., 2006). Seddon et al. found that patients homozygous for the C allele were 3.5 times more likely to have AMD when compared to controls (Seddon et al., 2009).

In 2013, Klein et al. published data from a 20-year follow-up in the cohort Beaver Dam Eye Study. This data showed strong genetic correlations between the combined effects of CFH

SNP rs1061170 (Y402H) and ARMS2 SNP rs10490924 and AMD. In this study, patients age 45 who had zero or one allele from either of the CFH or ARMS2 SNPs had a cumulative incidence of AMD of 1.4% by age 80. Contrastingly, those age 45 possessing three to four alleles from the CFH or ARMS2 SNPs had a cumulative incidence of late AMD of 15.3%. These two SNPs have shown consistent correlation with late-stage AMD than with earlier stages. When at least one CFH risk allele was present, the population-attributable risk for late AMD was 53 percent (Klein et al., 2013). The strong association with risk alleles of CFH rs1061170 has also been validated in recent studies (Babanejad et al., 2015; Fauser and Lambrou, 2015; Hautamaki et al., 2015).

These data support complement factor H as being one of the strongest genetic risk factors for the development of AMD, accounting for 50% of the attributable risk for the disease. Some groups have begun to make recombinant forms of CFH in hopes of simulating its inhibitory role on the complement pathway and decreasing the risk of AMD development (Rohrer et al., 2010).

**4.2.1.2 Complement Factor H-related 1 and 3:** Complement Factor H-related 1 and 3 (CFHR1 and CFHR3) are secreted complement regulatory proteins that work in conjunction with complement factor H (Gene ID: 3078, 10878, OMIM: 134371, OMIM: 605336). Each of these proteins are secreted plasma proteins synthesized by hepatocytes and contain domains known as complement control factor modules. CFHR1 is a complement regulator that acts downstream of CFH through inhibition of C5 convertase and subsequent assembly and membrane insertion of the membrane attack complex (MAC). Although a complete understanding of its function is not clear, it is believed that CFHR3 acts as cofactor for factor I in inactivating C3b, thus limiting C3 convertase activity (Fritsche et al., 2010). This blocks downstream generation of C5a as well as its associated inflammatory effects like subsequent neutrophil recruitment. CFHR1 and CFHR3 share similar binding locations on C3b with CFH (Fritsche et al., 2010). Although these proteins have a similar role to CFH in complement regulation, due to their similar binding sites, they actually compete with CFH. As such, deficiency of CFHR1 and CFHR3 implies a theoretical loss of their role in complement regulation but also enhanced local regulation by CFH (Fritsche et al., 2010). Interestingly, an 84kb deletion in CFHR1 and CFHR3 genes, leading to absence of their associated proteins in serum, actually demonstrated a decreased risk of AMD development (Hughes et al., 2006). Of note, this deletion has also been shown to carry an increased risk of atypical hemolytic uremic syndrome (Martinez-Barricarte et al., 2008).

The protective effect attributed to mutations in CFHR1 and CFHR3 against AMD progression was initially thought to be tied to the protective effects of normal CFH function. However, recent evidence suggests that CNP CFHR3/CFHR1 on chromosome 1q32 is protective against AMD independent of common CFH genotypes (Fritsche et al., 2010).

In conclusion, deletion mutations in CFHR1 and CFHR3, and subsequent absence of associated plasma proteins, confer a protective effect on AMD development. This is thought to be due to enhanced regulation of complement activity by functional CFH at the C3 convertase level (Fritsche et al., 2010), thus decreasing overall inflammation and lower the risk of AMD development. However, there may be various CFHR1 allotypes that carry

increased risk of AMD development. CFHR1\*A is associated with increased risk of AMD, and its effects are thought to be linked and additive to high-risk Y402H variant of CFH (Martinez-Barricarte et al., 2012).

**4.2.1.3 Complement Factor B:** Complement factor B (CFB) polymorphisms have shown to be protective against the development of AMD (Gold et al., 2006b; Mantel et al., 2014; Spencer et al., 2007; Sun et al., 2012; Thakkinstian et al., 2012). In the first steps of the alternative pathway, CFB is bound to C3b. When the alternative pathway is initiated, C3b-bound factor B is cleaved, resulting in the formation of the C3 convertase. Because CFB is one of the main activators of the alternative complement pathway, mutations limiting CFB function may result in decreased risk of complement-induced drusen formation in the retina (Gold et al., 2006b). The rare alleles in CFB SNPs rs9332739, rs547154, rs4151667 (L9H variant), and rs641153 (R32Q) have been shown to exhibit protective effects against AMD (Spencer et al., 2007; Sun et al., 2012). The risk of developing AMD was decreased in half for patients carrying at least one of the rare alleles for any of the previously mentioned CFB SNPs (Sun et al., 2012). In another study, CFB SNP rs641153 (R32Q) was seen only in patients with drusen  $\leq 250 \mu\text{m}$  and appeared to be protective against the formation of larger drusen  $>250 \mu\text{m}$  (Mantel et al., 2014). A meta-analysis found that patients with CFB SNPs rs4151667 and rs641153 carrying the minor A allele had a significantly smaller likelihood of having AMD (OR=0.54 and 0.41 respectively) (Thakkinstian et al., 2012). Overall it appears that rare alleles in certain CFB SNPs are responsible for decreasing activation of the alternative pathway and may be protective against the development of AMD.

**4.2.1.4 Complement Factor D:** In addition to CFB, Complement factor D (CFD) is another alternative pathway protein with variant mutations implicated in the development of AMD (Gold et al., 2006a; Jakobsdottir et al., 2008). Its role in the innate immune system is to cleave and subsequently activate CFB. There is evidence that CFD is the rate-limiting protein in the activation of the alternative pathway (Stanton et al., 2011).

Increase in CFD activity may lead to a greater likelihood of developing AMD. Stanton et al. (2011) found that AMD patients had an average of an 11% increase in CFD compared to the control group (P=0.00025) (Stanton et al., 2011). Another study aimed to determine the association between six CFD SNPs (rs1683564, rs35186399, rs1683563, rs3826945, rs34337649, and rs1651896) and advanced AMD in a Caucasian population. Researchers did not find any significant correlation between any of the CFD SNPs and wet AMD (Zeng et al., 2010).

Although CFD is an established regulator of the complement pathway, more research is needed to elucidate its role and relationship in the development of AMD. Despite this, several groups have begun to develop CFD-inhibitors, geared to decrease alternative complement pathway activity and treat AMD (Abdel-Magid, 2012; Katschke et al., 2012).

**4.2.1.5 Complement Components C2, C3 and C5:** Drusen are made up of many different constituent proteins, including C2, C3 and C5 complement (Hageman et al., 2001; Mullins et al., 2000). C2, C3 and C5 are a part of the classical complement pathway and are pro-inflammatory proteins. Activated C1 cleaves C2 into C2a and C2b. C2a binds C4b to form

the C3 or C5 convertase, meaning that C2 is instrumental in raising levels of activated C3 and C5 (Gene ID: 717).

Numerous studies have indicated the involvement of C2 variants such as rs9332739 and rs547154 in AMD risk (Gold et al., 2006a; Jakobsdottir et al., 2008; Thakkinstian et al., 2012; Wu et al., 2013). Early subretinal deposits of C3 and C5 have been found in AMD mouse models, indicating that these inflammatory complement proteins may play a role in the pathogenesis of AMD. This role was further clarified by evidence indicating that C3a and C3b induce vascular endothelial growth factor (VEGF) expression, recruit leukocytes, and promote CNV (Nozaki et al., 2006). Genetic C3 SNPs R102G and L314P have been shown to contribute to an increased risk of AMD development (Spencer et al., 2008). Additionally, a meta-analysis also found association between AMD and C3 SNPs rs11569536, rs2230199, and rs1047286, while a negative association was found between rs2250656 (Qian-Qian et al., 2015). One study showed that high-risk alleles in C3 SNP Arg80Gly (rs2230199) were 2.6 times more likely to be found in patients with AMD compared to controls (Yates et al., 2007). A Boston study also found that this SNP was significantly associated with AMD ( $P < 10^{-12}$ ) (Maller et al., 2007). It has been estimated that C3 SNPs may contribute as much as 22% of the population's attributable risk of AMD (Yates et al., 2007). Overall C2, C3, and C5 variants lead to increased risk of AMD.

**4.2.1.6 Complement Summary:** Genes related to proper function of the complement pathway hold some of the greatest influence on and association with AMD. CFH is responsible for regulation of the complement system, and mutations leading to decrease in its inhibitory function can account for nearly 50% of the attributable risk of AMD. Other SNPs leading to increase in activity of CFD and CFB result in increased complement activity and an associated increase in AMD risk. Finally variants of C2, C3, and C5 may affect pro-inflammatory cell recruitment, VEGF levels, and regulation of complement pathway and have a significant impact on attributable AMD risk.

**4.2.2 ARMS2/HTRA1**—Early genetic studies identified a susceptibility locus on chromosome 10q26 for the development of AMD (Yang et al., 2006). Further genomic studies of this chromosome demonstrated two AMD susceptible loci. One is known as rs10490924, which lies within the gene LOC387715/ARMS2, now known as Age-Related Maculopathy Susceptibility 2 (ARMS2) (Hautamaki et al., 2015; Kanda et al., 2007; Shen et al., 2015). The other, rs11200638, lies within the promoter region of the gene known as High Temperature Requirement Factor A1 (HTRA1) gene (Dewan et al., 2006; Francis and Klein, 2011; Yang et al., 2006). These two SNPs in ARMS2 and HTRA1, rs10490924 and rs11200638, have been found to be in strong linkage disequilibrium (LD) that confer virtually identical risks for AMD, making it difficult to differentiate the two AMD risk alleles in these genes and their effects (Wang et al., 2009).

ARMS2 is a 12kDa protein that contains nine phosphorylation sites and localizes to the outer mitochondrial membrane when expressed in mammalian cells (Yang et al., 2006). Its function is unknown, but the gene is a highly conserved ortholog in chimpanzees. ARMS2 is present in mammalian placental and retinal tissues, specifically in the mitochondria of photoreceptor cells. Specific mitochondrial changes including accumulation of cytochrome-

c oxidase cones, deletion of mitochondrial DNA (mt.DNA), decreases in the size and number of mitochondria, and destruction of mitochondrial cristae are commonly seen with AMD pathology. The retina has the highest energy demand of any tissue in the body and is symptomatically affected in 50% of primary mitochondrial disorders (those caused by mutations in the mitochondrial genome) (Farrar et al., 2013; Yu-Wai-Man et al., 2011). It is understood that oxidative damage plays a significant role in these changes. Photoreceptors are exposed to high levels of light and oxygen, and as such, suffer frequent damage via oxidative injury. Such oxidative stress can damage the mitochondrial genome, resulting in mutations in both from mutations in both mitochondria-encoded genes and those with mitochondrial functions that are encoded by the nuclear genome (Farrar et al., 2013; Yu-Wai-Man et al., 2011).

The cellular localization of ARMS2 is currently unclear. While ARMS2 is thought to be a mitochondria-encoded gene found in photoreceptor cells, where it may play a role in the amount of oxidative stress in the retina (Smailhodzic et al., 2012), ARMS2 has also been reported to be mainly distributed in the cytosol, not necessarily in the mitochondrial outer membrane, which would suggest that ARMS2 may confer risk to AMD through an alternative non-mitochondrial pathway (Wang et al., 2009). ARMS2 localization needs further clarification and investigation.

In the 20-year follow-up report of the Beaver Dam Study, Klein et al found the population-attributable risk for late AMD was 53% when at least one risk-allele for ARMS2 was present (Klein et al., 2013). It has also been reported that ARMS2 variants may play a role in the activation of the complement cascade (Smailhodzic et al., 2012). ARMS2 variants combined with those in the CFH encoding gene on loci 1q31 account for over 50% of the attributable genetic risk associated with AMD (Holliday et al., 2013; Marmorstein et al., 2007; Stanton et al., 2011; Wyatt et al., 2013). ARMS2 variants combined with those in CFH and C3 SNPs account for 76% of the attributable genetic risk for AMD (Spencer et al., 2008). Although the entire function and mechanism of ARMS2 action remains unclear, it is a critical player in the development of AMD.

HTRA1 has been shown to be associated with an increased risk of wet AMD in certain populations (Dewan et al., 2006; Yang et al., 2006). The HTRA1 gene encodes a serine protease expressed in RPE and drusen. The protein appears to regulate the degradation of extracellular matrix proteoglycans and works in conjunction with other extracellular matrix degradation enzymes (i.e. collagenases and metalloproteinases). HTRA1 also binds to and inhibits transforming growth factor-beta (TGF- $\beta$ ), a factor known to play a crucial role in extracellular matrix deposition and angiogenesis (Yang et al., 2006; Zhang and Marmorstein, 2010). Therefore, it is possible that HTRA1 may play a role in the regulation of the Bruch's membrane and growth of vessels into the RPE. One study concluded that HTRA1 SNP rs11200638 is the most likely causal variant of AMD at the 10q26 locus among the Han Chinese population, and estimated the combined population attributable risk for CFH and HTRA1 alleles to be 75% (Yang et al., 2006).

Recently, two rs2284665 SNP in ARMS2/HTRA1 was also recently identified as affecting the growth of CNV in AMD (Akagi-Kurashige et al., 2015). Overall, variants of ARMS2/HTRA1 genes confer a major risk for the development of AMD.

**4.2.3 EFEMP1 (Fibulin 3)**—Epidermal growth factor (EGF) containing fibulin-like extracellular matrix protein (ECM) 1 (EFEMP1) is a member of the fibulin family of matrix glycoproteins, and it is also known as fibulin 3. Fibulins contain a number of EGF-like repeats, followed by a C-terminus fibulin-like domain. EFEMP1 can be found in several locations including RPE cells and endothelial basement membrane of choroidal vessels (Zhang and Marmorstein, 2010). EFEMP1 stimulates expression of TIMP-1 and TIMP-3 (discussed later) but also inhibits various matrix metalloproteinases (MMPs). Of note, EFEMP1 is also an inhibitor of angiogenesis (Zhang and Marmorstein, 2010). EFEMP1 has been identified as an innate immune responsive gene that is upregulated after optic crush injury (Templeton et al., 2013). EFEMP1 is also upregulated in gliomas and is mutated in Doyme honeycomb dystrophy (DHD, otherwise known as malattia Leventinese, an autosomal dominant maculopathy) (OMIM: 601548), and this pathology has shed light onto its possible effect on progression of AMD. A single mutation, R345W, appears to be responsible for DHD symptoms in five families studied (Stone et al., 1999), and causes similar problems in EFEMP1 R345W-knock-in mice (Fu et al., 2007; Marmorstein et al., 2007). In these knock in mice, the EFEMP1 mutation resulted in misfolding, inefficient secretion, and retention of the translated protein in cells (Marmorstein et al., 2002). Additionally subretinal deposits found in these mice also contained EFEMP1 and TIMP-3. In mutant mice, EFEMP1 is deposited between the RPE and drusen. Copy number variants involving EFEMP1 have been associated with AMD (Meyer et al., 2011). In AMD eyes, EFEMP1 is deposited beneath the RPE immediately overlying drusen although it was not a major component of the drusen itself in either case. However, accumulation of misfolded EFEMP1 may lead to drusen formation and to subsequent cellular degeneration (Marmorstein et al., 2007; Marmorstein et al., 2002).

Additional studies using a yeast-2-hybrid approach have shown that EFEMP1 interacts with and binds to CFH, and has an even higher affinity for the AMD related CFH 402H variant (Wyatt et al., 2013). Wyatt et al., demonstrated that Fibulin 3 and CFH proteins co-localize in cholesterol rich regions of soft drusen in patients homozygous for the CFH 402H variant (Wyatt et al., 2013). This may support why defects in EFEMP1 have been shown to lead to extracellular matrix alterations and depositions within the retina. These alterations are key to the associated AMD risk of EFEMP1 as they result in excessive complement activation (Fu et al., 2007). Although the actual attributable risk of EFEMP1 on AMD development is not known, its role in ECM alteration and retinal deposits make it a likely player in AMD pathogenesis. Further studies are needed to more precisely define this risk.

**4.2.4 Hemicentin-1**—Hemicentin-1 (HMCN1) is a large, extracellular member of the immunoglobulin (Ig) superfamily. Like EFEMP1, HMCN1 contains EGF-like domains, as well as 48 tandem Ig modules. Its function is likely structural as a *C. elegans* homolog has been noted to be involved in attaching neurons to substrates, anchoring of the germ line syncytium and epidermal hemidesmosome organization (Gene ID: 83872). HMCN1 is one

the few genes which is thought to cause AMD in a Mendelian fashion, a mutation segregated exclusively with the disease phenotype in a large multigenerational family with AMD (Schultz et al., 2003) (OMIM: 608548). HMCN1 also interacts directly with ARMS2 (Kortvely et al., 2010). While the familial AMD mutation is rare, and is therefore not a major contributor to AMD risk (Fisher et al., 2007), other polymorphisms may influence the longitudinal rate of AMD development (Thompson et al., 2007).

#### 4.3 Genes Related to Neovascularization

An additional category of AMD risk factors includes genes producing proteins (VEGF, TIMP-3, Fibulin 5) that directly and indirectly influence the growth of new blood vessels. Of these, VEGF is the most important. VEGF is the target of current anti-AMD therapies ranibizumab (Lucentis) and bevacizumab (Avastin). Genes that directly or indirectly influence the levels of VEGF are likely to have an influence on AMD development.

**4.3.1 Vascular Endothelial Growth Factor**—Vascular endothelial cell growth factor (VEGF) is a member of the platelet-derived growth factors. It acts in numerous ways to promote pathological neovascularization. First, it functions as an endothelial cell mitogen to initiate angiogenesis and inhibits apoptosis in order to sustain angiogenesis (Ng and Adamis, 2005). As a chemo-attractant, VEGF increases endothelial cell migration, proliferation, and vessel formation. VEGF also acts as a pro-inflammatory molecule, increasing leukocyte migration, which in turn increases VEGF expression (Storkebaum and Carmeliet, 2004). In opposition to its negative pathological effects, VEGF also has a neuroprotective function relating to its ability to increase neuronal survival and Schwann cell proliferation (Storkebaum and Carmeliet, 2004).

VEGF plays an important role in maintaining a healthy retina. Five different retinal cell types produce VEGF including: vascular endothelium, retinal pigmented epithelium, Muller cells, ganglion cells, and astrocytes (Kinnunen et al., 2012; Ng and Adamis, 2005). In addition, VEGFR-2 expression has been localized to the inner nuclear layer (Muller cells and amacrine cells), ganglion cells, and retinal vasculature (Penn et al., 2008). There are seven biologically active isoforms in the VEGF family, but VEGF-A is thought to be most critical in the angiogenesis process. VEGF-A is also referred to as VEGF-165 to specify the number of amino acids in the protein. It interacts with specific tyrosine-kinase receptors VEGFR-2, producing a subsequent downstream cascade. Vascular endothelial growth factor receptor 2 (VEGFR2) activation results in increased release of nitric oxide and prostacyclin I<sub>2</sub>, which alter vascular permeability and endothelial cell proliferation (Galan et al., 2010; Penn et al., 2008).

VEGF-A has been linked to many ocular neovascular diseases (Ambati and Fowler, 2012) and previous studies have demonstrated increased intraocular levels of VEGF in patients with wet AMD, diabetic retinopathy, retinopathy of prematurity, retinal vein occlusion and neovascular glaucoma (Miller et al., 2013). All of these ocular diseases share a similar pathological process: vascular permeability and neovascularization. VEGF is released by the retina and retinal pigment epithelium in response to tissue hypoxia. Increased VEGF production results in subsequent upregulation of endothelial nitric oxide synthase,



metalloproteinases and decreased tissue inhibitors of metalloproteinase expression, which together enhance choroidal neovascularization.

Single nucleotide polymorphisms at the genetic level are presumed to contribute to altered VEGF and VEGFR-2 expression, leading to the pathogenesis of AMD and even diabetic retinopathy. These SNPs and others associated with AMD are summarized in Table 2.

**4.3.2 Tissue Inhibitor of Matrix Metalloproteinase-3**—Tissue Inhibitor of Matrix Metalloproteinase (TIMP) refers to a group of proteins that inhibit matrix metalloproteinases (MMPs), a group of proteins involved in extracellular matrix (ECM) degradation (Gene ID: 7078). There are four variations of TIMP include: TIMP-1, TIMP-2, TIMP-3 and TIMP-4. Polymorphisms in TIMP-3, specifically, have been linked to varying AMD susceptibility in several studies (Ardeljan and Chan, 2013; Chen et al., 2010; Fritsche et al., 2016). Localized to RPE cells of Bruch's membrane (Della et al., 1996; Kamei and Hollyfield, 1999), TIMP-3 contains a netrin (SanGiovanni et al., 2015) responsive domain and is induced in response to mitogens. TIMP-3 is unique from its other TIMP family members in that it binds directly to components of the extracellular matrix ECM (Leco et al., 1994).

Macular Bruch's membrane concentrations of TIMP-3 appear to be age dependent (Kamei and Hollyfield, 1999). Additionally, patients with AMD often have supranormal levels of TIMP-3 both in macular Bruch's membrane and in macular drusen. As TIMP-3 inhibits MMPs, excess TIMP-3 may retard Bruch's membrane renewal and result in the thickening of Bruch's membrane. By reducing Bruch's membrane permeability, the trafficking of metabolites and nutrients between the choroid and RPE is also reduced, ultimately resulting in RPE and photoreceptor atrophy (Kamei and Hollyfield, 1999).

Most notably, TIMP-3 is a potent inhibitor of angiogenesis and is mutated in Sorsby's fundus dystrophy, which includes features similar to AMD such as submacular CNV (Weber et al., 1994), but typically presents before age 40 (Chen et al., 2010) (OMIM: 188826). This matrix-bound inhibitor has various functions, including regulating the turnover of Bruch's membrane, and perhaps most importantly, acting as a local inhibitor of VEGF thus limiting CNV (Qi et al., 2003). TIMP-3 blocks angiogenesis by inhibiting the binding of VEGF to the VEGF receptor (Qi et al., 2003). Mice lacking TIMP-3 have increased choroidal vasculature (Rodriguez de Cordoba et al., 2004). Mutations leading to decreased activity in TIMP-3 result in increased VEGF levels and a subsequent increase in the growth of pathological blood vessels in the eye.

Although many hypotheses have been proposed regarding the direct mechanism and causative relationship between TIMP-3 and AMD development, the direct mechanistic relationship remains unknown. While TIMP-3 is known to inhibit CNV, elevated levels have also been associated with thickened macular Bruch's membrane and subsequent RPE atrophy (Kamei and Hollyfield, 1999). A recent GWAS study by Fritsche et al. (2016) demonstrated that a very rare coding variant of TIMP-3 may have a causal role for AMD development (Fritsche et al., 2016). Despite this, more research is needed to address the mechanistic relationship between these associative findings.

**4.3.3 Fibulin 5**—The fibulin 5 (Fbln5) gene is a member of the same family as EFEMP1/Fbln3. Fibulin 5 is a secreted ECM protein that promotes cell adhesion via interactions between integrins and its tripeptide Arg-Gly-Asp (RGD) motif (Nakamura et al., 1999). Fibulin 5 contains similar EGF-like domains to fibulin 3, and normally localizes to Bruch membrane and the intercapillary pillars of the choriocapillaris. In AMD, fibulin 5 localizes to pathological sub-RPE deposits as well as to small drusen (Kucukevcilioglu et al., 2015; Mullins et al., 2007). This gene is expressed in developing arteries and is upregulated in adult vessels in response to injury or atherosclerosis, implying a role in vascular development and remodeling (Gene ID: 10516). Mutations in fibulin 5 are also associated with cutis laxa (Markova et al., 2003) and AMD3 (Stone et al., 2004). There is evidence to suggest that fibulin 5 may downregulate VEGF and other promoters of angiogenesis. Meanwhile, overexpression of fibulin 5 in choroidal endothelial cells has been shown to alter their proliferation and migration (Li et al., 2012). As properly functioning fibulin 5 strengthens cell adhesions, down-regulates VEGF, and controls choroidal endothelial cell proliferation, mutations leading to misfolding and subsequent dysfunction of this protein confer an increased risk of AMD development (Schneider et al., 2010).

#### 4.4 Lipoprotein-Related Genes

A number of AMD-predisposing variants are in components of lipoprotein and circulating cholesterol metabolism. Some of these variants may also alter risk with respect to atherosclerosis and other disorders associated with aging.

**4.4.1 Hepatic Lipase**—Hepatic Lipase (LIPC) is responsible for lipoprotein production. Both in a large GWAS and subsequent meta-analysis, the presence of an HDL-elevating allele of the LIPC gene was found to be associated with decreased risk of AMD (Neale et al., 2010; Reynolds et al., 2010; Wang et al., 2015b). Reynolds et al. found that the LIPC gene may be responsible for increasing HDL numbers in the blood, as well as a decreased risk of developing AMD. While high HDL levels are protective against AMD, individuals with high LDL counts have a significantly higher risk of developing AMD (Reynolds et al., 2010). The exact mechanism for the positive effects of HDL is unknown. Genetic analysis of the LIPC gene revealed that there are several different genotypes. In particular, the TT genotype has been linked to a significantly decreased risk of developing both wet and late-stage dry AMD. The discovery of LIPC, a genetic variant in the HDL pathway, may serve as a potential marker to be used in laboratory testing and individual risk analysis for the development of AMD (Reynolds et al., 2010).

**4.4.2 Apolipoprotein E**—In 1995, Klaver et al identified the Apolipoprotein E (ApoE) gene polymorphism as a strong risk factor for age-related macular degeneration, among various other neurodegenerative diseases. ApoE is the major apolipoprotein of the CNS and an important regulator of cholesterol and lipid transport (Klaver et al., 1998). Since then, the ApoE gene, found on chromosome 19q13.2 (Adams et al., 2011), has been consistently shown to play a significant role in the development of AMD (Baird et al., 2004; Levy et al., 2015; Paun et al., 2015). The ApoE polymorphism rs2075650 has been strongly associated with early AMD ( $P=1.1 \times 10^{-6}$ ) (Holliday et al., 2013).

ApoE is responsible for the movement and transportation of lipids and cholesterol throughout cells in the body. Specifically, ApoE facilitates the binding of lipoproteins to low-density lipoprotein (LDL) receptors. This is crucial to fulfil cell requirements for lipoprotein cholesterol (Baird et al., 2004; Mahley, 1988). ApoE also has non-lipid functions such as immunoregulation and modulation of cell growth and differentiation. It is produced in high amounts in the liver, brain, and eye. High levels of ApoE mRNA can be found in the retina as well as the RPE, Bruch's membrane, and choroid. Interestingly, ApoE is also a ubiquitous component of drusen (Mahley, 1988).

There are three common allelic variants frequently associated with the development of AMD ( $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$ ) (Adams et al., 2012). The  $\epsilon 4$  allele has shown to be protective against the development of AMD while the  $\epsilon 2$  allele has been implicated in moderately increasing the risk of AMD development (Anderson et al., 2001; Baird et al., 2004; Shen et al., 2015). The  $\epsilon 3$  allele is often used as a baseline control in studies to determine risk. In a study by Baird et al., patients possessing allelic variants  $\epsilon 3\epsilon 4$  were nearly 42% less likely to have AMD when compared to patients with allelic variants  $\epsilon 3\epsilon 3$  (OR=0.58). The  $\epsilon 3\epsilon 4$  genotype was most protective against late-stage dry AMD, lowering the risk of geographic atrophy by 65% (OR=0.35 compared to  $\epsilon 3\epsilon 3$  patients with geographic atrophy). In contrast, the  $\epsilon 2$  allele appears to increase the incidence of AMD. Those with the  $\epsilon 2\epsilon 3$  genotype were diagnosed with AMD nearly four years earlier than those with the  $\epsilon 3\epsilon 3$  genotype (P=0.15) (Baird et al., 2004). In a later study by Baird et al. (2006), patients who possessed the  $\epsilon 2$  allele had nearly a 4.8-fold increased relative risk of developing AMD compared to patients containing the  $\epsilon 4$  allele (Baird et al., 2006). Adams et al. found that patients with  $\epsilon 2\epsilon 3$  genotype had a 33% increased risk of early AMD (the presence of drusen  $\geq 125 \mu\text{m}$  with or without the presence of pigmentary abnormalities) when compared to those with the control  $\epsilon 3\epsilon 3$  genotype (P=0.004) (Adams et al., 2012). In addition, patients with the  $\epsilon 2\epsilon 2$  genotype were 83% more likely to have late AMD than patients with the  $\epsilon 3\epsilon 3$  genotype (OR=1.83, P=0.04) (McKay et al., 2011). Wickremasinghe found that one copy of the  $\epsilon 2$  allele was associated with intraretinal fluid at baseline (Wickremasinghe et al., 2014). In 2015, Shen et al. found that one copy of the  $\epsilon 2$  T-allele (rs7412) had an increased risk of overall AMD (OR= 1.17, P=  $5.7 \times 10^{-3}$ ) and nonexudative AMD (OR= 1.32, P= 0.077), but not CNV (OR= 1.03, P= 0.153). Two copies of the  $\epsilon 2$  increased the risk of developing all forms of AMD, while the  $\epsilon 4$  allele was protective against all forms of AMD, especially CNV (Shen et al., 2015). In a pooled analysis of 15 studies (n=21,160), McKay et al., also showed that the  $\epsilon 4$  allele was protective against late AMD (OR= 0.72, P=  $4.41 \times 10^{-11}$ ) (McKay et al., 2011).

Interestingly, the ApoE  $\epsilon 4$  allele, while protective in AMD, is associated with increased risk for Alzheimer's disease (AD), while the converse is true for ApoE2, which is protective against AD (Kovacs et al., 2007). To complicate matters further, there appears to be an interplay between the  $\epsilon 4$  allele and the CFH-Y402H variant which may predispose to comorbidity for both AD and AMD (Zetterberg et al., 2008). Toops et al (2016) suggests that the reason the allelic risk factors are opposite in AD and AMD, is that that ApoE serves a fundamentally different purpose in regulating cholesterol homeostasis in RPE versus neurons (Toops et al., 2016).

In sum, the ApoE gene is consistently associated with AMD and can have either protective or susceptible effects based on the presence of certain alleles.

**4.4.3 Cholesteryl Ester Transfer Protein**—A number of studies have linked cholesteryl ester transfer protein (CETP) to increased AMD or polypoidal choroidal vasculopathy (PCV) risk (Chen et al., 2010; Paun et al., 2015; Peter and Seddon, 2010; Wang et al., 2015b; Yu et al., 2011; Zhang et al., 2013). CETP is responsible for transferring cholesteryl esters between lipoproteins. Cholesterol is then transferred away from peripheral tissues via high-density lipoproteins (HDL), eventually resulting in uptake of cholesterol by the liver (Gene ID: 1071, OMIM: 118470). CETP mutations may influence susceptibility to atherosclerosis and coronary heart disease (Zhong et al., 1996).

In the retina, CETP is localized mainly to the inter-photoreceptor matrix, suggesting that the retina has an intra-retinal mechanism for processing and maturation of HDL-like lipoproteins (Tserentsoodol et al., 2006). This mechanism may be important, as photoreceptor outer segments are engulfed by the RPE at a significant daily rate and are lipid rich, indicating a high rate of lipid membrane renewal. In one population, the A allele of CETP polymorphism rs3764261 was associated with slightly lower rates of advanced AMD (OR= 0.49, P= 0.011) in addition to unilateral (OR= 0.52, P= 0.043) and bilateral AMD (OR= 0.45, P= 0.026) (Wang et al., 2015a). Unfortunately, many of the mentioned studies focused primarily on a particular ethnic population, and the results may not widely apply. Although CETP may play a contributory protective role against development of AMD in certain populations, studies involving patients with more diverse ethnic backgrounds are necessary.

**4.4.4 CD36**—CD36 is a major surface component of platelets and serves as a thrombospondin receptor in platelets and other cell lines (Gene ID: 948). Only limited evidence has been shown demonstrating its link to AMD. Thrombospondins are a diverse family, involved in mainly adhesive processes, implicating CD36 as a cell adhesion molecule. CD36 also binds oxidized LDL, collagen and phospholipids and may act in transport of fatty acids and/or as a regulator of fatty acid transport. Mutations in CD36 can lead to platelet glycolipid deficiency. Additionally, certain CD36 polymorphisms result in varied susceptibility to malaria, as CD36 acts as the major receptor for *Plasmodium falciparum* infected erythrocytes. CD36 deficiency is correlated with various insulin resistance syndromes in humans and rats (OMIM: 173510). CD36 variants have also been associated with nvAMD (Kondo et al., 2009b) and differ in frequency between nvAMD patients and those with polypoidal choroidal vasculopathy (PCV) (Bessho et al., 2012).

CD36 is expressed in the RPE and may participate in phagocytosis of photoreceptor outer segments. Deficiency of CD36 has been shown to lead to significant photoreceptor degeneration in mice (Houssier et al., 2008; Picard et al., 2010). One of the initial pathogenic changes in AMD involves drusen formation and the laying down of laminar deposits along Bruch's membrane. These deposits have been shown to contain oxidized LDL, to which CD36 binds. Increases in oxidized plasma LDL are observed with age and high cholesterol diet. CD36 serves as the primary receptor in RPE cells for oxidized plasma LDL. Interestingly, mice with CD36 deficiency showed Bruch's membrane thickening with

deposition of oxidized LDL, despite consuming a regular diet. Conversely, treating high cholesterol model ApoE null mice with a CD36 agonist resulted in reduced thickening and preservation of photoreceptor function, despite a high fat diet (Picard et al., 2010).

CD36 deficiency also leads to decreased COX-2 production in the RPE. COX-2 is pro-angiogenic and can stimulate VEGF production. Mice with a COX-2 null mutation develop choroidal degeneration similar to that seen in geographic atrophy (Houssier et al., 2008). Although many indirect methods have linked CD36 to AMD, more rigorous experimentation is required to further solidify this association.

#### 4.5 Potential AMD-Related Loci

The AMD consortium identified seven new loci that are associated with AMD risk. These include: ADAMTS9, Col8A1-FILIP1L, IER3-DDR1, SLC16A8, TGFBR1, RAD51B, and B3GALTL (Fritsche et al., 2013). Each will be discussed separately below. Additionally several other recently identified polymorphisms of various genes that confer increased risk of AMD development will be addressed.

**4.5.1 ADAMTS9**—ADAMTS9 is a member of the disintegrin and metalloproteinase with thrombospondin motifs family, members of which have been implicated in proteoglycan cleavage, control of organ shape during development and inhibition of angiogenesis (Gene ID: 56999). It maps to a region of chromosome 3 often lost in various tumours (OMIM: 605421) and may be associated with AMD development (Fritsche et al., 2013). Deletion of this gene in HEK293 cells inhibits endoplasmic reticulum to Golgi apparatus transport of some proteins (Yoshina et al., 2012). A *C. elegans* homologue, Gon1, is involved in gonad development and extracellular matrix degradation; the two proteins are functionally interchangeable (Yoshina et al., 2012). No obvious retinal function has so far been noted for this gene and further studies are required to confirm its association with AMD.

**4.5.2 Col8A1-FILIP1L**—Collagen Type 8, alpha 1 (Col8A1) is a short chain collagen and a major component of the basement membrane of the corneal endothelium (Gene ID: 1295, OMIM: 120251) and may play a role in development of AMD (Yu et al., 2011). Filamin interacting protein 1 (FILIP1) (Gene ID: 27145) is involved in extracellular remodelling and linking glycoproteins to actin filaments (FLNA, Gene ID 2136). FILIP1L (OMIM: 612993; Gene ID: 11259) is a relatively uncharacterised protein homologous to FILIP1 may have a role in inhibition of angiogenesis and cell proliferation (Kwon et al., 2008). Col8A1/FILIP1L comprises a genetic interval on chromosome 3 (human) identified by the AMD consortium as containing an AMD susceptibility locus (Fritsche et al., 2013). It is as yet unclear which of these transcripts (or regulatory regions within this area) harbours the predisposing mutation, however, FILIP1L may be an angiogenesis inhibitor and Col8a1 is a constituent of blood vessel cell walls, making both plausible potential candidates as players in AMD pathogenesis.

**4.5.3 IER3-DDR1**—The IER3-DDR1 locus comprises a genetic interval on chromosome 6p21 (human) identified by the AMD consortium as containing an AMD susceptibility locus (Fritsche et al., 2013). This interval contains a number of transcribed loci, including

immediate early response 3 (IER3), a transcription factor of the NF-kappa beta family, which is thought to function as an anti-apoptotic factor (Gene ID: 8870). IER3 is also highly expressed in monocytes and macrophages and has a role in the immune response. Knockout mice lacking this gene showed increased susceptibility to Leishmania infection and an aggravated inflammatory response, thought to result from decreased TNF production by both macrophages and T cells (Akilov et al., 2009).

Discoidin domain receptor tyrosine kinase 1 (DDR1) is also present in this interval. DDR1, is a receptor tyrosine kinase that is overexpressed in various tumour types and belongs to a subfamily that interacts with collagens (Gene ID: 780). DDR1 may be involved in neurite extension and has a functional role in arterial vessel walls (OMIM: 600408). The functions of LINC00243 (long intergenic non-protein coding RNA 243) (Gene ID: 401247) and the microRNA MIR4640 (Gene ID: 100616237), also transcribed from this interval, are not known. The immunological role of IER3 and the presence of DDR1 in the vasculature would make both feasible candidates for AMD susceptibility. However, it is currently unclear which of these transcripts (or regulatory regions within this area) harbours an AMD-predisposing mutation.

**4.5.4 SLC16A8**—Solute carrier family 16 member 8 (SLC16A8) is a member of a family of proton-coupled monocarboxylate transporters involved in transporting lactate across cell membranes (Gene ID: 23539; OMIM: 610409). This gene was cloned from an RPE-derived library and may be specifically expressed in the RPE. Knockout mice showed a four-fold increase in lactate in parts of the retina and a reduced amplitude ERG, despite showing histologically normal retinas up to 36 weeks. Physiological changes may possibly relate to a decrease in the pH of the subretinal space, causing functional problems (Daniele et al., 2008). Recent evidence in a Nature Genetics study by Fritsche et al (2016) demonstrated that a splice variant in SLC16A8 may be strongly linked to AMD (Fritsche et al., 2016). In any case, the restricted expression of SLC16A8 in the RPE makes it a plausible candidate for AMD susceptibility and a potential focus of future investigation.

**4.5.5 RAD51, RAD51B**—Rad51 has been associated with AMD in a Chinese population (Zhou et al., 2013), while Rad51B was recently found to be associated with AMD risk by the AMD consortium (Fritsche et al., 2013). Rad51 family members interact with each other to carry out DNA repair via homologous recombination. Rad51 binds to tumour suppressors BRCA1 and 2 as part of a possible cellular response to DNA damage (Gene ID: 5888), while overexpression of Rad51B causes cell cycle delay and apoptosis, suggesting a role in DNA damage detection (Gene ID: 5890). A SNP in Rad51B has been associated with increased risk of male breast cancer (Orr et al., 2012). Rad51B is associated with both non-exudative AMD and CNV (Shen et al., 2015). No retinal specific functions have been noted to date, however, variants in genes that have apoptotic and/or cell cycle related functions would have obvious implications in retinopathies where RPE and/or photoreceptor cells die by toxicity related apoptosis.

**4.5.6 FRK/Col10A1**—An AMD susceptibility polymorphism, rs1999930 on 6q21-q22.3 near FRK/COL10A1, has been recently identified near the FRK and Col10A1 genes (Yu et al., 2011). Collagen 10A1 is a short-chain minor component of cartilage, expressed by

hypertrophic chondrocytes during endochondral ossification. Mutations of Col10A1 result in Schmid-type metaphyseal chondrodysplasia (SMCD) and Japanese-type spondylometaphyseal dysplasia (SMD) in humans. Mimicked mutations in Col10A1 knockout mice result in variable skeletohematopoietic defects. In mice, these mutations have been shown to be dominant negative mutations and result in phenotypes similar to those seen in humans (Gress and Jacenko, 2000). The relevance of Col10A1 to AMD pathogenesis remains unclear. While the C-terminal region of the gene has been implicated in angiogenesis inhibition, a number of other collagens (Col8a1, Col15a1) have also been implicated in AMD pathogenesis, suggesting a possible retinal function of the Col10A1 gene (Yu et al., 2011).

FRK is a nuclear tyrosine kinase that has been implicated in suppression of the cell cycle during G1 and S phase (Gene ID: 2444). It has been shown to induce nerve growth factor (NGF) and enhance neurite outgrowth in a cell line that models neuronal differentiation. Knockout mice show subtle changes in thyroid hormone regulation with age, but are otherwise normal and fertile (OMIM: 606573). Indirect negative effects on retinal microvasculature and repression of the VEGF pathway via AKT signalling have been postulated to be of possible relevance in AMD pathogenesis (Yu et al., 2011), although further confirmatory investigation is requisite.

**4.5.7 CACNG3**—Calcium channel, voltage-dependent, gamma subunit 3 (CACNG3) is a type I transmembrane AMPA receptor regulatory protein (TARP), a class of proteins which regulate trafficking and channel gating of AMPA receptors. This gene is associated with susceptibility to familial infantile convulsive disorder (Gene ID: 10368, OMIM606403). One study reported a potential association between AMD and CACNG3 variants rs2283550, and rs4787924, although more studies are needed to confirm this association and clarify the role of the gene in AMD pathogenesis (Spencer et al., 2011)

**4.5.8 MYRIP**—A novel protective variant in myosin VIIA and Rab interacting protein (MYRIP), rs2679798, was identified as part of a scan involving families with an increased cohort of AMD affected members and confirmed as part of a case control cohort (Kopplin et al., 2010). MYRIP interacts with myosin Va and VIIA (OMIM: 611790); mutations in myosin VIIa cause deafness and Usher syndrome 1B, of which the primary symptoms include deafness and retinitis pigmentosa (OMIM: 276903).

MYRIP is expressed in the RPE and also interacts with Rab27a and Rab27b in a GTP dependent manner. As all three proteins are associated with melanosomes, it has been proposed that they form a complex involved in melanosome trafficking (El-Amraoui et al., 2002), in which MYRIP acts as a myosin receptor (Kuroda and Fukuda, 2005). Notably, increased pigmentation may also have a protective effect on AMD, as previously discussed. MYRIP also functions as a protein kinase A anchoring protein in zebrafish and can interact with the Sec6 and Sec8 components of the exocyst complex, which controls protein trafficking and exocytosis. In this instance, MYRIP was hypothesized to link PKA to the exocytosis machinery (Goehring et al., 2007).

One of the major roles of the retinal pigment epithelium (RPE) is the degradation of phagosomes, which are derived from the ingestion of photoreceptor outer segment (POS) disk membranes. Jiang et al. found that POS phagosomes associate with myosin-VIIa and then kinesin-1 light chain 1 (KLC1), as they moved from the apical region of the RPE, and lack of KLC1 impairs phagosome degradation and RPE pathogenesis that was comparable to aspects of AMD, with an excessive accumulation of RPE and sub-RPE deposits, as well as oxidative and inflammatory stress responses. This research group demonstrated that defective microtubule motor transport in the RPE, such as transport of POS phagosomes in relation to degradation, leads to phenotypes associated with AMD (Jiang et al., 2015).

**4.5.9 Skiv2L**—A novel protective variant, an intronic polymorphism rs429608 within the Skiv2L gene, was identified as part of a scan involving families with an increased cohort of AMD affected members and confirmed as part of a case control cohort (Kopplin et al., 2010). Superkiller viralicidic activity 2-like (Skiv2L) gene codes for an RNA helicase. Skiv2L is expressed at higher levels in T and B lymphocytes and dendritic cells (Fernando et al., 2007; Liu et al., 2013; Liu et al., 2011), hypothesizing that it may be protective in development of AMD through immune response modulation (Kondo et al., 2009a; Liu et al., 2013). Interestingly, while the minority A allele is thought to be protective in an American study (Kopplin et al., 2010), more recent studies in Han Chinese have implicated it as being pathogenic in AMD (Liu et al., 2011; Lu et al., 2013). As differences in genetic background can account for wider phenotypic variation with regard to the effect of the same mutation in different mouse strains, it is possible that the same applies to humans. Skiv2L contains a DEAD box and may therefore function as an RNA helicase. It is a human homolog of Ski2, suggesting an antiviral function, and may block translation of polyA deficient RNAs (Gene ID: 6499) Ski2 is one of a group of 6 yeast proteins that acts to constrain the copy number of RNA viruses. Human Skiv2L is associated with the exosome, which is known to mediate 3'–5' RNA degradation in yeast. However, the yeast Ski2 is not an exosomal protein (OMIM: 600478). Mutations cause trichohepatoenteric syndrome, which results in growth retardation, hair abnormalities, facial dysmorphism, immunodeficiency and liver disease (OMIM: 614602). Consistent with an immunological role, the Skiv2L gene maps to the MHCIII region and is in linkage disequilibrium with other loci implicated in AMD (C2, CFB), but is also postulated to exert an independent effect (Kondo et al., 2009b). Skiv2L is expressed in the retina and RPE in both humans and mice (Liu et al., 2011), however, given that the polymorphism associated with AMD is intronic, it is difficult to speculate with regard to its impact on protein structure and function. A different Skiv2L polymorphism, rs2075702, conferred a reduced risk of PCV in a Japanese cohort (Kondo et al., 2009b). Another Skiv2L polymorphism, rs429608, exerted a protective effect on nvAMD in a Han Chinese cohort of 490 nvAMD patients (Ye et al., 2016). Further research is required to better understand the association of Skiv2L with the risk of AMD development.

**4.5.10 IGFR1**—Cha et al. found that the pattern of cytokine expression in the aqueous humor of exudative AMD patients varies from that of normal control subjects, where exudative AMD eyes were found to have increased levels of insulin-like growth factor binding protein 2 (IGFBP-2) and insulin-like growth factor-1 (IGF-1), indicating that the



altered expression of IGF-related molecules may be involved in the disease pathogenesis for exudative AMD (Cha et al., 2013).

The rs2872060 SNP in insulin-like growth factor-1 receptor (IGFR1) has been significantly associated with AMD (Chiu et al., 2011). This gene has tyrosine kinase activity and acts as a receptor for IGF1, which it binds with high affinity. It acts as an anti-apoptotic agent in enhancing cell survival and is therefore overexpressed in a wide range of tumors (Gene ID: 3480). Some IGFR1 polymorphisms are positively associated with longevity, while mutations in this gene have resulted in growth retardation. Knockout mice die at birth from respiratory failure and are severely reduced in size; however, heterozygotes show an increase in lifespan (OMIM: 147370). Specific knockout in vascular endothelial cells resulted in a 34% decrease in retinal vascularization following hypoxia (Kondo et al., 2003). IGF1 and IGFR1 are found in the retina, RPE, and in some retinocapillary and choriocapillary endothelial cells. Their co-localization would point towards an autocrine function in the retina and associated ocular tissues (Lambooi et al., 2003). AMD pathology almost certainly results from the participation of IGF1 in neovascularization as inhibition of the IGF signaling pathway results in a reduction in VEGF levels (Sall et al., 2004), and IGF inhibitors have been shown to decrease VEGF levels in mice with laser induced CNV (Economou et al., 2008). IGFR1 SNP rs2872060 may serve as a fruitful target of further investigation evaluating risk factors for AMD.

**4.5.11 REST-C4/F14-PolR2B-IGFBP7**—An association has been identified with AMD risk between TNFRSF10A-LOC389641 rs1713985 and the multi-gene locus REST-C4/F14-POLR2B-IGFBP7 on chromosome 4q12 (Arakawa et al., 2011). This region contains the REST, NOA1, Pol2RB and IGFBP7 genes. This association was initially identified in a Japanese population and has not since been verified elsewhere (Nakata et al., 2012; Zhou et al., 2013). REST is a transcriptional repressor of neural specific genes is expressed in undifferentiated progenitors and may be a master negative regulator of neurogenesis (Gene ID: 5978). NOA1 is a large protein peripherally associated with the mitochondrial inner membrane, which may play a role in apoptosis and in mitochondrial respiratory processes (OMIM: 614919). Pol2RB is the second largest subunit of RNA polymerase II, which synthesizes mRNA in eukaryotes (Gene ID: 5431). IGFBP7 is a low affinity IGF binding protein that stimulates prostacyclin production and cell adhesion (OMIM: 602867).

Interestingly, a splice variant mutation in this gene causes retinal arterial macroaneurysm, probably caused by vascular endothelium malfunction, where IGFBP7 is normally highly expressed (Abu-Safieh et al., 2011). Given the role of IGF1 in stimulating VEGF signaling, which is in turn responsible for neovascularization, IGFBP7 is perhaps of most interest in this locus with regard to AMD.

**4.5.12 SCARB1**—Polymorphisms in the scavenger receptor class B, member 1 (SCARB1) gene, such as rs9919713 (Meyers et al., 2014) and rs5888 have been associated with AMD (Zerbib et al., 2009). SCARB1 is a membrane receptor for HDL and is thought to mediate cholesterol transfer to and from HDL (Gene ID: 949). SCARB1 is closely related to CD36 and genetic variants affect susceptibility to atherosclerosis and insulin resistance, while knockout mice are infertile (OMIM: 601040). SCARB1 knockouts fed with a high

cholesterol diet develop retinal changes closely related to AMD (Provost et al., 2009). SCARB1 binds lutein in the retina which, together with zeaxanthin, forms macular pigment. A shortage of lutein in the diet can result in degenerative signs in the retina. Notably, lutein is transported via HDL in the blood, while SCARB1 is an HDL receptor (Kijlstra et al., 2012). Lutein is also thought to diminish ocular, and possibly systemic inflammation levels, making it a very relevant component of retinal and macular function (Kijlstra et al., 2012). As such the intimate dynamics between SCARB1 and cholesterol and lutein transport contribute to its postulated effects on AMD development.

**4.5.13 SerpinG1**—In 2008, Ennis et al found that genetic variation in SerpinG1 significantly alters susceptibility to AMD (Ennis et al., 2008). Polymorphisms within the SerpinG1 gene have been associated with AMD in Caucasians (Gibson et al., 2010; Lee et al., 2010), with less influence in other ethnicities (Dong et al., 2015; Liu et al., 2015). Serpin peptidase inhibitor, clade G (C1 inhibitor), member 1 (SerpinG1) is localized to photoreceptor cells, inner nuclear layer neurons, choriocapillaris, and choroidal extracellular matrix within the retina, where it plays a crucial role in the regulation of complement activation (Mullins et al., 2009). SerpinG1 regulates the initiating steps of the complement cascade through its inhibition of activated C1r and C1s (Gene ID: 710). Mutations in SerpinG1 cause hereditary angioedema, as seen in other conditions poor C1 regulation (Carugati et al., 2001) (OMIM: 606860). It is also a component of innate immunity activated by optic crush injury (Templeton et al., 2013). Liu et al. conducted a meta-analysis suggesting that SerpinG1 is not a major component of AMD in East Asians, but is a genetic risk factor for AMD in Caucasians, providing evidence for an ethnic diversity in the genetic etiology of AMD (Liu et al., 2015). SerpinG1 may exert its influence on AMD development through regulation of the classical pathway of complement activation and other immune system functions in this disease process.

**4.5.14 TNFRSF10A**—A polymorphism which alters tumor necrosis factor receptor superfamily, member 10a (TNFRSF10A) transcription levels, rs13278062 at the locus TNFRSF10A-LOC389641 has been identified as a risk factor for AMD in a Japanese population (Arakawa et al., 2011). This finding has since been confirmed in other Asian populations (Nakata et al., 2012). Another group found that SNPs at AMD loci TNFRSF10A showed a trend toward association with typical chronic central serous chorioretinopathy (de Jong et al., 2015). TNFRSF10A is a member of the TNF receptor superfamily and is activated by tumor necrosis factor-related apoptosis inducing ligand (TNFSF10/TRAIL). It utilizes FADD (Fas-Associated protein with Death Domain) as an adapter protein in the pro-apoptotic pathway. As such, it plays critical role in apoptosis regulation.

**4.5.15 CCL2**—SNPs in chemokine (C-C motif) ligand 2 (CCL2), rs4586 and its receptor CCR2 rs1799865, combine to increase risk of AMD in individuals carrying both variants (Anand et al., 2012). Anand et al. (2012) noted that CCL2 and CCR2 levels were elevated in peripheral blood mononuclear cells (PBMCs) in individuals with AMD compared to controls (Anand et al., 2012).

CCL2 is a cytokine which displays chemotactic activity for monocytes and basophils and has been implicated in a number of diseases (atherosclerosis, psoriasis and rheumatoid arthritis) which are characterized by monocytic infiltrates (Gene ID: 6347). Mutations are associated with resistance to HIV. Knockout mice have shown some degree of immunodeficiency (related to issues with monocyte recruitment and an inability to mount a type II helper cell response) but were also 83% more resistant to atherosclerosis when placed on a high cholesterol diet (OMIM: 158105). Knockout mice also developed signs of AMD (drusen, lipofuscin accumulation, choroidal neovascularization and photoreceptor degeneration), possibly implicating macrophage dysfunction in the pathogenesis of AMD (Ambati et al., 2003).

Crossbreeding of the CCL2 knockout mouse with a knockout of Cx3CR1 (see below) resulted in mice with symptoms of AMD by 6 weeks of age (Tuo et al., 2007). However, these symptoms may have been exacerbated by the presence of an rd8 mutation in the genetic background of the mice studied, without which, a mild phenotype indicative of some retinal stress was observed (Vessey et al., 2012). In the absence of the rd8 allele, deficiency in CCR2 in mice was found to lead to a mild form of retinal degeneration which is associated with the recruitment of macrophages, particularly to the subretinal space; though this model enabled the research group to assess consequences of perturbed chemokine signaling, it did not recapitulate cardinal AMD features (Hagbi-Levi et al., 2016). CCL2 expression by retinal Mueller cells promotes macrophage infiltration of the retina and accelerates retinal damage and photoreceptor death, following injury (Rutar et al., 2012). CCL2 activated monocytes have also been shown to accelerate apoptosis in RPE cells (Yang et al., 2011). In summary, patients that have both CCL2 (rs4586) and CCR2 (rs1799865) SNPs may have an increased risk of AMD, though the evidence is not definitive.

**4.5.16 Cx3CR1**—Various studies have shown association of SNPs within chemokine (C-x3-C motif) receptor 1 (Cx3CR1) with AMD susceptibility in Chinese and Greek populations (Anastasopoulos et al., 2012; Ma et al., 2015; Yang et al., 2010). This gene is a receptor for fractalkine, a chemokine which is involved in the migration and adhesion of leukocytes. Variants are associated with increased susceptibility to HIV (Gene ID: 1524). Cx3CR1 is expressed by all retinal microglia and accumulate at retinal lesions in patients with AMD (Combadiere et al., 2007). Knockout mice showed accumulation of microglial cells at sites of retinal degeneration and choroidal neovascularization (OMIM: 601470). Its potential relation to AMD pathogenesis may be related to its effect on immune system modulation on a chemokine signaling level.

**4.5.17 ERCC6**—Polymorphism ERCC6 C-6530>G has been associated with AMD susceptibility (Tuo et al., 2006). Copy number variations involving this gene may also influence AMD susceptibility (Liu et al., 2011). Excision repair cross-complementation group 6 (ERCC6) is involved in transcription coupled excision repair of new DNA mutations, interacting with a complex of functionally similar proteins at DNA repair sites (Gene ID: 2074). Mutations in this gene cause UV sensitivity and cerebrooculofacioskeletal syndrome 1, in addition to AMD susceptibility (OMIM: 609413). ERCC6 functions in universal transcription as a component of RNA polymerase I transcription complex, and

may therefore interact with another susceptibility allele in the CFH intron, by epistasis (Tuo et al., 2006). Initial data support ERCC6's perceived effect on AMD pathogenesis as homozygous inheritance of both CFH and ERCC6 polymorphisms confers a disease odds ratio of 23 (Tuo et al., 2006). Polymorphisms in the ERCC6 and ERCC2 DNA repair genes reported to be associated with AMD confirms the importance of the cellular reaction to DNA damage, influenced by variability in DNA repair genes, in AMD pathogenesis (Blasiak et al., 2012). Further investigation is necessary to determine the magnitude of DNA repair genes' importance in AMD.

**4.5.18 FSCN2**—Fascins have a role in crosslinking actin into filamentous bundles during dynamic cell extension. Fascin 2 (FSCN2) is specific to photoreceptor cells and may play a role in photoreceptor outer segment disk morphogenesis. A 208delG mutation was thought to result in autosomal dominant retinitis pigmentosa (adRP) or macular degeneration in a Japanese population (Wada et al., 2003), although the mutation in question was later found in Chinese normal controls (Zhang et al., 2007) (Gene ID: 25794; OMIM: 607643). Janik-Papis et al. (2009) also reported that the gene products of FSCN2 may play a role in the pathogenesis of AMD in the Polish population (Janik-Papis et al., 2009). FSCN2 knockout mice have been shown to exhibit progressive retinal degeneration (Yokokura et al., 2005), hinting at the crucial role FSCN2 plays in retinal and photoreceptor maintenance. Because of conflicting data, further investigation is necessary to understand whether there is a significant association between FSCN2 and AMD.

**4.5.19 AMD-Related Loci Summary**—The goal of a GWAS is to use genetic risk factors to make predictions about who is at risk and to identify the biological underpinnings of disease susceptibility for developing new prevention and treatment strategies (Bush and Moore, 2012). Application of this method was instrumental in first identifying CFH as a key associated factor in AMD (Hageman et al., 2005; Haines et al., 2005; Klein et al., 2005). A majority of the previously discussed factors have also been identified and associated with AMD based on this method of investigation (Fritsche et al., 2013; Fritsche et al., 2016). Although more focused research is required to confirm the identified loci and their reported association with AMD, it is vital to recognize their emerging importance. Additionally, several genes mentioned have only demonstrated association to AMD in particular ethnic populations, preventing universal conclusions from being applied. Although many of the mentioned genes are not currently confirmed as AMD risk factors, they may serve as attractive and fruitful targets for future research and investigation.

## 4.6 Effects of Genetic Polymorphism on Anti-VEGF Therapy

Not only can a person's genetic makeup predispose them to the development and progression of AMD, but it can also determine the degree of response to AMD treatments. Current AMD therapies include intravitreal anti-VEGF antibody treatment such as bevacizumab and ranibizumab. As VEGF is responsible for vascular permeability and neovascularization, anti-VEGF therapies counteract the negative effects of VEGF leading to stabilization and quiescence of the choroidal vasculature. As with many other therapies, patients experience a broad degree of response to this therapy. The genetic makeup of each patient plays an influential role in the degree of positive or negative response to anti-VEGF

therapy. By understanding the response of anti-VEGF treatment on people with different phenotypes, physicians can provide a more targeted and cost-effective therapy to their patients

**4.6.1 VEGF and VEGFR polymorphisms**—VEGF polymorphisms are also associated with the response to an anti-VEGF treatment. VEGF SNPs rs3025000 and rs699946 have been associated with improved anti-VEGF treatments (Abedi et al., 2013; Fauser and Lambrou, 2015).

For the VEGF SNP rs302500 (with alleles T & C), at least one T allele appears to be advantageous in anti-VEGF treatment ( $P < 1 \times 10^{-4}$ ). Abedi et al. observed that patients with either a TT or TC genotypes showed significantly better visual acuity improvement (7+ letters) at 3, 6, and 12 months than did patients with the CC genotype (Abedi et al., 2013). In addition, patients with the T allele for rs3025000 needed less anti-VEGF injections to attain comparable visual acuity outcomes compared to other groups (Abedi et al., 2013).

Regarding VEGF SNP rs699946, Agosta et al. found that patients with the G allele responded better to anti-VEGF therapy compared to patients carrying the A allele (Agosta et al., 2012). VEGF-A SNPs rs833069 and rs833068 have been associated with the number of injections received for an anti-VEGF treatment. For VEGF-A SNP rs833069, genotypes of GG received an average of 2 injections compared to AG and AA genotypes, which received an average of 6.57 and 6.40 injections respectively (Smith and Mitchell, 1998). For VEGF-A SNP rs833068, genotypes of AA received an average of 2.67 injections compared to AG and GG genotypes, which received an average of 6.57 each (Francis and Klein, 2011). Park et al. found improvement of visual acuity by 15 letters in patients with TT genotype of VEGF-A rs3025039 (Park et al., 2014). However, one study found no association with other VEGF polymorphisms rs1413711, rs3025039, rs2010963, rs833061, rs699947, rs3024997, rs833069 and rs1005230 and visual acuity (VA) response after anti-VEGF treatment (Smailhodzic et al., 2012). Overall, it appears that the VEGF SNPs rs3025000, rs833068, rs844069, and rs699946 have exhibited the strongest response to anti-VEGF treatment.

In addition to the effects of VEGF SNPs on patient response to anti-VEGF treatment, recent evidence supports positive treatment effects due to various vascular endothelial growth factor receptor (VEGFR) SNPs. Hermann et al. identified 2 SNPs (rs4576072 and rs6828477) in the VEGFR2 gene that were independently associated with a significantly improved visual acuity response over control at 3 and 12 months of treatment with ranibizumab. After 12 months, the mean increase in VA for both VEGFR2 SNPs combined on the logMAR scale was 0.26 ( $P=0.006$ ), 0.08 ( $P=0.012$ ), and 0.02 ( $P=0.097$ ) for patients with 3, 2, or 1 minor alleles, respectively. Patients with no contributing minor alleles had an average VA decrease of 0.03 (Hermann et al., 2014).

Two large randomized clinical trials (Comparison of AMD Treatments Trials (CATT) and Alternative Treatment to Inhibitor VEGF in Patients with Age-Related Macular Degeneration (IVAN)) were performed to investigate the effects of various VEGF polymorphisms and their association with change in visual acuity in response to anti-VEGF treatment (CATT Research Group et al., 2011; IVAN Study Investigators et al., 2012).

Recently Hagstrom et al. reemphasized earlier results that data from the CATT and IVAN trials do not support pharmacogenetic associations between VEGFR2 SNPs, rs4576072 and rs6828477, and change in visual acuity after anti-VEGF treatment (Hagstrom et al., 2015).

**4.6.2 Complement Factor H polymorphisms**—SNP alleles for Complement Factor H (CFH) have shown the strongest pharmacogenetic association to anti-VEGF treatment. The CFH Y402H genotype (with alleles, T and C) may influence response to anti-VEGF therapy. Homozygosity for the C allele in CFH gene has been associated with poor response to anti-VEGF treatments (Brantley et al., 2007; Kloeckener-Gruissem et al., 2011; Nischler et al., 2011; Teper et al., 2010). Patients with at least one T allele appear to respond better to anti-VEGF treatment than do CFH CC homozygote patients (Agosta et al., 2012). Patients with the CC Y402H genotype for CFH show significantly less improvements in visual acuity after anti-VEGF treatment than compared to CFH genotypes of TC and TT (Brantley et al., 2007; Kloeckener-Gruissem et al., 2011; Nischler et al., 2011; Teper et al., 2010). One study reported that patients with the CFH TT genotype experienced an average visual acuity improvement from 20/248 to 20/166; patients with the CFH TC genotype experienced an average visual acuity improvement from 20/206 to 20/170; patients with the CFH CC genotype experienced an average visual acuity decline from 20/206 to 20/341 ( $P=0.016$ ). A total of 53.7% of patients with CFH TT and TC genotypes gained visual acuity with treatment, while only 10.5% of patients with the CFH CC genotype gained visual acuity with treatment ( $P=0.004$ ) (Brantley et al., 2007). A Chinese meta-analysis found that six out of ten studies showed the C-allele to be a good predictor of poor response in patients receiving anti-VEGF treatment (Chen et al., 2012). Thus, in addition to the CC Y402H genotype being strongly associated with the development of AMD, it is also indicative a poor response to anti-VEGF treatment. An additional study cited that patients having the minor allele (A) in CFH SNP rs1065489 (CFH) had a significantly worse visual outcome compared to control. However, one study found no statistically significant positive or negative response differences to anti-VEGF therapy for various CFH genotypes (Orlin et al., 2012). In the recent Comparison of AMD Treatments Trial (CATT), there was no statistically significant difference between different allelic genotypes in patients with CFH SNP rs1061170 (Hagstrom et al., 2013) – a CFH SNP strongly associated with AMD development (Klein et al., 2013). In sum, certain polymorphisms of the gene encoding complement factor H, especially those with the CC homozygote genotype, appear to respond poorly to VEGF treatment.

**4.6.3 Complement Factor 3 polymorphisms**—SNPs that encode complement factor 3 (C3) have been implicated in the development of AMD. Those who are homozygous for the high-risk allele (GG) in SNP rs2230199 have a greater chance of developing AMD than those without the risk alleles. In addition, this genotype may indicate a more positive (although weak) response to anti-VEGF treatment. In March 2013, Hagstrom et al. found that patients homozygous for the high risk alleles had better visual acuity compared to those with one or zero risk alleles ( $P=0.03$ ). However, these results did not reach the study's statistical significance parameters of  $P < 0.01$ . In addition, other indicators of an improved response to treatment (retinal thickness, >15 letter increase, leakage, etc.) did not reach statistical significance (Hagstrom et al., 2013).

**4.6.4 ARMS2/HTRA1 polymorphisms**—The ARMS2 gene has been strongly implicated in the development of AMD (Kanda et al., 2007; Klein et al., 2013; Shen et al., 2015). Further, the ARMS2 SNP rs10490924 has been shown to be a strong predictor for the development of late AMD (Klein et al., 2013; Shen et al., 2015). Although this polymorphism may play a role in the development and progression of AMD, its presence does not predict how a patient will respond to anti-VEGF treatment. A recent study by Hagstrom et al. found that there was no statistically significant change in retinal thickness, visual acuity, mean number of injections, foveal thickness, vascular leakage, or lesion size following anti-VEGF treatment for those with rs10490924 (Hagstrom et al., 2013). Although this allele is strongly associated with late AMD, it does not play a major influential role in a patient's response to treatment.

Certain High Temperature Requirement Factor (HTRA1) genotypes may also provide short-term benefit in response to anti-VEGF treatment. HTRA1 genotypes GG, GA, and AA, showed mean letter score changes of +2.2, +7.5, and +2.9 respectively (McKibbin et al., 2012). However, several studies showed no association between positive or negative responders to anti-VEGF treatment (Orlin et al., 2012; Spencer et al., 2007). Although HTRA1 is also associated with a greater risk of AMD development, it may be associated with improved short-term response to current therapies for wet AMD. The high risk T allele of HTRA1 LOC387715 corresponded with a lower average number of bevacizumab injections (GG, 2.143; GT, 2.000; TT, 1.575;  $P=0.064$ ) in a Korean population. It was also associated with greater improvement in visual acuity 6 months after treatment (logarithm of the minimum angle of resolution; TT, 0.346; GT, 0.264; GG, 0.188;  $P=0.037$ ) (Kang et al., 2012). A recent study published by Hagstrom et al. did not find a significant correlation between genotypes of the HTRA1 SNP rs11200638 and improved response to anti-VEGF treatment (Hagstrom et al., 2013), even though this allele has been thought to influence the development of AMD.

**4.6.5 PLAG12A polymorphisms**—One study determined the effects of 21 different SNPs in six genes that had previously been associated with AMD (PLAG12A, IL23R, STAT3, VEGF-A, KDR, and HIF1A). Researchers found that only the SNP rs2285714 from the phospholipase A2 group XII A (PLA2G12A) gene showed an association (although weak) with poor response to anti-VEGF treatment. Initially, patients with at least one T allele of rs2285714 were nearly three times more likely to be poor responders than good responders to anti-VEGF treatment. However, after Bonferroni corrections were performed, these results no longer maintained statistical significance (Wang et al., 2012b).

**4.6.6 Apolipoprotein E polymorphisms**—Different alleles of the gene encoding ApoE showed different responses in preserved visual acuity to anti-VEGF treatment. Patients with the APOE  $\epsilon$ 4 allele showed stronger visual acuity after anti-VEGF treatment than did those with the APOE  $\epsilon$ 2 allele. In addition, patients with the APOE  $\epsilon$ 4 allele had an odds ratio for a 2-line gain in vision from baseline that was 1.5 times higher than patients with the  $\epsilon$ 2 allele (OR=4.04 compared to OR=2.54). This data suggest a significantly better visual response to anti-VEGF treatment for patients with the APOE  $\epsilon$ 4 allele (Wickremasinghe et al., 2011).

#### **4.6.7 Effects of Genetic Polymorphisms on Anti-VEGF Therapy Summary**—

The data presented here suggest that each person's response to AMD treatments may be influenced by individual genetics, and as such, understanding each patient's individual genetic makeup holds prognostic benefit. Potentially, a doctor could predict a patient's likelihood and degree of response to anti-VEGF therapy based on their genotype for certain alleles. This information may also decrease cost and discomfort to patients by preventing ineffective and unnecessary treatments for their genetic profile.

Many of the studies previously mentioned were retrospective and should not serve as standards to influence current clinical practice. Some studies even suggest that although certain alleles may predict development of AMD, they do not predict response to anti-VEGF therapy (Hagstrom et al., 2013). Additionally, there have been multi-center clinical trials evaluating responses to current anti-VEGF therapies that have led to current best practice guidelines in treating AMD (CATT Research Group et al., 2011). Despite this, these data may serve as grounds to conduct future prospective, randomized clinical trials examining the effect of individual genetics on a patient's response to anti-VEGF therapy. In addition to prognostic value, such studies could provide novel recommendations in terms of quantity and course of anti-VEGF treatment (or recommendation against treatment). In addition, such information would provide added incentive for gene therapy as a treatment for AMD in the future.

#### **4.7 Biomarkers in Heritability and Genetics Summary**

Overall, it appears that genetic polymorphisms of the CFH and ARMS2 genes show the strongest correlation with the development of AMD. Screening for high-risk alleles may provide at-risk patients with motivating information to make adequate lifestyle changes to eliminate environmental AMD risk factors. However, it is debatable whether early genetic screening of younger individuals without AMD is beneficial. In a 20-year follow-up of the Beaver Dam Eye Study, Klein et al., found that 80% of people with high-risk CFH and ARMS2 alleles never progressed to late AMD if they lived to 80 years old (Klein et al., 2013). Although high-risk genotypes make a significant contribution to the development of AMD, early genotyping of patients may not provide any extra benefit to prevention or care over traditional phenotypic staging of the disease.

### **5. Biomarkers of Exposure**

It is believed that behavioural and nutritional factors are included in the etiology of AMD, in addition to genetic susceptibility (Seddon et al., 2011). As AMD is a multi-factorial disease, both genetic and environmental aspects determine development and progression of AMD. Environmental exposure may influence expression of genetic determinants of AMD and genetic susceptibility can worsen effects of environmental exposure (Klein et al., 2014). Both of these factors may also be influenced by epigenetic changes as well (Hutchinson et al., 2014). This section will discuss known environmental exposures – specifically smoking, sunlight, and dietary factors – and their effects on AMD development and progression.



## 5.1 Smoking

Cigarette smoking is the strongest environmental risk factor for wet AMD (Pons and Marin-Castano, 2011), and is responsible for a two- to three- fold risk increase of developing AMD (Evans et al., 2005; Thornton et al., 2005). Smoking is associated with both dry and wet forms of AMD, but there is a stronger correlation between smoking and the development of nvAMD (Clemons et al., 2005; Delcourt et al., 1998; Smith et al., 1996; Thornton et al., 2005; Vingerling et al., 1995a; Vingerling et al., 1995b; Woo et al., 2015). In addition, the number of packs and pack years smoked is directly correlated to the degree of AMD risk (Chang et al., 2008; Khan et al., 2006; Thornton et al., 2005).

The cessation of smoking is greatly beneficial to health in general, but whether ex-smokers have an increased risk of developing AMD is inconclusive. Some studies have significant findings to suggest that former smokers are still at an increased risk (Smith et al., 1996; Thornton et al., 2005; Vingerling et al., 1995a; Vingerling et al., 1995b), while other studies did not find statistically significant evidence of any increase in risk of AMD for ex-smokers (Klein et al., 1998; Klein et al., 2002).

There is also minimal evidence concerning the effect of second hand or passive smoking and risk of AMD (Smith et al., 1996; Thornton et al., 2005; Vingerling et al., 1995a; Vingerling et al., 1995b), although there is some evidence to support an increased risk of AMD development from exposure to secondhand smoke (Pons and Marin-Castano, 2011).

The exact mechanism of how and why smoking affects the pathophysiology of AMD is not fully understood. Solberg et al. theorized that “smoking may also reduce choroidal blood flow in the eye, and promote ischemia, hypoxia, and micro-infarctions, all of which could increase the susceptibility of the macula to degenerative changes” (Solberg et al., 1998). This is supported by evidence that smoking decreases choriocapillaris blood flow associated with AMD (Ciulla et al., 2001; Luty et al., 1999). In addition, Kew et al. found that smoking may work through a complement mechanism, activating C3 in the alternative pathway (Kew et al., 1985). Decreased plasma levels of complement factor H have been observed in smokers (Esparza-Gordillo et al., 2004). In mouse models, nicotine administration increases the severity of CNV (Suner et al., 2004).

Although more research is needed to fully elucidate the specific mechanisms of AMD development, smoking remains as the strongest environmental exposure risk factor associated with the development and aggravation of AMD.

## 5.2 Sunlight

It has been suggested that sunlight exposure could be a risk factor for the development of AMD (Mitchell et al., 1998; Schick et al., 2015; Taylor et al., 1992). Excessive exposure to light has been reported to cause retinal damage (Coleman et al., 2008), and it has been suggested that wearing sunglasses may be considerably protective against this risk factor (Cruickshanks et al., 1993; Schick et al., 2015).

Other studies have shown little or no association between sunlight exposure and the risk of AMD (Age-Related Eye Disease Study Research, 2000; Fletcher et al., 2008; The Eye Disease Case-Control Study Group, 1992).

Recently however, in a retrospective study by Schick et al (2015), there appeared to be no association between *current* sun exposure and the development of AMD, but a significant association between *previous* sun exposure (outside >8hours daily) and early AMD (OR=5.54, P=0.02) and late AMD (OR=2.77, P=0.01) (Schick et al., 2015).

Owing to the complexity in quantifying sun exposure differences among people living in the same location, Smith et al., criticised many studies which reported an association between sunlight exposure and AMD (Smith et al., 2001b).

### 5.3 Diet

Both increased dietary fat intake and obesity are positively associated with increased risk of AMD incidence and progression. Additionally, a protective effect from antioxidants, nuts, fish, and omega-3 polysaccharide unsaturated fatty acids has been described (Biomarkers Definitions Working, 2001; Seddon et al., 2003b).

The Age Related Eye Disease Study (AREDS) demonstrated the efficacy of zinc-antioxidant supplements for preventing or delaying progression of early AMD to late AMD in patients who are at high risk (Biomarkers Definitions Working, 2001). A population-based cohort study in Australia showed that high dietary lutein and zeaxanthin intake reduced the risk of the long-term incident AMD and that high beta-carotene intake was associated with an increased risk of AMD (Tan et al., 2008). However, In the U.S., the third National Health and Nutrition Examination Survey (NHANES) illustrated that age-related maculopathy is not significantly associated with dietary fat in a large cross-sectional survey (Heuberger et al., 2001). The clinical evidence suggested that the omega-3 fatty acid may be protect against AMD, although, it is not evidently supported by the current literature (Hodge et al., 2006). Awh et al. suggested that treatment of AREDS supplements should be tailored according to patient's specific genotypes (Awh et al., 2015; Awh et al., 2013); however this was later challenged (Chew et al., 2015). It is believed that behavioural and nutritional factors are included in the aetiology of AMD, in addition to genetic susceptibility (Seddon et al., 2011). AREDS and AREDS2 supplements, the combination of antioxidants (lutein and zeaxanthin) and zinc, are currently the only evidenced based dietary supplement to decrease risk of progression to late-stage AMD (Age-Related Eye Disease Study 2 Research et al., 2013; Biomarkers Definitions Working, 2001; Chew et al., 2015).

## 6. Biomarkers of Disease & Progression

Although, several candidate genes for AMD have already been identified, many individuals carrying these AMD genes never go on to develop AMD. As such, there are other factors at work. Other limitations to genetic biomarkers of AMD include the necessity to develop a simple and inexpensive methodology to identify people who carry SNPs of the relevant AMD genes. Although genetic biomarkers can be valuable predictors of AMD susceptibility,

given these limitations, they are currently inappropriate for screening and guiding treatment until further efficiency and cost-effectiveness for tailored testing is developed.

Developing analytical procedures and immunoassays to detect biomarkers of early and late AMD, both for screening and monitoring therapeutic progress of patients with disease, would contribute significantly to reducing blindness from this debilitating disease.

An interesting use of proteomics is using specific protein biomarkers to diagnose disease, and serum biomarkers have been used extensively in screening and monitoring other disease processes (Table 3). Metabolomics is another relatively new area of research that may also shed new insights on potential biomarkers for AMD screening and progression. MicroRNA (miRNA) profiling has also become a vital technology to help identify potential disease biomarkers (Zhao et al., 2010), elucidate the pathogenesis of many diseases, and reveal valuable diagnostic and prognostic information, as well as promising treatment targets (Bentwich et al., 2005).

These biomarkers are discussed below.

## 6.1 Proteomic biomarkers

Proteomic biomarker discovery from human plasma has had a significant impact on clinical applications as well as early detection of disease. Below, we discuss carboxyethylpyrrole, a promising proteomic biomarker of early AMD, as well as homocysteine.

**6.1.1 Carboxyethylpyrrole**—The research interest of early identification of AMD-susceptible individuals has found carboxyethylpyrrole (CEP), a protein generated from free radical induced oxidation of docosahexaenoate (DHA)-containing lipids levels and CEP autoantibody titers to be possible biomarkers for AMD (Gu et al., 2010; Hollyfield et al., 2010; Ni et al., 2009a). Gu et al. suggested that there is a significant elevation in both serum CEP oxidative protein modifications and serum CEP autoantibodies (vicarious markers of CEP) in AMD by 60 and 30%, respectively. Additionally, prospective assessment CEP markers can be utilized to distinguish between AMD plasma and normal individual's plasma with 76% accuracy (Gu et al., 2010; Ni et al., 2009a), while the accuracy is increased to 92% if CEP and pentosidine (a marker of protein damage and advanced glycation end products) (Sell et al., 1991) have been measured (Ni et al., 2009b). The Clinical Genomic and Proteomic AMD Study Group (2009) suggested that the combined measurement may be more accurate to differentiate between patients and control individuals (Gu et al., 2009). Additionally, plasma protein N<sup>ε</sup>-carboxymethyllysine (CML) and pentosidine had been quantified in order to use them as AMD biomarkers; where the level of CML and pentosidine was higher in AMD (Ni et al., 2009b).

**6.1.2 Homocysteine**—It is believed that an elevated homocysteine level is associated with advanced AMD (Axer-Siegel et al., 2004; Ghosh et al., 2013; Seddon et al., 2006). Homocysteine has been suggested as a biomarker of cardiovascular disease (Boushey et al., 1995). Additionally, studies not only found that homocysteine was significantly elevated in AMD patients (Kamburoglu et al., 2006; Rohtchina et al., 2007; Vine et al., 2005) but that the levels were higher in nvAMD vs. the dry form of AMD (Coral et al., 2006; Ghosh et al.,

2013; Nowak et al., 2005). Although these data may support using serum homocysteine levels as a surrogate marker and screening for AMD, the studies had small numbers of cohorts yielding low statistical power.

In 2015, Christen et al. conducted an average 10-year prospective study to examine the association of plasma homocysteine levels and AMD in over 27,000 females over the age of 40. Comparing the highest and lowest quartiles of plasma homocysteine levels in women that developed AMD, there was a modest, yet non-significant difference (HR 1.24, P=0.07) (Christen et al., 2015). Overall, the data on the relationship between plasma homocysteine levels and AMD remains controversial (Huang et al., 2015; Keles et al., 2014; Pinna et al., 2016) and future well-designed trials are needed to establish homocysteine as a viable biomarker of AMD.

**6.1.3 Proteomic Biomarkers Summary**—While the relationship between plasma homocysteine levels and AMD is controversial (Huang et al., 2015; Keles et al., 2014; Pinna et al., 2016), proteomic research shows promise with respect to developing biomarkers for AMD, such as CEP, particularly when used in combination with pentosidine (Gu et al., 2009). Additionally, plasma protein N<sup>ε</sup>-carboxymethyllysine (CML) and pentosidine had been quantified in order to use them as AMD biomarkers, where the level of CML and pentosidine was higher in patients with AMD (Ni et al., 2009b). Additional studies must be undertaken to validate proteomic biomarkers of AMD and develop assays for clinical application.

## 6.2 Serum biomarkers

Commonly used for other disease processes, the use of serum biomarkers may be a useful tool to one day improve screening and distinguish AMD patients from healthy individuals, as well as for monitoring disease progression and response to treatment. Below, we discuss possible serum biomarkers for early detection of AMD, such as specific circulating autoantibodies, interferon  $\gamma$ -inducible protein 10 (IP-10), eotaxin, and soluble FMS-like tyrosine kinase-1 (sFlt-1), for which we present promising recent findings from our lab. We also discuss possible serum biomarkers for monitoring AMD disease progression, such as C-reactive protein (CRP), cholesterol, and VEGF.

**6.2.1 C-Reactive Protein**—C-reactive protein (CRP) is an acute-phase reactant that is predominantly synthesized in liver hepatocytes and adipocyte cells. When elevated, it acts as a non-specific serum marker for subclinical inflammation (Bhutto et al., 2011). As an inflammatory agent, CRP functions to activate complement factors, macrophages and platelets via increased expression of pro-inflammatory factors. Elevated CRP is a risk factor for heart disease, type 2 diabetes and AMD (Seddon et al., 2005).

CRP interacts with various proteins in the complement pathway, including one of the alternative complement's main regulators, complement factor H (CFH). Given that CRP plays pro-inflammatory and pro-thrombotic roles in the pathogenesis of atherosclerosis, it is thought that CRP may play a similar role in the progression of AMD (Seddon et al., 2004). As previously discussed, CFH has been strongly associated with AMD and its interaction with CRP may be responsible for one mechanism of AMD development. With

elevated levels of CRP, there is an increased interaction between CRP and CFH (Bhutto et al., 2011). CRP interferes with the regulatory function of CFH, and thereby can indirectly activate the inflammatory response to foreign body-like material, such as drusen. This process increases susceptibility to AMD. It has been shown that CRP levels were significantly higher in individuals with intermediate or advanced stages of AMD (Bhutto et al., 2011). High levels of CRP, along with low levels of CFH at the retina-choroid interface may lead to uncontrolled complement activation with associated cell and tissue damage (Bhutto et al., 2011).

Several studies have found higher levels of plasma CRP levels in AMD patients compared to healthy controls (Colak et al., 2011; Hong et al., 2011; Robman et al., 2010; Seddon et al., 2004; Yasuma et al., 2010). Seddon et al. found that CRP was significantly associated with the presence of both intermediate and advanced stages of AMD (OR=1.65, P=0.02) (Seddon et al., 2004). A meta-analysis by Hong et al. found that patients with serum levels of CRP >3 mg/L had a two-fold increase in likelihood of late onset AMD than did patients with serum CRP levels <1mg/L (Hong et al., 2011). Additionally, increased levels of CRP levels have been associated with ARMS2/HTRA1 high-risk alleles (Robman et al., 2010; Seddon et al., 2010b; Yasuma et al., 2010).

Chronic inflammation plays a critical role in the development of age-related macular degeneration. Some complement factors that have been implicated in the pathogenesis of AMD include CRP and CFH. Immunohistochemical analysis has demonstrated that the sub-retinal pigment epithelial and choroidal spaces contain drusen and complement components, including CRP and CFH (Mullins et al., 2000). Interestingly, while drusen show high levels of both CFH and CRP, levels of CRP are reduced in areas affected by geographic atrophy (Bhutto et al., 2011). CRP is an important activator of the classical complement pathway and the pathogenesis of AMD is thought to involve the activation of the complement pathway (Edwards et al., 2005; Hageman et al., 2005; Haines et al., 2005; Klein et al., 2005). It has been shown that CRP may enhance NF- $\kappa$ B nuclear translocation (Wang et al., 2010) and induce secretion of pro-inflammatory cytokine interleukin 8 (IL-8) (Wang et al., 2010; Xie et al., 2005).

Overall, CRP plasma levels appear to be significantly correlated with AMD. Because CRP interferes with the regulatory function of CFH on the complement pathway, it can induce inflammation; thus high plasma CRP levels are a risk factor for AMD. Despite this, further studies are necessary to inquire into the role, efficacy, and accuracy of CRP as a screening and prognostic tool in patients with AMD.

**6.2.2 Circulating Autoantibodies**—Heckenlively et al has described anti-retinal antibodies in pigmentary retinopathy and other retinal degenerations (Heckenlively et al., 1996). Serum autoantibodies may also be useful biomarkers that play a mechanistic role in AMD (Adamus et al., 2014; Donoso et al., 2006; Iannaccone et al., 2012; Nussenblatt and Ferris, 2007; Penfold et al., 2001). Iannaccone et al. (2015) identified autoantibodies in AMD sera, even in early-stage disease, including Annexin A5 (ANXA5), Protein S100-A9 (also known as calgranulin B that, when complexed with S100A8, forms calprotectin), and heat shock proteins, HSPA8, HSPA9, and HSPB4 (also known as alpha-crystallin A chain),

which may play a role in AMD via autophagy compromise and downstream activation of the inflammasome (Iannaccone et al., 2012).

Serum antibodies against cyclic nucleotide phosphodiesterase phosphatidylserine (PS) have been strongly associated with various stages of AMD (Morohoshi et al., 2012). Elevated antibody ratios have been found in patients with AMD when compared to control groups. In one study, serum from AMD patients was found to contain immunoglobins G and M that were reactive to self-antigen PS (Morohoshi et al., 2012). IgG/IgM ratios can act as indicators of drusen formation in AMD. The molecular components of drusen found in Bruch's membrane contain possible autoantigens that may be responsible for the pathogenesis of AMD. It has been observed that IgG/IgM ratio levels increase with increasing staging in autoimmune diseases. This study found that serum IgG/IgM ratios were elevated in both dry and wet AMD. In addition, the anti-PS levels were significantly higher in wet AMD than dry AMD. This study found that IgG/IgM ratios over 7 showed up to a 44-fold increased risk for advanced choroidal neovascular AMD. In addition, it was found that the levels of IgG/IgM elevation were directly correlated to the stage of AMD (Morohoshi et al., 2012). Careful monitoring of serum antibody ratios may provide an accurate and efficient way to monitor progression of AMD.

**6.2.3 Cholesterol**—Serum cholesterol levels may be associated with increased risk of AMD. One study reported that low-density lipoprotein (LDL) levels were increased and high density lipoprotein (HDL) levels were decreased in patients with both late-stage dry and wet AMD compared to controls. In addition, there was a significant increase in the risk of developing AMD in patients with higher total cholesterol levels (Reynolds et al., 2010). Recently, Paun et al (2015) demonstrated a correlation between serum apolipoprotein, cholesterol, and triglyceride and patients with certain high-risk AMD genotypes (CETP, ApoE, and FADS1). Patients with these high-risk genotypes had higher serum levels of ApoA1 and HDLC with lower levels of triglycerides compared to controls (Paun et al., 2015). More prospective, randomized trials are needed to establish serum lipid levels as a clinically suitable biomarker for patients at risk for AMD.

**6.2.4 Interferon  $\gamma$ -Inducible Protein 10 and Eotaxin**—Serum Interferon  $\gamma$ -Inducible Protein 10 (IP-10) and eotaxin may serve as serum biomarkers for early detection of AMD. IP-10 is a chemoattractant for activated T lymphocytes and is thought to play an important role effect T cell generation and trafficking to sites of tissue inflammation (Dufour et al., 2002). Eotaxin serves as a potent chemoattractant in eosinophil and other inflammatory cell recruitment (Menzies-Gow et al., 2002). As immunomodulators, IP-10 and eotaxin may serve as possible biomarkers for AMD development.

Mo et al. found that those with early stages of AMD had significantly higher levels of IP-10 and eotaxin compared to controls. These cytokines were elevated in subjects with all early forms of AMD. Specifically, eotaxin levels were significantly higher in AREDS stage 1 AMD patients compared to control (Mo et al., 2010). In addition, IP-10 levels were significantly elevated above control levels in all stages of early AMD, reaching their peak at AREDS Stage 3. However, patients with wet AMD actually showed lower levels of IP-10

and eotaxin compared to patients with AREDS stage 3 and geographic atrophy AMD (Mo et al., 2010).

Eotaxin-1 (CCL11) and Eotaxin-2 (CCL24) are associated with the inflammatory processes seen in AMD. CCL24 may be of particular importance as a biomarker of wet neovascular AMD. One study noted that eotaxin-2 levels were significantly higher in wet AMD patients than in patients with dry AMD or healthy controls. This study also compared eotaxin-2 levels before and after anti-VEGF (bevacizumab) treatment. Of particular interest is the finding that eotaxin-2 levels were significantly increased in AMD patients compared to controls even after anti-VEGF treatment. This suggests that eotaxin-2 may play a role in CNV together with, yet independent of VEGF. Future research should investigate the efficacy of anti-eotaxin-CCL24 in conjunction with current anti-VEGF treatment (Sharma et al., 2012). IP-10 and eotaxin may serve as early biomarkers of AMD and may aid in detection during early stages of AMD (Mo et al., 2010).

**6.2.5 Vascular Endothelial Growth Factor**—Vascular Endothelial Growth Factor (VEGF) levels are often elevated in patients with AMD (Lip et al., 2001; Tsai et al., 2006). VEGF is found at elevated levels in RPE cells of AMD patients (Lip et al., 2001; Tsai et al., 2006). Lip et al. found that plasma levels of VEGF were significantly increased from baseline in 78 AMD patients compared to 25 healthy controls ( $P=0.0196$ ) (Lip et al., 2001). Another study showed elevated plasma VEGF levels in 77 AMD patients compared to 42 healthy controls ( $P<0.001$ ) and noted that patients with wet AMD had significantly higher VEGF levels relative to patients with dry AMD ( $P<0.05$ ), suggesting that high serum VEGF levels may be indicative of the progression of the disease (Tsai et al., 2006). Apart from the VEGF genetic SNPs that may confer increased AMD risk, elevated serum VEGF levels are one of the key culprits of AMD pathogenesis.

**6.2.6 Soluble FMS-like Tyrosine Kinase-1**—Our lab has recently determined that soluble FMS-like tyrosine kinase-1 (sFlt-1), also known as soluble vascular endothelial growth factor receptor-1 (sVEGFR-1), may be a promising, novel biomarker for AMD. sFlt-1 has previously been linked to inhibition of choroidal neovascularization (Gehlbach et al., 2003). sFlt-1 is an alternatively spliced, secreted isoform of the cell-surface receptor membrane-bound flt-1 (mbflt-1). sFlt-1 acts as a ‘manacle’ for VEGF-A, sequestering the proangiogenic growth factor, essentially neutralizing its effect to stimulate its receptor and thus preventing downstream, angiogenic signalling (Ambati et al., 2006; Kendall and Thomas, 1993). In other words, sFlt-1 acts as a trap for secreted VEGF-A extracellularly (Ambati et al., 2006).

We first determined in 2006, that sFlt-1 (also known as sVEGFR-1) is responsible for the avascular nature of the cornea, leading to corneal clarity and optimal vision (Ambati et al., 2006). In 2013, we demonstrated that sFlt-1 is also responsible for photoreceptor avascular privilege, protecting the outer retina from invasion by vessels from either the choroid or inner retinal vasculature (Luo et al., 2013). Because of this earlier work elucidating the ability of sFlt-1 to bind and neutralize VEGF-A, we sought to identify whether sFlt-1 played a protective role against CNV and AMD.

Working with the CARMA clinical trial dataset in Northern Ireland in collaboration with Dr. Ruth Hogg's group, we compared serum sFlt-1 levels in patients without AMD, and in patients with early AMD, and nvAMD. sFlt-1 levels were significantly lower in patients with nvAMD compared to those with early AMD and those without AMD (Uehara et al., 2015). Additionally, we noted that with each 10-point increase (pg/mL) in serum sFlt-1 concentrations, the odds of having nvAMD decreased by 27.8% (OR = 0.722, P = 0.002) and 27% (OR = 0.730, P = 0.003) compared to patients without AMD and those with early AMD, respectively (Uehara et al., 2015). In patients over 73 years of age, sFlt-1 levels <80 pg/ml were associated with a 6.7 fold increased risk of nvAMD. To our knowledge, this is the strongest association of a serum biomarker with nvAMD. Although unclear whether reduced sFlt-1 levels are a by-product of, or were directly causative of neovascularization in AMD patients, these data indicate that monitoring for sFlt-1 levels could potentially serve as a promising biomarker for potentially identifying patients at risk for progression to nvAMD.

**6.2.7 Serum Biomarkers Summary**—Research on serum biomarkers for AMD to date has been promising, particularly with respect to circulating autoantibodies, IP-10, eotaxin, and as we presented our lab's findings, sFlt-1. These serum biomarkers and others presented, such as cholesterol, CRP, and VEGF, may shed light on AMD pathogenesis and risk. As reliable serum biomarkers are discovered, a screening tool combining multiple biomarkers may one day provide accurate predictive results for AMD development in clinical settings.

### 6.3 Metabolomics

Individual blood-based biomarkers can be helpful in understanding the pathophysiology of AMD and for detecting and monitoring disease progression. Yet, each of these individual markers is also significantly impacted by multiple genetic, systemic, environmental and demographic factors. Attempts to assess “the collective set of metabolites produced or present in a biosystem” known as the metabolome, have emerged since the late 1990's (Smolinska et al., 2012). The rapidly developing field of metabolomics (the study of the quantitative complement of metabolites in a biological system and changes in metabolite concentration or fluxes related to genetic or environmental perturbations) aims to take a more holistic approach to the investigation of pathology and has already been applied to a number of conditions including Alzheimer's disease (Graham et al., 2013), diabetes (Maher et al., 2009) and multiple sclerosis (Moussallieh et al., 2014).

Nuclear Magnetic Resonance (NMR) spectroscopy and Mass Spectroscopy (MS) are the main methodologies used in metabolomics. Advances in NMR and MS have been accompanied by the development of analytical tools to handle the complex high-dimensional multivariate data generated by these platforms. Studies commonly investigate urine, plasma and serum, however other biofluids such as cerebrospinal fluid (Lista et al., 2014), amniotic fluid (Amorini et al., 2012) and vitreous humor (Barba et al., 2010) are made possible by metabolomics (Alonso et al., 2015; Mamas et al., 2011; Smolinska et al., 2012).

Several studies have already begun to incorporate this methodology for AMD. One group identified neuroprotectin D1 (NPD1), a DHA-derived bioactive lipid, as associated with AMD (Bazan et al., 2011), and another group performed a metabolome-wide association



study (MWAS) to identify a map of diverse metabolites, ranging from peptides, bile acids, and vitamin D to broader pathways like that of tyrosine metabolism, that may be unique to patients with AMD (Osborn et al., 2013).

As MWAS is still a fairly new methodology for identifying potential biomarkers in AMD, metabolomic research may continue to reveal new insights for AMD screening and monitoring in the future.

#### 6.4 MicroRNAs as Biomarkers

Another new area of research for AMD biomarker identification includes the study of microRNAs (miRNAs). During retinal development, a specific interaction occurs between different molecules to develop the appropriate structure that guarantee the integrity of cellular functions (Maiorano and Hindges, 2012). miRNAs play an important role for both retinal development and maintenance (Arora et al., 2007).

miRNAs are endogenous, non-coding, single stranded RNAs, which are approximately 22 nucleotides long (Bartel, 2004) and believed to be essential role regulation of gene expression (Bartel, 2004) (Bartel, 2009; O'Connell et al., 2008) (Lim et al., 2005; Valencia-Sanchez et al., 2006), thereby influencing cellular functions and altering biological processes such as cell growth and proliferation, death (apoptosis), development, and differentiation (Jovanovic and Hengartner, 2006) in numerous species, including humans (Lee et al., 1993). Figure 3 demonstrates the series of intracellular events that generate miRNAs.

It is believed that a single miRNA can regulate expression levels of hundreds of genes (Shivdasani, 2006). Regulation and deregulation of cellular functions by miRNAs may result in development of normal cells into diseased cells (Gregory and Shiekhattar, 2005; McManus, 2003) leading to the development and progression of human diseases (Urbich et al., 2008). miRNAs play an important role in immune response (O'Connell et al., 2008), cell-cycle control (Croce and Calin, 2005), metabolism (Krützfeldt and Stoffel, 2006), and cell development (Cheng et al., 2005). It is likely that miRNA expression or function is significantly changed in many disease states, including cancer, inflammatory diseases, and metabolic diseases (Keller et al., 2009)(Krützfeldt and Stoffel, 2006).

In addition, miRNAs play an essential role in normal and abnormal development of the eye, like miR204 involvement in microphthalmia (Conte et al., 2010), cornea (Ryan et al., 2006), and retina (Li et al., 2009) (Vecino et al., 2004) (Walker and Harland, 2009) (Hackler et al., 2010) (Yan et al., 2010). In the retina, miRNAs are involved in regulation of retinal cell differentiation (Arora et al., 2010; Decembrini et al., 2009), including neuronal and retinal ganglion cell (RGC) development (Baudet et al., 2012). While it has been proposed that deregulation of miRNAs may induce retinal degeneration, manipulation of miRNAs expression levels may serve as a therapeutic target for degenerative retinal diseases, in addition to various eye diseases (Sundermeier and Palczewski, 2012). Table 4 outlines the various miRNA-profiling studies with the predominant miRNA expression associated with specific retinal disorders. Below, we discuss the potential of circulating miRNAs as biomarkers for AMD screening and monitoring.

**6.4.1 miRNAs in AMD**—While the research on circulating miRNAs associated with AMD is still in its infancy, a few individual miRNAs have been shown to play a functional role in AMD development and progression. miRNAs play important roles in several pathophysiological processes, including immune and inflammatory response, pathological angiogenesis and the response to oxidative stress, all of which have been suggested to be associated with AMD pathogenesis and progression (Wang et al., 2012a). Figure 4 depicts possible effects of miRNAs on AMD development.

Urbich, et al. has shown that miRNAs are involved in vascular biology (Urbich et al., 2008). Zhou et al. (2011) demonstrated that endothelial cells and vascularized tissues are highly enriched with miRNAs encoded by the miR-23, 27, and 24 gene clusters (Zhou et al., 2011). Inhibition of miR-23 and miR-27 may serve to repress both angiogenesis *in vitro* and postnatal retinal vascular development *in vivo*. It has been speculated that manipulation of miR-23/27 levels could provide a novel therapeutic target for patients with vascular disorders, such as neovascular AMD (Nakamura et al., 1999). Lin et al. demonstrated that miR-23a expression was significantly downregulated in macular RPE cells from AMD eyes. RPE cells are also protected against oxidative damage by miR-23a, which may also provide a potential therapeutic target in retinal degenerative diseases (Lin et al., 2011). Others have demonstrated that intraocular injection of pre-miR-31, -150, and -184 in mice considerably reduces ischemia-induced retinal neovascularisation (NV), and injection of pre-miR-31 and -150 reduced levels of choroidal NV (Shen et al., 2008). These data propose that changing of miRNA levels contributes to both retinal and choroidal neovascularization. Alteration of various gene product levels in the angiogenesis cascade and their function may be controlled by miRNAs, which have the ability to self-regulate and control their own expression. As such manipulation of miRNAs may serve as an effective therapeutic approach for future AMD treatment.

Polisena et al (2006) revealed that *in-vitro* angiogenesis may be regulated by miRNAs and their receptors which target angiogenic factors such as VEGF, bFGF, HGF, and SCF (Poliseno et al., 2006). Another study showed that miR-23b, miR-23a, miR-let-7a, miR-let-7b, miR-125b, miR-221, miR-222, miR-125a, miR-24, miR-106a, miR-20, miR-16, miR-let-7c, miR-103, and miR-133a could possibly target some of these angiogenic factors. Whereas, miR-221 and miR-222 regulate specifically the angiogenic activity of stem cells, it has been demonstrated that vascular integrity and angiogenesis of endothelial cells is also regulated by miRNAs (Fish et al., 2008). miR-126 directly represses negative regulators of VEGF pathway, supporting its role to regulate vascular integrity and angiogenesis. miR-126 knockdown in zebrafish leads to loss of blood vessels integrity and bleeding during embryonic development (Fish et al., 2008). These results suggest miR-126 may be an additional, new therapeutic target.

The final stage of dry AMD, geographic atrophy (GA), is a result of RPE degradation. A key enzyme in microRNA processing, DICER, is depleted in GA; furthermore, conditional knockdown of DICER in mice has been shown to cause RPE degeneration. The mechanism of degeneration is linked to small retrotransposons known as Alu elements. Alu elements are short interspersed repeats, or SINES, which make up 10% of the human genome, mostly shared with other primates, indicating a relatively distant evolutionary origin.

Retrotransposons are mobile “junk” or parasitic DNA elements that are transcribed as RNA, and in limited circumstances when reverse-transcribed into DNA again, can re-insert in random genomic locations, sometimes resulting in deleterious mutations. Their transcription is maintained under strict cellular controls, probably mediated via DICER. Alu RNA is increased in the RPE of patients with GA; meanwhile, treatment of DICER ablated mice with Alu antisense RNA prevents RPE degeneration (Kaneko et al., 2011). DICER ablation, or Alu RNA exposure activates the NLRP3 inflammasome, while inhibition of inflammasome components or downstream signalling inhibits RPE degradation caused by DICER depletion or Alu exposure (Dridi et al., 2012). Alu exposure or DICER depletion also results in increased ERK1/2 phosphorylation, which is integral to pathogenesis; inhibition of this signalling pathway arrested RPE degradation. ERK1/2 phosphorylation occurs secondary to IL18 signalling, which results from activation of IL18 by the NLRP3 inflammasome (Dridi et al., 2012). Elucidation of this pathogenic mechanism is of academic importance in assigning DICER a role in cellular RNA policing, above and beyond its function in miRNA activation (Kaneko et al., 2011). This is also of importance to the treatment of AMD as a variety of pharmacological targets can now be investigated (Dridi et al., 2012).

**6.4.2 Summary of miRNAs in AMD**—miRNA may serve as valuable target for future investigation both in AMD pathogenesis, monitoring, and even treatment avenues, although current evidence supporting association and role of various miRNAs with AMD is limited and in preliminary stages. Additional research is necessary to not only confirm these preliminary findings, but also elucidate the exact role miRNAs may serve as potential biomarkers in a clinical setting.

## 6.5 Summary of Biomarkers of Disease & Progression

In summary, recent advancements in proteomics, metabolomics, miRNA profiling, in addition to serum studies, point toward multiple potential biomarkers for AMD, which may one day be used in concert for powerful disease screening and surveillance. Technical obstacles (assay development, developing of statistical parameters, etc.) discussed in section 7 must be overcome before applying these promising biomarkers for the whole population or at least for individuals at risk for AMD.

## 7. Process of Biomarker Development and Validation

Although a multitude of potential biomarkers have been identified, no biomarkers are currently being used to screen, guide clinical management, or predict disease outcomes or response to treatment in patients with AMD. This vast disparity of identified potential biomarkers and their actual clinical use is not specific to AMD as a disease, nor ophthalmology as a field of medicine. In oncologic medicine, biomarkers have been consistently used to screen for early malignancies, develop a differential diagnosis, guide treatment decisions, measure response to therapy, as well as monitor for recurrent disease (Duffy et al., 2015). As new oncologic biomarkers are being consistently and aggressively pursued, several theoretical frameworks for biomarker development, validation, and clinical use have been articulated (Duffy et al., 2015; Moons et al., 2009; Pavlou et al., 2013; Taylor

et al., 2008). Building upon previously established frameworks, we have described our own process of biomarker validation and development in a six-step process (Figure 5):

1. Identification of potential biomarker
2. Development of assay to accurately test or measure biomarker
3. Intrinsic validation of the biomarker
4. Determination of statistical parameters of biomarker in clinical setting
5. Determination of tests effect on patient outcomes
6. Approval of test through governing regulatory agency

### **7.1 Identification of Potential Biomarker**

This review has addressed numerous biomarkers that have been identified and associated with disease risk-modulation, progression of disease, and response to treatment, in patients with AMD. Environmental, genetic, proteomic, and other cellular biomarkers have been identified to date. Identification of these biomarkers in laboratory settings is the first step in the process. Ensuring identified biomarkers are sensitive and valid for AMD is also important, and this may often require additional investigation, particularly where the quality of evidence on potential biomarkers is weak or contradictory.

### **7.2 Development of Assay to Accurately Test or Measure Biomarker**

Once a sensitive and valid biomarker has been identified, a corresponding assay must be developed to both accurately and reliably measure the marker. Assays must be able to accurately and reliably measure biomarkers to allow for their routine use and distribution into practice at clinical laboratories (Pavlou et al., 2013).

### **7.3 Intrinsic Validation of the Biomarker**

Once an assay has been developed to measure a particular biomarker, the test must undergo strict analytical validation. This involves determining the various intrinsic statistical properties and parameters of the test including: accuracy, precision, repeatability, reproducibility, analytical specificity and sensitivity, limit of detection, linearity, and robustness (Duffy et al., 2015). Assays must undergo validation by comparison to their associated gold standard measurement tools (i.e. ELISA for protein, DNA sequencing for genes, Transcription-PCR or microarray for mRNA, etc.) (Pavlou et al., 2013). This step is vital to ensure that the biomarker test has the necessary statistical properties to accurately influence clinical decision-making.

### **7.4 Determination of Statistical Parameters of Biomarker in Clinical Setting**

After an assay has been intrinsically validated, the test must be applied in a clinical setting. Clinical validation involves the process of describing both the clinical accuracy and efficacy of a given test (Duffy et al., 2015). During this process, statistical measurements of the test's performance are developed, including: sensitivity, specificity, positive predictive and negative predictive values, likelihood ratio, and receiver operating characteristic (ROC) analysis (Duffy et al., 2015; Moons et al., 2009).

To continue past this stage of development, novel biomarkers are compared to current tests to determine the added value to current measurement techniques. Put simply by Duffy et al., a novel biomarker must address one of the following questions:

1. Does it address a currently unmet need in disease detection or management? (Duffy et al., 2015)
2. Does it provide a “clinical advantage” over existing biomarkers in terms of cost, simplicity, accuracy, or rapidity? (Duffy et al., 2015)

Determining the added value of a biomarker compared to current measurement techniques helps determine whether there is clinical utility for its development (Duffy et al., 2015).

### **7.5 Determination of Test’s Effect on Patient Outcomes**

However, determining and validating the intrinsic and clinical statistical measures of a biomarker assay is insufficient in justifying its immediate transition into the clinical arena. After intrinsic validity and clinical utility have been established, the test must now demonstrate the ability to impact and improve outcomes in clinical practice (Duffy et al., 2015). This stage of the development and validation is the most financially burdensome and time intensive stage of the process (Pavlou et al., 2013). Controlled, randomized clinical trials addressing specific end-points are required to accurately articulate the effect of a particular biomarker on patient outcomes (i.e. mortality, morbidity, etc.). This process can take years and decades before accuracy, efficacy, and safety have been established through well-designed trials.

### **7.6 Approval of Test By Governing Regulatory Agency**

After demonstrating that a biomarker assay has a favorable impact on patient outcomes, approval by federal regulatory agencies is the last and final step in the development process. These governing bodies include the Food Drug Administration (FDA) in the U.S. and meeting certain manufacturing, marketing, safety, and legal criteria in Europe, qualifying for the Conformité Européenne (CE) mark. (Duffy et al., 2015). Once approval has been granted, use of the biomarker assay can commence in clinical practice.

### **7.7 Biomarker Development and Validation Summary**

The process of biomarker development and validation is both financially burdensome and time-intensive. However, this tedious process is necessary to ensure that only accurate and efficacious biomarkers are permitted in clinical settings. Developers of biomarker assays for AMD should bear in mind that such assays are useful only to the extent that they can influence clinical management (Cammann et al., 2011; Pepe et al., 2004; Sutcliffe et al., 2009). Currently, no biomarkers are being used for detection or management of AMD. However, a deepened understanding of the theoretical framework for biomarker development and validation can help guide researchers and clinicians into a future of biomarker application in clinical medicine. A summary of current AMD biomarkers and list factors and where each is along the pathway to clinical implementation is found in Figure 6.

## 8. Future Prospects and Challenges

Further identification of causative AMD genotypes with prospective randomized clinical trials will help refine genomic profiles and potentially lay the foundation for development of targeted genetic therapies targeting high-risk genes in the RPE, choroid, and outer retina. Although it might seem otherwise, routine genotyping certainly carries significant risks.

There are definite risks associated with routine genetic testing of patients with AMD. Dr. Edwin Stone in a recent JAMA article categorized these as decision-making risks, psychological risks, and economic risks (Stone, 2015). Decision-making risks involve patients making unwise decisions based upon results of genetic studies. For example, a patient with strong family history AMD may defer close ophthalmologic monitoring because of testing that shows he has a low-risk genotype (Stone, 2015). Additionally, as positive genetic tests do not guarantee development of a disease, patients with unfavorable genetic testing results may have increased levels of unnecessary anxiety and make life-changing decisions based upon these results. Finally, genetic testing can be financially burdensome and any increase in allocation of resources towards genetic testing siphons funds away from other vital services, such as regular visits to a clinical ophthalmologist (Stone, 2015). Currently, the American Academy of Ophthalmology has made the following recommendation: “Avoid routine genetic testing for genetically complex disorders like age-related macular degeneration...until specific treatment or surveillance strategies have been shown in one or more published clinical trials to be of benefit to individuals with specific disease-associated genotypes. In the meantime, ophthalmologists should confine the genotyping of such patients to research studies” (Stone et al., 2012). Despite this, products such as RetnaGene and others continue to be marketed to ophthalmic practice with little, if any clinical utility.

With greater and more efficient abilities to identify the genetic underpinnings of disease and treatment response, a greater focus on personalized medicine must be emphasized. A greater understanding of how an individual’s genetic makeup will influence their response to current AMD treatments can help determine the outcome and cost-effectiveness of such treatments. As research improves treatment for AMD, biomarkers will allow for earlier detection of AMD cases and at-risk AMD patients, allowing for better prognoses and a decrease in vision loss for age-related macular degeneration patients in the future. At this current time, biomarkers remain as a research tool but are on the precipice of emergence into clinical practice. Genetic testing and gene therapies may be the future for screening and treatment of AMD. Current technological advancements have made genetic testing a valuable screening tool for a variety of diseases and a similar approach may be applied to AMD in the near future. One current drawback to genetic testing is the expensive nature of the tests make them inaccessible for many patients, especially the uninsured and underinsured. Development of non-genetic biomarkers as a screening tool could help to identify at-risk individuals who would most likely benefit from costly genetic testing. The possibilities and benefits of using biomarkers as surveillance for AMD are endless, and hopefully will one day be a part of a screening regimen that will help identify those at risk of developing this devastating disease and/or a monitoring regimen for patients undergoing treatment. While current genetic biomarker testing may not be recommended for patients at this time,

knowing the susceptibilities, environmental exposures, demographic risk factors, and possibilities for future biomarkers related to the development of AMD is well within the reach of both patients and practicing clinicians. Patient education on risk factors and protective behaviors associated with AMD can lead to prevention and identification of earlier stages of the disease and better outcomes for patients.

## 9. Conclusion

The risk factors for AMD (both genetic and environmental) lead to inflammation and anatomical changes and dysfunction, which can eventually lead to choroidal neovascularization and geographic atrophy with eventual loss of vision. These changes and the role of the different proposed biomarkers and risk factors are outlined in Figure 7. More research is required to validate previous studies suggesting clinical efficacy for many of the biomarkers listed. We hope that our summary and discussion of several potential biomarkers of AMD may serve as a framework for future studies. We anticipate that many of these biomarkers will be used to diagnose, monitor, and prognosticate AMD in the future.

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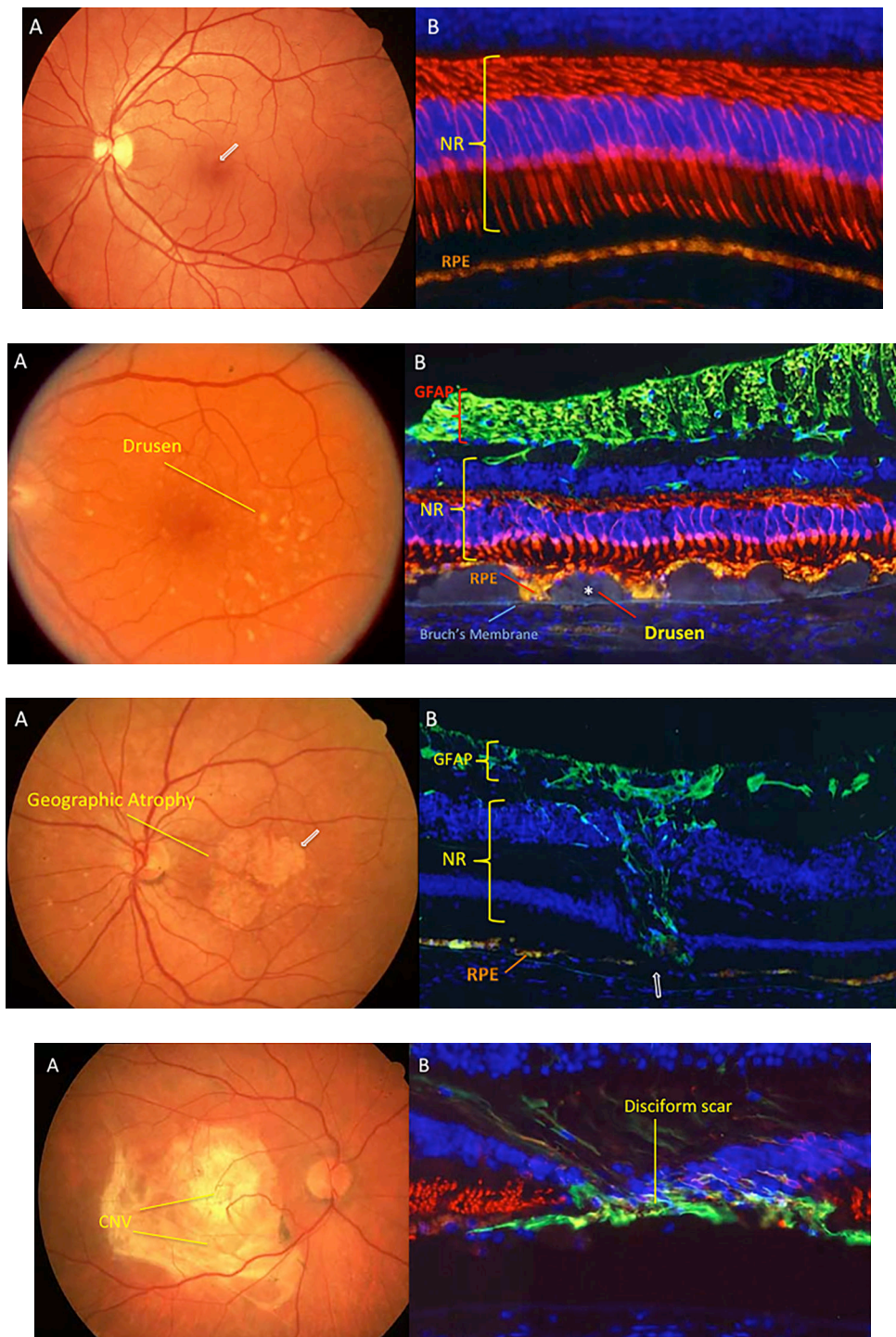
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## Appendix



**Figure 1. Forms of AMD, (see appendix)**

Figure 1.1: Normal Human Retina

A) Posterior pole view of a normal human retina. The fovea is arrowed. B)

Immunofluorescent image of macular cones (labelled red), cell nuclei are blue (DAPI) and

RPE (orange) due to autofluorescence. NR: neuroretina, RPE: retinal pigment epithelium (Courtesy of Dr Ann Milam, Scheie Eye Institute, University of Pennsylvania Philadelphia, USA)

Figure 1.2: Drusen in AMD

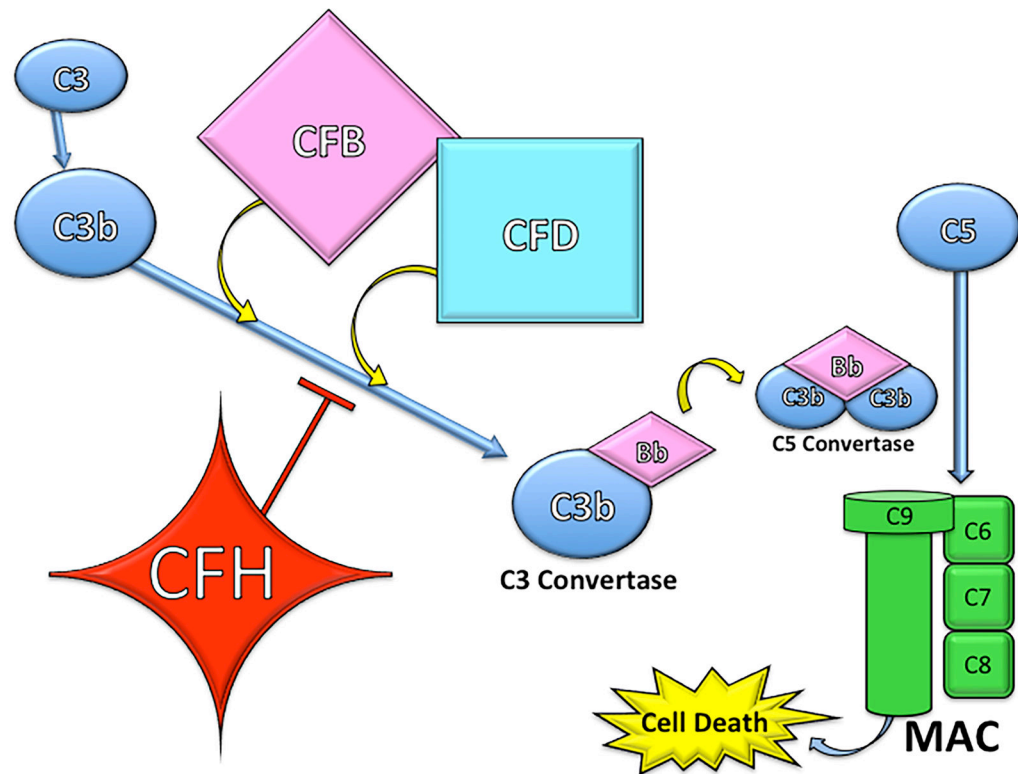
A) Fundus view of a retina with drusen (white deposits). B) Section of retina showing confluent drusen (\*) near optic nerve head. Astrocytes, positive for glial fibrillary acidic protein (GFAP), define the nerve fibre layer (NFL). The cones (red) in the photoreceptor layer (PRL) are shortened and decreased in number over the drusen. The RPE are autofluorescent (orange) due to lipofuscin build up. Cell nuclei are labelled blue. There is loss of cone cells (red) adjacent to drusen. The RPE (orange) is thinned and abnormal over the drusen. (Courtesy of Dr Ann Milam, Scheie Eye Institute, University of Pennsylvania, Philadelphia, USA)

Figure 1.3: Geographic Atrophy

A) Fundal view of a patient with geographic atrophy, note the pale areas of retinal atrophy (arrow). B) Section of retina showing RPE cell loss (arrowed) with overlying loss of photoreceptors (PRL) at the macula. This area is replaced by intra-retinal glial tissue (anti-GFAP: green) (Courtesy of Dr Ann Milam, Scheie Eye Institute, University of Pennsylvania, Philadelphia, USA)

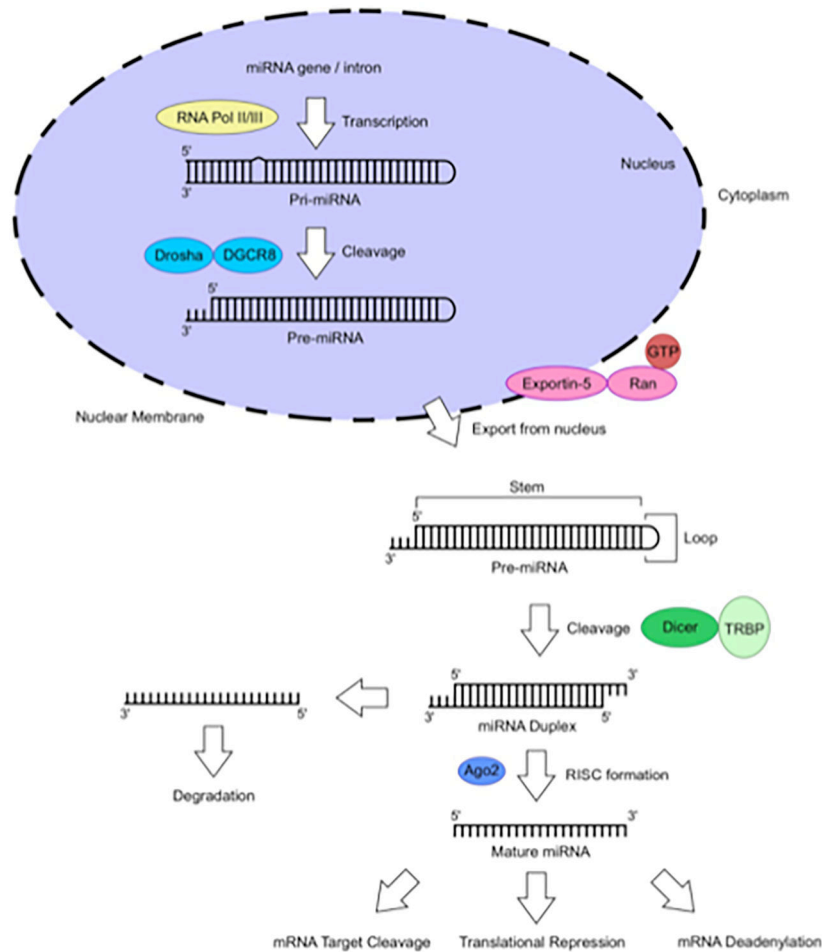
Figure 1.4: Advanced Neovascular Age-related Macular Degeneration

A) Fundal view of patient with advanced age related macular degeneration. A disciform scar is located at the macula. B) A immunofluorescence image of a macula with AMD, rods (red) surround an area of RPE and photoreceptor cell loss, replaced by a sub-retinal and intra-retinal glial (anti-GFAP: green) scar. (Courtesy of Dr Ann Milam, Scheie Eye Institute, University of Pennsylvania, Philadelphia, USA)



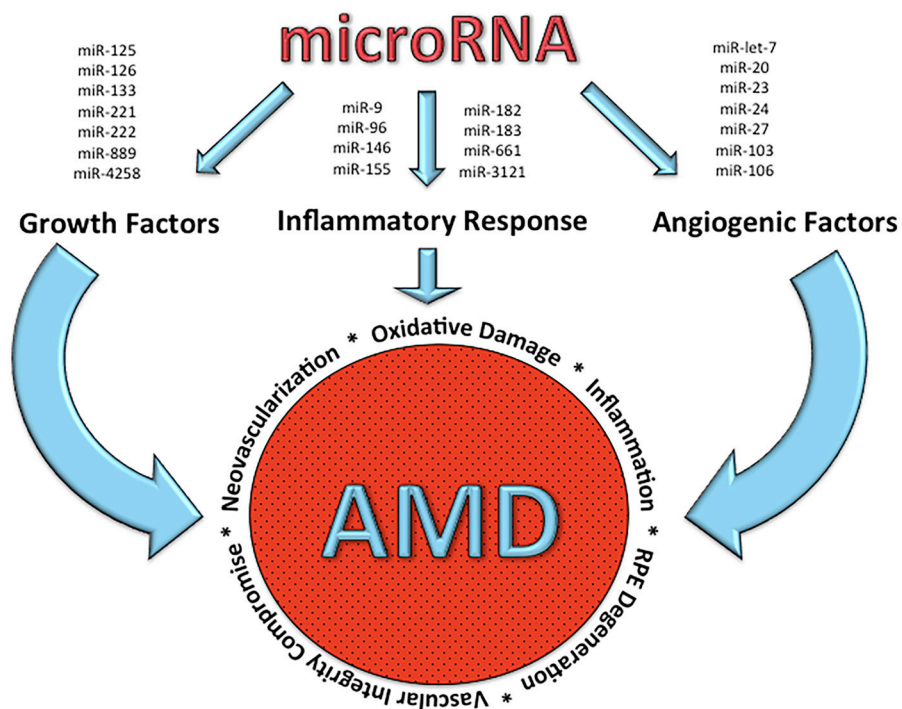
**Figure 2. Complement Cascade (see appendix)**

Simplified summary of the alternative complement cascade. Diagram shows the stimulatory role of complement factors B (CFB) and D (CFD), in opposition to the inhibitory role of complement factor H (CFH). The end result of this cascade is the formulation of the membrane attack complex (MAC), which leads to cell lysis and death.



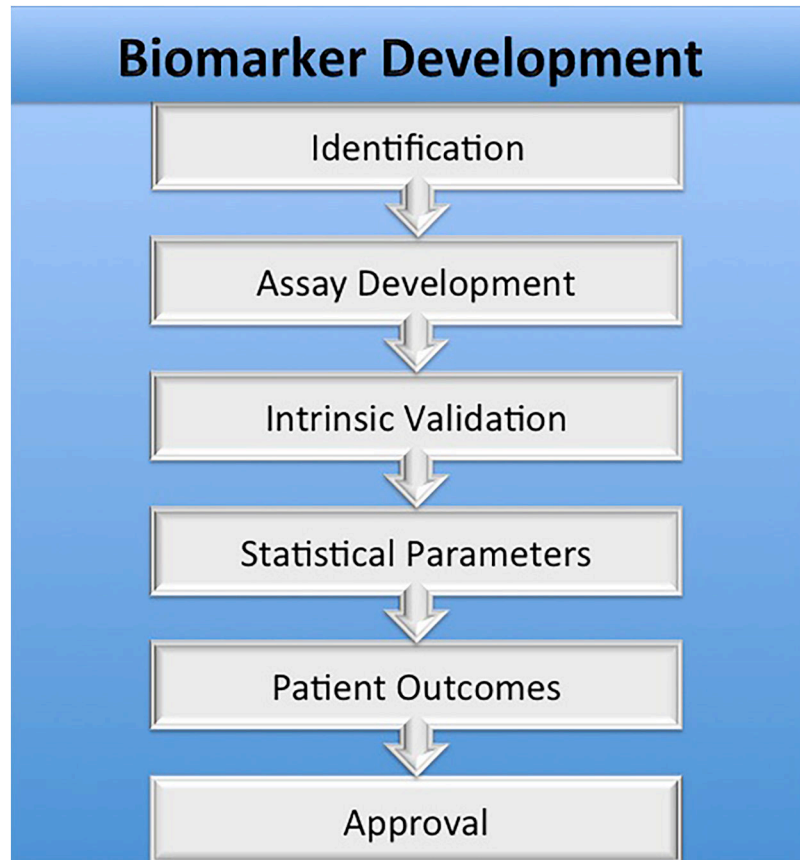
**Figure 3. miRNA Processing Pathway (see appendix)**

miRNA-processing pathway. miRNAs are generated through a series of cleavage events, which take place in the nucleus and the cytoplasm, forming pri-miRNA and pre-miRNA before forming the mature structure. Hairpin miRNA originates from precursor miRNA within the nucleus as part of a longer primary transcript. At this stage, the pri-miRNA includes the characteristic 5' 7-methyl guanosine cap and a 3' poly-A tail, believed to originate from RNA polymerase II activity (Zeng et al., 2006). Pre-miRNA formation also occurs within the nucleus. The microprocessor complex containing the enzymes Drosha, RNase III-like enzyme, and its co-factor DGCR-8, (double-stranded RNA binding protein) all contribute to miRNAs processing. Drosha is comprised of two RNase II domains. Of these, one is believed to cleave the 3' end of the pre-miRNA while the 5' end is cleaved by the other domain (Jha et al., 2011). Hairpin release occurs in the nucleus, and then the miRNA is exported to cytoplasm. Dicer enzyme processing occurs, followed by strand selection by RISC (Winter et al., 2009). (RISC= RNA-induced silencing complex, pre-miRNA= Precursor microRNA, pri-miRNA = Primary microRNA)



**Figure 4. Effects of microRNA on AMD Development (see appendix)**

Simplified diagram depicting the role of microRNA on AMD development. microRNAs regulate different growth and angiogenic factors as well as various elements influencing inflammation, leading to many of the processes responsible for age-related macular degeneration.



**Figure 5. Biomarker Development (see appendix)**  
Process of biomarker development, from inception to clinical implementation.

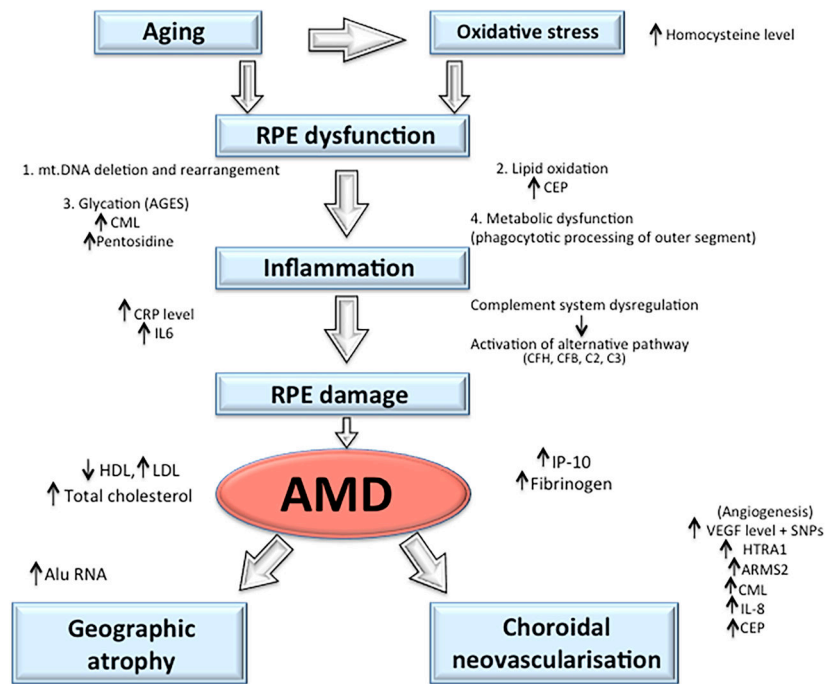
Biomarker/Risk Factor	Identification	Assay Development	Intrinsic Validation	Statistical Parameters	Effect on Patient Outcomes	Regulatory Approval
<b>DEMOGRAPHICS</b>						
Age	Friedman et al., 2004	n/a	n/a	n/a	n/a	
Smoking	Thornton et al., 2005	n/a	n/a	n/a	n/a	
Family History	Priya et al., 2012; Smith and Mitchell, 1998	n/a	n/a	n/a	n/a	
Obesity	Clemons et al., 2005	n/a	n/a	n/a	n/a	
<b>IMMUNE SYSTEM GENES</b>						
ARMS2	Kanda et al., 2007	quantitative real time PCR	Klein et al., 2011	Klein et al., 2011	*Response to Anti-oxidant/Zinc supplements ONLY Awh et al., 2013 Awh et al., 2015	
CFH	Klein et al., 2005; Edwards et al., 2005; Haines et al., 2005; Hageman et al., 2005	quantitative real time PCR	Klein et al., 2011	Klein et al., 2011	*Response to Anti-oxidant/Zinc supplements ONLY Awh et al., 2013 Awh et al., 2015	
CFHr 1 & 3	Hughes et al., 2006	quantitative real time PCR				
CFB	Spencer et al., 2007; Gold et al., 2006	quantitative real time PCR	Klein et al., 2011			
CFD	Gold et al., 2006; Jakobsdottir et al., 2008; Stanton et al., 2011	quantitative real time PCR				
C2	Gold et al., 2006	quantitative real time PCR	Klein et al., 2011			
C3	Yates et al., 2007	quantitative real time PCR	Klein et al., 2011			
C5	Mullins et al., 2000	quantitative real time PCR				
HTRA1	Yang et al., 2006; Dewan et al., 2006	quantitative real time PCR	Klein et al., 2011			
<b>NEOVASCULARIZATION GENES</b>						
VEGF	Churchill et al., 2006	direct sequencing				
TIMP-3	Chen et al., 2010	PCR/golden gate assay				
Fibulin 5	Stone et al., 2004	PCR/golden gate assay				
<b>LIPOPROTEIN GENES</b>						
Hepatic Lipase	Neale et al., 2010	quantitative real time PCR				
ApoE	Klaver et al., 1998	quantitative real time PCR	Klein et al., 2011			
<b>NEW POTENTIAL AMD GENES</b>						
Col8A1-FILIP1L	Fritsche et al., 2013; Yu et al., 2011	real time PCR				
SLC16A8	Fritsche et al., 2016	real time PCR				
RAD51, RAD51B	Fritsche et al., 2013; Zhou et al., 2013 (Chinese population)	real time PCR				
FRK/Col10A1	Yu et al., 2011	real time PCR				
CACNG3	Spencer et al., 2011	real time PCR				
MYRIP	Kopplin et al., 2010	real time PCR				
Skiv2L	Kopplin et al., 2010	real time PCR				
IGF1	Chiu et al., 2011 (AREDS population)	real time PCR				
REST-C4/F14-PolR2B-IGFBP7	Nakata et al., 2012 (Asian population)	real time PCR				
SCARB1	Zerbib et al., 2009 (North American and French populations)	real time PCR				
Serpinc1	Ennis et al., 2008	real time PCR				
TNFRSF10A	Arakawa et al., 2011 (Japanese population)	real time PCR				
CCL2 and CCR2	Anand et al., 2012	real time PCR				
Cx3CR1	Yang et al., 2010 (Han Chinese); Anastasopoulos et al., 2012 (Greek)	real time PCR				
ERCC6	Tuo et al., 2006	real time PCR				
FSCN2	Wada et al., 2003 (Japanese)	real time PCR				
<b>PROTEOMIC</b>						
Carboxethylpyrrole	Ni et al., 2009; Gu et al., 2003; Hollyfield et al., 2010				Photoreceptor immunoreactivity and autoantibody titer level	
Homocysteine	Seddon et al., 2006; Christen et al., 2015				Fluorescence polarization immunoassay	
<b>SERUM</b>						
sFH-1	Uehara et al., 2015	ELISA				
CFH	Silva et al., 2012	ELISA				
CFI	Silva et al., 2012	ELISA				
CFB	Silva et al., 2012	ELISA				
CFD	Silva et al., 2012	ELISA				
C2	Silva et al., 2012	ELISA				
C3	Silva et al., 2012	ELISA				
C-Reactve Protein	Seddon et al., 2004	ELISA				
Anti-Phosphodiesterase Phosphatidylserine	Morohoshi et al., 2012	ELISA				
Cholesterol	Reynolds et al., 2010; Paun et al., 2015	Enzymatic colorimetric assay				
Interferon gamma-Inducible Protein 10	Dufour et al., 2002; Mo et al., 2010	ELISA				
Eotaxin	Mo et al., 2010	ELISA				
VEGF	Habibi et al., 2014	ELISA				
Carboxymethyllysine (CML) and CML autoantibodies	Ni et al., 2009	Mass spectrometry and ELISA				
Pentosidine and autoantibodies	Ni et al., 2009	Mass spectrometry and ELISA				
<b>MICRO RNA</b>						
miR661	Szemraj et al., 2015	microRNA Array A & quantitative RT-PCR (qRT-PCR)				
miR3121	Szemraj et al., 2015	microRNA Array A & quantitative RT-PCR (qRT-PCR)				
miR4258	Szemraj et al., 2015	microRNA Array A & quantitative RT-PCR (qRT-PCR)				
miR889	Szemraj et al., 2015	microRNA Array A & quantitative RT-PCR (qRT-PCR)				

**Figure 6. Biomarker Progress to Clinical Use (see appendix)**

Table of biomarkers and their progression in stages of developmental process. The most promising genetic biomarkers include Complement Factor H (CFH) and Age-Related Maculopathy Susceptibility 2 (ARMS2). Patients possessing certain CFH or ARMS2 genetic SNPs have shown positive outcomes after taking AREDS supplements compared to controls. Several complement associated genes, ApoE genes, and genes relating to HTRA1, have been used in clinical predictive models of AMD (Klein et al., 2011). Most of the risk factors and potential biomarkers listed have recently been identified, and future research should be focused on confirming their association with AMD and elucidating their role as a viable



marker for AMD. To date, there have been no official randomized clinical trials showing any significant correlation between a specific biomarker and patient outcomes.



**Figure 7. Diagnostic and Prognostic Biomarkers for AMD (see appendix)**

Summary of development and progression of AMD and depiction of potential biomarkers to predict susceptibility, progression, and prognosis. As individuals age, they experience increased oxidative stress, which can result in elevated homocysteine levels in plasma. As RPE function worsens, various events occur such as mitochondrial DNA (mt.DNA) deletion and rearrangement (such as in ARMS2), increase in lipid oxidation and its end products (i.e. carboxyethylpyrrole (CEP)). Proteins begin to break down and are glycated, resulting in elevated levels of advanced glycation end products, such as carboxymethyllysine and pentosidine. Retinal metabolic dysfunction occurs leading to phagocytosis of photoreceptor outer segments. These events lead to inflammation, which can be noted by inflammatory cytokines such as CRP and IL-6. Various mutations in key regulatory complement cascade proteins (CFH, CFB, C2, C3) lead to activation and dysregulation of the complement system, further increasing inflammation and leading to damage of the RPE. Damage to macular RPE and photoreceptor cells results in AMD, either through geographic atrophy or choroidal neovascularisation. Serum IP-10 elevation is seen in early dry-AMD. Changes in serum lipoprotein levels and cholesterol have been associated with geographic atrophy and CNV, while increased levels of Alu RNA in RPE cells is seen mainly in geographic atrophy. Elevated fibrinogen levels can be seen in late-AMD. Increased angiogenesis and worsening CNV can be seen with elevated levels of various factors (VEGF, CML, IL-8, CEP) and expression of certain high-risk genotypes (CFH, HTRA1, ARMS2).

### Highlights

- Biomarkers can be used to predict disease incidence, identify at risk individuals, elucidate causative pathophysiological etiologies, guide screening, monitoring and treatment parameters, and predict disease outcome.
- The process of biomarker development has been outlined, from biomarker identification to clinical implementation.
- Soluble FMS-like tyrosine kinase-1 (sFlt-1), also known as soluble vascular endothelial growth factor receptor-1 (sVEGFR-1), has been identified as a novel, and perhaps the most clinically significant, serum biomarker for identifying patients at risk for neovascular AMD
- Summary of current risk factors and potential biomarkers as well as identifying which stage in the biomarker development process each is currently situated.

**Table 1****Prevalence of AMD by Age**

Pooled data on the relationship between age and prevalence of age-related macular degeneration. The single bold number represents the % of the population, in the given age range, with AMD; while the range of numbers within parentheses describes the ranges reported by the various studies.

Prevalence of AMD by Age (% of age-specific population)			
Age	Geographic atrophy	Neovascular AMD	Any Late AMD
<55	<b>0.08</b> (0–0.15)	<b>0.14</b> (0–0.28)	<b>0.2</b> (0–0.39)
55–64	<b>0.25</b> (0.04–0.47)	<b>0.37</b> (0.09–0.65)	<b>0.25</b> (0.13–0.38)
65–74	<b>1.37</b> (0.17–2.57)	<b>0.68</b> (0.3–1.05)	<b>1.62</b> (0.67–2.57)
75–84	<b>2.25</b> (1.26–3.24)	<b>2.52</b> (1.7–3.33)	<b>4.93</b> (3.19–6.67)
85+	<b>7.54</b> (3.31–11.77)	<b>8.49</b> (5.41–11.57)	<b>14.47</b> (11.57–17.36)
All Ages	<b>0.63</b> (0.44–0.81)	<b>0.96</b> (0.72–1.2)	<b>1.64</b> (1.47–1.81)

Due to the time-dependent mechanisms of AMD, specifically the formation of drusen and choroidal neovascularization, age will likely remain the leading risk factor for the development of age-related macular degeneration.

Table 2

## Genetic Biomarkers

Biomarker	Type of Biomarker	SNPs ID	P. Value	Odds ratio	References
<b>CFH</b>	DNA	rs1410996	$1.4 \times 10^{-16}$	2.9	(Babanejad et al., 2015; Chen et al., 2011; Fritsche et al., 2010; Hautamaki et al., 2015; Woo et al., 2015; Yang et al., 2010)
		rs1061170 (Y402H)	$2.4 \times 10^{-14}$	2.5	
		rs800292 (V62I)	0.003/0.02	0.57 (0.40–0.83)	
		rs2274700:A	NA	0.36	
<b>CFB</b>	DNA	rs641153	0.0001	0.40	(Bergeron-Sawitzke et al., 2009; McKay et al., 2009)
		rs4151657	0.01	1.43	
		rs4151672	0.04	0.52	
		rs4151667	0.0020	0.36	
<b>C3</b>	DNA	R102G (rs2230199)	0.02–0.009	1.4	(Bergeron-Sawitzke et al., 2009; Park et al., 2009; Zerbib et al., 2010)
		rs1047286	9.2E-05	NA	
		rs3745565	0.009	NA	
		rs11569536	0.014	NA	
		rs171094	0.00030	1.3	
<b>C2</b>	DNA	rs1042663	0.001	0.47	(Bergeron-Sawitzke et al., 2009; McKay et al., 2009)
		rs3020644	0.01	1.43	
		rs2072632	0.01	1.43	
		rs9332739	0.04	0.52	
<b>ARMS2</b>	DNA	rs547154	0.0016	0.49	(Bergeron-Sawitzke et al., 2009; McKay et al., 2009)
		rs10490924	$2.8 \times 10^{-29}$	2.86	
		rs3750848	$2.8 \times 10^{-29}$	2.86	
		del443ins54	$4.1 \times 10^{-29}$	2.85	
<b>HTRA1</b>	DNA	rs10490923	0.040	0.70	(Bergeron-Sawitzke et al., 2009; Fritsche et al., 2008; Hautamaki et al., 2015; Shen et al., 2015; Woo et al., 2015)
		rs11200638	$6.9 \times 10^{-29}$	2.85	
<b>VEGF</b>	DNA	rs932275	$6.1 \times 10^{-28}$	2.83	(Fritsche et al., 2008; Yang et al., 2010)
		rs699947	0.025	1.02–1.81	

Biomarker	Type of Biomarker	SNPs ID	P. Value	Odds ratio	References
		rs1413711 rs2010963	0.042 0.02 CNV	0.62-0.59 NA	
<b>CD36</b>	DNA	rs3173798	$9.96 \times 10^{-4}$	0.55	(Kondo et al., 2009a)
		rs3211883	$2.09 \times 10^{-4}$	0.50	
		rs10499862	0.00895	0.51	
		rs3173800	0.00427	1.67	
		rs17154232	0.0250	0.54	

Table 3

## Proteomic and Serum Biomarkers

Biomarker	Type	AMD Assessment	Level (% higher than control plasma)	Odds Ratio	P. Value	Sensitivity, Specificity (%)	References
<b>CRP</b>	Systemic inflammatory marker	Prognosis Higher risk CNV	Elevated	2.6	.046-.06	NA	(Kikuchi et al., 2007; Seddon et al., 2010a; Seddon et al., 2005)
<b>CEP, CEP autoantibody titers</b>	End product of lipid oxidation	Diagnosis CNV	Elevated in plasma 60%, 30%	3.17	<0.001	73.65	(Ebrahem et al., 2006; Ni et al., 2009a; Ni et al., 2009b)
<b>CML</b>	Advanced glycation end products	Diagnosis	54%	6.3	<0.0001	84.72	(Ni et al., 2009b)
<b>Pentosidine</b>	Advanced glycation end products	Diagnosis	64%	10.6	<0.0001	84.88	(Ni et al., 2009b)
<b>VEGF</b>	Protein stimulates the growth of new blood vessels	Prognosis (neovascular form)	Elevated	NA	0.019	NA	(Lip et al., 2001; Tsai et al., 2006)
<b>IL-6</b>	Inflammatory marker	Prognosis	Elevated (AMD progression)	NA	0.02-0.03	NA	(Seddon et al., 2005)
<b>IP-10</b>	Chemokine	Diagnosis	Elevated intermediate dry AMD	NA	NA	NA	(Zhang and Marmorstein, 2010)
<b>Fibrinogen level</b>	Plasma glycoprotein	Prognosis	Elevated	6.7	0.0001	NA	(Lip et al., 2001; Smith et al., 1998)
<b>Homocysteine</b>	Amino acid in the blood	Diagnosis Prognosis	Elevated	1.6	.001	NA	(Seddon et al., 2006)

**Table 4**

## miRNA Profiling Studies

Reference	Tissue source	Disease Model	Predominant miRNA Expression
(Szemraj et al., 2015)	Human	AMD	miR-661, miR-3121, miR-4258, miR-889
(Lukiw et al., 2012)	Human	AMD	miR-9, miR-125b, miR-146a, miR-155
(Lin et al., 2011)	Human	macular RPE cells from AMD eyes	miR-23a
(Kutty et al., 2010b)	Human RPE cell (line ARPE-19)	4HPR-induced apoptosis	miR-9, miR-16, miR-26b, miR-23a, and miR-15b
(Kutty et al., 2010a)	HRPE cells	HRPE cells exposed to inflammatory cytokine	miR-155
(Zhu et al., 2011)	Mice	light-induced retinal degeneration	miR-96, miR-182, miR-183
(Bai et al., 2011)	Mice	ischemia-induced retinal neovascularization	miR-126
(Shen et al., 2008)	Mice	ischemia-induced retinal neovascularization (NV)	miR-106a, miR-146, miR-181, miR-199a, miR-214, miR-424, miR-451, miR-31, miR-150, miR-184
(Zhou et al., 2011)	Mice	laser-induced choroidal neovascularization	miR-23, miR-27
(Ishida et al., 2011)	Mice	experimental autoimmune uveoretinitis (EAU)	miR-142-5p, miR-21, miR-182
(Loscher et al., 2007)	Mice	mouse model of RP	miR-1, miR-133, miR-96, miR-183
(Kovacs et al., 2011)	Rats	(STZ)-induced diabetic retinopathy	miR-146
(Wu et al., 2012)	Rats	(STZ)-induced diabetic retinopathy	miR-182, miR-96, miR-183, miR-211, miR-204, and miR-124miR-10b, miR-10a, miR-219-2-3p, miR-144, miR-338, miR-199a-3p

HRPE =Human retinal pigment epithelial cells, STZ =Streptozotocin, 4HPR =N-(4-hydroxyphenyl)-retinamide, RP= retinitis pigmentosa.