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## Oral Debio1143 (AT406), an antagonist of inhibitor of apoptosis proteins, in combination with daunorubicin and cytarabine in patients with poor-risk acute myeloid leukemia - results of a phase I dose escalation study

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## Abstract

**Background**—Treatment of acute myeloid leukemia (AML) remains difficult due to the development of treatment resistance which might be overcome through antagonists of inhibitors of apoptosis proteins (IAPs).

**Patients and methods**—This multi-center, open-label, dose escalation study aimed to evaluate tolerability, pharmacokinetics (PK), pharmacodynamics (PD), and efficacy of Debio1143 (formerly AT-406), a new IAP antagonist, when given along with a standard "7 plus 3 regimen" of daunorubicin and cytarabine to poor-risk patients with AML during the induction cycle.

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Conflicts of interest

This work was supported by Ascenta Therapeutics, Malvern, PA, USA. GV, ER and CZ are employed by Debiopharm International SA; JMB is employed by Ascenta Therapeutics; JMS is a founder of Ascenta Therapeutics and has stocks. All remaining authors have declared no conflicts of interest.

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Consecutive patient cohorts received once daily 100, 200, 300, or 400 mg of oral Debio1143 on treatment days 1–5. Blood samples were collected regularly until hematological recovery or response; bone marrow samples on day 0, 14, and 29; and PK and PD samples on days 1, 3, 5, 8, 10 and 1, 2, 8, respectively.

**Results**—Of 29 enrolled patients, 23 completed the study. Most common adverse events of any grade deemed related to treatment were nausea (31% of patients), diarrhea (14%), and febrile neutropenia (14%). Exposure exceeded dose-proportionality, without accumulation over 5 days. Inhibition of cIAP-1 was detectable in CD34/CD117+ cells and blasts. A total of 11 (38%) patients achieved complete remission, the majority in the 100 mg dose cohort. Of these, 6 (56%) relapsed still within the study period. Responders more frequently showed plasma increases of TNFa and IL-8 post-first dose of Debio1143.

**Conclusion**—Debio1143 up to 400 mg/day showed good tolerability in combination with daunorubicin and cytarabine; further studies in subsets of patients with AML are warranted.

#### **Keywords**

Pharmacokinetic; treatment resistance; cIAP1; TNF; interleukin; Debio1143

#### Introduction

Apoptosis is the process of programmed cell death.<sup>1</sup> Resistance of tumor cells to apoptosis poses a major problem for treatment as mutations that trigger tumor formation by suppressing cell death, also reduce treatment sensitivity and inevitably contribute to treatment failure and relapse.<sup>2–4</sup> Key factors in the inhibition of apoptosis of cancer cells may thus be a promising target for drug development.<sup>1, 5</sup> Among such key factors are the inhibitors of apoptosis proteins (IAPs).

IAPs primarily inhibit caspases, cysteine proteases involved in the intrinsic and extrinsic pathways of apoptosis.<sup>1</sup> They are also involved in the regulation of nuclear factor  $\kappa B$ ,<sup>6, 7</sup> mitogenic kinase signaling, proliferation, and mitosis as well as in the activation of cell motility kinases and metastasis.<sup>8</sup> Over-expression of IAPs was found in many types of cancer to be associated with chemoresistance, disease progression, and poor prognosis.<sup>3, 4</sup> By contrast, inactivation of IAPs, particularly when combined with other treatments, resulted in the death of tumor cells without apparent detrimental effects on normal cells.<sup>7, 9, 10</sup>

Overexpression of IAPs and its impact on survival and chemoresistance were also shown in acute myeloid leukemia (AML),<sup>11, 12</sup> which is the most common acute leukemia in adults. Treatment remains challenging particularly in poor-risk patients such as the elderly,<sup>13, 14</sup> as responsiveness to standard therapy declines with age.<sup>15, 16</sup> Unfavorable cytogenetics, multi-drug resistance, low performance status, and comorbidity also contribute to poor prognosis.<sup>13, 14</sup>

Standard treatment for remission induction of AML are "7 plus 3" regimens that include daunorubicin 45–90 mg/m<sup>2</sup> given as iv bolus for 3 days along with 100 mg/m<sup>2</sup> cytarabine iv daily for 7 days.<sup>17, 18</sup> Variations of this regimen have mostly proven disappointing.<sup>19–23</sup>

However, in patients with rapidly relapsed or refractory AML, idarubicin and high-dose cytarabine were combined with escalating doses of X-chromosome associated IAP (XIAP) antisense oligonucleotides<sup>24</sup> resulting in decreases of XIAP mRNA and an increased overall response rate: In the highest dose group, 15 out of 32 (47%) patients achieved complete remission (CR) as compared with only 1 out of 24 (4%) receiving lower doses. Thus, use of an adjunct treatment antagonizing IAPs may help to resolve therapy resistance of AML.

Debio1143 is a small molecule mimetic of the second mitochondria-derived activator of caspase C. It blocks a highly conserved 70 amino acid domain on the N-terminal end of IAPs known as the Baculovirus IAP Repeat (BIR) domain. In vivo and in vitro studies have demonstrated that Debio1143 induces cell death in several tumor models by inhibiting XIAP and cellular IAPs (cIAPs) 1 and 2. Here we present the results of a Phase I study of Debio1143 in patients with poor-risk AML in combination with standard induction therapy of daunorubicin and cytarabine.

#### **Patients and Methods**

#### Study population

Patients aged 75 years were eligible if they had AML other than acute promyelocytic leukemia.<sup>25</sup> Relapsing patients had to have completed treatment with any anthracycline based regimens or hematopoietic stem cell transplantation by >6 months. Patients with first-time diagnosis had to have 1 poor-risk factor (unfavorable cytogenetics; hematologic disease for 3 months based on bone marrow aspiration/biopsy or treatment-related secondary AML; gene mutations associated with poor survival; age 70 years; WBC >100 k/µL) and no favorable cytogenetic variant according to the modified UK MRC/NCRI criteria.<sup>26</sup> All patients had to have finished any cancer drug therapy for 21 days and any radiation, systemic corticosteroids, or minor surgery for 14 days from entry (28 days for thoracic radiation or major surgery). Moreover, patients had to have recovered from previous treatments and to demonstrate an Eastern Cooperative Oncology Group (ECOG) Performance Status 2 as well as adequate cardiac, renal, and liver function. Main exclusion criteria were primary refractory AML, central nervous system leukemia, any graft versus host disease or preventive treatment, any infection or chronic inflammation.

#### Study design and treatments

Patients were enrolled in sequential cohorts of 6 patients of whom 3 had to complete the 30-day induction treatment. If none of them demonstrated Debio1143-related dose-limiting toxicity (DLT) the dose was escalated to the next level. DLT mainly comprised any CTC grade 5 toxicity, grade 4 thrombocytopenia or neutropenia by day 42 in the absence of AML or any grade 3 or 4 non-hematologic toxicity (except anorexia, fatigue) if neither abating until day 42 nor attributable to persistent AML. In case of DLT, this cohort was expanded until there were 6 evaluable patients. If no additional DLT occurred, the next dose cohort was opened. If 2 patients experienced DLT, the maximum tolerated dose (MTD) was exceeded. Patients remained on study until hematological recovery, requirement for reinduction, or unacceptable toxicity occurred.

The Debio1143 starting dose was 100 mg/day which was increased in consecutive cohorts by increments of 100 mg/day up to 400 mg/day. Patients received daunorubicin 90 mg/m<sup>2</sup> iv on days 1–3 and cytarabine 100 mg/m<sup>2</sup> iv by continuous infusion, on days 1–7 of the induction cycle. Oral Debio1143 was scheduled on days 1–5. Patients who showed clear evidence of persistent leukemia (20% blast, no hypocellularity) on day 15 were removed from the study and replaced (Fig. 1). To assess treatment response bone marrow aspirates

The study was compliant with all applicable legal obligations, the requirements of the Declaration of Helsinki and Good Clinical Practice. It was approved by the Institutional Review Boards of all participating sites.

#### Pharmacokinetic (PK) and pharmacodynamic (PD) analysis

and biopsies were obtained at baseline and days 14 and 29.

Blood samples were drawn during the first 8 days of the induction therapy to determine PKs of Debio1143, daunorubicin, daunorubicinol, and cytarabine using LC-MS/MS validated methods. Expression of cIAP-1, XIAP, CD34 and CD117 were measured in PBMC using validated multiplex immunohistochemistry assay. Plasma biomarkers (TNFa, MCP-1 and IL-8) were measured through commercial ELISA.

#### Statistical analysis

Data was compared over time to assess changes from baseline during treatment and followup. If sample size allowed, Wilcoxon matched pairs test was used for inferential comparisons. Dose proportionality was explored using a regression model for log transformed AUC and  $C_{max}$  data.

## Results

From 29 March 2011 until 21 January 2013, 29 patients, 17 men (59%) and 12 women (41%) with a mean age of  $55.5\pm12.9$  years, were enrolled in 4 cohorts and treated with oral Debio1143 doses ranging from 100 to 400 mg daily (Figure 1); 26 patients were white (90%), 3 were African Americans (10%); 21 patients (72%) had untreated AML while in 8 patients (28%) it had relapsed after 1 to 6 lines of therapy (n= 3, 1, 2, 1, 0, 1, respectively). Seven patients (24%) had prior stem cell transplantation; in 3 patients, extramedullary disease was present. Based on WHO criteria, 5 patients (17%) had AML with genetic abnormalities, 11 patients (38%) AML with MDS-related features, 5 patients (17%) therapy-related AML, and 8 patients (28%) had AML not otherwise specified. Cytogenetic results were favorable in 1 (3%) patient, intermediate in 11 (38%), unfavorable in 16 (55%) and missing in 1 (3%). All patients completed the induction cycle. Three (10%) patients did not receive the planned dose of Debio1143. There were no dose delays of Debio1143 or daunorubicin, but one of cytarabine. Eventually, the MTD was not reached and 400 mg was the highest tested dose.

#### Dose limiting toxicities (DLT)

In addition to the typical toxicities of the "7 plus 3" induction therapy of daunorubicin and cytarabine, we observed DLTs in 3 (10%) patients that were attributed to treatment with 100,

200, and 400 mg Debio1143, respectively. One patient developed asymptomatic grade 3–4 transient elevation of liver enzymes after a single dose of 100 mg. A second patient experienced grade 3–4 mucositis, grade 3 atrial fibrillation and grade 4 hypokalemia and hypocalcemia two weeks after completion of the initiation cycle with 200 mg Debio1143. Atrial tachycardia and electrolyte imbalance were successfully treated and considered sequelae of mucositis, which persisted though. A third patient developed heart failure along with a neutropenic septic episode at the end of the initiation cycle with 400 mg/d Debio1143. The patient was reported to have expired 3 months later due to disease progression.

#### **General Safety**

Overall, there were 441 AEs with all patients having at least one. The majority of these was of CTC grade 1 or 2; 28 (97%) patients experienced 1 AE of grade 3, 8 (28%) of grade 4, and 2 (7%) of grade 5. Two patients discontinued study drug treatment primarily because of an AE. Eleven patients (38%) had overall 20 SAEs; 2 patients (7%) experienced a fatal SAE (fungal pneumonia; persistent/recurrent AML), but both episodes were considered unrelated to Debio1143. Neither incidence, nor severity of AEs increased with dose; 96 AEs (21.8%) including 10 SAEs (50%) were considered related to Debio1143 (Table 1); 19 (66%) patients experienced 1 related AE and 4 (14%) a related SAE. Most affected organ systems were gastrointestinal and general disorders with nausea, diarrhea, and febrile neutropenia being the most common ADRs (Table 1). No clinically meaningful trends were observed in safety laboratory or ECG measurements, vital signs, body weight measurements, or ECOG performance status.

#### Pharmacokinetics

 $C_{max}$  and AUC increased greater than dose proportional in the range from 100 to 400 mg (Table 2). Average  $T_{max}$  was about 2 h. On day 1,  $T_{1/2}$  was about 5.5 h, independent of the dose. No drug accumulation was observed over the 5-day dosing period.

#### Pharmacodynamics

**cIAP1 and XIAP staining in PBMCs**—Eighteen patients had pre- and post-first dose assessments of IAP in PBMCs. In general, XIAP levels were low (H-score 20) and cIAP1 varied without pattern or significant differences over time (Figure 2). There was no obvious correlation between changes in cIAP1 and types of AML or treatment success. In 13 patients, cIAP1 levels measured in CD34/CD117+ cells were significantly lower on days 1 (6 h) and 8 as compared to baseline (Figure 2).

**Plasma biomarker levels**—*TNF a* w as measurable in 19 patients but remained below the limit of detection in 10 patients. Baseline values ranged up to 36 pg/ml. In 13 patients (68.4%) levels 3 h post-first dose were greater than at baseline, across doses. Those included all 4 patients with treatment-related AML (100%). An increase in plasma TNF7agr; was also detected at 3 h on Day 1 in 9 out of 11 patients with CR, but only in 3 out of 6 patients with resistant disease. Sample size was insufficient to assess any dose-dependencies.

*IL-8* and *MCP-1* were measurable in 22 patients. IL-8 levels significantly increased over 6 h postdose (Figure 3), highest in the lowest dose group. There was no obvious difference in IL-8 changes among AML types, however, 8 patients (72.7%) with IL-8 above median at 3 h post-first dose achieved CR as compared to only 3 (27.3%) with values below median. With the exception of 3 patients MCP-1 decreased post-first dose until day 2 with decreases being significant at 3 h and 24 h post-first dose (Figure 3). On day 8, values had re-increased to a level significantly above baseline. MCP-1 changes did neither depend on dose or AML type, nor was there any striking correlation with treatment response.

#### Efficacy

A total of 14 (48%) patients achieved disease remission, 11 (38%) a CR, 2 (7%) a CR with incomplete hematological recovery (CRi) and 1 (3%) a partial response. The majority of remissions occurred in cohort 1, 100 mg: 7 (88%) versus 200 mg: 4 (33%); 300 mg: 1 (25%); 400 mg: 2 (40%). Of the 13 patients with CR/CRi, 8 (62%) relapsed within the study period. In 15 (52%) patients, treatment failed due to resistant disease. For 26 (90%) patients overall survival was 30 days. Correlative subgroup analyses between disease remission and cytogenetic variants were inconclusive.

## Discussion

Debio1143 is one of six SMAC mimetic IAP antagonists that have entered clinical development. LCL-161 and birinapant (TL-32711) have even entered phase II.<sup>27, 28</sup> Debio1143 was shown to be well tolerated when given as monotherapy to patients with advanced cancer and to elicit PD effects seen in animals at linear PK at doses >80 mg.<sup>29</sup> This was the first trial using Debio1143 concomitantly to a standard "7 plus 3" chemotherapy regimen consisting of daunorubicin and cytarabine for the treatment of AML. Our findings indicate that such a triple combination is clinically feasible and revealed good general safety and tolerability, and low mortality rate. An incidence of DLTs in about 10% of patients is rather low for a combination of antineoplastic drugs.

For all three DLTs possibly related to Debio1143, there were other at least contributing factors: The patient with transient grade 3–4 liver enzyme increases had previously experienced a similar event under chemotherapy with clofarabine. Some hepatotoxicity appears to be inherent to the mode of action of IAP inhibitors though.<sup>30, 31</sup> Mucositis is a common complication of cytarabine, <sup>32</sup> but based on its severity, the investigator felt it to be associated with increased apoptosis through Debio1143 which indeed might have contributed to the successful remission in this patient. Sepsis itself is a contributing factor to heart failure regardless of age <sup>33–35</sup> and heart failure is a known and labeled side effect of daunorubicin.

The general safety profile of Debio1143 with gastrointestinal AEs being most common is not of concern, particularly as the incidence and severity of AEs did not increase with dose. Debio1143 is likely to exhibit non-linear PKs, although the drug did not accumulate over 5 days. Robust PK interpretation of daunorubicin and cytarabine data remained difficult because the study was not designed to accurately explore a potential interaction between Debio1143 and cytarabine or daunorubicin. In general, PK of daunorubicin appeared not to

be affected by Debio1143 dose. Cytarabine clearance in some patients was higher than reported in the literature,<sup>36</sup> however sampling conditions were not properly controlled and an interaction thus would remain to be further investigated.

There was no obvious association between PK and PD, however sample sizes were small and both PK and PD data varied considerably. Accordingly, PD data was inconclusive when exploring associations with disease characteristics or treatment outcomes, although the significant decrease of cIAP1 levels in CD34/CD117+ cells and the significant changes in plasma IL-8 and MCP-1 may indicate some clinical on-target activity. The changes of IL-8 and MCP-1 were not in line though with those in patients receiving Debio1143 monotherapy for mostly solid tumors in the first-in-man trial.<sup>29</sup>

In terms of efficacy, addition of Debio1143 as an adjunct to cytarabine and daunorubicin did not result in strikingly higher remission rates than observed in studies on the combination of cytarabine and daunorubicin only.<sup>37</sup> Our overall CR rate was 38% after the induction cycle without any difference between younger and older patients. CR rates reported for "7 plus 3" combinations ranged from 53% to 58% in AML patients with considerably more favorable cytogenetic factors.<sup>38</sup> Interestingly, in our study the CR rate after the induction cycle with the 100 mg dose of Debio1143 was 62%. Although the validity of such comparisons with historical data might generally be doubtful, it at least warrants further, controlled studies.

In conclusion, tolerability and safety of Debio1143 at oral doses up to 400 mg once daily for 5 days as an adjunct to standard cytarabine and daunorubicin regimen was acceptable. Controlled studies evaluating the efficacy of the 100 mg dose in subgroups of AML patients are warranted.

## **Clinical Practice Points**

Several SMAC mimitics inactivating IAPs have entered early clinical development. First-inman studies in compassionate use patients with advanced, refractory cancer of any type revealed rather weak anti-tumor activity when given as single agent. By contrast, first results of combination approaches were promising in that SMACs may overcome treatment resistance to common chemotherapy regimens. Specifically, acute myeloid leukemia (AML) often develop treatment resistance. The combination of oral Debio1143 doses up to 400 mg q5wk along with standard induction therapy of daunorubicin and cytarabine proved feasible in poor-risk AML patients and was well tolerated. Clinical on-target activity was indicated by a significant decrease of cIAP1 levels in CD34/CD117+ cells and blasts as well as by significant changes in plasma cytokine levels. Complete remission rate after the induction cycle was 38% overall and 62% with the 100 mg dose. This was slightly higher than for "7 plus 3" combinations in AML patients despite less favorable cytogenetic factors.

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#### Figure 1.

Patient flow-chart according to CONSORT

ET: early termination; DLT: dose-limiting toxicity; DP: disease progression; IVR: investigator request

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Time Point

**Figure 3.** Change over time in plasma biomarker concentrations

Table 1

ADRs of Debio1143 with more than one occurrence, by System Organ Class and Preferred Term (PT)

Dose cohort	100 mg	(N=8)	200 mg	(N=12)	300 mg	(N=4)	400  mg	(N=5)	AE, total 44	(100%)	Pts, total	(%) (100%)
System Organ Class / • PT	Pts	AE	Pts	AE	$\mathbf{Pts}$	AE	Pts	AE	AE	%	Pts	%
Blood / lymphatic system	2	7	1	1	ŝ	I	ŝ	7	6	2.0	9	20.7
•Febrile neutropenia	I	1	1	1	ŝ	I	Ι	Ι	9	1.4	4	13.8
•Thrombocytopenia	0	0	0	0	0	0	0	Ι	0	0.5	Ι	3.4
Cardiac disorders	0	0	1	1	0	0	1	1	0	0.5	7	6.9
Eye disorders	7	I	0	0	0	0	0	0	7	0.5	I	3.4
Gastrointestinal disorders	12	7	11	4	ŝ	1	0	0	26	5.9	12	41.4
•Diarrhoea	ŝ	ŝ	1	1	0	0	0	0	4	6.0	4	13.8
•Nausea	S	S	4	4	0	0	0	0	6	2.0	6	31.0
•Stomatitis	0	0	Ι	Ι	Ι	Ι	0	0	0	0.5	7	6.9
•Vomiting	7	0	0	0	I	I	0	0	ŝ	0.7	ŝ	10.3
General / admin. site	4	ŝ	s	4	1	1	0	0	01	2.3	æ	27.6
•Fatigue	I	I	I	I	I	I	0	0	ç	0.7	ŝ	10.3
<ul> <li>Mucosal inflammation</li> </ul>	Ι	Ι	7	7	0	0	0	0	ŝ	0.7	ŝ	10.3
•Pyrexia	Ι	Ι	0	Ι	0	0	0	0	ŝ	0.7	0	6.9
Infections / infestations	I	Ι	7	7	I	I	2	I	б	1.4	S	17.2
Investigations	5	Э	2	2	Э	I	Э	I	13	2.9	7	24.1
•ALT increased	2	2	0	0	0	0	Ι	Ι	c	0.7	ŝ	10.3
•AST increased	Ι	Ι	0	0	0	0	0	Ι	ç	0.7	0	6.9
•Blood bilirubin increased	Ι	Ι	Ι	Ι	0	0	0	0	7	0.5	7	6.9
•ECG QT prolonged	0	0	0	0	3	I	0	0	З	0.7	I	3.4
Metabolism / nutrition	0	0	5	ç	I	I	7	Ι	13	2.9	5	17.2

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Dose cohort	100 mg	(N=8)	200 mg (	(N=12)	300 mg	(N=4)	400 mg	(N=5)	AE, total 4	41 (100%)	Pts, total 2	(%) (100%)
System Organ Class / • PT	Pts	AE	Pts	AE	Pts	AE	Pts	AE	AE	%	Pts	%
<ul> <li>Decreased appetite</li> </ul>	0	0	Ι	1	Ι	1	0	0	2	0.5	7	6.9
<ul> <li>Hypocalcaemia</li> </ul>	0	0	0	0	0	0	ŝ	Ι	ç	0.7	Ι	3.4
•Hypokalaemia	0	0	Ι	Ι	0	0	ŝ	I	4	0.9	0	6.9
Nervous system disorders	2	2	0	0	0	0	0	0	2	0.5	2	6.9
Respiratory, thoracic mediastinal disorders	2	Ι	3	3	3	Ι	0	0	8	1.8	5	17.2
Skin, subcutaneous tissue	2	2	3	2	0	0	0	0	5	1.1	4	13.8
Total ADRs	8	32	7	33	2	15	2	16	96	21.8	61	65.5

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Pts: patients

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Table 2

AUC<sub>0-inf</sub> [mg\*h/l]  $6.0\pm3.5$ 

T<sub>1/2</sub> [h]

T<sub>max</sub> [h] 2.6 2.9

C<sub>max</sub> [mg/l]

N

Day

Dose

Pharmacokinetics of Debio1143, means ± standard deviation

 $8.5\pm5.7$ 

 $5.0 \pm 1.1$  $13.0 \pm 2.9$ 

 $1.2 \pm 0.7$  $8.3\pm0.8$ 

 $\infty$ 

100 mg

 $\infty$ 

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 $4.9\pm1.0$  $15.9\pm3.4$ 

1.22.0

 $4.4 \pm 1.7$ 

4

300 mg

 $2.5\pm4.0$ 

4

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 $24 \pm 14$ 

 $18 \pm 9$ 

 $5.6\pm0.9$  $18.0\pm5.1$ 

1.51.5

 $3.0\pm1.8$ 

12 12

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200 mg

 $2.4\pm1.3$ 

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 $72 \pm 20$ 

 $55\pm 20$ 

 $5.9\pm1.4$  $25.5 \pm 3.7$ 

1.02.5

 $\textbf{9.5}\pm\textbf{4.5}$ 

4

-

400 mg

 $5.6 \pm 1.8$ 

4

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° Median

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