# REVIEW

# Embracing rejection: Immunologic trends in brain metastasis

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#### ABSTRACT

Brain metastases represent the most common type of brain tumor. These tumors offer a dismal prognosis and significantly impact quality of life for patients. Their capacity for central nervous system (CNS) invasion is dependent upon induced disruptions to the blood-brain barrier (BBB), alterations to the brain microenvironment, and mechanisms for escaping CNS immunosurveillance. In the emerging era of immunotherapy, understanding how metastases are influenced by the immunologic peculiarities of the CNS will be crucial to forging therapeutic advances. In this review, the immunology of brain metastasis is explored.

Abbreviations: BBB, blood-brain barrier; CLNs, cervical lymph nodes; CNS, central nervous system; COX-2, cyclooxygenase-2; CSF, cerebrospinal fluid; CTLA-4, cytotoxic T lymphocyte antigen-4; GAMs, glioma-associated macrophages/microglia; GBM, glioblastoma multiforme; iNOS, inducible nitric oxide synthase; LPS, lipopolysaccharide; MMP-1, matrix metalloproteinase-1; OS, overall survival; P-gp, P-glycoprotein; PD-1, programmed cell death-1; PFS, progression-free survival; TAMs, tumor-associated macrophages; TCRs, T-cell receptors; TILs, tumor-infiltrating lymphocytes; TNF-a, tumor necrosis factor a; Tregs, regulatory T cells; VEGF, vascular endothelial growth factor

# Introduction

As the complex relationship between cancer ontogeny and the human immune system becomes better understood, interest has increased in elucidating the immunologic interactions between tumor cells and both their microenvironment as well as the systemic immune system. As a tumor confine, the brain represents a special case, both with regard to microenvironmental peculiarities and to immune trafficking. Regarding the latter, despite the long-held belief that the blood-brain barrier (BBB) confers "immune privilege", a number of groups have demonstrated robust trafficking of immune components through tumors' brain microenvironment, as well as tumordriven changes in systemic immune function.<sup>1</sup> Our understanding of tumor mechanisms of immunosuppression and immune evasion deepens as the roles of the cells and various factors that make up the tumor microenvironment are better characterized. Ultimately, this increased understanding may aid in the era of immunotherapy to shift the balance from tumor escape to tumor destruction.

To date, knowledge of immune trafficking across the BBB comes from investigations of primary brain tumors. However, metastases from non-CNS primary tumors are the most common brain tumor type, nearly 10 times more common than malignant gliomas.<sup>2-4</sup> Each year, approximately 150,000 people are diagnosed with brain metastases in the United States.<sup>5</sup> It is

estimated that 10-30% of all patients with solid tumors develop metastatic CNS disease; due to an aging population and improvements to cancer screening and therapeutic efficacy, it is anticipated that the incidence of brain metastasis will increase. Brain metastases most commonly originate from three primary solid malignancies: lung, breast, and melanoma.<sup>3</sup> Regardless of the site of origin, brain metastases are responsible for significant morbidity and quality-of-life impairment; common symptoms include headaches, seizures, focal neurologic deficits (such as weakness or numbness), cognitive changes, and gait disturbances.<sup>2,4</sup> The current repertoire of therapy leave patients with a dismal prognosis, with survival typically estimated to be on the order of months.<sup>3</sup> Moreover, these therapies cause significant side effects that greatly affect quality of life for patients.<sup>6,7</sup> Immunotherapy offers the potential to target tumor cells while avoiding collateral damage to adjacent normal tissue,<sup>8</sup> with the additional advantage of built-in surveillance and memory in the event of recurrence. As immunotherapy for a variety of systemic tumors gains momentum and legitimacy,<sup>9</sup> there is increased interest in defining and modulating the unique immune environment within the CNS. Consequently, a deeper knowledge of BBB modulation and immune trafficking is needed in order to develop rational immunotherapies for CNS metastases. Herein, we review the current understanding

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of the immunology of metastasis, as well as current trials of immunotherapy to date.

# The blood-brain barrier

The notion of the CNS as an immune-privileged compartment can be traced to Peter Medawar's 1948 study demonstrating the absence of rejection of allogeneic tissue grafted into brains of experimental animals.<sup>10</sup> This view has since been revised and the CNS is now known to be "immunologically distinct" rather than isolated.<sup>1</sup> This distinction is thought to arise at least in part from the BBB, which isolates blood in the CNS vasculature from the extracellular fluid of the brain.<sup>11</sup> Under physiologic circumstances, the BBB is considered a neurovascular unit, consisting of a layer of specialized endothelial cells connected by tight junctions, and maintained by a peripheral cellular network of astrocytes and pericytes.<sup>12,13</sup> In contrast to the peripheral vasculature, brain endothelial cells have continuous tight junctions without fenestrations. Though small (< 400-500 Da) and lipophilic molecules cross the BBB via passive diffusion, the integrity of the epithelium restricts most movement to large molecules.<sup>14</sup> Active transport mechanisms including receptormediated transcytosis of large molecules, efflux pumps, and multi-drug-resistance proteins further regulate transit across the BBB.<sup>12,15</sup> This "protective" effect of the BBB can at times preclude or limit the in vivo study of early brain pathology, as contrast agents used in imaging and many drugs are prevented from entering the brain parenchyma.<sup>11</sup> However, BBB permeability is increased in certain settings such as systemic inflammation, infection, cancer, and radiotherapy.<sup>16-19</sup>

The neovasculature within metastatic brain tumors is often deemed more permeable than normal CNS vasculature, thus creating a compromised blood-tumor barrier (BTB).<sup>40</sup> Recent work has shown varied degrees of BTB permeability across multiple models of breast cancer brain metastases lacking any correlation with lesion size.<sup>41</sup> While this increased permeability has been demonstrated previously, the BTB appears to remain sufficiently intact to bar passage of chemotherapeutic agents. Indeed, Lockman et. al. have shown in two models of breast cancer brain metastasis that uptake of cytotoxic chemotherapeutic agents remained greatly reduced, and in only 10% of lesions did these drugs reach cytotoxic levels.<sup>42</sup> Therefore, the extent of BTB disruption and resultant therapeutic implications within brain metastases remain active areas of investigation.

Though the brain appears to offer immunologically distinct substrate,<sup>20</sup> both physiologic and pathologic immune trafficking across the BBB and BTB occurs. This has been particularly well-described for T-lymphocytes, which are implicated in modulating immunosurveillance within the CNS.<sup>21</sup> Antitumor immune responses begin with the presentation of CNS antigens in draining cervical lymph nodes (CLNs); antigens leave the cerebrospinal fluid (CSF) via the olfactory nerve, cross the cribriform plate to enter the nasal mucosa, and ultimately reach the CLNs.<sup>22,23</sup> Recently, functional lymphatic vessels were discovered lining the dural sinuses that offer a newly defined mechanism of antigen and cellular egress from the CNS. These vessels express the characteristic molecular markers of lymphatic endothelial cells and can carry fluid, macromolecules, and immune cells from the CSF to the deep CLNs.<sup>24,25</sup>

# **Tumor seeding and progression**

The general phases of the metastatic cascade include tumor cell departure from the primary site, travel to the CNS, infiltration across the BBB, and alteration of the CNS microenvironment to allow for evasion of immune defenses. The exact mechanism of tumor seeding within the CNS is poorly understood and limited correlation between in vitro and in vivo analyses make such mechanisms difficult to study.<sup>26,27</sup> Although the predilection of metastatic foci for the gray-white junction is well-described,<sup>28</sup> few therapeutically relevant mechanistic insights are currently had. Sites of seeding are thought to be primarily mechanical: non-laminar flow at vessel branch points coupled with cellular size exceeding vessel capacity may primarily determine locations for metastatic foci.<sup>29-32</sup> It is unknown, however, whether BBB disruption at these loci precedes metastatic spread (or is even a necessary prerequisite) or whether all changes observed in the BBB at metastatic loci are secondary to tumor formation.

Two separate animal models of brain metastasis have shown that the BBB remains intact throughout the early phase of tumor evolution, suggesting that BBB damage occurs secondary to later stage metastatic proliferation.<sup>33</sup> Assays of vessel permeability in a murine xenograft model have demonstrated supraphysiologic permeability only in larger metastatic tumors.<sup>34</sup> Additionally, in a murine metastatic lung cancer model, BBB integrity was not altered until later stages of development, despite the retained ability of the BBB to functionally exclude solute and drug via expression of the efflux transporter P-glycoprotein (P-gp).<sup>35</sup> Moreover, although extravasation of single cells appears to largely determine brain metastasis formation, intravascular tumor cell proliferation can also occur, secondarily disrupting vessels and the BBB.<sup>36</sup> Indeed, in an array of animal models, viable cells have been found within the lumen of intracerebral vasculature 3-5 d following intra-carotid injection.<sup>11,26,37-39</sup>

The reduced shear force at branch points in the vasculature allows metastatic tumor cells to attach to the endothelium through various integrins, selectins, and chemokines.<sup>29-32</sup> Following diapedesis, metastatic tumor cells remain in close contact with brain endothelial cells and rely heavily on them for their proliferation.<sup>20</sup> Previous observations suggest that transmigrated metastatic cells only proliferate when located on the basolateral surface of brain endothelial cells.<sup>43</sup> Furthermore, breast cancer and melanoma cells have been shown to align along the extraluminal surface of brain vasculature <sup>36</sup> and endothelium,<sup>44</sup> respectively. The vascular basement membrane supports the growth of metastatic cells prior to tumor vasculature formation, a process termed vessel cooption.<sup>45</sup>

A complex cascade of factors mediating vascular remodeling follows endothelial binding and tumor seeding. Among the cascade is vascular endothelial growth factor (VEGF), which has been extensively investigated and contributes significantly to tumor extravasation. VEGF presence instigates exposure of the vascular basement membrane via disturbance of tight and adherens junctions,<sup>46</sup> and mediates parasitization of peritumoral vasculature.<sup>43,47-49</sup>

In summary, the four critical steps required for successful metastasis formation include vascular arrest by size exclusion, active extravasation, rigid perivascular position, and growth via angiogenesis and vascular remodeling<sup>43</sup> (Fig. 1). Each of these steps represents a potential therapeutic target. For example, the use of anti-angiogenic agents is under pre-clinical investigation in a variety of tumors. Of note, treatment with VEGF blockade in a melanoma metastasis model inhibited angiogenesis while maintaining physiologic BBB permeability.<sup>50</sup> Another study compared brain metastasis-selected variants of a breast cancer cell line to the parental cell line, and showed that the metastatic variants had higher levels of VEGF-A expression and contained more CD31<sup>+</sup> blood vessels. Furthermore, mice treated with VEGF inhibition had reduced angiogenesis and restricted growth of the brain metastases.<sup>51</sup> The effects of these changes on immune trafficking to sites of metastases are unclear, though the increased clinical use of therapeutic VEGF blockade (as with bevacizumab) will prompt further investigation. Though small, a pilot phase II study (NCT01281696) examining the effect of bevacizumab combined with etoposide and cisplatin for patients with breast cancer CNS metastases exhibited promising efficacy with a 60% response rate.<sup>52</sup> This same treatment regimen followed by whole brain radiotherapy will be evaluated in a randomized phase II study that is currently enrolling patients (NCT02185352). Another ongoing Phase II study is evaluating the combination of bevacizumab with carboplatin (NCT01004172).

# Tumor microenvironment and immune evasion

Though study of the tumor microenvironment has frequently focused on the populations and phenotypes of tumor-infiltrating lymphocytes (TILs) and monocytes,<sup>53</sup> the cytoarchitecture surrounding these cells has also undergone considerable scrutiny. Analysis of intracranial melanoma and breast cancer



**Figure 1.** Tumor seeding and progression. The critical steps required for successful metastasis formation within the brain include (1) vascular arrest by size exclusion, (2) active extravasation of metastatic tumor cells, (3) stringent perivascular location and proliferation, and (4) sustained growth via angiogenesis and vascular remodeling.

metastases has identified upregulated microenvironmental expression by the metastatic tumor cells of cyclooxygenase 2 (Cox-2), matrix metalloproteinase-1 (MMP-1), heparanase, and angiopoeitin-like 4,<sup>54-56</sup> which further degrade subjacent BBB.<sup>54,55</sup> This altered expression may facilitate the development of adjoining micrometastases, which can persist even when the primary metastatic site has been eliminated.<sup>28</sup> The dysregulated expression of these mediators in metastatic melanoma cells seems to be driven by expression of activated STAT3, which has been shown to increase angiogenesis and invasion.<sup>49</sup> Many other adhesion molecules, soluble factors, proteolytic enzymes, and signaling pathways have been implicated in the interaction between metastatic tumor cells and endothelial cells of the BBB and are reviewed in Wilhelm et. al.<sup>20</sup>

Metastatic tumor cells also subvert the glial cells in their microenvironment to their advantage. Astrocytes are among the first glial cells encountered by metastasizing cells, and some evidence suggests that they aid in CNS invasion by tumor cells.<sup>57,58</sup> Under the influence of the neurotrophin, nerve growth factor, melanoma-adjacent astrocytes contribute to brain colonization of melanoma cells via expression of heparanase.<sup>57</sup> Moreover, metastatic brain tumors are highly resistant to chemotherapy, and past work has shown that these tumors can harness the neuroprotective effects of activated astrocytes for chemotherapeutic resistance and survival.<sup>58</sup> However, astrocytes may play a dual role in tumor propagation, as astrocytesecreted plasminogen appears conversely to induce apoptosis in metastatic cells.<sup>59</sup> Thus, the ultimate contribution of this glial cell population to tumor progression remains complex and controversial.

Subversion of endogenous immune responses, long thought to be a hallmark of primary gliomas, is also a characteristic of brain metastases. Importantly, one of the main physiologic roles of microglia, the resident CNS antigen presenting cells, is the dampening of cell-mediated immune responses, a function that is frequently embraced by metastases. The nitric oxidemediated tumoricidal activity of metastatic tumor-infiltrating microglia has been well-described in vitro.<sup>60</sup> However, in vivo models have demonstrated microglial recruitment by tumor cells and subsequent secretion of pro-mitotic factors contributing to tumor cell proliferation.<sup>61</sup> Microglia have also been shown to enhance invasion and colonization of brain parenchyma by acting as WNT signaling-dependent guiding rails and active transporters. These findings suggest inhibition of pro-invasive microglia may serve as a therapeutic strategy for metastatic tumors.<sup>62</sup>

Impairments in the antitumor functions of glioma-associated macrophages/microglia (GAMs) are well known. Gliomas appear to secrete various cytokines that skew GAMs toward the alternatively activated (M2) phenotype and suppress the classically activated (M1) phenotype, producing a tumor-supportive environment. Similar impairments have been reported in brain metastases, as well.<sup>63</sup> In response to metastatic lung cancer cells in brain tissue, microglia in the tumor microenvironment display characteristic signs of activation, including proliferation, migration, amoeboid appearance, and formation of a dense capsule about the metastatic tumor mass. However, these microglia lack both inducible nitric oxide synthase (iNOS) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), deeming them unable to mediate phagocytosis, cytotoxicity, and antigen presentation. Additionally, supernatants from lipopolysaccharide (LPS)-activated microglia induce apoptosis of metastatic cells *in vitro* in a time- and dose-dependent manner, but at lower concentrations, trophic effects on cancer cells are seen. These results suggest that the various factors released by microglia may create a favorable niche allowing metastatic cells to thrive.<sup>64</sup> This may be linked to calmodulin and integrin expression on both astrocytes and tumor cells,<sup>20</sup> the therapeutic implications of which have yet to be explored.

Early experiments indicated that macrophage-deficient mice continue to develop normal primary neoplasms but that metastasis formation is inhibited.<sup>65</sup> Both macrophage and mast cell populations can induce inflammatory responses that lead to retraction of astrocytic end feet and comprise the glia limitans.<sup>66</sup> This retraction can allow for metastatic cells in the perivascular space to gradually gain access to the brain parenchyma, mirroring observations from experimental animal models. Indeed, tumor-associated macrophages (TAMs) are often observed at later stages of metastasis when cell extravasation has already occurred.<sup>11</sup>

The greatest "selective pressure" on CNS tumors is proffered by T lymphocytes. Cancer immunosurveillance has long been shown to be a function of cell-mediated immunity, with T-cells, the enforcers. Likewise the goals of many immune-based cancer therapies are the priming and tumor-infiltration of tumor-specific T-cells. Past experiments have indeed demonstrated that depletion of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells abrogates immune responses against brain metastases.<sup>67</sup> With regard to immune evasion, TILs in both gliomas and CNS metastases consistently exhibit deficits tied to tumor and microenvironment-induced immunosuppression. Such immunosuppression is particularly well documented in glioblastoma multiforme (GBM).68,69 Immunotherapies rely on a precursor frequency of T cells; however, T-cell lymphopenia is an immunologic shortcoming in GBM patients,<sup>70</sup> and regulatory T cells (T<sub>regs</sub>) persist at disproportionate levels leading to further T-cell inhibition.<sup>71</sup> Activated T cells successfully trafficking to the CNS tumor site encounter a milieu of immunosuppressive factors within the tumor microenvironment that serve to thwart immune effectors. These elements include more  $T_{regs}$ ,<sup>72</sup> indoleamine 2,3dioxygenase expression,<sup>73</sup> MHC and B7 family protein downre-gulation,<sup>74,75</sup> PTEN loss, <sup>76</sup> STAT3 expression/activation,<sup>77</sup> production of TGF- $\beta$  and IL-10,<sup>78</sup> MICA/B secretion,<sup>79,80</sup> and HLA-E expression.<sup>81</sup> Additionally, sampling of glioma TILs reveals high levels of CD95, PD-1, PD-L1, CTLA-4, LAG3, and TIM3,<sup>68</sup> indicative of immune cell exhaustion, characterized by poor effector function, obstinate expression of inhibitory receptors, and an altered transcriptional state.<sup>82</sup> These findings in GBM indicate that delivering immune cells to the CNS is only a beginning step in the path to tumor eradication.

The systemic and local immunologic consequences of CNS metastasis are not as well characterized as in primary brain tumors. However, their location within the brain suggests contributions by many of the same environmental immunosuppressive factors. For instance,  $T_{regs}$  have been shown to infiltrate experimental models of metastatic melanoma, breast, and colon cancer within the brain and have also been seen in human metastatic brain lesions at

autopsy.<sup>83</sup> Additionally, expression of PD-1 has been seen in approximately 63% of TILs in melanoma brain metastasis, most highly associated with areas of the tumor showing positive expression of PD-L1.84 PD-1 positive TILs have been found in a variety of brain metastases, with the highest levels in melanoma and renal cell carcinoma.<sup>85</sup> Debate remains about the prognostic relevance of PDL1 expression by brain tumor metastases; one study found no association between high or low PD-L1 expression and overall survival (OS) in a mixed brain metastasis cohort as well as in subsets of lung and breast cancer brain metastases. However, patients with melanoma brain metastases with higher expression levels of PD-L1 showed a trend toward better survival.<sup>85</sup> Another study determined high PD-L1 expression was associated with prolonged survival in melanoma brain metastases irrespective of prior systemic immune therapy, although it did not retain independent prognostic value on multivariate analysis.<sup>86</sup> High tumor PD-L1 expression predicting higher response rates has been seen in a prior trial of anti-PD1 therapy in patients with various solid tumors.<sup>87</sup> Thus, whether PD-L1 expression is utilized by the tumor to mediate immune escape or if it predicts treatment response to therapeutic blockade remains in question.

T-cell exhaustion also appears to be relevant in intracranial metastases. Though the mechanisms underlying the movement and clonal expansion of T cells systemically and within the tumor microenvironment remain under investigation, numerous studies document T-cell ineffectiveness as a result of tumorinduced exhaustion and stand-downs at immune checkpoints. As such, strategies fostering immune checkpoint blockade (described below) have resulted in clinically significant improvements in treatment and prognosis.<sup>88</sup> Similarly, it is possible that CNS-specific elements - for example, microglia - are responsible for tumor-driven induction of T-cell anergy, differential recruitment, or expansion. This has not yet been studied, though it has been shown that CD8<sup>+</sup> T-cell responses in the setting of tumor xenografts are partially contingent on a unique and specific pattern of integrin signaling, 89-91 which can theoretically be blunted either by tumor or microglial signaling.

# Current immunotherapy trials for intracranial metastasis

#### Immune checkpoint blockade

As CNS immune-accessibility becomes accepted, and as immunotherapy gains greater momentum among trialed therapies for primary brain tumors, there is now developing interest in immunotherapeutic approaches to brain metastases (see Table 1). As alluded to above, one such emerging approach is immune checkpoint blockade. Immune checkpoints, induced on T cells following their activation, serve to attenuate immune responses (Fig. 2). For example, cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4) is a well-characterized inhibitory molecule responsible for downregulating T-cell proliferation and abrogating activated T-cell responses.<sup>92</sup> Ipilimumab, a monoclonal anti-CTLA-4 antibody, was approved in 2011 as monotherapy for metastatic melanoma.93 Though data on the efficacy of CTLA-4 antagonism is robust,<sup>94</sup> studies of the effects on CNS metastases are less available. Ipilimumab was shown in a phase II prospective trial (NCT00623766) to have an activity

ldentifier	Title	Disease	Design	Status	Intervention	Endpoint classification	Primary outcome measure
NCT01703507	Phase I Study of Ipilimumab Combined With Whole Brain Radiation Therapy or	Melanoma BM	Non-randomized Phase I	Recruiting	Ipilimumab with WBRT or SRS	Safety	Maximum tolerated dose (MTD) of
NCT01950195	kaulosurgery for Metanoma SRS (Stereotactic Radiosurgery) Plus Ipilimumab	Melanoma metastases	Non-randomized Phase I	Recruitment on hold	Ipilimumab with SRS	Safety	Number and severity of
NCT02107755	Stereotactic Radiation Therapy and Ipilimumab in Treating Patients With Metastatic	m the bran and spine Melanoma BM	Phase II	Recruiting	Ipilimumab with SRS	Efficacy	auverse evenus PFS
NCT02097732	Ipilimumab Induction in Patients With Melanoma Brain Metastases Receiving Stereotactic Radiocurreador	Melanoma BM	Randomized Phase II	Recruiting	Ipilimumab with SRS	Safety/efficacy	Local control rate
NCT02115139	GEM STUDY: Radiation And Yervoy in Patients With Melanoma and Brain Metastases (GRAY-R)	Melanoma BM	Non-randomized Phase II	Recruiting	Ipilimumab with WBRT	Safety/efficacy	One-year survival rate
NCT00623766	Evaluation of Tumor Response to Ipilimumab in the Treatment of Melanoma With Brain	Melanoma BM	Non-randomized Phase II	Completed	Ipilimumab with corticosteroid	Safety/efficacy	Disease control rate
NCT02374242	Metastases Anti-PD 1 Brain Collaboration for Patients With Melanoma Brain Matastaces (ABC)	Melanoma BM	Randomized Phase II	Recruiting	lpilimumab, nivolumab	Safety/efficacy	Intracranial response
NCT01927419	Netarionia prain Necessors (NPC) Study of Nivolumab (BMS-936558) Plus Ipilimumab Compared With Ipilimumab Alone in the Treatment of Previously Untreated, Untresectable, or Metastatic	Melanoma BM	Randomized Phase II	Ongoing, not recruiting	Ipilimumab, nivolumab	Efficacy	objective response rate
NCT01844505	Phase 3 Study of Nivolumab or Nivolumab Plus Phase 3 Study of Nivolumab or Nivolumab Plus Ipilimumab Versus Ipilimumab Alone in Previously Untreated Advanced Melanoma (Che-kMate 0677)	Melanoma BM	Randomized Phase III	Ongoing, not recruiting	Ipilimumab, nivolumab	Efficacy	OS, PFS
NCT02320058	A Multi-Center Phase 2 Open-Label Study to Evaluate Safety and Efficacy in Subjects With Melanoma Metastratic to the Brain Treated With Nivolumab in Combination With Iplimumab Followed by Nivolumab	Melanoma BM	Phase II	Recruiting	Nivolumab, ipilimumab	Safety/efficacy	CNS clinical benefit rate (CBR)
NCT02460068	Monucleapy (Checkwate 204) A Study of Fotemustine(FTM) Vs FTM and Ipilimumab (IPI) or IPI and Nivolumab in Melanoma Brain Metastasis (NIBIT-M2)	Melanoma BM	Randomized Phase III	Recruiting	Fotemustine, fotemustine and ipilimumab, ipilimumab and	Efficacy	2 y OS
NCT02085070	MK-3475 in Melanoma and NSCLC Patients With	Melanoma and NSCLC BM	Non-randomized Phase II	Recruiting	MK-3475	Efficacy	Response rate
NCT01454102	Diall Interdadases Study of Nivolumab (BMS-936558) in Combination With Gemcitabine/Cisplatin, Pemetrexed/Cisplatin, Carboplatin/Paclitaxel, Bevacizumab Maintenance, Erlotinib, Ipilimumab or as Monotherapy in Subjects With Stage IIIB/IV Non-small Cell Lung Cancer (NCCT C/ChockMAtte 0.12)	NSCLC BM	Randomized Phase I	Ongoing, not recruiting	Nivolumab, gemcitabine, cisplatin, pemetreved, paclitaxel, carboplatin, bevacizumab, erlotinib, ipilimumab	Safety	Safety and tolerability of nivolumab in combination with chemotherapy
NCT01782287	Proteome-based Immunotherapy of Lung Cancer Brain Metastases	Lung Cancer BM	Non-randomized Phase II/ III	Enrolling by invitation only	Dendritic vaccine, allogeneic hematopoietic stem	Safety/efficacy	All-cause mortality at 2 y

Table 1. Selected clinical trials of immunotherapy for melanoma, lung, and breast cancer brain metastases.

(Continued on next page)

Table 1. (Continued ).							
ldentifier	Title	Disease	Design	Status	Intervention	Endpoint classification	Primary outcome measure
					cells, cytotoxic lymphocytes; Dendritic vaccine, autologous hematopoietic stem cells, cytotoxic lymphocytes		
NCT01281696 Bevacizumab <sup>1</sup> Breast Can Leptomenii	With Etoposide and Cisplatin in cer Patients With Brain and/or ingeal Metastasis	Breast Cancer Leptomeningeal and BM	Phase II	Completed	Bevacizumab, etoposide, cisplatin, methotrexate	Efficacy	Response rate
NCT02185352 Bevacizumab, by Whole E With Brain	Etoposide and Cisplatin Followed Brain Radiotherapy in Breast Cancer Metastases (A-Plus)	Breast Cancer BM	Randomized Phase II	Recruiting	Bevacizumab, etoposide, cisplatin with WBRT	Efficacy	Brain-specific PFS
NCT01004172 Carboplatin ar Breast Can	nd Bevacizumab for Progressive cer Brain Metastases	Breast Cancer BM	Phase II	Ongoing, not recruiting	Bevacizumab, carboplatin, herceptin	Safety/efficacy	CNS objective response
NCT01782274 Proteome-bas Metastases	ed Immunotherapy of Brain From Breast Cancer	Breast Cancer BM	Non-randomized Phase II/III	Enrolling by invitation only	Dendritic vaccine, allogeneic hematopoietic stem cells, cytotoxic lymphocytes; Dendritic vaccine, autologous hematopoietic stem cells, cytotoxic lymphocytes	Safety/efficacy	All-cause mortality at 2 y
SRS, stereotactic radiosurgery; B	.M, brain metastases; NSCLC, non-sm	all cell lung cancer; WBRT, w	/hole brain radiation therap	y; PFS, progression free surviv	al, OS, overall survival		

Table 1. (Continued ).



Figure 2. Immune checkpoints and checkpoint blockade. T lymphocytes, the effector cells of the immune system, recognize and are activated against metastatic tumor cells. This process is mediated via presentation of peptide antigens displayed on major histocompatibility complex (MHC) molecules of antigen presenting cells (APCs) to the T-cell receptors (TCRs), as well as binding of co-stimulatory molecules (such as CD28 on T cells binding to CD80 or CD86 on APCs). Immune checkpoints, such as CTLA-4 and PD-1, are induced on T cells following their activation. CTLA-4 is also expressed on regulatory T cells (Tregs). These checkpoints attenuate immune responses carried out by T cells. Tumor cells and Tregs utilize these molecules as a mechanism of immunosuppression within the tumor microenvironment. Immune checkpoint blockade is achieved using monoclonal antibodies directed against these molecules, thus relinquishing these mechanisms of immune inhibition. Evaluation of these therapies in patients with brain metastasis is currently underway.

in patients with newly diagnosed melanoma brain metastases without causing unexpected toxic effects. At 2 y, however, the OS for patients treated with ipilimumab monotherapy was 26%, while those who required additional treatment with steroids had only 10% OS at 24 mo.<sup>95</sup> These results suggested that steroid treatment at the initiation of ipilimumab therapy could abrogate the immune response elicited by checkpoint blockade. This is an important consideration in patients with CNS metastases, given the frequent employment of steroids in this population. Subsequently, in 146 patients with asymptomatic melanoma brain metastases treated with ipilimumab, a global disease control rate of 27% was seen, with median progression-free survival (PFS) and OS of 2.8 and 4.3 mo, respectively.<sup>96</sup>

A second well-defined immune checkpoint on T cells is programmed cell death-1 (PD-1). Binding of PD-1 to its ligands (PD-L1 and PD-L2), expressed by many cell types including tumor cells, instigates T-cell shutdown and even apoptosis.<sup>92</sup> Nivolumab and pembrolizumab are monoclonal antibodies directed against PD-1 and approved for treatment of patients with metastatic melanoma (nivolumab and pembrolizumab) and metastatic non-small cell-lung carcinoma (nivolumab only).<sup>97</sup> The combination of ipilimumab and nivolumab has now been shown in randomized phase II and III trials to have a clinical benefit in untreated melanoma compared to ipilimumab alone.<sup>98,99</sup> A phase II study of pembrolizumab is currently underway (NCT02085070). Recently, a single-arm phase II trial of ipilimumab in combination with fotemustine in patients with melanoma and asymptomatic brain metastases showed intracranial disease control in 50% of patients and a median OS of 13.4 mo.<sup>100</sup> Additionally, an objective response to systemic therapy with ipilimumab has been shown to be associated with prolonged survival in patients who undergo surgical resection of melanoma brain metastases.<sup>101</sup> Lastly, a triple-arm phase III clinical trial will compare the OS at 2 y of fotemustine monotherapy, combination ipilimumab and fotemustine, and combination ipilimumab and nivolumab in patients with metastatic melanoma with brain metastases. This study is currently recruiting participants and is not expected to reach completion until 2020 (NCT02460068).

#### **Combined radiation therapy**

A growing body of data investigating combinatorial radiotherapy and immunotherapy has demonstrated significant potential in melanoma brain metastases (reviewed in Patel et. al.).<sup>102</sup> The notion that radiation may synergize with immunomodulation has generated significant interest in recent years. It is known that radiation induces an immune response through initiation of multiple tissue-injury pathways. These can culminate in the production of IFN $\gamma$  and TNF- $\alpha$  which, in turn, promote lymphocyte tissue infiltration <sup>103</sup> and CD8<sup>+</sup> T-cell activation.<sup>104</sup> This synergy may be derived from the "abscopal (distant bystander) effect," hypothesized to arise from exposure of previously-unpresented tumor specific epitopes in the setting of local inflammation secondary to radiation therapy. As such, metastatic sites outside the field of radiation may then be recognized and destroyed by the immune system, <sup>103</sup> a form of "insitu vaccination." <sup>105</sup> There is now mounting data supporting the use of combined radiation and immunotherapy. Knisely et. al. retrospectively reviewed a prospectively collected cohort of 77 patients with melanoma brain metastases and found that combination ipilimumab and radiosurgery was associated with an increased median survival of 21.3 mo compared to 4.9 mo with radiosurgery alone.<sup>106</sup> These results were corroborated in another series of 70 patients in which the median survival of combined treatment increased to 19.9 mo compared to 5.3 mo with stereotactic radiosurgery (SRS) alone.<sup>107</sup> Further prospective studies are ongoing (Table 1).

### Other immunotherapies

Immunotherapy can also include active, passive, and adoptive modalities, but these have not generally been tested for the specific indication of brain metastasis. Generally, experience is limited to subgroup analysis when patients with brain metastases were not excluded from immunotherapy trials for systemic cancer. For instance, Hong et. al. retrospectively assessed the objective metastatic response rate to a lymphodepletive regimen followed by adoptive cellular therapy with IL-2 and autologous TILs or peripheral blood lymphocytes that expressed T-cell receptors (TCRs) recognizing melanocyte differentiation antigens. The authors found that 41% of patients treated with TILs and 22% of patients treated with TCR-transduced lymphocytes achieved complete responses, suggesting that activated lymphocytes can traffic to the CNS to effectively target melanoma metastases.<sup>108</sup>

#### **Conclusions and future directions**

Metastatic disease to the central nervous system (CNS), despite its dismal prognosis, high prevalence, and increasing incidence, is almost entirely indirectly studied. The complex interactions between tumor and its microenvironment, which include both native CNS and immune componentry, are poorly understood. Moreover, the regulation of the BBB with respect to the kinetics of immune cell transit must be further investigated. Our knowledge is also limited by logistics, particularly with regard to study of the tumor microenvironment. Normal tumor margins are not typically taken during surgical resection, and thus, any *in vitro* study of metastatic tumor cells will inherently preclude successful duplication of the native milieu. Study of this disease in humans has also been limited as patients with a diagnosis of brain metastasis have historically been excluded from clinical trials.<sup>109</sup> Only now, with the advent of immune checkpoint blockade has this trend begun to be

reversed. The therapeutic challenge, then, will now appropriately shift to maintenance of immune responses within the CNS, presenting no paucity of further research endeavors.

# **Disclosure of potential conflicts of interest**

No potential conflicts of interest were disclosed.

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