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Clinicopathologic Significance of Mismatch Repair Defects in Endometrial Cancer: An NRG Oncology/Gynecologic Oncology Group Study

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ABSTRACT

Purpose

The clinicopathologic significance of mismatch repair (MMR) defects in endometrioid endometrial cancer (EEC) has not been definitively established. We undertook tumor typing to classify MMR defects to determine if MMR status is prognostic or predictive.

Methods

Primary EECs from NRG/GOG0210 patients were assessed for microsatellite instability (MSI), MLH1 methylation, and MMR protein expression. Each tumor was assigned to one of four MMR classes: normal, epigenetic defect, probable mutation (MMR defect not attributable to MLH1 methylation), or MSI-low. The relationships between MMR classes and clinicopathologic variables were assessed using contingency table tests and Cox proportional hazard models.

Results

A total of 1,024 tumors were assigned to MMR classes. Epigenetic and probable mutations in MMR were significantly associated with higher grade and more frequent lymphovascular space invasion. Epigenetic defects were more common in patients with higher International Federation of Gynecology and Obstetrics stage. Overall, there were no differences in outcomes. Progression-free survival was, however, worse for women whose tumors had epigenetic MMR defects compared with the MMR normal group (hazard ratio, 1.37; $P < .05$; 95% Cl, 1.00 to 1.86). An exploratory analysis of interaction between MMR status and adjuvant therapy showed a trend toward improved progression-free survival for probable MMR mutation cases.

Conclusion

MMR defects in EECs are associated with a number of well-established poor prognostic indicators. Women with tumors that had MMR defects were likely to have higher-grade cancers and more frequent lymphovascular space invasion. Surprisingly, outcomes in these patients were similar to patients with MMR normal tumors, suggesting that MMR defects may counteract the effects of negative prognostic factors. Altered immune surveillance of MMR-deficient tumors, and other host/ tumor interactions, is likely to determine outcomes for patients with MMR-deficient tumors.

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INTRODUCTION

Uterine cancer is the most common gynecologic malignancy in the United States, with an estimated 60,050 new cases in $2016¹$ $2016¹$ $2016¹$ Most uterine cancers are endometrial carcinomas (ECs). The histologic and biologic heterogeneity of EC has been recognized for more than two decades, $2,3$ and recent molecular characterization of ECs has emphasized

the etiologic heterogenety.⁴ Endometrioid EC (EEC) is the most common subtype, making up approx-imately 80% of cases.^{5,[6](#page-5-0)} Risk factors for EC include hyperestrinism, obesity, nulliparity, and inherited mutations in mismatch repair (MMR) genes resulting in Lynch syndrome.^{[7,8](#page-5-0)}

Most EECs present at early stage. For women with stage I or II disease, the overall 5-year survival approaches 90%.^{1,9,[10](#page-5-0)} However, outcomes are poor for women with advanced-stage or recurrent disease.

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Although the absolute risk for recurrence for women with earlystage (I or II) EEC is low, the large number of patients means there is significant morbidity and mortality associated with early-stage EEC.

Loss of MMR is a frequent event in EEC, with reported rates ranging from approximately 20% to 40%.^{[4,11](#page-5-0)-[15](#page-5-0)} In fact, the rate of defective MMR in EC is nearly twice that in colorectal cancers.

Defective MMR results in greatly increased rate of strandslippage mutations leading to microsatellite instability (MSI). Many tumors with defective MMR fail to express one or more MMR proteins. Although the vast majority of ECs with defective MMR are sporadic, 3% to 5% of cases develop disease because of inherited mutations in DNA MMR genes (Lynch syndrome). Tumor MSI and MMR immunohistochemistry (IHC) are used by many centers as part of screening for Lynch syndrome in patients with EC, and universal tumor screening has been recommended.^{[16-18](#page-5-0)} The same approach to screening for Lynch syndrome in patients with colorectal cancer has been widely adopted.¹⁹ In addition to identifying potential germline mutation carriers, MMR analysis of colorectal tumors has use as both a prognostic and a predictive test.^{20-[22](#page-5-0)} The relationship between MMR defects and outcomes in patients with EC has not been fully established. Some studies have suggested improved outcomes for women whose tumors have MMR defects, whereas others indicated worse or no difference in outcome.^{12,[13](#page-5-0),[23-42](#page-5-0)} Differences in the methods used to assess MMR abnormalities and the types of cancers studied may account for the variable findings reported to date.

In the study reported here, we limited analysis to women with EEC enrolled in an NRG trial, GOG210. We hypothesized that comprehensive MMR typing in a large cohort would reveal associations between different MMR classes and clinicopathologic features. Understanding the relationship between tumor MMR status and outcomes, including response to adjuvant therapy, will be critical to the design and implementation of trials for treating advanced-stage and recurrent EEC, including biologic therapies such as immune checkpoint blockade.

METHODS

Patient Cohort and Clinical and Demographic Data

Subjects were investigated as part of NRG/Gynecologic Oncology Group's GOG8020 protocol. They were recruited to the GOG0210 study between July 2003 and September 2007, during which time 2,471 eligible EEC cases were registered.^{[43](#page-6-0)} An NRG/Gynecologic Oncology Group (GOG) Tissue Bank pathologist (N.R.) reviewed 1,673 cases for tumor cellularity and necrosis. Adequate high neoplastic cellularity tissues (estimated $>66\%$ tumor cell content and $<$ 25% necrosis) were available for 611 subjects. Formalin-fixed paraffin-embedded tissue sections were microdissected for an additional 432 subjects.^{[14](#page-5-0)} Clinical reports and tumor slides for the 1,043 subjects were centrally reviewed by GOG/NRG pathologists. Analyses were limited to EEC, the histologic type in which MMR defects are most common.^{[44](#page-6-0)} Molecular studies were approved by the Washington University Human Studies Committee (201102157).

Analysis of Tumors and Normal DNA

All tumors were assessed for MSI, expression of MMR proteins, and MLH1 methylation. DNA preparation and MSI and MLH1 methylation analyses were carried out as previously described.^{[14](#page-5-0),[45,46](#page-6-0)} Briefly, MSI testing was performed using a five-plex assay for the National Cancer Institute consensus markers. 47 When MSI was seen with a single marker, the finding was confirmed with repeat polymerase chain reaction and the tumor classified as MSI-low. Tumors with MSI at two or more markers were classified as MSI-high. MLH1 methylation was evaluated using pyrosequencing and/or combined bisulfite restriction analysis.^{[14](#page-5-0)} IHC for MSH6, MSH2, and MLH1^{[46](#page-6-0),[48](#page-6-0)} and PMS2 for a subset of tumors has been described for this cohort.^{[14](#page-5-0)}

Statistical Analysis

The relationship between MMR status and clinical and demographic features was assessed using χ^2 and analysis of deviance tests. Diseasespecific survival (endometrial cancer–specific survival, ECS) was defined as the time (months) from date of surgery to death due to EC. Those subjects who did not die as a result of EC were censored at the date of last contact. Progression-free survival (PFS) was defined as the time from surgery to recurrence or progression. The Kaplan-Meier product limit method was used to estimate survival. The log-rank test was used to test for differences in survival (ECS and PFS) by MMR status. Cox proportional hazard regression was used to estimate the effect of MMR status on ECS and PFS adjusting for covariates.[49](#page-6-0) Clinically accepted prognostic factors significant on univariate analysis were included in the model, including age, stage, and tumor grade. All analyses were two-sided, and significance was set at a P value of .05. Statistical analyses were performed using R.^{[50](#page-6-0)}

RESULTS

Combined MSI, MLH1 methylation, and IHC analyses were undertaken to assign 1,024 tumors to one of four molecular MMR classes. Nineteen additional tumors could not be classified because of failure of one or more tests. Six hundred thirty-nine tumors (62.40%) were classified as MMR normal (no MSI, no IHC defect), 264 (25.78%) as epigenetic MMR defective (MSI-positive with MLH1 methylation), and 99 (9.67%) as probable genetic MMR mutation (MSI-positive and/or IHC defect with absence of MLH1 methylation; [Fig 1A](#page-2-0)). Only 22 tumors (2.15% of cohort) were classified as MSI-low.

MMR status was significantly associated with age at diagnosis and body mass index (BMI; [Table 1\)](#page-3-0). As has been previously reported, women whose tumors were classified as having epigenetic MMR defects were older than MMR normal or probable mutation cases.^{[14](#page-5-0)[,51,52](#page-6-0)} Sixty-nine percent of women with tumors classified as having an epigenetic defect were ≥ 60 years of age at diagnosis, in contrast to 36% of women with tumors classified as probable MMR mutation. Women whose tumors were classified as MSI-low were older as well (68.20% were ≥ 60 years). Probable MMR mutation cases had lower BMIs, with 26.26% being \leq 25 kg/m² (normal or underweight), and only 50.50% were obese (BMI \geq 30 kg/m²), compared with approximately 69.70%, 67.68%, and 63.64% being obese in the MMR normal, epigenetic MMR defect, and MSI-low groups, respectively. MMR tumor class was not associated with race.

Grade, stage, and lymphovascular space invasion (LVSI) were all significantly associated with MMR status [\(Table 1\)](#page-3-0). Tumors with epigenetic MMR defects were more common in patients diagnosed with higher stage than the other three tumor classes [\(Fig 1B](#page-2-0)). Nearly 22% of the epigenetic MMR defect group had stage III or IV disease compared with 13% to 14% for the other three groups ([Table 1\)](#page-3-0). MMR defects in tumors (either epigenetic or probable mutation) were significantly associated with traditional prognostic features that portend poor outcomes: higher grade and LVSI [\(Fig](#page-2-0) [1B](#page-2-0)). MMR normal cases more frequently had grade 1 disease

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Fig 1. Mismatch repair (MMR) status and association with clinicopathologic and demographic variables for 1,024 endometrioid endometrial cancers. (A) Frequency distribution of four different MMR classes. (B) Patterns seen across the four MMR types for significantly associated variables. P values for Pearson's χ^2 tests. BMI, body mass index; LVSI, lymph-vascular space invasion; MSI, microsatellite instability.

(46.17%) than the other three groups (combined, 35.49% grade 1). The majority of tumors with epigenetic MMR defects were grade 2 (50%). The most striking difference was with LVSI: the two groups with MMR defects (epigenetic and probable mutation combined) had a 32.77% rate of LVSI compared with 17.13% for MMR normal group (odds ratio, 2.36; 95% CI, 1.74 to 3.19; $P < .001$). Tumor MMR status did not vary by depth of invasion or use of adjuvant therapy ([Table 1\)](#page-3-0).

Outcome analyses were performed comparing the MMR normal, epigenetic MMR defects, and probable MMR mutation groups. The MSI-low group was not included because of the small number of cases. MMR status was not associated with PFS or ECS when the three classes were considered ([Fig 2](#page-4-0)). Univariate analysis did, however, suggest worse PFS for women whose tumors had epigenetic defects ($P = .100$; analysis of deviance; Appendix [Table](#page-8-0) [A1,](#page-8-0) online only). In fact, Kaplan-Meier analysis gave a hazard ratio of 1.37 for cases with epigenetic defects ($P \leq .05$; 95% CI, 1.00 to 1.86; Appendix [Table A1\)](#page-8-0). A trend toward better survival was observed for the MMR mutation group. Stage, grade, presence of LVSI, and myometrial invasion were all associated with reduced survival in univariate analyses (Appendix [Table A1](#page-8-0)). Age was significantly associated with PFS but not ECS. When the five factors

significant in univariate analysis were included in a multivariable analysis (along with adjuvant therapy), there was no evidence of association between MMR class and outcome (Appendix [Table A2,](#page-8-0) online only).

Given the substantial body of literature indicating that MMR defects are predictive in colorectal cancer,^{[53](#page-6-0)-[55](#page-6-0)} we assessed MMR status and treatment interactions. The differences in efficacy of adjuvant therapy with respect to PFS across MMR classes were not statistically significant using Cox regression analysis ($P = .08$; Appendix [Table A3,](#page-9-0) online only). Nonetheless, we explored what seemed to be trend for interaction by including the covariates stage, grade, presence of LVSI, myometrial invasion, and age in the analysis and examining the individual MMR classes. This further analysis suggested that trend observed in the Cox regression for PFS is driven by the probable mutation cases. The hazard ratio comparing adjuvant therapy with no therapy is greater for tumors with probable MMR mutation, compared with MMR normal tumors. The hazard ratio comparing therapy to untreated is .80 for MMR normal tumors and is $0.80 \times 0.24 = 0.19$ for tumors with probable mutation (Appendix [Table A4,](#page-9-0) online only). The factor 0.24 ($P = .07$; 95% CI, 0.05 to 1.16), although not statistically significant, attributes a four-fold change in the advantage of adjuvant therapy for tumors with probable mutation, compared with MMR normal tumors. That is, adjuvant therapy reduces the hazard ratio from 1.0 to 0.8 in MMR normal tumors, but the reduction is from 1.0 to 0.19 in tumors with probable MMR mutation. An effect of similar magnitude was seen for the ECS, where the hazard ratio measuring the effect of adjuvant therapy was 0.87 for normal tumors and was reduced by a factor of 0.18 ($P = .13$; 95% CI, 0.02 to 1.70) to be $0.18 \times 0.87 = 0.16$ for probable mutation tumors in the multivariable analysis (Appendix [Table A4\)](#page-9-0).

DISCUSSION

In this study, we assessed the relationship between tumor MMR status and clinicopathologic features in what is the largest series of EECs investigated to date. We identified highly significant associations between MMR abnormalities and known negative prognostic factors. To our knowledge, this is the first large-scale study in which tumor MMR phenotyping has fully integrated MSI, IHC, and MLH1 methylation analyses. Our molecular classification of tumors allowed us to assign each case to one of four nonoverlapping MMR classes. Earlier studies that have tested for relationships between MMR defects and clinicopathologic variables have in general relied on either MSI or IHC findings. In some instances, subsets of tumors investigated were assessed using both MSI and IHC methods. MLH1 methylation has also been included in some studies, typically for a selected subset of tumors.

MMR defects (epigenetic or probable MMR mutation classes) were significantly associated with clinical features that portend poor outcomes (Fig 1B). Higher tumor grade and presence of LVSI were associated with both epigenetic MMR defects and probable MMR mutations. The association with grade was reported pre-viously for a large EEC cohort^{[45](#page-6-0)} in which tumors were classified based on MSI status, but that did not include MMR IHC or MLH1 methylation. The MSI-positive group would thus include all of the epigenetic and many of the probable MMR mutation cases. In

NOTE. Data presented as No. (%).

Abbreviations: LVSI, lymphovascular space invasion; MMR, mismatch repair; MSI, microsatellite instability; NS, not significant.

*Pearson's x² tests. Missing data: LVSI for 19 patients, depth of invasion for 29 patients, adjuvant therapy for three patients, and BMI for three patients.

a series of 473 ECs, 379 of which were EECs, reported by Black et al, 33 the association with higher grade was not statistically significant. There was, however, a clear trend toward higher frequency of grade 2 tumors among the MSI-positive tumors, and it is possible that the difference in grade would be statistically significant when only EECs were considered. There have been multiple reports that higher grade is associated with MMR defects.^{[23,27,39,](#page-5-0)[56](#page-6-0)} On the other hand, many studies did not see an association with grade.[12,24](#page-5-0),[31,32](#page-5-0),[36,41](#page-5-0)

The relationship with LVSI has not been explored extensively. In the cohort we investigated, the association between MMR defects and presence of LVSI was highly significant ($P \le 0.001$; Table 1), with an odds ratio of 2.34 (95% CI, 1.73 to 3.17) for MMR-deficient tumors having LVSI compared with MMR normal cases. An association between absent MLH1 and LVSI was reported previously in two smaller series. Cohn et al³⁴ analyzed 336 tumors using IHC for MLH1, MSH2, MSH6, and PMS2. The increased frequency of LVSI and MMR defects was limited to tumors with absent MLH1. Bilbao et al³⁵ investigated 93 tumors in which MMR status was determined by MSI analysis, and Shih et al reported increase frequency of LVSI in young patients with EC with MMR defects.^{[56](#page-6-0)} No association was seen in two large series.^{[31,33](#page-5-0)} In a recent study in which MMR status using IHC, MSI, and methylation analysis, association was seen only with some MMR classes.⁴² Again, the inclusion of non-EEC cases and differences in

MMR typing may explain the difference between our study and what was reported previously.

The association with higher-stage disease was limited to women whose tumors had epigenetic MMR defects. Among the MMR normal cases, 13.3% had stage III or IV disease, whereas nearly 22% of patients with an epigenetic MMR defect cases were diagnosed with stage III or IV (Table 1). This finding is consistent with previous reports, noting many of these earlier studies included non-EEC cases.^{[27,33,35,36,39](#page-5-0)}

Given the increased rate of LVSI and advanced-stage and higher-grade tumors associated with MMR defects in the GOG210 cohort, we expected poorer outcomes for women with MMRdeficient tumors. There were, however, no significant differences in PFS or ECS when the three MMR groups (MMR normal, epigenetic MMR defect, and probable MMR mutation) were compared ([Fig 2](#page-4-0)). The better-than-anticipated outcomes for women with tumors with defective MMR could reflect differences in T-cell or other immune responses to tumors with MMR defects that balance the effects of grade, stage, and LVSI.^{57-[59](#page-6-0)} Trends in the survival curves indicated worse outcomes for those women with tumors with epigenetic MMR defects. In fact, the hazard ratio for reduced PFS was 1.37 (95% CI, 1.00 to 1.86; Appendix [Table A1](#page-8-0)) for women with epigenetic MMR defects, demonstrating their outcomes were worse. As expected, the multivariable analyses showed no association between MMR status in PFS or ECS

Fig 2. Tumor mismatch repair (MMR) status and outcomes. (A) Progression-free survival. (B) Endometrial cancer–specific survival. Pvalues for likelihood ratio tests. Blue line, MMR normal; gold line, epigenetic defects; gray line, probable mutations.

(Appendix [Table A2](#page-8-0)). The expected associations with age, stage, grade, and LVSI, on the other hand, were evident (Appendix [Table A1\)](#page-8-0).

Our analysis also suggests that MMR status is associated with response to adjuvant therapy. Improved PFS and ECS were seen for those women who had adjuvant therapy and whose tumors were classified as having a probable MMR mutation who underwent additional treatment. In a recent report on 221 Japanese patients, a similar trend was noted. $42 \text{ Cox regression analysis PFS assessing}$ $42 \text{ Cox regression analysis PFS assessing}$ the MMR status by treatment interaction was suggestive ($P = .09$) for MMR status and $P = .08$ for MMR status and adjuvant status combined; Appendix [Table A3](#page-9-0)). In multivariable analysis, this trend remained, with the survival advantage greatest for those women with probable MMR-mutant tumors receiving adjuvant therapies (Appendix [Table A4\)](#page-9-0). No such effect was seen in the epigenetic defect group, and the differences in outcomes for patients with the two different classes of MMR defect are highly suggestive. Despite the large size of our study, our power to detect differences in survival is limited by the modest number of cases whose tumors were classified as probable mutation (99, 10% of cohort) and further by the fact that only 26 subjects received adjuvant therapy.

We, and others, have previously demonstrated that the group classified as probable MMR mutation includes germline cases (women with Lynch syndrome) and cases with somatic mutations. $14,52$ $14,52$ $14,52$ The published literature on EC outcomes for Lynch syndrome is extremely limited.^{[60](#page-6-0)} However, it is generally accepted that outcomes are better for patients with colon cancer with Lynch syndrome than for other patients with colon cancer. $61-63$ $61-63$ $61-63$ Possible explanations for why patients with colon cancer with Lynch syndrome have better survival include reduced viability of the tumor cells overall because of their high mutation burden/genetic instability, $64-67$ the fact that Lynch colon cancers are diploid, $68,69$ $68,69$ and because Lynch tumors have increased T-cell infiltration.^{[70,71](#page-6-0)} These factors are unlikely to explain differences in response to adjuvant therapy for EEC for the epigenetic and probable mutation classes. Both tumor groups are deficient in MMR and in principle have the same tumor mutation burden. $4,14$ However, it is known that some somatic mutations distinguish epigenetic and MMR mutant tumors, the best example being BRAF mutations in co-lorectal cancers.^{[72](#page-6-0)} The younger age of women with probable MMR defects compared with those with epigenetic defects could in part explain why the two MMR classes differ with respect to their outcomes. However, the same pattern as observed overall is reproduced within the subclasses of women younger than age 60 years and older than age 60 years. Immune surveillance of tumors in the two molecularly defined groups could, in part, explain differences in outcomes and, in particular, responses to adjuvant treatment.

From this analysis of a large, prospectively collected cohort of patients with EEC, we can conclude that MMR deficiency is associated with traditional prognostic factors, including stage, grade, and presence of LVSI. Although women with epigenetic defects had somewhat lower PFS, the overall effect was less than would be expected given the strong association with higher grade (66% grade 2 or 3), higher stage (22% stage III or IV), and frequent LVSI (33%). One possible explanation for the better-than-expected outcomes is that the MMR-deficient tumors are eliciting an antitumor immune response, as has been described for POLE ultramutated tumors.⁷³ One of the most clinically relevant and intriguing findings for this study is that MMR status may be associated with response to adjuvant therapy. Given the fact that many centers routinely test for MMR defects and MLH1 methylation, it should be possible to rapidly undertake retrospective studies to validate the findings we report here.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [www.jco.org.](http://www.jco.org)

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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Clinicopathologic Significance of Mismatch Repair Defects in Endometrial Cancer: An NRG Oncology/Gynecologic Oncology Group Study

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Appendix

Abbreviations: BMI, body mass index; ECS, endometrial cancer–specific survival; HR, hazard ratio; LVSI, lymphovascular space invasion; MMR, mismatch repair; NS, not significant; PFS, progression-free survival.

*Analysis of deviance

Abbreviations: ECS, endometrial cancer–specific survival; HR, hazard ratio; LVSI, lymph-vascular space invasion; MMR, mismatch repair; NS, not significant; PFS, progression-free survival. *Likelihood ratio P values for full tests and Wald P values for individual tests.

Clinicopathologic Features Associated With MMR Defects in EEC

Abbreviations: ECS, endometrial cancer–specific survival; HR, hazard ratio; LVSI, lymph-vascular space invasion; MMR, mismatch repair; NS, not significant; PFS, progression-free survival. *Wald test P values.