

Illusory Late Heavy Bombardments

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Contributed by T. Mark Harrison, July 15, 2016 (sent for review June 11, 2016; reviewed by Kip Hodges and Trevor R. Ireland)

The Late Heavy Bombardment (LHB), a hypothesized impact spike at ~3.9 Ga, is one of the major scientific concepts to emerge from Apollo-era lunar exploration. A significant portion of the evidence for the existence of the LHB comes from histograms of $^{40}\text{Ar}/^{39}\text{Ar}$ “plateau” ages (i.e., regions selected on the basis of apparent isochroneity). However, due to lunar magmatism and overprinting from subsequent impact events, virtually all Apollo-era samples show evidence for $^{40}\text{Ar}/^{39}\text{Ar}$ age spectrum disturbances, leaving open the possibility that partial $^{40}\text{Ar}^*$ resetting could bias interpretation of bombardment histories due to plateaus yielding misleadingly young ages. We examine this possibility through a physical model of $^{40}\text{Ar}^*$ diffusion in Apollo samples and test the uniqueness of the impact histories obtained by inverting plateau age histograms. Our results show that plateau histograms tend to yield age peaks, even in those cases where the input impact curve did not contain such a spike, in part due to the episodic nature of lunar crust or parent body formation. Restated, monotonically declining impact histories yield apparent age peaks that could be misinterpreted as LHB-type events. We further conclude that the assignment of apparent $^{40}\text{Ar}/^{39}\text{Ar}$ plateau ages bears an undesirably high degree of subjectivity. When compounded by inappropriate interpretations of histograms constructed from plateau ages, interpretation of apparent, but illusory, impact spikes is likely.

planetary science | Late Heavy Bombardment | geochronology | $^{40}\text{Ar}/^{39}\text{Ar}$ | impacts

Earth contributes relatively little to our knowledge of the early impactor flux to the inner solar system, due to its constant resurfacing by the combined effects of erosion and cratonic growth. Although the Moon’s longstanding stability and relatively short duration of crustal growth in principle transcends these terrestrial limitations, after nearly 50 y of lunar sample analysis, our understanding of the Earth–Moon impact history remains limited (1–3); reasons for this include the relatively small area of the lunar surface from which we have documented sample locations and the potentially cryptic nature of impact thermal signatures (4). Despite these limitations, there is broad consensus that impact rates were higher during and immediately after accretion of the terrestrial planets (5) and possibly during a spike in impact rates (i.e., the Late Heavy Bombardment; LHB) at either ~3.9 (1, 6, 7) or ~4.1 Ga (8, 9). The existence of an LHB (we use this term to describe any postulated spike in impact rate; e.g., 3.9 or 4.1 Ga), however, is not universally accepted. The apparent spike could instead reflect impact saturation of the surface, termed the “stonewall” effect (2).

The shape of impact curves and the existence of an LHB has profound implications for the geological and biological development of our planet. The geologic effects implied by these impact histories range from planetary sterilization (10), to a Hadean (>4 Ga) Earth covered by *ca.* 20 km of flood basalts (11), to generation of hydrothermal systems providing enhanced environments for extremophiles (12). Whether or not impact rates during the Hadean could have sterilized Earth is of particular relevance, as no microfossils older than ~3.5 Ga (13) have been identified. However, a record of isotopically light carbon consistent with biologic activity extends back to 4.1 Ga (14–16), leaving open the possibility that life may have existed during the hypothesized bombardment episodes. The existence of an LHB-type event has broader

implications to other planets, and its origin has been linked to dramatic changes in giant planet orbital dynamics (17) and ejected debris from a large Mars impact (18).

The $^{40}\text{Ar}/^{39}\text{Ar}$ data are not the only source of evidence that has been used to support the LHB hypothesis. Indeed, the original proposal of a “terminal lunar cataclysm” (6) was based on the observation of widespread U–Pb fractionation at *ca.* 3.9 Ga together with nine Rb–Sr internal isochrons ranging from 3.85 to 4.0 Ga. In some ways, it is surprising that global inferences were drawn from such a small sample population, more than half of which were derived from Apollo 14 collections; this further underscores the earlier noted issue that all Apollo-era samples are restricted to only ~4% of the lunar surface (19). Thus, these data are equally consistent with a single, local event rather than a planetary-wide bombardment episode.

The bulk of the evidence now marshaled in support of the LHB comes from $^{40}\text{Ar}/^{39}\text{Ar}$ step-heating analyses (1, 7, 8). Specifically, compilations of $^{40}\text{Ar}/^{39}\text{Ar}$ “plateau” ages are constructed under the assumption that a compilation of these ages can be related to impact intensity. However, $^{40}\text{Ar}^*$ is not retentive in rocks at moderately elevated temperatures, resulting in partial resetting of the isotopic system (20–22). The pioneering studies that established $^{40}\text{Ar}/^{39}\text{Ar}$ as a viable dating method explicitly addressed the importance of diffusive $^{40}\text{Ar}^*$ loss in extraterrestrial materials (23) and devised corrections for partial resetting effects (24, 25). Over the intervening five decades, this approach was generally abandoned in favor of assigning age significance to seemingly flat portions of the age spectra, termed “plateau ages.” In contrast with the flat release patterns from which this concept was first introduced (26, 27), lunar and meteorite samples are rarely observed to have undisturbed age spectra. Because the vast majority of analyzed meteorite and lunar samples have been assigned plateau ages despite evidence of significant disturbance to the

Significance

The vast majority of evidence marshaled for the Late Heavy Bombardment comes from $^{40}\text{Ar}/^{39}\text{Ar}$ age spectra of Apollo samples, interpreted through “plateau” ages, which show an apparent cluster at ~3.9 Ga. Whether such data can be uniquely inverted to constrain impact histories in the Earth–Moon system has never been tested. We show that diffusive loss of ^{40}Ar from a monotonically declining impactor flux coupled with the early and episodic nature of lunar crust formation tends to create clustered distributions of apparent $^{40}\text{Ar}/^{39}\text{Ar}$ ages at *ca.* 3.9 Ga. Instead, these $^{40}\text{Ar}/^{39}\text{Ar}$ data can be reconciled with a continuously decreasing bolide flux. Thus, impacts may have played a minimal role in terrestrial habitability, early Earth dynamics, and the formation of Hadean zircons.

Author contributions: P.B. and T.M.H. designed research, performed research, contributed new reagents/analytic tools, analyzed data, and wrote the paper.

Reviewers: K.H., Arizona State University; and T.R.I., The Australian National University. The authors declare no conflict of interest.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1611535113/-DCSupplemental.

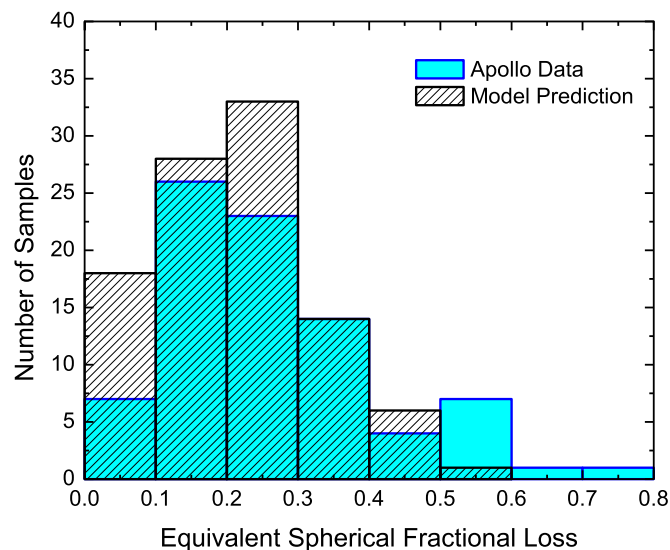


Fig. 3. Actual spherical loss estimated to result in an impact event from the Apollo data compared with the distribution resulting from running model 2 with an LHB at 3.9 Ga. The agreement between the two distributions shows that even selecting samples with little fractional loss (i.e., “good” plateau) still introduces a significant bias to the inferred bombardment history.

interpretation of these data in terms of >3-Ga impacts is problematic due to intense endogenous magmatism (37). Furthermore, rock comminution, mixing, and recoil effects can further obscure interpretation of $^{40}\text{Ar}/^{39}\text{Ar}$ data. Despite these effects, our LHA compilation would appear to suggest a monotonic decrease in impacts over at least the past ~3 Ga.

Both models require knowledge of the basement crystallization age distribution and we assume that lunar zircon $^{207}\text{Pb}/^{206}\text{Pb}$ ages (38–41) approximates this function (see [Dataset S1](#) for compilation). Although this could skew results to those compositions more likely to saturate zircon, compiled lunar Sm–Nd whole rock ages (29) lead to a similar age distribution.

Results

Apparent plateau ages returned by model 1 (Fig. 2) reveal an age distribution characterized by an illusory bombardment spike between 3.5 and 4.0 Ga. This result shows that episodic, pre-4-Ga crust formation coupled with partial $^{40}\text{Ar}^*$ loss due to the monotonically decreasing impact flux can bias age compilations toward the appearance of an impact spike. The model 1 results agree well for >3 Ga compared with the distribution of lunar meteorite $^{40}\text{Ar}/^{39}\text{Ar}$ plateau ages, but our model overpredicts young plateau ages (Fig. S1). Results of model 2 (Figs. S2 and S3) can reproduce both a canonical spike at 3.9 Ga and one at 4.1 Ga. We note that we do not specifically compare the shape of our spike to that of the literature data, as, to our knowledge, the specific shape of the plateau age distributions has never been used to constrain impact histories. That is to say, the literature interpretation is that a spike in plateau ages at 3.9 Ga is evidence for the LHB, but the specific distribution has not been cited in support. Because model 2 is fixed to require an impact spike, we instead assess the plausibility of the underlying assumptions by examining the probability distribution of impact-induced fractional $^{40}\text{Ar}^*$ loss that is required to match the desired impact spike age. To compare the resulting distribution to that for Apollo samples, we need to calculate the fractional loss for each sample. Because there are virtually no published Apollo $^{40}\text{Ar}/^{39}\text{Ar}$ Ar data that have been fit by a diffusion model (cf. ref. 36), we compiled the fraction of gas included in the plateau for ~100 Apollo samples (42–45). Model 2 output agrees well with this

compilation (Fig. 3), suggesting that the assumptions embodied in the model are reasonable despite the considerable complications in Apollo $^{40}\text{Ar}/^{39}\text{Ar}$ data.

For both models, the simulated impact rates both exponentially and monotonically decrease with time (Fig. 4). Comparison of model 1 with the cumulative frequency distribution for LHAs matches well. For model 2, the fit to a 4.1-Ga impact spike is better than one at 3.9 Ga, although both are visually adequate solutions (Fig. S5 and [Supporting Information](#)).

Discussion

Implications for Other Extraterrestrial Bodies. Our modeling shows that, due to the nature of declining impact rates and the early but episodic nature of crust formation on extraterrestrial bodies, apparent bombardment episodes can be a common artifact in $^{40}\text{Ar}/^{39}\text{Ar}$ plateau age histograms. Indeed, age compilations of samples from H chondrites and howardite–eucrite–diogenite (HED) meteorites also show apparent spikes in impact activity between 3.5 and 4 Ga (8, 46, 47). Model 1, in general, produced curves that imply increased activity around 3 to 4 Ga and feature a paucity of >4 Ga ages. Although our model is based on a lunar crustal age distribution that is too young to characterize meteorite parent bodies, the qualitative agreement between our results and meteorite data suggests that episodic petrogenesis coupled with a monotonically decreasing impact flux can explain meteorite $^{40}\text{Ar}/^{39}\text{Ar}$ Ar histograms.

A distinctive characteristic of meteorite $^{40}\text{Ar}/^{39}\text{Ar}$ ages is the lack of plateaux between 4.1 and 4.4 Ga. This can be understood if those samples with bulk cooling ages of ≥ 4.5 Ga were shielded from impact thermal effects by their location away from the parent body surface, only becoming thermally affected during their last (typically <1 Ga) breakup event. Meteorite samples with $^{40}\text{Ar}/^{39}\text{Ar}$ ages between 3.5 and 4 Ga are those that lay closer to parent body surfaces and thus experienced a protracted impact history. Thus, the view that the lack of intermediate plateau ages in meteorites reflects an impact hiatus (8) is nonunique and at least as well explained by relative position in parent bodies.

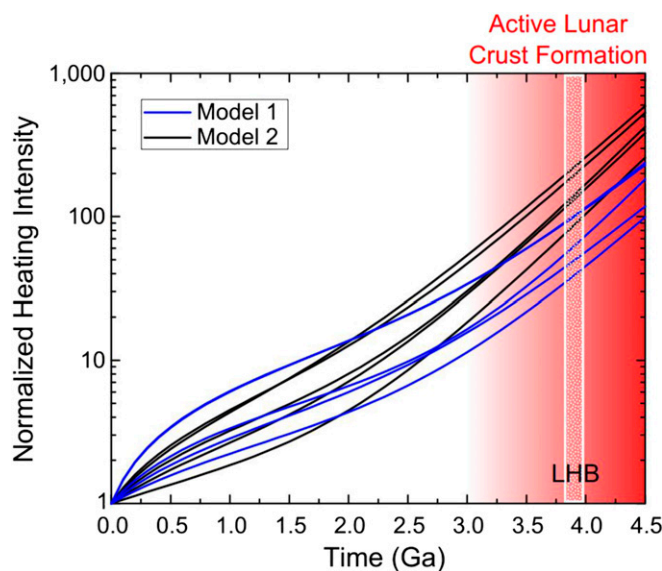


Fig. 4. Selected model runs for both model 1 and model 2 normalized to the present-day impact rate. Both models suggest a drop in impacts of 2× to 5× over the last 2 Ga, and neither has a spike at the timing of the LHB at ~3.9 Ga. Also shown is the timing of active crust formation and volcanism on the Moon in red and the LHB in white. During the interval shaded in red, we do not believe $^{40}\text{Ar}/^{39}\text{Ar}$ to be uniquely interpretable in terms of impacts due to the generally high thermal activity on the Moon.

Mass Constraints. Based on estimates of highly siderophile elements' concentrations in Earth's mantle (48–50) and mantle noble gas systematics (51), it has been suggested that 0.5 to 1.5% of an Earth mass was accreted following core formation (the “Late Veneer”). Although this estimate is not universally accepted (52, 53), it is widely used to constraint impact models (11) and mantle dynamic models (54). As we have shown, the act of inverting a distribution of $^{40}\text{Ar}/^{39}\text{Ar}$ plateau ages into an impact curve, even to relatively late stages of planetary evolution (i.e., 3.9 to 4.1 Ga), is nonunique. Thus, proposed bombardment histories for the period >4.1 to 4.5 Ga (9, 11) are speculative. Indeed, these histories (9, 11) result in geochemical consequences that are incompatible with the terrestrial record. For example, virtually all workers agree that the Hadean (>4 Ga) zircon record requires a terrestrial hydrosphere (55–59); this is fundamentally incompatible with the models for the Hadean derived from impact histories (9, 11). Other geochemical inferences include the existence of an evolved, likely granitic continental crust (60, 61), possibly formed by a subduction-like process (59, 62). Furthermore, the hypothesis that Hadean zircons formed in impact melts was explicitly tested and rejected (63). Instead, models from the impact history of the Earth–Moon system (11), based on extrapolated impact curves based on $^{40}\text{Ar}/^{39}\text{Ar}$ plateau age histograms, propose that impacts delivering the Late Veneer caused Hadean Earth to be covered with ~20-km-thick flood basalts. To reconcile the Late Veneer with constraints inferred from Hadean zircons, we propose that the majority of all impacts happened at >4.4 Ga and that more recent cratering contributed only negligible mass and energy to the Earth–Moon system. Indeed, a recent reevaluation of lunar basin-forming impactors (64) similarly agrees that estimates of delivered mass to the Moon based on observed crater sizes are substantially overestimated due to misestimated target properties. Our modeling is insensitive to the magnitude of >4.4-Ga impacts and thus consistent with a higher, early impactor flux being responsible for the Late Veneer. Further evidence for a significant drop-off in impact flux is that there are no lunar or terrestrial zircons (or samples of any kind) significantly older than 4.4 Ga (29, 65), and the Hf isotopes in those zircons point to a differentiation event at ≥ 4.5 Ga (38, 59). Although it may seem paradoxical that Late Veneer impacts, which would likely melt the crust and mantles of both Earth and the Moon, did not reset their Hf isotope systems,

the large disparity in Lu and Hf concentrations between both the terrestrial crust/mantle (66, 67) and ferroan anorthosite/KREEP (68, 69) works against leaving a record of such an event. That is, although impact mixing of crust and mantle is unlikely to significantly affect crustal Hf isotope evolution, it destroys or resets the chronology of rocks older than 4.4 Ga. A scenario consistent with our reanalysis of the meaning of lunar $^{40}\text{Ar}/^{39}\text{Ar}$ data, environmental constraints inferred from Hadean zircons (59), the reevaluation of lunar basin-forming impactor size (64), and the >4.5-Ga age of core formation of ref. 31 is that a Late Veneer was delivered to Earth between 4.5 and 4.4 Ga, followed by relatively low impact rates.

Summary

To examine the possibility of monotonically decreasing impact curves combined with episodic crust formation yielding the observed distribution of $^{40}\text{Ar}/^{39}\text{Ar}$ plateau ages, we constructed three simulations. They are constrained to fit a compilation of LHAs of Apollo samples, which represent an estimate of the last time each sample experienced heating sufficient to cause measurable ^{40}Ar loss. Model 2 is further constrained to create a spike in impacts at 3.9 or 4.1 Ga. We show that $^{40}\text{Ar}/^{39}\text{Ar}$ plateau age histograms can show apparent (but illusory) bombardment episodes under monotonically decreasing impact rates for bodies with early and episodic crust formation when coupled with the effects of partial resetting of the $^{40}\text{Ar}/^{39}\text{Ar}$ system. Finally we note that, while the most widely used evidence to support the LHB hypothesis yields unreliable impact histories, it does not preclude the existence of such events.

Future work using improved chronological methods, such as in situ $^{40}\text{Ar}/^{39}\text{Ar}$ dating (70) as well as quantitative thermochronologic modeling (36), can aid in establishing evidence for or against an LHB-type event. Until such evidence is gathered, we conclude that a monotonic decrease in impactor flux explains all existing $^{40}\text{Ar}/^{39}\text{Ar}$ data from both lunar and meteoritic samples.

ACKNOWLEDGMENTS. We thank Bob Steele for insightful discussions and Trevor Ireland and Kip Hodges for thoughtful reviews. Support was obtained from National Science Foundation (NSF) Grants EAR-0948724 and EAR-1339051. The ion microprobe facility at the University of California, Los Angeles, is partly supported by a grant from the Instrumentation and Facilities Program, Division of Earth Sciences, NSF.

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