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## Temporal metastates are associated with differential patterns of time-resolved connectivity, network topology, and attention

James M. Shine<sup>a,b,1</sup>, Oluwasanmi Koyejo<sup>a</sup>, and Russell A. Poldrack<sup>a</sup>

<sup>a</sup>Psychology Department, Stanford University, Stanford, CA 94301; and <sup>b</sup>Neuroscience Research Australia, The University of New South Wales, Sydney, NSW 2031, Australia

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### Results

Little is currently known about the coordination of neural activity over longitudinal timescales and how these changes relate to behavior. To investigate this issue, we used resting-state fMRI data from a single individual to identify the presence of two distinct temporal states that fluctuated over the course of 18 mo. These temporal states were associated with distinct patterns of time-resolved blood oxygen level dependent (BOLD) connectivity within individual scanning sessions and also related to significant alterations in global efficiency of brain connectivity as well as differences in self-reported attention. These patterns were replicated in a separate longitudinal dataset, providing additional supportive evidence for the presence of fluctuations in functional network topology over time. Together, our results underscore the importance of longitudinal phenotyping in cognitive neuroscience.

functional connectivity | attention | topology | dynamic connectivity | flexibility

Methodological advances in cognitive neuroscience have enabled increasingly intricate descriptions of neural dynamics using fMRI (1). Studies leveraging these techniques have highlighted a set of large-scale cortical networks (2) that are among the most flexible (3) and dynamic (4) regions in the brain (5). Additional work in the field has shown that coordinated and adaptable patterns of functional connectivity between these regions underpin a number of higher brain functions, such as cognition (3), learning (6), and consciousness (7). This work has largely focused on the study of individuals at single time points, but it is clear that there are also changes in brain connectivity over much longer timescales of weeks to months (8, 9). Importantly, it is not currently known how these long-term changes in connectivity are related to momentary dynamic changes and how these time-resolved patterns are related to psychological function.

Here, we leveraged a unique longitudinal resting-state fMRI (rfMRI) dataset (8) to determine whether fluctuations in wholebrain connectivity were associated with alterations in the dynamic organization of the resting brain over the course of weeks to months. First, we used affinity propagation to cluster the timeaveraged connectivity patterns from 84 separate rfMRI scanning sessions, which revealed the presence of two intermittently present "metastates" (Fig. 1). Second, we then used the multiplication of temporal derivatives (MTD) (10) technique to estimate patterns of time-resolved functional connectivity within each session. By tracking the community structure of the brain within 10-s windows over the course of each scanning session, we were able to estimate both global and local patterns of time-resolved connectivity (11). To estimate time-resolved connectivity at the areal level, we used a previously described measure of networklevel interareal dynamic connectivity—"flexibility"—which describes the extent to which a given brain region switches frequently between distinct communities over time (12).

Over the course of 18 mo, a single individual (R.A.P.; male; age 45 y old at the onset of the study) underwent 104 scanning sessions, of which 84 had rfMRI data suitable for subsequent analysis (8). Time series were extracted from a series of 630 cortical and subcortical parcels (13), which were then used to create a  $630 \times 630$  parcelwise time-averaged correlation matrix for each individual scanning session (Fig. 1A). Affinity propagation clustering (14) identified two major clusters (Fig. 1B), confirming the existence of two large metastates that intermittently fluctuate over longitudinal time (Fig. 1C), significantly more frequently than would be predicted by a stationary null model ( $P < 0.001$ ). Importantly, there were no differences in head motion [as measured by mean framewise displacements (MFDs)] between the two states (MFD<sub>1</sub> =  $0.106 \pm 0.01$ ; MFD<sub>2</sub> =  $0.109 \pm 0.12$ ;  $P > 0.200$ ).

Next, we investigated the time-resolved connectivity between parcels within each session by calculating the pointwise product of the temporal derivative of each time series (MTD) (10) within a sliding window of 10 s. The MTD, which is conceptually similar to a sliding window correlation of temporally differentiated time series, has previously been shown to show improvements in sensitivity to shifts in connectivity structure compared with sliding window Pearson's correlations of undifferentiated time series. The MTD is also less susceptible to known sources of spurious connectivity, such as head motion and global mean signal fluctuations (10). The calculation of the MTD enabled the

#### **Significance**

The brain is an inherently dynamic organ; however, the manner in which the brain changes over longitudinal time remains poorly understood. An understanding of these dynamic mechanisms is critical for understanding normal childhood development and aging as well as neurological and psychiatric disease states. Here, we leverage data collected in a single individual over the course of 2 y to investigate changes in brain organization over time. In doing so, we show intermittent fluctuations in brain network configuration that were associated with separable patterns of time-resolved interactions between neural regions. The states also directly relate to alterations in whole-brain information processing and self-reported attention. In addition, the patterns that we observed were replicated in a separate longitudinal dataset.

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<sup>&</sup>lt;sup>1</sup>To whom correspondence should be addressed. Email: [macshine@stanford.edu.](mailto:macshine@stanford.edu)

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Fig. 1. (A) Graphical depiction of the experiment—time series from 630 cortical and subcortical parcels in 84 separate sessions were submitted to time-averaged connectivity analysis. Affinity propagation was then used to cluster the similarity of each session's time-averaged connectivity. Separately, the time series from each session were subjected to a time-resolved functional connectivity analysis, and then, a multislice community detection algorithm was used to track the modular structure of the brain over time. (B) Spatial similarity of parcelwise resting-state functional connectivity matrices for each session over time [the cluster identity of each session is represented as either red (metastate 1) or blue (metastate 2) in the vector alongside the adjacency matrix]—there were two temporal metastates identified at the group level using affinity propagation clustering of the similarity between time-averaged connectivity matrices (metastate identity shown alongside adjacency matrix). (C) A timeline showing the relative occurrence of each session colored according to its metastate. A similar pattern was observed in the replication dataset (Fig. 4E).

estimation of a parcel  $\times$  parcel  $\times$  time adjacency matrix for each of the 84 individual scanning sessions. The 3D matrix for each session was then subjected to a multislice modularity analysis (15), which estimates the presence of communities of brain regions that extend over time. The community assignment within each temporal window was then used to estimate the within-  $(W_T)$  and between-module  $(B_T)$  connectivity for each region ([SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604898113/-/DCSupplemental/pnas.201604898SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1604898113/-/DCSupplemental/pnas.201604898SI.pdf?targetid=nameddest=STXT)). At the whole-brain level, the tradeoff between  $W_T$  and  $B_T$  (the cartographic profile) can be tracked over time, thus providing an estimate of temporal fluctuations in system-wide integration and segregation (11).

At the areal level, we reasoned that regions important for dynamic communication should show "flexible" behavior (6) that is, a dynamic region should communicate with a wide variety of regions over time and hence, switch between modules frequently over the course of a resting session. As an initial step, we estimated the flexibility of each of 347 regions (333 cortical and 14 subcortical) within a single rfMRI session [repetition time  $(TR) = 0.72$  s; spatial resolution = 2 mm<sup>3</sup>] from 100 unrelated individuals from the Human Connectome Project (HCP) (16). We observed marked heterogeneity in the flexibility of neural regions in the resting state, with regions within default and salience network, along with a number of subcortical regions, showing the most flexible behavior during rest. In contrast, regions within the frontoparietal network showed the most stable behavior during rest (Fig. 2B). We also observed similar patterns of flexibility across 84 sessions from the individual subject and a similar longitudinal dataset from a single individual ("Kirby") (Fig. 2C) (8) as well as in data from 100 unrelated individuals from the HCP Consortium (spatial correlation between mean regional flexibility from 100 subjects in HCP and mean flexibility across 84 sessions in the MyConnectome Project:  $r = 0.440$ ) (Fig. 2A), suggesting that the flexibility of brain regions over time is relatively stable across subjects and datasets.

We were next interested in determining whether the two temporal metastates showed unique dynamic signatures within the individual resting-state sessions. Indeed, the two metastates were associated with distinct patterns of time-resolved connectivity, with metastate 2 highlighted by markedly increased flexibility in the visual, somatomotor, frontoparietal, and cingulo-opercular networks (Fig. 3A) [false discovery rate (FDR)  $\alpha = 0.05$ ]. These differences (in all but six parcels: left insula, bilateral superior frontal gyrus, and bilateral temporal pole) were significantly greater than the 95th percentile of a null distribution populated by results obtained from a phase randomized dataset (17), which scrambles dynamic interrelationships in the data. In addition, although there were similar patterns of modularity in both states (i.e., the extent to which the network was partitioned into tight knit communities;  $Q_1 = 0.609 \pm 0.07$ ;  $Q_2 = 0.600 \pm 0.08$ ;  $P =$ 0.240), we observed higher global efficiency (i.e., the ease with which a pair of regions within the largest connected component of the network can communicate;  $E_1 = 0.308 \pm 0.02$ ;  $E_2 = 0.319$  $\pm$  0.02; P = 0.002; greater than 95th percentile of phaserandomized null distribution) (Fig. 3C) and systems-level integration (i.e., the extent to which the community structure of the brain was dissolved; greater than 95th percentile of phaserandomized null distribution) (Fig. 3B) in metastate 2. Together, these results show that the dynamic interplay between frontoparietal and sensorimotor regions is related to differences in the capacity of the whole brain to alter its information processing capacity over longitudinal time.

Given that behavioral capacities, such as attention and alertness, are known to fluctuate over time, we next investigated whether fluctuations in time-resolved connectivity were related to fluctuations in psychological function. To do so, we identified questions from the self-reported Positive and Negative Affect Schedule (18) that were significantly different when collected on days associated with scanning sessions that were later identified as occupying either of the metastates. This analysis revealed a differential relationship (Mann–Whitney  $u$  test; FDR  $P < 0.05$ ) between the behavior associated with one of two states, with the less flexible state (metastate 1) corresponding to questions associated with fatigue (drowsy:  $Q_{28}$ ; sleepy:  $Q_{57}$ ; sluggish:  $Q_{58}$ ; and tired:  $Q_{62}$ ) and the state with more flexible interareal dynamics (metastate 2) associated with heightened attention (attentive:  $Q_{11}$ ; concentrating:  $Q_{18}$ ; and lively:  $Q_{43}$ ). Interestingly, the four "fatigue"-related questions were also found to be significantly different when comparing sessions acquired with or without caffeine/food, a factor that was manipulated in the study (8) (all  $P < 0.002$ ). However, caffeine and food were not associated with any of the questions tracking self-reported attention and were similarly not associated with the presence of either metastate (all  $P > 0.200$  (8). As such, this finding suggests that the fluctuations in flexibility were not simply related to drowsiness within the scanner; however, definitive resolution of this issue would require simultaneous EEG/fMRI data to track the electrophysiological signatures of sleep architecture (19, 20).



Fig. 2. The flexibility (percentage of time "switching" between unique modules) of brain regions across (A) 100 unrelated subjects from the HCP, (B) 84 sessions from the MyConnectome Project dataset (MyConn), and (C) 152 sessions from the Kirby dataset.

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Fig. 3. (A) Significant differences in patterns of flexibility between the two temporal metastates (FDR  $P < 0.05$ )—metastate 2 (blue) was associated with higher flexibility than metastate 1 (yellow/red). (B) Differences in the cartographic profile between metastate 1 (yellow/red) and metastate 2 (blue) metastate 2 was associated with a shift toward higher integration (FDR  $P$  < 0.05). (C) Metastate 2 was associated with greater global efficiency than metastate 1 ( $P = 0.002$ ). \*\*\* $P < 0.01$ .

To ensure that the results that we observed were not reflective of idiosyncratic patterns within the MyConnectome Project dataset (e.g., the patterns related to caffeine and food intake) (8), we replicated our analysis in a separate longitudinal dataset of a single individual [\(www.nitrc.org/projects/kirbyweekly](http://www.nitrc.org/projects/kirbyweekly)). Briefly, this dataset included 158 sessions collected over 4.5 y in a single individual (male; 40 y old) using a scanning protocol with lower spatial and temporal resolution (TR  $=$  2 s; spatial resolution  $=$  $3 \text{ mm}^3$ ). After preprocessing, 138 scanning sessions from this dataset passed quality assessment and were subjected to our analysis. In addition, all data were minimally "scrubbed" to remove the potential effect of head motion on connectivity measures (21, 22), however the results were independent of this preprocessing step. We found similar fluctuations in connectivity (Fig. 4), with two metastates fluctuating intermittently over the period of the study (Rep<sub>1</sub> = 44.9%; Rep<sub>2</sub> = 55.1%) (Fig. 4*E*). Consistent with the findings in the discovery cohort, the two metastates were associated with similar differences in flexibility  $(r = 0.360)$  (Figs. 4B and 5), cartography  $(r = 0.536)$  (Fig. 4C), and network topology [i.e., different global efficiency ( $\text{Rep}_{E1}$  =  $0.283 \pm 0.05$ ; Rep<sub>E2</sub> =  $0.329 \pm 0.04$ ;  $P = 0.002$ ) but similar modularity (Rep<sub>O1</sub> = 0.545  $\pm$  0.03; Rep<sub>O2</sub> = 0.539  $\pm$  0.02; P = 0.312) (Fig. 4D)]. No psychological data were available for this dataset (9), and therefore, the behavioral analyses could not be replicated. Despite this idiosyncracy, the results of the replication analysis provide confirmatory evidence for the presence of metastates over the course of weeks to months.

#### **Discussion**

Here, we showed that fluctuations in rfMRI functional connectivity over the course of weeks to months in a single individual are related to specific patterns of time-resolved connectivity, network topology, and self-reported attention. By tracking largescale descriptions of functional connectivity over a period greater than 1 y, we identified the presence of two longitudinal metastates that fluctuated over time (Fig.  $1 B$  and  $C$ ). These metastates were characterized by separable patterns of time-resolved connectivity at both the global and areal levels. Specifically, we observed quantitative differences in the regions that showed flexible behavior over time, sharing community structure with many regions, while also regularly switching modular assignments (Fig. 3). These findings were replicated across two separate individuals (both with unique scanning protocols), providing some degree of support for their generalizability. However, despite the relatively similar patterns of flexibility associated with



Fig. 4. (A) We identified two temporal metastates using affinity propagation clustering (replication metastate identity shown alongside adjacency matrix). (B) Significant differences in patterns of flexibility between the two temporal metastates (FDR  $P < 0.01$ ): blue, significantly higher time-resolved connectivity in replication metastate 2; red, significantly higher timeresolved connectivity in replication metastate 1. (C) Differences in the cartographic profile between replication metastate 1 (yellow/red) and replication metastate 2 (blue)—replication metastate 2 was associated with a shift toward higher integration (FDR  $P < 0.05$ ). (D) Replication metastate 2 was associated with greater global efficiency than replication metastate 1 ( $P = 0.001$ ). \*\*\* $P <$ 0.01.  $(E)$  A timeline showing the relative occurrence of each session colored according to its metastate.

each of two metastates (spatial correlation between MyConnectome Project and Kirby datasets:  $r = 0.360$  (Fig. 5), we did observe some qualitative differences between the two datasets (Figs. 3A and 4B) that may relate to individual differences in dynamic brain composition over time.

There is growing evidence that functional connectivity fluctuates over relatively short timescales (i.e., on the order of 0.1– 0.01 Hz) (23–25), and as such, it is perhaps unsurprising that similar dynamic patterns exist over longer periods of time. Indeed, it has been hypothesized that such fluctuations in topology are an essential emergent feature of the complex network organization of the brain (26). Evidence for these fluctuations has been shown using computational modeling approaches (27) as well as electrophysiology (28) and more recently, fMRI (11). In addition, other recent work has also shown that alterations in brain network topology track with task performance (29, 30) along with individual differences in intelligence (31) and attentional capacity (32). Together, these findings provide evidence



Fig. 5. (A) Difference in flexibility across the two metastates in both the Kirby (*v* axis) and MyConnectome Project (*x* axis) datasets ( $r = 0.360$ ). (B) Similarity of network-level flexibility in both the Kirby (red) and MyConnectome Project (MyConn; blue) datasets—values represent the percentages of significantly different flexibility values that occurred within each of 15 predefined networks (only those with >0 significant regions shown). CON, cingulo-opercular network; DMN, default mode network; FPN, frontoparietal network; SC, subcortical; SM, somatomotor; VAN, ventral attention network; VIS, visual.

for detailed temporal organizational structure within the functional connectome.

An important question facing the field is whether the temporal fluctuations observed in this study vary as a function of neurological and psychiatric disease. Given that impairments in attention are common in neuropsychiatric disorders (33, 34) and that the symptomatology of these conditions often fluctuates over time, it is reasonable to predict that the dynamic interrelationships between large-scale brain systems over longitudinal time might also become impaired in turn. To this end, others have used a similar approach to the one devised in this study to show that dynamic patterns of brain connectivity track with changes in positive mood (35). If this result can be shown to be the case in psychiatric and neurological disorders, the methods described here will have important implications for tracking disease states over time through either the prediction of symptom onset or the response of individual subjects to treatment. In addition, the results will also have an influence on the interpretation of comparisons between clinical groups, wherein differences in network configurations between cohorts may, in fact, reflect differences in temporal dynamics rather than purely spatial pathology per se. Although the path toward solving these issues is currently opaque, it is nonetheless important for studies

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interrogating brain network abnormalities in cohort studies to broaden their hypothetical lens to include alternative interpretations of significant differences between diseased cohorts.

In conclusion, we have identified a network of cortical and subcortical regions that participate in flexible behavior and alter their time-resolved connectivity profile over longitudinal time, leading to changes in global information processing capacity that track with alterations in self-reported attention. Together, these results support the hypothesis that fluctuations in dynamic interconnectivity between neural regions define the functional capacities of the human brain (6, 36) and also, have important implications for the study of neuropsychiatric disorders that display fluctuations in phenotypic expression of psychological and neurological characteristics over time (8).

### Materials and Methods

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