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A Pilot Study of Parent, Dentist, and Independent Rater Assessment of Child Distress During Preventive Dental Visits

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Abstract

Purpose—Although typically not painful, preventive dental care can be distressing to young children. A greater understanding of how adults perceive child distress may enable clinicians to improve the patient/parent experience through alignment of values and facilitation of shared decision-making. This study examined the association between parent, dentist, and independent observer (IO) ratings of child distress during preventive dental procedures.

Methods—65 children under 3 years of age were seen for preventive dental care at a university-based dental clinic. Parents, dentists, and an IO rated intensity of child distress (0 = none; 5 = extreme) during 4 phases of the dental visit: *pre-exam*, *positioning*, *prophylaxis/exam/fluoride*, and *post-exam*.

Results—The average age of the children was 24.4 months (SD 7.2). The *prophylaxis/exam/fluoride* phase was judged to be most distress inducing. Mean distress ratings for this phase were: 2.30 (parents), 2.47 (dentists), and 3.08 (IO). This difference was statistically significant ($p = .04$). The IO ratings were significantly different from parents (for 3 phases) and dentists (for 1 phase); no statistically significant differences were noted between parent and dentist ratings for any phase.

Conclusions—There was a strong agreement between parents' and dentists' ratings of child distress during preventive dental procedures.

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Keywords

pain; stress; psychological; infant

Introduction

The American Academy of Pediatric Dentistry and American Academy of Pediatrics recommend that children establish a dental home by age one.^{1, 2} Despite these recommendations, many parents have not developed a habit of routine preventive dental care for their young children. Initiation of dental care at a young age is associated with greater use of preventive services and with lower dentally-related costs.^{3, 4} Early initiation of dental care is particularly important for low-income children who have poorer dental attendance and are more likely to develop tooth decay than are children of higher income families⁵⁻⁹

While the reasons for poor dental attendance by low-income children are varied, factors such as an unpleasant first dental visit and dissatisfaction with dentists and office staff have been associated with less than optimal pediatric dental attendance.^{10, 11} More than 30 years of research has shown that exhibiting characteristics such as empathy and encouragement greatly improves relations and communication between clinicians and parents. Parents that feel supported, in turn, are reassured about their children's health, and it is inferred that these parents have better compliance with future medical recommendations.¹² Child behavior during the visit is also important. Some parents avoid taking their child to the dentist because they perceive their child's crying and distress behaviors at the dental office as distressing.^{13, 14} As a result of these findings, parent attitudes and psychosocial motivation to pursue care have become major foci of health interventions.¹⁵⁻¹⁹

Preventive dental care for young children consists of relatively "benign" procedures including an examination of the oral cavity and the application of fluoride varnish. While the dental provider may perceive these services to be non-painful and therefore non-invasive, the visit may not necessarily be viewed by parents in the same way. In fact, a previous study, reported that over half of parents felt that their child experienced moderate to severe distress during these procedures.²⁷

Recognizing children's discomfort is key to understanding clinical interactions from the perspectives of the patient and parent. The term *stress* relates to factors that cause physical or mental tension, while *distress* refers to suffering related to excess stress. Pain is "an unpleasant sensory and emotional experience associated with actual or potential tissue damage."²⁰ Experts in pediatric pain assessment suggest that there is considerable overlap in the measure of pain and distress.^{35, 36} For example, facial grimace, cry, and scream can relate to pain experienced during an injection or distress related to a non-painful exam.³⁷ Therefore, it is important to consider that these physical signs may represent a continuum of the stress reaction. In practice, the two terms "stress" and "distress" are used interchangeably.

Historically medical pain has been underrecognized and undertreated in very young children.²¹⁻²⁶

Underestimation of patient discomfort by clinicians has been referred to as “pain blindness.”^{28, 29} The literature suggests that medical and dental providers do not assess children’s discomfort accurately and are often at odds with parents’ and independent observers’ (IO) perceptions of children’s pain.^{25, 26, 29, 30}

Today’s model of shared decision-making in healthcare implies that parents, dentists, and pediatric patients must align values and share an element of trust and accountability.^{31, 32} This requires a certain amount of agreement among the triad regarding pain or distress during the dental visit. Therefore, accurate assessment of child pain and discomfort is an important step in developing rapport with families and improving the parent and patient experience.

In this study, parents, dentists, and an IO rated young children’s behavior during a dental examination and preventive treatment. The objective was to determine if dentists’ perception of child distress at sequential stages of the dental visit was similar to that of the other observers.

Methods

This study was approved by the study university’s Institutional Review Board, and each participating parent underwent the informed consent process prior to entering the study. A convenience sample of 65 parents of children presenting to a university-based pediatric dental clinic for preventive care from April 2012 to March 2014 was chosen. The parents and children were selected from the patient pool of 21 faculty, and resident, dentist participants. Inclusion criteria required that the parents were fluent in English, the patient was enrolled in the federal Medicaid program for low-income children, in good overall health, and was 6 to 36 months of age.

After check-in with the clinic reception desk, parents were approached by a member of the research team and invited to participate in the study. Consenting parents then completed a socio-demographic questionnaire that included questions about the child’s dental health status and if the child had seen a dentist any time in the past. Standard of care preventive procedures, including a prophylaxis, visual oral examination, and fluoride varnish treatment were performed and video recorded. The majority of children were examined in the knee-to-knee position. The video recordings were archived for analysis by a developmental psychologist IO-who was not present at the clinic.

Parents rated the child’s distress on a 10 point numeric rating scale (0 = “no distress” and 10 = “distress as bad as it could be”) during or immediately following each of 4 phases of the visit:

- Phase I-*Pre-exam*, dentist entered the room
- Phase II-*Positioning*, parent reclined the child into the knee-to-knee position or dentist lowered the child’s chair
- Phase III-*Prophylaxis/exam/fluoride*, dentist conducted a prophylaxis, visual exam, and applied fluoride varnish

- Phase IV-*Post-exam*, child returned to an upright position until dentist left the room or 3 minutes after returned to start position

To facilitate the flow of procedures the treating dentist rated the child's distress using the same 10-point scale, but did so at the conclusion of the visit. This measure was derived from metrics used in clinical studies of pain responses to medical procedures in which the terms "pain" and behavioral "distress" are used interchangeably.^{33,34}

At a later time, an IO (CH) reviewed the video recording of each child's visit and rated distress according to the Behavioral Approach-Avoidance and Distress Scale (BAADS; Hubert, Jay, Saltoun and Hayes, 1988).

The BAADS scale consists of two subscales, one assessing *approach-avoidance* and the other assessing *distress*. Each subscale is scored using 5-point behaviorally anchored ratings. In this study, we rated child behavior on the *distress* subscale. The ratings range from 1, indicating no distress, a calm appearance, and not crying to scores of 2 to 4, indicating moderate distress, some crying, or moderate muscle tension. The most extreme rating is 5 and indicates extreme distress, agitation, screaming or extreme muscle tension. In this study, we report the BAADS distress subscale scores for the same dental visit phases rated by the parents and dentists. Each of the four phases received a single BAADS distress score of 1 to 5.

Descriptive statistics (means, standard deviations, counts, and percentages) were calculated for all variables. Numerical scores provided by parents and dentists were scaled proportionally (reduced by 1/2) to allow for direct comparison with the BAADS distress score. Differences in distress ratings between the three raters for each of the four phases of the dental visit were determined using ANOVA. After the ANOVA, a post-hoc analysis using a Bonferroni comparison was performed to calculate the associations between distress ratings of two observers in each phase. The significance level was pre-set to 0.05.

Results

Caregivers in the sample largely consisted of mothers who identified as white and Asian, the majority of whom had attended at least some college. The average age of children enrolled was 24.4 ± 7.2 months. The majority of parents reported that their child had previously visited a dentist (72.3%), and most indicated that their child had good to excellent dental health (80%). The demographics of this convenience sample are consistent with the clinic as a whole and with populations that participated in previous research projects at the study institution. (Table 1)

Elapsed time for the four dental visit phases was calculated. The mean exam time was 11.28 minutes. As expected, the quickest exam phase was *positioning*, averaging 12 seconds (mean = 0.20 minutes, SD 0.31). The *prophylaxis/exam/fluoride* phase was longer at just over 3 minutes (mean = 3.08 minutes, SD 3.12). The *pre-exam times* showed wide variation, due in part to alterations of camera start time. *Post-exam times* showed little variation because recordings were truncated 3 minutes after the dentist left the room. (Table 2)

Parents, dentists, and the IO judged the *prophylaxis/exam/fluoride* phase to be the most distressing. The mean distress ratings given for this phase were highest for the IO (3.08), followed by the dentists (2.47) and parents (2.30). The IO evaluated the *post-exam* period to be the next most distressing phase, while parents and dentists rated the second most distressing phase to be *positioning*. On average, parents assessed their child as experiencing less distress than the dentists and IO, and this was true for each of the four phases. Overall, the mean distress scores for child participants were in the mild to moderate range. (Figure 1)

There were no statistically significant differences between parent and dentist ratings for any phase of the dental visit. Statistically significant differences were found when comparing parent and dentist ratings with the IO. Differences between raters were greatest during the *pre-exam* ($p = .02$) and *post-exam* ($p < .001$) phases. IO ratings were significantly greater than parents for the *pre-exam* (mean .52, $p = .04$), *prophylaxis/exam/fluoride* (mean .78, $p = 0.04$), and *post-exam* (mean 1.38, $p < .001$) phases. IO ratings were significantly greater than dentists for the *post-exam* (mean 1.14, $p < .001$) phase. (Table 3)

Fifteen of the 65 videos were re-coded (23%) to determine intra-rater reliability (IRR) of the IO. Pearson correlation for evaluating the IRR was found to be 0.998 when comparing the total distress score for all four phases. When comparing the distress score in the *prophylaxis/exam/fluoride* phase there was perfect agreement in the IO ratings between the two time periods (Pearson correlation = 1.00).

The majority of children were rated very similarly by their parents and dentists; however there was a subset for which the parent and dentist ratings differed considerably. These cases were examined to determine if they differed systematically from the other children. We defined two groups with significant differences in the *prophylaxis/exam/fluoride phase* as “mismatches.” One group was the children for whom the parent and dentist ratings that differed by >2 points on the 10 point scale ($N = 17$). The second was the cases with rating differences greater than 1.5 times the standard deviation from the mean ($N = 8$). We compared each of these groups with the larger group of children ($N = 48$ and 57 respectively) and found no statistically significant differences for variables listed in Table 1. (Data not shown)

Discussion

Beginning at age 4-5 years, children can reliably self-report pain.³⁰ Before that age, accurate assessment of pain observed by others may be difficult because estimations rely on physiologic and behavioral responses.^{25, 26, 38} Pain assessment in this group is complicated further by the fact that children, parents, and providers vary in their assessment of actual pain and discomfort.^{25, 29, 39, 40} A variety of instruments have been used to report patient fear and distress behavior during dental treatment. A recent systematic review indicates that Likert scales have been used frequently to describe child pain and distress behavior. One of the most common instruments is a simple 5 point Likert scale derived from Weinstein et al, 1982.⁴¹ Versloot employed a similar instrument, using a 4 point scale ranging from (1) no pain to (4) a lot of pain.²⁹ The medical literature also commonly features instruments based upon Likert or visual analog scales completed by children, parents, and providers.^{30, 42-45}

Others have described child behavior during dental care with more detailed scales such as the St Andrews Behavioral Interaction Coding Scheme (SABICS), which includes 48 behavioral codes.^{46, 47}

In this study parents and dentists rated pain on a 10 point Likert scale. We chose this scale for real-time ratings performed by parents and dentists because it is reliable and simple to use. The IO rated behavior using the BAADS rating scale. The BAADS was developed as an observational scale of children's behavioral response to preparation for bone marrow aspiration procedures.⁴⁸ We selected the BAADS for use in this study because it is a cost-efficient, reliable and valid observational measure of young children's stress and coping with medical stressors. The BAADS requires expertise in observational ratings of child behavior, which was true of the IO but not true of the dentist or parent participants. Also, it seemed possible that ratings based on video recordings, rather than done "live," would more accurately reflect the child's state, independent of any shared experience of the child's distress.

Providers may underrate discomfort as a result of "pain blindness" that occurs when administering medical care to patients. For example, dentists may not accurately assess pain experienced during dental injection because they are focused on administering anesthetic, not the child's reaction.^{28, 29} It is thought that while parents do not perfectly assess their child's pain, they may be more accurate than a treating clinician.^{25, 30, 49}

If dentists were blind to a child's distress during preventive care, we would expect them to rate the *pre-exam* phase similarly to other observers, while rating exam procedures lower. In contrast to findings in the pain literature, the results of the present study suggest that dentists rate child distress during preventive care similarly to parents. Average distress measurements were within the moderate range for all observers, and interestingly, parents provided the lowest mean distress scores at all time points. Perhaps parents identified the distress behavior as typical for their child, while providers and IOs gauged it according to their experience with other children.

For all treatment phases, the IO's average rating was greater than both dentists and parents. This may be because parents and dentists used context-specific cues to interpret the meaning of the child's behavior, whereas the IO rated observed behaviors only. For example, maximum distress was coded for any phase that included screaming, strong back arch, or kicking. It is also possible that parents and dentists mentally averaged these short behavioral spikes in their assessment, arriving at a lower overall score. In contrast, for example the parents' and dentists' ratings might have been lower for this phase because the dentist (the distressing stimulus) was no longer in contact with the child and both the dentist and the parent knew the dentist would not reinitiate contact. In fact, parents' comments to their children supported this view; with reassurances such as "It's okay, all done."

In this study we determined that parents and dentists often have a similar perception of the distress that a child experiences during preventive care. It may be that for procedures that are not generally thought to be painful there is better agreement between the dentist and parent than for those procedures that are typically considered to be painful (e.g. injections,

restorative dentistry, suturing, etc.). We reported previously that a large proportion of children experience moderate to severe distress when receiving pediatric preventive dental care.²⁷ While most children return quickly to baseline after examination, the child's experience over the course of the visit may leave a more lasting impression on parents. This has important implications for pediatric oral health because parent satisfaction with the dental experience can affect follow-through with routine preventive care.⁵⁰ These results suggest that when dentists perceive that young patients are experiencing distress, it is quite likely that the parent feels the same way. We therefore suggest that practitioners attempt to determine which children are at highest risk for distress behavior, and counsel parents appropriately.²⁷

Limitations of this study included the fact that examination procedures were performed by twenty-one separate dental providers at a university-based dental clinic. A recent systematic review showed that sample sizes of 25-30 practitioners of varying experience have been commonly reported in this type of study. While not an uncommon practice, it must be recognized that enrolling a variety of providers may have affected results.⁴¹ Parent interactions with providers and individual variation in practitioner style and experience may have affected the children's responses and parents' impression of distress experienced by their child. Parents and dentists also rated distress on a scale that was similar but not the same as the IO. The scores were scaled to facilitate comparison, however this may partially explain why dentist and parent distress ratings were more similar for some time points.

Conclusions

The results of this study suggest that:

1. There was no statistically significant difference between distress scores provided by dentists and parents of children receiving preventive dental care at any exam phase.
2. On average, parents provided the lowest ratings of child distress for all phases.
3. The Prophylaxis/Examination/Fluoride treatment phase was judged to be most distressing by parents, dentists and the IO.

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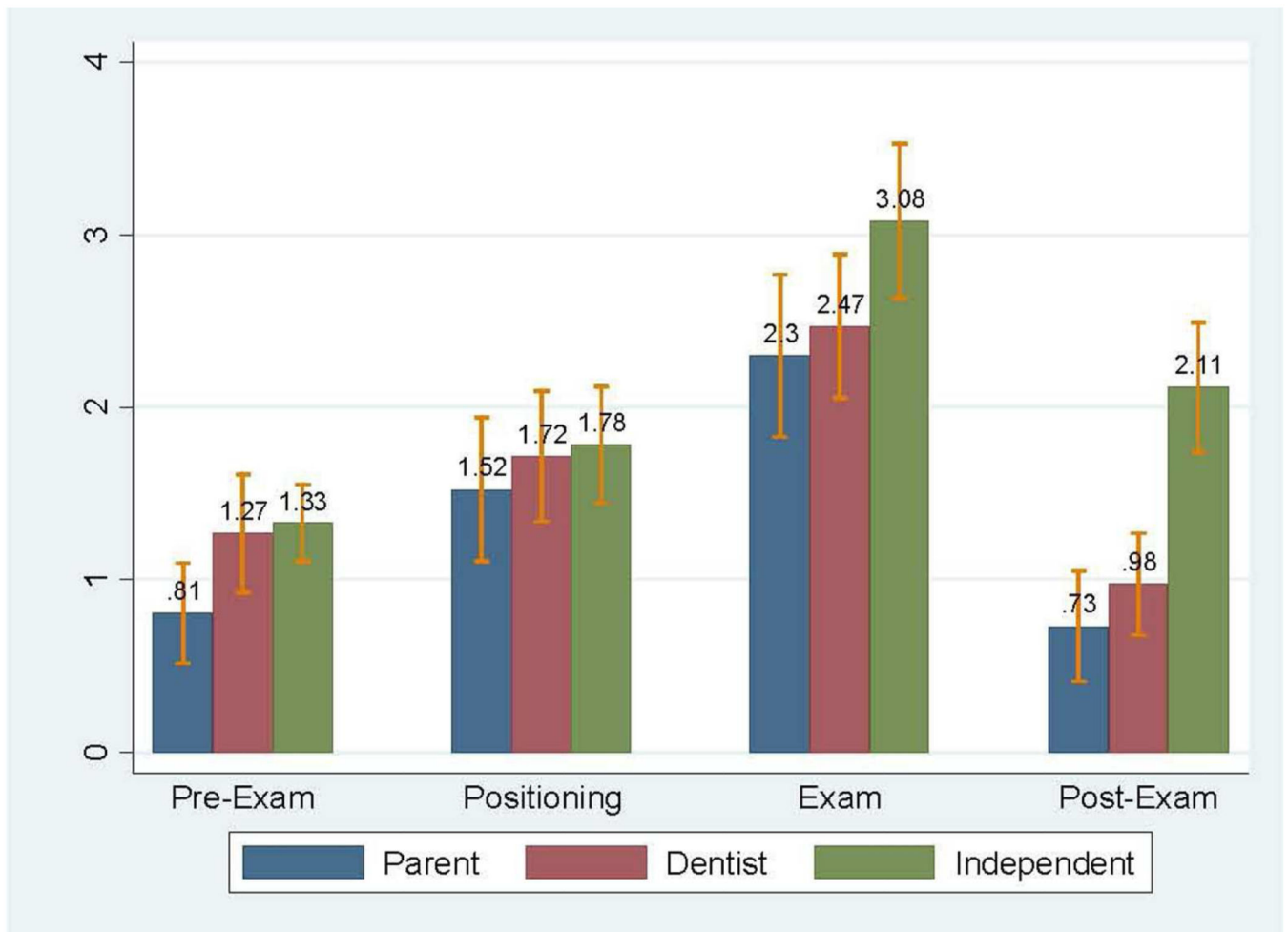
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Mean Distress Rating By Reviewer and Exam Phase

Table 1

Demographic Characteristics of Adults and Child Participants		N = 65
		Mean (SD [*])
Child Age (months)		24.4 (7.2)
		N (%)
Relation to Child		
	Mother	53 (81.6%)
	Father	10 (15.4%)
	Other	1 (1.5%)
	Missing	1 (1.5%)
Ethnic Origin		
	White	34 (52.3%)
	Black	2 (3.1%)
	Asian	14 (21.5%)
	Other/Multiple	8 (12.3%)
	Missing	7 (10.8%)
Education		
	High school or less	14 (21.5%)
	Some College	23 (35.4%)
	4 year College or more	26 (40.0%)
	Missing	2 (3.1%)
Child Has Been to a Dentist Previously		
	Yes	47 (72.3%)
	No	18 (27.7%)
Parent Rating of Child's Dental Health		
	Excellent	12 (18.5%)
	Very Good	17 (26.1%)
	Good	23 (35.4%)
	Fair	5 (7.7%)
	Poor	2 (3.1%)
	Don't know	4 (6.1%)
	Missing	2 (3.1%)

^{*}SD = Standard Deviation

Table 2

Duration of Dental Visit Phases (In Minutes)

	N	Mean	SD	Min	Max
Pre-Exam *	61	6.07	5.26	0.32	25.98
Positioning	60	0.20	0.31	0.02	1.82
Prophylaxis/Exam/Fluoride	63	3.08	3.12	0.35	17.28
Post-Exam **	61	2.20	0.90	0.42	3.00
Total Time	63	11.28	6.13	2.53	32.47

* Pre-Exam: limited to period that was video recorded

** Post-Exam: truncated to a max of 3 minutes

Table 3

Associations between Distress Rating and Observer Type

Pairwise Comparison	Pre-Exam		Positioning		Prophylaxis/ Exam/Fluoride		Post-Exam	
	Mean Difference	p-value	Mean Difference	p-value	Mean Difference	p-value	Mean Difference	p-value
Overall		0.02 *		0.61 *		0.04 *		<0.001 *
Dentist vs Parent	0.46	0.07 **	0.20	>0.999 **	0.17	>0.999 **	0.25	0.87 **
Independent Observer vs Parent	0.52	0.04 **	0.26	>0.999 **	0.78	0.04 **	1.38	<0.001 **
Independent Observer vs Dentist	0.06	>0.999 **	0.06	>0.999 **	0.61	0.17 **	1.14	<0.001 **

* P-value testing overall association between distress rating and observer type calculated using one-way ANOVA

** P-value testing the association between distress ratings of two observers calculated using a post-hoc Bonferroni comparison