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Sentinel Lymph Nodes for Breast Carcinoma A Paradigm Shift

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Abstract

Context—Sentinel lymph node biopsy has been established as the new standard of care for axillary staging in most patients with invasive breast carcinoma. Historically, all patients with a positive sentinel lymph node biopsy result underwent axillary lymph node dissection. Recent trials show that axillary lymph node dissection can be safely omitted in women with clinically node negative, T1 or T2 invasive breast cancer treated with breast-conserving surgery and whole-breast radiotherapy. This change in practice also has implications on the pathologic examination and reporting of sentinel lymph nodes.

Objective—To review recent clinical and pathologic studies of sentinel lymph nodes and explore how these findings influence the pathologic evaluation of sentinel lymph nodes.

Data Sources—Sources were published articles from peer-reviewed journals in PubMed (US National Library of Medicine) and published guidelines from the American Joint Committee on Cancer, the Union for International Cancer Control, the American Society of Clinical Oncology, and the National Comprehensive Cancer Network.

Conclusions—The main goal of sentinel lymph node examination should be to detect all macrometastases (>2 mm). Grossly sectioning sentinel lymph nodes at 2-mm intervals and evaluation of one hematoxylin-eosin-stained section from each block is the preferred method of pathologic evaluation. Axillary lymph node dissection can be safely omitted in clinically node-negative patients with negative sentinel lymph nodes, as well as in a selected group of patients with limited sentinel lymph node involvement. The pathologic features of the primary carcinoma and its sentinel lymph node metastases contribute to estimate the extent of non-sentinel lymph node involvement. This information is important to decide on further axillary treatment.

Axillary lymph node (ALN) status is an important prognostic factor and determinant of treatment for patients with breast carcinoma. For decades, ALN dissection (ALND) was the only procedure used for staging ALNs in women with invasive breast carcinoma.¹ Axillary lymph node dissection, however, is associated with significant morbidity, including long-term complications such as limitation of shoulder movements, paresthesias and arm numbness, and lymphedema, which can have a significant impact on the patient's quality of life.^{2–5} Management of the axilla in patients with breast carcinoma has evolved rapidly in recent years, and an increasingly conservative approach to axillary staging has been

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developed. Sentinel lymph node (SLN) biopsy was implemented as an alternative procedure in order to minimize the negative impact of axillary surgery. An SLN is the first lymph node draining a tumor bed, and as such it constitutes the first site of lymph node (LN) involvement. Today, patients with breast carcinoma have smaller tumors and lower nodal disease burden compared with historical series, and most are treated with adjuvant systemic therapy, which is now recognized as improving local as well as systemic control.⁶ Clinical trials have proven that SLN is equivalent to staging of the axilla in patients with clinically node-negative (cN0) disease.⁷⁻¹² In addition, recent trials show that ALND may be safely omitted in selected cN0 patients with metastatic carcinomas limited to one or two SLNs,^{13,14} and have significantly changed clinical practice, with implications for how pathologists examine and report on SLNs.

ALN Staging

The American Joint Committee on Cancer (AJCC) and the Union for International Cancer Control TNM staging systems classify nodal metastases based on size.^{15,16} Macrometastases are tumor deposits greater than 2 mm (pN1); micrometastases range in size from greater than 0.2 mm to less or equal to 2 mm or consist of more than 200 carcinoma cells in a single LN section (pN1mi). Isolated tumor cells are single cells or cell clusters each spanning less than 0.2 mm in size and amounting to fewer than 200 carcinoma cells in one LN section [pN0(i+)], regardless of method of detection. If metastatic carcinoma is detected by molecular testing, the pN0 (mol+) designation is used. Of note, the current AJCC staging manual states that sacrificing LN tissue for molecular analysis that would otherwise be available for histologic evaluation and staging is not recommended, particularly when the size of the sacrificed tissue is large enough to contain a macrometastasis.¹⁵

SLN is A Safe and Accurate Method of Staging the Axilla in cN0 Patients: NSABP B-32 Clinical Trial

The National Surgical Adjuvant Breast and Bowel Project (NSABP) B-32 randomized prospective clinical trial established SLN biopsy as a safe and effective method for staging the axilla, demonstrating that SLN biopsy is equivalent to ALND in patients with T1 to T2, cN0 invasive breast carcinoma (Table).⁷ Patients enrolled in the study were staged and treated based on the information obtained on hematoxylin and eosin (H&E)-stained sections only (no routine levels, no cytokeratin stains). With a mean follow-up of 96 months, no significant differences in overall survival (OS), disease-free survival (DFS), and locoregional control were reported. A 10-year update of follow-up confirmed these results.¹⁷

Even in the most experienced hands, SLN biopsy is associated with a false-negative rate. An overview of 69 published studies of SLN biopsy validated with concurrent ALND confirms that SLNs were identified in 7765 of 8059 cases (96%), with an average false-negative rate of 7.3%.¹⁸ Wei and colleagues¹⁹ identified 63 false-negative cases in a series of 2043 successful SLN mapping procedures (false-negative rate of 3.1%) at their institution. They evaluated the clinicopathologic characteristics of the 63 patients with false-negative SLN biopsy results during a 12-year period and found a higher proportion of lobular or poorly

differentiated ductal histology and/or partial or complete replacement of nodes in patients with false-negative SLN biopsies.¹⁹

Biologic and Clinical Significance of Occult Metastases

An “occult” metastasis is defined as any metastasis that is missed or not identified on initial examination using a “standard” evaluation protocol.²⁰ After the introduction of SLN biopsy, many clinicians and pathologists pursued more extensive evaluation of SLN(s), henceforth referred to as “enhanced pathology,” to identify occult metastases, in the belief that this information would be important in predicting patient outcome. Enhanced pathology methods typically involve obtaining additional H&E step-level sections and/or immunohistochemical stains for cytokeratins (CK-IHC) on blocks of SLN that show no evidence of carcinoma in the initial H&E-stained section. The NSABP B-32 study provides information regarding the clinical significance of occult metastases in patients managed with modern treatment modalities.²¹ Participating sites were instructed to slice SLNs at 2-mm intervals, embed all tissue slices in paraffin blocks, and examine one H&E-stained slide only from each block. This approach aimed to identify all macrometastases (>2 mm). The SLN blocks of patients with no evidence of SLN involvement in the initial H&E-stained section were then submitted to a central laboratory for additional evaluation using the “experimental B-32 protocol,” which consisted of H&E- and CK-IHC–stained sections at depths of 0.5 mm and 1.0 mm into the paraffin block, designed to detect metastases larger than 1.0 mm in size.²² Occult metastases were identified in 616 of 3887 patients (15.9%; 11.1% isolated tumor cells, 4.4% micrometastases, and 0.4% macrometastases).²¹ Occult metastases were significantly associated with an age of less than 50 years, tumor size larger than 2.0 cm, and planned mastectomy. It is notable that patients in the NSABP B-32 study received systemic therapy (hormonal therapy and/or chemotherapy) based on clinical and pathologic features assessed at the participating institution by the treating physicians. Patients with occult SLN metastases were significantly more likely to receive chemotherapy ($P < .001$) and/or endocrine therapy ($P < .001$). At 5-year follow-up, the differences in outcomes for patients with and without occult metastases were found to be statistically significant but amounted to a minimal percent increase with respect to OS (94.6% versus 95.8%), DFS (86.4% versus 89.2%), and distant disease-free interval (89.7% versus 92.5%). Subgroup analysis indicated that smaller metastases had less effect on outcome than larger metastases.

Occult metastases were not discriminatory predictors of cancer recurrence. A total of 138 of 3884 patients (3.6%) had regional or distant recurrences as first events and only 30 of these events (21.7%) (in 0.8% of all patients) occurred in patients with occult metastases. Conversely, 496 of 616 patients with occult metastases (80.5%) were alive and free of disease.²¹

A companion quality assurance pilot study examined 176 SLN blocks from 54 patients with no evidence of SLN involvement in the initial H&E-stained section using a “comprehensive protocol.” This protocol involved obtaining additional CK-IHC sections at 0.18-mm intervals through the entire block and was designed to detect tumor deposits spanning at least 0.2 mm in size. Occult metastases were detected in 20 of the 176 blocks (11.4%).

As expected, more exhaustive evaluation of SLNs detects a greater number of tumor deposits of smaller size. The use of enhanced pathology techniques to identify occult metastases in initially negative SLNs does not appear to translate into additional clinical benefit, because not all of the patients with occult metastases will necessarily develop recurrent disease, and most of the patients with occult metastases are already treated using available treatment modalities. The current guidelines for staging of patients with breast carcinoma by the AJCC, the College of American Pathologists (CAP), and the National Comprehensive Cancer Network (NCCN) do not recommend the use of routine step-level sections and/or CK-IHC in the evaluation of SLNs. Staging of SLNs (and of ALNs in general) should rest solely on the evaluation of one H&E-stained section of the LNs.²³

Clinical Significance of Micrometastases

Micrometastatic breast carcinoma was first defined in 1971 by Huvos et al²⁴ as metastases not greater than 2 mm in size. Women with micrometastases were found to have significantly better 8-year OS compared with women with macrometastases (>2 mm; 17 of 18 patients [94%] versus 28 of 45 patients [62%]).²⁴ A systematic review of 58 studies, many from the pre-SLN era, found that the presence of micrometastases is associated with decreased OS, even after adjustment for other prognostic factors.²⁵ More recent studies have confirmed significant differences in outcome for patients with macrometastases versus micrometastases. A study based on Surveillance, Epidemiology, and End Results (SEER) data from 209 720 patients who underwent LN staging for breast carcinoma between 1992 and 2003 found that the prognosis of patients with micrometastatic carcinoma, albeit worse than for patients with no evidence of metastatic disease (hazard ratio, 1.35), is significantly better than for patients with macrometastatic disease (hazard ratio, 0.82).²⁶

Prediction of Additional Nodal Burden in Patients with A Positive SLN

Studies have shown that most patients (approximately 60%) with a positive SLN have no residual disease in the axilla^{3,27-35} and derive no benefit from ALND, whereas they are exposed to its complications. In a bid to estimate the likelihood of additional ALN involvement in patients with limited SLN involvement, investigators have evaluated various clinicopathologic parameters and developed mathematic predictive tools, also known as nomograms, for estimating the risk of additional LN metastases.³⁶⁻⁴⁶ Van Zee et al⁴¹ developed a nomogram based on multivariable logistic regression analysis on data from 702 patients with a positive SLN who underwent completion ALND at Memorial Sloan Kettering Cancer Center (MSKCC) in New York, New York. The MSKCC nomogram uses multiple parameters, including tumor size, tumor type, nuclear grade, lymphovascular invasion, multifocality, estrogen receptor (ER) status, method of detection of tumor deposits in the SLN (intraoperative detection, routine H&E-stained slides, serial H&E-stained level sections, or IHC), and number of positive and negative SLNs, to estimate the likelihood of residual disease in the remaining ALNs. When used in a validation cohort of patients who underwent SLN and completion ALND, the MSKCC nomogram was found to be accurate and discriminating, with an area under the receiver operating characteristic (ROC) curve of 0.76. When prospectively applied in a cohort of 373 patients, the nomogram accurately predicted the likelihood of non-SLN metastases (ROC, 0.77). The MSKCC nomogram

calculator is freely accessible online (<http://www.mskcc.org/applications/nomograms/breast>; accessed May 5, 2015) and provides an estimation of the percentage probability of involvement of additional ALNs given a certain combination of histologic and clinical parameters. This nomogram has been independently validated in cohorts from other institutions in North America, Europe, and Asia, and showed good discriminative power (ROC values between 0.71 and 0.82) in most studies,^{45,47–56} albeit not in all (ROC, 0.58–0.68).^{42,57–62}

Questioning The Benefit of Completion ALND In All SLN-Positive Patients

In the first decade since the introduction of SLN biopsy most surgeons performed completion ALND in all patients with evidence of SLN involvement. Over time many surgeons modified their practice and did not always perform ALND in cases with limited SLN involvement. Most surgeons using the MSKCC nomogram were opting for no ALND in patients, yielding a nomogram score of 10% or less.⁶³ A declining rate of completion ALND for patients with micrometastatic disease was documented nationwide by analysis of 1998–2005 data collected in the National Cancer Data Base.⁶⁴ Interestingly, analysis of the data showed no significant differences in the rates of axillary recurrence and 5-year relative survival of patients with either micrometastatic or macrometastatic disease limited to SLNs whether ALND had been performed or not.

A meta-analysis including data from 69 trials reported that in 47% of 3132 cases carcinoma was present only in the SLN.¹⁸ Few retrospective studies and small prospective series reported low rates of locoregional recurrence in patients with positive LNs who did not undergo complete ALND in the setting of adjuvant systemic therapy and radiotherapy.^{10,65,66} The International Breast Cancer Study Group (IBCSG) 23-01 trial found no significant difference in DFS between patients with T1 to T2 cN0 breast carcinoma and SLN micrometastases, with and without ALND (Table).⁶⁷ Based on this accumulating evidence, questions were raised regarding the need for completion ALND in cN0 patients with limited involvement of SLNs.

ACOSOG Z0011 Trial

The American College of Surgeons Oncology Group (ACOSOG) Z0011 prospective randomized trial assessed the benefit of ALND in patients with 1 or 2 positive SLNs (Table).^{13,14} Study eligibility criteria included invasive breast carcinoma less than 5 cm with no clinically palpable axillary adenopathy (T1–T2 cN0), H&E-detected metastases in 1 or 2 SLNs, and treatment with breast-conserving surgery to negative margins followed by whole-breast irradiation. Exclusion criteria included 3 or more H&E-positive SLNs, matted LNs or gross extracapsular extension (ECE), CK-IHC-detected SLN metastases, and mastectomy. Radiotherapy to the axilla was also a study exclusion criterion. Adjuvant systemic therapy was as prescribed by the treating physician. At 6.3 years' median follow-up, there were no significant differences in regional LN recurrence, DFS, or OS between patients who underwent ALND and those who did not (Table). The results of the Z0011 study show that patients with T1 to T2 tumors with 2 or fewer positive SLNs, who are treated with breast-conserving surgery and whole-breast irradiation do not benefit from ALND. These results

have been practice-changing.^{68–72} In 2014 the American Society of Clinical Oncology (ASCO) published guidelines advising omission of completion ALND for patients with fewer than 3 positive SLNs if there is no evidence of bulky metastatic disease or gross ECE and the patient is treated with whole-breast irradiation.⁷³ The NCCN guidelines recommend considering levels I and II ALND *or* no further axillary surgery for the patients who fulfill the aforementioned criteria.²³

Even though the results of the Z0011 study are widely accepted and have been rapidly adopted at many centers, the trial has been criticized because of the lack of details regarding radiation therapy. The Z0011 protocol stated that all women enrolled in the study were to receive tangential field whole-breast irradiation. The protocol specified that no directed nodal treatment using an additional (third) field should be used.^{13,14} There was speculation that radiation oncologists, who could not be blinded to patients' axillary surgery assignment, may have adjusted the breast irradiation tangents to include part of the level I/II ALNs more often in the SLN-only arm.⁷⁴

Jagsi et al⁷⁵ analyzed radiation therapy records of 605 Z0011 patients and found that 89 patients (15%) had also received treatment to the supraclavicular region. Most of these patients received tangential field radiation therapy alone, with no significant differences in tangential field height between the two study arms. However, 43 of 228 patients (18.9%) in this subgroup received directed nodal irradiation via a third field, in violation of protocol. The highest rates of directed nodal irradiation were among patients with multiple involved LNs. Although this protocol violation occurred with comparable frequency in both cohorts of patients, it is not possible to determine whether the additional irradiation was beneficial, and how it might have influenced the rate of axillary recurrence in the SLN-only group.

ALND or Radiotherapy for Patients With Positive SLNs: AMAROS Trial

The AMAROS (After Mapping of the Axilla: Radiotherapy or Surgery?) prospective randomized clinical trial also addresses the management of the axilla in T1 to T2 cN0 patients with a positive SLN (Table).⁷⁶ Patients were randomized to ALND or axillary radiotherapy. There were no significant differences in axillary recurrence, DFS, or OS between the two groups. Patients who underwent ALND had a significantly higher incidence of lymphedema at 5 years than patients treated with regional radiotherapy (76 of 328 [23%] versus 31 of 286 [11%] patients), but quality of life was not significantly different in the two groups. The investigators concluded that both treatment strategies provide excellent and comparable axillary control. Overall, the patient population was quite similar to that studied in Z0011, with 609 of 744 patients (82%) in the ALND arm and 557 of 681 patients (82%) in the axillary radiotherapy arm having breast-conserving surgery. However, it has been postulated that most of the patients enrolled in the AMAROS study were not at high risk of axillary recurrence and could be treated without ALND or radiotherapy according to Z0011.⁷⁷ The AMAROS study does not indicate that all patients with a positive SLN need axillary radiotherapy, and it does not provide an answer to the question of which SLN-positive patients need further axillary treatment. The AMAROS study also includes a subset of patients who underwent mastectomy and were not studied in the Z0011 study. It has been

suggested that subgroup analysis of mastectomy patients in the AMAROS trial would be of value.⁷⁸

Extracapsular Extension

Metastatic carcinoma can invade through the LN capsule into the surrounding axillary fibroadipose tissue. According to CAP, the presence of ECE should be reported and the area of invasion outside of the LN capsule should be included when measuring the largest span of the LN metastasis.⁷⁹ Studies have shown that focal ECE is present in the SLNs of 19% to 30% of cN0 patients with early-stage breast carcinoma.^{80–83} To date, the significance of microscopic ECE in SLNs in the selection of patients for ALND or axillary radiotherapy has not been thoroughly assessed. ACOSOG Z0011 excluded patients with matted nodes and gross ECE but had no specific policy regarding microscopic ECE. Extracapsular extension was not documented in the AMAROS trial. Retrospective single-institution studies have shown that ECE in the SLN is significantly associated with non-SLN metastases.^{81–89} A meta-analysis that included data from 56 studies also found ECE in SLN metastasis to be predictive of non-SLN metastases.⁹⁰ Furthermore, ECE is recognized as an indicator of poor prognosis^{82,88,91} and is significantly associated with other negative prognostic factors, such as lymphovascular invasion and SLN macrometastases.^{83,90,92}

The CAP guidelines recommend reporting ECE as present, not identified, or indeterminate.⁷⁹ At MSKCC the extent of ECE is also routinely included in the pathology report. A retrospective study of a prospectively maintained database of all patients undergoing SLN biopsy at MSKCC investigated the relationship between ECE in the SLN and disease burden in the axilla. The study evaluated 331 patients with microscopic ECE who would have fulfilled the Z0011 study criteria and who underwent ALND between 2006 and 2013.⁸³ Patients with ECE tended to be older, with larger, multifocal, ER-positive tumors, with lymphovascular invasion. Patients with ECE greater than 2 mm were significantly more likely than those with ECE 2 mm or less to have additional positive nodes (80 of 151 patients [66.1%] versus 55 of 180 patients [42.9%]) and 4 or more positive LNs at completion ALND (40 of 151 patients [33.1%] versus 11 of 180 patients [8.6%]). These findings suggest that ECE greater than 2 mm may be an indication for further axillary treatment in patients who otherwise meet Z0011 criteria.

IOE of SLNS

Intraoperative detection of metastatic carcinoma in SLNs leads to immediate ALND, avoiding the need for a delayed second surgical procedure. The disadvantages of intraoperative evaluation (IOE) of SLNs include increase in operation time and possible false-positive results. Frozen section (FS), imprint cytology/touch preparation, or cytologic smear can be used for IOE of SLNs. Cytologic techniques are faster than FS and do not cause significant loss of nodal tissue. The main disadvantage of cytologic techniques rests on the difficulty in validating findings limited to cytology material but not present in H&E-stained sections. Frozen section is time-consuming; freezing introduces artifactual tissue distortion; sectioning of the frozen tissue block could potentially lead to loss of critical tissue. Despite these disadvantages, FS is often the preferred method of IOE by most

surgical pathologists. A meta-analysis, including 47 FS studies, reported a mean sensitivity of 73%, with higher sensitivity for macrometastases than micrometastases (94% versus 40%).⁹³ A meta-analysis of 31 studies of imprint cytology/touch preparation identified an overall sensitivity of 63%, and, similar to FS, the sensitivity for detection of macrometastases was higher than for micrometastases (81% versus 22%).⁹⁴ At our institution, a study of 305 SLNs from 133 patients showed that touch preparation, cytologic smear, and FS had comparable sensitivities (59%, 57%, and 59%, respectively),⁹⁵ and each method was more sensitive in detecting macrometastases (96%, 93%, and 93%, respectively) than micrometastases (27%, 27%, and 30%, respectively). One-step nucleic acid amplification is a molecular technique that measures CK19 mRNA in homogenized SLN and is used for IOE. One-step nucleic acid amplification shows high sensitivity with increased identification of low-volume nodal disease. Concerns about this technique relate to the fact that one-step nucleic acid amplification–based staging is not a recognized prognosticator, and homogenization of tissue required for analysis precludes assessment of important morphologic features, such as size of the tumor deposit and ECE.⁹⁶ A recent meta-analysis identified a pooled positive predictive value for detecting macrometastases of 0.79, suggesting that up to 21% of patients found to have macrometastases using one-step nucleic acid amplification would have an axillary clearance when histology would have classified the deposits as non-macrometastases.⁹⁷

The publication of the results of Z0011 has reduced the use of IOE. A review of practice patterns at the MD Anderson Cancer Center found that surgeons were less likely to request IOE of SLNs in post-Z0011 patients (84 of 323 post-Z0011 patients [26%] versus 230 of 335 pre-Z0011 patients [69%]).⁶⁸ Currently, IOE of SLNs of clinically “Z0011 eligible” patients is not routinely performed at most centers, including our own, and the decision to proceed to ALND is made at a later time when all of the clinical and definitive pathologic information is available. The IOE of SLNs continues to be performed routinely at many centers, including MSKCC, for cN0 patients undergoing mastectomy. The role of SLN-FS has been incorporated into our proposed SLN algorithm for T1 to T2 cN0 patients (Figure). Despite its many disadvantages, FS is often the preferred method of IOE by most surgical pathologists. Pathologists should use the IOE method they are most comfortable with and work with their multidisciplinary teams to devise protocols suitable to the needs of local practice.

Recommended Protocol for Histologic Evaluation of SLN

A standardized SLN evaluation protocol combines careful gross and histologic evaluation.⁹⁸ The number of SLNs involved by metastatic carcinoma dictates whether a patient with T1 to T2 cN0 meets Z0011 eligibility criteria. Careful gross examination of the SLN sample involves removal of excess adipose tissue and accurate count of the number of SLNs. As per CAP and ASCO guidelines,^{73,79} each SLN is sectioned into 2-mm–thick slices parallel to the long axis of the LN. Care should be taken into placing nonadjacent cut surfaces face down in the cassette to maximize LN evaluation for SLNs that are sectioned into more than 2 slices. Size permitting, each SLN is submitted in one cassette. If 2 (or more) SLNs are submitted in the same cassette, each SLN needs to be marked with a different color ink, and this information needs to be incorporated in the gross description, to allow an accurate count

of the number of SLNs involved by metastatic carcinoma whenever the latter is present. One H&E-stained section per block is evaluated. The H&E-stained section should provide a full cross section of each SLN slice, including subcapsular space and SLN capsule. Immunohistochemical stains for cytokeratins and sections from additional levels are obtained in selected cases to further investigate uncertain morphologic findings but are not performed routinely. The final report should include the total number of SLNs examined, the number of SLNs with metastatic carcinoma, the span of the largest metastatic focus,^{73,79} and information on ECE (present, absent, or indeterminate).⁷⁹ At our institution we also comment on the largest extent of ECE (<2 mm, 2 mm, or >2 mm).

Summary

Recent clinical trials have shown that ALND provides no outcome benefit to cN0 patients with limited SLN involvement who are treated with a combination of breast-conserving surgery, whole-breast irradiation, and systemic therapy. This has changed the clinical management of the axilla, resulting in fewer ALNDs in selected SLN-positive patients. This is reflected in our proposed management algorithm for patients with T1/T2 cN0 invasive breast carcinoma, which is largely based on current clinical practice at MSKCC (Figure). The identification of occult metastases does not appear to be of clinical benefit in contemporary T1 to T2 cN0 patients, who are receiving adjuvant systemic therapy in most cases. The main goal of SLN examination should be to detect all macrometastases (>2 mm) and the use of deeper-level sections and CK-IHC is not warranted in routine practice. Further studies are needed to refine the management of the axilla in SLN-positive patients who were not included, underrepresented, or unspecified in the aforementioned clinical trials, such as patients undergoing mastectomy, HER2-positive patients, and patients with microscopic ECE.

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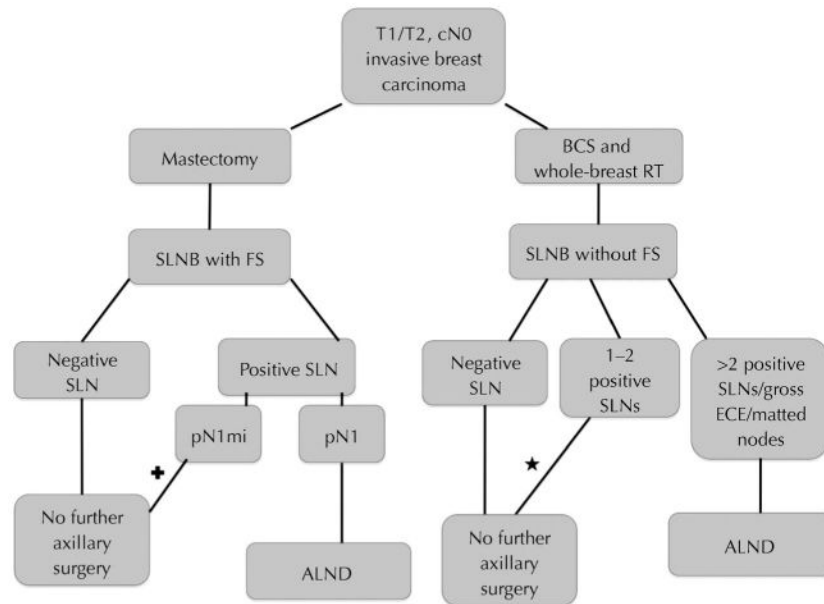
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**Figure.**

Proposed management algorithm for patients with T1/T2 clinically node-negative (cN0; ie, no palpable axillary adenopathy on clinical examination) invasive breast carcinoma.

Abbreviations: ALND, axillary lymph node dissection; BCS, breast-conserving surgery; ECE, extracapsular extension; FS, frozen section; pN1, macrometastatic disease; pN1mi, micrometastatic disease; RT, radiotherapy; SLN, sentinel lymph node; SLNB, sentinel lymph node biopsy. + and ★: Avoidance of axillary lymph node dissection may be considered. Some cases may require multidisciplinary team discussion.

Table
Summary of Major Sentinel Lymph Node (SLN) Trials

Trial	SLN Status	Comparison	No. of Patients Evaluated	
NSABP B-32 ⁷	Negative	SLNB alone versus SLNB + ALND in T1 to T2, cN0 patients undergoing mastectomy or BCS	SLNB alone, n = 2011 SLNB + ALND, n = 1975	
IBCSG 23-01 ⁶⁷	Positive 1 or more micrometastases	SLNB alone versus SLNB + ALND in T1 to T2 patients undergoing mastectomy or BCS	SLNB alone, n = 467 SLNB + ALND, n = 464	
ACOSOG Z0011 ^{13,14}	Positive 1 or 2 positive SLNs	SLNB alone versus SLNB + ALND in T1 to T2, cN0 patients undergoing BCS and whole-breast RT	SLNB alone, n = 436 SLNB + ALND, n = 420	
AMAROS ⁷⁶	Positive 1 or 2 positive SLNs	ALND versus axillary RT in T1 to T2, cN0 patients treated with BCS or mastectomy	ALND, n = 744 Axillary RT, n = 681	
Extended				
Follow-up	Metastatic Non-SLNs in ALND, %	Axillary Recurrence, %	Overall Survival, %	Disease-Free Survival, %
95.6 mo (mean)		SLNB alone, 0.7 SLNB + ALND, 0.4 (<i>P</i> = .22)	SLNB alone, 90.3 ^a SLNB + ALND, 91.8 ^a (<i>P</i> = .12)	SLNB alone, 81.5 ^a SLNB + ALND, 82.4 ^a (<i>P</i> = .54)
5 y (median)	13	SLNB alone, 0.86 SLNB + ALND, 0.22	SLNB alone, 97.5 SLNB + ALND, 97.6 (<i>P</i> = .73)	SLNB alone, 87.8 SLNB + ALND, 84.4 (<i>P</i> = .16)
6.3 y (median)	27	SLNB alone, 0.9 SLNB + ALND, 0.5 (<i>P</i> = .45)	SLNB alone, 91.8 SLNB + ALND, 92.5 (<i>P</i> = .25)	SLNB alone, 83.8 SLNB + ALND, 82.2 (<i>P</i> = .14)
6.1 y (median)	33	ALND, 0.43 Axillary RT, 1.19	ALND, 93.3 Axillary RT, 92.5 (<i>P</i> = .34)	ALND, 86.9 Axillary RT, 82.7 (<i>P</i> = .18)

Abbreviations: ACOSOG, American College of Surgeons Oncology Group; ALND, axillary lymph node dissection; AMAROS, After Mapping of the Axilla: Radiotherapy or Surgery?; BCS, breast-conserving surgery; cN0, clinically node negative; IBCSG, International Breast Carcinoma Study Group; NSABP, National Surgical Adjuvant Breast and Bowel Project; RT, radiotherapy; SLNB, sentinel lymph node biopsy.

^a8-year Kaplan-Meier estimates.