

# Brain Activity and Connectivity in Response to Negative Affective Stimuli: Impact of Dysphoric Mood and Sex Across Diagnoses

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**Abstract:** Negative affective stimuli elicit behavioral and neural responses which vary on a continuum from adaptive to maladaptive, yet are typically investigated in a dichotomous manner (healthy controls vs. psychiatric diagnoses). This practice may limit our ability to fully capture variance from acute responses to negative affective stimuli to psychopathology at the extreme end. To address this, we conducted a functional magnetic resonance imaging study to examine the neural responses to negative valence/high arousal and neutral valence/low arousal images as a function of dysphoric mood and sex across individuals ( $n = 99$ ) who represented traditional categories of healthy controls, major depressive disorder, bipolar psychosis, and schizophrenia. Observation of negative (vs. neutral) stimuli elicited blood oxygen-level dependent responses in the following circuitry: periaqueductal gray, hypothalamus (HYPO), amygdala (AMYG), hippocampus (HIPPO), orbitofrontal cortex (OFC), medial prefrontal cortex (mPFC), and greater connectivity between AMYG and mPFC. Across all subjects,

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severity of dysphoric mood was associated with hyperactivity of HYPO, and, among females, right (R) AMYG. Females also demonstrated inverse relationships between severity of dysphoric mood and connectivity between HYPO - R OFC, R AMYG - R OFC, and R AMYG - R HIPPP. Overall, our findings demonstrated sex-dependent deficits in response to negative affective stimuli increasing as a function of dysphoric mood state. Females demonstrated greater inability to regulate arousal as mood became more dysphoric. These findings contribute to elucidating biosignatures associated with response to negative stimuli across disorders and suggest the importance of a sex-dependent lens in determining these biosignatures. *Hum Brain Mapp* 37:3733–3744, 2016. © 2016 Wiley Periodicals, Inc.

**Key words:** dysphoric mood state; sex; functional magnetic resonance imaging; generalized psychophysiological interaction; negative affect; International Affective Picture System; Research Domain Criteria

## INTRODUCTION

Maladaptive responses to negative affective stimuli are implicated in several major psychiatric disorders, including psychoses and major depressive disorder [Beauregard et al., 2006; Goldstein, 2006; Goldstein et al., 2015; Holsen et al., 2013; Monroe and Harkness, 2005; Myin-Germeys et al., 2003; Rowland et al., 2013]. Even though behavioral and neural system responses to negative affective stimuli are typically investigated in a dichotomous manner comparing “healthy controls” to those with a psychiatric diagnosis, they vary on a continuum from adaptive to maladaptive. A focus on healthy versus psychopathological groups only may miss important variability in particular symptoms that are shared across populations and may provide clues to mechanisms underlying responses to negative experiences in life.

Circuitry associated with response to negative affective stimuli includes periaqueductal gray (PAG), hypothalamus (HYPO), amygdala (AMYG), hippocampus (HIPPP), anterior cingulate cortex (ACC), medial prefrontal cortex (mPFC), and orbital prefrontal cortex (OFC) [Dougherty and Rauch, 1997; Goldstein et al., 2015, 2010; Holsen et al., 2013; Mayberg, 1997] regions that are among the most sexually dimorphic in the brain. Activity in these regions has been associated with cortisol response [Cunningham-Bussel et al., 2009; Holsen et al., 2013; Kern et al., 2008; Liberzon et al., 2007; Urry et al., 2006; Veer et al., 2012], coupled with loss of parasympathetic cardiac response [Holsen et al., 2012], demonstrating neural and physiologic stress responses. Moreover, Wang et al. [2007] reported sex differences in the relationships between cortisol and brain response to psychological stress. HIPPP, HYPO, AMYG, mPFC, and ACC are dense in sex steroid and glucocorticoid receptors [McEwen et al., 1986; Pacak et al., 1995; Tobet and Hanna, 1997]. We previously suggested that developmental alteration of normal sexual dimorphisms of this circuitry is associated with sex differences in abnormal neuroendocrine function and stress response circuitry function in adulthood and may predispose for sex differences in development of schizophrenia or depres-

sion [Goldstein, 2006; Goldstein et al., 2014b, 2014a]. Taken together, studies across depression and psychoses suggest there may be shared pathophysiology associated with dysregulation of circuitry associated with negative affective stimuli [Beauregard et al., 2006; Derntl et al., 2008; Domes et al., 2010; Goldstein, 2006; Goldstein et al., 2015; Monroe and Harkness, 2005; Myin-Germeys et al., 2003; Rowland et al., 2013], contributing to sex differences across these disorders and possibly underlying variation in depressed mood across healthy and clinical populations.

In fact, this notion of shared traits across disorders has been underscored by the recent focus of NIMH on the Research Domain Criteria (RDoC) initiative [Insel et al., 2010]. Proponents of the RDoC approach argue that, for the development of more efficacious therapeutics, it is critical to identify genes, cells, and circuits associated with behavioral traits (i.e., neurobiologic signatures [Van Os and Kapur, 2009]) rather than diagnoses per se. Inspired by the RDoC framework, we used functional magnetic resonance imaging (fMRI) to investigate the impact of dysphoric mood state and sex on response to negative valence stimuli across healthy and clinical populations.

There is a long history to the finding that mood disorders are significantly more prevalent among women than men [Goldstein et al., 2014b; Kendler et al., 2006; Kessler, 2003; Seney and Sibille, 2014]. Animal studies demonstrated sex differences (greater in females than males) in a number of domains, including greater immobility in tasks associated with mood-related phenotypic behavior [Alonso et al., 2000], increased ACTH, corticosterone, and glucocorticoid receptor binding [McCormick et al., 1995; Weinstock et al., 1992], and increased corticosterone sensitivity [Rhodes and Rubin, 1999]. A recent neuroimaging study in humans reported sex differences in fronto-limbic connectivity with women having more affective and men more evaluative responses to negative affective stimuli [Lungu et al., 2015]. In the study reported here, we hypothesized that hyperactivity in subcortical arousal regions and hypoactivity in cortical regions and HIPPP would be associated with severity of dysphoric mood, and that these deficits in ability to regulate neural response to negative affective stimuli will be greater among women than men.

## METHODS AND MATERIALS

### Participants

Ninety-nine adults (51 males) participated in this study. The majority were offspring of women who took part in the large scale ( $n = 17\,741$ ) Boston and Providence Collaborative Perinatal Project (ICPP), adult offspring whom we have been following for about 20 years in the New England Family Studies [NEFS] [Goldstein et al., 2014a; Niswander and Gordon, 1972]. Approximately 14% were non-NEFS subjects but recruited using the same criteria, from the same community catchment area and not different on any socio-demographic or clinical characteristic than the rest of the sample. Fifteen individuals were diagnosed with non-affective psychosis including schizophrenia, schizoaffective-depressed type, and psychosis not otherwise specified (SCZ; 9 males), 16 individuals with affective psychosis including bipolar disorder with psychosis and schizoaffective disorder-bipolar type (BP; 7 males), 27 with recurrent major depressive disorder without psychosis (MDD; 13 males), and 41 were healthy controls (CTRL; 22 males). These PSY classifications have a long history and validation in family studies and have been used in our population-level NEFS studies over many years [Goldstein et al., 2010a]. Individuals with either MDD or SCZ were not currently in an episode (no clinically-significant depressive symptoms in the MDD group; no active psychosis in the SCZ group).

As described in Jacobs et al. [2015], women were scanned on two occasions - “low  $E^2$ ” and “high  $E^2$ ” conditions, categorized based on the relative change in  $17\beta$ -estradiol ( $E^2$ ) between two scanning visits (during early follicular and late follicular/midcycle). In our previous work with this task, we demonstrated more substantial sex differences in brain activity in our circuitry of interest for women during late follicular/midcycle versus men in comparison to the same women scanned during the early follicular menstrual phases versus men [Goldstein et al., 2010b]. This is consistent with others [Ossewaarde et al., 2010] who demonstrated that sensitivity to negative affect differed across menstrual cycle phase. Thus, in the analyses here, we included data from women scanned under “high  $E^2$ ” only, thus eliminating a potential confound among the women with respect to brain activity in AMYG, HIPPO, and HYPO in response to negative affective stimuli. The mean baseline levels of estradiol were 102.88 pg/mL ( $SD = 74.95$  pg/mL), which did not differ after exposure to the negative affective images.

Demographics and clinical characteristics of the sample are in Table I. All participants provided written informed consent to a protocol approved by Harvard University, Brown University, Partners Healthcare system, and local psychiatric facilities. There were no differences between men and women in ethnicity, handedness, age, body mass index, parental SES, educational level, WAIS-R vocabulary scores, rate of DSM diagnoses, or use of psychotropic medications. Compared to women, men scored higher on the WAIS block design ( $F_{(2,93)} = 4.29$ ,  $P = 0.02$ ; CTRL > PSY:

$P = 0.02$ ) and had a higher rate of substance abuse disorders ( $X^2_{(1, N = 99)} = 21.80$ ,  $P < 0.0001$ ).

### Assessment of Mood and Anxiety

*Profile of Mood States* (POMS) [McNair et al., 1992] and *State-Trait Anxiety Inventory* (STAI) [Spielberger et al., 1983], self-report questionnaires, were administered before the MRI scan to assess mood and anxiety. The POMS measured the degree to which each of 40 affective adjectives applied to current mood state (rated on a Likert-type scale from 0 to 4). The STAI measured anxiety-related symptoms using a 1 to 4 Likert-type scale, with two versions that differentiate “state” (How do you feel right now) and “trait” (How do you generally feel) anxiety.

### Dysphoric Mood State

To create a composite measure of mood and anxiety current state and traits, the five POMS subscales (tension/anxiety [TA], depression/dejection [DD], anger/hostility [AH], fatigue/inertia [FI], vigor/activity [VA], confusion/bewilderment [CB]), and two STAI subscales (Anxiety State, Anxiety Trait) were factor analyzed using the maximum likelihood factor method and Varimax rotation. The primary factor explaining the highest portion of variance reflected a clinical state of dysphoric feelings that we traditionally associate with depressed mood (see Supporting Information). We called this composite measure dysphoric mood state, to distinguish it from depression itself, given that we are measuring this clinical state across populations. In subsequent fMRI analyses, it is used in associations with blood oxygen-level dependent (BOLD) brain activity responses and connectivity in our circuitry of interest.

### Acquisition of fMRI Data

fMRI data were acquired on a Siemens Tim Trio 3T MRI scanner with a 12-channel head coil. A total of 180 volumes were acquired using a spin echo, T2\*-weighted sequence ( $TR = 2,000$  ms,  $TE = 40$  ms,  $FOV = 200 \times 200$  mm, matrix  $64 \times 64$ , in-plane resolution 3.125 mm, slice thickness 5 mm, 23 contiguous slices aligned to AC-PC plane). The fMRI task consisted of presentation of negative valence/high arousal (e.g., snake, car accident, burial, gun, bomb, tornado), neutral valence/low arousal (e.g., plant, umbrella, office, mushrooms, stool, bus), and fixation images (Fourier transformations of the neutral valence/low arousal images), that we adapted for fMRI use [Goldstein et al., 2010b.] based on quantitative psychophysiological ratings from the *International Affective Picture System* (IAPS) [NIMH Center for Emotion and Attention, 1999]. The IAPS is a well-known set of images with demonstrated reliability and validity, known to invoke brain circuitry associated with negative affective stimuli, HPA

TABLE I. Demographics and clinical characteristics of the sample

	Males ( <i>n</i> = 51)	Females ( <i>n</i> = 48)	Between group comparison
Ethnicity			
% Caucasian ( <i>n</i> )	68.63% (35/51)	52.08% (25/48)	No difference
% Other ( <i>n</i> )	3.92% (2/51)	14.58% (7/48)	
% Missing ( <i>n</i> )	27.45% (14/51)	33.33% (16/48)	
Handedness			
% Right-handed ( <i>n</i> )	90.20% (46/51)	93.75% (45/48)	No difference
Age			
in years; M (SD)	45.02 (3.88)	44.33 (4.77)	No difference
BMI			
M (SD)	29.17 (5.15)	29.86 (6.89)	No difference
Parental SES <sup>a</sup>			
% Lowest SES quartile ( <i>n</i> )	23.53% (12/51)	20.83% (10/48)	No difference
% Lower middle SES quartile ( <i>n</i> )	23.53% (12/51)	18.75% (9/48)	
% Higher middle SES quartile ( <i>n</i> )	21.57% (11/51)	25.00% (12/48)	
% Highest SES quartile ( <i>n</i> )	19.61% (10/51)	20.83% (10/48)	
% Missing ( <i>n</i> )	11.76% (6/51)	12.50% (6/48)	
Education level			
% Without completed high school ( <i>n</i> )	9.8% (5/51)	4.17% (2/48)	No difference
% Completed high school ( <i>n</i> )	21.57% (11/51)	14.58% (7/48)	
% More than high school ( <i>n</i> )	66.67% (34/51)	77.08% (37/48)	
% Missing ( <i>n</i> )	1.96% (1/51)	4.17% (2/48)	
WAIS vocabulary, age-scaled			
M (SD)	11.18 (3.35)	10.09 (3.08)	No difference
WAIS block design, age-scaled			
M (SD)	11.67 (3.35)	9.74 (2.98)	Males > Females
DSM-based diagnosis			
% MDD in remission ( <i>n</i> )	25.49% (13/51)	29.17% (14/48)	No difference
% Psychosis ( <i>n</i> )	31.37% (16/51)	31.25% (15/48)	
% Healthy controls ( <i>n</i> )	43.14% (22/51)	39.58% (19/48)	
Psychotropic medication			
% on psychotropic medication ( <i>n</i> )	35.29% (18/51)	31.25% (15/48)	No difference
Substance use disorder			
% with any substance use disorder ( <i>n</i> )	64.72% (33/51)	16.67% (8/48)	Males > Females

<sup>a</sup>Parental socioeconomic status (SES) was assigned a single, continuous score for education, occupation, and family income according to the system used for the United States Bureau of the Census (Myrlandopoulos and French, 1968). This composite index ranged from 0.0 (low) to 9.5 (high).

hormone responses, and behavior [Bradley and Lang, 1994; Goldstein et al., 2010b; Holsen et al., 2013; Lang et al., 1997]. Our fMRI-adapted IAPS task lasted approximately 18 min (3 runs, 6 min each). Each run contained a total of 72 images ordered in non-randomized blocks of fixation, negative and neutral images. Each block of stimuli consisted of six images, each presented for 5 sec. (The specific IAPS images are provided in Supporting Information.) The mean normative valence and arousal of the negative valence/high arousal images was 2.19 (SD = 0.48) and 6.35 (SD = 0.52), respectively. The mean normative valence and arousal of the neutral valence/low arousal images was 4.90 (SD = 0.37) and 2.91 (SD = 0.40), respectively. To ensure attention, participants pressed a button when each new image appeared. No cognitive task was required to respond to these images, thus responses were unconfounded by cognitive capacities. After the fMRI session, participants saw eight neutral and eight negative

images, chosen from those presented during the fMRI task, and reported their subjective evaluation of image valence and arousal using the *Self-assessment Manikin* (SAM) [Bradley and Lang, 1994]. For details on the experimental task, see [Goldstein et al., 2010b; Holsen et al., 2013].

### Analysis of fMRI Data

Data were preprocessed and analyzed using SPM8 [The FIL Methods Group, 2013]. Non-linear volume-based normalization used the MNI152 brain template and spatial smoothing with 6 mm FWHM Gaussian filter, which was then re-sampled to 3 mm isotropic. Outliers in global mean image time series (threshold: 3.5 SDs from the mean) and movement (threshold: 0.7 mm, measured as scan-to-scan movement, separately for translation and rotation) were detected using an artifact detection toolbox

[NITRC, 2011] and entered as nuisance regressors in the first-level, single-subject general linear model (GLM). Masks excluding voxels outside the brain were applied to ensure that voxels in regions with high inter-participant variability in signal drop-out (e.g., OFC) were not arbitrarily excluded. Comparisons of interest (negative > neutral) from the first-level, single-subject analyses were tested using linear contrasts and SPM *t*-maps. Outputs from the first-level, single-subject analyses were submitted to second-level random effects analysis (see below).

### BOLD-Response Analysis

One-sample *t*-test examined the BOLD signal responses to negative > neutral stimuli across the full sample ( $n = 99$ ), with a whole-brain, voxel-wise family-wise error (FWE)-corrected threshold of  $P < 0.05$ . Next, an intersection analysis performed using MarsBaR [Brett et al., 2002], identified clusters with whole-brain, voxel-wise FWE-corrected  $P < 0.05$  threshold that were conjointly located within anatomic boundaries of PAG, HYPO, AMYG, HIPPO, OFC, ACC, and mPFC (anatomical masks defined using a manually segmented MNI-152 brain template). Given the small volumes and midline location of the PAG and HYPO, single regions of interest (ROIs) combining right and left hemispheres were used for each of these two regions; remaining ROI masks were bilateral. Average parameter estimates (percent signal change values) within each ROI were extracted for each participant using REX [REX Software, 2009] and exported into JMP (SAS Institute, Cary, NC), which was used for all remaining BOLD response analyses. Activity of the negative affective circuitry, operationalized as parameter estimates identified and extracted as described above, was then explored as a function of Dysphoric Mood State using regression analysis to identify main effects and interactions with sex, significant at  $P < 0.05$  level. Finally, although not the main focus of this article, regression models were re-run with the inclusion of diagnostic case status (no history of MDD/SCZ; history of MDD/SCZ) and psychotropic medication status (no current psychotropic medication; current use of psychotropic medication) in the models to explore the potential impact of these factors on BOLD activity.

### Functional Connectivity Analysis

Similar to BOLD response analyses above, we assessed task-related connectivity using generalized psychophysiological interaction [McLaren et al., 2012]. Time courses from seed ROIs (defined as above: clusters from the BOLD-anatomic ROI intersection analyses in MarsBaR) were extracted and added to two additional PPI regressors (interaction of the seed time course with regressors for negative and neutral content) to individual subject-level GLMs. These interaction regressors were orthogonal to the task and seed regressors, ensuring that the seed ROI acti-

vation and PPI connectivity were independent [McLaren et al., 2012]. Connectivity was measured at single-subject level by estimating the difference between the interaction of the seed timecourse with the regressor for negative compared with neutral stimuli, conducted separately for each ROI. Results of single-subject analysis were entered into second-level random effects analysis to probe group-level changes in connectivity during negative versus neutral condition.

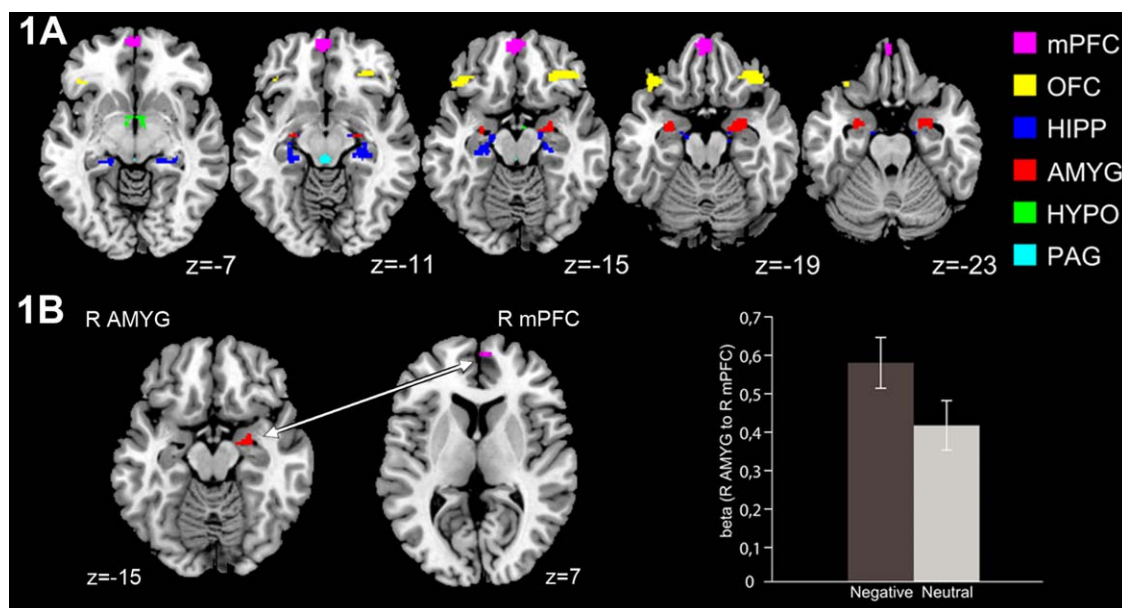
First, task-related connectivity was assessed across the whole sample, using clusters from subcortical arousal regions (PAG, HYPO, AMYG) as seeds. These were chosen based on known neuroanatomical connections between these regions and cortical ROIs. Next, connectivity was explored as a function of Dysphoric Mood State, mirroring BOLD-response analyses and with seed regions chosen from subcortical arousal ROIs that emerged as significant within each parallel analysis at the BOLD-level response. Analyses were then repeated to detect interactions with sex.

For these functional connectivity analyses, we used small volume correction (SVC) approach in SPM8, which limits voxel-wise analyses to voxels within *a priori* hypothesized ROIs. Target ROIs (PAG, HYPO, AMYG, HIPPO, OFC, ACC, mPFC) were defined as anatomical masks (manually segmented from MNI-152 brain template, as described above) and implemented as overlays on the SPM8 canonical brain. False positives were controlled using FWE-correction: within an anatomical ROI, significant results identified using SVC (initial voxel-wise threshold  $P < 0.05$  uncorrected) were reported as significant if they additionally met the peak-level threshold of  $P < 0.05$ , FWE-corrected. Additionally, for calculation of effect sizes and illustrative purposes in figures below, average connectivity values (beta weights of PPI regressors) in significant target clusters were extracted using REX [REX Software, 2009]. Furthermore, regression models were re-run with the inclusion of diagnostic case status (no history of MDD/SCZ; history of MDD/SCZ) and psychotropic medication status (no current psychotropic medication; current use of psychotropic medication) in the models to explore the potential impact of these factors on functional connectivity.

## RESULTS

### Factor Analysis of Mood and Anxiety Symptoms

Factor analysis identified two main composite measures of mood and anxiety states and traits. The first factor, explaining 33% of variance, reflected mainly dysphoric mood state and thus we will refer to this factor as "Dysphoric Mood." The second factor explained 22.7% of variance and reflected mainly anxiety-related symptoms (see Supporting Information Table 1 for the exact rotated factor loadings). There was a main effect of sex on Factor



**Figure 1.**

Task-related BOLD activity and connectivity in response to negative affective stimuli. Observation of negative versus neutral images elicited response in 32 voxels in PAG, 57 voxels in HYPO, 255 voxels in left AMYG, 251 voxels in right AMYG, 140 voxels in left HIPP, 126 voxels in right HIPP, 2015 voxels in left mPFC, 120 voxels in right mPFC, 111 voxels in left OFC, 184 voxels in right OFC (A). These regions of interest are the out-

puts of intersection analysis (in MarsBaR) between (1) FWE-corrected BOLD response in all the 99 participants to negative > neutral contrast, and (2) anatomically-defined masks for the circuitry activated by negative affective stimuli (PAG, AMYG, HIPP, HYPO, ACC, mPFC, OFC, anterior insula). Observation of negative vs. neutral images increased AMYG – mPFC connectivity (B).

1 ( $F_{(5,68)}=12.50$ ,  $P < 0.0001$ ,  $R^2 = 0.48$ ), with men exhibiting worse dysphoric mood than women ( $t_{(73)} = -7.78$ ,  $P < 0.0001$ , Cohen's  $d = 1.72$ ). Although this was initially unexpected, men had more substance abuse and dependence, and subjects with substance use disorders expressed more severe dysphoric feelings ( $t_{(73)} = -3.14$ ,  $P = 0.002$ ), thus contributing to explaining the higher mean levels of dysphoric mood among the men. Means and standard deviations for the STAI scaled scores, POMS subscale scores, and composite factors by sex are provided in Supporting Information Table 2. Men and women did not differ on SAM ratings of valence and arousal for the IAPS stimuli (see Supporting Information Table 2).

### fMRI Data: BOLD Response and Functional Connectivity

First, BOLD response to negative (>neutral) stimuli was examined at the whole-brain analysis level (FWE  $P < 0.05$ ) to establish whether the task elicited significant activity in the a priori ROIs. Whole brain analysis across all subjects revealed significantly increased BOLD response to negative (vs. neutral) stimuli in the PAG, HYPO, AMYG, HIPP, mPFC, and OFC (Fig. 1A). Additionally, connectivity analyses using PAG, HYPO, and AMYG as seeds showed that

observation of negative (vs. neutral) stimuli was associated with increased right (R) AMYG – R mPFC connectivity (Fig. 1B).

Next, the BOLD response to negative (vs. neutral) stimuli was examined across all subjects as a function of Dysphoric Mood, followed by analyses to test for an interaction between dysphoric mood and sex. Severity of dysphoric mood was positively associated with BOLD response in the HYPO and R AMYG (Table II, Fig. 2). Sex-dependent analyses revealed a significant interaction between dysphoric mood and sex in R AMYG ( $F_{(1,69)} = 4.81$ ,  $P = 0.03$ ; Table II, Fig. 2B). That is, there was a positive relationship between severity of dysphoric mood and BOLD response in the R AMYG in women ( $t_{(38)} = 2.95$ ,  $P = 0.006$ ,  $R^2 = 0.19$ ), but not in men ( $t_{(33)} = 0.14$ ,  $P = 0.71$ ; Table II).

This interaction between dysphoric mood state and sex was further examined using connectivity analyses. Based on BOLD-level findings reported above, the HYPO and R AMYG were selected as seeds. These analyses revealed an interaction between dysphoric mood and sex on connectivity, during observation of negative (> neutral) images, between (1) R AMYG – R HIPP (Fig. 3A), (2) R AMYG – R OFC (Fig. 3B), and HYPO – R OFC (Fig. 3C) (see Table III). First, variation in severity of dysphoric mood was associated with R AMYG – R HIPP connectivity, which

**TABLE II. Effects of dysphoric mood on task-evoked (negative vs. neutral stimuli) increases in BOLD response**

Effect	ROI	<i>t</i> or <i>F</i> test	<i>P</i>	Effect direction and size
Dysphoric mood	HYPO	$t_{(73)}=2.31$	0.02	Positive relationship: $R^2 = 0.07$
	R AMYG	$t_{(72)}=2.33$	0.02	Positive relationship: $R^2 = 0.07$
Dysphoric mood × sex	R AMYG	$F_{(1,69)}=4.81$	0.03	Positive relationship in females: $R^2 = 0.19$

differed in directionality according to sex: negatively correlated in women ( $t_{(38)} = -2.91, P = 0.006$ ) and positively related in men ( $t_{(34)} = 3.15, P = 0.003$ ; see Fig. 3). Furthermore, in women but not men, the more severe the dysphoric mood, the lower the connectivity between R AMYG – R OFC ( $F: t_{(38)} = -5.13, P < 0.0001$ ; M:  $t_{(34)} = 0.05, P = 0.96$ ) and HYPO – R OFC ( $F: t_{(37)} = -4.56, P < 0.0001$ ; M:  $P = 0.43$ ) (see Fig. 3). This remained significant among the women even after excluding potential outliers.

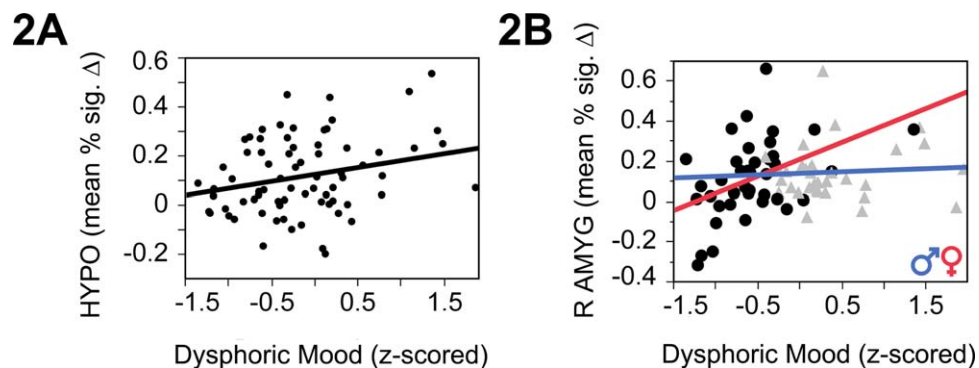
The addition of diagnostic status and psychotropic medication status in the GLM did not change these results (data not shown), with the exception that psychotropic medication contributed to the variance in R AMYG BOLD (higher R AMYG BOLD as severity of dysphoric mood state increased in women on these medications but not men ( $F: t_{(38)}=5.74, P = 0.02$ ; M:  $t_{(33)}=0.34, P = 0.56$ )). However, there was no simple main effect of psychotropic medication on R AMYG BOLD in females ( $t_{(36)}=0.37, P = 0.71$ ) or males ( $t_{(47)} = -0.13, P = 0.9$ ) when analyzed alone (outside of the of the model with covariates). Finally, our findings on sex differences on the impact of dysphoric mood state on brain activity and connectivity were unaltered when substance use history was included in the model.

## DISCUSSION

Based on increasing recognition of common pathways underlying symptom-related pathogenesis across healthy and clinical populations, and the critical importance of sex differences therein, the primary aim of this investigation

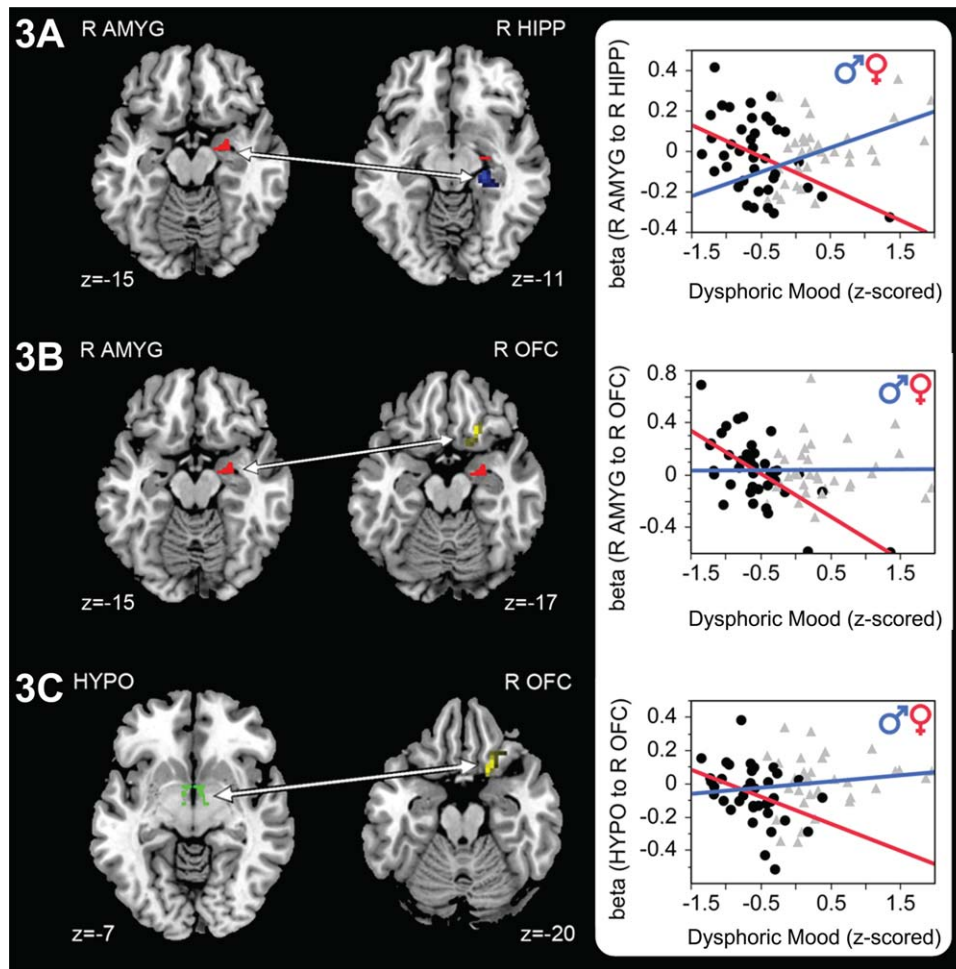
was to assess the relationship between a key emotional domain (dysphoric mood symptomatology) and brain activity and connectivity in response to negative affective stimuli in men and women with or without psychiatric illness. To that end, our results are threefold. First, observation of negative (vs. neutral) affective stimuli across all subjects elicited significant BOLD responses in the PAG, HYPO, AMYG, HIPPO, OFC, and mPFC, and increased connectivity between the AMYG and mPFC. Second, greater severity of dysphoric mood state at baseline was associated with increased BOLD response in the HYPO and, in women, the right AMYG. Finally, dysphoric mood at baseline was strongly positively associated with connectivity between (1) HYPO and OFC, (2) AMYG and OFC, and (3) AMYG and HIPPO in women but not men. Importantly, these findings remained overwhelmingly consistent after accounting for diagnostic status, psychotropic medication use, and substance use history. Taken together, our data provide evidence for the unique coupling between brain circuitry activity in response to negative affective stimuli and variation in dysphoric mood and the impact of sex on these relationships. At a broader level, results support the utility of integrative approaches for analyzing data across heterogeneous, transdiagnostic populations in the search for biomarkers underlying vulnerability toward mental illness.

With a substantial sample size ( $n = 99$ ) and a priori selected ROI based on previous studies using this paradigm [Goldstein et al., 2010b; Holsen et al., 2013;], we found significant BOLD activity in response to our



**Figure 2.**

In females but not males, dysphoric mood predicted increased BOLD activity in hypothalamus (A) and amygdala in response to negative affective stimuli (B). [Color figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]



**Figure 3.**

Sex-dependent effects of dysphoric mood on connectivity in response to negative affective stimuli. Dysphoric mood state predicted decrease in R AMYG – R HIP (A), R AMYG - R OFC (B), and HYPO – R OFC (C) connectivity during observation of negative vs. neutral stimuli in females but not males.

negative affect task at a strict threshold level (FWE-corrected across whole-brain). This provided evidence that our fMRI task robustly recruited subcortical and cortical regions known to be implicated in circuitry associated with response to negative affective stimuli and the stress response. The pattern of results is consistent with previous studies of emotional [Phelps and LeDoux, 2005] and social [Phelps, 2006] processes. Anatomical differences in this circuitry have been linked to negative affect [Phelps, 2006], and altered functional connectivity within this circuitry has been linked to anxiety [Holmes et al., 2012]. Furthermore, the finding of greater connectivity between AMYG and mPFC during negative vs. neutral affect mirrors recent evidence of enhanced AMYG – mPFC coupling following a psychosocial stressor, particularly in cortisol responders [Quaedflieg et al., 2015]. Although we are unable to determine causality in our study, we speculate,

as have others, this may partially reflect the role of the mPFC in inhibiting the arousal response of AMYG during processing of negative emotional stimuli [Shin et al., 2005; Urry et al., 2006].

In examining the relationship between dysphoric mood and BOLD activity, HYPO and AMYG demonstrated significant associations, suggesting activity of these regions in response to negative affective stimuli is partially potentiated by one's current mood state. The HYPO plays a critical role in the initiation of the response to stressful stimuli [Herman and Cullinan, 1997] and activity in this region during emotion processing has been shown to co-vary with ratings of self-relatedness [Northoff et al., 2009]. In our study, coupling between BOLD activity in AMYG and dysphoric mood was present exclusively in women. The AMYG is involved in regulation of vigilance and attention toward threat, initiation of the stress response via the



**TABLE III. Effects of Dysphoric Mood on task-evoked (negative vs. neutral stimuli) increases in connectivity**

Effect	Seed ROI	Name	Target ROI			Z-value	P (FWE-corrected)	Direction of effect and associated effect size	
			Voxels	Peak coordinate					
				x	y				z
Mean across full sample	R AMYG	R mPFC	5	6	59	7	3.04	0.038	
Dysphoric mood × sex	R AMYG	R HIPPI	34	24	-25	-11	3.76	0.008	Negative relationship in females: $R^2 = 0.19$ Positive relationship in males: $R^2 = 0.23$
	R AMYG	R OFC	30	21	23	-17	3.62	0.038	Negative relationship in females: $R^2 = 0.42$
	HYPO	R OFC	31	18	14	-20	3.78	0.02	Negative relationship in females: $R^2 = 0.37$

hypothalamic pituitary adrenal (HPA) axis [Dedovic et al., 2009] and affect regulation [Mayberg, 1997; Price and Drevets, 2010], with previous evidence of an association between negative affect and increased AMYG volume in a large sample of healthy young adults [Holmes et al., 2012]. Current findings are in agreement with studies documenting elevated AMYG activity during fear conditioning in healthy women compared to men [Lebron-Milad et al., 2012], and may be related to the impact of gonadal and adrenal hormones on brain circuitry in response to negative affective stimuli or the stress response [Holsen et al., 2013; Jacobs et al., 2015]. Overall, our findings support the relationship between dysphoric mood and hyperactivity in subcortical arousal regions of circuitry implicated in response to negative affective stimuli.

We extended previous work by demonstrating that connectivity between regions of this circuitry was dependent on one's sex. In addition to positive relationships between AMYG BOLD activity and dysphoric mood among women in our study, women with higher dysphoric mood at baseline showed decreased connectivity between HYPO and AMYG with OFC, and AMYG with HIPPI. Anatomical and functional connectivity between the OFC (particularly the medial OFC [Zald et al., 2014], as found here) and subcortical limbic regions, such as the HYPO and AMYG, have been implicated in the processing of emotional stimuli and stress [Clewett et al., 2013]. The directionality of the current results (negative relationships between AMYG-OFC and AMYG-HIPPI connectivity and dysphoric mood in women) is in agreement with recent evidence of stress-induced decreases in AMYG-OFC connectivity in healthy individuals [Clewett et al., 2013].

Nuclei in the HYPO and central medial AMYG are the most sexually dimorphic regions in the brain, and HIPPI and OFC are also sexually dimorphic [Goldstein et al., 2001]. In fact, we previously demonstrated HYPO and AMYG abnormalities in developmental prenatal stress models of adult depressive and anxiety-like behaviors in rats [Carbone and Handa, 2013] and mice [Stratton et al.,

2014], particularly in females, thus suggesting deficits in sexually dimorphic regions here have sex-dependent developmental roots. This is also suggested by our recent work in population-level studies of the same prenatal cohort as subjects in the study presented here, in which we demonstrated prenatal immune exposures predicting sex differences in the risk for depression [Gilman et al., in press] and psychoses [Goldstein et al., 2014a]. Sex differences in AMYG connectivity have been also reported by others, for example, during rest [Kilpatrick et al., 2006], and substantial impact of circulating estradiol on functional connectivity between AMYG nuclei and regions of the default mode network during rest was suggested [Engman et al., 2016].

There are a few potential limitations of this study. Our measure of dysphoric mood reflected clinical state rather than as a trait, thus hindering generalization to long-standing mood dysregulation. However, participants in our study with psychiatric illness were recurrent cases in remission and correlations between the POMS measures and Hamilton Depression Scale were high (see Supporting Information), suggesting findings may also reflect trait characteristics. Future studies will benefit from including trait-related behavioral markers in relation to brain activity phenotyping. Another limitation of our study is the fact that we measured dysphoric mood only at baseline and thus our findings relate to baseline dysphoric mood and brain responses to negative affective stimuli. In future studies, it would be advantageous to assess changes in dysphoric mood induced by the negative affective stimuli.

The fact that we did not have the power to correct for the multiple comparisons related to the number of subsequently tested seeds or ROI is also a potential limitation. However, the initial whole brain analysis demonstrated significant (FWE-corrected) activity in the hypothesized negative affective circuitry regions. Thus, the ROI in the subsequent BOLD and connectivity analyses were derived independently through a robust ( $n = 99$ ), FWE-corrected analysis, which reduced the odds of potential type 1 errors

and supported the relevance of the results. In addition, given that we chose a hypothesis-driven approach and limited the number of tested seeds and ROI to the hypothesized a priori selected ones, we cannot generalize to non-hypothesized regions in the brain. However, in independent samples over the last 10 years, we have consistently activated these regions using this fMRI paradigm and demonstrated significant sex differences in brain activity. Further, findings here remained consistent after accounting for potential diagnostic, medication, or substance use history.

Finally, we unexpectedly found greater severity of dysphoric mood in men compared to women in our sample, in contrast to a large body of literature, including other studies of ours, demonstrating elevated dysphoric mood deficits among women. However, post hoc examination of the data revealed a higher rate of substance use among men than women, and subjects with substance use histories demonstrated greater severity of dysphoric mood state. This is consistent with other studies reporting high rates of mood dysregulation among individuals with substance use [Anthenelli, 2010; Schuler et al., 2015], and some studies demonstrating a sex difference in the link between depressed mood and self-medication [Lo et al., 2015]. Therefore, sex differences in mood state detected in the study reported here were likely explained by higher substance use in men.

In conclusion, deficits in brain activity associated with response to negative affective stimuli were shared across individuals with and without psychiatric illness, and were dependent on one's sex as mood state became more dysphoric. It is important for future work to delineate the underlying physiological mechanisms and origins of these deficits to more fully identify the biosignature [Insel et al., 2010] associated with arousal and negative affect. A recent fMRI study combined brain activity measures with clinical measures with success at better predicting variance in treatment response [Doehrmann et al., 2013]. The ultimate goal of identifying the neural, physiologic and genetic signatures of shared traits is to discover new targets for drug discovery to enhance treatment efficacy. We demonstrated here that this entails a sex-dependent lens on therapeutic development, particularly when considering mood states across the spectrum of healthy individuals and those with psychiatric disorders.

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