National Veterans Health Administration inpatient risk stratification models for hospital-acquired acute kidney injury







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ABSTRACT

Objective Hospital-acquired acute kidney injury (HA-AKI) is a potentially preventable cause of morbidity and mortality. Identifying high-risk patients prior to the onset of kidney injury is a key step towards AKI prevention.

Materials and Methods A national retrospective cohort of 1,620,898 patient hospitalizations from 116 Veterans Affairs hospitals was assembled from electronic health record (EHR) data collected from 2003 to 2012. HA-AKI was defined at stage 1+, stage 2+, and dialysis. EHR-based predictors were identified through logistic regression, least absolute shrinkage and selection operator (lasso) regression, and random forests, and pairwise comparisons between each were made. Calibration and discrimination metrics were calculated using 50 bootstrap iterations. In the final models, we report odds ratios, 95% confidence intervals, and importance rankings for predictor variables to evaluate their significance.

Results The area under the receiver operating characteristic curve (AUC) for the different model outcomes ranged from 0.746 to 0.758 in stage 1+, 0.714 to 0.720 in stage 2+, and 0.823 to 0.825 in dialysis. Logistic regression had the best AUC in stage 1+ and dialysis. Random forests had the best AUC in stage 2+ but the least favorable calibration plots. Multiple risk factors were significant in our models, including some nonsteroidal anti-inflammatory drugs, blood pressure medications, antibiotics, and intravenous fluids given during the first 48 h of admission.

Conclusions This study demonstrated that, although all the models tested had good discrimination, performance characteristics varied between methods, and the random forests models did not calibrate as well as the lasso or logistic regression models. In addition, novel modifiable risk factors were explored and found to be significant.

Keywords: risk models, random forest, logistic regression, acute kidney injury

BACKGROUND AND SIGNIFICANCE

Acute kidney injury (AKI) occurs in 1–5% of hospitalized patients and 5–20% of intensive care unit patients.^{1–3} AKI episodes are typically divided into community-acquired and hospital-acquired categories^{4,5} Both of these categories have similar incidences but differ in etiology and prognosis. Inpatient mortality rates for AKI range from 15%, in general ward patients, to >50%, in intensive care unit patients who require dialysis.^{3,4,6,7} Hospital-acquired AKI (HA-AKI) is associated with significant morbidities, including myocardial infarction, chronic kidney disease, and end-stage renal disease.⁸

Many risk factors for HA-AKI can be modified and prevented or reduced, if identified in a timely fashion. Examples of strategies that could prevent or reduce HA-AKI risk factors include more timely resuscitation, avoidance of nephrotoxic medications, intravenous (IV) contrast, or better assessment of the risks/benefits of potentially high-risk therapies or procedures.^{1,9–21} The time right before hospitalization provides a window of opportunity to conduct surveillance and prompt intervention.

Statistical models can improve the patient's quality of care by predicting adverse outcomes.^{22–25} Initially, risk prediction models for AKI focused on adverse outcomes following AKI.¹⁷ Subsequent models that use AKI as the outcome have been developed for select populations and outcomes, such as rhabdomyolysis, surgery, percutaneous coronary intervention, burns, and lower respiratory track disease.^{26–33} Most risk models rely on logistic regression using known clinical predictions; only one of these models uses a machine learning algorithm (eg, random forests).³⁰ Random forests have the ability to bring interactions and relationships among large numbers of variables into the model using an ensemble method. Previous published works showed random forests to be superior to logistic regression.^{34–36} One single-center study described a logistic regression model run on all inpatient hospitalizations to predict AKI using the Risk, Injury, Failure, Loss, and End-stage Kidney¹ classification criteria.³⁷ There are no known models that have been developed to predict HA-AKI within a large national cohort, and there is a lack of evidence contrasting the performance of multiple risk modeling methods, such as regression, and machine learning algorithms, such as random forests, in this clinical domain.

We sought to compare traditional and novel risk modeling methods (logistic regression, lasso regression, and random forests) within a large national Veterans Affairs (VA) electronic health record (EHR)-derived cohort, in order to develop a predictive model for HA-AKI using modifiable risk factors, which could alert clinicians about patients who are more likely to develop AKI and could provide guidance on which clinical therapies and interventions to pursue or avoid for such patients.

MATERIALS AND METHODS

Study Setting and Design

A national retrospective cohort of 6,390,410 patient hospitalizations was collected, including all adult admissions in 116 VA hospitals from

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January 1, 2003 to December 31, 2012. The VA utilizes an EHR, Computerized Patient Record System (CPRS) (which has been in place since the 1990s^{38,39}), that was able to provide reliable national data for the domains required for this study from 2002 onward.⁴⁰ This study was approved by the Institutional Review Board and the Research and Development committee of the Tennessee Valley Healthcare System VA.

Data Collection

During the study period, all data were collected from the national Corporate Data Warehouse, which aggregates national data from each VA facility's Veterans Health Information Systems and Technology Architecture and CPRS instances.^{38,39,41} Detailed references for data domains and data field availability can be obtained from http://vaww.-vinci.med.va.gov/vincicentral/default.aspx. All VA laboratory data were obtained for each patient and linked to their hospitalization record. Diagnoses were obtained from the International Classification of Diseases version 9 (ICD-9) Procedure and Current Procedural

Terminology codes. Medication information was obtained from pre-admission medication lists and medication administration structured data. Radiologic studies, such as computerized tomography (CT) scans, were recorded from orders placed in CPRS. We collected data was from 365 days prior to the admission date-time stamp (-365 days) up to 9 days after the admission date-time stamp (+9 days). The admission date-time stamp is defined at time equals 0 (Figure 1). Mortality data were collected using the VA Vital Status files, which include data from the National VA benefits program, individual VA facilities, direct family reports, and National Death Index sources.

Cohort Exclusion Criteria

We excluded patient hospitalizations that had a length of stay <48 h, because outcomes were ascertained after this window, and that had a length of stay over 30 days, because these patients were systematically different from the standard length of stay population, and the intent was for these models to be used to help tailor care during the admission window. We also excluded patient admissions that did not

Figure 1: Breakdown of time periods and time windows. The pre-index time periods include the pre-admission window, which starts at 365 days prior to the admission date-time stamp up until 24 h prior to the admission date-time stamp, and the admission window, which starts 24 h prior to the admission date-time stamp up until 48 h after the admission date-time stamp. The post-index time period is defined from being from 48 h after the admission date-time stamp until 9 days after the admission date-time stamp. Predictive and outcome variables were divided among one of five time periods, designated A to E.



	-365 days to -24 hours	
B	Pre-admission: -90 days to -24 hours	Preadmission medications, preadmission temperatures
C	Pre-admission to Admission: -5 days to +48 hours	Most recent Pre-Index laboratory tests
D	Admission: -24 hours to +48 hours	Admission medications, admission body mass index, admission temp, blood pressures, computerized tomography (CT) scans, intravenous fluids
Е	Post-Admission: +48 hours to +9 days	Outcomes including AKI stage 1+, AKI stage 2+, and Dialysis

have a pre-admission baseline creatinine value, that did not have a creatinine value determined in the first 48 h after the admission datetime stamp, and that did not have at least one creatinine value determined after the first 48 h of hospitalization. We also excluded patients who had undergone dialysis, had had a renal transplant prior to admission, or who experienced community-acquired AKI during the admission window. We excluded hospice patients, defined as patients who were receiving hospice services from -30 days to within +48 h of admission. Finally, we excluded VA centers with low admission volumes, ie, less than 100 hospital admissions per year. A summary of patient hospitalization exclusions are shown in Figure 2. The final analysis cohort consisted of 1,620,898 patient admissions among 611,230 patients.

Study Definition of Hospital-Acquired Acute Kidney Injury

All outcomes were determined using creatinine laboratory value data and dialysis procedure codes collected during the post-index time period, defined as a 7-day period in the post-admission time window (+48 h to +9 days) using the different stages of the Kidney Diseases Improving Global Outcomes (KDIGO) classification criteria described in Supplementary Appendix 1. AKI stage 1+ was defined as being in stages 1, 2, or 3 of the KDIGO classification, AKI stage 2+ was defined as being in stage 2 or 3 of the KDIGO classification, and dialysis was defined as acute dialysis, without a prior occurrence of dialysis, during the pre-admission window (-265 d to -24 h) or admission window (-24 h to +48 h of admission).

We defined our baseline creatinine value as the mean outpatient creatinine value from -365 days up to -7 days.⁴² Community-acquired AKI was calculated using the baseline creatinine value and the maximum creatinine value from between -24 h up until +48 h. HA-AKI was calculated for all patients without community-acquired AKI, using the baseline creatinine value and the maximum creatinine value from +48 h up until +9 days. Mortality was determined by all-cause mortality in the 7 days following the admission window (+48 h to +9 days).

Candidate Risk Factors

All risk factor, inclusion, and exclusion criteria were collected in the pre-index time period, which is any time prior to +48 h of admission (Figure 1). The pre-index time period includes an admission window from -24 h up until +48 h. The window of time prior to the admission date-time stamp (the admission window) was used to include emergency department and outpatient care resulting in direct hospital admission for the inpatient care stay as part of the admission window. Variables recorded in the admission window indicate the most recent state of the patient prior to our outcomes. AKI risk factors were based on KDIGO guidelines and previous literature. If one of the variables was not recorded prior to admission, we imputed the variable with simple imputation of the median value for that variable.

Medications, vital signs (including blood pressure), temperature, and body mass index (BMI) were recorded in both the pre-admission and admission windows. All other predictor variables were recorded once in either the pre-admission or admission window. Pre-admission chronic diagnoses were included in the risk prediction models and were defined using administrative condition and procedure codes (see Supplementary Appendix 2) documented from -365 days to -24 h. To account for severity of illness, we included variables used in the Charlson comorbidity index, including disease diagnoses from diagnosis codes as well as stratification by age.⁴³ Mean BMI was calculated from height and weight measurements in the time period from -365 days up until -24 h, for the pre-admission BMI, and during the



admission window, for the admission BMI. We looked at an entire year prior to our outcome variables for diagnoses and mean BMI in order to make sure we captured as many patients as possible, assuming patients will see their physician at least once a year. In most cases, BMI does not change rapidly, so we allowed for the inclusion of weight data over a year's time. The most recent pre-index laboratory test values were extracted within a time window of -120 h (-5 days) until +48 h after admission. We used the most recent pre-index laboratory test to capture the most recent snapshot of the patient prior to our outcomes. We included the patient's glomerular filtration rate (GFR), a measure of a patient's kidney function, during both the pre-admission window (pre-admission GFR: -5 days until -24 h) and the admission window (admission GFR: -24 h until +48 h) in the models. We also calculated the change in GFR and the change in hemoglobin over the admission time window. Pre-admission medication exposures were defined as the patient having taken the medication at any time from -90 days to -24 h prior to their hospital admission. All data were obtained from outpatient pharmacy fill records, using fill dates and pill counts, and allowing fill gaps of 90 days (because, in the VA, chronic prescriptions will be written for a 90-day supply), which approximates 80% adherence.⁴⁴ Admission medications were recorded from the bar-coded medication administration records during the admission window. CT scan information was obtained during the admission window. For contrasted studies, we were able to ascertain whether contrast was ordered, but we were unable to confirm delivery of contrast with certainty in all cases. Mean temperatures were calculated from temperature recordings from -90 days up until -24 h, for the preadmission temperature, and during the admission window, for the admission temperature. Minimum and maximum blood pressures were determined during the admission window. We calculated a blood pressure variable defined as hypotension if the minimum systolic blood pressure was <90 and hypertension if the maximum systolic blood pressure was >180.

Risk Prediction Models

Three modeling methods were used to compare HA-AKI predictive performance: logistic regression, least absolute shrinkage and selection operator (lasso) regression, and random forests.^{45–47} We included the same candidate risk factors as predictor variables in all three methods (see Table 1 for a full list of risk factors). For logistic regression, we used the glm package in R⁴⁸ to calculate odds ratios (ORs) and 95% confidence intervals (95% Cls) for the predictor variables. Lasso regression can be interpreted as a penalized logistic regression model that enables a sharp penalty on the regression coefficients and

allows for variable selection.⁴⁶ For this reason, we report ORs for each predictor variable. To train and test the lasso regression, we used the glmnet package in R.⁴⁹ Random forests are ensemble learning methods that create a "forest" of decision trees at training time and output the mode of the classification outputs by the individual decision trees.⁴⁷ To train the random forests, we used the SAS package HPFOREST⁵⁰ with 150 trees. We recorded the importance of the variables for a random forest, measured by the decrease in impurity at the nodes that used those variables. We did not adjust for clustering by hospital as a random effect, because we wanted our modeling methods to be comparable, and clustering could not be done for random forests.

To assess whether the models could accurately predict AKI with a smaller number of variables, we used lasso regression with a restrictive lambda to create a parsimonious model with only six predictive variables, which were determined by a heavily penalized lasso regression.

Statistical Analysis

These models were internally validated using bootstrapping, with the process of training and testing models repeated 50 times. For each iteration, the training set was created by sampling with replacement from the entire dataset.^{51,52} The size of the training set was the same size of the entire dataset, but some hospitalizations were represented multiple times and some were not represented at all. The test set consisted of the remaining hospitalizations that were not chosen in the bootstrapping with replacement training set. In each bootstrap iteration, model discrimination was evaluated using the area under the receiver operating characteristic curve (AUC),⁵³ integrated discrimination improvement (IDI),⁵⁴ and continuous net reclassification index (NRI).⁵⁴ The Brier score⁵⁵ was calculated for calibration assessment. For the purpose of reporting the effects of the risk factors included in the model, we computed a final model for each method. The final models were created using the entire dataset for point estimates of ORs, variable importance, and 95% Cls. Cls and P-values cannot be obtained directly from lasso regression models, although some work has been done to approximate these CIs, most often by means of the bootstrap. Because our final models were built with the complete training set, we presented only the point estimates for the lasso-penalized coefficients and not the bootstrap CIs.⁵⁶ We created observed to expected (O/E) ratio plots to assess calibration for the final models with each outcome with the val.prob.ci R code.⁵⁷ Logistic regression is the only model that allows for P-values and Cls; therefore, we used a Bonferroni corrected significance threshold for 124 predictor variables for each of our three outcomes, which yielded a *P*-value of 1.34×10^{-4} (P=0.05/372). While this is a conservative adjustment strategy, risk factors that remain significant at this level are undisputedly associated with the outcome. To consider severity of illness and AKI's relationship with mortality, we performed a sensitivity analysis calculating mortality rates among ranges of Charlson comorbidity index scores and AKI stages (see Supplementary Appendix 4).

RESULTS

A summary of patient demographic factors, outpatient and inpatient medication rates, laboratory test ordering rates, radiology tests, intravenous fluids (IVF) administration, and outcomes is presented in Table 1. Approximately 9% (9.02%) of patients experienced HA-AKI. Of the hospitalization instances in the analysis, approximately 7.93% were classified as stage 1+, 0.97% were classified as stage 2+, and 0.12% were classified as dialysis. Males represented 96.11% of the

population, with a median age of 65. White patients accounted for the majority of hospital admissions (76.17%).

Logistic regression and lasso regression final models for stage 1+, 2+, and acute dialysis are presented in Table 2. Because lasso regression is a penalized regression that utilizes variable selection, certain predictor variables were removed from the model and therefore were not represented in the final model. Lasso regression removed 12 predictor variables from the stage 1+ outcome, 17 predictor variables from the stage 2+ outcome, and 20 predictor variables from the dialysis outcome. Logistic regression predictor variables that were significant with Bonferroni corrected P-values for all three outcomes included the following admission medications: benzodiazepines and vancomycin, the following labs: elevated sodium, high blood urea nitrogen (BUN), and total bilirubin, as well as low chloride, calcium, bicarbonate, and mean admission GFR. These regression variables also had ORs > 1.00 in the lasso regression, but Cls could not be calculated with this method. Half-normal saline (1/2 NS) and lactated ringers (LR) were associated with lower AKI rates for stage 1+ (OR: 0.92-0.98), but not for stage 2+ (OR: 0.93-1.05) or dialysis (OR: 0.81-1.08).

The random forest's variable importance for stage 1+, stage 2+, and dialysis was presented from highest to lowest to indicate the most important variables in the forest (Table 3). The following variables had importance values from 1 to 10 for all three outcomes: mean preadmission GFR, delta admission GFR, and the BUN.

Discrimination performance of the AKI stage 1+, AKI stage 2+, and dialysis models was evaluated by the AUC (Table 4). The highest AUCs were logistic regression for stage 1+, with a median AUC of 0.758 (95% CI: 0.758-0.758); random forest for stage 2+, with a median AUC of 0.720 (95% CI: 0.719-0.721); and logistic regression for dialysis, with a median AUC of 0.825 (95% CI: 0.823-0.827). Lasso regression and the random forests performed very similarly. For the other discrimination measures (NRI and IDI), the lasso and logistic regression methods outperformed the random forest method in most stages (Table 4). Random forests were not as well calibrated for any of the outcomes compared with logistic and lasso regression, as demonstrated in the O/E ratio plots (Figure 3). When we performed a sensitivity analysis of a heavily penalized lasso parsimonious model (see Supplementary Appendix 3), the AUC decreased by 0.055 for stage 1+, 0.082 for stage 2+, and 0.025 for dialysis.

DISCUSSION

In this study of the largest cohort of HA-AKI models ever developed, random forests were unexpectedly inferior to lasso and logistic regression for most outcomes and very similar for stage 2+ for AUC and O/E ratio plot measurements. Comparing lasso and logistic regression, lasso was able to make a more parsimonious model, with marginal decreases in AUC and retention of O/E ratio performance for all outcomes.

Both logistic regression and lasso had slightly superior or very similar AUC performances compared with random forests. This is contrary to previous published works that showed random forests to be superior to logistic regression.^{34–36} However, studies have shown that random forests have diminished performance in detecting both marginal and interacting effects in high-dimensional data.⁵⁸ Weighting methods have been used to improve imbalance, which is likely to occur when the outcome being measured is rare, as is the case in our dataset, for all stages of AKI.^{59–61} However, weighted random forests still have only a modest improvement in predictive ability when effect sizes are small, which is true in our dataset, with most ORs ~1.00.⁶² Logistic

Table 1: Table of all variables used in the models

Discrete variables				
Risk factor	n (%)	Risk factor	tor N (%)	
Demographics		Medications	Pre-admission	Admission
Gender (Male)	1,557,832 (96.11)	NSAIDs	321,074 (19.81)	144,007 (8.88)
Race	•	ACEi	642,820 (39.66)	539,033 (33.26)
Am. In. – Alaskan	17,189 (1.06)	Acyclovir	NA	18,635 (1.15)
Asian-Pac. Island	21,176 (1.31)	Aminoglycosides	21,147 (1.30)	28,558 (1.76)
Black	300,500 (18.54)	Anhydrase Diuretic	3,513 (0.22)	3,256 (0.20)
Unknown	47,467 (2.93)	Antiemetics	79,017 (4.87)	134,324 (8.29)
White	1,234,566 (76.17)	AntiFungals	49,602 (3.06)	39,619 (2.44)
		AntiTB	7,285 (0.45)	6,902 (0.43)
Diagnoses		ARB	108,014 (6.66)	83,808 (5.17)
Alcoholism	331,939 (20.48)	Benzodiazepines	231,698 (14.29)	337,596 (20.83)
ALD	66,426 (4.10)	Beta Blockers	753,325 (46.48)	795,089 (49.05)
Anemia	445,133 (27.46)	CCB	402,007 (24.80)	332,528 (20.52)
Cancer	418,399 (25.81)	Cephalosporins	92,969 (5.74)	307,947 (19.00)
CDVD	501,793 (30.96)	Cimetidine	NA	2,579 (0.16)
CHF	331,600 (20.46)	Cyclosporine	NA NA	3,151 (0.19)
COPD	555,156 (34.25)	Fluoroquinolones	166,135 (10.25)	119,340 (7.36)
CVA	283,175 (17.47)	Glucocorticoids	209,578 (12.93)	229,212 (14.14)
DM	651,663 (40.20)	Insulin	229,855 (14.18)	467,939 (28.87)
Dyslipidemia	935,340 (57.71)	K-Sparing Diuretics	131,195 (8.09)	98,925 (6.10)
Hepatitis	164,641 (10.16)	Lincomycin	33,769 (2.08)	35,151 (2.17)
HIV	21,542 (1.33)	Lithium	NA	13,433 (0.83)
HTN	1,221,391 (75.35)	Loop Diuretics	408,328 (25.19)	424,737 (26.20)
MVR	48,259 (2.98)	Macrolides	108,658 (6.70)	107,093 (6.61)
PVD	316,570 (19.53)	MAOI	254 (0.02)	140 (0.01)
Dementia	95,795 (5.91)	Nacetylcysteine	NA	51,113 (3.15)
RA	50,017 (3.09)	Nitrofurantoin	15,603 (0.96)	3,883 (0.24)
PUD	95,404 (5.89)	Opioids	869,296 (53.63)	1,010,508 (62.34)
Hemiplegia	68,459 (4.22)	Penicillins	155,254 (9.58)	249,273 (15.38)
		Statins	770,802 (47.55)	691,968 (42.69)
Other		Sulfa Antibiotics	85,280 (5.26)	28,324 (1.75)
$CT \: Scan + Contrast$	117,750 (7.26)	TCA	87,981 (5.43)	57,823 (3.57)
CT Scan –Contrast	245,623 (15.15)	Tetracyclines	61,671 (3.80)	27,588 (1.70)
Hypertension	165,469 (10.21)	Thiazides	259,583 (16.01)	152,820 (9.43)
Hypotension	133,712 (8.25)	Trimethoprim	NA	21,848 (1.35)
Outcomes		Vancomycin	NA	195,346 (12.05)
AKI: Stage 1+	128,457 (7.93)			
AKI: Stage 2+	15,684 (0.97)			
Dialysis	1,940 (0.12)			

(continued)

Continuous variables									
Risk factor	Median (IQR)	Missing (%)	Risk factor	Median (IQR)	Missing (%)				
Demographics			Labs						
Admission Age	65 (58–77)	0.00	Direct Bilirubin	0.2 (0.1–0.3)	75.65				
Other	•		GGT	47 (25–128)	94.44				
Pre-Admit Mean BMI	27.6 (23.9–32.1)	4.02	Glucose	116 (97–151)	0.81				
Admit Mean BMI	27.1 (23.2–31.7)	27.15	Hematocrit	35.7 (31.3–39.9)	0.53				
Readmit Max. Temp.	98.6 (98–99.3)	14.00	Hemoglobin	12 (10.4–13.4)	1.18				
Admit Max. Temp.	98.8 (98.3–99.7)	2.44	Delta Hemoglobin	0 (0–11.6)	1.20				
NS IVF	0 (0–0.73)	0.00	Lipase	34 (21–88)	83.05				
1/2 NS IVF	0 (0–0.24)	0.00	MCH	30.5 (29–32)	1.17				
LR IVF	0 (0–0.06)	0.00	MCHC	33.7 (32.9–34.3)	0.71				
Water IVF	0 (0–0.19)	0.00	MCV	90.6 (86.7–94.5)	0.70				
			Mean Pre-Admit GFR	69.8 (54.7–71.4)	0.00				
Labs	•	•	Pre-Admit GFR Count	4 (2–7)	0.00				
Albumin	3.4 (2.9–3.9)	25.32	SD Pre-Admit GFR	8.3 (5–12.9)	29.92				
Alkaline Phosphatase	82 (64–109)	25.56	Mean Admit GFR	78.2 (61.1–97.8)	1.20				
ALT	23 (16–37)	26.09	SD Admit GFR	6.8 (3.3–11.6)	15.46				
Ammonia	37.8 (24–61)	96.72	Admit GFR Count	3 (2–3)	1.20				
AST	25 (19–38)	26.97	Delta Admit GFR	0 (0–11.6)	1.20				
Bicarbonate	26 (24–29)	0.27	Platelets	205 (155–267)	0.92				
BNP	284 (87–896)	79.35	Sodium	138 (135–140)	0.13				
BUN	15 (11–21)	5.72	Total Bilirubin	0.7 (0.4–1)	25.66				
Calcium	8.7 (8.3–9.1)	6.50	Troponin-I	0 (0–0.1)	63.12				
Chloride	103 (100–106)	0.30	Troponin-T	0 (00)	94.74				
СК	85 (48–168)	66.91	WBC	8.1 (6.1–10.7)	0.70				
CK-MB	2.6 (1.5-4.6)	77.79							

Discrete variables including demographics, chronic diagnoses, medication rates, radiology tests, and outcomes of the analysis cohort. The columns represent the number of hospitalizations where each variable was present and the percentage of hospitalizations with each variable present. Continuous variables include demographics, laboratory tests, vital signs, body mass index (BMI), temperatures, and intravenous fluids (IVF). The columns represent the median, inter-quartile range (IQR), and the percentage of missing values.

NA, not available; CCB, calcium channel blocker; ARB, angiotensin II receptor blocker; ACEi, angiotensin converting enzyme inhibitor; TB, tuberculosis; MAOI, monoamine oxidase inhibitor; TCA, tricyclic antidepressants; CHF, congestive heart failure; DM, diabetes mellitus; HTN, hypertension; PVD, peripheral vascular disease; ALD, advanced liver disease; CVA, cerebrovascular accident; CDVD, cardiovascular disease; COPD, chronic obstructive pulmonary disease; MVR, mitral valve regurgitation; RA, rheumatoid arthritis; PUD, peptic ulcer disease; BUN, blood urea nitrogen; CK, creatinine kinase; CK-MB, creatinine kinase-MB isoenzyme; BNP, B-type natriuretic peptide; WBC, white blood cell count; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyl transpeptidase; NS, normal saline; LR, lactate ringers; GFR, glomerular filtration rate; SD, standard deviation; NSAIDs, non-steroidal anti-inflammatory drugs; CT, computerized tomography; HIV, human immunodeficiency virus; AKI, acute kidney injury.

regression and lasso outperformed random forests nearly consistently when compared with using NRI and IDI. Discrimination measure IDI and improvement in AUC are both weighted measures of improvement in sensitivity, with AUC giving more weight to larger sensitivities and IDI giving the same weight to all values of sensitivity.⁵³ Because of the differences between these two discrimination measures, they may rank models differently when the difference in those models' performances is not very large.⁵³ We see in the O/E ratio graphs that logistic

Table 1: Continued

regression and lasso regression are better calibrated for each of the AKI outcomes than random forests, with random forests over-predicting risk as the predicted probability increases. Lasso regression performed nearly as well as logistic regression, with similar AUCs, O/E plots, and ORs for the predictor variables. This demonstrates the effectiveness of lasso regression in simplifying the model by removing less important predictor variables while performing nearly as well as logistic regression.

Risk factor	Logistic regression	l .		Lasso regre	ssion	sion			
	Stage 1+	Stage 2+	Dialysis Stage 1+		Stage 2+	Dialysis			
	OR (95% CI)	OR (95% CI)	OR (95% CI)	OR	OR	OR			
(Intercept)	23.03 (7.88–67.35)	0.32 (0.02–4.19)	11.12 (0.01–9540)	74.58	0.31	689			
Demographics	•	•	•	•		•			
Admit Age	1.00 (1.00–1.00)	1.01 (1.00–1.01)	0.97 (0.96–0.97)	1.00	1.01	0.97			
Gender (Male)	1.27 (1.23–1.32)	0.94 (0.86–1.03)	2.00 (1.42–2.81)	1.25	0.96	1.87			
Race (White)	0.97 (0.93–1.00)	0.89 (0.81–0.97)	0.82 (0.63–1.08)	0.96	0.90	0.83			
Race (Black)	1.78 (1.71–1.85)	1.35 (1.23–1.49)	1.10 (0.83–1.45)	1.74	1.34	1.08			
Race (Asian-Pac. Islander)	1.02 (0.96–1.09)	0.99 (0.84–1.16)	1.06 (0.69–1.62)	1.01	-	1.03			
Race (Am. In Alaskan)	1.00 (0.93–1.07)	0.97 (0.81–1.15)	0.88 (0.52–1.47)	-	-	0.93			
Medications			·	•					
Pre-admission									
NSAIDs	0.98 (0.96–1.00)	0.99 (0.94–1.03)	0.95 (0.81–1.11)	0.99	1.00	0.96			
Aminoglycosides	1.00 (0.94–1.06)	1.15 (1.00–1.32)	1.03 (0.66–1.59)	-	1.13	-			
Cephalosporins	0.98 (0.95–1.00)	0.97 (0.9–1.03)	0.95 (0.78–1.14)	0.98	0.98	0.96			
CCB	1.06 (1.04–1.08)	1.04 (0.99–1.1)	1.13 (0.99–1.28)	1.06	1.04	1.13			
Penicillins	0.98 (0.96–1.00)	0.94 (0.89–0.99)	0.90 (0.77–1.05)	0.99	0.94	0.91			
β -Blockers	0.97 (0.95–0.98)	1.01 (0.96–1.05)	0.99 (0.87–1.13)	0.97	1.00	-			
ARB	1.08 (1.04–1.11)	1.20 (1.09–1.33)	1.01 (0.83–1.24)	1.07	1.19	-			
ACEi	1.06 (1.04–1.07)	1.15 (1.1–1.2)	0.92 (0.82–1.03)	1.05	1.14	0.93			
AntiTB	0.91 (0.82-1.01)	0.78 (0.59–1.03)	0.80 (0.37–1.74)	0.95	0.82	0.92			
AntiFungals	0.99 (0.95–1.03)	1.04 (0.95–1.14)	0.88 (0.65–1.19)	-	1.02	0.93			
Glucocorticoids	1.01 (0.99–1.03)	0.99 (0.94–1.05)	0.85 (0.73–1.00)	-	-	0.87			
Lincomycin	1.01 (0.96–1.05)	0.95 (0.86–1.06)	1.23 (0.95–1.6)	-	0.97	1.19			
Macrolides	1.01 (0.99–1.04)	1.02 (0.95–1.09)	1.01 (0.83–1.23)	1.00	-	-			
MAOI	0.34 (0.13–0.87)	1.28 (0.22–7.4)	0.00 (0-8.10E + 105)	0.69	-	-			
Nitrofurantoin	0.95 (0.89–1.02)	0.82 (0.69–0.98)	0.47 (0.23–0.95)	0.96	0.86	0.52			
Sulfa Antibiotics	0.87 (0.84–0.89)	0.91 (0.84–0.98)	0.82 (0.66–1.02)	0.87	0.92	0.84			
Tetracyclines	0.98 (0.95–1.01)	1.02 (0.93–1.1)	0.90 (0.7–1.16)	0.99	-	0.92			
Thiazides	0.91 (0.89–0.93)	0.93 (0.88–0.98)	0.86 (0.75–0.98)	0.92	0.95	0.88			
Loop Diuretics	0.96 (0.94–0.98)	1.02 (0.97–1.07)	1.07 (0.94–1.22)	0.97	1.01	1.05			
Anhydrase Diuretic	0.85 (0.74–0.97)	0.85 (0.57–1.26)	0.42 (0.1–1.8)	0.90	0.96	0.50			
K-Sparing Diuretics	0.96 (0.93–0.98)	1.12 (1.04–1.2)	0.88 (0.73–1.05)	0.97	1.11	0.90			
Benzodiazepines	0.91 (0.89–0.93)	0.92 (0.87–0.96)	0.81 (0.69–0.94)	0.92	0.93	0.83			
TCA	0.97 (0.94–1.01)	1.05(0.95–1.15)	0.93 (0.69–1.24)	1.00	1.04	0.94			
Statins	1.00 (0.99–1.02)	1.06 (1.00–1.11)	1.11 (0.97–1.27)	-	1.03	1.05			
Insulin	1.11 (1.08–1.13)	1.00 (0.95–1.06)	1.05 (0.92–1.2)	1.10	1.00	1.05			
Fluoroquinolones	0.99 (0.97–1.01)	1.00 (0.95–1.06)	1.03 (0.89–1.19)	0.99	-	1.01			
Antiemetics	1.00 (0.97–1.04)	1.04 (0.97–1.12)	0.94 (0.74–1.19)	-	1.03	0.99			
Opioids	0.93 (0.92–0.94)	0.98 (0.94–1.01)	0.96 (0.86-1.06)	0.93	0.98	0.96			

(continued)

Dials factor					olon	
RISK factor	Logistic regression	on		Lasso regres	sion	
	Stage 1+	Stage 2+	Dialysis	Stage 1+	Stage 2+	Dialysis
	OR (95% CI)	OR (95% CI)	OR (95% CI)	OR	OR	OR
Admission						
NSAIDs	1.08 (1.06–1.11)	1.10 (1.04–1.17)	1.00 (0.8–1.26)	1.07	1.08	-
Aminoglycosides	1.42 (1.36–1.48)	1.30 (1.17–1.43)	1.51 (1.11–2.06)	1.40	1.28	1.48
Cephalosporins	0.90 (0.89–0.92)	0.97 (0.93–1.01)	0.95 (0.83–1.08)	0.90	0.98	0.97
ССВ	1.09 (1.07–1.11)	1.12 (1.06–1.18)	1.18 (1.04–1.35)	1.09	1.11	1.17
Penicillins	1.10 (1.08–1.12)	1.23 (1.18–1.29)	1.09 (0.95–1.24)	1.09	1.24	1.10
β –Blockers	1.13 (1.11–1.15)	1.05 (1.00–1.1)	1.09 (0.96–1.23)	1.12	1.04	1.06
ARB	1.14 (1.09–1.18)	1.05 (0.94–1.17)	0.83 (0.65–1.06)	1.13	1.04	0.86
ACEi	1.24 (1.22–1.26)	1.30 (1.24–1.36)	0.73 (0.64–0.83)	1.24	1.29	0.73
AntiTB	1.11 (1.00–1.23)	1.00 (0.78–1.3)	1.09 (0.52–2.27)	1.06	-	-
AntiFungals	1.20 (1.15–1.25)	1.07 (0.97–1.18)	1.27 (0.96–1.68)	1.18	1.07	1.22
Glucocorticoids	0.76 (0.74–0.77)	0.68 (0.64–0.72)	0.87 (0.74–1.02)	0.77	0.69	0.89
Lincomycin	1.03 (0.99–1.08)	0.97 (0.88–1.08)	0.87 (0.62–1.22)	1.02	1.00	0.92
Macrolides	0.90 (0.87–0.92)	0.96 (0.9–1.03)	1.07 (0.88–1.31)	0.90	0.98	1.03
MAOI	2.58 (1.00-6.65)	0.75 (0.06–8.93)	0.00 (0-1.93E + 132)	1.24	-	-
Nitrofurantoin	0.88 (0.77–1.01)	1.08 (0.77–1.5)	0.39 (0.05–2.84)	0.91	-	0.59
Sulfa Antibiotics	2.24 (2.08–2.4)	1.58 (1.31–1.89)	0.57 (0.26–1.25)	2.15	1.42	0.84
Tetracyclines	0.79 (0.75–0.83)	0.76 (0.66–0.88)	0.98 (0.67–1.42)	0.80	0.79	-
Thiazides	1.56 (1.53–1.6)	1.39 (1.31–1.48)	1.12 (0.94–1.32)	1.55	1.36	1.07
Loop Diuretics	1.65 (1.62–1.68)	1.31 (1.25–1.37)	0.98 (0.86–1.11)	1.65	1.31	-
Anhydrase Diuretic	1.46 (1.29–1.65)	1.17 (0.81–1.67)	0.85 (0.25–2.81)	1.39	1.04	0.96
K-Sparing Diuretics	1.25 (1.22–1.29)	1.00 (0.92–1.08)	0.87 (0.7–1.08)	1.24	1.00	0.89
Benzodiazepines	1.17 (1.15–1.19)	1.23 (1.18–1.28)	1.31 (1.15–1.49)	1.16	1.21	1.27
TCA	1.12 (1.07–1.17)	1.04 (0.93–1.17)	0.89 (0.62–1.28)	1.08	1.03	0.91
Statins	1.01 (0.99–1.02)	0.91 (0.87–0.96)	0.92 (0.81–1.05)	1.01	0.93	0.95
Insulin	1.05 (1.03–1.07)	1.01 (0.96–1.06)	1.05 (0.91–1.21)	1.05	1.00	1.04
Fluoroquinolones	1.09 (1.06–1.11)	1.07 (1.02–1.14)	0.79 (0.66–0.94)	1.08	1.07	0.82
Antiemetics	1.15 (1.12–1.18)	1.23 (1.16–1.31)	1.11 (0.93–1.33)	1.14	1.22	1.07
Opioids	1.16 (1.15–1.18)	1.29 (1.24–1.34)	0.99 (0.89–1.1)	1.15	1.27	1.00
Cyclosporine	1.26 (1.13–1.41)	0.84 (0.59–1.21)	0.93 (0.5–1.71)	1.23	0.91	0.98
Trimethoprim	0.96 (0.89–1.04)	0.89 (0.72–1.09)	1.52 (0.66–3.49)	-	-	-
Cimetidine	1.37 (1.2–1.56)	1.10 (0.74–1.64)	0.73 (0.18–2.96)	1.33	1.01	0.90
Nacetylcysteine	1.21 (1.18–1.25)	1.17 (1.07–1.27)	1.06 (0.87–1.3)	1.21	1.15	1.04
Acyclovir	1.04 (0.98–1.1)	1.59 (1.41–1.79)	1.07 (0.72–1.6)	1.03	1.55	-
Vancomycin	1.37 (1.34–1.39)	1.84 (1.76–1.92)	1.46 (1.28–1.68)	1.36	1.83	1.46
Lithium	1.11 (1.03–1.19)	1.18 (0.96–1.46)	0.84 (0.35–2.03)	1.07	1.11	1.00

(continued)

Table 2: Continued									
Risk factor	Logistic regression			Lasso regression					
	Stage 1+	Stage 2+	Dialysis	Stage 1+	Stage 2+	Dialysis			
	OR (95% CI)	OR (95% CI)	OR (95% CI)	OR	OR	OR			
Diagnoses	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,						
CHF	1.00 (0.98–1.01)	0.92 (0.88–0.97)	0.90 (0.8–1.02)	-	0.94	0.92			
DM	1.04 (1.02–1.05)	1.05 (1.01–1.1)	1.13 (0.99–1.29)	1.04	1.05	1.12			
HTN	1.06 (1.04–1.07)	1.06 (1.01–1.11)	1.04 (0.88–1.22)	1.05	1.05	1.00			
PVD	1.05 (1.03–1.06)	1.01 (0.97–1.05)	1.19 (1.07–1.32)	1.05	-	1.17			
ALD	1.07 (1.03–1.1)	1.16 (1.06–1.25)	1.09 (0.86–1.39)	1.05	1.15	1.10			
Cancer	1.06 (1.04–1.07)	1.21 (1.17–1.26)	1.00 (0.9–1.12)	1.05	1.21	-			
CVA	1.03 (1.01–1.05)	1.05 (1.00–1.1)	1.01 (0.89–1.15)	1.02	1.03	-			
Alcoholism	0.95 (0.94–0.97)	0.94 (0.9–0.99)	0.91 (0.78–1.05)	0.96	0.95	0.94			
HIV	1.26 (1.19–1.33)	1.27 (1.11–1.44)	0.87 (0.57–1.33)	1.23	1.23	0.94			
Hepatitis	0.98 (0.96–1.01)	0.96 (0.9–1.01)	1.22 (1.04–1.43)	0.99	0.98	1.22			
Anemia	0.94 (0.93–0.96)	0.93 (0.89–0.96)	1.29 (1.16–1.43)	0.95	0.93	1.28			
CDVD	0.99 (0.97–1.00)	0.96 (0.92–1.00)	0.95 (0.85–1.07)	0.99	0.97	0.97			
COPD	0.97 (0.96–0.99)	0.98 (0.95–1.02)	0.96 (0.87–1.07)	0.87–1.07) 0.98		0.97			
Dyslipidemia	0.93 (0.91–0.94)	0.92 (0.89–0.96)	0.91 (0.81–1.02)	0.93	0.93	0.93			
MVR	0.95 (0.92–0.98)		1.13 (0.93–1.38)	0.95	0.97	1.10			
Dementia	0.96 (0.93–0.98)	1.01 (0.94–1.09)	0.65 (0.51–0.82)	0.97	1.00	0.67			
RA	1.07 (1.04–1.11)	1.14 (1.04–1.24)	1.18 (0.92–1.5)	1.06	1.11	1.13			
PUD	0.98 (0.95–1.00)	0.94 (0.88–1.00)	0.80 (0.65–0.97)	0.98	0.95	0.82			
Hemiplegia	0.98 (0.95–1.02)	0.90 (0.83–0.97)	1.05 (0.83–1.33)	1.00	0.92	1.02			
Labs									
Sodium	1.02 (1.02–1.02)	1.03 (1.03–1.04)	1.03 (1.02–1.05)	1.02	1.03	1.02			
Chloride	0.97 (0.96–0.97)	0.94 (0.93–0.94)	0.92 (0.91–0.93)	0.97	0.94	0.93			
Bicarbonate	0.96 (0.96–0.96)	0.94 (0.93–0.94)	0.94 (0.93–0.96)	0.96	0.94	0.95			
Calcium	0.96 (0.95–0.96)	0.95 (0.92–0.97)	0.83 (0.78–0.87)	0.96	0.94	0.82			
BUN	1.01 (1.01–1.01)	1.02 (1.02–1.02)	1.02 (1.02–1.02)	1.01	1.02	1.02			
Glucose	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	1.00	1.00			
Troponin-I	1.00 (1.00–1.00)	1.00 (1.00–1.01)	1.00 (1.00–1.01)	1.00	1.00	1.00			
Troponin-T	1.05 (1.03–1.08)	1.04 (0.99–1.09)	0.73 (0.43–1.23)	1.05	1.03	0.83			
CK-MB	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00	1.00	1.00			
СК	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	_	-			
BNP	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	_	-			
Hemoglobin	0.97 (0.97–0.98)	0.97 (0.95–0.99)	1.01 (0.97–1.05)	0.97	0.98	-			
Delta Hemoglobin	1.03 (1.03–1.04)	1.04 (1.03–1.05)	1.02 (0.99–1.06)	1.03	1.03	1.03			
Hematocrit	1.00 (0.99–1.00)	1.00 (1.00–1.01)	0.96 (0.95–0.98)	1.00	_	0.97			
WBC	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	_	-			
Platelets	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	1.00			
MCV	1.02 (1.01–1.03)	1.01 (0.99–1.03)	1.07 (1.03–1.12)	1.00	1.00	1.01			
MCHC	1.01 (0.99–1.04)	0.96 (0.91–1.01)	1.03 (0.91–1.17)	0.97	0.96	0.89			
МСН	0.95 (0.93–0.97)	1.00 (0.94–1.05)	0.85 (0.74–0.96)	_	_	-			

Table 2: Continued										
Risk factor	Logistic regression			Lasso regressi	on	1				
	Stage 1+	Stage 2+	Dialysis	Stage 1+	Stage 2+	Dialysis				
	OR (95% CI)	OR (95% CI)	OR (95% CI)	OR	OR	OR				
Albumin	1.00 (1.00–1.00)	0.77 (0.75–0.79)	0.76 (0.7–0.82)	-	0.82	0.84				
AST	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00	1.00	-				
ALT	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00	1.00	-				
Direct Bilirubin	0.99 (0.98–1.00)	0.99 (0.97–1.00)	0.97 (0.92–1.03)	1.00	0.99	0.99				
Total Bilirubin	1.08 (1.07–1.09)	1.11 (1.09–1.12)	1.08 (1.04–1.12)	1.08	1.11	1.08				
Alkaline Phosphatase	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	-				
GGT	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	-				
Ammonia	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00	1.00	1.00				
Lipase	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	-				
Mean Pre-Admit GFR	1.04 (1.04–1.04)	1.02 (1.02–1.02)	0.98 (0.97–0.98)	1.04	1.02	0.97				
Pre-Admit GFR Count	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (0.99–1.00)	1.00	1.00	1.00				
SD Pre-Admit GFR	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.01 (1.00–1.01)	1.00	-	1.01				
Mean Admit GFR	0.96 (0.95–0.96)	0.99 (0.99–0.99)	0.97 (0.97–0.98)	0.96	0.99	0.97				
SD Admit GFR	1.01 (1.01–1.01)	1.01 (1.01–1.01)	1.03 (1.02–1.03)	1.01	1.01	1.02				
Admit GFR Count	1.06 (1.05-1.06)	0.99 (0.98–1.01)	1.09 (1.04–1.13)	1.06	0.99	1.08				
Delta Admit GFR	0.98 (0.98–0.98)	0.99 (0.99–0.99)	0.99 (0.99–1.00)	0.98	0.99	0.99				
Other		·	·		•					
CT Scan–Contrast	0.97 (0.94–0.99)	1.05 (0.99–1.12)	1.00 (0.85–1.17)	0.97	1.05	-				
CT Scan + Contrast	0.97 (0.94–1.00)	1.04 (0.96–1.12)	1.68 (1.31–2.14)	0.97	1.03	1.62				
NS IVF	0.92 (0.92–0.93)	0.99 (0.97–1.00)	0.99 (0.95–1.03)	0.93	0.99	1.00				
1/2 NS IVF	0.98 (0.97–0.98)	1.03 (1.00–1.05)	0.97 (0.9–1.05)	0.98	1.02	0.99				
LR IVF	0.96 (0.94–0.97)	0.97 (0.93–1.01)	0.93 (0.81–1.08)	0.96	0.98	0.96				
Water IVF	1.12 (1.11–1.14)	1.18 (1.14–1.21)	1.04 (0.95–1.14)	1.12	1.17	1.04				
Hypertension	1.35 (1.33–1.37)	1.36 (1.29–1.43)	1.23 (1.09–1.4)	1.35	1.35	1.22				
Hypotension	1.03 (1.01–1.05)	1.16 (1.1–1.22)	1.10 (0.94–1.29)	1.02	1.15	1.09				
Pre-Admit Max. Temp.	0.99 (0.99–1.00)	0.98 (0.97–0.99)	1.04 (1.00–1.08)	0.99	0.99	1.04				
Pre-Admit Mean BMI	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	-				
Admit Max. Temp.	0.97 (0.96–0.97)	1.03 (1.01–1.04)	0.99 (0.95–1.03)	0.97	1.02	1.00				
Admit Mean BMI	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)	-	-	-				

The final models of logistic regression and lasso regression are reported using odds ratios (OR) and 95% confidence intervals (95% Cl) of risk factors, for logistic regression, and ORs of risk factors, for lasso regression. In the lasso regression, if a variable was dropped from the regression, the content of the cell is "-." ORs for intravenous fluids (IVF) are increased risk per liter of fluid given. Bolded ORs for the logistic regression are significant to the Bonferroni correction of 1.34×10^{-4} .

-, dropped variable from Lasso; CCB, calcium channel blocker; ARB angiotensin II receptor blocker; ACEi, angiotensin converting enzyme inhibitor; TB, tuberculosis; MAOI, monoamine oxidase inhibitor; TCA, tricyclic antidepressants; CHF, congestive heart failure; DM, diabetes mellitus; HTN, hypertension; PVD, peripheral vascular disease; ALD, advanced liver disease; CVA, cerebrovascular accident; CDVD, cardiovascular disease; COPD, chronic obstructive pulmonary disease; MVR, mitral valve regurgitation; RA, rheumatoid arthritis; PUD, peptic ulcer disease; BUN, blood urea nitrogen; CK, creatinine kinase; CK-MB, creatinine kinase-MB isoenzyme; BNP, B-type natriuretic peptide; WBC, white blood cell count; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyl transpeptidase; NS, normal saline; LR, lactate ringers; GFR, glomerular filtration rate; SD, standard deviation; NSAIDs, nonsteroidal anti-inflammatory drugs, HIV, human immunodeficiency virus; CT, computerized tomography; BMI, body mass index.

Table 3: Results of the variable importance of the final random forest model.

Random forest							
Risk factor	Stage 1+	Stage 2+	Dialysis	Risk factor	Stage 1+	Stage 2+	Dialysis
	Rank	Rank	Rank		Rank	Rank	Rank
Demographics				Diagnoses			
Admit Age	20	36	10	CHF	7	79	48
Gender (Male)	109	93	117	DM	15	62	63
Race	39	46	24	HTN	43	65	69
Medications				PVD	73	84	39
Pre-admission				ALD	79	50	78
NSAIDs	91	94	114	Cancer	76	55	100
Aminoglycosides	119	106	102	CVA	99	108	85
Cephalosporins	106	116	96	Alcoholism	82	87	71
ССВ	50	82	58	HIV	104	97	123
Penicillins	100	110	103	Hepatitis	90	78	57
β –Blockers	44	89	91	Anemia	87	81	33
ARB	75	92	74	CDVD	77	101	72
ACEi	35	56	77	COPD	72	77	83
AntiTB	126	126	120	Dyslipidemia	84	80	55
AntiFungals	117	114	112	MVR	108	120	62
Glucocorticoids	80	103	108	Dementia	105	117	115
Lincomycin	113	107	86	RA	115	99	89
Macrolides	102	112	105	PUD	116	119	97
MAOI	131	130	131	Hemiplegia	112	118	94
Nitrofurantoin	123	128	126				
Sulfa Antibiotics	98	111	90	Labs			
Tetracyclines	118	109	101	Sodium	48	12	13
Thiazides	60	75	65	Chloride	22	7	4
Loop Diuretics	8	51	37	Bicarbonate	27	20	6
Anhydrase Diuretic	128	124	129	Calcium	49	18	14
K-Sparing Diuretics	57	61	79	BUN	4	6	3
Benzodiazepines	95	98	76	Glucose	30	33	47
TCA	101	96	98	Troponin-I	25	21	21
Statins	86	100	84	Troponin-T	41	26	46
Insulin	23	83	44	CK-MB	26	19	27
Antiemetics	114	86	109	СК	53	17	17
Opioids	71	90	61	BNP	11	16	11
Fluoroquinolones	97	85	60	Hemoglobin	42	34	15
				Delta Hemoglobin	46	38	28
Admission				Hematocrit	47	44	26
NSAIDs	93	91	118	WBC	33	9	32
Aminoglycosides	78	70	82	Platelets	56	43	40
Cephalosporins	66	66	87	MCV	59	41	29

Table 3: Continued

Random forest										
Risk factor	Stage 1+	Stage 2+	Dialysis	Risk factor	Stage 1+	Stage 2+	Dialysis			
	Rank	Rank	Rank		Rank	Rank	Rank			
CCB	38	67	53	МСНС	52	42	25			
Penicillins	45	13	59	МСН	58	40	43			
β –Blockers	13	71	68	Albumin	32	8	20			
ARB	62	95	88	AST	34	5	12			
ACEi	10	39	66	ALT	64	23	18			
AntiTB	121	121	116	Total Bilirubin	12	1	8			
AntiFungals	94	76	95	Alkaline Phosphatase	28	10	9			
Glucocorticoids	36	63	99	GGT	65	27	19			
Lincomycin	110	113	121	Ammonia	55	14	36			
Macrolides	81	104	93	Lipase	74	30	45			
MAOI	130	131	130	Mean Pre-Admit GFR	5	2	1			
Nitrofurantoin	129	125	127	Pre-Admit GFR Count	29	24	34			
Sulfa Antibiotics	18	60	119	SD Pre-Admit GFR	40	15	16			
Tetracyclines	120	123	92	Mean Admit GFR	1	22	2			
Thiazides	9	49	51	SD Admit GFR	6	32	7			
Loop Diuretics	3	25	23	Admit GFR Count	24	31	22			
Anhydrase Diuretic	122	122	125	Delta Admit GFR	2	3	5			
K-Sparing Diuretics	21	53	113							
Benzodiazepines	83	57	81	Other						
TCA	103	105	111	CT Scan – Contrast	92	73	64			
Statins	70	102	73	$CT\ Scan + Contrast$	107	69	106			
Insulin	16	68	75	NS IVF	14	37	41			
Fluoroquinolones	85	74	104	1/2 NS IVF	68	45	54			
Antiemetics	88	59	67	LR IVF	89	52	56			
Opioids	69	58	70	Water IVF	31	11	35			
Cyclosporine	127	129	110	Hypertension	17	48	52			
Trimethoprim	37	72	122	Hypotension	96	64	50			
Cimetidine	125	127	128	Pre-Admit Max. Temp.	63	47	30			
Nacetylcysteine	67	88	80	Pre-Admit Mean BMI	54	29	49			
Acyclovir	111	54	107	Admit Max. Temp.	61	28	31			
Vancomycin	19	4	38	Admit Mean BMI	51	35	42			
Lithium	124	115	124							

Risk factors are ranked based on their variable importance for each stage separately, with 1 representing the variable with the highest variable importance and 131 representing the variable with the lowest importance.

CCB, calcium channel blocker; ARB, angiotensin II receptor blocker; ACEi, angiotensin converting enzyme inhibitor; TB, tuberculosis; MAOI, monoamine oxidase inhibitor; TCA, tricyclic antidepressants; CHF, congestive heart failure; DM, diabetes mellitus; HTN, hypertension; PVD, peripheral vascular disease; ALD, advanced liver disease; CVA, cerebrovascular accident; CDVD, cardiovascular disease; COPD chronic obstructive pulmonary disease; MVR, mitral valve regurgitation; RA, rheumatoid arthritis; PUD, peptic ulcer disease; BUN, = blood urea nitrogen; CK, creatinine kinase; CK-MB, creatinine kinase-MB isoenzyme; BNP, B-type natriuretic peptide; WBC, white blood cell count; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyl transpeptidase; NS, normal saline; LR, lactate ringers; GFR, glomerular filtration rate; SD, standard deviation; NSAIDs, nonsteroidal anti-inflammatory drugs, HIV, human immunodeficiency virus; CT, computerized tomography; BMI, body mass index.

Tab	le 4:	Results	; of (discrim	inatior	1 and	cali	brat	ion me	trics	for t	the 5	i 0	boot	stra	ap sam	ıple	S
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Discrimination and calibration metrics									
Model	Stage 1+	Stage 2+	Dialysis						
	Median (95% Cl)	Median (95% Cl)	Median (95% Cl)						
AUC									
Logistic regression	0.758 (0.758–0.758)	0.715 (0.714–0.716)	0.825 (0.823–0.827)						
Lasso regression	0.758 (0.757–0.758)	0.714 (0.713–0.715)	0.824 (0.822–0.826)						
Random forest	0.746 (0.744–0.748)	0.721 (0.720–0.721)	0.823 (0.818–0.828)						
NRI									
Lasso vs LR	0.461 (0.460–0.463)	0.348 (0.344–0.351)	0.549(0.538–0.559)						
RF vs Lasso	0.378 (0.377–0.379)	0.271 (0.267–0.275)	0.306						
RF vs LR	0.419 (0.417–0.420)	0.332 (0.329–0.336)	0.409 (0.399–0.420)						
IDI									
Lasso vs LR	0.004 (0.004–0.004)	0.001 (0.001–0.001)	0.007 (0.006–0.007)						
RF vs Lasso	0.022 (0.022–0.022)	0.004 (0.004–0.004)	-0.021 (-0.022 to -0.021)						
RF vs LR	0.026 (0.026–0.026)	0.005 (0.005–0.005)	-0.015 (-0.016 to -0.014)						
Brier									
LR	0.067 (0.067–0.067)	0.010 (0.009–0.010)	0.001 (0.001-0.001)						
RF	0.068 (0.068–0.068)	0.010 (0.009–0.010)	0.001 (0.001-0.001)						
Lasso	0.068 (0.068–0.068)	0.010 (0.009–0.010)	0.001 (0.001-0.001)						

Area under the receiver operating characteristic curve (AUC) values are represented for each model by median and 95% confidence intervals (95% Cls) for the stage 1+, 2+, and dialysis outcomes. The continuous net reclassification index (NRI) and integrated discrimination improvement (IDI) values are reported as the improvement of the second model vs the first model (model A vs model B being positive is interpreted as model B having a superior classification). The Brier score is represented for each model with medians and 95% Cls. LR = logistic regression, RF = random forest.

The most important modifiable variables during the admission window for AKI and dialysis included IV hydration and admission medication exposures. Many of these risk factors were significant in the AKI stage 1+ or 2+ models and represent actionable therapies that can be embedded in clinical decision support to provide estimates of risk reduction if they were administered or held, as clinically appropriate.

This was the first prediction model for HA-AKI to include IVF administration calculated through bar-coded medication administration records, which is important because IVF is a preventable risk factor. IVF administration is either a protective factor or a risk factor for AKI, depending on what type of fluid is given. The protective association with the more isotonic fluids associated with volume resuscitation is supported by the literature, which reports that volume expansion and volume expansion protocols reduce the incidence of AKI.⁶³⁻⁶⁸ Our models showed that NS, 1/2 NS, and LR are associated with a lower risk of developing stage 1+ AKI, and that water alone is associated with an elevated risk of developing stage 1 + AKI. The risk associated with free water fluid administration could be related to disease severity, because free water volume is most commonly administered as the solution for IV medications and for patients who get flushes in their IV catheters after medication administration. However, risk associated with free water administration is biologically plausible, because this fluid is not effective for volume resuscitation and could also be a significant risk factor that has not previously been described in the development of AKI (and therefore needs further exploration). Isotonic IVF

was a protective factor, and delta hemoglobin was a risk factor for stage 1+ AKI, supporting the theory that stage 1+ AKI is associated with intravascular volume depletion. Causality cannot be determined, because IVF may also mask the development of AKI by diluting serum creatinine. However, the fact that NS and LR were protective and free water IVF was a risk factor for stage 1+ AKI provides support that each effect is not due to the dilution of serum creatinine concentrations. Overall, whether isotonic IVF are protective against or mask the development of stage 1+ AKI is unclear, and further studies are required.

The mean pre-admission and admission GFR (or the level of kidney dysfunction, which was significant in stage 1+, 2+, and dialysis in our model) is one of the most important risk factors for the development of AKI.^{69,70} Most of the medications that were significant in our model are known risk factors for AKI.^{1,9–15} The fact that some of the antibiotics that were significantly associated with AKI are not direct nephrotoxins could have been due to their proxy association with acute infection leading to sepsis. The choice of antibiotic associated with a protective effect or risk likely represents the severity of disease. Cephalosporins, tetracyclines, and macrolides are typically used for less invasive infections and were protective, but penicillins and fluoro-quinolones, which can be used for more serious infections, were risk factors. Bactrim, vancomycin, and aminoglycosides, which are known to be nephrotoxic, had a much stronger association with higher ORs than other antibiotics.



The lab values are proxies for disease states. Aspartate aminotransferase, alanine aminotransferase, total bilirubin, and alkaline phosphatase are elevated in acute liver disease, which is a risk factor for AKI. Serum glucose is elevated in diabetes, but can also contribute to dehydration through the osmotic load in very elevated states. Creatinine kinase-MB isoenzyme is elevated in acute myocardial infarction; elevated sodium, elevated BUN, and decreased chloride are seen in hypovolemic states; and low bicarbonate is seen in sepsis, all of which are risk factors for AKI. Admission CT scans with and without IV contrast were slightly protective in stage 1+ AKI; however, they were risk factors in both stage 2+ AKI and dialysis, with CT scans with IV contrast being significant for dialysis. These findings are limited by the inability to determine which patients actually received IV contrast during the CT scan, as described in our methods.

The differences in risk factors for AKI stage 1+, AKI 2+, and dialysis are likely explained by the fact that mild AKI is associated with a less severe phenotype. In contrast, severe AKI represents more intrinsic renal injury with sustained loss of function.⁷¹ The relative sensitivity and specificity of severity grades of the standard definitions of AKI is an active area of research and discussion. For this reason, we reported the

models across the spectrum of severity. Indeed, the differences in risk factors and strengths of associations between outcome severities support the need to use different risk models for these different outcomes.

We expanded our prior single-center work³⁷ by developing the model in a large national cohort, exploring which modeling methods appears to be more robust regarding prediction performance, and evaluating additional novel risk factors available within the Veterans Health Administration EHR. We extended prior models of AKI in the literature. Previously, studies have looked at adverse outcomes after the development of AKI¹¹ or in select populations.^{26–33} The present study captured data on all hospitalizations and predicted AKI outcomes before a patient developed AKI. Random forests have been used to predict AKI development in the contrast-induced nephropathy population;³⁰ however, our study compares the ability of random forests, lasso regression, and logistic regression to predict outcomes for all populations. Finally, this study was performed on a nationwide cohort of over 100 hospitals, which is larger than previous studies on HA-AKI prediction.

This study includes some limitations, so its results should be interpreted cautiously. Our cohort is largely comprised of male patients





and may not generalize to a population with a greater proportion of female patients. In addition, the models we used were internally validated, and the generalizability of those models will need to be assessed through external validation in other populations. However, there is growing literature to suggest that local refitting or remodeling of a developed risk model is warranted on a regular basis, regardless of external validation, and all risk prediction models should be used with caution in other clinical settings if refitting/remodeling is not performed.^{72–74} Another limitation of our study is the secular trend that creatinine assay changes introduced during the study. Extensive validation of ICD-9 codes for accuracy have been performed previously at the VA and other institutions for chronic conditions such as congestive heart failure, coronary artery disease, and hypertension;^{75,76} however, some ICD-9 codes have not been extensively studied.

CONCLUSIONS

This study explored multiple modeling methods, including logistic regression, lasso regression, and random forests for modeling HA-AKI in a large nationwide cohort. Traditional regression methods outperformed machine learning methods in this domain. Our final recommendation is to use lasso regression within this clinical setting, given its intuitive representation of the risk factors and ORs, its ability to simplify the model based on the selection of the most important clinical predictors, and its equivalent performance to logistic regression and similar and superior performance to random forests. This study also explored novel risk factors within the EHR data and demonstrated the ability of multiple risk modeling techniques to effectively predict HA-AKI and identify potential risk factors that can trigger interventions to prevent HA-AKI. We were able to determine multiple clinical risk factors that could be intervened upon and were able to show the potential risks and benefits of IVF in a predictive model, which has not been done previously. These models can be used for population health in dashboards and within institutional and provider guality profiling activities and, additionally, can be used to support clinical decision support for individual patients that is both more appropriate to the patient context and also provides explicit recommendations for risk mitigation through preventable risk factors in the model.

CONTRIBUTORS

M.E.M. and R.M.C. were involved with the study's conception, design, and data collection. M.E.M., R.M.C., J.P.V., E.D.S., and S.K.E. were involved with the analysis of the study. All authors were involved with writing and editing the manuscript.

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COMPETING INTERESTS

None.

SUPPLEMENTARY MATERIAL

Supplementary material is available online at http://jamia.oxfordjournals.org/.

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1070

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