

# Self-regulation via neural simulation

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**Can taking the perspective of other people modify our own affective responses to stimuli? To address this question, we examined the neurobiological mechanisms supporting the ability to take another person's perspective and thereby emotionally experience the world as they would. We measured participants' neural activity as they attempted to predict the emotional responses of two individuals that differed in terms of their proneness to experience negative affect. Results showed that behavioral and neural signatures of negative affect (amygdala activity and a distributed multivoxel pattern reflecting affective negativity) simulated the presumed affective state of the target person. Furthermore, the anterior medial prefrontal cortex (mPFC)—a region implicated in mental state inference—exhibited a perspective-dependent pattern of connectivity with the amygdala, and the multivoxel pattern of activity within the mPFC differentiated between the two targets. We discuss the implications of these findings for research on perspective-taking and self-regulation.**

perspective-taking | emotion regulation | mPFC | simulation | amygdala

The ability to respond adaptively in the face of emotionally challenging situations is essential to mental and physical health. So much so, in fact, that emotion dysregulation is a core feature of virtually every form of psychopathology. Given this, it isn't surprising that the last decade has seen enormous growth in behavioral and brain research asking how we can effectively regulate our emotions. Although this work has made many important advances (1, 2), it has focused almost entirely on cognitive regulatory strategies that involve controlling attention to and/or rethinking the meaning of stimuli and events. As such, this work has completely overlooked the way in which social cognitive processes can be used to regulate our emotions.

The use of social cognition to regulate emotion was suggested by classic works in social psychology (3), which noted that by simulating others' perspective on the world we could shape our own experience and behavior. It is exemplified by "(Stanislavski) method actors" who understand a role by attempting to generate within themselves the presumed thoughts and feelings of a character, thereby allowing themselves to go beyond the written words in the script and respond as their character would (4). It is also present in everyday life when we seek guidance with respect to emotional dilemmas by asking ourselves how a friend, family member, mentor or religious figure (e.g., "What would Jesus do?") would respond in that situation.

In the current research we asked whether and how taking the perspective of other people can modify our own affective responses to stimuli. For example, by thinking of how someone more brave than ourselves would respond to a situation, we might down-regulate negative emotions, decrease aggression, and calm frazzled nerves. Alternatively, by thinking of how someone more sensitive and anxious would respond to the situation, we might enhance vigilance and increase reactivity to threatening situations.

To address these possibilities, we conducted a neuroimaging experiment investigating whether seeing the world through the eyes of a "tough" vs. a "sensitive" person can up-regulate or down-regulate affective responding, respectively. Furthermore, we sought to delineate the neural mechanisms by which such perspective-dependent regulatory consequences transpire.

Although no prior work has addressed these questions, per se, the literatures on emotion regulation (1, 5–11) and perspective-taking (12–18) can be integrated to generate testable hypotheses. On one hand, research on emotion regulation has shown that activity in lateral prefrontal cortex (i.e., dorsolateral prefrontal cortex and ventrolateral prefrontal cortex) and middle medial prefrontal cortex (i.e., presupplementary motor area, anterior ventral midcingulate cortex, and anterior dorsal midcingulate cortex) (19) supports the use of cognitive strategies to modulate activity in (largely) subcortical systems for triggering affective responses, such as the amygdala, thereby altering individuals' emotional responses (2). On the other hand, research on perspective-taking has shown that drawing inferences about the mental states of others (also known as "mentalizing")—as would be involved in simulating their perspective on an event—is supported by a network of regions centered on the anterior medial frontal cortex, specifically, the pregenual anterior cingulate cortex (pgACC) and the dorsomedial prefrontal cortex (dmPFC) (13, 19, 20).

Based on this literature, we formulated two hypotheses. First, we predicted that by taking the perspective of a target person, an individual could change behavioral and brain markers of affective responding, thereby providing evidence that one is emotionally experiencing the world the way the target would. Second, we predicted that these regulatory effects would be supported not by lateral prefrontal regions implicated in attentional and cognitive control, but rather, by dorsomedial prefrontal regions involved in perspective-taking. Put another way, we predicted that perspective-taking related activity in the anterior mPFC would regulate activity in neural systems for affective responding.

To test these hypotheses, we collected whole-brain fMRI data while participants attempted to predict the affective responses of other individuals. Before scanning, participants were presented with descriptions of two people, who they were led to believe had

## Significance

**As Harper Lee tells us in *To Kill a Mockingbird*, "You never really understand a person until you consider things from his point of view, until you climb in his skin and walk around in it." Classic theories in social psychology argue that this purported process of social simulation provides the foundations for self-regulation. In light of this, we investigated the neural processes whereby humans may regulate their affective responses to an event by simulating the way others would respond to it. Our results suggest that during perspective-taking, behavioral and neural signatures of negative affect indeed mimic the presumed affective state of others. Furthermore, the anterior medial prefrontal cortex—a region implicated in mental state inference—may orchestrate this affective simulation process.**

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previously participated in the experiment. These descriptions suggested that one person was likely to be emotionally sensitive and squeamish, whereas the other was likely to be rugged and tough. Next, participants viewed neutral and negative affect-inducing images and evaluated the images from either their own or the tough or sensitive targets' perspective.

We examined the effect of perspective-taking on multiple behavioral and brain markers of affective responding, including reports of the target's predicted affective reactions to stimuli, activation in the amygdala (which is the brain region most strongly associated with detecting, encoding, and promoting responses to affectively relevant and especially potentially threatening stimuli) (21, 22), and finally, a recently identified picture-induced negative emotion signature (PINES) (23). PINES is a distributed, whole-brain multivoxel activation pattern developed using machine learning techniques that can reliably predict levels of negative affect elicited by aversive images. Because this signature is not affected by general arousal and is not reducible to activity in the amygdala, it provides a neural marker of negative affect independent of participants' own self-reports. We predicted that both neural measures of negative affective responding (amygdala and PINES) would simulate the presumed affective state of the target person; namely, negative affect-related activity would be up- vs. down-regulated for the sensitive (vs. tough) perspective.

To address the prefrontal systems that might support perspective-taking and regulate affective responding, we used a combination of connectivity and multivoxel pattern analyses to identify a brain region whose activity was associated with amygdala up-regulation when adopting the sensitive perspective and/or down-regulation for the tough perspective—and whose distributed pattern of activity provided evidence that it differentially represented the two perspectives. As noted, we predicted this region to be located in the anterior mPFC.

## Results

### Does Perspective-Taking Modulate Affective Processing?

**Behavioral ratings.** A manipulation check showed that participants reported more negative affect in response to negative than to neutral images [ $F(1,23) = 572.56, P < 0.001$ ]. We conducted an ANOVA to see whether the perspective manipulation indeed altered participants predicted affective response. The results showed a significant interaction [ $F(1,23) = 202.08, P < 0.001$ ], such that affect ratings were lower when participants viewed negative images from the perspective of the tough (mean = 2.564, SD = 0.118) vs. the sensitive target [mean = 3.793, SD = 0.103;  $t(23) = 12.60, P < 0.001$ ]. There was no significant difference in ratings for neutral images from the perspective of the tough (mean = 1.071, SD = 0.021) and sensitive (mean = 1.117, SD = 0.028) targets [ $t(23) = 1.58, P = 0.126$ ; Fig. 1]. There were also no significant differences in response latencies for the sensitive (mean = 921.57, SD = 129.19)

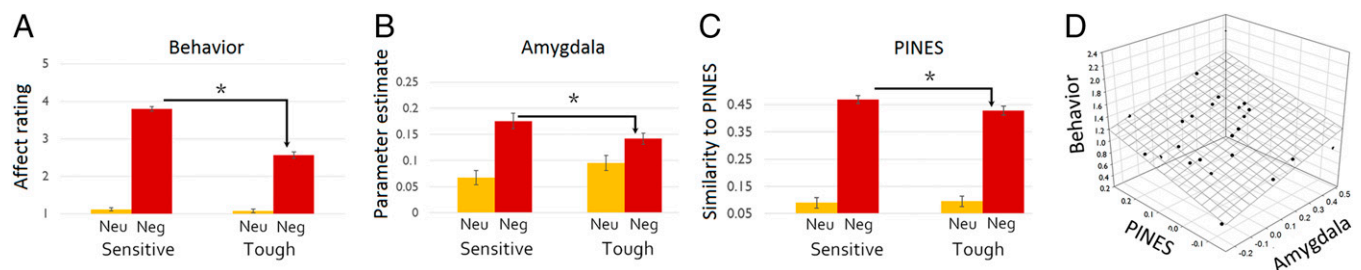
and tough (mean = 941.90, SD = 120.97) perspectives [ $t(23) = 0.8$ ; not significant].

For negative images, affect ratings from the self perspective (mean = 3.140, SD = 0.496) were higher than those for the tough target [ $t(23) = 5.08, P < 0.001$ ] and lower than those for the sensitive target [ $t(23) = 8.10, P < 0.001$ ]. For neutral images, affect ratings from the self perspective (mean = 1.058, SD = 0.104) did not differ from the tough perspective [ $t(23) = 0.48$ , not significant] and were lower than those for the sensitive perspective [ $t(23) = 2.63, P = 0.015$ ].

Based on participants' affect ratings for the tough, sensitive, and self perspectives, we calculated for each participant a measure of "similarity to sensitive/tough target" that indexed the extent to which affect ratings from the self perspective were more similar to one target or the other. This measure, alongside with other neural and self-report measures of self-other similarity, indicated that, overall, participants did not identify more with one perspective or another and that the level of self-other similarity did not modulate our key measures (see *SI Experimental Procedures*, Fig. S1, and Table S1 for details of these analyses).

**Amygdala analysis.** As a first step in examining whether perspective-taking modulates affective processing, we defined the right and left amygdala as anatomical regions of interest based on the Harvard-Oxford probabilistic atlas (using voxels with a 50% or higher probability of being labeled as the amygdala) and extracted parameter estimates for the six conditions (negative/neutral  $\times$  sensitive/tough/self). As predicted, in the left amygdala, when participants observed the images from their own perspective, activation was higher for negative (mean = 0.177, SD = 0.167) than for neutral (mean = 0.086, SD = 0.148) images [ $t(23) = 2.77, P = 0.005$ ]; likewise, in the right amygdala, activation was higher for negative (mean = 0.149, SD = 0.149) than for neutral (mean = 0.087, SD = 0.112) images [ $t(23) = 2.15, P = 0.020$ ].

After establishing that amygdala activity is responsive to the presentation of aversive images when viewing them from one's own perspective, we asked whether the amygdala was modulated when taking a tough or sensitive perspective. To do so, we conducted a  $2 \times 2$  ANOVA with perspective (sensitive/tough) and valence (negative/neutral) as within-participant factors. As predicted, the results showed an interaction of perspective and valence in both the right [ $F(1,23) = 6.77, P = 0.007$ , partial  $\eta^2 = 0.227$ ] and the left amygdala [ $F(1,23) = 2.96, P = 0.049$ , partial  $\eta^2 = 0.114$ ]. In the right hemisphere, amygdala activation was lower when viewing negative images from the perspective of the tough (mean = 0.141, SD = 0.098) vs. the sensitive target (mean = 0.175, SD = 0.120) [ $t(23) = 2.02, P = 0.027$ ]; there was no significant difference in activation for neutral images from the perspective of the tough (mean = 0.095, SD = 0.092) and sensitive (mean = 0.067, SD = 0.089) targets [ $t(23) = 1.38, P = 0.180$ ]. In the left hemisphere, there was a marginally significant effect wherein



**Fig. 1.** (A) Behavioral ratings of negative affect in response to negative images were higher for sensitive (vs. tough) targets. (B) right amygdala response to negative images was higher when adopting the sensitive (vs. the tough) perspective. (C) When participants adopted the sensitive (vs. tough) perspective, their neural response to negative images reflected higher levels of negative affect, measured as the level of similarity to the PINES pattern. Error bars denote within-participant SEs. (D) Participants who exhibited a greater difference in amygdala activity and PINES expression for the tough vs. sensitive target subsequently estimated greater differences in predicted negative affect for these targets.



greatest perspective-dependent modulation of the anterior mPFC-amygdala pathway. More specifically, our results showed that participants who showed the greatest perspective-dependent modulation of affect ratings showed a negative coactivation pattern between the anterior mPFC and the amygdala when adopting the tough perspective.

**MVPA.** If the anterior mPFC cluster identified in the PPI analysis is indeed responsible for the perspective-based modulation of amygdala activity, then the multivoxel pattern of activity in this region during image viewing could be expected contain information that can discriminate whether participants were taking the perspective of the tough or sensitive target. To test this, we conducted an MVPA examining classification accuracy in the anterior mPFC cluster. As predicted, the classifier was able to predict the perspective participants were taking with a mean accuracy of 54.60% (SD = 6.79), which significantly differed from chance performance [ $t(23) = 3.32, P = 0.001$ ]. There was no difference in overall average levels of activity in this cluster between the sensitive and tough conditions [ $t(23) = 0.37, P = 0.709$ ; see *SI Experimental Procedures* for further details concerning MVPA analyses].

## Discussion

We sought to investigate whether (and how) taking the perspective of other people can modify our own affective responses to stimuli. We hypothesized that (i) taking the perspective of others would regulate affective processing in neural mechanisms that subserve one's own affective experience and (ii) the neural system involved in regulating perspective-dependent affective processing would be a region implicated in mental states inference, such as the anterior mPFC (i.e., the dmPFC and pgACC).

Consistent with our first hypothesis, whenever participants took the perspective of a sensitive (vs. tough) target, three neural indicators of negative affective processing converged to suggest that participants "simulated," the presumed affective state of the target individual. First, amygdala activity was up-regulated for the sensitive (vs. tough) perspective. Second, a multivoxel, whole-brain pattern of activity that has been independently shown to accurately predict participants' affective state (PINES) (23) indicated up-regulated negative affectivity when taking a sensitive (vs. tough) perspective. Third, participants who behaviorally predicted a greater difference in the affective responses of the sensitive and tough targets also exhibited a greater difference in their PINES and amygdala response when adopting the sensitive (vs. tough) perspectives.

That perspective-taking modulates amygdala activity provides initial support to the claim that perspective-taking modulates

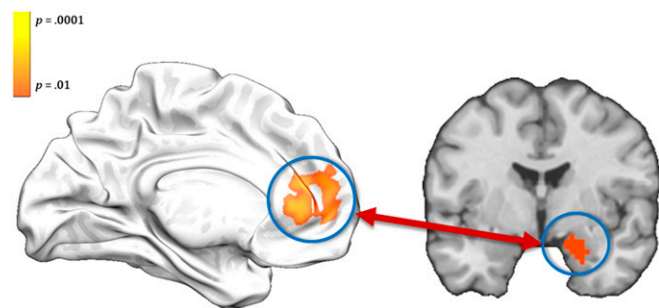
affective processing. However, because the amygdala responds to goal-relevant stimuli in general (22, 26, 27), it could be argued that its activation does not reflect negative affective intensity per se. However, the finding that perspective-taking modulated the PINES pattern—and that this modulation uniquely contributed to predictions of subsequent judgments of a targets affective response over and above amygdala activity—provides strong converging evidence to the claim that "seeing the world through another's eyes" really does change one's own affective processing.

Having provided support for that claim, we sought to delineate the cognitive and neural mechanisms by which such perspective-dependent regulatory consequences occur. Consistent with our second hypothesis, results suggested that the anterior mPFC may regulate, or exert top-down influence over, the affective simulation. Specifically, this brain region exhibited a pattern of perspective-dependent coupling with the amygdala that was dependent on the magnitude of perceived differences in the targets' affective response. Relatively speaking, when adopting a sensitive perspective, anterior mPFC activity was associated with increased amygdala activity; when adopting a sensitive perspective, anterior mPFC activity was associated with relatively decreased amygdala activity. Furthermore, an MVPA analysis showed that that the multivoxel pattern of activity in this region during image viewing contained information that discriminated whether participants were taking the perspective of the tough or sensitive target.

**Implications for the Study of Perspective-Taking.** The current research addressed an age-old question concerning the process of perspective-taking. It is often suggested that people are able to take the perspective of others through a process of simulation (note that the term simulation is polysemous: it can be used to discuss a cognitive process by which people may take the perspective of others, as well as a consequence of perspective taking. In this section we refer to the former). The philosopher Alvin Goldman described simulation as such: "First, the attributor creates in herself pretend states intended to match those of the target. . . The second step is to feed these initial pretend states into some mechanism of the attributor's own psychology . . . and allow that mechanism . . . to generate one or more new states (e.g., decisions)" (29, p. 80–81). In other words, according to simulation theory, the path to understanding the emotions of others relies on a readout from the very same core emotional processes that generate the emotional response in the self (see refs. 13, 30, and 31 for similar suggestions).

The current study allowed us to investigate the process of simulation with converging measures of affective processing. We showed that participants indeed exhibited greater affect negativity when they took the perspective of the sensitive (vs. tough) target. Importantly, participants who exhibited greater difference in amygdala activity/PINES expression for the tough vs. sensitive target subsequently exhibited greater difference in their evaluations of the affective state of these targets. Together, these findings present perhaps the most direct evidence, to date, for the viability of simulation theory.

The existence of shared mechanisms for both self- and other-focused processing is a prerequisite for simulation theory. However, it does not suffice to explain the process of perspective-taking. As acknowledged in some of the earliest discussions of simulation theory, if people were to simply copy their own experience and project it onto others, attempts at perspective-taking would be ineffective (15, 32). Thus, for perspective-taking to succeed, individuals must accommodate their simulation on the basis of a conceptual model of the target (e.g., "This guy is neurotic, he must be distressed by cockroaches"). This process is unlikely to rely on the amygdala alone, which is a phylogenetically ancient brain system that is unlikely to subserve the type of symbolic thought involved in conceptually-mediated perspective-taking (18). Therefore, we predicted that amygdala activity should be



**Fig. 2.** The right amygdala cluster identified by contrasting the processing of negative and neutral images from the self's perspective (Right), and the anterior mPFC region that was implicated in perspective-based regulation of amygdala activity (Left). The results suggest that the anterior mPFC up- or down-regulated amygdala activity as a function of the perspective (sensitive vs. tough) that participants adopted.

modulated through an interaction with a brain system that subserves such model-based, conceptual capacities.

As noted earlier, our results suggest that this system involves the anterior mPFC. This region is widely implicated in conceptual thought in general (33–35) and social cognition in particular (17, 36). To give one example, recent work shows that multivoxel patterns of activity in the anterior mPFC can be used to predict which one of two individuals a participant is thinking about (20). The current research dovetails and builds on this prior work by showing that anterior mPFC doesn't just support inferences about others states and traits but supports simulation of their perspective on world, thereby changing the way that we appraise the affective significance of events and subsequently respond to them.

**Implications for Models of the Self-Regulation of Emotion.** An important implication of the current findings is the suggestion that perspective-taking could have emotion regulatory benefits. In the current study, participants did not have the explicit goal of up- or down-regulating their emotions, and yet, merely trying to understand the emotions of tough vs. sensitive others modulated the activity in a brain system involved in the generation of negative affect. Thus, our research suggests that the attempt to “walk in the shoes” of an emotionally resilient individual may cause people to feel less unpleasant in the face of adversity.

Accordingly, it may be possible to harness the type of emotional perspective-taking studied here as an emotion regulation strategy, aimed at helping individuals cope with emotional distress. Extant research within the field of emotion regulation has shown that people can effectively down-regulate negative affect by using top-down cognitive control (2). However, a limitation of many cognitive emotion regulation strategies is that they depend on attentional, linguistic, and working memory systems supported by lateral prefrontal regions. Lateral prefrontal regions are not fully developed until late adolescence (37) and can be disrupted under severe stress (38). Thus, the finding that perspective-based regulation of the amygdala relies on anterior medial rather than lateral prefrontal regions may suggest a new pathway for effective emotion regulation.

Specifically, a simulation-based emotion regulation strategy may be important in populations for which strategies dependent on lateral PFC may be problematic because lateral frontal functionality is compromised or yet to develop (39). For example, future studies could investigate whether young children may especially benefit from being taught how to regulate their emotions using simulative pretend play (“imagine that you are a big boy/girl”).

More broadly, the current findings highlight that there may be a plurality of computations and neural pathways by which emotion-regulatory consequences can occur. In this way, the current findings contribute to our growing understanding of the complexity of neural interactions that subserves important behavioral outcomes. Hopefully, future research extending the findings described herein could shed further light on strategies that support adaptive socioemotional functioning.

## Experimental Procedures

**Participants.** Twenty-four right-handed participants (12 females; average age, 20.5 y; SD = 2.577; range 18–28 y) participated in the experiment for monetary compensation. All were native-level English speakers, all had normal or corrected vision, and none had a history of neurological or psychiatric disorders. Sample size was determined a priori, based on previous neuroimaging studies showing regulation-related modulation of amygdala activity (2). Three additional participants were excluded from the final analysis (one for missing data and two for failing to comply with task instructions, as evident by deviation of more than 3 SDs from the mean affect rating in at least one task condition). Participants gave written consent before taking part in the experiment. The study was approved by the Institutional Review Board of Columbia University.

## Materials.

**Target description questionnaires.** The descriptions of the tough and sensitive targets were given in the form of printed questionnaires that were ostensibly

filled out by two previous participants. At the top of each questionnaire, a name appeared in hand-written text. Both names were matched to each participants' sex. The questionnaire contained demographic details (e.g., place of birth) and responses to personal questions (e.g., music preferences, hobbies). The key differences between the two types of targets arose from the way each one had supposedly responded to particular questions. In actuality, the answers had been pretested to elicit perceptions that one target was tough and the other sensitive. For example, the tough character worked as an EMT and enjoyed action and horror movies and loud music. By contrast, the sensitive character worked as a graphic designer and liked classical music and romantic comedies. Furthermore, in one of the free response items the tough target described him/herself as being relatively resilient and the sensitive character described him/herself as being relatively sensitive. These characteristics were embedded within more mundane details to bolster the believability of the experiment.

**Affective stimuli.** Fifty-four negative images (mean normative valence = 2.76, mean normative arousal = 5.91, on a 1–9 scale) and 54 neutral images (mean normative valence = 5.32, mean normative arousal = 3.15) were taken from the International Affective Picture System (40). Both negative and neutral images were divided to three lists, matched for arousal and valence. An additional set of six similarly valenced and arousing negative images were used during training.

## Behavioral Procedure.

**Prescanning.** After providing consent, participants were asked to fill out a questionnaire describing various demographic and personal details about themselves. They were told that in the experiment they will be asked to predict the emotions of previous participants and that we need their answers to the personal details questionnaire to use them for the next participant. In actuality, this questionnaire was only administered to bolster the believability of the experiment, and it was not subsequently used. Immediately after filling out the questionnaire, participants were given the “character description” questionnaires, which were in the same format as the one they filled out. They were asked to read the answers of each previous participant carefully and form an impression of them in their mind.

Participants then were instructed on the task they would perform inside the scanner. They were told that they will be presented with images and that each image will be preceded either by a cue with the name of the participant whose perspective they should take or by a cue asking them to take their own perspective. Each image would be followed with a screen asking them to rate the affective response (either of themselves or the target individual) the image elicits. They were then told that they should rate the images based upon the perspective they were cued with, and that these answers would be compared with the previous participants' actual ratings. We told participants that trials wherein they gave the rating from their own perspective would be used for the next participants (in actuality, self-perspective trials were used to identify the neural substrates of spontaneous emotional response). Participants' goal was to predict the previous participants' responses as accurately as possible. To increase the incentive to do so, participants were told that if they were in the top 10% of participants in terms of accuracy, they will receive a \$100 bonus (in actuality, the bonus criteria was based on scanner movement). Participants then performed a short training on the task that involved completing sample trials guided by the experimenter.

Finally, as a pretask manipulation check, participants were asked to recall the answers for each of the two previous participants' questionnaires. Whenever participants made a mistake, the questions were repeated later on until participants arrived at 100% recall accuracy.

**Scanner task.** The task consisted of 108 trials (18 negative images and 18 neutral images for each of the three perspectives) that were divided into three functional runs. Each run contained 36 trials (6 negative and 6 neutral for each of the three perspectives) and lasted 10 min and 48 s.

Stimuli were presented using E-Prime 2.0 (Psychology Software Tools). Each experimental trial began with the presentation a cue with the name of the participant whose perspective they should take, or a cue asking them to take their own perspective, shown for 2 s. After a jittered fixation period (1–5 s), participants viewed the affective image for 6 s. The image was replaced by a screen that appeared for 3 s, asking them to rate the affective reaction to the image from the perspective they were asked to adopt (1 = neutral, 5 = very bad). The trial concluded with a second jittered fixation period (3–9 s). Stimuli were displayed in random order and the assignment of images to the three perspective conditions was counterbalanced across participants.

**Postscan.** At the end of the study, participants completed standardized questionnaires assessing individual differences in affective responding [Beck depression inventory (41) and state-trait anxiety inventory (42)] and perspective-taking [interpersonal reactivity index (43)]. None of these

individual-difference measures were significantly correlated with our dependent variables of interest (PINES scores, amygdala activity, affect ratings) nor did they moderate the effect of perspective (or the interaction of perspective and valence) on these dependent variables. In light of this, they are not discussed in results section.

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