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Umbilical cord CD71+ erythroid cells are reduced in neonates born to women in spontaneous preterm labor

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Abstract

Problem—Preterm neonates are highly susceptible to infection. Neonatal host defense against infection seems to be maintained by the temporal presence of immunosuppressive CD71+ erythroid cells. The aim of this study was to investigate whether umbilical cord CD71+ erythroid cells are reduced in neonates born to women who undergo spontaneous preterm labor/birth.

Method of Study—Umbilical cord blood samples (n=155) were collected from neonates born to women who delivered preterm with (n=39) and without (n=12) spontaneous labor, or at term with (n=82) and without (n=22) spontaneous labor. Time-matched maternal peripheral blood samples were also included (n=111). Mononuclear cells were isolated from these samples, and CD71+ erythroid cells were identified and quantified as CD3-CD235a+CD71+ cells by flow cytometry.

Results—1) The proportion of CD71+ erythroid cells was 50-fold higher in cord blood than in maternal blood; 2) a reduced number and frequency of umbilical cord CD71+ erythroid cells were

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Disclosure/Conflict of Interest

The authors disclose no conflicts of interest.

found in neonates born to women who underwent spontaneous preterm labor compared to those born to women who delivered preterm without labor; 3) umbilical cord CD71+ erythroid cells were fewer in neonates born of term pregnancies, regardless of the process of labor, than in those born to women who delivered preterm without labor; and 4) no differences were in umbilical cord CD71+ erythroid cells between neonates born to women who underwent spontaneous preterm labor and those born to women who delivered at term with labor.

Conclusion—Umbilical cord CD71+ erythroid cells are reduced in neonates born to women who had undergone spontaneous preterm labor.

Keywords

Cord blood; immunosuppression; neonates; parturition; placenta; pregnancy

INTRODUCTION

Preterm birth is the leading cause of neonatal morbidity and mortality worldwide; more than 10% of deliveries annually in the U.S. alone are preterm^{1,2}. Two-thirds of preterm births are preceded by spontaneous preterm labor³, a multi-etiological syndrome causally linked to infection and inflammation⁴. Preterm neonates are at an increased risk for short- and long-term complications^{5,6}. Indeed, preterm neonates are more susceptible to infection than those delivered at term^{7,8}. However, the immune mechanisms underlying this susceptibility are poorly understood.

Neonatal susceptibility was originally attributed to the immaturity of the immune system⁹. However, recent studies are changing this concept. For example, erythroid cells (cells expressing the erythroid-lineage-defining molecule Ter119) are enriched in the neonatal spleen, where they seem to have an immunosuppressive function since they drive Th2 immune responses¹⁰. Subsequent *in vitro* and *in vivo* studies also demonstrated that neonatal CD71+ erythroid cells have immunosuppressive activity in humans (umbilical cord blood) and mice (the neonatal spleen)¹¹. Such a function is mediated by arginase-2 since supplementation with L-arginine (a substrate for arginase 2) overrides immunosuppression¹¹. Neonatal CD71+ erythroid cells seem to participate in the colonization of commensal microorganisms, which occurs shortly after parturition¹¹. However, this role is still controversial¹² since CD71 is highly expressed in the gut epithelium¹³. Therefore, the enhanced bacterial clearance that occurs upon anti-CD71 treatment may be the result of diminished intestinal barrier function (i.e. immune priming by leaked microbiota) rather than the absence of CD71+ erythroid cells¹².

Since CD71+ erythroid cells seem to play a central role in neonatal immunity^{10,11} and premature neonates are highly susceptible to infection^{7,8}, we investigated whether umbilical cord CD71+ erythroid cells were reduced in neonates born to women who underwent spontaneous preterm labor.

MATERIALS AND METHODS

Human subjects, clinical specimens, and definitions

Umbilical cord blood samples were obtained at the Perinatology Research Branch, an intramural program of the *Eunice Kennedy Shriver* National Institute of Child Health and Human Development, National Institutes of Health, U. S. Department of Health and Human Services (NICHD/NIH/DHHS), Wayne State University (Detroit, MI, USA), and the Detroit Medical Center (Detroit, MI, USA). The collection and utilization of biological materials for research purposes were approved by the Institutional Review Boards of NICHD and Wayne State University. All participating women provided written informed consent. Umbilical cord samples (n=155) were obtained from neonates born to women who delivered at term with (TIL, n= 82) and without (TNL, n=22) spontaneous labor, or preterm with (PTL, n=39) or without (PTNL, n=12) spontaneous labor. The demographic and clinical characteristics of the four groups of women are shown in Table 1. Umbilical cord blood was collected at birth in ethylene-diamine-tetra-acetic acid (EDTA) containing blood collection tubes by venipuncture of the umbilical vein and then transported to the lab for immediate use. Time-matched maternal blood samples were collected when possible (n=111; TNL = 16, TIL = 60, PTNL = 10, and PTL = 25). Labor was defined by the presence of regular uterine contractions at a frequency of at least two contractions every 10 minutes with cervical changes resulting in delivery¹⁴. In each case, several tissue sections of the chorioamniotic membranes were evaluated for acute or chronic chorioamnionitis. Also, sections of the umbilical cord were evaluated for phlebitis, arteritis and funisitis. A placental pathology diagnostic was performed according to published criteria^{15, 16} by pathologists who had been blinded to the clinical outcomes.

Cell separation and immunophenotyping

Mononuclear cells were isolated from blood samples (1 ml) by density gradient using Ficoll-Paque Plus (GE Healthcare Biosciences, Uppsala, Sweden) following the manufacturer's instructions. Mononuclear cells were collected from the mononuclear layer of the density gradient, washed with 1ml of 1X phosphate-buffered saline (PBS) (Life Technologies, Grand Island, NY, USA) and immediately used for immunophenotyping. Cells were incubated in 100µl of FACS staining buffer (Cat#554656, BD Biosciences, San Jose, CA, USA) for 30 min at room temperature with the following fluorochrome-conjugated anti-human monoclonal antibodies (BD Biosciences): CD3-BV421(clone UCHT1), CD71-APC (clone M-A712) and CD235a-PE (clone GA-R2). Immediately after staining, cells were incubated with 1X FACS Lysing Solution (BD Biosciences) for 2 minutes at room temperature and washed with FACS staining buffer. Next, cells were resuspended in 0.5 ml of FACS staining buffer and 10µl of CountBright™ absolute counting beads (Life Technologies) were added. Samples were then acquired using a BD FACS LSR II flow cytometer (BD Biosciences). Data were analyzed using the FACSDiva 6.0 software (BD Biosciences). CD71+ erythroid cells were defined as CD3-CD235a+CD71+ cells¹¹. The total number of CD71+ erythroid cells was calculated from the number of acquired CountBright beads. The flow cytometry figures were prepared using FlowJo software version 10 (TreeStar, Ashland, OR, USA).

Statistical analysis

Statistical analyses were performed using SPSS, Version 19.0 (IBM Corporation, Armonk, NY, USA). Normality of the data was tested using the Wilk-Shapiro test. Kruskal-Wallis or Mann-Whitney U- tests were used to compare the medians between groups, and interquartile (IQR) ranges are shown. Comparisons of proportions were made using X^2 exact tests. A p-value of < 0.05 was used to determine statistical significance. When proportions are displayed, percentages and 95% confidence intervals are shown. Medians are shown with the interquartile range (IQR).

RESULTS

A total of 155 umbilical cord blood samples collected from neonates born to women who delivered preterm or at term gestation were included in this study. Demographic and clinical characteristics of the study population are displayed in Table 1. As expected, the gestational age at delivery was shorter in preterm groups when compared to term groups [PTNL 33.7 GWs (IQR = 30.4-35.8) and PTL 35 GWs (IQR = 33.8-36.3) vs. TNL 39 GWs (IQR = 38.8-39.1) and TIL 39.2 GWs (IQR = 38.3-40.3); $p < 0.001$]. Preterm neonates had a lower birth weight than term neonates [PTNL 2032.5 g (IQR = 1260-2520) and PTL 2175 g (IQR = 1767.5-2537.5) vs. TNL 3447.5 g (IQR = 3090-3801.3) and TIL 3172.5 g (IQR = 2882.5-3650); $p < 0.001$]. All of the term and preterm deliveries without labor were delivered via C-section.

The gating strategy used to determine CD71+ erythroid cells (CD3-CD235a+ CD71+ cells) is shown in Figure 1. Briefly, CD71+ erythroid cells were identified within the CD3- gate since T cells (CD3+ cells) also express the CD71 antigen¹⁷. CD71+ erythroid cells also expressed the CD235a antigen, the previously used erythroid marker in umbilical cord blood¹¹. Figure 1 also demonstrates that CD71+ erythroid cells are abundant in umbilical cord blood; yet, are rare in maternal circulation. There were no differences in the frequency and number of maternal blood CD71+ erythroid cells among the four groups (data not shown).

The frequency and number of umbilical cord CD71+ erythroid cells were lower in neonates born to women who underwent spontaneous preterm labor than in those born to women who delivered preterm without labor [PTL 11.10% CD3-CD235a+CD71+ cells (IQR = 2.36-21.5) vs. PTNL 37% CD3-CD235a+CD71+ cells (IQR = 9.76-43.8), $p=0.001$; and PTL 50.24 cells/ μ l (IQR = 8.91-356.15) vs. PTNL 170.81 cells/ μ l (IQR = 68.88-2759.77), $p=0.02$; Figure 2]. Neither the frequency nor the number of umbilical cord CD71+ erythroid cells was different between the neonates born to women who underwent spontaneous labor at term and those born to women who delivered at term without labor (Figure 2). No differences were observed in the frequency and number of umbilical cord CD71+ erythroid cells in neonates born to women who underwent spontaneous preterm labor compared to those born to women who delivered at term with labor (Figure 2).

Umbilical cord CD71+ erythroid cells were also more abundant in neonates born to women who delivered preterm without labor than in those born to women who delivered at term with spontaneous labor [PTNL 37% CD3-CD235a+CD71+ cells (IQR = 9.76-43.8) and

170.81 cells/ μ l (IQR = 68.88-2759.77) vs. TIL 8.16% CD3-CD235a+CD71+ cells (IQR = 3.19-19.82) and 24.32 cells/ μ l (IQR = 6.43-97.79); $p=0.001$ and $p=0.0002$, respectively; Figure 2] or without spontaneous labor [PTNL 37% CD3-CD235a+CD71+ cells (IQR = 9.76-43.8) and 170.81 cells/ μ l (IQR = 68.88-2759.77) vs. TNL 6.89% CD3-CD235a+CD71+ cells (IQR = 3.88-21.42) and 29.67 cells/ μ l (IQR = 5.17-134.89); $p=0.01$ and $p=0.004$, respectively; Figure 2].

DISCUSSION

Preterm neonates are highly susceptible to infection^{7, 8}. This susceptibility is attributed to the immaturity of multiple immune pathways that are related to arginine depletion¹⁸. CD71+ erythroid cells suppress immune responses via arginase-2 activity¹¹. The study herein demonstrates that CD71+ erythroid cells are reduced in the umbilical cord of neonates born to women who underwent spontaneous preterm labor compared to those born to women who delivered preterm in the absence of labor. This data suggests that umbilical cord CD71+ erythroid cells are enriched in preterm gestations, and that the premature process of labor is linked to a reduction in the abundance of these cells. Also, this finding challenges the hypothesis that all preterm neonates have a low frequency of CD71+ erythroid cells, and indicates that the pathological process of labor may reduce immunosuppressive responses in the neonate.

Human CD71+ erythroid cells prevent the activation of other cord blood immune cells in response to heat-killed *Listeria monocytogenes*¹¹. The depletion of human CD71+ cells in cord blood samples unleashes the release of TNF- α and IL-6, as well as the activation of CD8+ T cells¹¹. Therefore, umbilical cord CD71+ erythroid cells modulate fetal as well as neonatal immune responses^{11, 19}. We found that umbilical cord CD71+ erythroid cells are more abundant in neonates born to women who delivered preterm without labor than in neonates born to women who delivered at term, regardless of the process of labor. These data suggest that umbilical cord CD71+ erythroid cells play a role in both fetal and neonatal immunity. Further research is needed in order to investigate the functional properties of umbilical cord CD71+ erythroid cells in preterm gestations and whether these cells can be detected in earlier stages of pregnancy.

Contrary to the current hypothesis, the study herein demonstrated that umbilical cord CD71+ erythroid cells are not reduced in neonates born to women who underwent spontaneous preterm labor when compared to those born to women who delivered at term gestation with labor. Yet, additional research is needed in order to investigate whether umbilical cord CD71+ erythroid cells display differences in functionality between spontaneous labor at term and preterm labor.

In summary, the study herein demonstrates that umbilical cord CD71+ erythroid cells are reduced in neonates born to women who underwent spontaneous preterm labor when compared to those born to women who delivered preterm without labor. This finding provides insight into the impaired immune mechanisms in premature neonates born to mothers undergoing spontaneous preterm labor. These data also suggest that the premature

process of labor alters the abundance of umbilical cord CD71+ erythroid cells, which could be associated with an increased susceptibility to infection.

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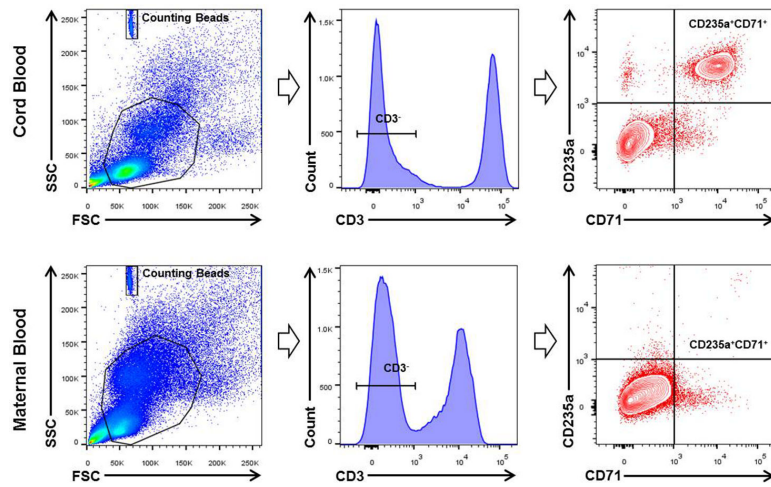


Figure 1.

Gating strategy used to identify CD71⁺ erythroid cells in umbilical cord blood and maternal peripheral blood. CD71⁺ erythroid cells (CD235a⁺CD71⁺ cells) were identified within the CD3⁻ gate.

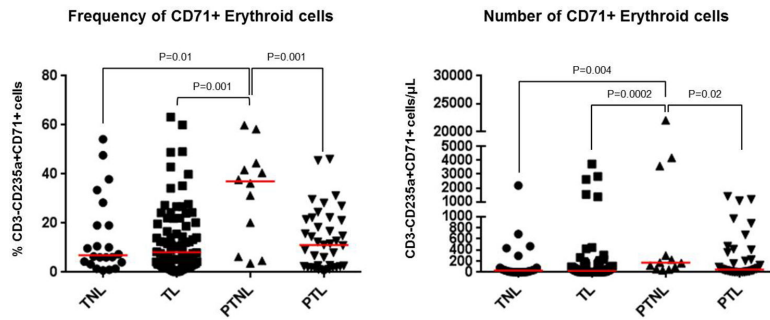


Figure 2.

Total frequency and number of CD71+ erythroid cells in umbilical cord blood. Umbilical cord blood were collected from neonates born to women who delivered preterm with (PTL, n=39) and without spontaneous labor (PTNL, n=12), or at term with (TIL, n=82) and without (TNL, n=22) spontaneous labor. Data are shown as scatter plots (median); Mann-Whitney U-tests.

Table 1

Demographics and clinical characteristics of samples used in this study

	TNL (n=22)	TIL (n=82)	PTNL (n=12)	PTL (n=39)	p value
Maternal age (y; median [IQR]) ^a	28 (24-29)	24 (21-28)	24 (22-28.3)	23 (20-27.5)	NS
Body mass index (kg/m ² ; median [IQR]) ^a	30 (25.5-35.5)	28 (22-33)	32.1 (27.6-36.9)	27.1 (22.9-33)	NS
Gestational age at delivery (wk; median [IQR]) ^a	39 (38.8-39.1)	39.2 (38.3-40.3)	33.7 (30.4-35.8)	35 (33.8-36.3)	p<0.001
Birth weight (g; median [IQR]) ^a	3447.5 (3090-3801.3)	3172.5 (2882.5-3650)	2032.5 (1260-2520)	2175 (1767.5-2537.5)	p<0.001
Baby Sex (n[%]) ^b					NS
Male	10 (45.5)	38 (46.3)	8 (66.7)	22 (56.4)	
Female	12 (54.5)	44 (53.7)	4 (33.3)	17 (43.6)	
Race (n[%]) ^b					NS
African-American	19 (86.4)	74 (90.2)	8 (66.7)	36 (92.3)	
Caucasian	2 (9.1)	3 (3.7)	3 (25.0)	2 (5.1)	
Hispanic	1 (4.5)	2 (2.4)	0 (0)	1 (2.6)	
Asian	0 (0)	0 (0)	0 (0)	0 (0)	
Other	0 (0)	3 (3.7)	1 (8.3)	0 (0)	
Primiparity (n[%]) ^b	2 (9.1)	15 (18.3)	1 (8.3)	9 (23.1)	NS
Cesarean section (n[%]) ^b	22 (100)	13 (15.9)	12 (100)	10 (25.6)	p<0.001
Chronic chorioamnionitis (n[%]) ^b	10 (45.5)	26 (31.7)	5 (41.7)	12 (30.8)	NS
Acute chorioamnionitis (n[%]) ^b	1 (4.5)	17 (20.7)	0 (0)	8 (20.5)	NS
Umbilical Cord Pathology (n[%]) ^b					
Umbilical Phlebitis	2 (9.1)	20 (24.4)	0 (0)	5 (12.8)	NS
Umbilical Arteritis	0 (0)	4 (4.9)	0 (0)	5 (12.8)	NS
Necrotizing Funisitis	0 (0)	0 (0)	0 (0)	0 (0)	NS

IQR, interquartile range.

^aKruskal-Wallis.^b χ^2 test.