

## Immunomodulation by MYB is associated with tumor relapse in patients with early stage colorectal cancer

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### ABSTRACT

The presence of tumor immune infiltrating cells (TILs), particularly CD8<sup>+</sup> T-cells, is a robust predictor of outcome in patients with colorectal cancer (CRC). We revisited TIL abundance specifically in patients with microsatellite stable (MSS) CRC without evidence of lymph node or metastatic spread. Examination of the density of CD8<sup>+</sup> T-cells in primary tumors in the context of other pro-oncogenic markers was performed to investigate potential regulators of TILs. Two independent cohorts of patients with MSS T2-4N0M0 CRC, enriched for cases with atypical relapse, were investigated. We quantified CD8<sup>+</sup> and CD45RO<sup>+</sup> -TILs, inflammatory markers, NFκBp65, pStat3, Cyclo-oxygenase-2 (COX2) and GRP78 as well as transcription factors (TF), β-catenin and MYB. High CD8<sup>+</sup> TILs correlated with a better relapse-free survival in both cohorts ( $p = 0.002$ ) with MYB and its target gene, GRP78 being higher in the relapse group ( $p = 0.001$ ); no difference in pSTAT3 and p65 was observed. A mouse CRC (CT26) model was employed to evaluate the effect of MYB on GRP78 expression as well as T-cell infiltration. MYB over-expressing in CT26 cells increased GRP78 expression and the analysis of tumor-draining lymph nodes adjacent to tumors showed reduced T-cell activation. Furthermore, MYB over-expression reduced the efficacy of anti-PD-1 to modulate CT26 tumor growth. This high MYB and GRP78 show a reciprocal relationship with CD8<sup>+</sup> TILs which may be useful refining the prediction of patient outcome. These data reveal a new immunomodulatory function for MYB suggesting a basis for further development of anti-GRP78 and/or anti-MYB therapies.

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## Introduction


Early stage CRC is managed by surgery, followed with adjuvant chemotherapy in higher risk cases. There is now compelling evidence that a poor immune response in CRC, as defined by the absence of lymphocytes within the primary tumor, is a robust predictor of relapse.<sup>1,2</sup> Initiating a specific, or reawakening a latent, immune response in patients might improve CRC control but how this might be achieved remains unclear. The mechanism for tumor infiltrating lymphocytes (TILs) in CRC is intriguing and remains to be fully determined. Perhaps, there is a role for T-regulatory cells (T-regs), myeloid derived suppressor cells (MDSC), as well as immune check point control in suppressing the patient's immune response as measured by the absence of TILs.<sup>3</sup> Thus, it is paramount to understand the central mediators of both positive and negative host responses as this will inform future CRC therapeutic strategies.

Inflammatory pathways mediated by the TF NFκB and phosphoStat3 (pStat3) are considered to be central players in the gastro-intestinal tract immune system.<sup>4</sup> However, it is

unclear whether these TFs are responsible for the attraction of TILs or suppression of antitumor immune responses. It also remains unclear if the actions of these TFs are tumor-driven or stroma-mediated. Thus, defining prognostic markers that are independent of the traditional indicators such as T and N stage are likely to influence patient management. To this effect, the demonstrated interplay between TILs and patient outcome has initiated a paradigm shift in thinking.

To help determine further markers of patient outcome independent of T and N staging, we have defined the TILs status in patients, who as a group, would normally be considered to be at low risk of relapse with markers measuring inflammatory pathway activation. Patients with microsatellite unstable (MSI-H) tumors were deliberately excluded because on the whole they have superior survival<sup>5</sup> and typically higher TILs. Patients with MSI-H CRC also appear to respond to anti-immune check point therapy likely due to an increased mutational load.<sup>6,7,8</sup> In addition to TILs, we examined the role of the TF MYB, as it has also been found to predict outcome in CRC patients,<sup>9</sup> but had not

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yet been explored in early stage CRC. As MYB drives the expression of a large number of pro-oncogenic genes, including *cyclooxygenase 2* (*COX2*; *PTGS2*), *BCL2*, *BCL<sub>XL</sub>* (*BCL2L1*) and *MYC*,<sup>10</sup> we investigated its expression in these cohorts. To confirm that MYB was actively operating as a TF, we determined whether target gene, *GRP78* (*glucose regulatory protein-78* (*HSPA5*, *BIP*))<sup>11</sup> was also elevated in concordance with nuclear MYB.

The endoplasmic reticulum (ER) protein GRP78 is a sentinel for the induction of the unfolded protein response (UPR).<sup>12</sup> GRP78 has also been found to modulate both immune and therapy responses in cancer.<sup>13</sup> As the development of cancer accompanies a spectrum of elevated cellular stress responses,<sup>14</sup> we decided to use GRP78 as measure of the UPR and elevated cellular stress in tumors which are responding to glucose deprivation and hypoxia.<sup>13</sup> GRP78 has also been implicated in modulating cytotoxic T-cell-mediated tumor killing<sup>15-17</sup> and here we asked if there was any association with reduced CD8<sup>+</sup> TILs in patients with CRC relapse and GRP78.

Using IHC, RNA expression and a relevant animal model, we found that high MYB and high GRP78 were robust predictors of poor patient outcome. Furthermore, the high expression of these proteins tracked with low CD8<sup>+</sup> TILs in patients who relapsed with MSS CRC. Using the CT26 mouse model, we directly showed that increased MYB expression led to a downregulation of immune activation and a reduction in anti-PD-1 immunotherapy efficacy. Collectively, we have identified a new role for MYB in modulating immune responses, which is shown by the linked relationship between high TILs, low MYB and good outcome on the one side and low TILs, high MYB and poor outcome in early CRC on the other.

## Results

### Lymphocyte status

Whole tumor sections were evaluated for the presence of CD8<sup>+</sup> cytotoxic or CD45RO<sup>+</sup> memory T-cells in five randomly selected tumor regions determined using histology and/or  $\beta$ -catenin staining to demarc tumor boundaries. The tumor core, tumor margin, as well as proximal stroma and stroma distal to the tumor were analyzed in each patient. *In silico* analysis was used to measure stained T-cells, with the tumor and stroma averaged separately. We observed no significant difference with regards to T-cell abundance in the tumor stroma in either cohort. However, in CRC Series 1, the presence of CD8<sup>+</sup> cells was significantly greater in the tumor core and margin in sections from relapse-free patients. This trend was not observed with CD45RO<sup>+</sup> staining (Fig. 1A–B). Median scores were calculated and used to generate Kaplan–Meier plots. Using the median cut-off for CD8<sup>+</sup> density, a significant separation between relapse-free patients from those that relapsed can be observed (Fig. 1C). This again was not consistent with CD45RO staining in tumors (Fig. 1D). Similar discrimination was evident in Series 2, although the area of CD8<sup>+</sup> cells was on the whole higher in this series compared to the first series (Fig. 1E). Analysis of Series 2 using Kaplan–Meier plots also

demonstrated a clear difference in relapse between the CD8<sup>+</sup>-high and low groups (Fig. 1F). Combining the two cohorts and using the collective median cut-off, the presence of high CD8<sup>+</sup> cells remained highly significantly different between patients who relapsed and those that did not (Fig. 1G). Although the presence of CD45RO<sup>+</sup> TILs was unable to discriminate between the two patient groups alone in terms of relapse, low CD45RO<sup>+</sup> or CD8<sup>+</sup> cells was indicative of poor patient outcome (Fig. 1H).

### The unfolded protein stress response, stromal inflammation and MYB

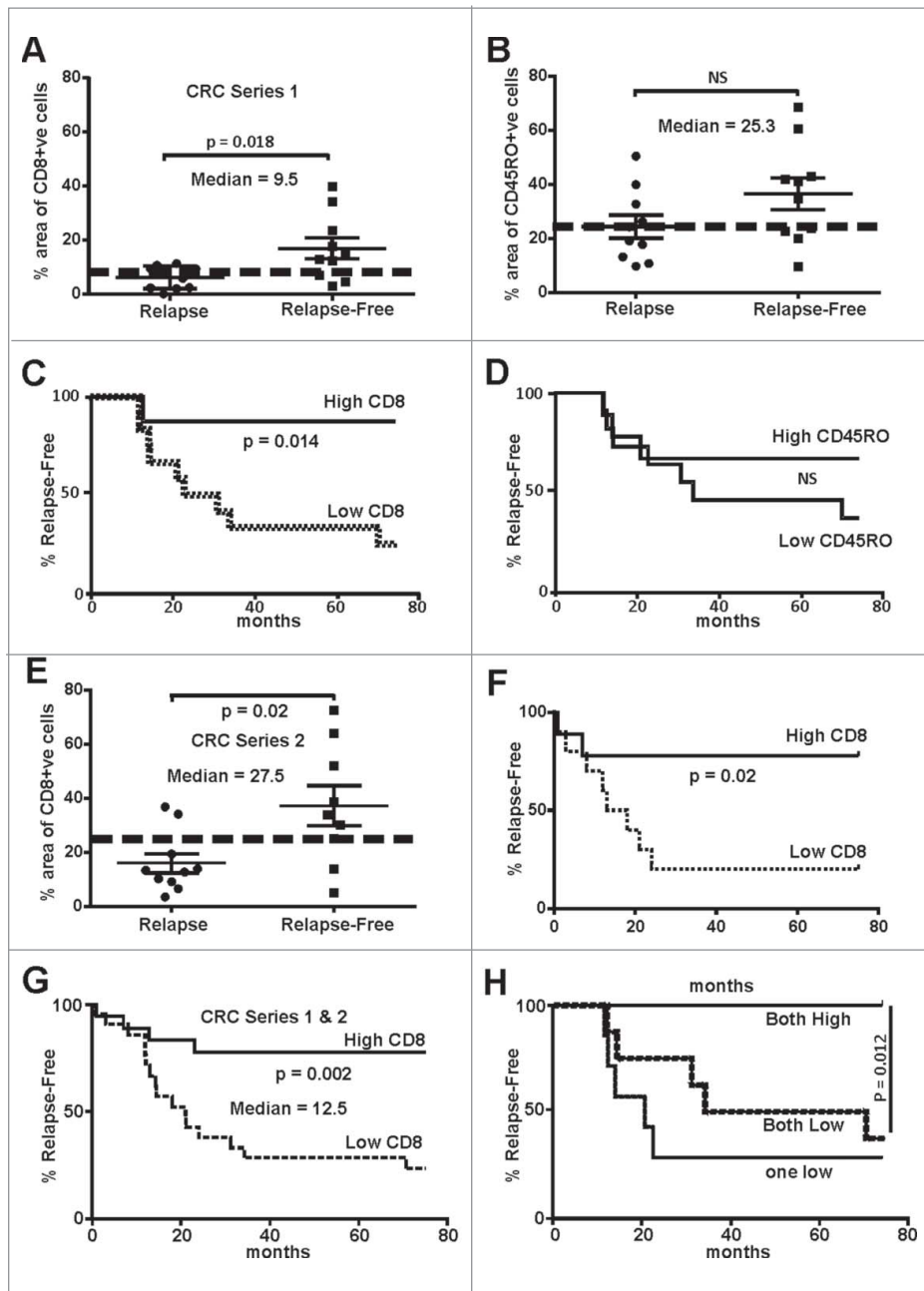
We next evaluated a range of potential biomarkers in CRC specifically related to TILs. The inflammatory marker COX-2 and CD8<sup>+</sup> TILs in general co-localized, however, they were not significantly correlated with pSTAT3 or nuclear NFkBp65 within the tumor or adjacent stroma. COX-2 expression was found predominantly within the tumor epithelia. NFkBp65 showed intense epithelial cytosolic as well as nuclear expression (*data not shown*). Neither, COX-2, pSTAT3 or p65 expression or localization provided any insights as to the basis of high or low TILs. Therefore, we explored other potential biomarkers that might correspond to the differences in TIL density in patients with different cancer outcomes.

Increasingly, there has been recognition that cellular stress in the form of the unfolded stress response (UPR) pathway might be important in carcinogenesis.<sup>18</sup> Here, we focused on GRP78/BIP which is a robust marker of the UPR. We have previously reported that (i) GRP78 expression is modulated by the TF MYB,<sup>11</sup> (ii) that GRP78 is elevated when additional exogenous MYB is expressed in CRC and breast cancer cell lines and (iii) that it serves as a direct MYB-target gene.<sup>19</sup> The relevance of GRP78/BIP was of further interest in the context of TILs because it has been shown to modulate cytotoxic T-cell-mediated tumor killing.<sup>15-17</sup> We therefore evaluated both *MYB* and *GRP78* expression in a third series of CRC and matched normal mucosa by RNAseq. This confirmed our previous finding that both *MYB* and *GRP78* mRNA are significantly elevated in CRC (Fig. 2A).<sup>11</sup> Analysis of tissue blocks from CRC Series 1 and 2, examining the same tumor regions as consecutive sections 4  $\mu$ m apart, showed that MYB protein expression was predominantly nuclear, while GRP78 expression was cytoplasmic and that these signals were co-localized to the same cells (Fig. 2B–C).

When MYB protein expression was evaluated in the combined CRC cohorts its expression (0–12 HistoScore) was significantly higher in tumors of patients who had relapsed, with this also corresponding to patient outcome (Fig. 3A–B). Similarly, as might be predicated of a MYB target gene, GRP78 expression was also found to be higher in relapsed patients, with those having the highest GRP78 relapsing more rapidly (Fig. 3C–D).

### Absence of CD8<sup>+</sup> TILs where MYB target GRP78 expression is strongest

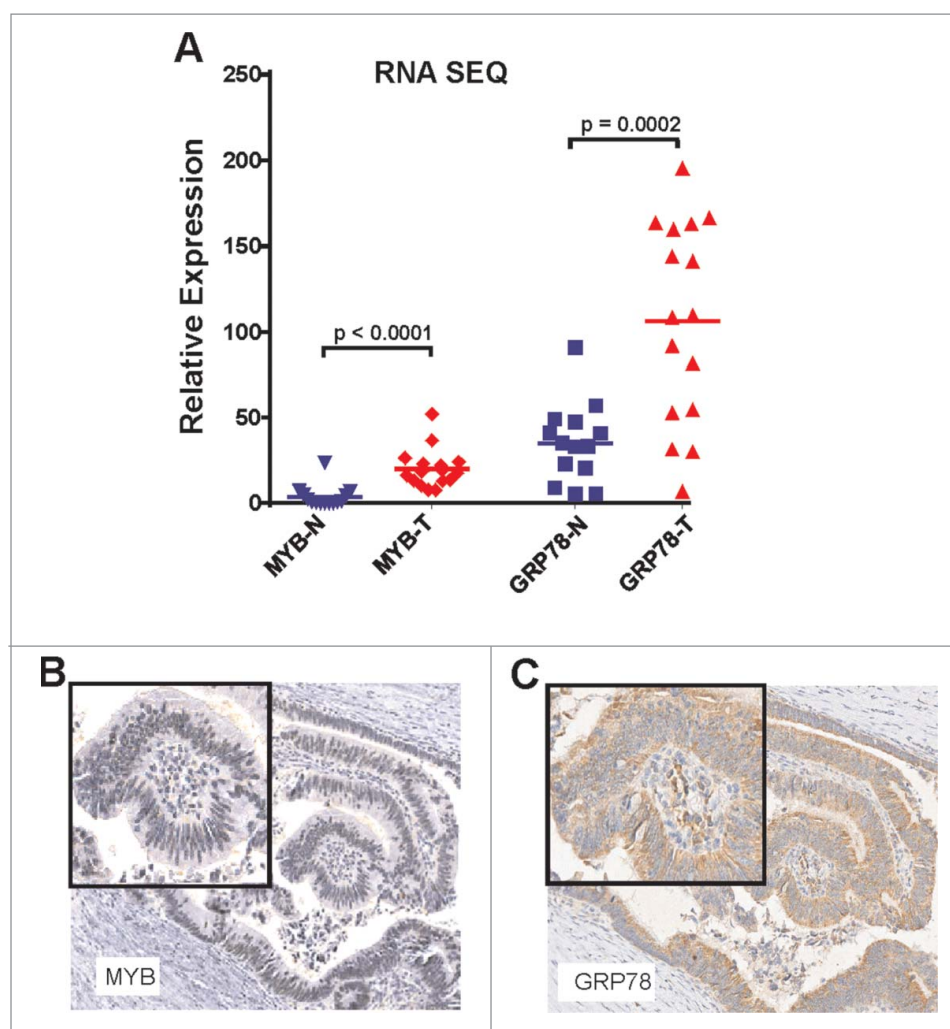
Given that both MYB and GRP78 independently predicted early relapse, we next examined their spatial expression in



**Figure 1.** Abundance of tumor infiltrating lymphocytes in early stage MSS colorectal cancers track with relapse-free survival. (A) CD8<sup>+</sup> cells were evaluated in two cohorts of patients (*Series 1- RMH*), one that had tumor relapse ( $n = 10$ ) that other without ( $n = 10$ ). The area of positive CD8<sup>+</sup> IHC signal was found to be significantly higher in relapse-free patients (two-way  $t$ -test). (B) CD45RO<sup>+</sup> cells IHC showed no significant difference between the two cohorts. (C) Data from (A) were used to calculate the statistical median which was used to partition with an area above 9.5% and those 9.5% and below. Relapse-free patients were found to have significantly higher CD8<sup>+</sup> cells that tracked with relapse-free survival (Log-rank, Mantel-Cox test). (D) Data from (B) were used to calculate the median percentage of CD45RO<sup>+</sup> cells whereby tumors with a median above 25.3% and those below were indistinguishable in terms of outcome. (E) CD8<sup>+</sup> cells were evaluated in two cohorts of patients from a separate hospital (*Series 2- SJOG*), one that had tumor relapse ( $n = 11$ ) that other without ( $n = 9$ ). The area of positive CD8<sup>+</sup> IHC signal was found to be significantly higher in relapse-free patients (two-way  $t$ -test,  $n = 10$ ). (F) Data from (E) were used to calculate the statistical median which was used to partition with an area above 27.5% and those below whereby the relapse-free patients were found to have significantly higher CD8<sup>+</sup> cells tracked with relapse-free survival (Log-rank, Mantel-Cox test). (G) Data from Series 1 and 2 (Panels A and E) were pooled and the median recalculated as above and whereby tumors with a median above 12.5% and those below found to have significantly higher CD8<sup>+</sup> cells tracked with relapse-free survival (Log-rank, Mantel-Cox test). (H) When the percentage of CD8<sup>+</sup> plus CD45RO<sup>+</sup> cells were evaluated those tumors where high expression was calculated patients were found to be essentially relapse-free.

consecutive tumor sections to determine their relationship with CD8<sup>+</sup> cells. To distinguish tumor from normal tissue,  $\beta$ -catenin staining was employed to define the boundaries of the tumor foci (Fig. 4).  $\beta$ -catenin also allowed analysis of the relapsed cases providing evidence of local tumor invasion beyond the tumor margin as has been reported by others.<sup>20</sup> We noted that in the relapse-free CRC cases CD8<sup>+</sup> cells were most

abundant at the tumor margin and in the immediate tumor stroma region (Fig. 4A and B). A reciprocal relationship was found in CRC relapse cases, with a marked reduction in CD8<sup>+</sup> cells observed where there was strong GRP78 staining. These observations were consistent across both Series 1 and 2 CRC cohorts, further establishing the reciprocal relationship between MYB, its target gene GRP78, and CD8<sup>+</sup> cells TILs.



**Figure 2.** MYB and GRP78 expression is generally higher in colorectal tumors than in normal adjacent mucosa. (A) RNAseq was used to determine significantly higher GRP78 and MYB expression in CRC (T) compared the matched normal mucosa (N). (Two-way, *t*-test,  $n = 14$  plus 2 addition CRC samples). (B) IHC for MYB and GRP78 antigens in the same region of an early stage MSS CRC.

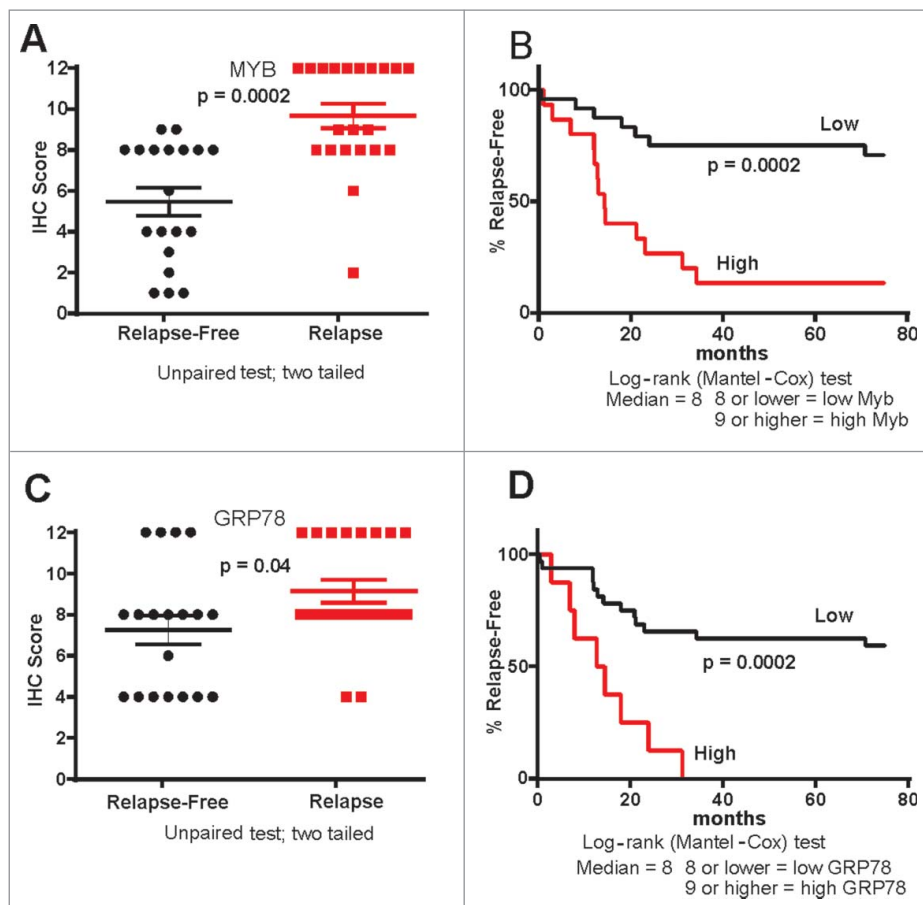
### MYB upregulation directly reduces T-cell activation in CT26 CRC mouse model

To directly explore the relationship between high GRP78/MYB expression and low CD8<sup>+</sup> TILs observed in human CRC, we employed the BALB/c mouse colon tumor model, CT26. The use of the CT26 tumor cell line allowed us to investigate the effect of over-expressing MYB in a syngeneic primary tumor setting.<sup>21</sup> CT26 cells were transduced with a retrovirus expressing full length MYB linked with an IRES GFP reporter gene (*data not shown*). Successful transduction was confirmed by FACS sorting for GFP-expression and analysis of *Gfp* mRNA (Fig. 5A), as well as upregulation of the MYB target gene, *Grp78* (Fig. 5B).

As parental CT26 cells have a high rate of proliferation *in vitro*, no increase was observed with upregulation of MYB (*data not shown*). When the MYB overexpressing CT26 cells were injected *in vivo*, no overall difference in tumor growth was observed when compared to control cells (Fig. 5C). However, we did note that tumors became palpable earlier in the mice with MYB-over-expressing cells. Concordant with our *in vitro* observations, an increase in the GRP78 was also observed *in vivo* when tumors were examined by IHC (Fig. 5D–E).

When we examined established CT26 tumors very few CD8<sup>+</sup> cells were observed by IHC in either parental or MYB-transduced CT26 tumors (*data not shown*). A low number of CD8<sup>+</sup> cells confined to the stroma surrounding the tumor, mirroring our findings in relapse CRC patients. As the TILs could not be quantified numerically using IHC, flow cytometry of primary tumors was employed. While flow cytometry does not allow for accurate quantification of total CD8<sup>+</sup> cells, it does facilitate an evaluation of the quality of the TILs from both CT26 parental and CT26-MYB tumors to be determined using cell surface markers CD44 and CD62L. Analysis of CT26 parental and CT26-MYB tumors showed a modest but statistically significant reduction in the activation state of MYB over-expressing tumors compared to parental (Fig. 6A).

Given MYB appeared to be suppressing the infiltration of activated T cells at the primary tumor site, we then investigated whether a similarly reduced activation state could also be found at the tumor draining lymph node. Analysis of tumor-draining lymph nodes from CT26 and CT26-MYB tumor bearing mice showed that the percentage of activated (CD44<sup>+</sup>; CD62L<sup>-</sup>) CD4<sup>+</sup> and CD8<sup>+</sup> T cells were significantly lower in MYB over-expressing tumors (Fig. 6B). Similarly, we found a significant



**Figure 3.** MYB and GRP78 expression tracks with CRC patient outcome. (A, B) IHC Histocore was used to determine high and low MYB expression in relapse ( $n = 21$ ) and relapse-free ( $n = 19$ ) MSS CRC showing that high MYB tracks with poor outcome as well as tumor relapse (C, D) IHC Histocore was used to determine high and low GRP78 expression in relapse and relapse-free CRC showing that high GRP78 tracks with poor outcome as well as relapse-free survival.

reduction in the activation status of  $CD4^+$  and  $CD8^+$  T cells when we looked at the ratio of activated  $CD44^+ CD62L^-$  cells to naïve  $CD44^- CD62L^+$  cells (Fig. 6B). These analyses were performed on relatively small tumors ( $\sim 0.2$  g), suggesting that MYB directly influences (early on) the ability of the host animal to mount a robust immune response against tumors. These observations are thus in accord with what we found in human CRC and suggest that the reciprocal relationship between high MYB, its target GRP78 and low  $CD8^+$  TILs is a direct result of the expression levels of MYB.

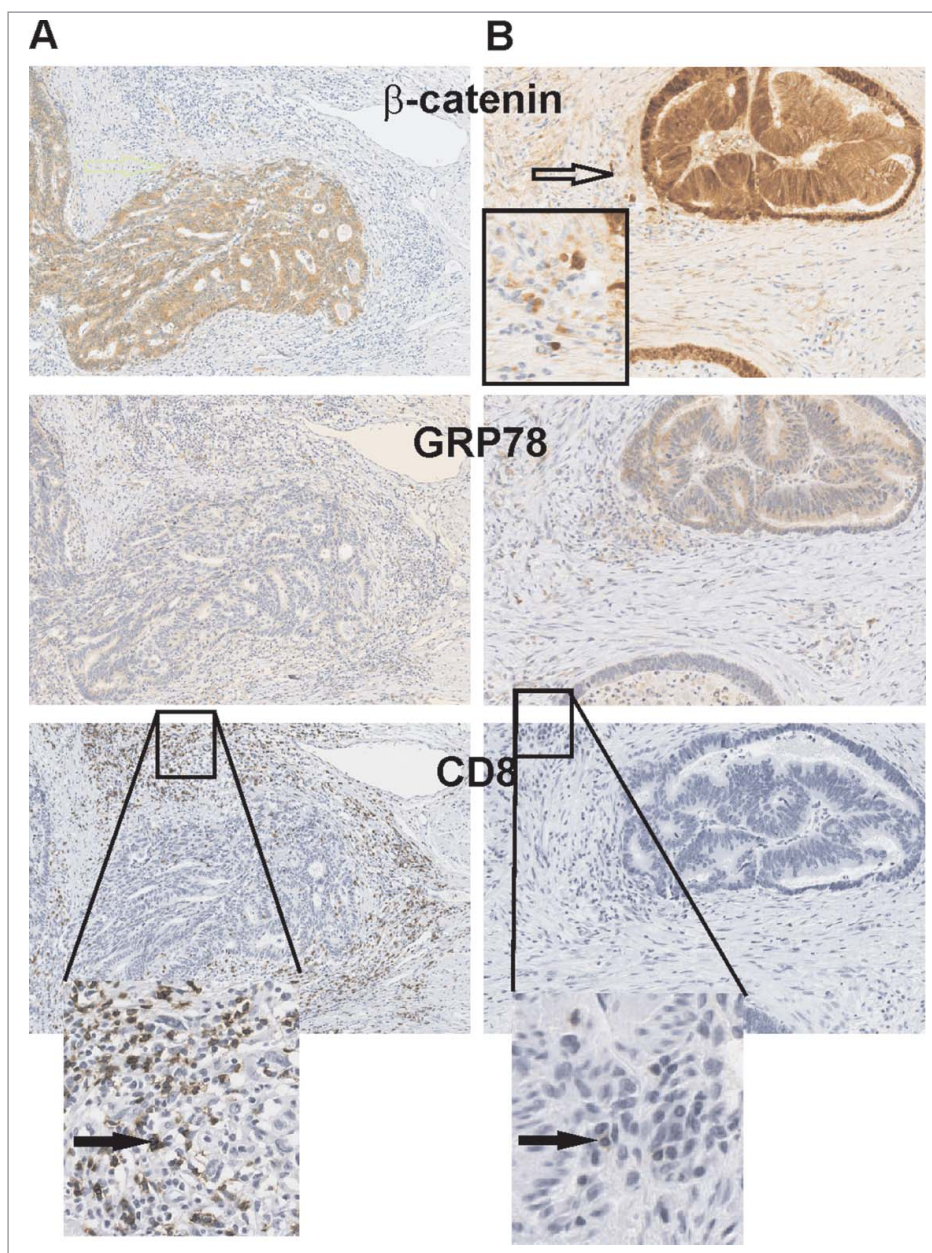
As MYB appeared to be directly influencing the activation state of the immune system in CT26 tumor bearing mice, we next determined whether this would impact upon immunotherapy. Other studies,<sup>22</sup> including our own,<sup>23</sup> have shown the CT26 model to be responsive to anti-PD-1 check-point blockade. Employing the same therapeutic strategy as our previous study, we demonstrated that overexpression of MYB eliminated any therapeutic benefit in half of the treated mice compared to uniform tumor control in the GFP-expressing CT26 parental tumors (Fig. 6C–D). These data directly highlight the immunomodulatory capabilities of MYB in CRC and how it might affect the implementation of immunotherapy in CRC.

## Discussion

In this study, we have shown that that high  $CD8^+$  TILs are associated with relapse-free survival in patients with early stage,

node-negative MSS CRC, similar to previous findings when all stages are considered.<sup>1</sup> This analysis of early stage MSS CRC shows that the immune system remains an important prognostic indicator of relapse, even when neo-epitopes are likely to be very low. Given the importance of the immune microenvironment in cancer, the lack of association with either recurrence or relapse free survival for COX-2, pSTAT3 and NF $\kappa$ Bp65 when analyzed within the tumor or in surrounding stroma, was unexpected (*data not shown*). In view of the lack of obvious associations with transcriptional drivers of inflammation, pSTAT3 and NF $\kappa$ Bp65, we investigated another TF, MYB, which we had shown to predict CRC outcome in more advanced disease.<sup>9</sup>

We were additionally interested in GRP78 expression because we had previously found it to be a MYB regulated gene, with high expression observed in CRC cell lines, particularly those with amplified MYB.<sup>11</sup> Beyond GRP78 being a sentinel of MYB activity, it also serves to show induction of the immune-modulatory UPR pathway. Others have also noted the potential importance of the UPR in immune modulation.<sup>24,25</sup> When GRP78 is over-expressed, it is mis-localized to the cell surface and has also been shown to be secreted.<sup>26</sup> With specific relevance to immune-modulation, GRP78 has been found to reduce cytotoxic T-cell activity<sup>15</sup> and induce T-regs.<sup>27</sup> When we analyzed MYB expression, we found that it was concordant with GRP78 and combined, these proteins were inversely correlated with  $CD8^+$  TILs.



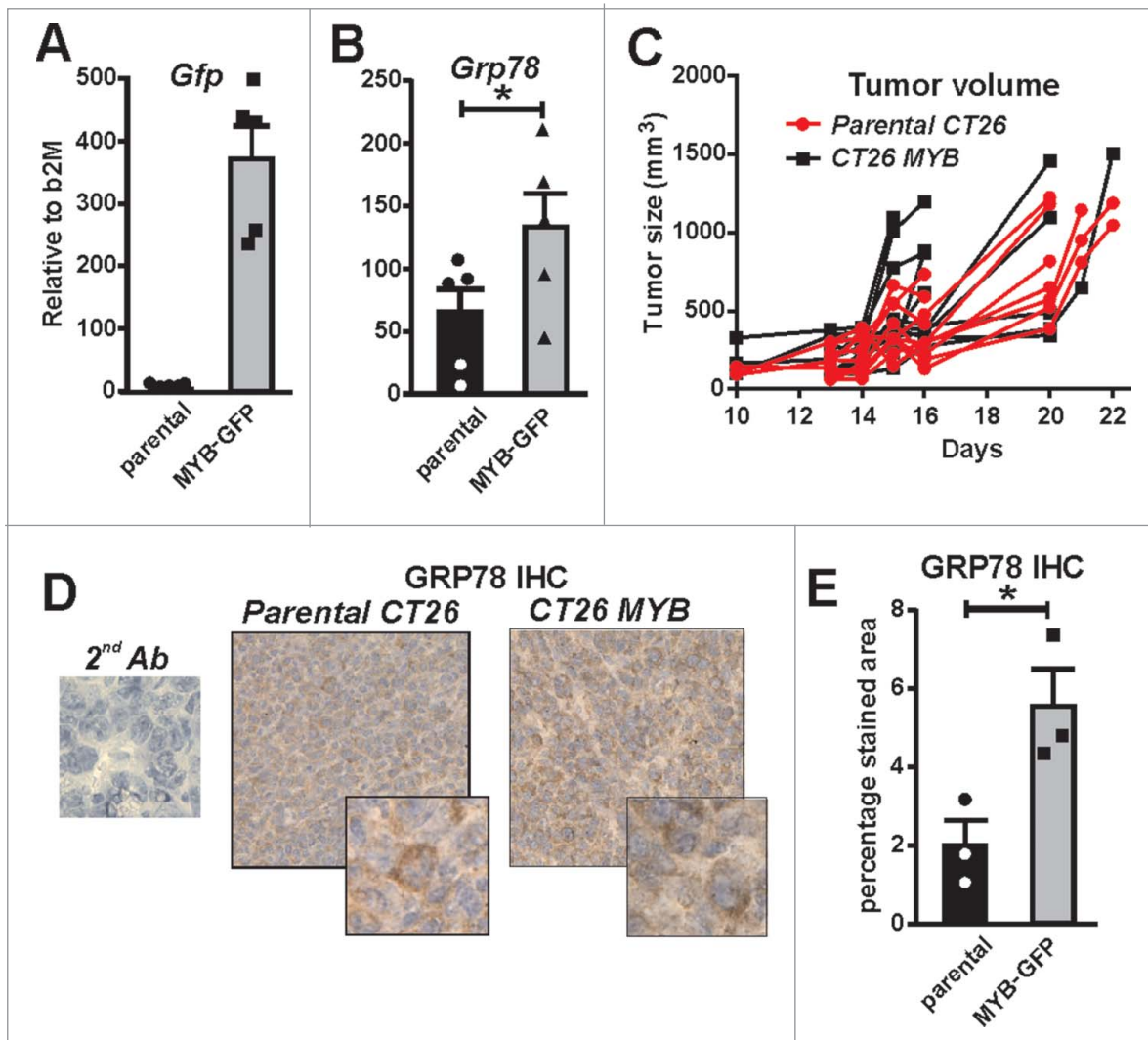
**Figure 4.** GRP78 expression is higher in CRC where CD8<sup>+</sup> TILs cells are absent. (A) Two consecutive series of IHC representative of a relapse-free and (B) a relapse patient CRC are shown.  $\beta$ -catenin demarks tumor epithelium as well as invading tumor cells (open arrow) along with low GRP78 which is associated with greater CD8<sup>+</sup> TILs. Black arrows identify CD8<sup>+</sup> TILs.

Although the role of the UPR in cancer immunity is emerging, it is important to emphasize that MYB is a master regulator of a plethora of pro-oncogenic genes. There are more than 80 pro-oncogenic genes that are directly regulated by MYB, including GRP78 and GRP94<sup>11,10</sup>. Accordingly, the concept of targeting transcriptional regulation directly is building currency.<sup>28</sup> With specific reference to MYB, it is the case that it directly regulates genes including *VEGFA*, *COX2* and *BCL2*. *VEGFA* for instance is also an immune modulator while *BCL2* protects cells from apoptosis. Therefore, focusing on any single MYB target gene is unlikely to explain MYB's association with immune evasion as the multifaceted orchestration of oncogenesis by MYB is certain to involve many of its targets.

To directly address whether high MYB expression in CRC was driving low CD8<sup>+</sup> TILs, we used a mouse CRC model. We

expressed additional MYB in this mouse CRC line that generates highly aggressive tumors in an immunocompetent host. We found no significant differences in cell line growth *in vitro*, and there was at best, only a trend to more rapid induction of tumors *in vivo*. However, we were able to demonstrate more uniform and extensive cell-surface expression of GRP78 in the MYB-transduced cells that formed tumors.

As we found very few CD8<sup>+</sup> TILs in the parental CT26 tumors, it was not surprising that they were numerically too few to evaluate robustly in tumors generated from MYB-over-expressing cells. Nevertheless, the activation status of TILs was statistically significantly decreased by the expression of elevated MYB. Perhaps more interestingly, when we evaluated CD4<sup>+</sup> and CD8<sup>+</sup> cells in the tumor-draining lymph nodes, we were also able to establish that these were also substantially reduced



**Figure 5.** MYB overexpression increases GRP78. (A) Green fluorescent protein (*Gfp*) gene reporter expression in mouse CT26 CRC cells transduced with a MYB-expressing retrovirus is shown. (B) Induction of endogenous *Grp78* mRNA expression in MYB-GFP-CT26 cells compared to parental cells. (C) Tumor formation kinetics (volume) in parental versus MYB-GFP-CT26 cells was determined. (D) IHC for GRP78 in parental versus MYB-GFP-CT26 including secondary antibody control (2<sup>nd</sup> Ab). (E) Percentage area of tumor expressing GRP78 quantified by Metamorph<sup>R</sup> analyses is shown.

in terms of activation. When we then challenged mice with established tumors with anti-PD-1 immune check-point blockade, we found that half of the mice with CT26-MYB were able to escape the characteristic tumor control normally observed in this model. These observations suggest that MYB has strong immunomodulatory effects, perhaps through induced GRP78 expression or another undefined mechanism, that have the potential to operate at a distance from the primary tumor site. Thus, these data go some of the way to explain why high MYB is associated with a reduced antitumor immunological response and poor outcome.

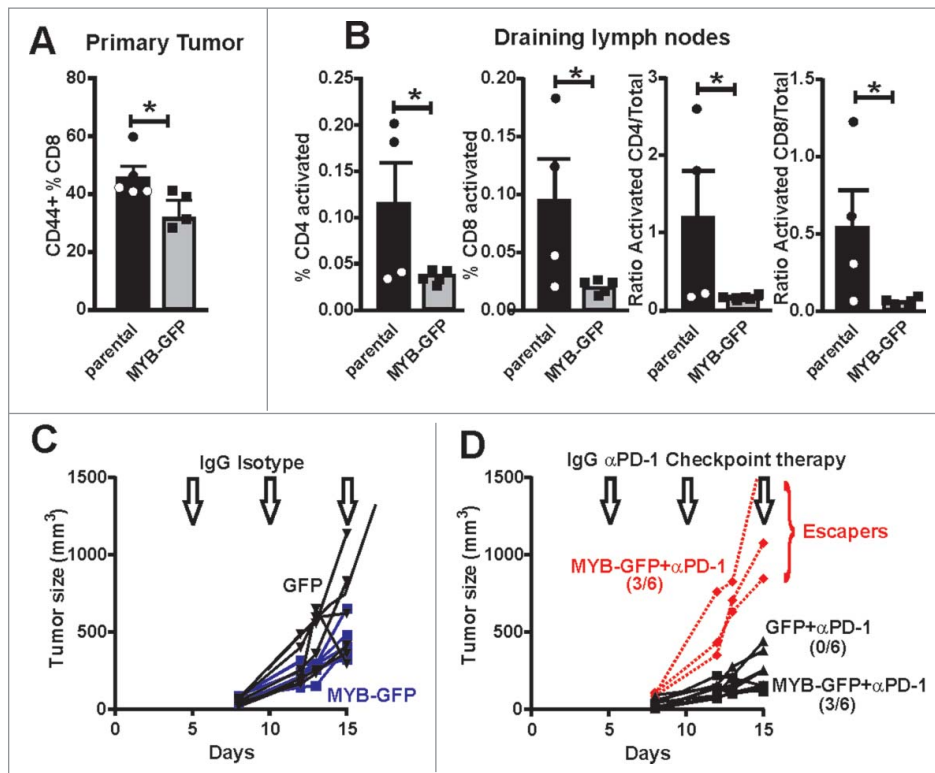
In conclusion, these studies have shown the prognostic significance of a robust CD8<sup>+</sup> TIL response in patients with early stage, presumably mutation-low, CRC. The recognition that MYB and its downstream transcriptional target GRP78 track with CRC development provides opportunities to advance new therapeutic strategies in treating CRC and to better predict treatment outcome. This study is the first direct demonstration that MYB expressed in tumor cells can modulate the host

immune response, which has the potential to influence the use of immunotherapy in CRC patients. Emerging strategies to exploit cell surface expression of GRP78 in cancer are being reported,<sup>29-31</sup> and our results suggest they may synergize with immunotherapy in CRC. While MYB has numerous gene targets, many with a pro-tumorigenic action, we have considered approaches that directly target MYB over-expression in CRC using immunological strategies with some success in pre-clinical animal models.<sup>23,32,33</sup> The data presented here further elevate the importance of MYB in cancer, highlighting a new pro-tumorigenic role in negatively modulating the immune response to tumors.

## Methods

### Patients' characteristics

Characteristics of tumors from atypical relapse (n = 21) and relapse-free (n = 19) patients are tabulated (Table 1). Tumor



**Figure 6.** Increased MYB is associated with suppressed immune activation. (A) CD8<sup>+</sup> TILs were isolated from tumors generated by parental and MYB-GFP-CT26 cells and assessed for activation marker CD44. (B) Tumor draining lymph nodes were isolated on the basis of CD4<sup>+</sup> or CD8<sup>+</sup> expression and then evaluated for T-cell activation markers CD62L and CD44. Significantly reduced activation status was observed in mice bearing MYB-GFP-CT26 tumors; ( $p < 0.05$ , *t*-test). (C) Tumor growth was indistinguishable when CT26 cells with either MYB-GFP or GFP were compared following doses of isotype control antibodies (200 mg IP) at day 5, 10 and 15 post tumor cell inoculation (arrows). (D) When mice bearing CT26 MYB-GFP cells were treated with anti-PD-1 antibodies (200 mg IP) at day 5, 10 and 15 (arrows) 3/6 mice escaped growth inhibition compared to 0/6 mice in the CT26-GFP control group.

blocks were used with consent according to the institutional ethics approval at Royal Melbourne Hospital (RMH), Peter MacCallum Cancer Center and St John of God Subiaco Hospital (SJGSH), Western Australia. All patients were diagnosed with Stage B (T2-4N0M0) CRC, gave informed consent. This study was approved by the ethics committees at all sites.

Patients with Stage B CRC (T2-4N0M0) were identified and their FFPE blocks were retrieved with associated outcome data. In addition to overall staging, every attempt was made to match patients regardless of outcome. Cases with deficient mismatch repair (MSI-H) were excluded due to the recognized association with increased TILs and increased stage specific survival.<sup>6,7,34</sup> Accordingly in our study, only MSS tumors were evaluated. The two cohorts examined were from geographically distant sites and managed by separate health systems. Patients who relapsed did so at a median of 24 (Series 1, RMH) and 12 (Series 2, SJGSH) months, respectively. The RMH series had CRC blocks from 10 relapse and 10 closely matched relapse-free patients, while the SJGSH series had 11 relapse and nine closely matched relapse-free patients. Tumor sites were evenly distributed from the right to the left side of the colon, as was the site of relapse.

### Human CRC sections

Tumor blocks from patients with early stage CRC were sourced from the RMH and SJGSH. These were enriched for cases with

atypical recurrence. These were processed into ten sections and subjected to immunohistochemistry (IHC) to assess TILs and biomarkers expression.

### IHC

Antibodies and antigen retrieval protocols are described in Tables S1 and S2. Briefly, antigen retrieval was achieved by placing the slides in 500 mL of EDTA (1 mM pH 6.0) or citrate buffer (10 mM, pH 6.0) in a pressure cooker. To detect primary antibodies the appropriate antibody was diluted in TBS and 150  $\mu$ L was added to dewaxed slides at either RT °C for 1 h or 4°C O/N. Corresponding secondary HRP-conjugated antibody (ImmPRESS, Vector Laboratories) was added for either 30 min or 1 h and for slides that did not use the ImmPRESS secondary antibody an additional step was included with the ABC detection kit (Vector Laboratories). Following incubation slides were washed twice in TBS-Tween for 10 min and developed using the DAB with chromagen substrate (DAKO) system.

### Slide visualization and quantitative analysis

Slides were coded and then scanned using the Aperio<sup>R</sup> ScanScope scanner (Aperio in the Tissue Bank Facility at PMCC) and visualized on a computer using the ImageScope software (Aperio). For CD8<sup>+</sup> and CD45RO IHC images were taken of each slide and quantitation analysis was carried out using the



**Table 1.** Patient and tumor characteristics.

	Series 1 CRC (RMH)		Series 2 CRC ( SJGSH )	
	No relapse	Relapse	No relapse	Relapse
Mismatch Repair Status	Proficient	Proficient	Proficient	Proficient
Adjuvant therapy	6/10	6/10	0/9	6/11
Average time to relapse	N/A (75+)	24.6 mo	N/A	12.0 mo
Median time to relapse	N/A	18.0 mo	N/A	11.5 mo
CD8 <sup>+</sup> Average density (Metamorph area)	16.2	6.2 <i>p</i> = 0.02	37.2	15.9 <i>p</i> = 0.02
CD45RO <sup>+</sup> Average density (Metamorph area)	36.5	24.4 <i>p</i> = NS	49.5	36.7 <i>p</i> = NS
	Combined Series			
Location (Total)	No Relapse	Relapse		
Right-side (17)	9	8		
Left-side (23)	10	13		

All patients had Stage B CRC.

MetaMorph (Molecular Devices) microscopy image analysis to determine the number of brown stained cells. The MetaMorph software quantitates the number of brown cells/mm<sup>2</sup> by setting a color threshold to first demark the brown cells to be counted. A second threshold is then set based on pixel size and any cell that is greater or less than the set threshold will be omitted from the cell count. The number of cells counted was then divided by the total area selected and multiplied by one hundred to obtain a percentage/mm<sup>2</sup>.

IHC assessment for other antigens involved inspection of Aperio<sup>R</sup> generated images and scoring on the basis of a 0–12 Histoscore which was the product of staining intensity (0 = none, 1 = weak, 2 = moderate, 3 = strong) and extent (0 = none, 1 = 5% or less, 2 = 6–15 %, 3 = 51–75%, 4 => 76%). Two investigators in a blinded fashion scored de-identified slides.

### Generation of CT26 CRC cells expressing exogenous MYB

The mouse colon adenocarcinoma cell line CT26<sup>21</sup> has been authenticated by the authors based upon gene expression, tumor morphology and capacity to form adenocarcinoma in immune competent BALB/c mice. The retroviral packaging cell line PT67 were cultured in Dulbecco's modified Eagle's medium supplemented with 10% FCS. PT67 cell line was used to produce MSCV-MYB retroviral particles as previously described.<sup>19</sup> The MSCV vector contains GFP allowing sorting the MSCV-MYB transduced cells by FACS. Transduction was carried out by replacing the culture media with retrovirus supernatant supplemented with 8 μg/mL polybrene (Sigma) every 24 h until cells were confluent. As a control, CT26 cells were mock transduced under similar conditions or expressed GFP alone. GFP<sup>+</sup> cells were sorted after two weeks using a FACS DIVA sorter (BD).

### Human CRC

Total RNA from 14 match matched normal mucosa and different stages of CRC including three additional CRC specimens were processed for RNA sequencing using methods described elsewhere.<sup>35</sup>

### Mouse CRC group assays

CT26 tumor cells (0.5 × 10<sup>6</sup>) were subcutaneously injected into syngeneic BALB/c mice in the right lower flank. Tumors were measured using an electronic caliper. Experiments were conducted according to the Peter MacCallum Cancer Center's AECC guidelines. qRT-PCR for *Grp78* has been described previously.<sup>19</sup>

### Fluorescent cell sorting of tumor and draining lymph node TILs

Tumor or Lymph Nodes were crushed in cold PBS using a 10 mL syringe plunger and gently pushed through a 70 μm filter into 50 mL falcon tube. The cells were spin down for 5 min at 1200 rpm at 4°C. Supernatant was decanted and discarded. Resuspended cells were transferred to round bottom 96 well plates in 200 μL of PBS, 2 mM EDTA (FACS Buffer) and the cells were spun down for 5 min at 1200 rpm at 4°C. These were then resuspended in antibody cocktail, 40–50 uL/sample and incubated on ice in the dark for 20–30 min. Cells were stained with a cocktail of antibodies CD44 (BV785, Biolegend, Karri-nyup, Western, Australia), CD62L (BV510, Biolegend), CD8α (BV711, Biolegend), CD4<sup>+</sup> (APC-Cy7 BD Biosciences), TCR (BV421, BD Biosciences, Australia) and PI. TCR, Samples were analyzed by flow cytometry (Fortessa; BD Biosciences). The data was analyzed using FlowJo software v8.5.3 (Stanford University, Palo Alto, California), and the mean percentage of viable cells for each cell type +/- SEM was determined for each group as previously reported.<sup>36</sup>

### Anti-PD-1 therapy

Mice were inoculated with CT26 cells and treated with isotype control IgG or anti-PD-1 antibody at 200 μg IP on days 5, 10 and 15 as described.<sup>23</sup>

### Statistical analyses

The difference in tumor growth between the treatment groups was analyzed by one-way ANOVA with *p* < 0.05 considered significant. The difference in mean percentage +/- SEM of immune cell types in the primary tumor or in the draining

lymph nodes and the IHC staining for GRP78 and COX-2 in the tumors plus qRT-PCR data were analyzed by student *t*-test or Mann and Whitney test.

### Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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