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## Phase II Trial of Exemestane in Combination With Fulvestrant in Postmenopausal Women With Advanced, Hormone-Responsive Breast Cancer

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## Abstract

**Introduction**—Exemestane, the irreversible steroidal aromatase inhibitor, and fulvestrant, the pure estrogen antagonist, are active as single drugs in postmenopausal women with advanced hormone-responsive breast cancer. We designed a phase II study with the purpose of determining whether combining these 2 drugs with different and potentially complementary mechanisms of action will improve the clinical benefit.

**Patients and Methods**—Forty postmenopausal women with hormone-responsive advanced breast cancer received intramuscular injection of fulvestrant 250 mg every 28 days in combination with daily exemestane 25 mg until disease progression. We examined the influence of fulvestrant on exemestane pharmacokinetics and the effect of exemestane and fulvestrant on serum IGF-1 (insulin-like growth factor 1) and IGFBP-3 (IGF-binding protein 3) levels.

**Results**—The observed proportion of patients free of progressive disease at 6 months after the initiation of treatment with exemestane and fulvestrant was 50%, a rate similar to that achieved with single-agent exemestane or fulvestrant in the first- or second-line setting. Pharmacokinetics parameters showed that coadministration of fulvestrant did not result in clinically relevant changes in exemestane plasma concentrations. A comparison of IGF-1 and IGFBP-3 levels demonstrated the increase of 35% and 12%, respectively, in mean levels from baseline to day 120.

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Disclosure

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**Conclusions**—The combination of exemestane and fulvestrant did not improve clinical benefit. The observed lack of improved efficacy was not related to altered drug exposure.

#### Keywords

Endocrine therapy; Exemestane; Fulvestrant; IGF-binding protein 3; Insulin-like growth factor; Metastatic breast cancer

#### Introduction

Adjuvant endocrine therapy reduces the risk of breast cancer recurrence by approximately 50% and mortality by approximately 30% in hormone-responsive early-stage breast cancer.<sup>1–6</sup> Postmenopausal women with hormone receptor–positive metastatic breast cancer have a number of endocrine therapies available, including the selective estrogen receptor (ER) modulators tamoxifen and toremifene, aromatase inhibitors (AI), the pure estrogen antagonist fulvestrant, and progestational agents megestrol acetate and estradiol.<sup>7–13</sup> Invariably, resistance to the endocrine therapy develops. Several mechanisms of endocrine resistance have been proposed, and different strategies have been developed to reverse that resistance.<sup>14–16</sup>

The concept of combining the irreversible, steroidal AI exemestane with fulvestrant to delay the development of endocrine resistance and to prolong the duration of clinical benefit was based on the preclinical models that showed that the efficacy of fulvestrant depends on the background estrogen environment in the breast tumor.<sup>17</sup> Xenograft models suggested that the combination of anastrozole and fulvestrant resulted in longer growth inhibition and was more effective in delaying development of endocrine resistance than either treatment alone.<sup>18</sup>

In this study, we tested the hypothesis that a combination of fulvestrant and exemestane will improve the proportion of patients free of progressive disease at 6 months after initiation of treatment. In addition, we examined whether fulvestrant influences exemestane pharmacokinetics and what effect a combination of exemestane and fulvestrant had on serum insulin-like growth factor 1 (IGF-1) and IGF-binding protein 3 (IGFBP-3) levels, because different patterns of IGF-1 and IGFBP-3 response to various endocrine therapies have been reported previously.<sup>19,20</sup>

## **Patients and Methods**

#### **Patient Population**

All patients were postmenopausal women with histologically proven locally advanced or metastatic breast cancer. Hormonally responsive disease was defined as ER and/or progesterone receptor positive (>10% by immunohistochemistry). Postmenopausal status was defined as either age older than 55 years with no menses for 6 months or prior bilateral oophorectomy or ovarian irradiation, or age 55 years with no menses for >12 months and postmenopausal follicular stimulating hormone levels. Measurable disease was not required. However, in patients with measurable disease, the Response Evaluation Criteria in Solid Tumors (RECIST) criteria were followed to monitor and assess response.<sup>21</sup>

No more than 1 prior chemotherapy regimen for stage IV meta-static breast cancer was allowed. Prior treatment with tamoxifen, anastrazole, or letrozole in the neoadjuvant or adjuvant setting was permitted. One prior endocrine therapy for advanced or metastatic disease was allowed, however, prior treatment with exemestane or fulvestrant was the exclusion criterion. The patients were allowed to receive concomitant bisphosphonates. ECOG (Eastern Cooperative Oncology Group) performance status was required to be 0–2. Participants were required to have adequate bone marrow, hepatic and renal function defined as absolute neutrophil count  $1000/\mu$ L, platelets  $100,000/\mu$ L, serum creatinine 1.5 X institutional upper limit of normal (ULN), total bilirubin 1.5 X ULN, and aspartate aminotransferase/alanine aminotransferase 2.5 X ULN unless the patient had liver metastases. In the presence of liver metastases, transaminases had to be 5 X ULN and alkaline phosphatase 2.5 X ULN. The patients with lymphangitic disease, carcinomatous meningitis, bone marrow only metastases, and a rising tumor marker without any other sites of metastatic disease or the presence of bleeding diathesis were excluded. All the participants signed informed written consent before treatment.

**Treatment Plan**—Exemestane 25 mg was administered orally with food once daily, starting on day 1. On day 8, fulvestrant 250 mg was administered intramuscularly and thereafter every  $28 \pm 5$  days. A cycle consisted of approximately 4 weeks starting from day 8. No dose reduction or dose escalation was allowed for either exemestane or fulvestrant. Treatment was continued until disease progression, toxicity, or voluntary withdrawal. The patients were assessed for response after every 2 cycles by physical examination and imaging studies. If a patient had stable disease after 12 treatment cycles, then the frequency of imaging studies was reduced to every third treatment cycle (12 weeks) at the discretion of the investigator. Response and progression was evaluated by using the international RECIST criteria. The participants were assessed for toxicity at each study visit. Toxicity was assigned by using the National Cancer Institute Common Toxicity Criteria version 2.0.

#### Statistical Design

The primary endpoint of this study was to determine the proportion of patients free of progressive disease at 6 months after the initiation of exemestane and fulvestrant. Based on historic data, this combined therapy would be of clinical value if at least 70% of patients with advanced breast cancer were progression free at 6 months.<sup>7</sup> The combination would be deemed uninteresting if the progression-free survival at 6 months was <50% (ie, a median time to progression was <6 months). A Fleming single-stage phase II trial design (with P<sub>0</sub> =0.5, P<sub>1</sub> =0.7; a =0.10,  $\beta$ =0.10) indicated that a sample size of 40 patients was needed to demonstrate this difference. An intent-to-treat analysis was conducted. Kaplan-Meier curves generated the median time to progression.

**Exemestane Pharmacokinetics**—A secondary objective of the trial was to determine the pharmacokinetics of exemestane when administered alone and again in combination with fulvestrant in the first 9 patients. Blood samples were collected for 24 hours after dosing of exemestane on day 7 (ie, at the end of the 1-week single-agent exemestane treatment period) and again after approximately  $120 \pm 7$  days of combination treatment with exemestane and fulvestrant. The collection days were selected based on published data that demonstrated

steady-state concentrations 7 days after exemestane therapy and approximately 120 days for fulvestrant given as single agents at the doses used in this study.<sup>17,18</sup> Approximately 5 mL of venous whole blood was obtained before dosing and then at 1, 2, 4, 6, 8, and 24 hours after exemestane ingestion on each of the 2 time points. Blood was collected in prechilled heparinized tubes, immediately placed in an ice-water mixture, and centrifuged at 1000*g* to 1200*g* for 10 to 15 minutes at 4°C to reduce the risk of exemestane degradation. Duplicate plasma aliquots were frozen at approximately  $-70^{\circ}$ C until the time of analysis. Plasma concentrations of exemestane were measured by using a validated, sensitive, and specific high-performance liquid chromatography method with tandem mass spectrometric detection (PRA International Early Development Services, Zuidlaren, the Netherlands). The lower limit of quantitation of this assay was 0.1 ng/mL when using 0.50-mL aliquots. The selectivity of the method was demonstrated in the presence of fulvestrant at 25.0 ng/mL in human plasma. Assay results were reported in ng/mL.

Exemestane plasma concentration data were analyzed by noncompartmental methods via Win Nonlin (version 1.5; Pharsight, Cary, NC). Peak plasma concentrations ( $C_{max}$ ) and the time at which they occurred ( $T_{max}$ ) were determined by inspection of individual patient concentration-time curves. The area under the concentration-time curve was estimated by using linear trapezoidal rule. The apparent terminal elimination rate constants ( $\lambda z$ ) were determined by linear least-squares regression of plasma concentration-time points that were determined to lie in the terminal log-linear region of the plasma concentration-time profiles. The apparent elimination half-life ( $T_{1/2}$ ) was calculated as  $0.693/\lambda z$ .

**Serum IGF-1 and IGFBP-3 Measurements**—To assess the effect of exemestane in combination with fulvestrant on serum IGF-1 and IGFBP-3 levels, blood samples were collected at baseline before therapy, on day 7 (ie, at the end of 1-week single-agent exemestane treatment period), and on day 120 ( $\pm$  7 days). Approximately 5 mL of venous blood was collected into serum separator tubes, allowed to clot for at least 30 minutes, and then centrifuged at 3000 rpm for 10 minutes. Serum was frozen at –70°C until the time of analysis. Serum levels of IGF-1 were determined by using a FreeIgF-1 ELISA kit (Beckman-Coulter/Diagnostic Systems Laboratories, Webster, TX). The intra-assay variation was 4.0%, interday assay variation was 9.1%, and a theoretic sensitivity of 0.15 ng/mL.

#### Results

#### Patients

Patient and disease characteristics are presented in Table 1. A total of 40 patients were enrolled between November 2005 and December 2009. The median age was 58 years (range, 43–84 years). The median time from the initial breast cancer diagnosis to development of metastatic disease was 5 years (range, 0–21 years). Eight (20%) patients presented with de novo metastatic disease, 14 (35%) developed distant disease recurrence while still receiving adjuvant endocrine therapy, and 9 (23%) developed disease recurrence after completion of 5-year adjuvant hormonal therapy. Nine (23%) patients received adjuvant hormonal therapy for less than 2 to 3 months either due to adverse effects or refusal to proceed with further

treatment. Five (13%) patients received prior chemotherapy for metastatic disease, and 8 (20%) received prior hormonal therapy with nonsteroidal AI for metastatic disease. The dominant sites of metastases were bones, in 28 (70%); followed by lungs, in 12 (30%); and liver, in 4 (10%) patients.

## Toxicity

Treatment-related adverse events of grade 2 are described in Table 2. Most adverse events were grade 2. The most-frequent toxicities were grade 2 fatigue in 10 (25%) patients, followed by grade 2 bone pain and arthralgias reported by 8 (20%) and 6 (15%) patients, respectively. Removal from the study due to toxicity occurred in 1 patient with persistent grade 4 nausea and vomiting. The only other grade 4 adverse events were thromboembolism, chest pain, and hypercalcemia seen in 2 (5%), 1 (3%), and 1 (3%) patients, respectively. No grade 5 toxicity was observed.

#### Efficacy

The treatment efficacy is described in Table 3. No patient had a complete response. Three (7.5%) patients had partial response that lasted for at least 6 months, and 17 (42.5%) had stable disease that lasted for at least 6 months. The overall clinical benefit defined as complete response plus partial response plus stable disease that lasted for 6 or more months was 50%. The median time to progression was 6.9 months (95% CI, 3.9–13.5 months). The progression-free survival is shown in Figure 1.

#### **Exemestane Pharmacokinetics**

Exemestane plasma concentration-time profiles when administered alone (day 7), and again in combination with fulvestrant (day 120), were determined in 9 patients. Mean steady-state plasma concentration-time profiles of exemestane when administered alone were similar to those observed when exemestane was combined with fulvestrant (Figure 2). The pharmacokinetic parameters of exemestane when given alone and in combination with fulvestrant are summarized in Table 4. Exemestane  $C_{\text{max}}$ , area under the curve of 0–24 and half-life when combined with fulvestrant were within 12% of values when given alone. These results indicate that coadministration of fulvestrant does not result in clinically relevant changes in exemestane plasma concentrations.

#### Serum IGF-1 and IGFBP-3 Levels

IGF-1 and IGFBP-3 serum levels on day 1 (baseline), day 7 (exemestane alone), and day 120 (exemestane and fulvestrant) for the 23 patients who had levels determined on all 3 occasions are summarized in Table 5. A repeated measure analysis of variance indicated a statistically significant difference across days for both IGF-1 (119 ± 41.1 ng/mL on day 1, 141 ± 55.1 ng/mL on day 7, and 161 ± 61.2 ng/mL on day 120; P=.0002) and IGFBP-3 (4946 ± 1188 ng/mL on day 1, 5273 ± 1372 ng/mL on day 7, and 5537 ± 1166 ng/mL on day 120; P=.0058). A comparison of IGF-1 levels among the 3 days by using a Student-Newman-Keuls multiple comparison test demonstrated IGF-1 levels increased with time on treatment (P=.0002). Similarly, IGFBP-3 levels also increased with time (P=.0058), but only the difference between day 1 and day 120 was statistically significant (P<.01). The

increase in mean levels from baseline to day 120 was 35% for IGF-1 and only 12% for

## Discussion

IGFBP-3.

This phase II study did not achieve the primary endpoint because the observed proportion of patients free of progressive disease at 6 months after the initiation of treatment with the combination of exemestane and fulvestrant was 50%. This rate is similar to that observed in patients who received the single drug fulvestrant or exemestane as the first or second line of hormonal therapy for meta-static breast cancer.<sup>11,12,22–27</sup>

The observed lack of improved efficacy of a combination of fulvestrant and exemestane does not seem to be related to their pharmacokinetics. Steady-state exemestane pharmacokinetic parameters on day 7 (exemestane alone) and day 120 (exemestane plus fulvestrant) were similar, which suggests that coadministration of fulvestrant did not result in clinically relevant changes in exemestane plasma concentrations.

It is possible that the lower response rate seen in our study is related to the fact that the majority of participants had bone involvement, which makes the determination of objective response difficult. It also is possible that the 250 mg dose of fulvestrant given every month in this study could be a contributing factor to lower-than-expected progression-free survival at 6 months. The time-to-progression curves of studies when using the 28-day, 250-mg schedule indicated early progression of some patients treated with fulvestrant, which suggests that a loading dose of fulvestrant should be given.<sup>25,28</sup> Our data (Figure 2) support this observation; one-third of our patients had disease progression after 2 cycles of therapy. Development of regimen with an loading dose of fulvestrant on days 0 and 14, and a high dose of fulvestrant (500 mg) did show that a steady-state concentration of fulvestrant was reached earlier with loading dose and high dose than with the approved dose.<sup>29,30</sup>

The concept of combining 2 hormonal agents with different and potentially complementary mechanism of action was evaluated in the ATAC (Arimidex, Tamoxifen, Alone or in Combination) trial. <sup>2</sup> This randomized trial was designed to compare the efficacy and safety of anastrazole and the combination of anastrazole plus tamoxifen with that of tamoxifen alone, as adjuvant treatment for postmenopausal women with breast cancer. It has been shown that the combination of tamoxifen and steroidal AI anastrazole was not better than tamoxifen alone.<sup>31</sup> The observed lack of improved efficacy of the combination was thought to be related to the estrogen agonist activity of tamoxifen that predominates in a low estrogen environment created by an aromatase inhibitor. Results of our study showed that even pure estrogen inhibitor fulvestrant does not perform better in estrogen-deprived milieu. The combination of exemestane and fulvestrant was not more effective than treatment with either agent alone in delaying development of resistance to endocrine therapy.

Analysis of preliminary data suggests that IGF/IGF receptor pathway signaling may contribute to antiestrogen resistance through crosstalk with ER signaling.<sup>32</sup> IGF-1 is a more potent mitogen for breast cancer cell lines than estradiol and epidermal growth factor.<sup>33</sup> Because IGF-1 in the tumor microenvironment may be influenced either by local synthesis

or by plasma levels, we examined the effect of exemestane alone (day 7) and combination of exemestane and fulvestrant (day 120) on serum IGF-1 and IGFBP-3 levels. Our results demonstrated that IGF-1 and IGFBP-3 levels increased just 7 days after starting exemestane therapy and increased with time on treatment with the combination of exemestane and fulvestrant. Whether raising levels of IGF-1 ligand led to elevated insulin-like growth factor 1 receptor signaling that caused antiestrogen resistance needs to be determined.

## Conclusion

Results of our study showed that the combination of exemestane and fulvestrant was not more effective than either treatment alone and that it did not delay resistance to endocrine therapy. The observed lack of improved efficacy was not related to altered drug exposure. Increased plasma levels of IGF-1 and IGFBP-3 during therapy generate a hypothesis that IGF/IGF receptor pathway signaling may contribute to antiestrogen resistance.

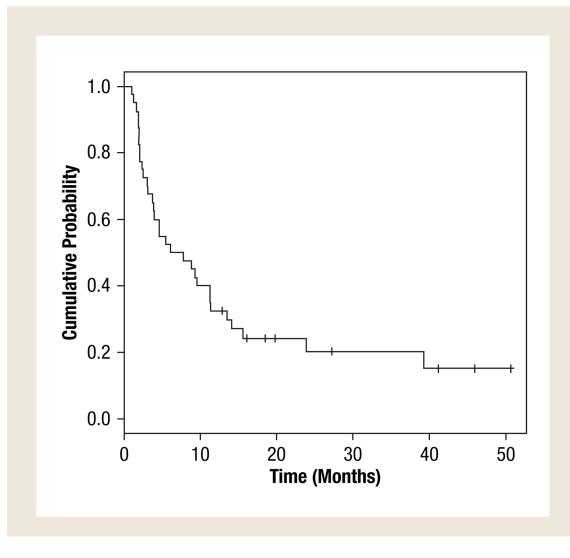
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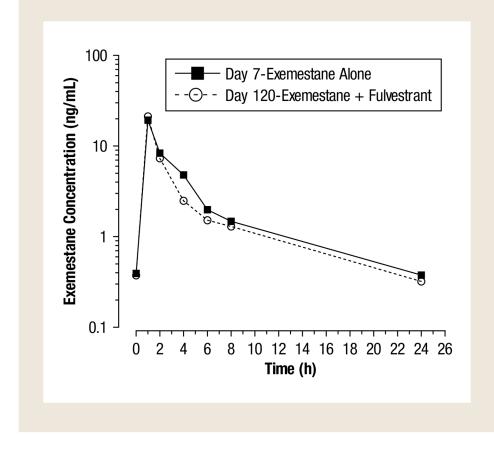
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**Figure 1.** Kaplan-Meier Plot of Progression-Free Survival



#### Figure 2.

Mean (SD) Steady-State Plasma Concentration-Time Profiles of Exemestane Given Alone (Day 7; Closed Squares) or in Combination With Fulvestrant (Day 120; Open Circles)

#### Main Patient and Tumor Characteristics

| Characteristic   |            |
|--|------------|
| Median Age (Range), y  | 58 (43-84) |
| Race, n (%)  |            |
| White  | 36 (90)    |
| African-American   | 4 (10)     |
| Receptor Status, n (%)   |            |
| ER positive  | 40 (100)   |
| PR positive  | 30 (75)    |
| PR negative  | 10 (25)    |
| HER2-neu positive  | 1 (3)      |
| Metastatic Organ Involvement, n (%)                                  |            |
| Lungs  | 12 (30)    |
| Lymph nodes  | 17 (42)    |
| Bones  | 28 (70)    |
| Liver  | 4 (10)     |
| Chest wall   | 4 (10)     |
| Time From Primary Diagnosis to Metastatic Disease, Median (Range), y | 5 (0-21)   |
| Relapse and/or Progression, n (%)                                    |            |
| During adjuvant endocrine therapy                                    | 14 (35)    |
| >12 mo after completion of adjuvant endocrine therapy                | 9 (23)     |
| Presenting with de novo metastatic disease                           | 8 (20)     |
| Never on adjuvant hormonal therapy <sup><math>a</math></sup>         | 9 (23)     |

Abbreviations: ER =estrogen receptor (ER); HER =human epidermal growth factor receptor; PR =progesterone receptor (PR).

<sup>*a*</sup>Patients refused or stopped adjuvant hormonal therapy after taking it for <2-3 mo.

## Toxicity Per Patient

| Toxicity         | Grade 2<br>n (%) | Grade 3<br>n (%) | Grade 4<br>n (%) |
|------------------|------------------|------------------|------------------|
| Hematologic      |                  |                  |                  |
| Neutropenia      | 1 (3)            | 0                | 0                |
| Anemia           | 2 (5)            | 2 (5)            | 0                |
| Thrombocytopenia | 2 (5)            | 0                | 0                |
| Nonhematologic   |                  |                  |                  |
| Fatigue          | 10 (25)          | 4 (10)           | 0                |
| Chest pain       | 0                | 0                | 1 (3)            |
| Arthralgia       | 6 (15)           | 0                | 0                |
| Thromboembolism  | 0                | 0                | 2 (5)            |
| Nausea           | 3 (8)            | 1 (3)            | 1 (3)            |
| Vomiting         | 3 (8)            | 1 (3)            | 1 (3)            |
| Anorexia         | 4 (10)           | 2 (5)            | 0                |
| Dyspnea          | 1 (3)            | 1 (3)            | 0                |
| Hypercalcemia    | 0                | 0                | 1 (3)            |
| Bone pain        | 8 (20)           | 2 (5)            | 0                |

Objective Response Rates and Clinical Benefit Rates

| Response Category               | n (%)   |
|---------------------------------|---------|
| Complete Response               | 0 (0)   |
| Partial Response                | 3 (8)   |
| <b>Overall Response Rate</b>    | 3 (8)   |
| Stable Disease 6 Mo             | 17 (42) |
| <b>Overall Clinical Benefit</b> | 20 (50) |
| Stable Disease < 6 Mo           | 8 (20)  |
| Progressive Disease             | 12 (30) |

Summary of Mean  $\pm$  SD Exemestane Pharmacokinetic Parameters When Given Alone (Day 7) and in Combination With Fulvestrant (Day 120)

| Parameter                | Exemestane Alone (Day 7) | Exemestane + Fulvestrant (Day 120) | % Change <sup>a</sup> | P Value <sup>b</sup> |
|--------------------------|--------------------------|------------------------------------|-----------------------|----------------------|
| C <sub>max</sub> , ng/mL | $20.1\pm7.7$             | $21.2\pm11.1$                      | 5.3                   | .9102                |
| AUC 0-24, ng · h/mL      | $61.7 \pm 17.1$          | 54.7 ± 22.9                        | -11.3                 | .2031                |
| T <sub>1/2</sub> , h     | $7.94 \pm 1.32$          | $8.44\pm2.16$                      | 6.3                   | .5469                |

Abbreviation: AUC =area under the curve.

<sup>a</sup>Relative to day 7.

<sup>b</sup>Wilcoxon signed rank test.

Table 5

Summary of Mean  $\pm$  SD IGF-1 and IGFBP-3 Serum Levels

| Parameter      | u  | Baseline        | Day 7           | Day 120      | P Value <sup>a</sup> |
|----------------|----|-----------------|-----------------|--------------|----------------------|
| IGF-1, ng/mL   | 23 | $119 \pm 41.1$  | $141 \pm 55.1$  | $161\pm61.2$ | .0002 <sup>b</sup>   |
| IGFBP-3, ng/mL | 22 | $4946 \pm 1188$ | $5273 \pm 1372$ | 5537 ± 1166  | .0058°               |
|                |    |                 |                 |              |                      |

Abbreviations: IGF =insulin-like growth factor; IGFBP =insulin-like growth factor- binding protein.

<sup>a</sup>Repeated measures analysis of variance.

b Baseline vs. day 7 (P<.05), baseline vs. day 120 (P<.001), day 7 vs. day 120 (P<.05).

 ${}^{\mathcal{C}}$ Baseline vs. day 7 (P>:05), baseline vs. day 120 (P<:01), day 7 vs. day 120 (P>:05).