

# Frequent occurrence of large duplications at reciprocal genomic rearrangement breakpoints in multiple myeloma and other tumors

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## ABSTRACT

Using a combination of array comparative genomic hybridization, mate pair and cloned sequences, and FISH analyses, we have identified in multiple myeloma cell lines and tumors a novel and recurrent type of genomic rearrangement, i.e. interchromosomal rearrangements (translocations or insertions) and intrachromosomal inversions that contain long (1–4000 kb; median ~100 kb) identical sequences adjacent to both reciprocal breakpoint junctions. These duplicated sequences were generated from sequences immediately adjacent to the breakpoint from at least one—but sometimes both—chromosomal donor site(s). Tandem duplications had a similar size distribution suggesting the possibility of a shared mechanism for generating duplicated sequences at breakpoints. Although about 25% of apparent secondary rearrangements contained these duplications, primary IGH translocations rarely, if ever, had large duplications at breakpoint junctions. Significantly, these duplications often contain super-enhancers and/or oncogenes (e.g. MYC) that are dysregulated by rearrangements during tumor progression. We also found that long identical sequences often were identified at both reciprocal breakpoint junctions in six of eight other tumor types. Finally, we have been unable to find reports of similar kinds of rearrangements in wild-type or mutant prokaryotes or lower eukaryotes such as yeast.

## INTRODUCTION

Multiple myeloma (MM), an age-dependent monoclonal bone marrow plasma cell tumor, mostly—if not always—is preceded by a premalignant monoclonal gammopathy of

uncertain significance (MGUS) tumor (1). The sporadic progression of MGUS to MM occurs at an average rate of ~1% per year. This transition is associated with increased expression of MYC, which sometimes is a consequence of secondary genomic rearrangements that reposition MYC near one of a promiscuous array of super-enhancers (SE) (2–7).

MM and MGUS tumor cells are similar to long-lived, post-germinal center bone marrow plasma cells, which have undergone three kinds of B cell-specific DNA modification events: V(D)J recombination (VDJR), somatic hypermutation (SHM) and IGH class switch recombination (CSR) (8). The pathogenesis of MGUS and MM appears to involve two major pathways: hyperdiploidy or primary IGH translocations (9,10). Hyperdiploid (HRD) tumors typically have 48–60 chromosomes, with multiple trisomies or tetrasomies involving eight chromosomes (3,5,7,9,11,15,19,21). Primary IGH translocations, which mostly are present in non-hyperdiploid (NHRD) tumors, simultaneously dysregulate MMSET (WHSC1) and FGFR3, or one of three CYCLIN D genes or one of three MAF genes (1,9). These mostly balanced translocations, which position an oncogene under control of IGH intronic (Emu) and/or 3' IGH (E $\alpha$ 1; E $\alpha$ 2) SE, are thought to occur mostly as a result of errors in one of the three B cell-specific DNA modifications (11–13).

Secondary rearrangements, which have a similar prevalence in HRD and NHRD tumors, represent progression events that do not involve the B cell-specific DNA modification mechanisms, which seem to be inactive in MGUS and MM tumors (5). Secondary rearrangements include: IGH rearrangements (rarely involving the primary IGH translocation partners), most if not all IGK and IGL rearrangements, MYC rearrangements with or without IG involvement, and a promiscuous array of other chromosomal regions (5,14–16). In contrast to primary IGH translocations, secondary rearrangements usually are complex translocations

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tions or insertions, which often are unbalanced and can involve three or more chromosomes. Moreover, the secondary rearrangements in MM have structural features that are similar to the complex unbalanced rearrangements that have been identified in many kinds of solid tumors (17–19). The mechanisms generating these rearrangements are poorly understood

In the present manuscript we show the frequent occurrence of translocations, insertions and inversions that contain long identical sequences adjacent to both reciprocal breakpoint junctions in MM cell lines and primary tumors. The duplicated sequences were generated from sequences located at the breakpoint from at least one—but often both—chromosomal donor sites. We also found that this novel category of rearrangements were present in six of eight other tumor types that have reciprocal rearrangements.

## MATERIALS AND METHODS

### Mate pair libraries

The Illumina Mate Pair Library Preparation kit was used for library construction following the manufacturer's instructions and two samples were run on one lane of an Illumina HiSeq2000 with 50bp reads. The sequences were aligned to hg19 using BWA and a BAM file containing only the clustered discordant reads with clustered mates was created. Breakpoints with more than five discordant reads were identified, as described previously (4).

### Analysis of mate pair libraries

Samtools was used to convert BAM files to SAM files. Breakpoints were divided into four groups, corresponding to four different kinds of rearrangements: (i) deletions, (ii) tandem duplications, (iii) intrachromosomal inversions, (iv) interchromosomal rearrangements. For the last two groups all non-reciprocal rearrangements were excluded. The remaining rearrangements were compared to 69 normal individual Whole Genome Sequences (CGI) to eliminate germline structural variations ([www.completegenomics.com/sequence-data/download-data/](http://www.completegenomics.com/sequence-data/download-data/)). In addition, reciprocal rearrangements that were present in at least one other mate pair library prepared for 22 MMCL were also excluded. The remaining reciprocal rearrangements were analyzed to identify the presence of duplicated sequences at both breakpoint junctions (see Figure 1 for an example).

### Identifying potential copy number gains at chromosomal breakpoints

Apparent reciprocal breakpoint junctions involving two chromosomes or distant sites on one chromosome were identified. For each chromosome or chromosomal site in these junctions, the breakpoint position on the antisense strand (–) is subtracted from the breakpoint position on the sense strand (+). Negative and positive results, respectively, indicate a possible copy number loss (CNL) or a possible copy number gain (CNG), which in some cases actually represents an inserted sequence. An example of this analysis is shown in Figure 1. We have defined CNG or CNL

as each being >1 kb and <4 Mb (Tables 1–3). Note that breakpoints with CNG (CNL) determined from mate pair libraries can be significantly larger (smaller) than the calculated size, so that the number of breakpoints with CNG (CNL) is an underestimate (overestimate), e.g. Tables 1 and 2, and corresponding text.

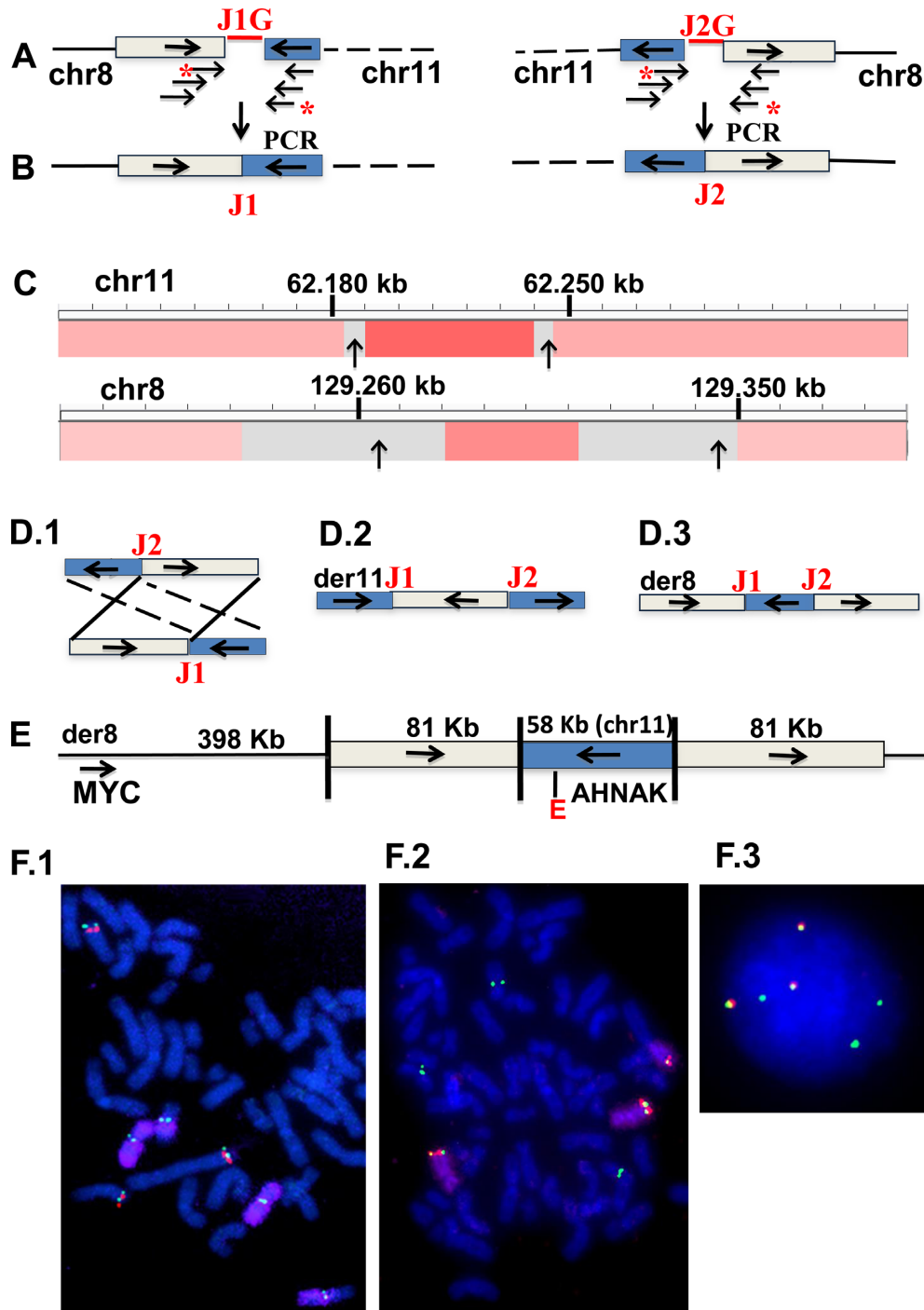
**Cell culture, FISH analyses, comparative genomic hybridization and enhancer predictions** have been described previously, with references and additional details provided in Supplementary Methods.

## RESULTS

### MYC locus gains often have duplicated sequences at rearrangement breakpoints

Figure 1 shows how mate pair analyses, polymerase chain reaction (PCR) product breakpoint sequences, comparative genomic hybridization (CGH) and FISH experiments were used to determine the structure of an 8;11 interchromosomal rearrangement in the EJM MM cell line (MMCL). Mate pair sequences identified the approximate locations of two breakpoints (JG1, JG2) (Figure 1A). Flanking oligonucleotides enabled PCR products to identify the precise breakpoints (Figure 1B): J1, chr8:129,346,425(+);chr11:62,245,125(+) and J2, chr8:129,265,218(–);chr11:62,187,330(–) [(+) and (–) indicate, respectively, forward and reverse orientations (compared to the reference genome) of sequences going toward the breakpoint, as indicated by the horizontal arrows on the chromosomes]. CGH analyses (Figure 1C) showed CNG on chr8 and on chr11, which are consistent with CNG predicted from the breakpoint sequences. These results suggested three possible structures (Figure 1D): D.1, t(8;11) reciprocal translocation; D.2, insertion of chr8 fragment into chr11; D.3, insertion of chr11 fragment into chr8. However, FISH analyses (Figure 1F) showed that the chr11 and chr8 probes from the regions of CNG co-localized on chr8, with no detectable chr11 whole chromosome paint (wcp) sequences near the co-localized probe. All together these results are consistent only with D.3, i.e. insertion of a 58-kb chr11 (AHNAK enhancer) fragment between flanking 81-kb chr8 duplicated sequences located ~500 kb downstream of *MYC* (Figure 1E).

Six additional examples of *MYC* locus rearrangements (four more complex insertions, one translocation and one inversion), all of which have duplicated sequences at breakpoint junctions, are shown in Figure 2 and Supplementary Figure S1. The characterization of these rearrangements was similar to the results described above for EJM, with FISH data having been reported previously in some cases (5,20). The KMS-12 MMCL has an insertion of 447-kb chr14 (containing an 3'IGH/Ealpha2 SE) and 156-kb chr11 sequences (not including *CYCLIN D1*) between flanking 829-kb duplicated chr8 sequences that include *MYC* (Figure 2A). There was a CNG not only of the flanking regions on chr8, but also of the inserted sequences from both chr11 and chr14 (Supplementary Figure S2 and Table S1). Given that KMS-12 has a balanced primary t(11;14) and that mate pair analysis showed only one chr14;chr11 breakpoint (Supplementary Table S1), these results indicate that the inserted chr14;chr11 breakpoint had been derived from



**Figure 1.** Characterization of interchromosomal *MYC* locus rearrangement in EJM MMCL. Horizontal arrows indicate reference genome orientations of chromosomal regions. (A) Paired mate pair sequences are read from opposite ends of ~2.5 kb fragments that encompass the breakpoint junctions. Discordant mate pair sequences identify a reciprocal chr8:chr11 rearrangement, with the sequences marked by asterisks closest to the breakpoint, but with gaps (J1G, J2G) of uncertain size; (B) oligonucleotides flanking the two sequences with asterisks generated a PCR product that defines the precise breakpoint junctions (J1, J2) and sizes of apparent duplicated sequences (boxed); (C) agilent 244K CGH with copy number and cloned breakpoints (vertical arrows) shown in the Integrative Genome Viewer (IGV) for chr8 and chr11 (note that gray indicates regions deficient in probes); (D) D.1, 2, 3 depict three possible structures: reciprocal translocation, insertion of chr8 into chr11, or insertion of chr11 into chr8; (E) FISH results (F) confirm the D.3 structure, as schematically summarized; (F.1) metaphase FISH (*MYC*, green; chr11 probe (G248P8668H1) [Supplementary Table S5], red; chr11 whole chromosome paint (wcp), purple; F.2 metaphase FISH (same chr 11 probe, green; chr8 duplication probe (G248P89052F10), red; chr8 wcp, purple; F.3 interphase FISH with same probes as in F.2 but without chr8wcp.

**Table 1.** Tandem duplications, reciprocal interchromosomal rearrangements and reciprocal inversions in eight MMCLs

MMCL	TD	Interchromosomal rearrangements				Inversions			
		Total	CNG × 2	CNG × 1	CNG + CNL	CNL × 2	Total	CNG × 2	CNG × 1
AMO	9	10		1					
INA6	5	1		1					
JJM3	72	17			1		5	1	
JJN3	29	7	4		1	1	2	1	
KP6	8	4	4						
MOLP8	12	10	2			1	1		
XG2	21	7	3				3	1	1
XG6	6	5	1				2		
TOTAL	162	61	14	2	2	2	13	3	1

TD = tandem duplication.

CNG = copy number gain; CNL = copy number loss.

CNG × 2 = insertion with flanking duplicated sequences OR translocation with duplicated sequences from both donor breakpoints at both breakpoint junctions.

**Table 2.** Reciprocal interchromosomal rearrangements in 25 MM tumors

Tumor samples	Primary IGH	Other interchromosomal rearrangements					
		Total	CNG × 2	CNG × 1	CNG + CNL	CNL × 1	CNL × 2
A1855	11;14	0					
A1864	11;14(der14)	0					
A1868		8	1	1	1	4	
A1886		1	1				
A1892		1			1		
A1898		4	(2) <sup>a</sup>	1	1		
A1904		2	2				
A1910		2	2				
A1916		2			1	1	
A1922		1	1				
A1928		6	2	1	3		
A1934	11;14(der14)	0					
A1940		2			2		
A1946		3	1		2		
A1952	11;14(der14)	0					
A1958	14;16	2					
A1964	11;14	0					
A1970		1	1				
A1976	4;14	1		1			
A1982	11;14(der14)	0					
A1988		1	1				
A1994	11;14	0					
A2066	11;14	2			2		
A2070	11;14	2	1	1			
A2079	4;14	1					1
TOTAL		42	13(15)	5	13	6	0

CNG (CNL) = copy number gain (loss) >1 kb and <4 Mb.

CNGX2 = insertion with flanking duplicated sequences OR translocation with sequences from both chromosome breakpoints at both breakpoint junctions.

<sup>a</sup>Two rearrangements with CNG > 4 Mb included in parentheses.

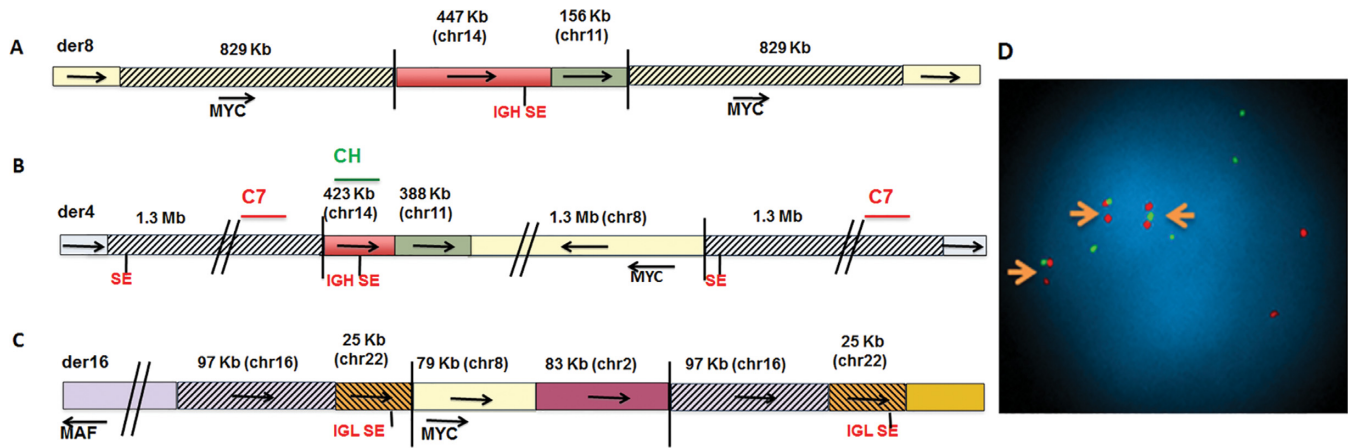
**Table 3.** Reciprocal interchromosomal breakpoints in 140 tumors<sup>a</sup>

Tumor	#	Recipr rearr	Small CNA <sup>b</sup>	Large CNA <sup>c</sup>	CNG × 1	CNG × 2	CNG + CNL	CNL × 1	CNL × 2
BRCA	35	68	10	6	17	22	2	7	4
CRC	14	8	2	2	1		1	1	1
GBM	16	14	4	3		5	2		
HCC	19	16	1	3	3	9			
KIRC	4								
LUSC	19	21	6	5	3	5			2
MM	7	6	3	1		2			
OV	9	13	1	1	4	4	1	1	1
PR	7	9		3	1		1	3	1
UCEC	10	12	1	1	2	8			
ALL	140	167	28	25	31	55	7	12	9

<sup>a</sup>Analysis of data in Supplementary Table S3, Yang *et al.*, Cell 153(2013), p.919.

<sup>b</sup>Less than 1 kb CNG or CNL at breakpoints for both chromosomes.

<sup>c</sup>Greater than 4 Mb CNG or CNL at breakpoints for at least one chromosome. CNG or CNL in other five groups are >1 kb and <4 Mb.



**Figure 2.** Examples of complex *MYC* locus rearrangements in three MMCL. (A) Insertion of chr11 (not including *CCND1*) and chr14 (IG SE) sequences between flanking duplicated sequences (cross-hatched) on chr8 in KMS-12 MMCL; (B) Insertion of chr8, 11 and 14 sequences between flanking duplicated sequences on chr4 in MOLP-8 MMCL; (C) Insertion of chr8 and chr2 sequences between flanking duplicated sequences containing chr22 and chr16 sequences from a der(16)t(16;22) translocation in 8226 MMCL; (D) Interphase FISH showing insertion of chr14 CH (IGH SE) probe (green) between duplicated chr4 sequences (C7 probe [Supplementary Table S5], red).

a der14 t(11;14) chromosome, which had *CYCLIN D1* positioned about 370-kb downstream of an 3' IGH SE. The MM-M1 MMCL is similar to KMS-12 in having 229-kb chr11 and 652-kb chr14 (IGH SE) sequences inserted between flanking ~1 Mb chr8 (*MYC*) duplicated sequences (Supplementary Figures S1A and 2; Table S1). The MOLP8 MMCL also is similar to KMS-12 but more complex with 423-kb chr14 (IGH SE), 388-kb chr11 sequences and 1.3 Mb chr8 (*MYC*) sequences inserted between flanking 1.3 Mb chr4 duplicated sequences (Figure 2B and D; Supplementary Figure S2 and Table S1). Curiously there is an SE on chr4 between the *TBC1DA* and *KLF3* genes that is closer to *MYC* than the IGH SE (Supplementary Table S2). The RPMI 8226 MMCL (Figure 2C and Supplementary Table S1) has an insertion of 79-kb chr8 (*MYC*) and 83-kb chr2 sequences between flanking duplicated sequences containing 97 kb of chr16 and 25 kb of chr22 (3' IGL SE) on der16 t(16;22), so that *MYC* and *MAF* can be dysregulated by separate 3' IGL SE (5,20). Presumably the rearrangement involving *MYC* occurred on a der16 t(16;22) on which *MAF* was dysregulated by the IGL SE.

Complex translocation products in the Karpas 620 MMCL (Supplementary Figure S1B and Table S1) appear to be the result of a translocation between chr8 and der14 of a t(11;14) translocation (21). The resultant der14 and der8 each contain 828-kb chr8 fragments (*MYC*), and also 475-kb chr14 (IGH SE) and 229-kb chr11 fragments that appear to have been contributed by the der14 from a primary t(11;14) translocation.

Previously, we found that nearly one third of *MYC* rearrangements in MM involve large deletions that reposition *MYC* near the NSMCE2 intron 4 SE, which is ~2.3 Mb centromeric to *MYC*, e.g. H929 MMCL and MMRC0156 MM tumor shown in Supplementary Figure S2 (4). However, the KMS-34 MMCL has a 2.3 Mb inversion that repositions *MYC* near the NSMCE2 SE (Supplementary Figure S1C). This inversion included duplicated sequences shared by the two breakpoints, 24 kb from sequences telomeric to the NSMCE SE and 18 kb from sequences telomeric to *MYC*

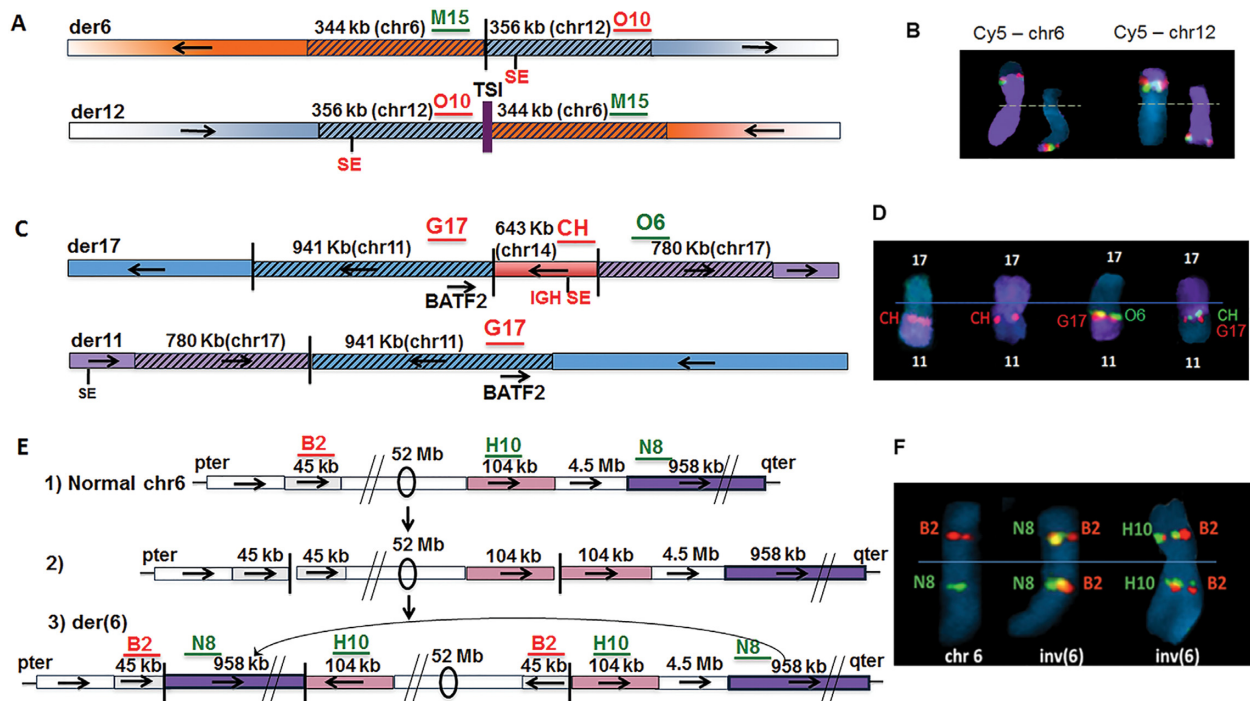
(Supplementary Table S1). The duplicated sequences at the inversion breakpoints are too small to be detected by 244K array CGH (Supplementary Figure S2). However, this result suggests that segmental CNGs of sequences including the NSMCE2 SE and sequences centromeric to *MYC*, which were identified by CGH in the MMRC0167 tumor, may be the consequence of an inversion similar to that seen in KMS34, but with the duplicated sequences large enough to be detected by CGH (Supplementary Figure S2).

#### Duplications also occur at rearrangement breakpoints that do not involve *MYC*

We analyzed the results of mate pair sequences and CGH for eight MMCLs, and did FISH or SKY analyses for some rearrangements since mate pair and CGH data cannot distinguish insertions and translocations. This enabled us to identify and characterize interchromosomal insertions and translocations, and also inversions that did not involve *MYC* but that contained large duplicated sequences at breakpoint junctions (Figure 3; Supplementary Figure S3 and Table S2).

The XG-2 MMCL had two insertions with large duplicated sequences flanking the insertions: (i) a 40-kb chr20 fragment containing the *CD40* gene is inserted between flanking 25-kb chr22 duplicated sequences, both of which include the IGL SE (Supplementary Figure S3A) (15); and (ii) a 906-kb chr22 fragment (IGL SE) was inserted between flanking 594-kb chr20 duplicated sequences, both of which contain the *MAFB* gene (Supplementary Figure S3B) (5).

We identified three MMCLs with translocations not involving *MYC* that had large duplicated sequences from both chromosomes at the breakpoints. First, the XG-2 MMCL had a t(12;14) translocation, with both der12 and der14 containing a 34-kb fragment from chr12 and a 37-kb fragment including the IGH SE from chr14 (Supplementary Figure S3C) (5). Somewhat surprisingly, we have been unable to identify dysregulation of a gene on chr12 as a consequence of the presence of the IGH SE at both breakpoints.



**Figure 3.** Complex interchromosomal non-*MYC* locus rearrangements in three MMCL. (A) t(6;12) with duplications on both derivative chromosomes and insertion of chr1 TSI on der(12) in KP6 MMCL; (B) Metaphase FISH of t(6;12) in KP6, with localization of chr6 probe (M15, green signal) and chr12 probe (O10, red signal) on der(6) and der(12), with chr6 and chr12WCP probes (purple), respectively, on the left and right; (C) t(11;17) with duplicated sequences from chr11 (including *BATF2*) and chr17 breakpoints at der11 and der17 breakpoint junctions, and insertion of chr14 segment including 3' IGH SE on der17 in MOLP8 MMCL; (D) Metaphase FISH showing localization of probes on der17; data not shown for der11; (E) A total of 52 Mb chr6 inversion with duplicated breakpoint sequences, and insertion of distant sequences from 6q at the 6p breakpoint junction in JJN3 MMCL: (1) anatomy of normal chr6, with B2, N8, H10 indicating the approximate position of FISH probes; (2) hypothetical inversion precursor without insertion; (3) observed inversion with insertion; (F) Metaphase FISH showing chr6 and inv(6) with probes for relevant sequences (B2, H10, N8). Probe details in Supplementary Table S5. Horizontal arrows indicate orientation of chromosomal segments.

Second, the KP6 MMCL has a t(6;12) translocation, with both der6 and der12 containing a 344-kb chr6 fragment and a 356-kb chr12 fragment at the translocation breakpoint junctions (Figure 3A and B). As reported previously (22), der12 also had an 85 bp chr1 'templated sequence insertion' (TSI), which was hypothesized to have been derived from an RNA template, located between the chr6 and chr12 breakpoints. Both duplicated regions contain SEs, and most notably the *TXNDC5* associated SEs that recurrently are involved in *MYC* rearrangements in MM (4). However, we have been unable to identify any target genes that are dysregulated by these SEs. Finally, the MOLP8 MMCL has a complex rearrangement, which includes a t(11;17) translocation with both der11 and der17 containing a 941-kb chr11 fragment (*BATF2* gene) and a 780-kb chr17 fragment at the respective breakpoints; there is also insertion of a 643-kb chr14 fragment (IGH SE) at the 11;17 junction on der 17 (Figure 3C and D). The expression of *BATF2* RNA was much higher in MOLP8 compared to most MMCL (data not shown), which seems likely to be a consequence of the IGH SE localized near *BATF2* on der17.

Two MMCLs had inversions that did not involve *MYC* but had duplicated sequences at both breakpoints. First, the JIM3 MMCL (Supplementary Figure S3D and Table S2) had ~3.6 Mb chr20 inversion, which included duplicated sequences shared by the two breakpoints, i.e. 2788 and 106 kb, respectively, from the centromeric and telomeric ends of the

inversion. Second, the JJN3 MMCL (Figure 3E and F) had an ~59 Mb inversion on chr6, which included duplicated sequences shared by the two breakpoints, i.e. 45 kb from the p-arm and 104 kb from the q-arm. This complex inversion also included insertion of a 958-kb fragment, which was derived from sequences distal to the 6q breakpoint, between the inverted ends on the p-arm.

### Prevalence of breakpoint junctions with duplicated sequences in eight MMCL

To better define the number and fraction of interchromosomal rearrangements and inversions that had large duplicated sequences at breakpoint junctions, we analyzed mate pair sequence data for eight MMCLs (Table 1). The data include non-polymorphic reciprocal breakpoint junctions, which identified apparent CNG that could represent either inserted sequences or duplicated breakpoint sequences. Among the eight MMCLs, there were a total of 62 reciprocal interchromosomal rearrangements, with 14 having apparent CNG for both donor chromosomes (CNG × 2) at the breakpoint junctions. Therefore, at least 23% of these interchromosomal rearrangements appear to be either: (i) translocations containing sequences adjacent to the breakpoints on both donor chromosomes that are present at the breakpoint junctions on both derivative chromosomes; or (ii) insertions that are flanked by duplicated sequences on

the recipient chromosome. There were a total of 13 inversions, with four (31%) having duplicated sequences at one or both breakpoint junctions, so that the relative frequency of duplicated breakpoint junction sequences is similar for both interchromosomal rearrangements and intrachromosomal inversions. These same eight MMCLs also have a total of 162 large non-polymorphic tandem duplications, which were identified from the mate pair sequence data and confirmed by the 244K CGH analyses.

### Prevalence of interchromosomal breakpoint junctions with duplicated sequences in 25 MM tumors

Analysis of 2.5 kb mate pair libraries for 25 primary MM tumors from untreated patients identified 42 (54 including 12 primary IGH translocations) non-polymorphic reciprocal interchromosomal breakpoint junctions (Table 2 and Supplementary Table S3). Thirteen (~30%) of the 42 rearrangements, which were present in 10 of the 25 MM tumors, had large sequences (1–4000 kb) of apparent CNG for both chromosomes at the breakpoint junctions. Therefore, the occurrence of duplications at interchromosomal breakpoint junctions appears to have a similar prevalence in MMCL and untreated primary MM tumors.

### Identification of interchromosomal breakpoint junction with duplicated sequences in other tumors

Published whole genome sequence data for 140 tumors representing ten different types of tumors were analyzed in order to identify apparent CNG and CNL at interchromosomal breakpoint junctions (Table 3 and Supplementary Table S4A and B). About 55 of 167 (33%) reciprocal interchromosomal breakpoint junctions, had an apparent CNG (1–4000 kb) from both parental chromosomes at both breakpoint junctions, including all six non-MM tumor types that had ten or more reciprocal interchromosomal rearrangements. To confirm the predicted CNGs from breakpoints ('Materials and Methods' section), we generated copy number variation from the WGS data (Supplementary Methods) for 35 breast cancer tumors. This data confirmed that most predicted CNGs >50 kb do have the expected CNG (Supplementary Table S4A).

## DISCUSSION

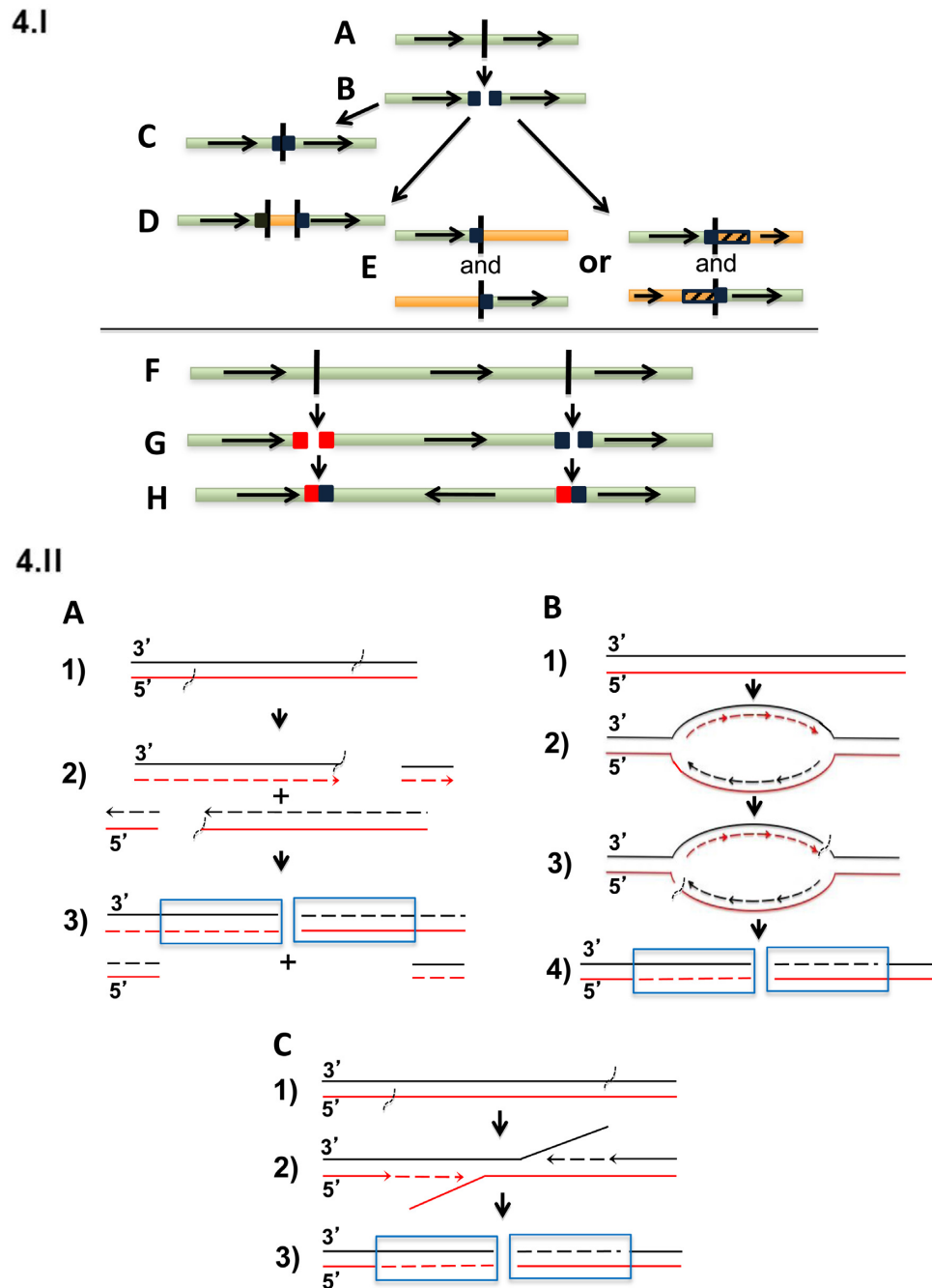
For MMCL and untreated primary MM tumors, we found that approximately one-third of secondary interchromosomal rearrangements (translocations or insertions) and inversions had reciprocal breakpoint junctions containing large CNG (1–4000 kb; average ~400 kb; median ~100 kb) contributed by at least one—but sometimes both—donor chromosome breakpoint(s) (Tables 1 and 2; Supplementary Tables S2 and 3). Tandem duplications in MMCL had a similar size distribution (Supplementary Figure S4), with two specific examples involving the MYC locus in the KP6 and XG2 MMCL (Supplementary Figure S2 and Table S1). In addition, we identified more complex rearrangements involving the insertion of two independent fragments between flanking duplicated sequences, or insertion of a fragment into inversion or interchromosomal translocation breakpoint junctions that contained large duplicated sequences.

Given that a similar fraction of interchromosomal rearrangements in six other tumor types examined had similarly large identical sequences at reciprocal breakpoint junctions (Table 3; Supplementary Table S4A and B), it appears that the mechanism(s) generating the breakpoint duplications are active in a significant fraction of most kinds of malignant tumors.

One possible model for generating large duplicated sequences at the various types of rearrangement junctions is shown in Figure 4.I. This model suggests that large duplicated sequences sometimes are present on both sides of a double-stranded breakpoint (see below for possible mechanisms). The break could then be repaired to generate: (i) a head-to-tail tandem duplication; (ii) insertion of an unrelated DNA segment between the duplicated sequences; (iii) a reciprocal translocation involving another chromosome or chromosome region that may or may not also have duplicated sequences at both ends of its breakpoint; or (iv) an inversion.

The combination of CGH and mate pair or cloned breakpoint sequences could not distinguish translocations and insertions, but FISH studies were required to make this distinction. Among the rearrangements associated with duplicated sequences at the breakpoint, we characterized 11 insertions: eight in Figures 1 and 2, Supplementary Figures S1 and 3, but also VPC6 in Supplementary Figure S1, Table S1 and two not described here; four translocations (two of which included an insertion at the breakpoint on one derivative chromosome) (Figure 3, Supplementary Figures S1 and 3); and three inversions (one of which included an insertion at one of the two breakpoints) (Figure 3; Supplementary Figures S1 and 3). Given the limited number of rearrangements that we characterized, we do not know if the observed distribution is an accurate representation of the different types of rearrangements that have large duplicated sequences at breakpoint junctions. However, our data suggest that insertions are more prevalent than translocations or inversions. For most rearrangements with duplicated breakpoint sequences >40 kb we were able to verify a CNG from CGH data (Figure 1; Supplementary Figure S1 and Tables S2 and 4A). In addition, for all insertions >40 kb we identified a CNG of the inserted sequences (e.g. Figure 1), which could be a result of incorporation of an un-degraded DNA fragment or a Fork Stalling Template Switch or Microhomology-Mediated Break-Induced Replication (MMBIR) mechanism (23,24). We identified no insertions that did not have large duplications flanking the inserted sequences, which suggests that this is a preferred mechanism for insertions in MMCL.

What mechanisms might be responsible for generating large duplications at the ends of breakpoints? Since breakpoint junctions for secondary rearrangements in MM often have short microhomologies of 1–9 bases (4), it is possible that an MMBIR mechanism (25,26) might be involved in this process. Consequently, duplication might arise from replication (Figure 4.IIA) (27) or re-replication bubbles (Figure 4.IIB) (28,29). Although many of these duplications are >100 kb and therefore larger than the ~100 kb distance between neighboring replication origins, larger bubbles could be created when adjacent bubbles coalesce (30).



**Figure 4.** Model and possible mechanisms for generation of breakpoint duplications. (I) Four possible consequences of double stranded break with duplicated sequences on both sides of the breakpoint. (A) germline sequence; (B) generation of duplicated sequences on both sides of a breakpoint; repair generating (C) tandem head-to-tail duplication; (D) insertion of an unrelated DNA segment between the duplicated sequences; (E) translocation involving another chromosome or chromosome region that may also have duplicated sequences at both ends of its breakpoint; (F) second double strand break on same chromosome; (G) generation of duplicated sequences on both sides of the two breakpoints; (H) inversion of segment between two breakpoints, with duplicated sequences at both breakpoint junctions. (II) Possible mechanisms that might generate duplications at the ends of breakpoints. Duplicated sequences are boxed. (A) Replication bubbles as a source of duplications and deletions at reciprocal breakpoints; (1) unreplicated DNA with vertical marks indicating location of future breakpoints; (2) new strands (dashed lines) and with the single strand breaks shown; (3) replication completed and the chromatids separate to daughter cells: Outcome 1: Daughter cell 1 inherits a chromosome with loss of the segment of chromosome between the two breakpoints. Daughter cell 2 inherits two copies of this segment, to give duplication at the breakpoint. Outcome 2: both cells receive exactly balanced products. (B) Re-replication bubbles as an origin of duplications at reciprocal breakpoints. (1) Unreplicated DNA; (2) re-replication of a chromosomal segment due to dysregulation of repair and/or replication controls; (3) re-replication generates slowed or stalled forks and DNA damage; (4) outcome: DNA with duplications at double strand break. (C) Errors in repair of single stranded breaks as origin of duplications at reciprocal breakpoints. (1) DNA with staggered single strand breaks; (2) strand displacement DNA synthesis without repair of single strand breaks; (3) DNA with duplications at double strand break.



Alternatively, duplications might be generated by staggered single strand breaks and delayed repair (Figure 4.IIC) (21).

The first mechanism proposed replication bubbles as generating two ends containing duplicated sequence and two ends containing a corresponding deletion (Figure 4.IA) (27). If the two copies with the duplication end up in one daughter cell, this cell has duplicated sequences at both ends, while the other daughter cell will have a corresponding loss of the duplicated sequences. Analysis of translocations in three breast cancer cell lines showed a similar prevalence of duplications and deletions at translocation breakpoints, providing some support for this model (27). Although we see duplications more often than deletions at breakpoints, the possibility of selection against deletions and the small size of our dataset does not rule out this model for MM.

The second mechanism proposed re-replication bubbles as an origin of duplications (Figure 4.IIB). It has been demonstrated that re-replication of a chromosomal segment due to dysregulation of replication controls can efficiently induce non-allelic homologous recombination-mediated tandem duplication/amplification of that segment in the budding yeast *Saccharomyces cerevisiae* (29,31). Re-replication generates slowed or stalled forks and DNA damage (28,32,33). Other studies showed that in contrast to stalled replication forks in S phase, where breakage among thousands of replication forks is a rare accident, during re-replication, isolated re-replication forks are unlikely to be rescued by converging forks from neighboring origins and fork breakage might be the rule rather than the exception (29). Given that dysregulation of a *CYCLIN D* gene is present in virtually all MGUS and MM tumors (1,34), it notable that a high level *CYCLIN D1* expression has been shown to be associated with re-replication (35).

The third mechanism (Figure 4.IC) proposes that delayed repair of staggered single strand breaks could generate a double stranded breakpoint with the sequences between single strand breaks present on both ends of the double stranded breakpoint (21).

We have characterized breakpoints in four MMCL that have a primary t(11;14) translocation and also an *MYC* locus rearrangement involving a 3' *IGH* SE. Remarkably, all four MMCL had either an insertion (KMS-12, MM-M1, MOLP8) or a translocation (Karpas 620), which repositioned an 11;14 fragment containing a 3' *IGH* SE (but not *CCND1*) into the *MYC* locus. These results suggest that *MYC* has captured an 11;14 fragment containing a 3' *IGH* SE from der14 of a t(11;14) translocation. We also note that others have used an *IGH* capture procedure that identified 8;11 breakpoints in two primary MM tumors with t(11;14) translocations (6). We do not understand why *MYC* seems to preferentially capture the 3' *IGH* SE from der14 t(11;14) instead of from a normal copy of chr14.

For MM, all of our examples of rearrangements associated with large duplicated sequences appeared to be secondary genomic rearrangements. Does this phenomenon also occur with primary *IGH* translocations? We had cloned sequences or mate pair sequences that provided reciprocal breakpoints for presumptive primary *IGH* translocations in 13 MMCL and eight untreated MM tumors (Table 3), but none had large duplicated sequences at breakpoint junctions (11). We also examined recently published reciprocal

breakpoints for presumptive primary *IGH* translocations in 32 MM tumors, and found that only three tumors had large duplicated sequences from the non-14 partner chromosome (12). Together, these data show that 3 of 53 (~6%) of presumptive primary *IGH* translocations are associated with large duplicated sequences. Although most MM tumors have primary *IGH* translocation breakpoints suggesting a role for *IGH* switch recombination or less often VDJ joining, the three tumors with large duplications did not have breakpoints suggesting a role for *IGH* switch recombination or VDJ joining. Two of these tumors had t(14;16) translocations and the other had a t(6;14) translocation. As we proposed previously, all of the primary *IGH* translocation partners except for 4p16 can be involved in secondary rearrangements (1,5). Therefore, it is possible that the presumptive primary *IGH* translocations that are associated with large duplications are actually secondary rearrangements.

It is interesting that the duplicated regions often contain SE but sometimes conventional enhancers (Supplementary Table S2), perhaps indicative of a higher probability of these rearrangements occurring in regions of open chromatin and/or selection for dysregulation of a target gene. In addition, the duplications may have novel functional consequences. One example is the insertion of chr8 sequences (including *MYC*) between flanking duplicated sequences, which include the IGL SE from a t(16;22) breakpoint junction (Figure 2C). This complex rearrangement results in the presumptive dysregulation of *MAF* (chr16) and *MYC* (chr8) by separate copies of the IGL SE. There also are a number of insertions containing a SE or oncogene, with the duplicated flanking sequences containing, respectively, an oncogene or SE, which presumably increases the probability of a functional configuration of the oncogene with the SE (Figures 1–3, Supplementary Figures S1 and 3; Table S2). Finally, it is not clear if the association of duplications with breakpoint junctions occurs only in tumor cells but also in normal wild-type or mutant prokaryotic cells or lower eukaryotes.

## ACCESSION NUMBERS

The mate pair sequence data on MMCL and 25 primary MM tumors have been published under SRA accession number SRP064107.

## SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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