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## Beyond Risk: Prospective Effects of *GABRA2* x Positive Peer Involvement on Adolescent Behavior

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### Abstract

Research on gene x environment (GxE) interactions typically focuses on maladaptive contexts and outcomes. Yet, the same genetic factors may also impact susceptibility to positive social contexts, leading to adaptive behavior. This paper examines whether *GABRA2* (SNP rs279858) moderates the influence of positive peer affiliation on externalizing behavior and various forms of competence. Regions of significance were calculated to determine whether the form of the interaction supported differential susceptibility (increased sensitivity to both low and high positive peer affiliation) or vantage sensitivity (increased sensitivity to high positive peer affiliation). It was hypothesized that those carrying the homozygous minor allele (GG) would be more susceptible to peer effects. A sample ( $n = 300$ ) of primarily male (69.7%) and White (93.0%) adolescents from the Michigan Longitudinal Study was assessed from ages 12 to 17. There was evidence for prospective GxE interactions in 3 of the 4 models. At low levels of positive peer involvement, those with the GG genotype were rated as having fewer adaptive outcomes, while at high levels they were rated as having greater adaptive outcomes. This supports differential susceptibility. Conceptualizing *GABRA2* variants as purely risk factors may be inaccurate. Genetic differences in susceptibility to adaptive environmental exposures warrants further investigation.

### Keywords

gene-environment interaction; *GABRA2*; adolescence; positive peer influence

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To effectively characterize youth development, it is essential to integrate biological and environmental constructs. Accordingly, research on gene x environment (GxE) interactions has proliferated. Yet, GxE research has largely focused on genetic risk, adverse environmental contexts, and maladaptive functioning (Pluess & Belsky, 2012). Some suggest

that this bias toward pathology may be due in part to an implicit assumption that positive contexts likely benefit most individuals similarly (Pluess & Belsky, 2012). In fact, diathesis-stress models traditionally used to conceptualize GxE interactions posit that there should be no differences between vulnerable and resilient individuals in the absence of adversity (Zuckerman, 1999). This study extends GxE research beyond risk, and assesses genetic variants as potential susceptibility factors to adaptive social contexts as predictors of both maladaptive and adaptive outcomes.

From an evolutionary perspective it is unclear why certain endogenous factors would solely increase sensitivity to maladaptive environments, consistent with diathesis-stress models. That is, it is expected that endogenous factors associated with increased sensitivity to negative contexts and deleterious outcomes in turn would slowly disappear from the human population to the extent that they limit reproductive fitness (Conley, Rauscher, Siegal, 2013). This has led some researchers to believe that these endogenous factors are likely to reflect increased sensitivity to all environmental contexts, not just increased vulnerability (Belsky & Pluess, 2009). More specifically, the differential susceptibility hypothesis posits that certain genetic polymorphisms represent sensitivity to both positive and negative influences rather than pure vulnerability (Belsky & Pluess, 2009). In the context of adversity, these individuals have worse outcomes compared to those without the genetic variant. However, in the context of adaptive environments, these same individuals have better outcomes. The ability to reap the most benefit from adaptive environments that comes with increased sensitivity may confer selective advantages (Conley et al., 2013). There has been burgeoning support for the differential susceptibility hypothesis (e.g., Kochanska, Kim, Barry, & Philibert, 2011; Bakermans-Kranenburg, van IJzendoorn, Pijlman, Mesman, & Juffer, 2008). A more recent proposal, vantage sensitivity, posits that some genetic variants may reflect increased sensitivity to supportive conditions in particular (Pluess & Belsky, 2012). Although there is preliminary work supporting this proposition (Nederhof, Belsky, Ormel, & Oldehinkel, 2012), empirical models systematically testing GxE interactions in the context of positive environmental exposures on adaptive as well as maladaptive outcomes warrants further investigation. To our knowledge, this is the first study to test whether specific genetic variants impact the susceptibility of positive peer involvement on both maladaptive as well as adaptive adolescent functioning.

Conceptually, diathesis-stress, differential susceptibility, and vantage sensitivity are not separate; rather, they represent different forms of potential GxE interactions. Typically, ordinal interactions that do not crossover tend to support either diathesis-stress or vantage sensitivity. Diathesis-stress represents what some have termed the “dark side” of GxE interactions (Pluess, 2015), whereby individuals with a specific genetic variant are negatively affected by a maladaptive environment, while those without this variant are unaffected. Vantage sensitivity represents what some have termed the “bright side” of GxE interactions (Bakermans-Kranenburg & van IJzendoorn, 2011), whereby individuals with a specific genetic variant are positively affected by adaptive environments while those without this variant are unaffected. Interactions that crossover, or disordinal interactions, reflect differential susceptibility, whereby individuals with a specific genetic variant are at increased susceptibility to social contexts on both the “dark side” and “bright side” of the continuum while those without this variant are unaffected.

Formal statistical approaches are available to determine whether the form of GxE interactions conforms to diathesis-stress, differential susceptibility, or vantage sensitivity. One approach involves the calculation of regions of significance (Roisman et al., 2012). This approach requires graphing the environmental context of interest at 2 SDs below the mean and two SDs above the mean, and identifying within this range specific values of the environmental context below which and above which the regression lines for two groups (e.g., different genotypes) differ significantly on a specific outcome. These values reflect the regions of significance and are often depicted as shaded regions. If the region of significance is two-tailed (i.e., significant differences at both low and high levels of the environmental exposure, see Figure 1b), this supports a disordinal interaction consistent with differential susceptibility. If the region of significance is not two-tailed, this supports an ordinal interaction consistent with either diathesis-stress (Figure 1a) or vantage sensitivity (Figure 1c), depending on the nature of the environmental exposure (Roisman et al 2012).

There are several genetic factors that likely represent endogenous susceptibility factors. Polymorphisms in the gamma-aminobutyric acid (GABA) receptor (Brody, Chen, & Beach, 2013), the serotonin transporter (Taylor et al., 2006), and dopamine receptor D4 (Bakermans-Kranenburg et al., 2008) genes are all potential candidates. This study represents an effort to test susceptibility to positive peer involvement in one of these systems. *GABRA2*, located on chromosome 4, codes for the GABA receptor alpha-2 subunit and is mainly expressed in brain reward circuitry including the amygdala, the ventral tegmental area, and the nucleus accumbens (NAcc; Enoch et al., 2009). Most common single nucleotide polymorphisms (SNPs) in the *GABRA2* gene are in linkage disequilibrium (LD). That is, there is a non-random association across these SNPs and they are strongly correlated. Moreover, these associated SNPs form two larger clusters, or haplotypes (i.e., a set of polymorphisms that tend to be inherited together), the major (~ 50.4%) and the minor (~ 44.0%) haplotype in White adolescents (see Enoch, 2008). Although findings are mixed, the less common G-allele across *GABRA2* SNPs, including rs279858, rs279826, and rs279827, as well as 3-SNP haplotypes examined in the 3' region of *GABRA2*, are over represented among adults meeting criteria for alcohol (e.g., Edenberg et al., 2004; Covault et al., 2004) and drug dependence (e.g., Agrawal et al., 2006), and adolescents high in rule breaking behavior (Trucco et al., 2014).

There is also evidence for indirect *GABRA2* effects. For example, one study examined ten *GABRA2* SNPs (including rs279858, rs279826, rs279827) within the larger haplotype block that extends from intron 3, and found that adolescents with risk conferring *GABRA2* variants were more likely to demonstrate high-risk externalizing behavior in the context of low parental monitoring (Dick et al., 2009) compared to those without the risk variant. Similarly, another study demonstrated that adolescents with the GG genotype on SNP rs279826 were more susceptible to high deviant peer exposure resulting in higher rates of externalizing behavior compared to A-carriers (Villafuerte et al., 2014), but no different at low deviant peer exposure. Both studies support ordinal interactions consistent with a diathesis-stress framework.

Despite an increasing number of studies examining *GABRA2* associations, the function of *GABRA2* variants are still not well understood. Given replicated associations with alcohol

dependence, drug dependence, and conduct disorder, some posit that the common underlying pathway that characterizes those carrying the G-allele is behavioral disinhibition (Perry et al., 2013). For example, one study demonstrated that the G allele and corresponding haplotype for SNPs rs279858 and rs279826 is likely associated with increased alcohol disorder symptomatology given higher rates of impulsivity (Villafuerte et al., 2012). Yet, a predisposition to behavioral disinhibition alone cannot explain why individuals with these same genetic risk variants also reap the most benefit from adaptive contexts. For example, when systematically testing differential susceptibility, adolescents with the GG genotype of *GABRA2* across SNPs rs279858, rs279826, and rs279827 were more likely to belong to a high-risk externalizing trajectory class in the context of low parental monitoring, but less likely to belong to a high-risk externalizing class in the context of high parental monitoring compared to A-carriers (Trucco, Villafuerte, Heitzeg, Burmeister, & Zucker, in press). Accordingly, conceptualizing *GABRA2* variants beyond a risk framework necessitates further exploration.

A seminal article on the social neuroscience of adolescent risk-taking indicates that it is important to compare the relative development of socio-emotional systems to cognitive control centers to understand increased rates of problem behaviors and susceptibility to peers that characterizes this developmental period (Steinberg, 2008). The maturational gap between the faster developing reward-seeking centers compared to the more slowly maturing cognitive control center contribute to adolescents' increased susceptibility to social reward. Compared to adults and children, adolescents tend to spend more time with peers, they report interactions with peers to be highly rewarding, and they tend to use peer norms to guide behaviors (Guyer, McClure-Tone, Shiffrin, Pine, & Nelson, 2009). That is, the desire for peer affiliation and acceptance strongly motivates adolescents to engage in behaviors that are valued among peers. Imaging studies indicate important developmental differences in neural responses to social rewards, especially in regions involved in social-affective processing including the NAcc and insula (Guyer et al., 2009). For example, one study demonstrated increased insula and NAcc activation among female adolescents compared to younger females during appraisal of social evaluation by high versus low interest peers (Guyer et al., 2009). Another study found greater insula activation among adolescents during a condition where they were socially excluded from peers, compared to a peer inclusion condition (Masten et al., 2009).

It is important to note, that although these findings demonstrate that adolescence represents a period of heightened activation to social rewards, individual differences are likely. For example, brain imaging findings indicate that *GABRA2*G allele carriers (SNPs rs279858, rs279826, and haplotype) have heightened sensitivity to reward manipulations, demonstrating greater insula activation during anticipation of monetary reward and loss (Villafuerte et al., 2012), and heightened NAcc activation to incentive stimuli (SNP rs279858), especially during adolescence (Heitzeg et al., 2014). Although prior work has not examined differences across *GABRA2* genotypes using a social reward manipulation, research indicates that the brain regions relevant to monetary and social reward sensitivity are largely similar (Sprekelmeyer et al., 2009). Taken together, these findings support adolescent hypersensitivity to social rewards, and that those with the minor allele of

*GABRA2* may be particularly susceptible to peer influence given its association with reward sensitivity and insula and NAcc activation.

Although it is assumed that peers have both positive and negative influences, research has increasingly focused on negative influences as they relate to problem behavior (Steinberg & Monahan, 2007). Similarly, GxE research has largely focused on identifying genetic variants that increase vulnerability to negative peer contexts (e.g., Kretschmer, Vitaro, & Barker, 2014). Yet, adolescent peer groups vary in their norms and values, and some adolescents may feel pressured to engage in prosocial behaviors (e.g., do well in school, avoid drugs; Steinberg & Monahan, 2007). In fact, studies examining positive peer influence demonstrate a negative association with maladaptive behavior and a positive association with prosocial development in youth. For example, indirect positive peer association (friends who value studying, religious activities, and school organizations), was negatively related to aggression, delinquency, and depression, as well as positively related to social initiative, self-esteem, and empathy (Padilla-Walker & Bean, 2009). Accordingly, adolescents who affiliate with prosocial peers may feel rewarded for engaging in adaptive behavior, especially among those carrying genetic variants associated with sensitivity to reward. Yet, few studies examine GxE effects on both adaptive as well as problematic adolescent behavior. It is important to note, that adolescents who lack positive peer associations not only are less likely to experience emotional well-being and positive beliefs about the self, they are also at increased risk of exhibiting poor social functioning and weak school connectedness. For example, adolescents who drop out of school are more likely to have peers who do not regard school as important or useful (Hymel Comfort, Schonert-Reichl, & McDougall, 2002). As such, although high positive peer involvement is adaptive, low positive peer involvement is not neutral; rather, it can contribute to maladaptive functioning in youth.

During adolescence, developmental tasks involve internalization of rules and values, academic performance, as well as social competence with peers (Kochanska, et al., 2011). Accordingly, each of these domains were assessed in the current study. Consistent with previous research, it was hypothesized that positive peer involvement would negatively predict teacher-reported externalizing behavior, but positively predict adaptive outcomes (academic, behavioral, and social competence). Moreover, those carrying the *GABRA2* GG genotype were hypothesized as being especially susceptible to peer effects given prior work indicating increased sensitivity of these adolescents to social contexts (Trucco et al., in press; Villafuerte et al., 2014). Specific hypotheses regarding the form of the interaction (differential susceptibility versus vantage sensitivity) were not made.

## Method

### Sample

This community sample of 300 adolescents was part of a larger multi-wave family study, the Michigan Longitudinal Study (MLS), investigating the onset of SUD. The MLS follows families from three different SUD-risk categories: 1) families with fathers convicted of drunk driving meeting criteria for an alcohol use disorder (AUD; high-risk), 2) a control sample of families where neither parent had a history of SUD recruited out of the same neighborhoods where the category (1) families lived (low-risk), and 3) community-identified

men with an AUD diagnosis and their families (moderate-risk) who were identified during the community canvass procedure used to acquire the control families. For the current study, 107 (35.7%) adolescents came from high-risk families, 78 (26.0%) came from moderate-risk families, and 115 (38.3%) came from low-risk families. The biological mother's AUD status could vary in the high- and moderate-risk families. Siblings were also included after initial recruitment if they were within 8 years of the male target child. For this study, adolescents came from 205 different families; 124 (41.3%) did not have any other siblings in the study, 134 (44.7%) had one, and 42 (14.0%) had two siblings in the study. Participants were primarily White (279, 93.0%) and male (209, 69.7%) given the original recruitment strategy (see Zucker, Ellis, Fitzgerald, Bingham & Sanford, 1996 for a detailed description of the MLS sample).

## Procedure

Families completed assessments following initial recruitment (Wave 1, ages 3 to 5) with subsequent assessments occurring every 3 years (e.g., Wave 2, ages 6 to 8) and biological material for genotyping was provided. Data for the present study focus on adolescence since this developmental period is characterized by increased time spent with peers as well as increased sensitivity to peer influence (Steinberg & Monahan, 2007). Namely, adolescent report of perceived peers' behavior was assessed at Wave 4 (ages 12–14). In addition, teachers nominated by study participants (usually homeroom, English, Social Studies, Math, or Science teachers) were asked to rate adolescent behaviors at Wave 5 (ages 15–17). Teacher-report reflects behavior in a setting where peer interactions occur (Achenbach, Dumenci, & Rescorla, 2002) and limits bias due to shared method variance. This study was approved by the Institutional Review Board and complied with the American Psychological Association's ethical standards.

Adolescents included in the analyses did not differ from those without genetic data or teacher-report data on biological sex, family AUD risk status, or positive peer involvement. Non-White participants did have significantly more missing data compared to White participants ( $F[1] = 8.30, p < .01$ ). This is attributable to the design of the study, where non-White families were added in later waves. Originally, the recruitment protocol for the MLS specified that families be of non-Hispanic Caucasian heritage given the low rate of families of other ethnic and racial background in the four-county area where participants were recruited. The minority exclusion criteria was removed to meet new NIH requirements in the last quarter of participant recruitment. Given this lag, using identical protocol recruitment criteria (starting with 3 to 5 year olds), minority participants would always lag in comparable age data availability. In order to better integrate the minority with the non-minority data the design decision was made to start recruiting minority participants at ages six to eight. Hence, wave 1 data for these participants is missing by design. Despite this accommodation, available data for these participants still lags. Demographic variables were dichotomized for analyses: sex (0 = boys 1 = girls), race (0 = White, 1 = non-White), and family AUD risk (0 = control; 1 = at-risk [moderate- or high-risk]).

## Measures

**Positive peer involvement**—Positive peer involvement at Wave 4 (ages 12–14) was measured as part of the Peer Behavior Profile (Bingham, Fitzgerald, & Zucker, 1995). This is a 34-item measure adapted from Social Control Theory (Hirschi, 1969) and Problem Behavior Theory (Jessor, Costa, Jessor, & Donovan, 1983). Adolescents were asked to consider “the friends you hang around with most of the time” and their degree of involvement in various activities and behaviors. Nine items were used to assess peer involvement in extracurricular activities (e.g., “*participate in school plays*”), scholastic competence (e.g., “*are excellent students*”), and religious involvement (e.g., “*in social group sponsored by church*”) using a 5-point Likert scale (1 = *almost none*, to 5 = *almost all*) consistent with previous work (Padilla-Walker & Bean, 2009). The internal consistency was adequate (Cronbach’s alpha = 0.78).

**Problem behavior**—Problem behavior at Wave 5 (ages 15–17) was assessed using the Teacher Report Form (TRF; Achenbach, 1991) broadband Externalizing Scale using items from the aggression (e.g., *gets in many fights*) and rule breaking/delinquency (e.g., *destroys property belonging to others*) subscales. Items are rated on a 3-point scale (0 = *not true* to 2 = *very true or often true*). The internal consistency was good (Cronbach’s alpha = 0.93).

**Academic and Behavioral Competence**—The TRF was also used to assess academic and behavioral competence at Wave 5 (ages 15–17) following recommended procedures (Achenbach, et al., 2002). Teachers were asked to rate adolescents’ performance in each subject on a 5-point scale (1 = *far below grade* to 5 = *far above grade*). Academic competence represents average ratings across all academic subjects. The internal consistency of academic competence was good (Cronbach’s alpha = 0.87). Teachers also rated adolescents’ behavior in school (*How appropriately is he or she behaving?*) using a 7-point scale (1 = *much less* to 7 = *much more*).

**Social Competence**—Social competence was assessed at Wave 5 (ages 15–17) using items adapted from the Revised Class Play (Masten, Morison, & Pellegrini, 1985). Teachers were asked to think about the probability that the adolescent would be assigned to 50 different social roles using a 5-point scale (1 = *least likely to be selected* to 5 = *most likely to be selected*). Of interest for this study was the Sociability-Leadership subscale, reflecting seven prosocial roles (e.g., *good leader, everyone listens to*). This internal consistency was good (Cronbach’s alpha = 0.86).

**Genotyping**—DNA was genotyped using the Illumina Addiction biology SNP array (Hodgkinson et al., 2008) a panel genotyped in the MLS sample using the Illumina GoldenGate platform (Illumina Inc., San Diego, CA). Three SNPs were examined in this study (rs279858, rs279826, and rs279827) as they represent a haplotype block associated with alcohol dependence (Edenberg et al., 2004; Villafuerte et al., 2012), they represent potential functional SNPs (exonic and splice), and they correspond with previous work on GxE interactions (e.g., Dick et al., 2009; Heitzeg et al., 2014). SNPs rs279858 and rs279826 are in strong LD ( $r^2 > 0.77$ ) with rs279827. Duplicates were included and no discrepancies were observed. All SNPs were in Hardy-Weinberg equilibrium. Findings focus on SNP

rs279858 (exon 5, K132K; AA 31.0% [ $n=93$ ], AG 51.0% [ $n=153$ ], and GG 18.0% [ $n=54$ ]) for simplicity and clarity, although findings were consistent across SNPs and the haplotype block.

### Analysis Plan

Random coefficients hierarchical linear models accounting for family clustering were estimated with biological sex, race, and family AUD risk status as covariates using the mixed procedure in SAS version 9.3 (SAS Institute Inc, 2011). Given a largely White sample, analyses were also conducted on White participants only. Findings were comparable and frequency genotypes did not differ by race ( $\chi^2(2)=0.59, p=.74$ ). Accordingly, analyses utilize the full sample. All study outcomes were normally distributed.

Given our hypothesis that those carrying the GG genotype would be most susceptible to peer influence, *GABRA2* was dummy coded so that the GG genotype was the reference group in two comparisons (i.e., GG vs AA; GG vs AG). Similarly, two interaction terms (GG vs AA x positive peer involvement; GG vs AG x positive peer involvement) were used to test whether the effect of positive peer involvement differed by genotype.<sup>1</sup> Covariates and positive peer involvement were standardized around the sample grand mean prior to forming the interaction term. Three-way interactions were also tested to explore potential biological sex and family AUD risk differences. An online tool was used to probe interactions, as well as calculating the regions of significance (Preacher, Curran, & Bauer, 2006) to determine whether interactions conformed to the differential susceptibility or vantage sensitivity model. High and very high positive peer involvement were represented by scores 1 and 2 *SDs* above the mean. Similarly, 1 and 2 *SDs* below the mean represented low and very low positive peer involvement following previous work (Roisman, Newman, Fraley, Haltigan, Groh & Haydon, 2012). Regions of significance at both high and low values of positive peer involvement would support differential susceptibility, whereas regions of significance at only high levels of positive peer involvement would support vantage sensitivity. To correct for multiple testing, a Bonferroni correction was implemented on the basis of the number of SNPs analyzed. The critical *p* value was set at .0167 (.05/3 SNPs).

### Results

Means and correlations for study variables are presented in Table 1. Race was associated with family AUD status, externalizing behavior, and academic and behavioral competence. More specifically, non-White adolescents were more likely to come from a moderate- or high-risk AUD family, they were rated as having higher rates of externalizing behavior, and they were rated as having lower rates of academic and behavioral competence compared to White participants in our sample. Family AUD risk status was associated with *GABRA2*, positive peer involvement, externalizing behavior, and competence. More specifically, adolescents from a moderate- or high-risk AUD family were more likely to have the rs279858 minor allele, they reported having less positive peer involvement, they were rated

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<sup>1</sup>In order to reduce concerns that GxE findings may be spurious, covariate by environment and covariate by gene interactions were also tested for each outcome of interest. Findings were largely comparable. In order to present more streamlined models, we decided to only include interactions directly relevant to the research questions.



as having higher rates of externalizing behavior, and they were rated as having lower rates of academic, behavioral, and social competence compared to low-risk AUD families. As expected, positive peer involvement was associated with lower rates of externalizing behavior, and higher rates of academic, behavioral, and social competence. *GABRA2* was not associated with any of the outcome variables or positive peer involvement. This is important for understanding our results since gene-environment correlation (rGE) represents a non-random distribution of environments across genotypes, and may confound GxE effects (Belsky & Beaver, 2011). An absence of rGE effects indicates that GxE interactions do not simply reflect an evocative effect of *GABRA2*. Results for multilevel models are presented in Table 2. Each model will be discussed in turn.

### Externalizing Behavior

Race was associated with externalizing behavior; teachers rated non-White adolescents as having higher rates of externalizing behavior compared to White adolescents. As expected, positive peer involvement predicted lower rates of externalizing behavior. There was no evidence for a main effect of *GABRA2*. The two-way interaction contrasting the GG genotype versus the AA genotype was significant ( $f^2 = .04$ ). Probing the interaction demonstrated that the simple slope of positive peer involvement on externalizing behavior was significant for those with the GG genotype ( $t = -3.64, p < .001$ ), but was not significant for those with the AA genotype ( $t = 0.21, n.s.$ ). As depicted in Figure 2, the lower and upper bounds of regions of significance (shaded region) were  $-0.49$  and  $1.31$  SDs. This indicates that regression lines are significantly different for all points when positive peer involvement was lower than  $-0.49$  or higher than  $1.31$  SDs. That is, those with the GG genotype had higher externalizing behavior relative to individuals with the AA genotype when their positive peer involvement was low (i.e., approximately half a SD below the mean or lower). However, they had significantly lower externalizing behavior relative to individuals with the AA genotype when their positive peer involvement was high (i.e., approximately 1 SD above the mean or higher).

### Academic and Behavioral Competence

Race was associated with academic competence; teachers rated White adolescents as performing better academically compared to non-White adolescents. As expected, positive peer involvement predicted higher rates of academic competence. There was no evidence of a main effect of *GABRA2*. Neither two-way interaction was statistically significant.

Biological sex and family AUD risk predicted behavioral competence; teachers rated girls and adolescents from families without AUD risk as having greater behavioral competence compared to boys and those with family AUD risk, respectively. As expected, positive peer involvement predicted higher rates of behavioral competence. The two-way interaction contrasting the GG genotype versus the AA genotype was statistically significant ( $f^2 = .01$ ). Probing the interaction demonstrated that the simple slope of positive peer involvement on behavioral competence was significant for those with the GG genotype ( $t = 4.27, p < .001$ ), but was not significant for those with the AA genotype ( $t = 0.77, n.s.$ ). As depicted in Figure 3, the lower and upper bounds of regions of significance were  $-0.59$  and  $1.24$  SDs. That is, individuals with the GG genotype had significantly lower behavioral competence relative to

individuals with the AA genotype when their positive peer involvement was low (i.e., approximately a half SD below the mean or lower). However, they had significantly higher behavioral competence relative to individuals with the AA genotype when their positive peer involvement was high (i.e., approximately 1.5 SDs above the mean or higher). The two-way interaction contrasting the GG genotype versus the AG genotype was also statistically significant ( $f^2 = .004$ ). Probing the interaction demonstrated that the simple slope of positive peer involvement on behavioral competence was significant for those with the GG genotype ( $t = 4.27, p < .001$ ), but did not reach the critical  $p$  value cutoff for those with the AG genotype ( $t = 2.40, p = .02$ ). As depicted in Figure 4, the lower and upper regions of significance were  $-1.99$  and  $0.90$  SDs. That is, those with the GG genotype had significantly lower behavioral competence relative to individuals with the AG genotype when their positive peer involvement was very low (i.e., approximately 2 SDs below the mean or lower). However, they had significantly higher behavioral competence relative to individuals with the AG genotype when their positive peer involvement was high (i.e., approximately 1 SD above the mean or higher).

### Social Competence

Family AUD risk predicted social competence. Namely, teachers rated adolescents from families without AUD risk as having greater social competence. As expected, positive peer involvement predicted higher rates of social competence. The two-way interaction contrasting the GG genotype versus the AA genotype did not reach the critical  $p$  value ( $p < .05$ ); however the effect size was comparable to statistically significant moderational effects found in other models ( $f^2 = .03$ ). The two-way interaction contrasting the GG genotype versus the AG genotype was statistically significant ( $f^2 = .03$ ). Probing the interaction demonstrated that the simple slope of positive peer involvement on social competence was significant for those with the GG genotype ( $t = 4.21, p < .001$ ), but did not reach the critical  $p$  value cutoff for those with the AG genotype ( $t = 2.20, p = .03$ ). As depicted in Figure 5, the lower and upper regions of significance were  $-0.86$  and  $1.97$  SDs. Three-way interactions of interest were not significant. That is, individuals with the GG genotype had significantly lower social competence relative to individuals with the AG genotype when their positive peer involvement was low (i.e., approximately 1 SD below the mean or lower). However, they had significantly higher social competence relative to individuals with the AG genotype when their positive peer involvement was very high (i.e., approximately 2 SDs above the mean or higher).

### Discussion

This study focuses on positive environmental exposures and functioning in GxE research. Counter to diathesis-stress models, differential susceptibility and vantage sensitivity models incorporate adaptive contexts. Vantage sensitivity models in particular have largely gone untested (Pluess & Belsky, 2012). The current study extends GxE research by demonstrating how genetic variants impact the degree of susceptibility to positive peer involvement on both adaptive and problematic behavior. Hypotheses were largely supported and findings are consistent with the differential susceptibility hypothesis.

Across models, there was evidence for a main effect of positive peer involvement, but not a significant main effect of *GABRA2*. It is important to note that although previous research has demonstrated a main effect of *GABRA2* on problem behavior (Dick et al., 2006; Trucco et al., 2014), these studies did not include a measure of peer influence. Research indicates that the role of the environment, especially peers, tends to have a stronger impact on complex behavior during adolescence compared to genetic influences (Rose, Dick, Viken, Pulkkinen, & Kaprio, 2001). Yet, a lack of direct effects does not preclude the role of genes as a potential moderator. In fact, when adding the interaction term, there was evidence for a significant moderated effect when predicting externalizing behavior. This indicates that the effect of positive peer involvement on problem behavior significantly varies between those with the AA versus the GG genotype. This finding parallels work demonstrating that social well-being predicts a reduction in delinquency in adolescents, but only for those carrying a certain *DRD4* variant (Kretschmer, Dijkstra, Ormel, Verhulst, & Veenstra, 2013). By testing regions of significance we extend these findings. Namely, those with the GG genotype not only demonstrate higher rates of externalizing behavior at low levels of positive peer affiliation, they also demonstrate lower rates of externalizing behavior at high levels of positive peer affiliation compared to those with the AA genotype. This is consistent with differential susceptibility.

The current study also extends prior research by examining adaptive outcomes. Positive peer involvement predicted elevations in behavioral and social competence, especially among those with the GG genotype. Those with the GG genotype not only demonstrate low rates of behavioral and social competence at low levels of positive peer affiliation, they are likely to demonstrate higher rates of behavioral and social competence at high levels of positive peer affiliation compared to A-carriers. This is also consistent with differential susceptibility. Although the interaction predicting academic competence was not statistically significant, findings show that those with the GG genotype have greater susceptibility to positive social contexts across a range of teacher-rated behaviors relevant to adaptive functioning.

Reward sensitivity is proposed as a potential mechanism underlying differential susceptibility (Belsky & Pluess, 2009). This may be particularly relevant for *GABRA2* variants, as receptors are primarily expressed in brain reward circuitry (Enoch et al., 2009). There is also preliminary evidence that those with the *GABRA2* minor allele in SNP rs279858 have increased activation in brain regions that have been associated with increased activation during social reward paradigms including the NAcc and the insula (Guyer et al., 2009; Heitzeg et al., 2014). The desire for peer affiliation characterizing adolescence may act as a strong motivator to engage in behaviors that are likely to be rewarded by the peer group. In the context of delinquent peers, adolescents may be rewarded for acting out. While in the context of prosocial peers, they may be rewarded for doing well in school and behaving. Accordingly, adolescents sensitive to rewards may be more likely to change their behavior to fit their peer group. Although these individual differences may confer greater vulnerability for unhealthy incentives that appear impulsive (e.g., theft, substance use), this may also reflect greater flexibility in shifting goal priorities that facilitate social and emotional learning necessary for the development of adult social and behavioral competence.

## Limitations and Future Directions

An important limitation is that these genetic associations were not replicated using another sample. Given the importance of replicating genetic effects, considerable caution is warranted when drawing inferences. Although our effect sizes were similar to prior work (e.g., Kochanska et al., 2011; Kretschmer et al., 2014), effect sizes were small across models. However, these models included multiple informants and prospective effects across a three-year span. Although this provides a more rigorous methodological approach, it likely contributes to the lower effect sizes. Another limitation is that we did not control for prior rates of adolescent outcomes across models. This limits our ability to make strong inferences that positive peer affiliation caused an increase in adolescent functioning across a three-year span. Future work should examine potential bidirectional effects between positive peer affiliation and adolescent adaptive and maladaptive functioning. Findings cannot be generalized to samples with different demographic characteristics. This study examined effects in adolescence, a period characterized by strong susceptibility to peer influence (Guyer et al., 2009). Findings may not generalize to childhood or adulthood. Moreover, peer influence is likely to vary across racial/ethnic groups (Siddiqui, Mott, Andersen, & Flay, 1999). Given the primarily White sample, group sizes were not large enough to test racial differences. Our sample was also enriched for individuals with substance abusing parents, limiting generalizability to non-problem samples. Experimental manipulations are also likely to provide a more stringent test of differential susceptibility, which offers a powerful alternative to the current study design (see Special Section of *Development and Psychopathology*, February 2015). Lastly, although our sample size was comparable to other studies examining similar genetic associations in youth (e.g., Kochanska et al., 2011; Kretschmer et al., 2014), it still remains a limitation that would benefit from replication with a larger and more diverse sample. Until findings are replicated with a larger and more diverse sample, caution is warranted when drawing inferences.

Despite these limitations, this study offers preliminary prospective evidence supporting the role of *GABRA2* variants as markers for greater susceptibility to positive peer influence across adaptive and maladaptive outcomes, consistent with differential susceptibility. Although this study focuses on one gene, it offers support for continued work across other genetic variants, including those in the dopaminergic and serotonergic system. Future work using aggregate genetic profile scores derived from larger samples is likely to address small effect sizes of GxE interactions. Also, future work exploring the mechanisms through which genetic variants impact susceptibility is important. Though explicit efforts have not been taken to test these mechanisms, prior work suggests that genetic variants may impact reward sensitivity, enhanced attention to emotional stimuli, and sensitivity to social experiences (Pluess & Belsky, 2012).

Given that peers can have both positive and negative influences on adolescent behavior, it will also be important to use methodologies that can capture the inherent complexity of social contexts. It may be useful to integrate multiple aspects of the peer context such as delinquency and substance use as well as academic achievement and religiosity using one latent variable. This would allow for a more rigorous test in understanding the form of GxE interactions to determine whether genetic variants increase susceptibility to risk and/or

adaptive social contexts within the same model. Moreover, we examined adolescent perceptions of peer prosocial behavior. This has the potential of overestimating the influence of peers (Prinstein & Wang, 2005). Although research suggests that the mere perception of peers' attitudes and behaviors may be especially influential during this developmental period (Steinberg & Monahan, 2007), it will be important that future work include peer reports of their behavior and attitudes.

Another extension of these findings is to examine whether *GABRA2* variants increase receptivity to other positive contexts, including clinical interventions. Preliminary work supports genetic moderation of substance use prevention programs as well as parenting interventions for youth with behavioral problems (Bakermans-Kranenburg, et al., 2008; Brody, et al., 2013). For example, one study demonstrated that youth carrying specific dopaminergic and GABAergic "risk" variants (including *GABRA2* SNP rs279858) who were assigned to a control condition reported more alcohol use compared to those assigned to a preventive intervention and those youth assigned to either condition who did not carry these variants. However, those carrying these same genetic variants reported less alcohol use following the preventive intervention compared to similar youth in the control condition (Brody et al., 2013), consistent with differential susceptibility.

Moreover, it is likely that specific intervention strategies based on theories of social influence may be particularly beneficial for youth with the *GABRA2* minor allele. For example, the use of peer and parent injunctive norms (approval of a particular behavior or attitude) often used to minimize risk-taking behaviors (e.g., Elek, Miller-Day, & Hecht, 2006) may be particularly motivating for these youth. Injunctive norms may increase their engagement in normative behaviors given their expectation for social rewards, but decrease their engagement in deviant behaviors since this may pose a risk for social rejection. Similarly, intervention strategies that incorporate mentoring, such as Big Brothers/Big Sisters of America and the Buddy System mentoring program (see Tolan, Henry, Schoeny, Bass, Lovegrove, & Nichols, 2013), are likely to foster healthy development and increase diversion from risk-elevating behaviors for adolescents with the GG genotype. However, significantly more work in this area is needed with larger and more diverse samples prior to informing specific strategies and stratification of interventions by genotype. These findings support the need for continued research examining the role of specific genetic variants as potential susceptibility factors beyond risk frameworks.

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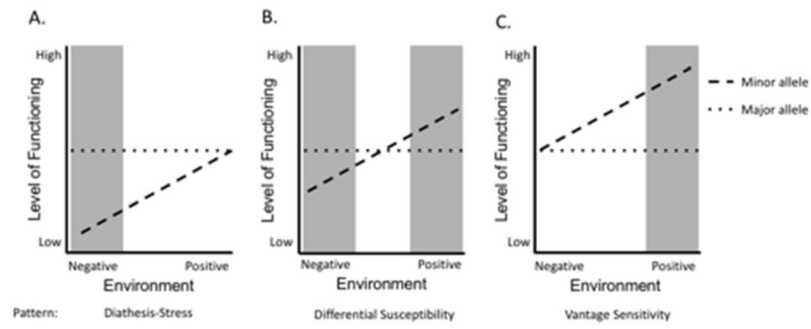
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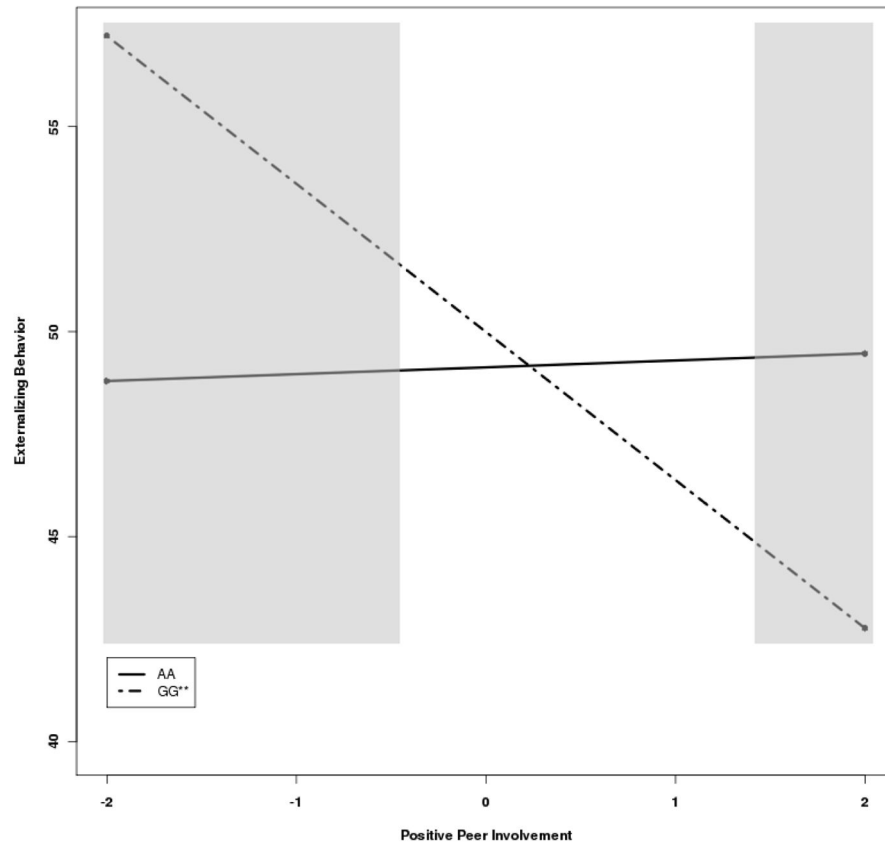
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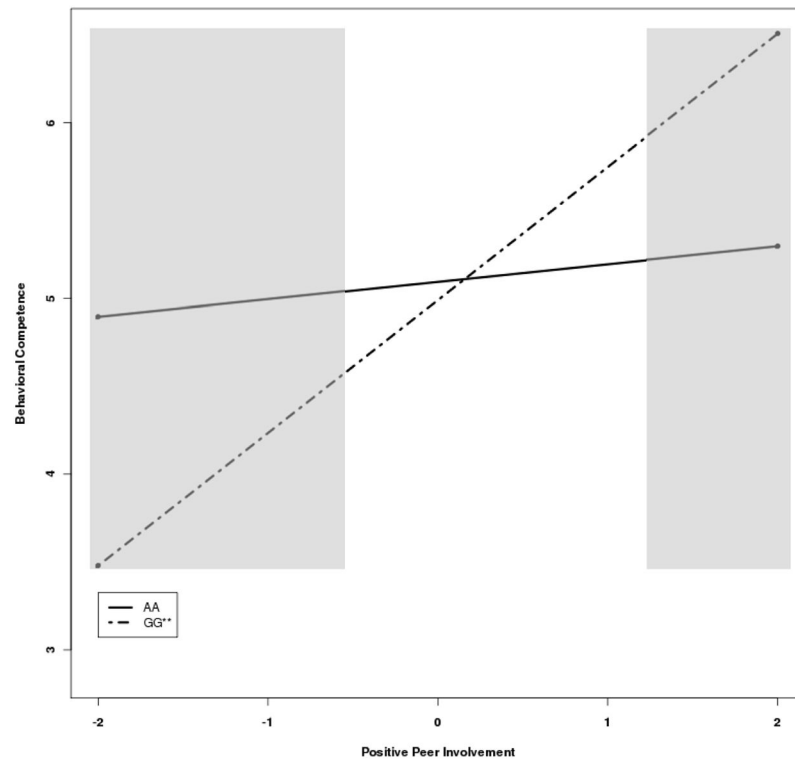




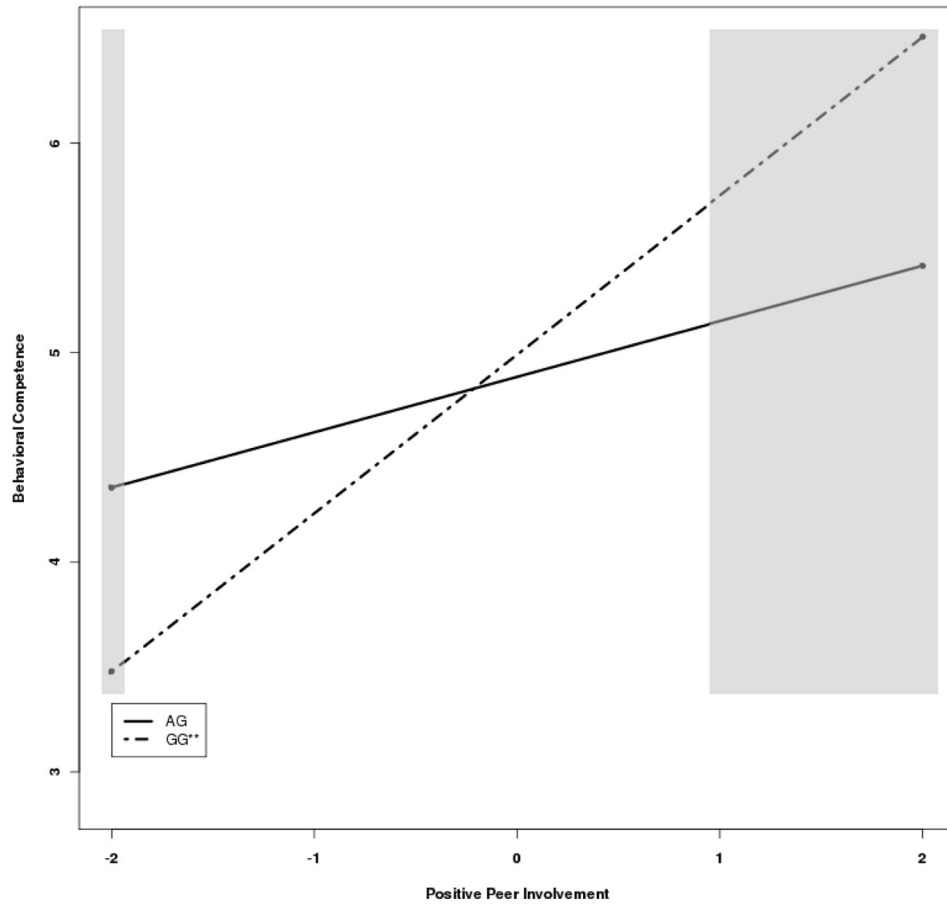
**Figure 1.** Forms of gene x environment interactions. Panel A. Prototypical ordinal diathesis-stress interaction pattern. Panel B. Prototypical disordinal differential sensitivity pattern. Panel C. Prototypical ordinal vantage sensitivity pattern. Shaded regions represent regions of significance.



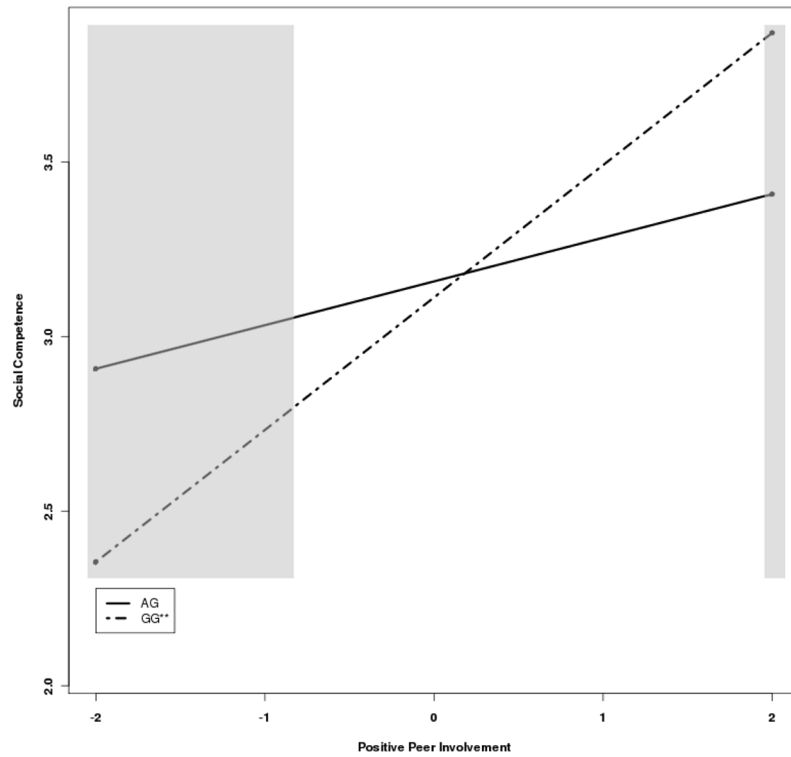
**Figure 2.** Positive peer involvement predicting externalizing behavior by *GABRA2* (GG vs. AA genotype). Note: \*\* =  $p < .001$ . Shaded region represents region of significance (outside -0.49 and 1.31).



**Figure 3.** Positive peer involvement predicting behavioral competence by *GABRA2* (GG vs. AA genotype). Note: \*\* =  $p < .001$ . Shaded region represents region of significance (outside  $-0.60$  and  $1.24$ ).



**Figure 4.** Positive peer involvement predicting behavioral competence by *GABRA2* (GG vs. AG genotype). *Note:* \*\* =  $p < .001$ . Shaded region represents region of significance (outside  $-1.99$  and  $0.90$ ).



**Figure 5.** Positive peer involvement predicting social competence by *GABRA2* (GG vs. AG genotype). *Note.* \*\* =  $p < .001$ . Shaded region represents region of significance (outside  $-0.86$  and  $1.97$ ).

**Table 1**

Means, Standard Deviations, and Correlations for Study Variables

	Mean	SD	1	2	3	4	5	6	7	8	9
1. Sex (0 = boys)	0.30	0.46	--								
2. Race (0 = White)	0.07	0.26	0.07	--							
3. Family AUD (0 = control)	0.62	0.49	-0.03	<b>0.14</b>	--						
4. Positive Peer Involvement	2.59	0.58	0.11	-0.10	<b>-0.28</b>	--					
5. <i>GABRA2</i>	1.87	0.69	<b>-0.12</b>	-0.04	<b>0.14</b>	-0.05	--				
6. Externalizing	48.99	7.47	0.01	<b>0.17</b>	<b>0.18</b>	<b>-0.25</b>	0.06	--			
7. Academic C	3.46	0.97	<b>0.14</b>	<b>-0.23</b>	<b>-0.20</b>	<b>0.27</b>	-0.04	<b>-0.41</b>	--		
8. Behavioral C	5.05	1.36	<b>0.19</b>	<b>-0.11</b>	<b>-0.27</b>	<b>0.31</b>	-0.10	<b>-0.70</b>	<b>0.67</b>	--	
9. Social C	3.15	0.67	0.08	-0.06	<b>-0.22</b>	<b>0.34</b>	-0.01	<b>-0.34</b>	<b>0.63</b>	<b>0.58</b>	--

Note. C = Competence; Bold values =  $p < .05$

**Table 2**

## Multilevel Linear Regression Model for Teacher-Reported Outcomes

	Coefficient	SE	t value
<i>Model Predicting Externalizing Behavior</i>			
Intercept	49.99**	1.03	48.66
Sex (0 = boys)	0.36	0.89	0.94
Family AUD (0 = control)	0.86	0.47	1.83
Race (0 = White)	1.73*	0.69	2.50
Positive Peer Involvement	-3.61**	0.99	-3.64
GG vs. AA	-0.86	1.26	-0.69
GG vs. AG	-0.02	1.13	-0.01
GG vs. AA x Positive Peer Involvement	3.78*	1.24	3.05
GG vs. AG x Positive Peer Involvement	1.97	1.14	1.73
<i>Model Predicting Academic Competence</i>			
Intercept	3.33**	0.13	25.55
Sex (0 = boys)	0.12	0.05	2.26
Family AUD (0 = control)	-0.11	0.06	-1.94
Race (0 = White)	-0.29**	0.08	-3.50
Positive Peer Involvement	0.40*	0.13	3.05
GG vs. AA	0.01	0.16	0.05
GG vs. AG	0.07	0.15	0.49
GG vs. AA x Positive Peer Involvement	-0.31	0.17	-1.84
GG vs. AG x Positive Peer Involvement	-0.22	0.15	-1.48
<i>Model Predicting Behavioral Competence</i>			
Intercept	4.99**	0.18	27.79
Sex (0 = boys)	0.20*	0.07	2.85
Family AUD (0 = control)	-0.25*	0.08	-3.21
Race (0 = White)	-0.18	0.12	-1.55
Positive Peer Involvement	0.76**	0.18	4.27
GG vs. AA	0.10	0.22	0.47
GG vs. AG	-0.11	0.20	-0.53
GG vs. AA x Positive Peer Involvement	-0.66*	0.22	-2.96
GG vs. AG x Positive Peer Involvement	-0.49*	0.20	-2.40
<i>Model Predicting Social Competence</i>			
Intercept	3.11**	0.09	36.14
Sex (0 = boys)	0.03	0.04	0.72

	<b>Coefficient</b>	<b>SE</b>	<b>t value</b>
Family AUD (0 = control)	-0.10 <sup>*</sup>	0.04	-2.55
Race (0 = White)	-0.02	0.07	-0.31
Positive Peer Involvement	0.38 <sup>**</sup>	0.09	4.21
GG vs. AA	-0.05	0.11	-0.47
GG vs. AG	0.05	0.10	0.45
GG vs. AA x Positive Peer Involvement	-0.22 <sup>†</sup>	0.12	0.06
GG vs. AG x Positive Peer Involvement	-0.25 <sup>*</sup>	0.10	-2.43

Notes:

<sup>†</sup>  
 $p < .05$ ;

<sup>\*</sup>  
Bonferroni correction  $p < .0167$ ;

<sup>\*\*</sup>  
 $p < .001$

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