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***En1* is necessary for survival of neurons in the ventral nuclei of the lateral lemniscus**

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Abstract

The ventral nuclei of the lateral lemniscus (VNLL) are part of the central auditory system thought to participate in temporal sound processing. While the timing and location of VNLL neurogenesis have been determined, the genetic factors that regulate VNLL neuron development are unknown. Here, we use genetic fate-mapping techniques to demonstrate that all glycinergic and glycinergic/GABAergic VNLL neurons derive from a cellular lineage that expresses the homeobox transcription factor *Engrailed 1* (*En1*). We also show that *En1* deletion does not affect migration or adoption of a neuronal cell fate but does lead to VNLL neuron death during development. Furthermore, *En1* deletion blocks expression of the transcription factor *FoxP1* in a subset of VNLL neurons. Together, these data identify *En1* as a gene important for VNLL neuron development and survival.

Keywords

auditory; brainstem; deafness; ear; hearing

INTRODUCTION

The ventral nucleus of the lateral lemniscus (VNLL) is a group of auditory neurons embedded in a large fiber tract that connects brainstem auditory nuclei with the auditory midbrain. VNLL neurons receive inputs mainly from the contralateral ventral cochlear nucleus (VCN), with smaller projections arising from the ipsilateral VCN and superior olivary complex (SOC) (Covey and Casseday, 1986; Huffman and Covey, 1995; Saint Marie et al., 1997; Schofield, 1995). The VNLL consists predominantly of glycinergic and

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AUTHOR CONTRIBUTIONS

SCA, TZ and SMM designed the study; SCA, TZ, WJ and RRR-G performed the experiments; SCA, TZ and SMM analyzed the data; SCA, TZ and SMM wrote the manuscript with input from the other authors.

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GABAergic inhibitory neurons that project broadly to other NLL neurons and to the inferior colliculus (Riquelme et al., 2001; Roberts and Ribak, 1987; Saint Marie et al., 1997; Saint Marie and Baker, 1990). VNLL neurons are thought to participate in temporal sound processing and decoding of amplitude-modulated sounds, both of which are important for recognition of acoustic patterns present in speech (Batra, 2006; Covey and Casseday, 1991; 1986; Nayagam et al., 2005; Pollak et al., 2011; Recio-Spinoso and Joris, 2014; Yavuzoglu et al., 2011; Zhang and Kelly, 2006).

In the mouse, VNLL neurons are generated in rhombomere 4 (r4) between embryonic days 9.5 and 13.5 (E9.5 and E13.5) (Di Bonito et al., 2013; Pierce, 1973; Simon et al., 2001). VNLL neuron generation depends upon the brainstem segmentation gene *Hoxb1*, likely because *Hoxb1* is required for r4 specification (Di Bonito et al., 2013; Domowicz et al., 2008). Beyond this, the genes and genetic pathways that control VNLL neuron development are unknown.

The mouse *En1* gene encodes a transcription factor containing a highly conserved homeobox domain that acts as both a transcriptional activator and repressor (Davis et al., 1991; Joyner and Martin, 1987). Loss of *En1* function can cause homeotic transformations such as ventral-to-dorsal transformations in the mouse limb (Garcia-Bellido and Santamaria, 1972; Loomis et al., 1996; Morata and Lawrence, 1975). In the CNS, *En1* regulates neuronal specification in the developing cerebellum, midbrain, and spinal cord (Couchman et al., 2010; Matisse and Joyner, 1997; Simon et al., 2005; Wurst et al., 1994). *En1* is also crucial for maturation and survival, but not specification, of serotonergic and midbrain dopaminergic neurons (Fox and Deneris, 2012; Simon et al., 2001). We recently demonstrated that SOC expression of *En1* is necessary for survival and proper positioning of glycinergic lateral superior olive (LSO) and medial nucleus of the trapezoid body (MNTB) neurons, GABAergic lateral nucleus of the trapezoid body (LNTB) neurons and cholinergic/glycinergic ventral nucleus of the trapezoid body (VNTB) neurons (Altieri et al., 2015; Jalabi et al., 2013). Since SOC neurons arise from r3–5 (Karis et al., 2001; Maricich et al., 2009), we speculated that *En1* might also play a role in VNLL neuron development. To test this hypothesis, we generated *En1* constitutive and conditional knockout (CKO) mice. We found that *En1* plays a pivotal role in survival, but not generation or positioning, of VNLL neurons.

MATERIALS AND METHODS

Mice and mating paradigms

All experimental protocols were approved by Case Western Reserve University or Children's Hospital of Pittsburgh of UPMC Animal Care Facilities. Mice were housed under pathogen-free conditions on a 12h light/dark cycle. The generation of *Hoxb1^{Cre}*, *En1^{Cre}*, *En1^{flox}*, *ROSA^{LacZ}* and *ROSA^{tdTomato}* mice were described previously (Arenkiel et al., 2003; Sgaier et al., 2007; Soriano, 1999). *Hoxb1*; *En1^{CKO}* mice were produced by first mating *Hoxb1^{Cre/+}* mice with *En1^{flox/flox}* mice to generate *Hoxb1^{Cre/+}*; *En1^{+ /flox}* double-transgenic animals. These mice were bred with *En1^{flox/flox}* mice to generate transgenic mice with four genotypes: *Hoxb1^{+/+}*; *En1^{+ /flox}*, *Hoxb1^{+/+}*; *En1^{flox/flox}*, *Hoxb1^{Cre/+}*; *En1^{+ /flox}*, and *Hoxb1^{Cre/+}*; *En1^{flox/flox}*. Only *Hoxb1^{Cre/+}*; *En1^{flox/flox}* (*Hoxb1*; *En1^{CKO}*) mice have no *En1*

expression in *Hoxb1* expressing cells. Mice of the other three genotypes are collectively referred to as “control” because they displayed no abnormal phenotypes, and their VNLL histology and immunostaining for all markers tested were indistinguishable at all ages examined.

For fate mapping experiments, *En1*^{Cre/+}; *ROSA*^{tdTomato/+} mice were intercrossed to generate *En1*^{Cre/+}; *ROSA*^{tdTomato} mice and *En1*^{Cre/Cre}; *ROSA*^{tdTomato} mice. Alternatively, *En1*^{Cre/+} mice were mated to *En1*^{+/+}; *ROSA*^{LacZ/LacZ} or *En1*^{flox/flox}; *ROSA*^{LacZ/LacZ} mice to generate *En1*^{Cre/+}; *ROSA*^{LacZ/+} or *En1*^{Cre/flox}; *ROSA*^{LacZ/+} mice, respectively. This strategy allowed us to track *En1*-lineal cells in the presence or absence of *En1* gene function using two different reporters.

Tissue harvesting and processing

For embryonic and postnatal day 0 (P0) ages, timed matings were used with the plug date designated as E0.5. Embryos were dissected into cold 1X PBS, brains isolated and fixed overnight at 4°C in fresh 4% paraformaldehyde (PFA)/0.1M phosphate buffer. For tissue harvested from P0 and adult mice, animals were transcardially perfused with 4% PFA or 4% PFA/0.2% glutaraldehyde and tissue was post-fixed for 2h-overnight at 4°C. Brains were cryoprotected in 30% sucrose/1X PBS for 48 h, embedded in Tissue-Tek O.C.T. (Sakura Finetek) and serially-sectioned at 10–25µm on a Leica CM1950 cryostat (Leica Microsystems, Wetzlar, Germany). Sections were mounted on Superfrost/Plus slides (Fisher scientific) and stored at –80°C. Two-three mice/genotype/age were analyzed.

Histology

β-galactosidase activity was determined using 5-bromo-4-chloro-3-indolyl-β-D-galactopyranoside (Xgal) staining. Embryonic and adult tissue sections were prepared as described above and stained with Xgal for 4–24h at 37°C followed by 1X PBS washes and overnight fixation in 4% PFA at 4°C. Slides were then counterstained with Cresyl violet or nuclear fast red, dehydrated and mounted with Cytoseal 60 (Richard Allan Scientific). For all histological analyses, series of slides were processed to allow exact matching of VNLL levels between control and mutant brains.

Immunohistochemistry

Tissue cryosections were washed in 1X PBS then blocked for 1h at room temperature (RT) in 1X PBS/0.3% Triton X-100/3% normal donkey or goat serum (blocking solution). Slides were incubated overnight at 4°C with blocking solution containing dilutions of the following antibodies: rabbit anti-ALDH1L1 (Abcam) 1:500; rabbit anti-cleaved caspase-3 (Biocare Medicare) 1:250; rabbit anti-FoxP1 (Abcam Inc.) 1:400; mouse anti-GAD67 (EMD Millipore) 1:5000; rabbit anti-glycine (Millipore) 1:100; chicken anti-MAP2 (Abcam Inc.) 1:5000; rabbit anti-Olig2 (EMD Millipore) 1:250; mouse anti-TUJ1 (Abcam) 1:500. Sections were washed in 1X PBS and incubated for 1h with secondary antibodies conjugated to DyLight 488 or 549 (Jackson ImmunoResearch) at a 1:500 dilution. All slides were counterstained with 4', 6-diamidino-2-phenylindole (DAPI) or NeuroTrace fluorescent Nissl stain (Molecular Probes). After staining, sections were mounted with ProLong Gold to preserve the fluorescent signals and imaged using a Leica DM5500B epifluorescence

microscope (Leica Microsystems, Exton, PA) or an inverted Zeiss Axio Observer on a PerkinElmer *UltraVIEW* VoX spinning disk confocal with a Hamamatsu C9100-13 camera and Volocity software.

***In situ* hybridization**

The probe for *GlyT2* (642bp) was amplified from pBluescript II plasmid using PCR primers flanked with T7/T3 sequences (forward T3, 5' - *AATTAACCCTCACTAAAGGGAATGTGTGCATCTGTGTATGCA*-3'; reverse T7, 5' - *GTAATACGACTCACTATAGGGCCGGTATGGTAGTGGTGGCCACG*-3'). The amplified PCR product was purified using a gel purification kit (Qiagen) and transcribed with the Ambion Maxiscript transcription kit (Life technologies). After transcription, the probe was precipitated with 4M LiCl in 100% ethanol for 1h at -80°C, centrifuged at 4°C for 20 minutes, the pellets rinsed with 70% ethanol, air dried, and resuspended in 30µl diethylpyrocarbonate-treated water. *In situ* hybridization was performed as previously described (Domowicz et al., 2008; Garcia-Bellido and Santamaria, 1972; Loomis et al., 1996; Morata and Lawrence, 1975). Briefly, frozen mouse brain sections were post-fixed with 4% PFA for 15 minutes and incubated with riboprobe in hybridization buffer (50% formamide, 5x Saline Sodium Citrate buffer-SSC, 1% SDS, 500µg/ml yeast tRNA and 200µg/ml heparin) overnight at 55°C. After hybridization, the slides were rinsed sequentially in solution X (50% formamide, 2xSSC, 1% SDS) for 1.5h at 65°C and Tris saline buffer containing 1% Tween 20 (TBST) for 45 min at RT. Sections were then blocked with 10% lamb serum for 1h and incubated with anti-digoxigenin antibody (Roche Applied Science) for 2h at RT. After staining, sections were rinsed with TBST for 45 min and NTMT buffer (100 mM Tris HCl, 100 mM NaCl, 50mM MgCl₂, 1% Tween 20, and 2mM levamisol) for 30min at RT. Color development was processed with NBT/BCIP (Roche Applied Science) incubation at RT. Sense probe hybridization showed clean background and was used as a negative control. After staining, sections were counterstained with Cresyl violet, dehydrated with ethanol and mounted using Cytoseal.

Cell counts and statistics

Serially-sectioned, immunostained coronal tissue sections spaced every 40µm (E14.5, E17.5, P0, adult) and Xgal-stained coronal tissue sections spaced every 40µm (E11.5, E15.5) or 100µm (P0) through all anteroposterior levels of the VNLL were photographed at 25X on a Leica DM5500B epifluorescence microscope. Anteroposterior levels of mutant and control littermate brains were matched using the pontine nucleus as a reference point. The borders of the VNLL in mutant mice were delineated based on the location of neuronal cell bodies in the white matter of the lateral lemniscus identified by Nissl staining or FoxP1 immunostaining. Photographs used for counting imaged a 72,900µm² area centered on the middle of the dorsoventral axis of the VNLL. Numbers of Xgal+ VNLL neurons (n =2 mice/genotype) at E11.5, E15.5 and P0 were counted. We analyzed cell death by calculating the percentage of tdTomato+ cells with pyknotic nuclei or that were also caspase-3+ at E17.5 (n =3 mice/genotype). We counted numbers of tdTomato+, FoxP1+ and tdTomato+/FoxP1+ VNLL neurons (n =3 mice/genotype) at E14.5 and P0; tdTomato+, MAP2+ and tdTomato+/MAP2+ cells (n =3 mice/genotype) in adult mice; and FoxP1+, GAD67+ and FoxP1+/GAD67+ neurons in adult mice (n =3 mice/genotype). No correction factors were applied.

Cell counts are reported as mean \pm SEM, and statistical significance determined by independent sample one- or two-tailed t-tests or one-way ANOVA followed by Tukey's multiple comparisons (GraphPad Prism, La Jolla, CA).

RESULTS

***En1*-lineal VNLL cells are glycinergic and glycinergic/GABAergic neurons**

To determine the distribution of *En1*-lineal cells in the VNLL, we generated *En1*^{Cre/+}; *ROSA*^{tdTomato/+} and *En1*^{Cre/+}; *ROSA*^{LacZ/+} mice, where *En1*-lineal cells are irreversibly labeled and easily visualized by tdTomato fluorescence or Xgal staining, respectively (Figs. 1A, 3G). Immunostaining with the neuronal marker MAP2 showed that all *En1*-lineal VNLL cells (n = 342 tdTomato+ cells from n = 3 mice) in adult *En1*^{Cre/+}; *ROSA*^{tdTomato/+} mice were neurons, and that 91 \pm 2.7% (n = 374 MAP2+ cells from n = 3 mice) of VNLL neurons derive from the *En1* lineage (Fig. 1A'–A'''). Immunostaining for glycine or GAD67 revealed that all (n = 155 tdTomato+ neurons from n = 3 mice) *En1*-lineal VNLL neurons were glycinergic while 26.4 \pm 5.8% (n = 124 GAD67+/tdTomato+ cells of n = 461 total tdTomato+ cells from n = 3 mice) were also GABAergic in neurotransmitter phenotype (Fig. 1B–B'', C–C''). These data indicate that the vast majority of VNLL neurons are derived from the *En1* lineage.

***En1* deletion causes glycinergic VNLL neuron death**

VNLL neurons originate from rhombomere 4 (r4) starting at E9.5 (Di Bonito et al., 2013; Pierce, 1973). Immunostaining for *En1* in E12.5 *Egr2*; *ROSA*^{tdTomato} mice, where all cells in r3 and r5 are tdTomato+, showed the presence of *En1*+ cells in r4 (Supplemental Fig. 1). To determine the effects of *En1* deletion on VNLL neurons, we conditionally deleted the gene in r4 by generating *Hoxb1*^{Cre}; *En1*^{flox/flox} (*Hoxb1*; *En1*^{CKO}) mice. Adult *Hoxb1*; *En1*^{CKO} mice had very few VNLL neurons based on Cresyl violet and MAP2 immunostaining (Fig. 2A–D'', Supplemental Fig. 2), and none of the remaining neurons were glycinergic based on *in situ* hybridization for *GlyT2* (Fig. 2E, F). Furthermore, GAD67 immunostaining revealed a 99% reduction in GABAergic VNLL neuron numbers in adult *Hoxb1*; *En1*^{CKO} mice compared to littermate controls (0.33 \pm 0.33 vs. 38.5 \pm 3.2; p < 0.01; n = 3 mice/genotype) (Fig. 2G–H'). These data indicate that *En1* is essential for generation, cell fate acquisition or survival of glycinergic and glycinergic/GABAergic VNLL neurons.

En1 is expressed by cells in the brainstem extending from r1 to the spinal cord (Davis and Joyner, 1988). To verify that *En1*-lineal VNLL neurons derived from r4 and not other brainstem levels, we compared VNLL region morphology in adult *Hoxb1*; *En1*^{CKO} and *En1*-null mice. Cresyl violet staining on sections throughout the anteroposterior dimension of the VNLL region revealed similar acellular appearances of the VNLL region in both cases (Supplemental Figure 2). These data show that constitutive *En1*-deletion produced the same phenotype as conditional deletion using the *Hoxb1*^{Cre} driver, and further support the conclusion that VNLL neurons are derived from r4.

To determine whether failure of neuronal generation, aberrant cell fate acquisition and/or cell death explained the decrease in VNLL neurons seen in adult *Hoxb1*; *En1*^{CKO} mice, we fate-mapped the *En1* lineage in *En1*^{Cre/+}; *ROSA*^{LacZ} and *En1*^{Cre/flox}; *ROSA*^{LacZ} mice at

different embryonic and postnatal ages. In *En1^{Cre/flox}; ROSA^{LacZ}* mice, *En1* “self-deletes” within 24 hours following initiation of its expression (Sgaier et al., 2007), enabling us to study how *En1* deletion affected the distribution of *En1*-lineal cells. Xgal⁺ cells were first detected in the developing VNLL at E11.5 (Fig. 3A). The distribution and number of Xgal⁺ cells were similar in *En1^{Cre/+}; ROSA^{LacZ}* and *En1^{Cre/flox}; ROSA^{LacZ}* mice at E11.5 (417 ±57 vs. 514 ±33; p =0.48) and E15.5 (2477 ±50 vs. 2224 ±37; p =0.21) (n =2 mice/genotype/age) (Fig. 3A–D). However, numbers of Xgal⁺ cells in P0 *En1^{Cre/flox}; ROSA^{LacZ}* mice were reduced by 64% compared to *En1^{Cre/+}; ROSA^{LacZ}* littermates (855 ±15 vs. 2379 ±37; p =0.02) (n =2 mice/genotype) (Fig. 2E, F). No Xgal⁺ cells were present in the region of the VNLL in adult *En1^{Cre/flox}; ROSA^{LacZ}* mice (Fig. 3G, H).

The decrease in Xgal⁺ cell numbers between E15.5 and adulthood suggested that *En1*-null VNLL cells died. To examine this possibility, we immunostained brainstem tissue sections from E17.5 *En1^{Cre/+}; ROSA^{tdTomato}* and *En1^{Cre/Cre}; ROSA^{tdTomato}* embryos for the apoptotic cell death marker caspase-3 and counterstained with the nuclear marker DAPI (Fig. 3I–J’'). We found that the VNLL of *En1^{Cre/Cre}; ROSA^{tdTomato}* mice contained ~24x more tdTomato⁺/caspase-3⁺ cells (1.68 ±0.54% vs. 0.071 ±0.071%; p =0.021; n =3 mice/genotype), and there was a trend toward an 8-fold increase in the percentage of tdTomato⁺ cells with pyknotic nuclei (7.2 ±3.1% vs. 0.89 ±0.47%; p =0.059; n =3 mice/genotype) compared to *En1^{Cre/+}; ROSA^{tdTomato}* littermate controls. Taken together with the Xgal⁺ cell count data, these data suggest that *En1* is important for survival but not specification of VNLL neurons.

***En1* deletion does not alter neuronal cell fate**

En1 deletion can cause cell fate specification errors (Loomis et al., 1996), so we investigated the possibility that VNLL neuron death occurred secondary to a cell fate switch in *En1*-null cells. Immunostaining with the neuron-specific marker β-III tubulin (TUJ1) (Menezes and Luskin, 1994) in E14.5 and P0 *En1^{Cre/+}; ROSA^{tdTomato}* and *En1^{Cre/Cre}; ROSA^{tdTomato}* mice revealed that all tdTomato⁺ VNLL cells co-expressed TUJ1 (Fig. 4A–D’'). Furthermore, no tdTomato⁺ VNLL cells in P0 *En1^{Cre/+}; ROSA^{tdTomato}* or *En1^{Cre/Cre}; ROSA^{tdTomato}* mice were immunoreactive for the astrocyte marker ALDH1L1 or the oligodendrocyte marker Olig2 (Fig. 4E–H’'). These data demonstrate that *En1* deletion does not affect adoption or maintenance of VNLL neuronal cell fate.

***En1* deletion results in reduced expression of FoxP1**

The forkhead transcription factor *FoxP1* is widely expressed in the central nervous system and is important for neuron development, positioning and survival (Bacon et al., 2014; Palmesino et al., 2010; Rouso et al., 2008). *FoxP1* is also expressed by *En1*-lineal SOC cells during embryonic and early postnatal development (Marrs et al., 2013), and this expression requires *En1* (Altieri et al., 2015). We found that FoxP1⁺ cells were present in the VNLL of E14.5, P0 and adult control mice, that all FoxP1⁺ cells were neurons, and that all adult VNLL neurons were FoxP1⁺ (Fig. 5A–A’', C’C’', E–E’'). Notably, a small number of FoxP1⁺/tdTomato⁻ cells were present at E14.5 and P0 (Fig. 5A–A’', C–C’'). These data suggest that a minority of FoxP1⁺ VNLL neurons are not derived from the *En1* lineage.

We next sought to determine whether *En1* deletion might affect FoxP1 expression in the VNLL. The percentage of tdTomato⁺ cells that were also FoxP1⁺ was similar in E14.5 *En1^{Cre/+}; ROSA^{tdTomato}* and *En1^{Cre/Cre}; ROSA^{tdTomato}* mice (Fig. 5A–B''; $p=0.43$, Tukey's pairwise test; $n=3$ mice/genotype). However, this percentage was significantly lower in P0 *En1^{Cre/Cre}; ROSA^{tdTomato}* vs. *En1^{Cre/+}; ROSA^{tdTomato}* mice (Fig. 5C–D''; $p<0.01$; $n=3$ mice/genotype). In adult *Hoxb1; En1^{CKO}* mice that lack all *En1*-lineal VNLL cells, double immunostaining for FoxP1 and MAP2 revealed that the small number of remaining FoxP1⁺ VNLL cells were neurons (Fig. 5E–F''). We questioned whether remaining neurons in the VNLL of *Hoxb1; En1^{CKO}* mice were GABAergic. Whereas $18.0 \pm 2.5\%$ of FoxP1⁺ VNLL neurons in control mice co-expressed GAD67, none of the few remaining FoxP1⁺ VNLL neurons in *Hoxb1; En1^{CKO}* mice were GAD67⁺ ($p<0.05$; $n=3$ mice/genotype). These data suggest that *En1* is necessary for initiation and/or maintenance of *FoxP1* expression in a subset of VNLL neurons, and that *En1*-lineal/FoxP1⁺ neurons comprise the glycinergic and glycinergic/GABAergic VNLL neuron population.

DISCUSSION

We show that *En1* is necessary for glycinergic and glycinergic/GABAergic VNLL neuron survival during late embryonic and early postnatal development. This role is consistent with *En1* actions in other CNS regions, as the gene also acts as a survival factor in midbrain dopaminergic, brainstem serotonergic and SOC neurons (Altieri et al., 2015; Fox and Deneris, 2012; Simon et al., 2001). Why *En1* deletion triggers neuronal death is not clear. Given *En1*'s role in regulating expression of axon guidance molecules such as Eph family members (Friedman and O'Leary, 1996; Logan et al., 1996; Rétaux and Harris, 1996), it is possible that aberrant axon targeting and subsequent loss of trophic support could cause death of VNLL neurons. Further studies are needed to identify the mechanism by which *En1* regulates VNLL neuron survival.

One interesting difference between *En1* deletion phenotypes in the SOC and VNLL concerns neuron positioning. In the SOC, *En1* deletion causes the appearance of an ectopic medial cell group composed of *En1*-lineal neurons (Altieri et al., 2015). In contrast, VNLL neuron positioning appears to be unaffected by *En1* deletion. This suggests that *En1* participates in nuclear formation in the SOC but not in the VNLL. Different mechanisms may control SOC and VNLL neuron positioning because non-glutamatergic SOC neurons migrate radially from the ventricular neuroepithelium while VNLL neurons follow a tangential migratory route to move from r4 to the rostral hindbrain (Di Bonito et al., 2013). The molecules that control brainstem nuclear formation are for the most part unknown; future studies will aim to determine *En1* downstream target genes involved in this process.

Another interesting difference between the SOC and VNLL concerns the relationship of *En1* and *FoxP1*. We found that the forkhead transcription factor *FoxP1* is expressed by developing VNLL neurons and, similar to the SOC, that most FoxP1⁺ VNLL neurons are derived from the *En1*-lineage (Marrs et al., 2013). In both regions, a small subset of FoxP1⁺ neurons survive following *En1* deletion, suggesting that *En1* deletion acts in a cell-autonomous manner. The difference lies in the fact that FoxP1 expression is lost from *En1*-lineal neurons in the SOC following *En1* deletion (Altieri et al., 2015), while many *En1*-null

VNLL neurons continue to express FoxP1 until their death (Fig. 5). That the percentage of tdTomato+ VNLL cells that express FoxP1 does not increase between E14.5 and P0 in *En1^{Cre/Cre}, ROSA^{tdTomato}* mice as it does in *En1^{Cre/+}, ROSA^{tdTomato}* mice indicates that *En1* may be required for initiation or maintenance of FoxP1 expression in only a subset of *En1*-lineal VNLL neurons. These data imply that there are three populations of VNLL neurons: *En1*-lineal neurons whose FoxP1 expression requires *En1*, *En1*-lineal neurons whose FoxP1 expression does not require *En1*, and non-*En1*-lineal neurons that express FoxP1. Whether these three classes correlate with functional differences in these neuronal populations is not clear. Further experiments aimed at determining the roles of *FoxP1* in the development of VNLL neurons might provide insight into these differences.

Previous studies reported that the majority of VNLL neurons contain glycine or are dual glycinergic/GABAergic in neurotransmitter phenotype (Riquelme et al., 2001; Saint Marie et al., 1997). Consistent with this, we found that all VNLL neurons derived from the *En1* lineage were glycinergic while 26% of these were also GABAergic. This is again different from the situation in the SOC, where *En1*-lineal LSO and MNTB neurons are glycinergic, LNTB neurons are GABAergic, and VNTB neurons are cholinergic/glycinergic (Altieri et al., 2015). Therefore, it is unlikely that *En1* plays a direct role in neurotransmitter phenotype acquisition. Rather, they support the interpretation that *En1* controls other aspects of neuronal phenotypic maturation.

The VNLL participates predominantly in monaural sound pathways that link the brainstem and inferior colliculus (Covey and Casseday, 1991; Riquelme et al., 2001; Schofield and Cant, 1997). However, comprehensive analysis of VNLL function has been difficult secondary to the relative inaccessibility of this nucleus and a lack of genetic tools with which to probe its function in living animals. Our data indicate that *En1^{Cre}* transgenic mice could be used to drive available optogenetic or other genetically-regulated functional tools in VNLL neurons to provide more information about their function.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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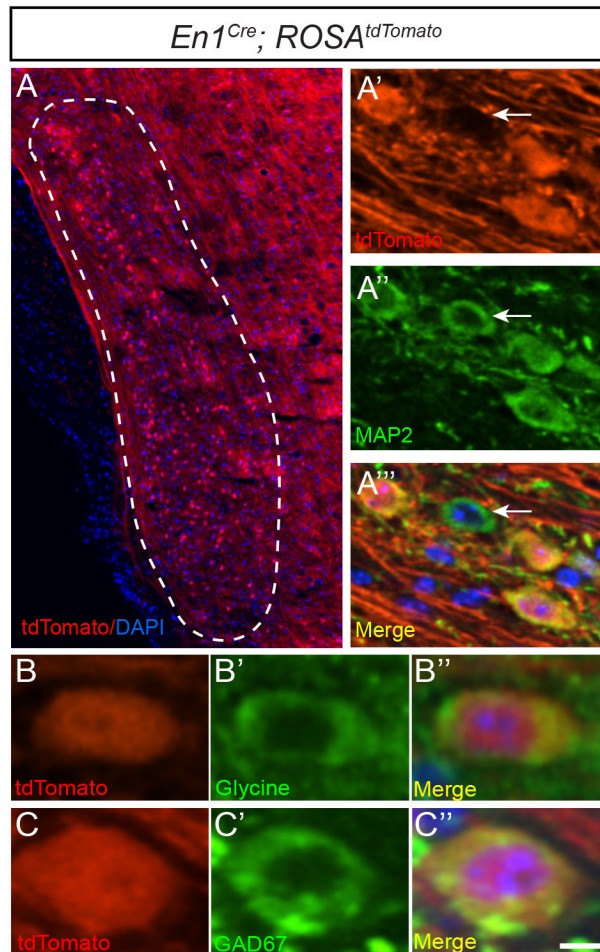


Figure 1. *En1*-lineal cells in the VNLL are glycinergic and glycinergic/GABAergic neurons
 Dotted lines in this and subsequent figures delineate the VNLL or the region in which VNLL neurons are typically found. Coronal brainstem section of adult *En1^{Cre}; ROSA^{tdTomato}* mouse showing endogenous tdTomato expression (A', B, C) overlaid with DAPI (A) and immunostaining for MAP2 (A''), glycine (B'), GAD67 (C') or merged images with DAPI (A''', B'', C''). Arrows in (A'–A''') denote tdTomato- VNLL neuron. Scale bar: 70µm (A), 16µm (A'–A'''), 10µm (B–C'').

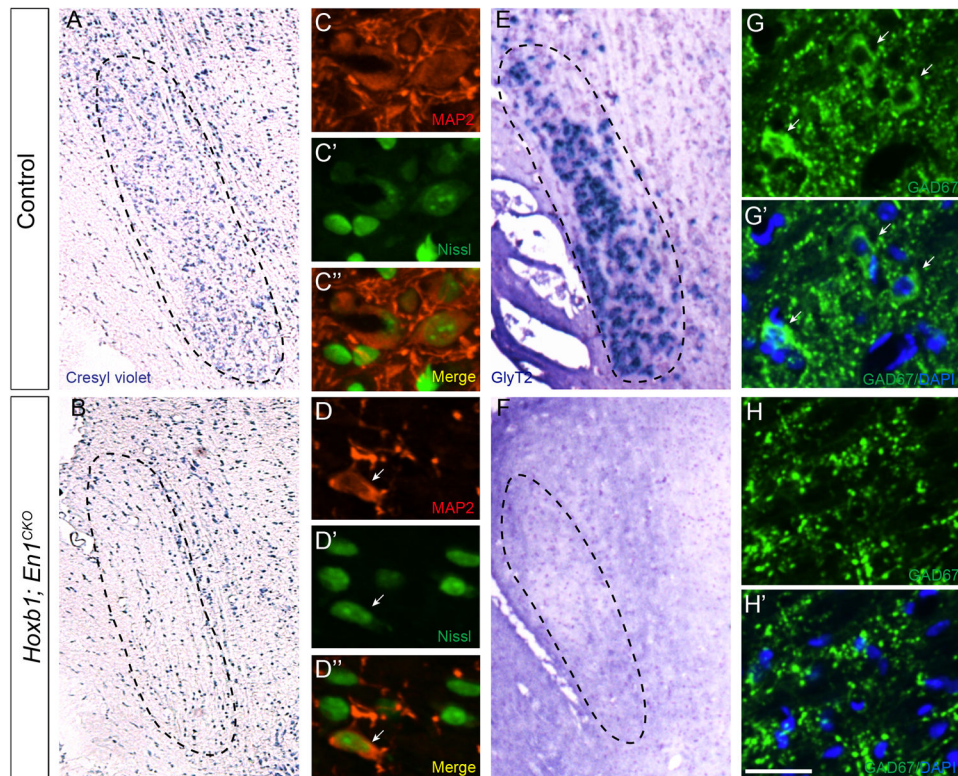


Figure 2. *En1* deletion leads to loss of glycinergic and GABAergic neurons

Cresyl violet staining (A, B), MAP2 immunostaining (C, D), Neurotrace Nissl staining (C', D'), merged images (C'', D''), *in situ* hybridization for the glycinergic neuron marker *GlyT2* (E, F), GAD67 immunostaining (G–H') and DAPI (G', H') of coronal brainstem sections of adult littermate control (A, C–C'', E, G, G') and *Hoxb1; En1^{CKO}* (B, D–D'', F, H, H') mice. GAD67+ staining in (H, H') marks only axon terminals but not neuronal cell bodies (white arrowheads in G, G'). White arrowhead (D–D'') indicates rare MAP2+ neuron retained in VNL of *Hoxb1; En1^{CKO}* mice (D–D''). Scale bar: 200µm (A, B), 25µm (C–C'', D–D'', G–H'), 250µm (E, F).

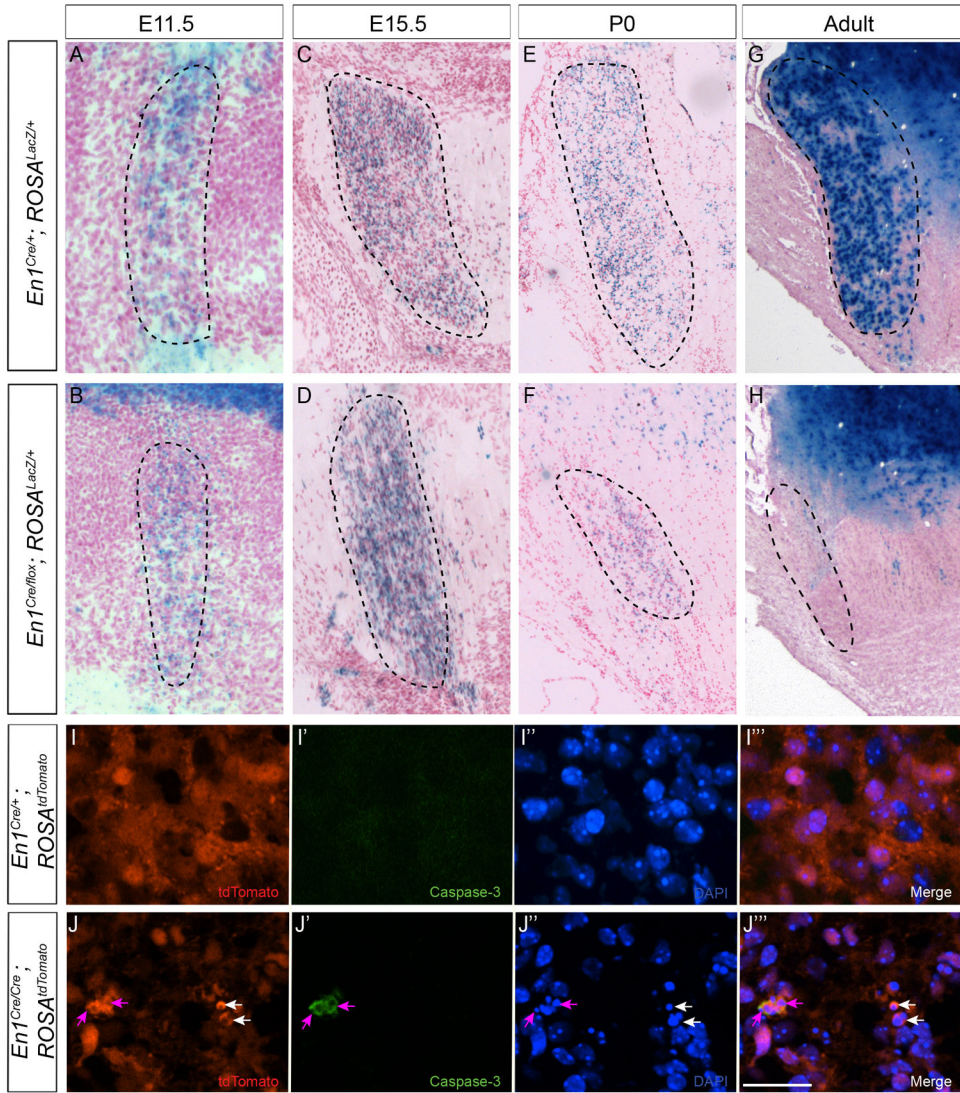


Figure 3. *En1* is required for VNLL neuron survival

Xgal staining of brainstem sections from E11.5 (A, B), E15.5 (C, D), P0 (E, F) and adult (G, H) *En1^{Cre/+}; ROSA^{LacZ}* (A, C, E, G) and *En1^{Cre/flox}; ROSA^{LacZ}* (B, D, F, H) mice.

Endogenous tdTomato (I, J), immunostaining for activated caspase-3 (I', J'), DAPI staining (I'', J'') and merged images (I''', J''') show cell death in the VNLL of E17.5 *En1^{Cre/Cre}; ROSA^{tdTomato}* (J-J''') but not *En1^{Cre/+}; ROSA^{tdTomato}* (I-I''') mice. Purple and white arrowheads (J-J''') denote caspase-3+/tdTomato+/pyknotic cells and tdTomato+ cells with pyknotic nuclei, respectively. Scale bar: 150µm (A, B), 250µm (C-H), 20µm (I-J''').

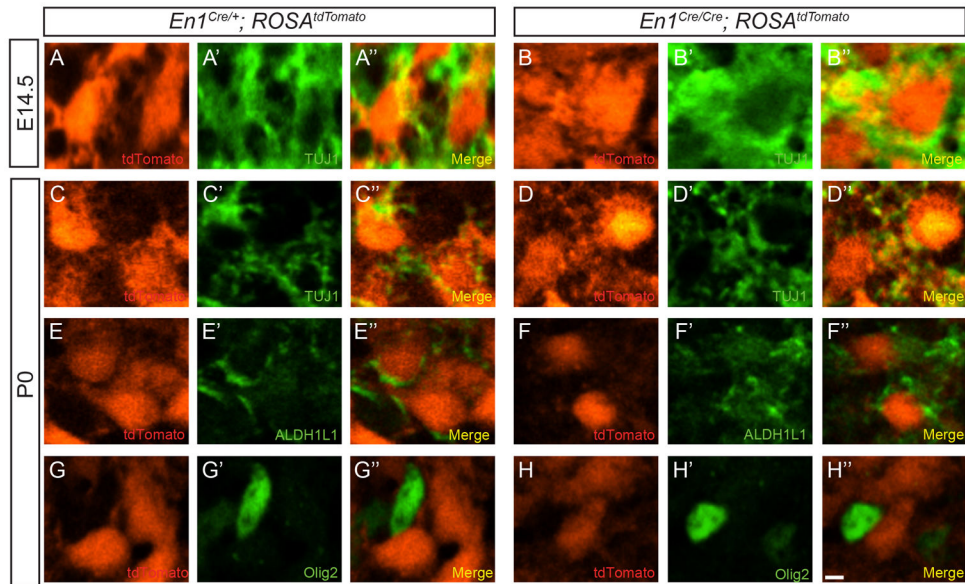


Figure 4. *En1*-null Vnll cells maintain neuronal identity

Single-plane confocal images of brainstem sections from E14.5 (A–B'') and P0 (C–H'') *En1^{Cre/+}; ROSA^{tdTomato}* (A–A'', C–C'', E–E'', G–G'') and *En1^{Cre/Cre}; ROSA^{tdTomato}* (B–B'', D–D'', F–F'', H–H'') mice immunostained for the neural marker TUJ1 (A', B', C', D'), the astrocyte marker ALDH1L1 (E', F') or the oligodendrocyte marker Olig2 (G', H'). Scale bar: 12µm.

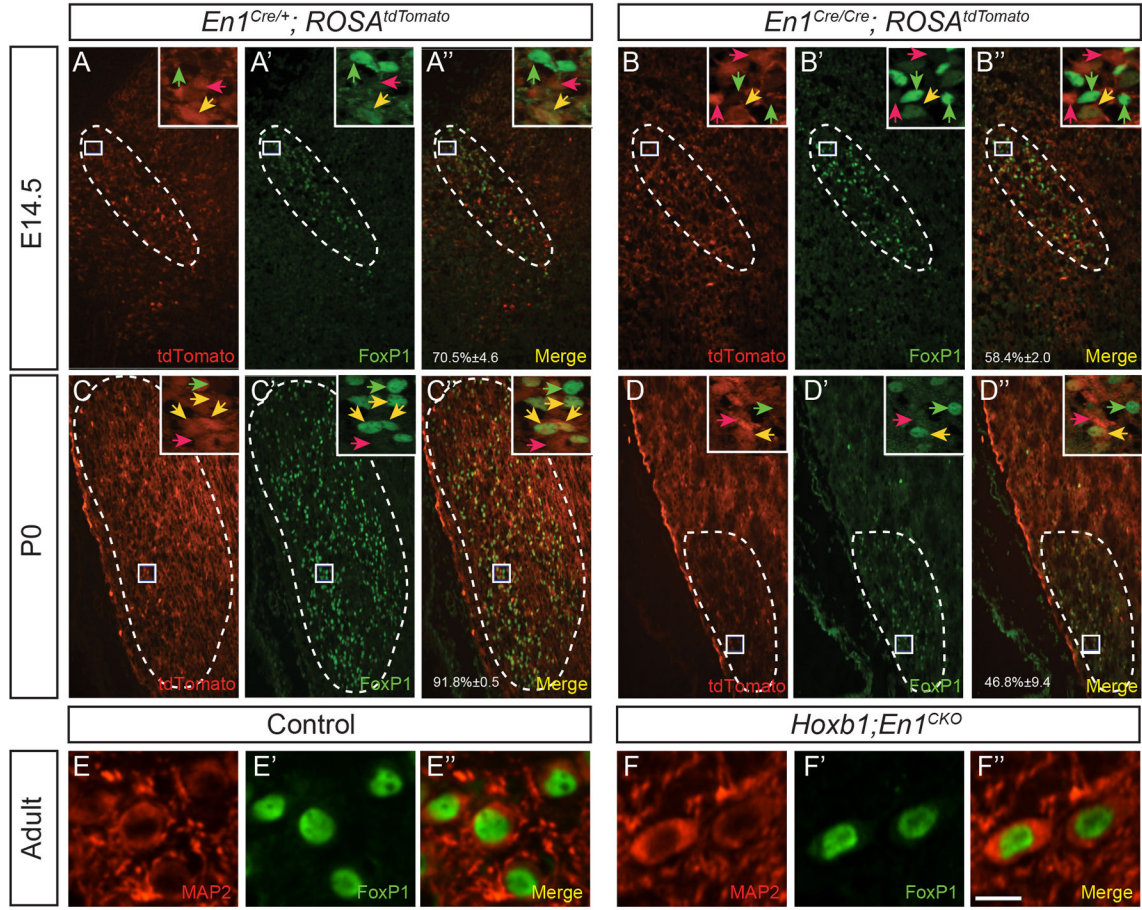


Figure 5. A subset of VNLL neurons require *En1* function to initiate FoxP1 expression
 Endogenous tdTomato expression (A, B, C, D), immunostaining for FoxP1 (A', B', C', D') and merged images (A'', B'', C'', D'') in coronal brainstem sections through the VNLL of E14.5 (A–B'') and P0 (C–D'') *En1^{Cre/+}; ROSA^{tdTomato}* (A–A'', C–C'') and *En1^{Cre/Cre}; ROSA^{tdTomato}* (B–B'', D–D'') mice. The percentage of tdTomato+ cells that are also FoxP1+ (A''–D'') is shown as mean ±SEM (n =3 mice/genotype/age). Boxed areas are shown in insets. Red, green and yellow arrowheads indicate tdTomato+, FoxP1+ and tdTomato+/FoxP1+ cells, respectively. Double immunostaining for MAP2 (E, F), FoxP1 (E', F') and merged images (E'', F'') in coronal brainstem sections in the VNLL of adult control (E–E'') and *Hoxb1; En1^{CKO}* (F–F'') mice. Scale bar: 120µm (AD''), 12µm (insets), 8 µm (E–F'').