

MIATA COMPLIANT RESEARCH PAPER

PEG-rIL-10 treatment decreases FoxP3⁺ Tregs despite upregulation of intratumoral IDO

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ABSTRACT

IL-10 has been classically defined as a broad-spectrum immunosuppressant and is thought to facilitate the development of regulatory CD4⁺ T cells. IL-10 is believed to represent one of the major suppressive factors secreted by IDO⁺FoxP3⁺CD4⁺ T_{regs}. Contrary to this view, we have previously reported that PEGylated recombinant IL-10 (PEG-rIL-10) treatment of mice induces potent IFN γ and CD8⁺ T-cell-dependent antitumor immunity. This hypothesis is currently being tested in clinical trials and we have reported that treatment of cancer patients with PEG-rHuIL-10 results in inhibition and regression of tumor growth as well as increased serum IFN γ . We have continued to assess PEG-rIL-10's pleiotropic effects and report that treatment of tumor-bearing mice and humans with PEG-rIL-10 increases intratumoral indoleamine 2, 3-dioxygenase (IDO) in an IFN γ -dependent manner. This should result in an increase in T_{regs}, but paradoxically our data illustrate that PEG-rIL-10 treatment of mice reduces intratumoral FoxP3⁺CD4⁺ T cells in an IDO-independent manner. Additional investigation indicates that PEG-rIL-10 inhibits TGF β /IL-2-dependent *in vitro* polarization of FoxP3⁺CD4⁺ T_{regs} and potentiates IFN γ ⁺T-bet⁺CD4⁺ T cells. These data suggest that rather than acting as an immunosuppressant, PEG-rIL-10 may counteract the FoxP3⁺CD4⁺ T_{reg} suppressive milieu in tumor-bearing mice and humans, thereby further facilitating PEG-rIL-10's potent antitumor immunity.

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Introduction

A conundrum exists concerning Interleukin-10's (IL-10) pleiotropic regulation of the immune system. Discovered in 1991, it was initially reported to suppress cytokine secretion, antigen presentation and CD4⁺ T cell activation.¹⁻⁴ Further investigation showed that IL-10 predominantly inhibits lipopolysaccharide (LPS) and bacterial product-mediated induction of the pro-inflammatory cytokines TNF α , IL-1 β ,⁵ IL-12⁶ and IFN γ .⁷ Conversely, IL-10 was also shown to be immunostimulatory in the context of tumor immunotherapy and to exert immune-mediated inhibition of tumor metastasis.⁸ In these reports, expression of IL-10 from transfected tumor cells,^{9,10} expression of IL-10 in transgenic mice,¹¹ or of mice dosed with IL-10,^{12,13} the exposure of mice to IL-10 lead to the control of primary tumors and decreased metastatic burden, whereas expression of viral IL-10 from immunogenic tumors reduced their immunogenicity.¹⁴ More recently, PEGylated recombinant mouse IL-10 (PEG-rHuIL-10) has been shown to induce IFN γ and CD8⁺ T-cell-dependent antitumor immunity.^{15,16} These data collectively demonstrate both IL-10's immunoinhibitory and immunostimulatory effects. These seemingly opposite effects were further shown in (Chan et al.) where the authors report that exposure of peripheral blood mononuclear cells (PBMCs) to PEG-rHuIL-10 results in the concomitant inhibition of IFN γ expression in monocyte/macrophages, but the priming of

CD8⁺ T cells, (to secrete IFN γ upon T cell receptor (TCR) ligation) within the same culture.

Based on these data, we are currently assessing the therapeutic potential of PEGylated recombinant human IL-10 (AM0010) in a Phase I immune oncology trial.¹⁷ In this setting of late stage, multi-indication, heavily pretreated patients, we have thus far observed 45% stable disease for at least 2 mo and 18% objective response in both renal cell carcinoma and melanoma. One colorectal patient and one melanoma patient have continued treatment for 18+ and 8+ weeks, respectively. We have observed dose-titratable increase of immunostimulatory serum cytokines, (IL-4, IL-7, IL-18, GM-CSF and IFN γ), as well as fold increases of PD1⁺ and LAG3⁺ peripheral CD8⁺ T cells and a decrease of serum TGF β ¹⁷. Consistent with previously published preclinical reports, therapeutic doses of AM0010 are potentially immunostimulatory. Taken together, however, these data suggest AM0010 regulates the peripheral immune system more broadly than previously considered.

Recently, significant interest has been generated by inhibitors to indoleamine 2, 3-dioxygenase (IDO). IDO is a heme-containing, monomeric oxidoreductase that is ubiquitously distributed in the cytosol of mammalian tissues and cells. This enzyme converts tryptophan to N-formylkynurenine that is further catabolized to kynurenine and its terminal metabolites picolinic or quinolinic acid.^{18,19} IDO expression is induced by influenza infection, LPS and cytokines.²⁰⁻²² IDO-derived

tryptophan catabolites have been shown to directly inhibit T and natural killer cell proliferation *in vitro*.²³ Due to IDO's broadly immunosuppressive function, its role in tumor immunity has been investigated and IDO inhibitors have shown therapeutic antitumor function, predominantly through reducing FoxP3⁺ CD4⁺ T regulatory cells.²⁴⁻²⁶

We have previously reported¹⁶ that PEG-rMuIL-10's antitumor function is CD8⁺ T cell and IFN γ dependent. Furthermore, treatment of CD8⁺ T cells with PEG-rIL-10 significantly increases TCR ligation-mediated IFN γ secretion.²⁷ Since IFN γ directly induces IDO expression,²⁸⁻³⁰ we have investigated PEG-rIL-10's potential IFN γ -dependent regulation of IDO.

Results

PEG-rMuIL-10 treatment induces intratumoral IDO expression

To determine whether PEG-IL-10 regulates the expression of intratumoral IDO expression and function *in vivo*, we dosed 4T1 tumor-bearing mice daily with the non-optimal 1 mg/kg dose of PEG-rMuIL-10, the murine surrogate for AM0010 (Fig. 1A) in order to subsequently combine PEG-rMuIL-10 with an IDO inhibitor and potentially observe combinatorial or synergistic antitumor effects. We began dosing after 14 days of tumor growth in order to have sufficient tissue for TIL isolation. We assessed IDO expression levels in the tumor, spleen and tumor-draining lymph nodes (Fig. 1B). Consistent with the intratumoral induction of IFN γ (Fig. 1C-D), therapeutic levels of PEG-rMuIL-10 increased IDO1 expression within the tumor, but not in the spleen or lymph nodes (Fig. 1B). We determined that IFN γ and not PEG-rMuIL-10 induces IDO1 expression in 4T1 tumor cells (Fig. 1E-F) and that PEG-rMuIL-10 does not induce STAT3 phosphorylation in tumor cells (Fig. 1F). These data suggest PEG-rMuIL-10 regulation of IDO1 is IFN γ dependent.

PEG-rIL-10 treatment changes the serum/plasma kynurenine:tryptophan ratio but does not exhibit combinatorial antitumor effects with an IDO inhibitor

To determine if the observed intratumoral changes to IDO expression could be monitored in the plasma, we assessed the kynurenine:tryptophan (kyn:trp) plasma ratio in PEG-rMuIL-10-treated mice (Fig. 2A). These data suggest that the induction of intratumoral IFN γ correlates with changes in the plasma kyn:trp ratio. In 42 of our AM0010-treated Phase I patients, we observed similar kyn:trp ratio changes (Fig. 2B). The most significant difference occurred at the therapeutic target dose of 20 μ g/kg/d (Fig. 2C). Kynurenine is toxic to CD8⁺ T cells²³ and we determined that it inhibited AM0010 activation of CD8⁺ T cells *in vitro* at concentrations between 250 μ M and 1000 μ M (Fig. 2D-E), with the later resulting in greater than 95% cell death (data not shown).

The serum concentration of kynurenine in our patients was between 0.86 μ M and 5.1 μ M with a pre-dose average concentration of 1.85 μ M and post dose average of 2.03 μ M. While these concentrations are well below the 250 μ M concentration toxic to CD8⁺ T cells *in vitro*, it is possible that the

intratumoral kynurenine concentration is increased with PEG-rIL-10 treatment and that IDO inhibition concurrent with PEG-rIL-10 treatment may exhibit additive or synergistic antitumor function. To investigate this, we treated 4T1 tumor-bearing mice with PEG-rMuIL-10 and the IDO inhibitor, 1-methyl-D-tryptophan, formulated as a time release lipid encapsulated pellet. Unexpectedly, this combination did not exhibit enhanced antitumor function (Fig. 2F). Consistent with PEG-IL-10's antitumor function, the combination also did not result in an increased induction of intratumoral IFN γ (Fig. 2G) or Granzyme B (Fig. 2H) vs. PEG-rMuIL-10 alone.

PEG-rIL-10 treatment reduces FoxP3⁺ CD4⁺ T cells

We have previously reported that treatment with PEG-rMuIL-10 increases the number of CD8⁺ T cells within the tumor as well as their cytotoxic function.¹⁶ Given this T cell biology, and IDO's inhibitory effects on CD8⁺ T cells, we fully expected the two compounds to exert at least additive antitumor function. Therefore, to further explore the lack of combinatorial antitumor effects with PEG-rMuIL-10 and IDO inhibition, we investigated the tumor-infiltrating lymphocytes (TILs) phenotype. Neither treatment alone or in combination significantly altered the number of lymphocytes infiltrating the tumor (Fig. 3A), CD4⁺ T cells infiltrating the tumor (Fig. 3B) or CD8⁺ T cells infiltrating the tumor (Fig. 3C). There is a trend toward more CD8⁺ T cells in Fig. 3C that is consistent with both the non-optimal dosing strategy and previous reports.¹⁶ When administered individually or in combination, both treatments increased the percentage of IFN γ ⁺ CD8⁺ TILs (Fig. 3D). The percentage of intratumoral CD45⁺ CD8⁺ T cells was increased with PEG-rMuIL-10 treatment alone or in combination (Fig. 3E). Unexpectedly, PEG-rMuIL-10 treatment decreased the percentage of intratumoral FoxP3⁺ CD4⁺ T cells alone or in combination with the IDO inhibitor (Fig. 3F).

IDO inhibition regulates FoxP3⁺ CD4⁺ T cells independently of AM0010

Given the *in vivo* results, we assessed whether IDO regulation of FoxP3⁺ CD4⁺ T cells was augmented or inhibited by AM0010. AM0010 did not directly alter IDO mRNA (Fig. 4A) or protein (Fig. 4B) levels in immature or mature dendritic cells. We then used mature IDO-expressing dendritic cells to induce T_{regs}³¹ (Fig. 4C: middle upper panel) and consistent with previous reports, the addition of an IDO inhibitor to this system reduced FoxP3⁺CD4⁺ T cells (compare middle upper panel with right upper panel). AM0010 did not reduce FoxP3⁺ CD4⁺ T_{regs} in this system (middle lower panel). Similarly, IDO inhibition of FoxP3⁺ CD4⁺ T_{regs} was not altered by the presence of AM0010 (right panels). AM0010's lack of effect in IDO-mediated polarization of T_{regs} was consistent across five out of five donors and IDO-mediated induction of T_{regs} was consistent in four out of five donors.

AM0010 inhibits TGF β /IL-2 induced T_{reg} polarization

Since AM0010 did not exert any effect in the IDO-dependent induction of FoxP3⁺ CD4⁺ T_{regs}, we investigated alternative

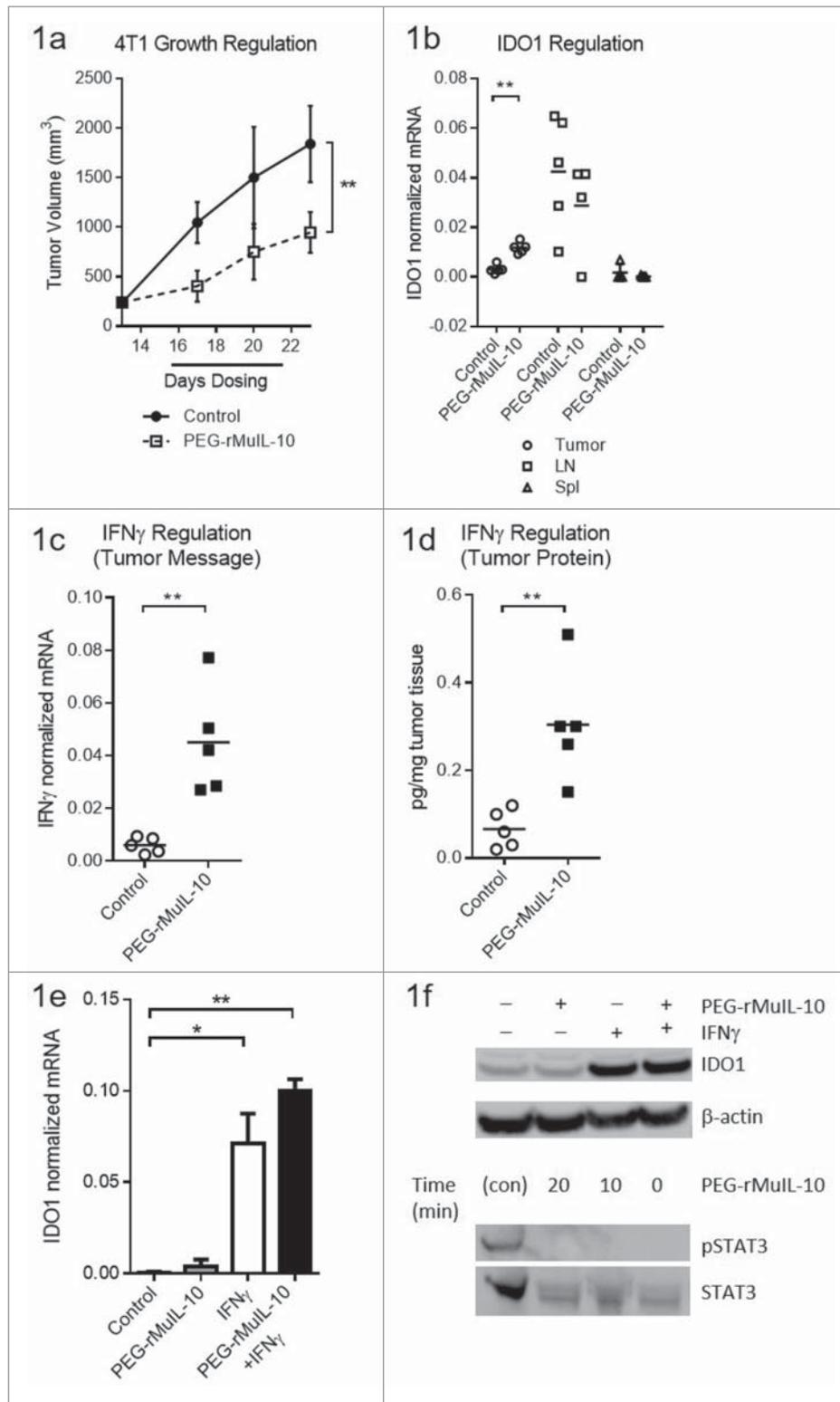


Figure 1. Treatment with PEG-rMuL-10-induced IFN γ -dependent intratumoral IDO expression. For 1(A)–1(D), the tissue was harvested at day 24, 24 h after the last dose of PEG-rMuL-10. (A) 4T1 tumor-bearing mice were treated for 9 d with 1 mg/kg PEG-rMuL-10 s.c. daily and tumor growth inhibition is shown vs. control-treated mice. Closed circles represent control-treated mice, open squares represent PEG-rMuL-10-treated mice. (B) IDO mRNA expression analysis from tumor, spleen and lymph node of mice described in (A). Open circles represent tumor tissue, open squares represent lymph node (LN), open triangles represent spleen tissue (Spl). (C) Intratumoral mRNA levels of IFN γ of mice in (A). Open circles represent control-treated mice, closed squares represent PEG-rMuL-10-treated mice. (D) Intratumoral IFN γ protein levels of mice in (A). Open circles represent control-treated mice, closed squares represent PEG-rMuL-10-treated mice. (E) IDO mRNA levels of *in vitro* cultured 4T1 tumor cells treated with PEG-rMuL-10 alone or in combination with IFN γ . (F) Intracellular IDO1 and STAT3/pSTAT3 protein levels from AM0010 and IFN γ -treated 4T1 cells as detected by protein gel blot. IDO1 was detected after 48 h incubation with 50 ng/mL IFN γ and/or 100 ng/mL PEG-rMuL-10. pSTAT3 and STAT3 were assessed in a time course from 0 to 20 min incubation with 100 ng/mL PEG-rMuL-10. The protein control for pSTAT3 and STAT3 (con) was a STAT3 control cell extract purchased from Cell Signaling Technology. Statistics were determined by Student's t-test where $p < 0.05$ is denoted by * and $p < 0.01$ is denoted by **.

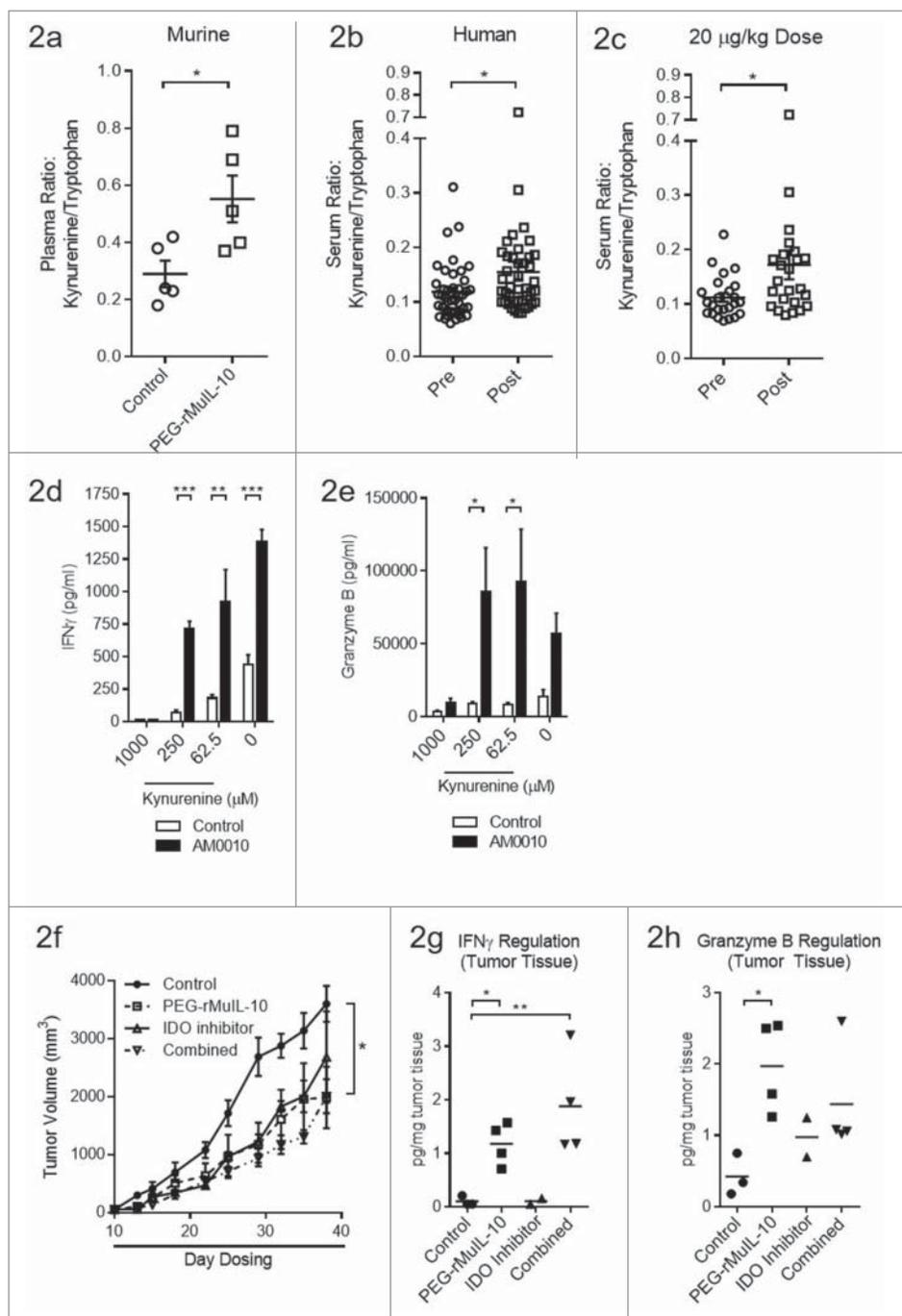


Figure 2. Treatment with an IDO inhibitor and PEG-rMuLL-10 did not exhibit combined antitumor efficacy. (A) Treatment of 4T1 tumor-bearing mice with 1mg/kg PEG-rMuLL-10 s.c. daily alters the plasma kynurenine to tryptophan ratio. Open circles represent control-treated mice, open squares represent PEG-rMuLL-10-treated mice. (B) Treatment of 42 cancer patients with AM0010 increases the serum kynurenine to tryptophan ratio. Open circles represent patients prior to treatment (Pre). Open squares represent patients after treatment (Post). (C) The cancer patient 20 µg/kg dose serum kynurenine to tryptophan ratio. Open circles represent patients prior to treatment (Pre). Open squares represent patients after treatment (Post). (D) *In vitro* cell culture media IFN γ levels of activated CD8 $^+$ T cells exposed to 100 ng/mL AM0010 and 62.5, 250 or 1000 µM kynurenine for 3 d and triggered for 4 h with anti-CD3. (E) *In vitro* cell culture media Granzyme B levels of activated CD8 $^+$ T cells exposed to 100 ng/mL AM0010 and 62.5, 250 or 1000 µM kynurenine for 3 d and triggered for 4 h with anti-CD3. Data in (D) and (E) are representative of three donor responses. (F) Antitumor efficacy of PEG-rMuLL-10 with or without IDO inhibitor ($n = 5$ mice/cohort). Closed circles represent control-treated mice, open squares represent PEG-rMuLL-10-treated mice, open triangles represent IDO-inhibitor-treated mice and upside down open triangles represent PEG-rMuLL-10 and IDO inhibitor combined treated mice. Mice were treated with 1 mg/kg PEG-rMuLL-10 s.c. daily, control, IDO inhibitor (1-methyl-D-tryptophan) or the combination of the same dose of PEG-rMuLL-10 with the IDO inhibitor for 28 d. Data are representative of four total *in vivo* experiments. (G) Intratumoral IFN γ protein levels from two–four 4T1 tumor-bearing mice treated in (2F). (H) Intratumoral Granzyme B of the mice described in (2F). Statistics for Fig. 2(A)–(E) and 2(G)–(H) were determined by Student's t-test where $p < 0.05$, $p < 0.01$ and $p < 0.001$ is denoted by *, **, ***, respectively. Statistics for Fig. 2F was determined by use of ANOVA multiple comparisons where $p < 0.05$ is denoted by *.

means of inducing T $_{regs}$. TGF β is an immunoregulatory cytokine with pleiotropic biology. In context of T helper CD4 $^+$ cells, TGF β induces FoxP3 $^+$ CD4 $^+$ T $_{regs}$ ^{32–34} and IL-2 stabilizes FoxP3 $^+$ expression.³⁵ IL-10 has been shown to regulate some

TGF β –mediated effects,^{36,37} and we have also reported that cancer patients treated with AM0010 exhibit decreased serum TGF β levels.¹⁷ We therefore investigated PEG-rMuLL-10's regulation of TGF β *in vivo*. Unlike treatment of cancer patients

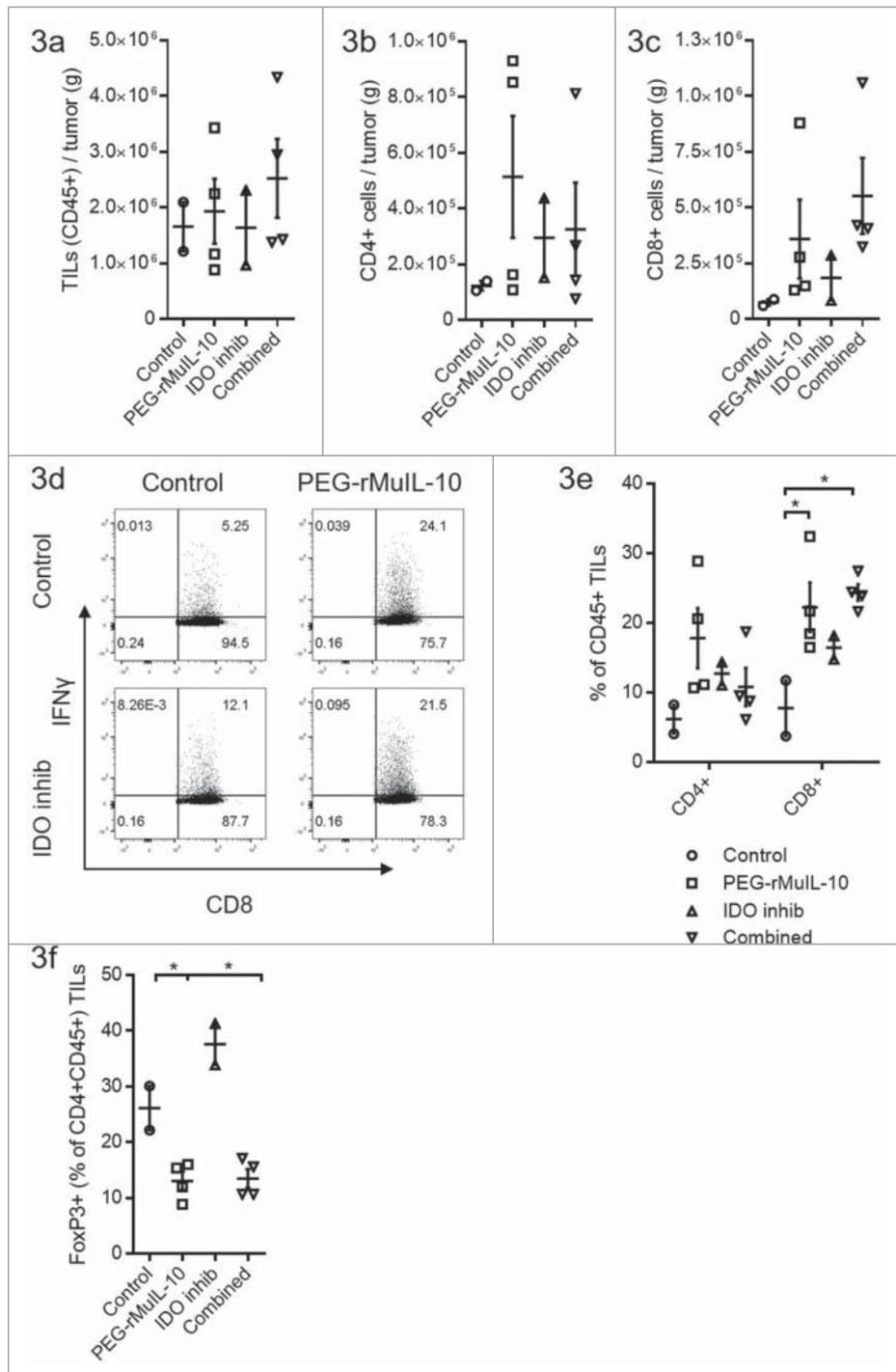


Figure 3. PEG-rMuL-10 and AM0010 treatment decrease FoxP3⁺ CD4⁺ T cells. (A) Flow cytometric analysis of CD45⁺ tumor-infiltrating lymphocytes (TILs) from 4T1 tumors treated for 28 d with control, 1 mg/kg PEG-rMuL-10 s.c. daily, IDO inhibitor (1-methyl-D-tryptophan) or the combination of the same dose of PEG-rMuL-10 with the IDO inhibitor (n = 5 mice/cohort). Total TILs (CD45⁺) per gram tumor were isolated from two–four tumors per group. (B) Total CD4⁺ TILs from (A) per gram tumor. (C) Total CD8⁺ TILs from (A) per gram tumor. (D) Flow cytometric analysis of intracellular IFN γ in CD8⁺ TILs from (A). (E) Percentage of CD4⁺ or CD8⁺ cells within the CD45⁺ TIL population from (A). (F) Percentage of FoxP3⁺ cells within the CD4⁺ CD45⁺ TIL population from (A). Statistics for Fig. 3(E)–(F) was determined by use of ANOVA multiple comparisons, where $p < 0.05$ is denoted by *. Data is representative of three *in vivo* studies.

with AM0010,¹⁷ PEG-rMuL-10 treatment did not decrease plasma TGF β levels in mice (Fig. 5A). Similarly, PEG-rMuL-10 treatment did not alter intratumoral TGF β expression (not shown) or protein levels (Fig. 5B). We therefore investigated AM0010's effect on TGF β /IL-2 polarization of T_{regs} *in vitro*. Concomitant exposure of CD4⁺ T cells to AM0010, TGF β and IL-2 inhibits TGF β /IL-2-mediated induction of CD4⁺ T_{regs}

(Fig. 5C). AM0010's inhibition of TGF β /IL-2-mediated polarization of T_{regs} was consistent across six out of nine donors.

AM0010 does not suppress pre-polarized T_{regs}

Using the same system to induce FoxP3⁺ CD4⁺ T_{regs}, we investigated AM0010's effects on TGF β /IL-2 pre-polarized

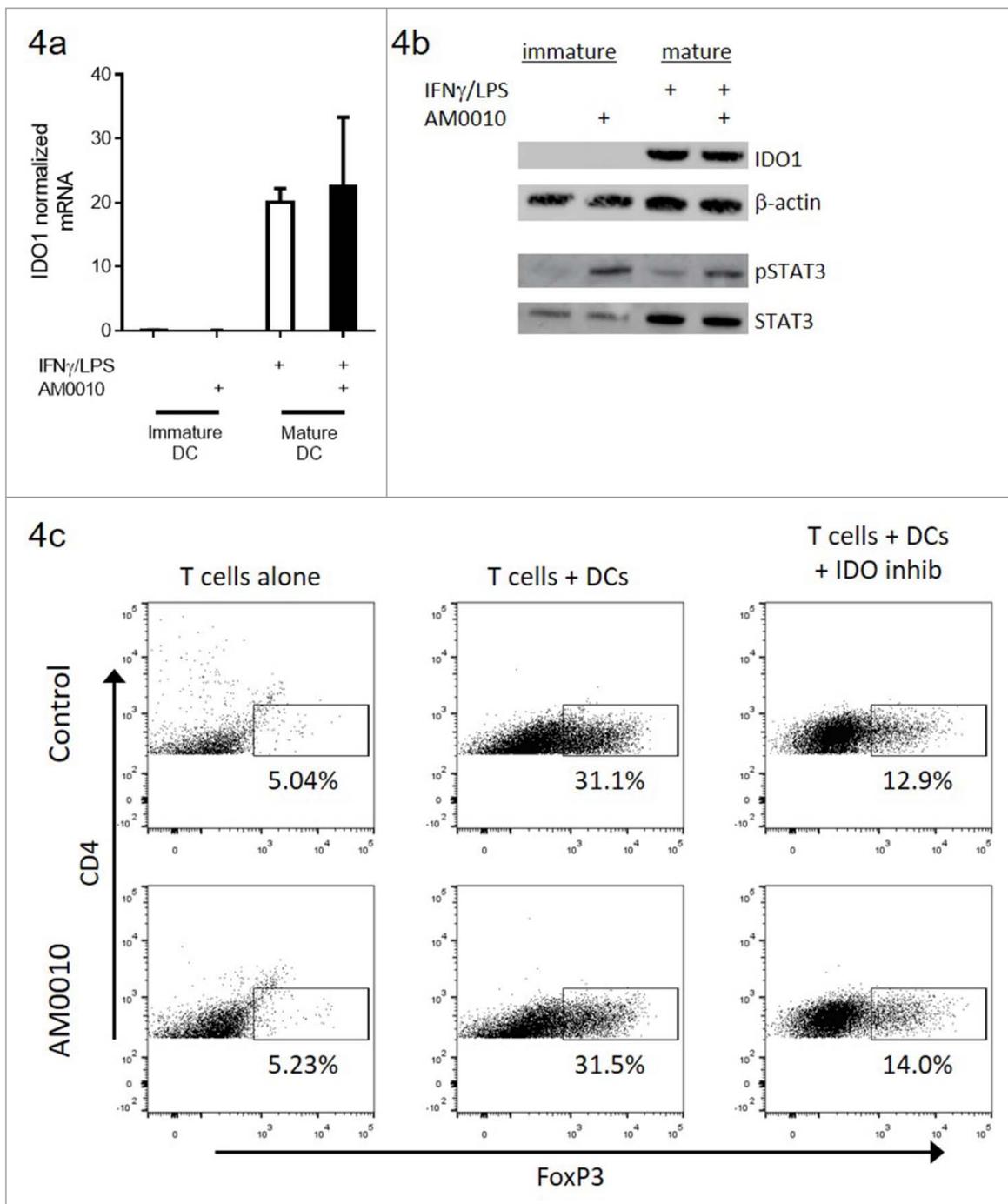


Figure 4. AM0010 exerts no effect on IDO-mediated induction of FoxP3⁺ CD4⁺ T cells *in vitro*. (A) IDO1 mRNA message regulation in immature or IFN γ /LPS-matured dendritic cells treated with or without 100 ng/mL AM0010 for 2 d. (B) Protein gel blot for IDO1 and STAT3/phospho-STAT3 in cells from (A). (C) Induction of FoxP3⁺ CD4⁺ T cells as per reference²⁹ with mature dendritic cells. Human CD4⁺ CD25⁻ T cells were cocultured with mature DCs for 6 d with 10 ng/mL IL-2, 100 ng/mL IFN γ and 5 μ g/mL LPS with or without 100 ng/mL AM0010 and with or without 2 μ M IDO inhibitor, INCB024360. T cells were then collected and analyzed by flow cytometry. Percentage indicates FoxP3⁺ T cells. Data is representative of four out of five donor responses.

T_{regs}. T_{regs} were first induced with TGF β /IL-2, and then treated as indicated. Data in Fig. 6A–C are normalized to each donor's no cytokine/no antibody control presented in Fig. 6A (No Cytokine). The data for each plot represents the median and variation in response for each donor under the same stimulatory conditions. It is important to note that none of the changes reflected in Fig. 6A–C are statistically significant, suggesting these changes are within normal variance of donor response and the assay. However,

contrary to AM0010's inhibition of T_{reg} polarization, AM0010 exposure in the absence of TCR engagement, slightly increased T_{reg} numbers of pre-polarized FoxP3⁺ CD4⁺ T_{regs} as did IL-2 (Fig. 6A). Interestingly, the stimulatory effects of both AM0010 and IL-2 appear to be contextual. Providing the same cytokine stimuli in context of anti-CD3, to mimic what the T cell might encounter within the tumor microenvironment, revealed that IL-2 maintains its stimulatory effect, but AM0010 did not

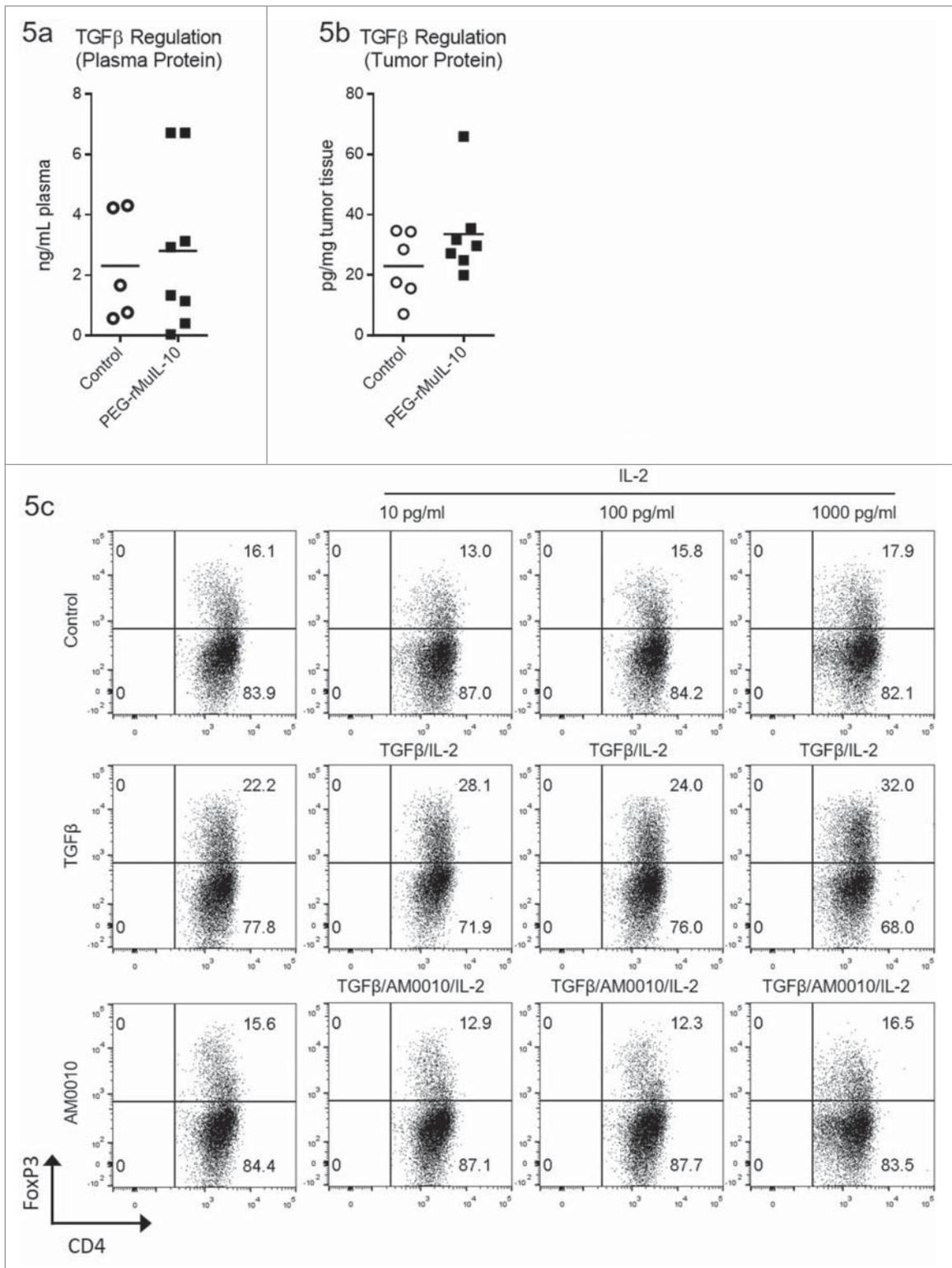


Figure 5. AM0010 treatment inhibits TGF β /IL-2 induction of FoxP3⁺ CD4⁺ T_{regs}. (A) Quantitation of TGF β plasma protein from tumor-bearing mice treated s.c. daily with 1 mg/kg PEG-rMuL-10 or control for 10–28 d. Open circles represent control-treated mice, filled squares represent PEG-rMuL-10-treated mice. (n = 5–8 mice/cohort) (B) Quantitation of intratumoral TGF β protein from 4T1 tumor-bearing mice treated as in (A). Open circles represent control-treated mice, filled squares represent PEG-rMuL-10-treated mice. (C) Assessment of human CD4⁺ T cells treated with 5 ng/mL TGF β and 1000, 100 and 10 ng/mL IL-2 with or without AM0010 *in vitro* for 5 d. Data is representative of six of nine donor responses.

(Fig. 6B). Exposure of the same pre-polarized population to anti-CD3/anti-CD28, to mimic engagement with antigen-presenting cells, and AM0010 results in no alteration to T_{reg} numbers (Fig. 6C). These variable results were reproducible across six donors.

AM0010 potentiates IFN γ ⁺, Th1-like CD4⁺ T cells

We have previously shown that exposure of activated CD8⁺ T cells to AM0010 potentiates the expression of IFN γ , Granzyme B and Perforin upon subsequent TCR

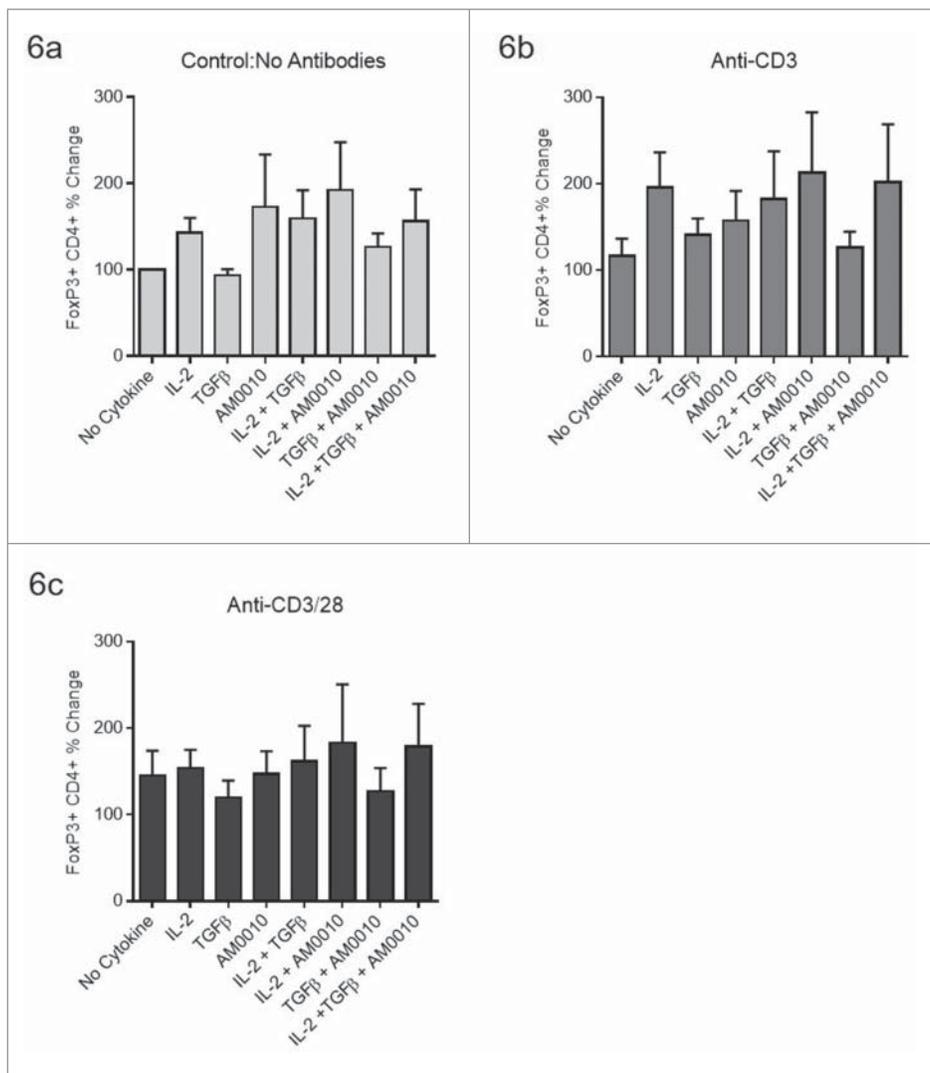


Figure 6. AM0010 does not suppress pre-polarized FoxP3⁺ CD4⁺ T_{regs}. The data for each plot represents the median and variation in response for each donor under the same stimulatory conditions. (A) Human CD4⁺ peripheral T cells were polarized for 5–7 d (with TGFβ, IL-2, anti-CD3, anti-CD28), then exposed to the stated combination of cytokines for 2–3 d and then analyzed by flow cytometry for CD4⁺ and FoxP3⁺ expression. (B) Pre-polarized cells from (A) were exposed to the identical conditions except with the addition of 2 μg/mL immobilized anti-CD3 for 2–3 d. Cells were analyzed as in (A). (C) Pre-polarized cells from (A) were exposed to the identical conditions except with the addition of both 2 μg/mL immobilized anti-CD3 and 1 μg/mL soluble anti-CD28 for 2–3 d. Cells were analyzed as in (A). Data is representative of six out of 6 donor responses. One-way ANOVA statistical analysis was performed where each treatment was compared to the No Cytokine control values for each plot. None of the differences were determined to be statistically different. In addition, each treatment group was analyzed as an independent Student's t-test where the treatment group was compared to the control. In this analysis, no treatments were determined to be statistically significant.

engagement.²⁷ These data explain the underlying IFNγ and CD8⁺ T-cell-dependent antitumor mechanism of action of PEG-rMuIL-10/AM0010.^{15,16} In our previous investigation, we discovered that intratumoral MHC I upregulation precedes intratumoral MHC II upregulation. Consistent with these data, the PEG-rMuIL-10 antitumor response requires CD8⁺ but not CD4⁺ T cells. However, given the observation that treatment of tumor-bearing mice and human CD4⁺ T cells with PEG-rMuIL-10/AM0010 decreases FoxP3⁺CD4⁺ T cells, we further investigated AM0010's effect on CD4⁺ T cell polarization *in vivo*. Expression analysis of tumors from mice treated with PEG-rMuIL-10 reveal that Tbet is moderately increased (Fig. 7A). We previously developed an *in vitro* model to recapitulate AM0010's *in vivo* biological effect on CD8⁺ T cells (Fig. 7B) and now subjected CD4⁺ T cells to this same model. The cells are first activated by immobilized anti-

CD3/anti-CD28 to mimic antigen presentation. The cells are then removed from stimulus and exposed to cytokines for 3 days to model exposure to AM0010 or other cytokines during systemic circulation. After this incubation period, the cells are re-stimulated with low concentration, soluble anti-CD3 for 4 hours to model a T cells re-entry into the tumor microenvironment and engagement of MHC I⁺ antigen. Surprisingly, *in vitro* modeling of CD4⁺ T cell activation and stimulation with AM0010 potentiates IFNγ, Granzyme B and Perforin secretion (Fig. 7C). The potentiation of IFNγ, Granzyme B and Perforin are very similar to AM0010's effect on CD8⁺ T cells when treated *in vitro* in a similar manner.²⁷ However, AM0010 treatment of CD4⁺ T cells does not elicit classically defined Th1 or Th2 cells. While AM0010 treatment induces IFNγ, the prototypic Th1 cytokine, the magnitude is substantially less than the levels induced by IL-12, the classic mediator

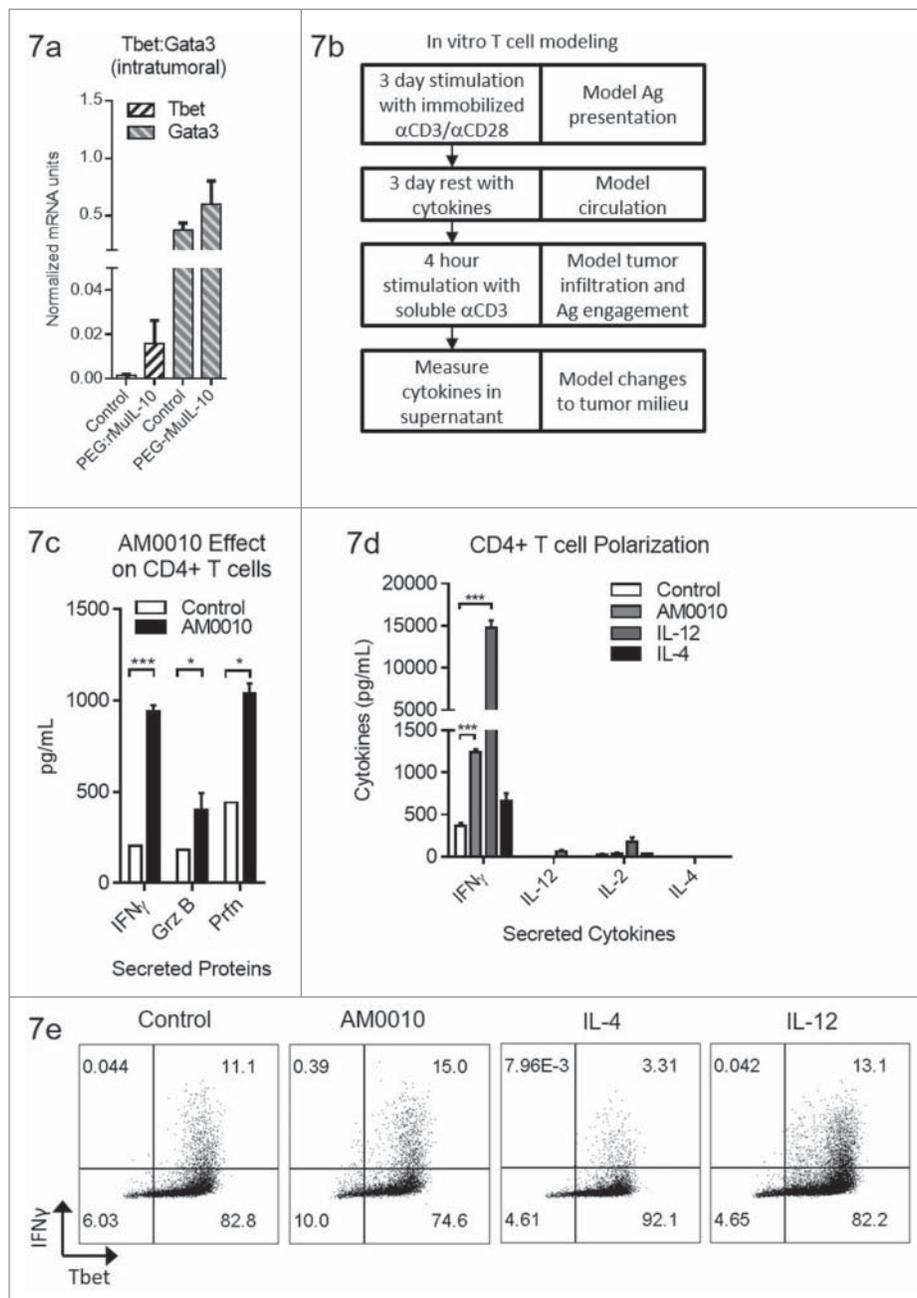


Figure 7. AM0010 treatment potentiates IFN γ ⁺ Th1 like CD4⁺ T cells. (A) Quantitation of Tbet and Gata3 mRNA from tumors of mice treated s.c. daily with 1 mg/kg PEG-rMuL-10 or control for 10–28 d. (n = 5 mice/cohort). (B) Schematic depicting *in vitro* experimental setup for data shown in (C–E). (C) Quantitation of secreted IFN γ , Granzyme B and Perforin from human CD4⁺ T cells activated for 3 d with immobilized anti-CD3/anti-CD28, then exposed for 3 d to control or 100 ng/mL AM0010. Cells were washed and then stimulated with 1 μ g/mL soluble anti-CD3 for 4 h at 37°C and the proteins in the supernatant were quantified by ELISA. Data is representative of three out of three donor responses. (D) Quantitation of secreted cytokines from activated CD4⁺ T cells treated as in (C) with 20 ng/mL IL-12, 10 ng/mL IL-4 or 100 ng/mL AM0010. (E) Intracellular flow cytometric analysis from cells as in (D) treated with 20 ng/mL IL-12, 10 ng/mL IL-4 or 100 ng/mL AM0010. Data is representative of three out of three donor responses. Statistics in (C) were determined by Student's t-test where $p < 0.05$, $p < 0.01$ and $p < 0.001$ is denoted by *, **, ***, respectively. Statistics in (D) were determined by one-way ANOVA where $p < 0.05$, $p < 0.01$ and $p < 0.001$ is denoted by *, **, ***, respectively.

of Th1 development (Fig. 7D). In addition, AM0010 does not induce the secretion of IL-12, IL-2 or IL-4, the prototypic Th2 cytokine (Fig. 7D). AM0010 treatment of CD4⁺ T cells also promotes the generation of Tbet⁺ IFN γ -expressing CD4⁺ T cells (Fig. 7E). These data suggest AM0010 promotes Th1-like CD4⁺ T cell polarization, but as expected, not as efficiently as IL-12. Therefore, we conclude that activated CD4⁺ T cells when rested in the presence of AM0010, maintain a heightened expression level of IFN γ , Perforin and Granzyme B.

Discussion

We have previously observed that treatment of tumor-bearing mice with PEG-rMuL-10 leads to inhibition and even cure of tumors in an IFN γ and CD8⁺ T-cell-dependent manner. We have also observed the induction of IFN γ in the serum of AM0010-treated cancer patients.¹⁷ Since IFN γ is known to upregulate IDO expression, we investigated and found that treatment of tumor-bearing mice with PEG-rMuL-10 induced IFN γ -dependent intratumoral IDO expression, leading to

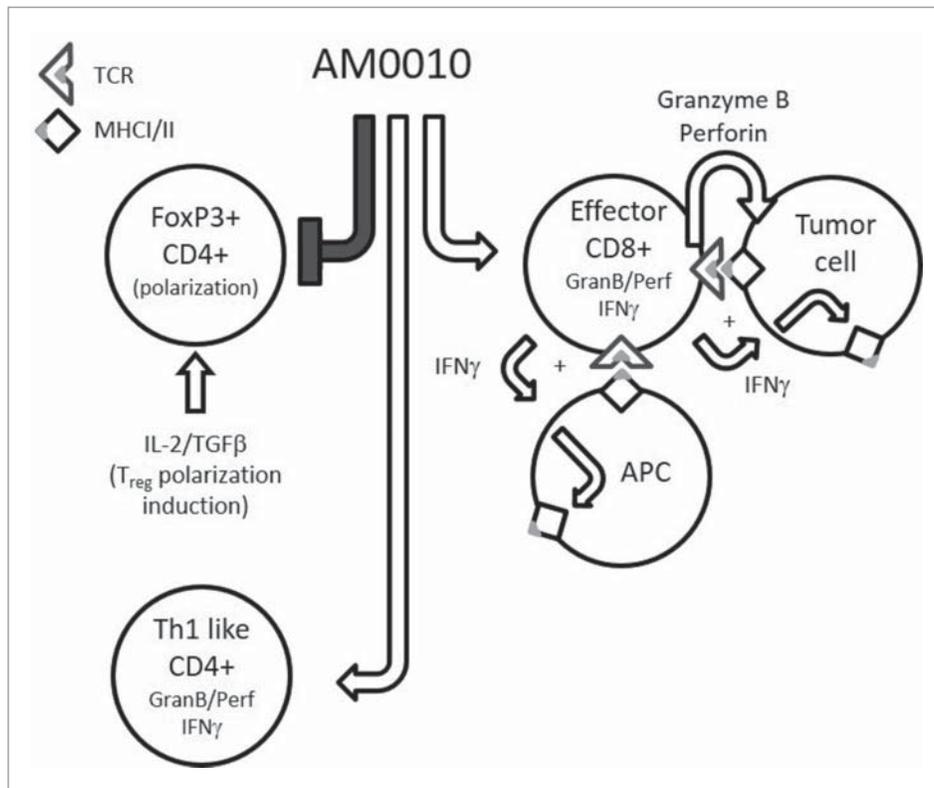


Figure 8. The pleiotropic effects of AM0010. This is a schematic cartoon of AM0010's pleiotropic effects. AM0010 treatment concomitantly and directly affects both CD4⁺ and CD8⁺ T cells. AM0010's effect on CD4⁺ T cells is to block IL-2/TGF β -mediated polarization of CD4⁺ T cells into new FoxP3⁺ Tregs. This leads to a decrease in Tregs over time and decrease in TGF β mediate immune suppression. AM0010 also facilitates the development of a Th1 IFN γ ⁺-like CD4⁺ T cell. AM0010 potentiates IFN γ , granzyme and perforin production in CD8⁺ T cells upon TCR engagement. The potentiation of these factors then leads to a cascade of effects, not directly induced by AM0010 treatment. The IFN γ drives MHCII presentation on all cells in the tumor microenvironment. Therefore, both tumor cells and antigen-presenting cells express more MHCII⁺ antigen. The increased secretion of granzyme and perforin leads to more tumor cell death, increasing the antigen pool for intratumoral antigen-presenting cells to take up and present. This becomes a reiterative cycle where more tumor cell killing leads to more antigen presentation, where both immune-dominant and, we hypothesize, cryptic tumor antigens are presented. This cascade of events permits enhanced tumor immune surveillance.

changes in the plasma kynurenine/tryptophan ratio. Similar changes to the kynurenine/tryptophan ratio were also detected in the serum of AM0010-treated cancer patients. These data suggest that treatment of cancer patients with AM0010 induces intratumoral IFN γ leading to increased intratumoral IDO expression. Surprisingly, however, the combination of PEG-rMuIL-10 and the IDO inhibitor, 1-methyl-D-tryptophan, did not exert combinatorial antitumor function in mice. Furthermore, in contrast to expectations, treatment with PEG-rMuIL-10 leads to a decrease in intratumoral FoxP3⁺ T_{regs}. *In vitro* analysis of these phenomena revealed that AM0010 inhibits the TGF β /IL-2 driven polarization of FoxP3⁺ CD4⁺ T_{regs}, but had no effect on IDO-mediated induction of T_{regs}. However, treatment of TGF β /IL-2 pre-polarized T_{regs} with AM0010 did not dramatically alter the percentage of FoxP3⁺ T_{regs}. In fact, AM0010 moderately, but not significantly, increased T_{reg} numbers under some conditions. Our interpretation of these data is that AM0010 does not directly impact TGF β production by the tumor. Rather, the chronic presence of AM0010 at therapeutic levels will limit the generation of new T_{regs} elicited by TGF β in the tumor microenvironment.

We investigated AM0010's further regulation of CD4⁺ T cell polarization biology. Again, contrary to expectations, AM0010 treatment of activated CD4⁺ T cells lead to a potentiation of IFN γ as well as Granzyme B and Perforin. Continued investigation revealed that treatment of activated CD4⁺ T cells with

AM0010 does not elicit canonical Th1 polarized cells, but rather AM0010 treatment potentiated the number of Tbet⁺ IFN γ ⁺ CD4⁺ cells.

We have previously investigated the serum trough concentration necessary to elicit antitumor effects in mice and discovered that a chronic trough concentration of approximately 1–2 ng/mL is required to elicit PEG-rMuIL-10s antitumor effect (data not shown). We have also provided data in previous publications that suggests the activation of CD8⁺ T cells with AM0010 requires continual IL-10 receptor engagement.²⁷ We have interpreted these data to suggest that PEG-rMuIL-10/AM0010 must be provided at a therapeutic trough concentration to exert its most significant antitumor effects. Analysis of serum trough levels from cancer patients dosed with AM0010 also supports these conclusions (data not shown).

Therefore, chronic treatment with AM0010 should lead to two primary effects on CD4⁺ T cells. First, the generation of new T_{regs} will be suppressed. Second, the chronic presence of AM0010 within the tumor microenvironment will also serve to elicit new Tbet⁺ IFN γ ⁺ CD4⁺ T cells. Therefore over time, the relative percentage of FoxP3⁺ CD4⁺ T cells should decrease due to the inhibition of new T_{reg} polarized (FoxP3⁺) cells and the increase of IFN γ potentiated CD4⁺ T cells.

While IL-10 has been previously defined as a broadly immunosuppressive cytokine, its regulatory function is context specific. IL-10 inhibits the secretion of LPS induced pro-inflammatory

cytokines from myeloid cells suggesting its use in treating human autoimmune diseases that exhibit a significant bacteria/bacterial product induced inflammatory pathology. To determine whether this *in vitro* and *in vivo* biology translated to humans, Schering-Plough investigated the use of IL-10 in multiple Phase I–III studies treating autoimmune patients. In contrast to expectations, IL-10 did not exert dose titratable anti-inflammatory effects in the treatment of Crohn's disease^{38,39} or psoriasis.⁴⁰ In fact, Crohn's patients treated with IL-10 exhibited an induction of serum IFN γ and neopterin.⁴¹ Consistent with these results, healthy patients treated with LPS first, followed after an hour with IL-10 treatment, exhibited increased serum IFN γ and granzymes relative to patients treated with LPS alone.⁴² Patients subjected to IL-10 treatment prior to LPS treatment exhibited a muted response to LPS. These data collectively suggest that IL-10 is in fact not a pan-immunosuppressive cytokine. Rather, consistent with our previous publications and the data presented herein, IL-10s immunoregulatory biology is context dependent. If IL-10 is present at high levels prior to an LPS-mediated inflammatory insult, the resulting pro-inflammatory cytokine burst is moderated. However, under conditions lacking LPS or the bacterial infection it mimics, such as those found in cancer patients, IL-10 treatment activates CD8⁺ T cells while concomitantly inhibiting the generation of FoxP3⁺ CD4⁺ T_{regs}. These data are consistent with the systemic phenomena previously reported for IL-10. However, as we treat more patients with AM0010, we are uncovering more immunoncology potentiating aspects of this intriguing cytokine.

Our current model of AM0010's effects are presented in Fig. 8. Our functional hypothesis is that treatment of the lymphoid compartment potentiates the cytotoxic- and cytokine-secreting capacity of CD8⁺ T cells that is quiescent until the CD8⁺ T cell TCR engages MHC I⁺ antigen. Upon engagement of MHC I⁺ antigen, the AM0010-primed CD8⁺ T cell response is significantly greater than in the absence of AM0010 stimulation, leading to the effective delivery of IFN γ to the tumor microenvironment. The increase in IFN γ leads to enhanced MHC I and eventually MHC II expression. The AM0010-primed CD8⁺ T cells also release increased amounts of both granzyme and perforin, leading to increased tumor cell cytolysis. This, in turn, provides more antigens for tumor-infiltrating antigen-presenting cells, leading to increased tumor antigen presentation, and enhanced immune surveillance.

The data presented in this manuscript detail the other half of AM0010's function (Fig. 8), specifically that it inhibits the generation of new FoxP3⁺ CD4⁺ T_{reg} suppressor cells by virtue of blocking their polarization. We had previously underappreciated the role of AM0010 on CD4⁺ T cells due to their comparatively small role in PEG-rMuIL-10s antitumor function in mice.¹⁶ However, due to our expanding clinical understanding of AM0010's effect on the peripheral immune system¹⁷ (and data not shown) it is becoming clearer that AM0010 controls many aspects of the systemic human immune response.

While PEG-rMuIL-10 treatment did not exhibit combined antitumor function when dosed with the IDO inhibitor, 1-methyl-D-tryptophan, these data show that treatment with PEG-rMuIL-10 unexpectedly reduces FoxP3⁺ CD4⁺ T_{regs} in tumor-bearing mice. These data suggest a possible combination

with immunoncology therapeutics, such as Ipilimumab, which exhibit enhanced function in combination with FoxP3⁺ CD4⁺ T cell limiting therapeutics.⁴³ These results warrant further investigation to determine the extent to which AM0010 can control and even dramatically reduce tumor burdens in cancer patients both as a monotherapy and in combination with standard of care chemotherapy as well as the new wave of exciting immunoncology compounds.

Materials and methods

Human subjects and study approval

Open label, dose-escalation study evaluating the safety and tolerability of AM0010 in heavily pretreated patients with advanced solid tumors, (limited to melanoma, prostate, and ovarian, renal, colorectal, pancreatic, or non-small cell lung cancer) with a life expectancy of > 16 weeks and an Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1. Fully consented male and female patients, 18–80 y old suffering from terminal, surgically non-operable, late-stage neoplastic disease of non-lymphatic origin, were subjected to weekly peripheral blood collection by trained phlebotomists under ARMO Biosciences' Institutional Review Board (IRB) approved protocol; FDA study ID# NCT02009449. Patients in this study were enrolled under the IRB approved protocol at the following five institutes: (i.) Sarah Cannon Research Institute (SCRI), (ii.) Dan Farber Cancer Institute (DFCI), (iii.) MD Anderson Cancer Center (MDACC), (iv.) Memorial Sloan Kettering Cancer Center (MSKCC) and (v.) South Texas Accelerated Research Therapeutics (START). More than 50% of patients were non-immune sensitive tumors such as colorectal and pancreatic. Most patients received four or more prior therapies. Three–six patients were enrolled per cohort using a standard 3+3 dose-escalation format, where patients dose themselves subcutaneously, daily with 1, 2.5, 5, 10, 20 or 40 $\mu\text{g}/\text{kg}$ ¹⁷.

Tumor challenge and treatment experiments

Female BALB/c mice, at 5 to 7 weeks of age, were implanted with 1×10^4 4T1 cells (ATCC, Manassas, VA) in RPMI (Life Technologies; Carlsbad, CA) subcutaneously on day 0. Tumors were measured by digital caliper and volumes were calculated with the following formula: $\pi/6 \times L \times W \times H$. Once tumor volumes reached approximately 100 mm³, mice were stratified and dosed for the duration indicated in respective Fig. 1 mg/kg PEG-rMuIL-10¹⁶ (ARMO BioSciences, Redwood City, CA) or vehicle control (10 mM HEPES pH 6.5, 100 mM NaCl and 0.05% mouse serum albumin) was dosed daily, subcutaneously. 1-methyl-D-tryptophan administration was performed by implanting 28-d release pellets (140 mg/pellet or control pellets without the active product; Innovative Research of America, Sarasota, FL) under the dorsal skin when PEG-rMuIL-10 dosing was initiated according to manufacturer's instructions. These studies were performed at Aragen Biosciences (Gilroy, CA) in accordance with standard operating procedures approved by their Institutional Animal Care and Use Committee (IACUC).

Tumor-infiltrating lymphocyte isolation

To isolate TILs, tumors were minced with 5 mL of digest buffer (RPMI (Life Technologies), 10% Fetal Bovine Serum (Hyclone Thermo Fisher Scientific, Waltham, MA), 10 mM HEPES (Life Technologies), 2 mg/mL Collagenase Type I (Worthington Biochemical Corporation, Lakewood, NJ), 30 U/mL DNaseI (Worthington Biochemical Corporation)) and brought to a final volume of 35 mL with digest buffer. The tumor slurry was rotated at 37°C for 45 min. The tumor slurry was then mechanically disrupted by forcing the material through a 70-micron cell strainer. Cells were washed with RPMI twice and then resuspended with 25 mL of HBSS (Life Technologies). Cell suspensions were underlaid with 15 mL Histopaque (Sigma-Aldrich, St. Louis, MO) and centrifuged at 1000 rpm for 30 min at room temperature with the brakes turned off. After centrifugation, the cell interface, containing TILs, was collected and washed twice with complete RPMI. An aliquot of TILs was stained for flow cytometry. CD8⁺ T cells were then isolated from the remaining TILs using MACS cell separation technology from Miltenyi, following the manufacturer's protocol, and then stained for flow cytometry on a LSR II (BD Biosciences) and analyzed with FlowJo software v10 (Ashland, OR). Gating strategy: A lymphocyte gate was first created based on forward vs. side scatter. Next, a single cell gate was created based on forward scatter area vs. forward scatter height. This was followed by a live/dead cell gate. Live cells were then gated on CD45⁺ cells and subsequently gated for either CD4⁺ or CD8⁺ cells. CD4⁺ cells were graphed vs. FoxP3. CD8⁺ cells were graphed vs. IFN γ .

Gene-expression analysis

RNA extraction, first strand synthesis, and quantitative PCR was performed using the RNeasy kit, RT² First Strand Kit and RT² SYBR Green qPCR Mastermix from Qiagen (Germantown, MD, USA), respectively. All primers were obtained from Qiagen; mouse IFN γ : ifn γ , mouse Indoleamine 2,3-dioxygenase 1: ido1, mouse Tbet: tbx21, mouse Gata3: gata3, human Indoleamine 2,3-dioxygenase 1: IDO1. CT values were normalized to the average CT value of at least two housekeeping genes (*GUSB* and *GAPDH*).

Kynurenine and tryptophan quantitation

Analysis of kynurenine and tryptophan was performed by LakePharma (Belmont, CA). Mouse or human plasma samples were diluted and analyzed using LC-MS/MS (Shimadzu 20LC and ABSciX API4000). The standard curves of tryptophan and kynurenine were generated by serial dilution followed by LC-MS/MS analysis. The final tryptophan and kynurenine concentrations of the mouse plasma samples were calculated based on the mass spectrum and standard curve.

Human T cell sourcing

Human PBMC's were obtained by Ficoll density gradient centrifugation of buffy coats (negligible amount of anticoagulant (CPD or CPDA-1)) acquired from anonymous donors who

provided their tissue to the Stanford Blood Bank, (Palo Alto, CA). Buffy coats were stored at 2–8°C for up to 24 h prior to PBMC isolation. Cells were counted by Trypan blue exclusion for live vs. dead cells. All experiments were conducted in an exploratory research laboratory setting.

In vitro cell assays

CD8⁺ T cell IFN γ experiments: CD8⁺ T cells were isolated from PBMCs using CD8⁺ microbeads (Miltenyi Biotec, Auburn CA). Isolated CD8⁺ T cells (3×10^6 cells/mL, 3×10^6 cells per well in a 24-well plate) were activated with plate-bound anti-CD3 and anti-CD28 (pre-coated with 10 μ g/mL anti-CD3 and 2 μ g/mL anti-CD28; Affymetrix eBioscience) for 3 d. Following activation, cells were collected, replated (2×10^6 cells/mL, 5×10^5 cells per well in a 96-well plate) and treated with AM0010¹⁶ for 3 d; in indicated experiments, cells were also concurrently treated with L-Kynurenine (Sigma Aldrich, St. Louis, MO). Following treatment, cells were collected, replated (2×10^6 cells/mL, 5×10^5 cells per well in a 96-well plate) and treated with 1 μ g/mL soluble anti-CD3 for 4 h. After treatment, cell culture media was collected and assayed. Cell viability was determined using CellTiter-Glo (Promega, Madison, WI) according to the manufacturer's instructions. CD4⁺ T cell IFN γ experiments: CD4⁺ T cells were isolated with CD4⁺ microbeads (Miltenyi Biotec) and experiments were performed as described above. CD8⁺ and CD4⁺ T cell experiments were cultured in AIM V media. Induction of IDO1 in 4T1 cells: 1×10^5 4T1 cells/mL (2.5×10^5 cells per well in a 6-well plate) were cultured in complete RPMI (cRPMI: RPMI, 10 mM HEPES (Life Technologies), 10% Fetal Calf Serum (Hyclone Thermo Fisher Scientific, Waltham, MA) and Penicillin/Streptomycin cocktail (Life Technologies)) with 100 ng/mL PEG-rMuIL-10 and/or 50 ng/mL IFN γ for 2 d. After treatment, cells were collected for IDO1 gene expression. Induction of IDO1 in human dendritic cells: Human monocytes were isolated from PBMCs using CD14 microbeads (Miltenyi Biotec). Monocytes were differentiated to immature DCs with 10 ng/mL IL-4 and 40 ng/mL GM-CSF for 6 d in cRPMI. Immature DCs were treated with 100 ng/mL AM0010 for 2 d and cells were collected for IDO1 gene expression. To mature the DCs, cells were cultured with 5 μ g/mL LPS and 100 ng/mL IFN γ with or without 100 ng/mL AM0010 for 2 d. After treatment, cells were collected for IDO1 gene expression. T_{reg} cell induction with DCs: Mature DCs were isolated and induced as above. CD4⁺CD25⁻ T cells were isolated through consecutive rounds of CD4⁺ selection and CD25 depletion using CD4⁺ and CD25 microbeads, respectively, according to manufacturer's instructions (Miltenyi Biotec). CD4⁺CD25⁻ T cells (2×10^6 cells per well in a 6-well plate) were cocultured with mature DCs (4×10^5 cells per well in a 6-well plate) for 6 d with 10 ng/mL IL-2, 100 ng/mL IFN γ and 5 μ g/mL LPS (Sigma-Aldrich) with or without 100 ng/mL AM0010 and with or without 2 μ M IDO inhibitor, INCB024360 (Selleck Chemicals, Houston, TX) in cRPMI. T cells were then collected by flow cytometry on a FACScan or LSR II (BD Biosciences) and analyzed with FlowJo software v10 (Ashland, OR). Gating strategy: A single cell gate was first created based on forward scatter area vs. forward scatter height. Next, a lymphocyte gate was created based on

forward vs. side scatter. CD4⁺ cells were gated via histogram plot and then graphed vs. FoxP3 or graphed as Tbet vs. IFN γ .

TGF β /IL-2 T_{reg} induction

CD4⁺ T cells were isolated with CD4⁺ microbeads (Miltenyi Biotec) and cultured for 5–6 d in AIMV media containing 10, 100, 1000 or 10,000 pg/mL IL-2 (Miltenyi Biotec), 10 ng/mL TGF β (Cell Signaling Technology) or 100 ng/mL AM0010 and 2 μ g/mL immobilized anti-CD3 (Affymetrix eBioscience) and 1 μ g/mL anti-CD28 (Affymetrix eBioscience).^{33–35} Cells were then analyzed for FoxP3 expression by flow cytometric analysis.

Th1-like polarization

CD4⁺ T cells were isolated with CD4⁺ microbeads (Miltenyi Biotec) and activated for 3 d with 10 μ g/mL anti-CD3 (Affymetrix eBioscience), 2 μ g/mL anti-CD28 (Affymetrix eBioscience) in AIMV media and then rested in AIMV media containing 10 ng/mL IL-4 (BioLegend), 20 ng/mL IL-12 (BioLegend) or 100 ng/mL AM0010. Cells were then triggered with anti-CD3 at 1 μ g/mL for 4 h in the presence of 1 μ l/mL BD GolgiPlug (Becton Dickinson). Cells were then analyzed for Tbet and IFN γ expression by flow cytometric analysis.

Cytokine quantitation and protein gel blot analysis

To determine protein levels in murine tumor samples, tumors were weighed and homogenized in a fixed volume of water containing complete protease inhibitor cocktail (Sigma Aldrich). Samples were centrifuge to collect the supernatant. Supernatants were assayed by ELISA and concentrations were normalized to milligrams of tumor sample. Commercial ELISAs were used to detect mouse IFN γ (Meso Scale Discovery, Rockville, MD), mouse Granzyme B (Affymetrix eBioscience), mouse TGF β (Affymetrix eBioscience), human IFN γ (Affymetrix eBioscience), human Granzyme B (Mabtech, Cincinnati, OH) from mouse tumor supernatant, mouse plasma, and human cell culture media. For protein gel blots, cells were collected and lysed with RIPA buffer (Thermo Fisher Scientific). Protein concentrations were determined by BCA assay (Thermo Fisher Scientific) and normalized prior to gel loading. pSTAT3/STAT3 analysis: STAT3 Control Cell Extracts (Cell Signaling Technology) were used to verify protein gel blot reagents.

Antibodies

Protein gel blot antibodies were used according to manufacturer's instructions and obtained from Cell Signaling Technology (Danvers, MA): human IDO1, human/mouse STAT3 and human/mouse phospho-STAT3 antibodies; from Abcam (Cambridge, MA): Actin antibodies; and from BioLegend (San Diego, CA): mouse IDO1 antibody. Flow cytometry antibodies were used according to manufacturer's instructions and obtained from eBioscience Affymetrix: human Tbet or BioLegend: mouse CD45, CD8a, CD4⁺, FoxP3 and IFN γ ; human CD4⁺ and FoxP3.

Statistical analysis

Data was analyzed for statistical significance by Student's t-test or ANOVA using GraphPad Prism software (La Jolla, CA) as indicated.

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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