

# The relationship between hippocampal volume and static postural sway: results from the GAIT study

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Abstract The role of the hippocampus in postural control, in particular in maintaining upright stance, has not been fully examined in normal aging. This study aims to examine the association of postural sway with hippocampal volume while maintaining upright stance in healthy older individuals. Seventy healthy individuals (mean age  $69.7 \pm 3.4$  years; 41.4 % women) were recruited in this study based on cross-sectional design. Hippocampal volume (quantified from a three-dimensional  $T_1$ -weighted MRI using semi-automated software), three center of pressure (COP) motion parameters (sway area, path length of anterior-posterior (AP) and medial-lateral (ML) displacement) while maintaining upright stance (eyes open and closed), and the relative difference between open and closed eye conditions were used as outcome measures. Age, sex, body mass index, lower

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Neuromechanical Research Centre, Faculty of Kinesiology and Health Studies, University of Regina, Regina, SK, Canada limb proprioception, distance vision, 15-item geriatric depression scale score, total cranial volume, and white matter abnormalities were used as covariates. The sway area decreased from open to closed eye condition but this variation was non-significant (P = 0.244), whereas path length of AP and ML displacement increased significantly (P < 0.003). Increase in sway area from open to closed eyes was associated with greater hippocampal volume ( $\beta$ -18.21; P = 0.044), and a trend for an association of increase in path length of AP displacement (P = 0.075 for open eyes and P = 0.071 for closed eyes) with greater hippocampal volume was reported. The hippocampus is involved in upright postural control in normal aging, such that an increase in sway area of COP motion from open to closed eyes is associated with greater hippocampal volume in healthy older adults.

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# Introduction

The hippocampus is a small brain region located within the brain's medial temporal lobe (Sasaki et al. 2014). It has an important role in the consolidation of memory information and in spatial memory as well as navigation (Sasaki et al. 2014). Although the hippocampus initiates processes involving control of motor responses to sensory stimuli, its role in the integration of sensorimotor processes involved in upright postural control has been poorly studied in normal aging (Bast and Feldon 2003; Borel and Alescio-Lautier 2014).

Impaired balance is common in patients with Alzheimer's disease (AD) and becomes more prevalent with increasing severity of AD, which in part explains the higher risk of falling compared to cognitively healthy individuals (CHI) (Tangen et al. 2014; Leandri et al. 2009; Sasaki et al. 2014). In parallel, the hippocampus is a brain region specifically affected by neurodegenerative lesions of AD leading to its atrophy (Beauchet et al. 2015a, b), suggesting that lower hippocampal volume could be related to impaired upright postural control. Only one study has previously examined the influence of hippocampal volume on control of postural stability while walking in CHI and in patients with mild cognitive impairment (Beauchet et al. 2015a). This study reported no association (Beauchet et al. 2015a). The particular involvement of the hippocampus in static postural control in CHI remains to be determined.

Postural stability depends on the ability to maintain the body's center of gravity (COG) above a relatively small base of support (Bruijn et al. 2013). Clinically, this control is characterized by upright postural sway in the anterior-posterior (AP) and medial-lateral (ML) directions, which moves randomly within a perimeter of stability during a stable upright position (Bruijn et al. 2013; Borel and Alescio-Lautier 2014). Several physiological sensorimotor subsystems, such as lower limb proprioception, vestibular output, vision, and cognition, contribute to upright postural control (Borel and Alescio-Lautier 2014; Lord et al. 1991). The measurement of static upright postural sway in the AP and ML directions on a firm surface with eyes open and closed is usually used to examine the fidelity of the postural control system, such that an increase in postural sway represents an impaired postural control (Desai et al. 2010). Recently, we reported that episodic memory impairment was associated with a relative decrease in sway area of the center of pressure (COP) (the area defined by the COP excursion across the support surface) from open to closed eyes in non-demented community-dwelling older adults (Beauchet et al. 2015b). This association suggests that a relative decrease in sway area of COP could be a marker of an early impairment of the highest levels of upright postural control. From a neuroanatomical perspective, these findings also suggest that the hippocampus-a key brain area for episodic memory functioning (Sasaki et al. 2014)may be involved in postural control.

As AD patients present a reduced hippocampal volume (Dubois et al. 2009) as well as poor balance (Tangen et al. 2014; Leandri et al. 2009), and as we have previously reported an association of decreased sway area from open to closed eyes with lower episodic memory performance, we hypothesized that hippocampal volume would be associated with static postural control. More precisely, lower hippocampal volume would be associated with decreased sway area of COP from open to closed eyes in an upright position. This study aims to examine the association of upright postural sway on a firm surface with hippocampal volume in healthy older individuals. Establishing the association between hippocampal volume and upright postural control may provide new insights into the neural basis of postural instability that could influence fall prevention strategies.

# Material and methods

## Participants

A total of 70 cognitively healthy participants, referred for the evaluation of memory complaints at the memory clinic of Angers University Hospital (France), were recruited between November 2009 and July 2010 in the "Gait and Alzheimer Interactions Tracking" (GAIT) study, which is an ongoing study based on a cross-sectional design. The study procedures have been described in detail elsewhere (Beauchet et al. 2015a, b). The eligibility criteria were 65 years of age and over, ambulatory, with an adequate understanding of French, and no acute medical illness in the past month. For this study, exclusion criteria were neurological and psychiatric diseases (i.e., dementia or mild cognitive impairment (MCI), moderate and severe medical conditions affecting walking and posture and no standing postural assessment. The following standardized tests were used to probe several aspects of cognitive function: minimental state examination (MMSE) (Folstein et al. 1975), frontal assessment battery (FAB) (Dubois et al. 2000), French version of the free and cued selective reminding test-total recall (FCSRT-TR) (Van der Linden et al. 2004), the direct (i.e., forward) digit span (Wechsler 1987), trail making test (TMT) parts A and B (Brown et al. 1958), Stroop test (Stroop 1935), and instrumental activities of daily living scale (IADL) (Pérès et al. 2006). The diagnosis of MCI was established following the Winblad consensus criteria during multidisciplinary meetings involving geriatricians, neurologists, and neuropsychologists of Angers University Memory Clinic (Winblad et al. 2004). Diagnoses of dementia were assigned according to the Diagnostic and Statistical Manual of Mental Disorders, fourth edition (1994) at consensus diagnostic case conferences. Participants in the study gave their written informed consent and the ethics committee of the Angers University Hospital (France) approved the GAIT study.

# Clinical assessment

Age and sex were recorded. Binocular distance vision was measured at 5 m with a standard Monoyer letter chart and scored from 0 (i.e., worst performance) to 10 (i.e., best performance) (Lord et al. 1991). Vision was assessed with corrective lenses if needed. Lower limb proprioception was evaluated with a graduated tuning fork placed on the tibial tuberosity measuring vibration threshold (Beauchet et al. 2011). The mean value obtained for the left and right sides ranged between 0 (i.e., worst performance) and 8 (i.e., best performance) and was used in the present data analysis. The 15-item Geriatric Depression Scale (GDS) was used to screen for depressive symptoms (Launay et al. 2013).

# Standing postural sway assessment

Upright postural sway was measured on a firm surface using a force platform ( $101 \times 101$  cm; BioRescue, Dune<sup>®</sup>, France) (Beauchet et al. 2011). This instrument

uses vertically oriented force transducers to determine the instantaneous fluctuations of the total body center of mass (COM) as represented by the center of pressure (COP). The participants were asked to maintain barefoot standing position with eyes opened or closed with each foot positioned against a foot frame on a platform plate that maintained the distance between the medial sides of the heel at 8.4 cm with an external rotation angle of 9°. Participants were instructed to look straight ahead, with arms kept by the side of the body, for 30 s. From the balance system software, three types of COP motion parameters were used as outcome measures: the mean sway area (i.e., the total area of the AP and ML COP displacement, expressed in mm<sup>2</sup>) and the path length of COP displacement in AP and LM directions (expressed in mm). In addition, we computed the change (i.e., the relative difference) between the eyes-open and eyesclosed conditions using the formula: (COP parameter eyes-open - COP parameter eyes-closed / [(COP parameter eyes-open + COP parameter eyes-closed) / 2] × 100.

# Hippocampal volume

Brain imaging was performed with a 1.5-Tesla MRI scanner (Magnetom Avanto, Siemens Medical Solutions, Erlangen, Germany) using a standard MRI protocol including (MP-RAGE) axial (acquisition matrix =  $256 \times 256 \times 144$ , FOV =  $240 \text{ mm} \times 240 \text{ mm} \times 187 \text{ mm}$ , TE/TR/TI = 4.07 ms/2170 ms/1100 ms), and fluid-attenuated inversion recovery (FLAIR) axial images (acquisition matrix =  $256 \times 192$ , FOV =  $240 \text{ mm} \times 180 \text{ mm}$ , pixel size:  $0.9 \times 0.9 \times 5.5 \text{ mm}$ ; slice thickness = 5 mm, slice gap = 0.5 mm, 30 slices, TE/TR/TI = 122 ms/9000 ms/2500 ms) (Dubois et al. 2009).

The volumetric 3D  $T_1$ -weighted images were segmented using the FreeSurfer software package (version 5.1.0; 33) to calculate the hippocampal volume. The procedure has been previously described in detail (Beauchet et al. 2015a). The hippocampal volume (sum of right and left sides), total cranial volume defined as the volume within the cranium including the brain, meninges, and CSF, and total white matter abnormalities (defined as T1 hypointensities and expressed in cm<sup>3</sup>) were used as outcomes. White matter abnormalities (WMA) were measured with FreeSurfer software. The segmentation process, subsequently extended to label white matter abnormalities, has been described in detail elsewhere (Fischl et al. 2002). WMA calculated on T1 images with this method has been shown to be highly correlated with manual and semi-manual measurements from T2/FLAIR (r > 0.93 when including extreme values; >0.72 when excluding extreme values) (Jacobs et al. 2013; Bagnato et al. 2003).

## Statistics

The participants' characteristics were summarized using means, standard deviations (SDs), frequencies and percentages, as appropriate. They were separated into two groups based on the increase or decrease of sway area of COP from open to closed eyes. Comparisons were performed using a chi-square, paired t test, or an independent samples t test as appropriate. A Pearson correlation was used to characterize the association between the relative difference between open and closed eyes of sway area and hippocampal volume. Multiple linear regression analysis was performed to examine the association between COP motion parameters (sway area, the path length of AP and ML displacement; dependent variables) and the hippocampal volume (independent variables) adjusted according to the participants' characteristics (i.e., age, sex, body mass index, lower limb proprioception, distance vision, 15-item GDS score, total cranial volume, and white matter abnormalities). Overall alpha was set at <0.05.

### Results

The mean age and standard deviation (SD) of the participants was  $69.7 \pm 3.4$  years with 41.4 % women. Lower limb proprioception (/8) and distance vision (/10) scores were respectively  $5.2 \pm 1.2$  and  $8.3 \pm 1.4$ and the 15-items GDS score was  $1.9 \pm 2.2$  (Table 1). There were no significant differences between the two groups (i.e., participants who increase or decrease their sway area of COP from open to closed eyes) for any of the clinical characteristics. Overall (i.e., for all participants), sway area decreased with closed eyes compared to open eyes but this decrease was not significant  $(172.8 \pm 125.2 \text{ versus } 190.2 \pm 133.5 \text{ mm}^2 \text{ with}$ P = 0.244). In contrast, path length of AP and ML displacement increased significantly with closed eyes compared to open eyes  $(291.9 \pm 151.6 \text{ versus})$  $261.6 \pm 134.2$  mm with P = 0.002, and  $593.0 \pm 341.8$  versus  $424.9 \pm 185.5$  mm with P < 0.001, respectively). Comparisons between groups showed that participants who decreased their sway area from open to closed eyes had a greater sway area with their eyes open (P = 0.032) and a lower sway area with their eyes closed (P = 0.002) than their counterparts. The relative difference for all participants in sway area from open to closed eyes was  $9.4 \pm 48.7$  % ( $190.2 \pm 133.5$  mm<sup>2</sup> with eyes open and  $172.8 \pm 125.2$  mm<sup>2</sup> with eyes closed). The sum of the right and left mean hippocampal volume was  $7.7 \pm 0.8$  cm<sup>3</sup>. There was no significant difference in brain volume between the groups of participants.

As illustrated in Fig. 1, there was a trend for a negative correlation between the relative difference in sway area and hippocampal volume (r = -0.16, P = 0.096), which underscores that increase in postural sway area when closed eyes (i.e., negative relative difference with high value) was associated with greater hippocampal volume. Table 2 displays the results of multiple linear regression analysis showing the association of COP motion parameters (sway area, AP and ML displacement; dependent variables) with hippocampal volume (independent variable) adjusted according to the participants' characteristics. There was no significant association between the hippocampal volume and the separate eyes open and closed conditions for sway area (P = 0.117 and P = 0.252) and path length of ML displacement (P = 0.117 and P = 0.142). A trend for an increase in path length of AP displacement with greater hippocampal volume was shown (P = 0.075 for eyes open and P = 0.071 for eyes closed). In final, hippocampal volume was negatively associated with the difference in sway area from eyes open to eyes closed ( $\beta$ -18.21; P = 0.044). That means that an increase in sway area with eyes closed in comparison to eyes open was associated with greater hippocampal volume.

# Discussion

Our findings show an association between hippocampal volume and postural sway in normal aging. A significant increase in the sway area of COP from open to closed eyes was associated with greater hippocampal volume, and a trend for an association between increased path length of AP displacement and greater hippocampal volume was also reported. These findings suggest that the hippocampus is involved in upright postural control in normal aging.

## **Table 1** Clinical, postural and brain volume characteristics of participants (n = 70)

	Total population ( $n = 70$ )	Relative difference <sup>a</sup> between eyes open a	P value <sup>b</sup>	
		Decrease $(n = 36)$	Increase $(n = 34)$	
Clinical characteristics				
Age (years), mean $\pm$ SD	$69.7 \pm 3.4$	$69.4\pm3.2$	$69.9\pm3.7$	0.572
Female, <i>n</i> (%)	29 (41.4)	16 (44.4)	13 (38.2)	0.598
Body mass index (kg/m2), mean $\pm$ SD	$25.6 \pm 3.2$	$25.0\pm3.0$	$26.1 \pm 3.3$	0.134
Lower limb proprioception <sup>c</sup> score (/8), mean $\pm$ SD	$5.2 \pm 1.1$	$5.4 \pm 1.0$	$5.0 \pm 1.1$	0.202
Distance vision <sup>d</sup> score (/10), mean $\pm$ SD	$8.4 \pm 1.4$	$8.1 \pm 1.5$	$8.6 \pm 1.4$	0.133
15-item Geriatric depression scale (15), mean $\pm$ SD	$1.9 \pm 2.2$	$2.1 \pm 1.9$	$1.7 \pm 2.5$	0.518
COP parameters				
Sway area <sup>e</sup> , mean $\pm$ SD				
Open eyes (mm <sup>2</sup> )	$190.2 \pm 133.5$	$223.4 \pm 158.2$	$155.2 \pm 90.8$	0.032
Closed eyes (mm <sup>2</sup> )	$172.8 \pm 125.2$	$128.8 \pm 84.7$	$219.4 \pm 144.2$	0.002
Relative difference <sup>a</sup> (%)	$9.4\pm48.7$	$47.4\pm34.9$	$-30.8 \pm 20.5$	< 0.001
Path length of AP displacement, mean $\pm$ SD				
Open eyes (mm)	$261.6 \pm 134.2$	$237.2 \pm 86.2$	$294.9 \pm 177.4$	0.127
Closed eyes (mm)	$291.9 \pm 151.6$	$277.7 \pm 127.4$	$311.2 \pm 181.0$	0.436
Relative difference <sup>a</sup> (%)	$-9.8 \pm 19.6$	$-12.5 \pm 18.5$	$-6.2 \pm 20.8$	0.253
Path length ML displacement, mean $\pm$ SD				
Open eyes (mm)	$424.9 \pm 185.5$	$400.2 \pm 132.3$	$458.6 \pm 239.4$	0.266
Closed eyes (mm)	$593.0 \pm 341.8$	$546.1 \pm 211.4$	$657.0 \pm 463.2$	0.252
Relative difference <sup>a</sup> (%)	$-28.4 \pm 21.7$	$-28.0 \pm 22.0$	$-28.9 \pm 21.8$	0.884
Brain structure volumes (cm <sup>3</sup> )				
Total cranial volume	$1535.8 \pm 134.6$	$1529.5 \pm 142.1$	$1542.5 \pm 127.9$	0.687
Total white matter abnormalities <sup>f</sup>	$2.6 \pm 2.1$	$2.3 \pm 1.3$	3.0. ± 2.7	0.210
Hippocampus <sup>g</sup>	$7.7\pm0.8$	$7.6 \pm 0.8$	$7.8 \pm 0.8$	0.179

P value (i.e., < 0.05) indicated in italic

CI confidence interval, COP center of pressure, AP anterior-posterior, ML medial-lateral

<sup>a</sup> Calculated from the formula (eyes open – eyes closed / ((eyes open + eyes closed) / 2)  $\times$  100

<sup>b</sup> Comparison based on independent samples t-test or chi square, as appropriate

<sup>c</sup> Sample mean value of left and right side and based on graduated diapason placed on the lower limb

<sup>d</sup> Binocular visual acuity at a distance of 5 m with a Snellen letter test chart

<sup>e</sup> Sum of anterior-posterior and medial-lateral displacements

<sup>f</sup>Defined as T1 hypointensities and measured using FreeSurfer

<sup>g</sup> Sum of left and right hippocampus

The role of the central nervous system in postural control has previously been found to be more related to subcortical than to cortical regions (Moro et al. 2010; Rinne et al. 2008; Hülsdünker et al. 2015). However, recent findings underscore the cortical contribution to postural control with a particular involvement of the frontal and the parietal cortices (Hülsdünker et al. 2015; Mihara et al. 2008; Van Impe et al. 2012; Kido et al. 2010). Our

study demonstrates for the first time that the hippocampus is associated with maintaining upright stance in healthy older adults. Increase in upright postural sway was associated with greater hippocampal volume, showing indirectly that decrease in upright postural sway was associated with lower hippocampal volume. More precisely, compared to a referent condition, which was maintaining an upright position with open eyes, the challenging condition of closed Fig. 1 Relationship between the relative difference between open and closed eyes of sway area of center of pressure and hippocampal volume. The *thick line* is the best-fit linear regression line, and the *thin lines* at the top and bottom are the limits of the 95 % confidence interval. \*calculated from the formula (eyes open – eyes closed / (eyes open + eyes closed) / 2) × 100. †sum of the total area of anterior-posterior and medial-lateral displacements



eyes led to a decrease in postural sway area, this decrease being associated with lower hippocampal volume. Because lower hippocampal volume is considered to be an abnormal condition, this decrease in postural sway suggests an abnormal postural control, and therefore may be interpreted as being associated with increased instability (Bruijn et al. 2013; Borel and Alescio-Lautier 2014; Lord et al. 1991; Desai et al. 2010). Interestingly, postural instability is usually characterized by an increase in postural

 
 Table 2
 Multiple linear regression models showing the association between centre of pressure motion parameters (sway area, path length of anterior-posterior and medial-lateral displacement;

sway rather than a decrease (Borel and Alescio-Lautier 2014; Lord et al. 1991). However, from a biomechanical perspective, a certain amount of variability is necessary to maintain an upright posture (Bruijn et al. 2013; Beauchet et al. 2011). Indeed, the excursion of the COP moves randomly within a perimeter of stability during a stable upright position and is a reflection of the ability to maintain the body's COG above its base of support (Bruijn et al. 2013; Borel and Alescio-Lautier 2014; Lord et al. 1991;

dependent variables) and hippocampal volume (independent variable) adjusted on characteristics of participants (n = 70)

COP motion parameters used as dependent variable in multiple linear regression	Open eyes <sup>a</sup>			Closed eyes <sup>a</sup>		Relative difference between open and closed <sup>b</sup>			
	ß°	95 % CI	P value	ß°	95 % CI	P value	ß°	95 % CI	P value
Sway area <sup>d</sup>	-39.40	[-88.93; 10.14]	0.117	26.86	[-19.63; 73.35]	0.252	-18.21	[-35.93; -0.48]	0.044
Path length of AP displacement	47.03	[-5.00; 99.06]	0.075	53.17	[-4.78; 111.12]	0.071	-2.86	[-10.48; 4.77]	0.453
Path length of ML displacement	58.43	[-15.21; 132.07]	0.117	99.02	[-34.61; 232.64]	0.142	1.38	[-7.27; 10.03]	0.750

Coefficient of regression ( $\beta$ ) and significant P value (i.e., P < 0.05) indicated in bold

AP anterior-posterior, COP centre of pressure, ML medial-lateral  $\beta$  coefficient of regression beta corresponding to an increase or a decrease in value of center of mass motion parameters, CI confidence interval

<sup>a</sup> Separated models for open and closed eyes and ratio open eyes/closed eyes

<sup>b</sup>Calculated from the formula (eyes open - eyes closed / ((eyes open + eyes closed) / 2) × 100

<sup>c</sup> Adjusted on participant characteristics (age, sex, body mass index, lower limb proprioception score, distance vision score, and 15-item Geriatric Depression Scale) and brain volume characteristics (total cranial volume and total white matter abnormalities)

<sup>d</sup> Sum of anterior-posterior and medial-lateral displacements

Desai et al. 2010). Consequently, it is reasonable to suggest that the decrease in sway area of COP from the open to closed-eyes condition could be an early marker of upright postural dysfunction interpreted as an inappropriate overcompensation of static postural control (i.e., increased rigidity) related to lower hippocampal volume.

Our findings also underscore that differences between COP parameters occurred from open to closed eyes standing position. While sway area of COP decreased, path length of AP and ML displacement increased. This increase was in a normal range when compared to previous studies and has been previously considered as a normal motor behavior (Borel and Alescio-Lautier 2014). Indeed, the closed eyes condition is a stress condition corresponding to a deprivation of the visual input that destabilizes upright postural control and leads to an increase in postural sway (Borel Borel and Alescio-Lautier 2014; Lord et al. 1991). The opposite behavior reported with the sway area suggests that the control of this parameter is different and/or provides complementary information compared to the others. Indeed, the sway area compared to path length of AP and ML displacement is a global parameter encompassing the others, which could make this parameter more sensitive to change in postural control. In addition, it is important to underline that hippocampal volume of the studied population is in a normal range. It has been reported that the mean hippocampal volume obtained from the western population varies from 5.6 to 7.8 cm<sup>3</sup> (Honeycutt and Smith 1995; Pruessner et al. 2000; Szabo et al. 2001). This information confirms that the change in upright postural control reported in our study may reflect the onset of an abnormal postural control.

The association between standing postural sway and hippocampal volume is contradictory to those of previous studies that have shown the role of the hippocampus in gait stability, gait being considered as a particular condition of dynamic balance (Beauchet et al. 2011; Beauchet et al. 2015a, b). With respect to the dynamic postural control of gait, stride width values (which are associated with dynamic balance) have not been associated with hippocampal volume (Beauchet et al. 2015a, b). The discrepancy with the results of the present study likely suggests that the hippocampus may have a different role in static and dynamic postural control.

It is important to note that our study has several limitations. First, the number of participants was small. Second, while this study is the first to demonstrate an association between hippocampal volume and static postural control, the cross-sectional design does not afford a causal relationship. Third, all participants were referred for the evaluation of a memory complaint, which prevents generalization to the entire older adult population. Further studies should include a combined assessment of postural control and memory performance to understand the relationship between hippocampal volume, postural control, and memory. Fourth, the foot frame used when assessing the body sway in our study may explain in part the decrease in body sway because it could be suggested that more attention was given to this extra information when the eyes were closed.

## Conclusion

We found an association between an increase in upright postural sway area of COP from open to closed eyes and greater hippocampal volume in healthy older adults. This result suggests the involvement of the hippocampus in the upright postural control of aging and that clinicians should assess upright postural control in older adults with hippocampal abnormalities.

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Author contributions Conceived and designed the experiments: OB and GA. Performed the experiments: OB. Analyzed the data: OB and GA. Contributed reagents/materials/analysis tools: OB. Wrote the paper: OB, JB, TLA, VLC, TS and GA.

#### Compliance with ethical standards

**Conflict of interest** The authors declare no relevant conflict of interest.

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