

Prognostic and therapeutic value of mitochondrial serine hydroxyl-methyltransferase 2 as a breast cancer biomarker

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Abstract. Mitochondrial serine hydroxymethyltransferase 2 (SHMT2) is a key enzyme in the serine/glycine synthesis pathway. SHMT2 has been implicated as a critical component for tumor cell survival. The aim of the present study was to evaluate the prognostic value and efficiency of SHMT2 as a

biomarker in patients with breast cancer. Individual and pooled survival analyses were performed on five independent breast cancer microarray datasets. Gene signatures enriched by SHMT2 were also analyzed in these datasets. SHMT2 protein expression was detected using immunohistochemistry (IHC) assay in 128 breast cancer cases. Gene set enrichment analysis revealed that *SHMT2* was significantly associated with gene signatures of mitochondrial module, cancer invasion, metastasis and poor survival among breast cancer patients ($p < 0.05$). The clinical relevance of SHMT2 was validated on IHC data. The mitochondrial localization of SHMT2 protein was visualized on IHC staining. Independent and pooled analysis confirmed that SHMT2 expression was associated with breast cancer tumor aggressiveness (TNM staging and Elson grade) in a dose-dependent manner ($p < 0.05$). The prognostic performance of *SHMT2* mRNA was comparable to other gene signatures and proved superior to TNM staging. Further analysis results indicated that *SHMT2* had better prognostic value for estrogen receptor (ER)-negative breast cancer patients, compared to ER-positive patients. In cases involving stage IIb breast cancer, chemotherapy significantly extended survival time among patients with high SHMT2 expression. These results indicate that *SHMT2* may be a valuable prognostic biomarker in ER-negative breast cancer cases. Furthermore, *SHMT2* may be a potential target for breast cancer treatment and drug discovery.

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Abbreviations: SHMT2, serine hydroxymethyltransferase 2 (mitochondrial); THF, tetrahydrofolate; ER, estrogen receptor; PR, progesterone receptor; MKI-67, marker of proliferation Ki-67; TNBC, triple-negative breast cancer, or basal-like breast cancer; HER2, human epidermal growth factor receptor 2; IHC, immunohistochemistry; GSEA, gene set enrichment analysis; OS, overall survival; PFS, progression-free survival; HR, proportional hazard ratio; 95% CI, 95% confidence interval; RRM2, human ribonucleotide reductase small subunit M2; IRM, immune response module; HDPP, HER2-derived prognostic predictor; pT stage, pathological tumor stage; pN stage, pathological lymph node stage

Key words: mitochondrial serine hydroxymethyltransferase 2, ER-negative breast cancer, prognostic biomarker, gene set enrichment analysis

Introduction

Mitochondrial serine hydroxymethyltransferase (SHMT) is a key enzyme in the serine/glycine pathway. This pathway involves the conversion of serine to glycine by catalyzing the transfer of a β -carbon from serine to tetrahydrofolate (THF)

and generating 5,10-methylene-THF and glycine as byproducts. Two *SHMT* genes have been identified in the human genome, *SHMT1* and *SHMT2*. *SHMT1* encodes the cytoplasmic isozyme involved in *de novo* synthesis of thymidylate (1). In contrast, *SHMT2* encodes the mitochondrial isozyme that participates in the synthesis of mitochondrial thymidine monophosphate (dTMP) (1,2). *SHMT1* and *SHMT2* have important roles in human biochemical pathways, including the folate cycle, homocysteine metabolism and nuclear *de novo* thymidylate biosynthesis (3). Studies have shown that *SHMT1* and *SHMT2* expression is upregulated in cancer. Specifically, *SHMT2* expression is significantly increased in cancers involving the breast, lung, ovary, prostate and skin (4-7). Moreover, elevated expression of *SHMT2* has been found to be associated with poor prognosis in human cancers (8).

Worldwide, breast cancer remains a major cause of female deaths (9). Breast cancer can be broadly classified into four major molecular subtypes, depending on the specific genetic profile (i.e., luminal A, luminal B, triple-negative/basal-like and HER2 status) (10,11). Each subtype has unique clinical, histopathological and prognostic characteristics (3). Luminal A and luminal B breast cancer have high expression of estrogen receptor (ER⁺). HER2-positive and basal-like/triple-negative breast cancers (TNBCs) (12) are ER-negative (ER⁻) and are associated with a poor prognosis (13). Recent studies suggest that the 5-year survival rate in patients with ER-negative breast cancer is 30%, compared with a 90% survival rate for luminal A patients (14). The classification of molecular subtypes was used for therapeutic protocol selection and also for prediction of cancer metastases and post-relapse survival (15).

Numerous gene signatures have been developed to predict survival of breast cancer patients. Examples of these predictors include PI3K signature (16), 21-gene recurrence score (17) and core serum response signature (CSR) (18). The HER2-derived prognostic predictor (19) and 7-gene immune response module (20) have been proposed as means to identify patients with ER-negative breast cancer. However, these methods are costly and lack specific targets. Developing more accurate and economical gene signatures for therapeutic purposes may provide significant benefit to the medical community.

The objective of the present study was to evaluate the prognostic and therapeutic value of *SHMT2* as a potential biomarker for breast cancer cases. We compared its performance with other currently available biomarkers and gene signatures. Five independent breast cancer microarray datasets were analyzed using individual and pooled approaches. We found that *SHMT2* had a prognostic value in a specific subgroup of breast cancer patients. The prognostic power of *SHMT2* mRNA was comparable to other gene signatures and biomarkers, most notably for patients in the ER-negative breast cancer subgroup. We also found that *SHMT2* had a potential predictive role in stage II breast cancer treatment.

Materials and methods

Breast cancer tissue samples. We used a retrospective population-based outcome strategy to analyze 128 breast cancer cases (ZJU set). All patients underwent modified radical mastectomy at Zhejiang University (ZJU) Hospital (Hangzhou, China) from January 2002 to December 2006.

The protocol for the use of human tissues was reviewed and approved by the Institutional Review Board (IRB). All patients provided written informed consent for the tissue samples to be used for scientific research. Patients who did not provide informed consent or who had multiple cancers were excluded from the study. The period of progression-free survival (PFS) was defined as the time from the date of the surgery to the date of tumor recurrence (local relapse or metastasis). Overall survival (OS) time was the time from the date of the surgery to the date the patient was last examined. Only breast cancer-related deaths were included as the end of the survival period. All ZJU set participants were followed up twice per year, until 30 September 2010. Pathoclinical and demographic data were collected by reviewing hospital records. The results for the characteristics of all 128 assessable breast cancer patients are presented in Table I.

Quantitative immunohistochemistry (IHC) assays. Hematoxylin and eosin staining results for all tissue blocks were reviewed by a pathologist. Then, the tissue samples were re-arranged and assembled into multiple tissue arrays (MTA). Each MTA was stored at room temperature. Protein levels of genes were stained in tissue sections from the MTAs, based on standardized deparaffinization and staining protocol (21,22). For quality control, negative and positive samples were also included in each IHC staining set.

A commercially available anti-SHMT2 antibody (ab88664; Abcam) was used for the IHC procedure. SHMT2 specificity was confirmed using peptide blocking assay. The IHC condition for SHMT2 was optimized based on serial dilution results. Antibodies against ER (clone, SP1), PR (clone, PgR636), HER2 (clone, A0485) and Ki-67 (clone, MIB-1) were purchased from the Dako Company. The TP53 antibody (clone, DO-7) was purchased from the Vector Laboratories.

The cut-off values for ER, PR, HER2 and Ki-67 positivity were based on standard, published protocols (23,24). Each sample was assigned to one of four intrinsic subtypes (i.e., luminal A, luminal B, HER2-positive and basal-like/TNBC, subtype), based on the IHC staining results (11). For the breast cancers, a CD44⁺/CD24⁻/low result indicated the presence of tumor stem/progenitor cells.

Double-blinding was performed to maintain quality control of IHC scoring and prevent observer and system bias. Two observers independently evaluated each IHC staining result. Discrepancies were peer reviewed by the two readers and an additional pathologist; missing samples were left blank. Most of the SHMT2 staining was visible in the cytoplasm and formed sand-like particles, but some heterogeneous staining was present in a few of the samples. SHMT2 is a mitochondrial protein. The immunoreactivity in the mitochondria was scored according to percentage and intensity of the staining. Stain intensity was scored as 0 (negative), 1 (weak), 2 (moderate) or 3 (strong). The morphologic pattern of IHC staining in each cell varied due to heterogeneity in cancer cells. In our scoring system, only specimens with at least 10% of the cells with positive staining were taken into consideration. The highest staining scores were tallied as the final score. Protein expression level consisted of four subgroups. These subgroups were negative (-), weakly positive (+), positive (++) and strongly positive (+++) (Fig. 2A). In the Cox analysis, (-) and (+) were

Table I. Demographic distribution of SHMT2 in breast cancer (ZJU set) patients.

	SHMT2		P-values ^b
	High (% ^a)	Low (%)	
Age (years)			0.5442
<50	49 (76.6)	15 (23.4)	
≥50	46 (71.9)	18 (28.1)	
Histological type			0.0582
Ductal carcinoma	79 (78.2)	22 (21.8)	
Lobular carcinoma	9 (50.0)	5 (50.0)	
Other	6 (75.0)	2 (25.0)	
pT stage ^c			0.0203
T0-1	21 (60.0)	14 (40.0)	
T2-4	71 (80.1)	17 (19.9)	
pN stage ^c			0.0175
0	39 (63.9)	22 (36.1)	
1-3	42 (80.8)	10 (19.2)	
>3	14 (93.3)	1 (6.7)	
Grade			0.0067
1, Well	8 (66.7)	4 (33.3)	
2, Mod	27 (60.0)	18 (40.0)	
3, Poor	47 (87.0)	7 (13.0)	
ER			0.3225
Negative	36 (78.3)	10 (21.7)	
Positive	44 (69.8)	19 (30.2)	
PR			0.1004
Negative	43 (81.1)	10 (18.9)	
Positive	37 (67.3)	18 (32.7)	
HER2			0.2964
Negative	66 (73.3)	24 (26.7)	
Positive	20 (75.4)	4 (24.6)	
MKI67			0.0179
Negative	26 (61.0)	16 (39.0)	
Positive	56 (82.3)	12 (17.7)	
Molecular subtype			0.5496
Luminal A	20 (75.0)	12 (25.0)	
Luminal B/HER2 ⁻	20 (80.0)	5 (20.0)	
Luminal B/HER2 ⁺	6 (75.0)	2 (25.0)	
Basal-like TNBC	15 (83.3)	3 (16.7)	
HER2-positive	10 (83.3)	2 (16.7)	
CD44/CD24 status			0.4380
CD44 ⁺ /CD24 ⁻	37 (71.1)	15 (28.9)	
Other	40 (74.0)	10 (26.0)	

SHMT2, serine hydroxymethyltransferase 2; ER, estrogen receptor; PR; progesterone receptor. There are 1, 5, 17, 9, 20, 14, 18, 29 and 1 missing cases in histological type, pT stage, grade, ER, PR, HER2, MKI67, molecular subtype and CD44/CD24 status. The classification of molecular types was based on ref. 11. ^a, % represents positive rate of SHMT2_High equal to $N_{High}/(N_{High} + N_{Low}) \times 100\%$. ^bp-values were based on Pearson's Chi-square test. ^cpT and pN stages are pathological tumor and lymph node stages. In pT stage, T0, T1, T2 and T4 represent tumor stage of breast cancer. In pN stage, the number represent how many lymph nodes are involved.

grouped as *SHMT2*-low, and (++) and (+++) were grouped as *SHMT2*-high.

Public gene mRNA expression microarray datasets. Published data from human breast tissue gene expression array results are available from NIH/GEO or www.ebi.ac.uk/arrayexpress (4). Five independent breast cancer microarray datasets were chosen for the present study: Pawitan (GSE1456) (25), Ivshina (GSE4922) (26), Wang (GSE2034) (27), Desmedt (GSE7390) (28) and NKI (29) datasets (Table II). Detailed information concerning the downloaded datasets is presented in Table II. Three microarray *SHMT2* fragments (214096_s_at, 214095_at and 214437_at) were used in Affymetrix U133 arrays. Since these three fragments yielded consistent results, we used the 214065_at signal to represent the expression levels of *SHMT2* in the Pawitan, Ivshina, Wang and Desmedt microarray datasets (using Affymetrix chips). In the NKI set (using Agilent chip), one probe for *SHMT2* was found and was analyzed.

In the pooled analysis, we normalized the mRNA expression levels for the datasets. We re-stratified patients into four categories (Q₁, Q₂, Q₃ and Q₄), based on the percentile of gene expression (Fig. 3, legend). Stratified *SHMT2* expression level datasets were then pooled into a new dataset for further analysis.

Gene set enrichment analysis (GSEA). GSEA analysis software v2.0.14x (JAVA version) was downloaded from the Broad Institute Gene Set Enrichment Analysis website (www.broad.mit.edu/gsea). The standard protocol was based on previous published study protocols (30). The Pawitan set (159 cases) format was modified and converted into a GSEA dataset. The gene sets were downloaded from the Broad Institute website/Molecular Signature Database (MSig DB). The number of permutations was set to 1,000, and the phenotype label was based on *SHMT2* (214065_at) expression levels. The ranked-list metric was generated and calculated using a Pearson model.

Data management and statistical methods. The worldwide gene expression database was downloaded, converted, constructed and managed using MS-Excel. The JMP 10.0 (SAS Institute Inc., Cary, NC, USA) application was used to perform the statistical analysis. Categorical variables were compared using χ^2 analysis, Fisher's exact or the binomial tests of proportions. Kaplan-Meier analysis and Cox hazard proportional hazard models were used for outcome analysis. Data from patients with distant metastatic breast cancer that was not completely resected were excluded from the PFS analysis. Multivariate Cox analysis was used to adjust for covariate effects, and a stratified data analysis was used to reduce the effects of confounding on the estimated hazard ratios (HRs). Missing data were coded and excluded from the analysis.

Results

***SHMT2* enriches cancer invasion-related gene signatures in breast cancers.** To explore the clinical relevance and biological effects of *SHMT2*, we performed a GSEA on a downloaded microarray dataset of 159 breast cancer cases

Table II. Results of the overall review of the ZJU and worldwide microarray datasets.

Dataset	ZJU	Ivshina	Wang	Pawitan	Desmedt	NKI ^b
No. of patients	223	249	286	159	198	295
Assessible cases ^a	128	213	253	159	158	295
Date of study	1999-2006	1987-1989	1980-1995	1994-1996	1980-1998	1984-1995
Microarray	N/A	Affimetrix HG-U133	Affimetrix HG-U133	Affimetrix HG-U133	Affimetrix HG-U133	Agilent 25K Chip
Accession no.	N/A	GSE4922	GSE2034	GSE1456	GSE7390	N/A
<i>SHMT2</i> fragments	N/A					
214096_s_at	N/A	Y	Y	Y	Y	N/A
214095_at	N/A	Y	Y	Y	Y	N/A
214437_at	N/A	Y	Y	Y	Y	N/A
Age at diagnosis	Y	Y	N/A	N/A	Y	Y
Histological type	Y	N/A	N/A	N/A	Y	Y
Elson grade	Y	Y	N/A	Y	Y	Y
Tumor size	Y	Y	N/A	N/A	Y	Y
Lymph node	Y	Y	Y	N/A	Y	Y
Metastasis	Y	N/A	Y	N/A	Y	Y
TNM stage	Y	Y	N/A	N/A	Y	Y
ER status	Y	Y	Y	Y	Y	Y
PR status	Y	N/A	N/A	N/A	N/A	Y
HER2 status	Y	N/A	N/A	Y	N/A	Y
MKI67 status	Y	N/A	N/A	N/A	N/A	Y
P53 mutation	Y	Y	N/A	N/A	N/A	N
Molecular subtype	Y	N/A	N/A	Y	N/A	Y
Chemotherapy	Y	N/A	N/A	N/A	N/A	Y
Radiotherapy	Y	N/A	N/A	N/A	N/A	Y
Hormone therapy	Y	N/A	N/A	N/A	N/A	Y
OS months ^c (range)	8.9-104.9	N/A	N/A	2.2-101.9	4.9-303.6	1.0-220.1
PFS months ^d (range)	2.5-104.9	0-153.0	2.0-171.0	2.2-101.9	4-231.4	1.0-220.1

^aPatients without clinical information, follow-up data, or *SHMT2* expression level were excluded from the present study. ^bNKI dataset used a 25,000-gene array (Agilent Technologies), which used the same fragments as Affymetrix HG-U133 array. ^cOS, overall survival; ^dPFS, progression-free survival; N/A, not available; Y, yes; N, no; *SHMT2*, serine hydroxymethyltransferase 2.

(GSE1456) and on other downloaded gene array datasets. Since the between-set results were similar, representative results from the Pawitan set analysis are presented. The results for the *SHMT2* enriched gene signatures are presented in Fig. 1A along with their statistical significance. Representative results are presented in Fig. 1B and C. The results revealed that *SHMT2* was positively associated with at least two mitochondrial gene sets (Mootha and Wong gene modules). This finding reflected the compatibility and location of *SHMT2* genes in the mitochondria. The overall GSEA results (Fig. 1A) revealed that *SHMT2* was positively and significantly associated with metastasis, cancer invasion and poor survivability related gene sets. Taken together, these results suggested that high expression of *SHMT2* may be associated with aggressiveness of breast cancer.

The expression of SHMT2 correlates with the aggressiveness of the breast cancer. The associations between *SHMT2*

protein expression levels and clinical characteristics of breast cancer were analyzed in the ZJU set. IHC staining of *SHMT2* scoring are presented in Fig. 2A. The IHC staining results indicated that *SHMT2* was primarily observed in the cytoplasm with mitochondrial location, and that some particle spread was present. To increase the study's statistical power, we have re-stratified subjects into *SHMT2*-low (- and +) and *SHMT2*-high (++ and +++) categories. The results of our statistical analysis indicated that increased *SHMT2* protein levels were associated with larger tumor size ($p=0.0203$), positive lymph nodes ($p=0.0175$), poor differentiation ($p=0.0067$) and MKI67-positive results ($p=0.0179$) (Fig. 2B and Table I). The *SHMT2* protein expression in various molecular subtypes of breast cancer varied, but not significantly. The results for the percentages of *SHMT2*-high varied in molecular subtypes. There were 75.0% for the luminal A cases, 80.0% for the luminal B/HER2(-) cases, 75.0% for the of luminal B/Her2(+) cases, 83.3% for the basal-like TNBC cases and 83.3% for

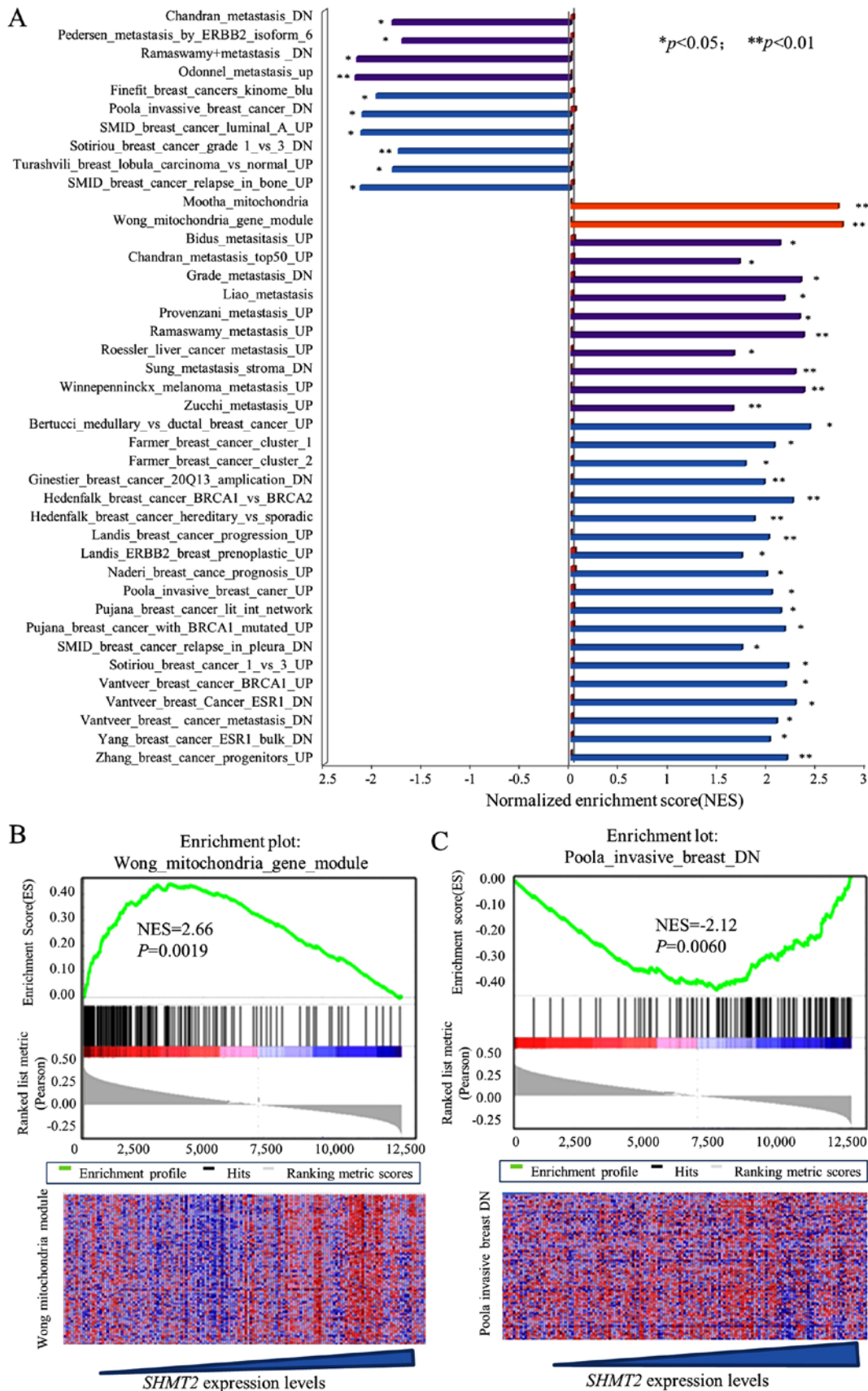


Figure 1. Enrichment gene set analysis for *SHMT2* mRNA expression and gene signatures in breast cancers. The normalized enrichment score (ES) represents the strength of the relationship between phenotype and gene signature. The statistical significance of each signature is labeled at the side of the bar ($p < 0.05$, $**p < 0.01$). Detailed information about this computational method can be obtained from ref. 30. The heat maps indicate the correlation between phenotype and expression levels of each signature gene. Columns are individual samples, and rows represent each gene. Each cell in the matrix represents the expression level of a gene in an individual sample. Red indicates a high level of expression, and green indicates a low level of expression; blue bars are invasive breast cancer signatures; purple bars are gene signatures associated with metastasis; yellow bars are mitochondria signature modules. (A) GESA analysis of *SHMT2*-high breast cancer. (B) GSEA results of the Wong mitochondria module. (C) Analysis results of Poola invasive breast cancer (downregulation) gene signature.

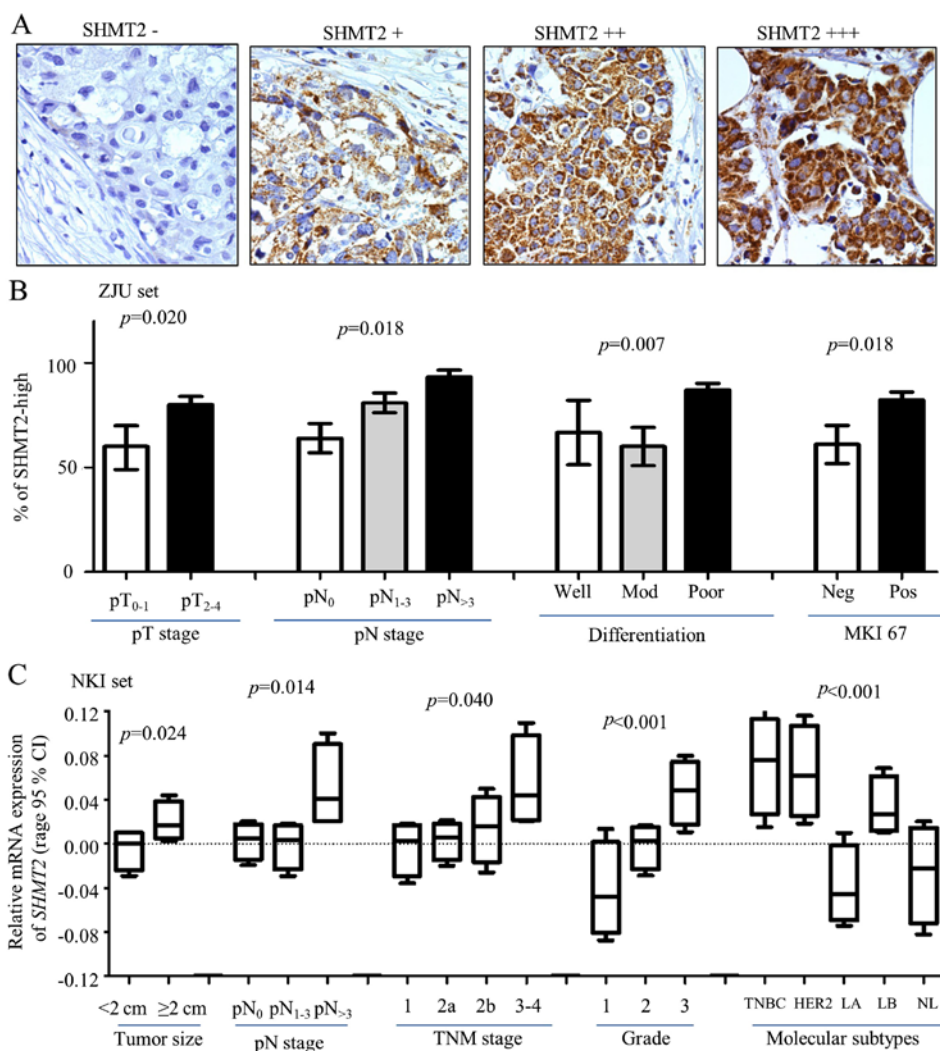


Figure 2. Clinical relevance of SHMT2 in breast cancer. Protein expression levels of SHMT2 and other biomarkers were determined using immunohistochemistry (IHC) staining. (A) Representative IHC images stained with SHMT2 (magnification, x200). (B) SHMT2 protein expression levels associated with pathological tumor and lymph node stage (pT and pN stages), poor differentiation and MKI-67 in ZJU set. Here, pT is pathological tumor stage; and the number represent tumor stage. pN is pathological lymph node stage; the number indicates how many lymph nodes involved. pN>3 is >3 lymph nodes were involved. Mod, moderate differentiation; Neg, negative; Pos, positive. (C) ANOVA analysis result for SHMT2 mRNA expression levels and tumor size, pN and TNM stages, Elson grade, and intrinsic molecular subtypes of breast cancer. TNBC, basal-like breast cancer; HER2, Her2-positive, LA, luminal A; LB, luminal B; NL, normal breast-like. The p-values represent overall ANOVA analysis results.

the HER2(+), subtype cases (Table I). The overall IHC results were consistent with the results yielded from NKI set (miRNA expression data) (Fig. 2C). The ANOVA results indicated that the *SHMT2* mRNA expression level was significantly related to pT, pN and TNM stages, and Elson grade. It is also higher in the TNBC, Her2-positive and luminal B subtype. Patients with these molecular subtypes had poor outcomes. Similar results were also revealed for the Ivshina, Desmedt, Pawitan and Wong datasets (data not shown). Overall, clinical relevance analysis validated GSEA results, which suggested that mitochondrial *SHMT2* may be an indicator of breast cancer aggressiveness.

Prognostic validation of SHMT2. The prognostic significance of SHMT2 protein was also evaluated, based on the ZJU set. The Kaplan-Meier analysis of overall survival (OS) and progression-free survival (PFS) (Fig. 3A and B) revealed that high protein expression levels of SHMT2 negatively affected OS. The multivariate Cox proportional hazard analysis also

indicated that high levels of SHMT2 protein were significantly and negatively associated with PFS in breast cancer patients. The HR for *SHMT2* was 2.66 (95% CI, 0.91-11.31), after adjusting for ER status, Elson histological stage and adjuvant chemotherapy (Fig. 3B). The HR for OS could not be calculated since no patient with *SHMT2*-low expression died from breast cancer.

The prognostic value of *SHMT2* mRNA was validated using univariate and multivariate Cox analyses, based on five worldwide microarray datasets. We re-categorized *SHMT2* scores into four subgroups (Q₁, Q₂, Q₃ and Q₄) according to the mRNA expression levels of *SHMT2*. The lowest expression subgroup, Q₁, was set as the baseline for calculation of the HRs.

OS and PFS analyses revealed that the mRNA expression levels of *SHMT2* were significantly associated with poor survival in a dose-dependent manner in the Pawitan, Wang, Ivshina and NKI sets, but not in the Desmedt set (Table III). We

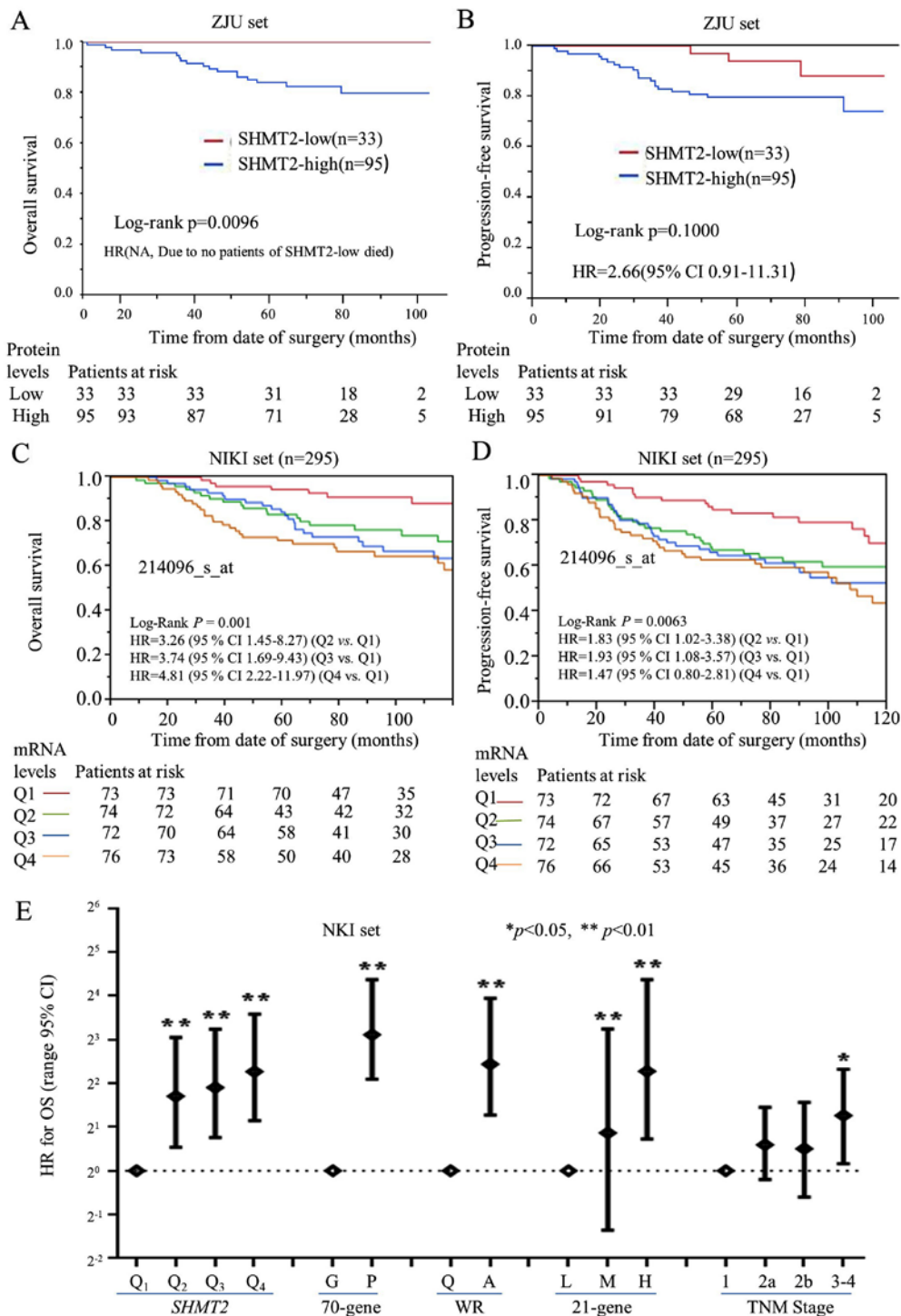


Figure 3. The prognostic significance and performance of *SHMT2* in breast cancer patients. Kaplan-Meier analysis was performed to validate the prognostic significance of *SHMT2*. For protein levels of *SHMT2* (ZJU set), the analysis was stratified as *SHMT2*-low (- and +) and *SHMT2*-high (++ and +++). For the mRNA levels of *SHMT2* (NIKI set), breast cancer patients were stratified into four subgroups based on their *SHMT2* expression levels. Q₀ was the 0 to the 25th percentile; Q₁ was the 25th percentile to the median; Q₂ was the median to the 75th percentile; and Q₃ was the 75th percentile to the maximum. The Q₀, Q₁, Q₂, and Q₃ subgroups represent *SHMT2* mRNA levels, from low to high. The stratification method is described in Materials and methods. (A) The protein levels of *SHMT2* and OS. (B) The protein levels of *SHMT2* and PFS. (C) The mRNA levels of *SHMT2* and OS. (D) The mRNA levels of *SHMT2* and PFS. (E) The Cox analyses for *SHMT2*, the 70-gene signature, wound-response gene signature, 21-gene recurrence score, and TNM stage, NIKI set; *p<0.05, **p<0.01.

found that there was a statistically significant trend between *SHMT2* mRNA expression levels and the HRs for OS and PFS for all of the sets, except the Desmedt set. The pooled analysis results revealed that the adjusted HRs for PFS were 1.31 in Q₂ (95% CI, 0.91-1.89), 1.63 in Q₃ (95% CI, 1.15-2.34) and 1.75 in Q₄ (95% CI, 1.21-2.56). The Kaplan-Meier analysis further

revealed that *SHMT2* mRNA levels correlated with the PFS and OS of patients in NIKI sets (Fig. 3C and D). The Cox and Kaplan-Meier results also indicated that as *SHMT2* mRNA levels increased the likelihood of a poor outcome increased. *SHMT2* expression increased the probability of poor survival among breast cancer patients in a dose-dependent manner.

Table III. Univariate and multivariate analyses for *SHMT2* and survival microarray datasets.

Dataset	Overall survival		Progression-free survival	
	HR (95% CI)	Adjusted HR (95% CI) ^a	HR (95% CI)	Adjusted HR (95% CI) ^a
Desmedt				
Q ₁	Reference	Reference	Reference	Reference
Q ₂	0.73 (0.33-1.57)	0.70 (0.31-1.51)	1.13 (0.63-2.05)	1.08 (0.59-2.07)
Q ₃	0.80 (0.36-1.70)	0.69 (0.30-1.53)	1.16 (0.64-2.11)	1.30 (0.70-2.40)
Q ₄	1.52 (0.78-3.03)	1.21 (0.57-2.59)	1.48 (0.83-2.66)	1.60 (0.86-2.99)
Pawitan				
Q ₁	Reference	Reference	Reference	Reference
Q ₂	3.06 (1.04-11.05) ^b	2.51 (0.81-9.32)	3.79 (1.16-16.94) ^b	3.90 (1.19-17.44) ^b
Q ₃	2.82 (0.94-10.31)	2.07 (0.64-7.88)	3.06 (0.88-13.96)	2.25 (0.61-10.56)
Q ₄	4.38 (1.58-15.36) ^c	2.78 (0.96-10.12)	8.26 (2.81-35.20) ^c	5.29 (1.73-23.03) ^c
Wang				
Q ₁	N/A	N/A	Reference	Reference
Q ₂	N/A	N/A	1.27 (0.73-2.22)	1.28 (0.74-2.24)
Q ₃	N/A	N/A	0.91 (0.51-1.62)	0.93 (0.52-1.67)
Q ₄	N/A	N/A	1.81 (1.08-3.09) ^b	1.91 (1.11-3.33) ^b
Ivshina				
Q ₁	N/A	N/A	Reference	Reference
Q ₂	N/A	N/A	1.31 (0.65-2.76)	1.37 (0.67-2.97)
Q ₃	N/A	N/A	2.20 (1.14-4.51) ^b	2.11 (1.07-4.46) ^b
Q ₄	N/A	N/A	2.72 (1.43-5.53) ^c	2.49 (1.23-5.38) ^b
NKI				
Q ₁	Reference	Reference	Reference	Reference
Q ₂	3.26 (1.45-8.27) ^c	3.18 (1.42-8.07) ^c	2.03 (1.13-3.75) ^b	2.04 (1.14-3.77) ^b
Q ₃	3.74 (1.69-9.43) ^c	2.75 (1.21-7.04) ^b	2.32 (1.31-4.27) ^c	1.91 (1.06-3.58) ^b
Q ₄	4.81 (2.22-11.97) ^c	2.79 (1.24-7.11) ^c	2.64 (1.51-4.87) ^c	1.94 (1.07-3.63) ^b
Pooled				
Q ₁	Reference	Reference	Reference	Reference
Q ₂	1.71 (1.07-2.80) ^b	1.28 (0.75-2.22)	1.46 (1.10-1.97) ^c	1.31 (0.91-1.89)
Q ₃	1.85 (1.15-3.02) ^b	1.30 (0.76-2.26)	1.55 (1.17-2.08) ^c	1.63 (1.15-2.34) ^c
Q ₄	2.82 (1.81-4.51) ^c	1.47 (0.86-2.56)	2.26 (1.72-3.00) ^c	1.75 (1.21-2.56) ^c

Univariate and multivariate analyses were conducted to evaluate HR of *SHMT2*. Here, Q₁, Q₂, Q₃ and Q₄ represent mRNA expression levels of *SHMT2*. The detail of grouping is described Materials and methods. ^aFor multivariate analysis, HR was adjusted by age, ER status, and Elston grade in the Desmedt set; HR was adjusted by age in the Ivshina datasets. In the Pawitan set, HR was adjusted by Elston grade, and ER and HER2 status. For the Wang set, HR was adjusted by ER status. The NKI set was adjusted by age and grade, ER, tumor size and lymph node status. HR was adjusted by age, ER status and Elston grade in the pool analysis. ^bStatistical significance, p<0.05; ^cStatistical significance, p<0.01. *SHMT2*, serine hydroxymethyltransferase 2; HR, proportional hazard ratio; 95% CI, 95% confidence interval.

The prognostic performance of *SHMT2* mRNA level was also compared with current prognostic gene signatures [70-genes (31), wound-response genes (16), the 21 gene recurrence score (17)] and TNM staging in the NKI dataset. The Cox analyses revealed that the HR was positively correlated with increased *SHMT2* mRNA levels (Fig. 3E). The prognostic performance of *SHMT2* was similar to the performance of the 70-genes, wound-response genes and the 21 gene recurrence score. Compared to TNM staging, both the *SHMT2* mRNA levels and the gene signatures were more efficient prognostic indicators.

Prognostic performance of SHMT2 in the ER-positive vs. ER-negative subgroups. ER-negative breast cancers (e.g., HER2-positive and TNBC subtypes) have typically a poorer prognosis compared to ER-positive breast cancers (e.g., luminal A and B subtypes) (13). Currently, only a few biomarkers [e.g., uPA (17)] are available to predict the outcome and survival for ER-negative breast cancer patients. Our previous study revealed that ribonucleotide reductase small subunit M2 (RRM2) is a potential prognostic biomarker for ER-negative breast cancers (22). In the present study, we used

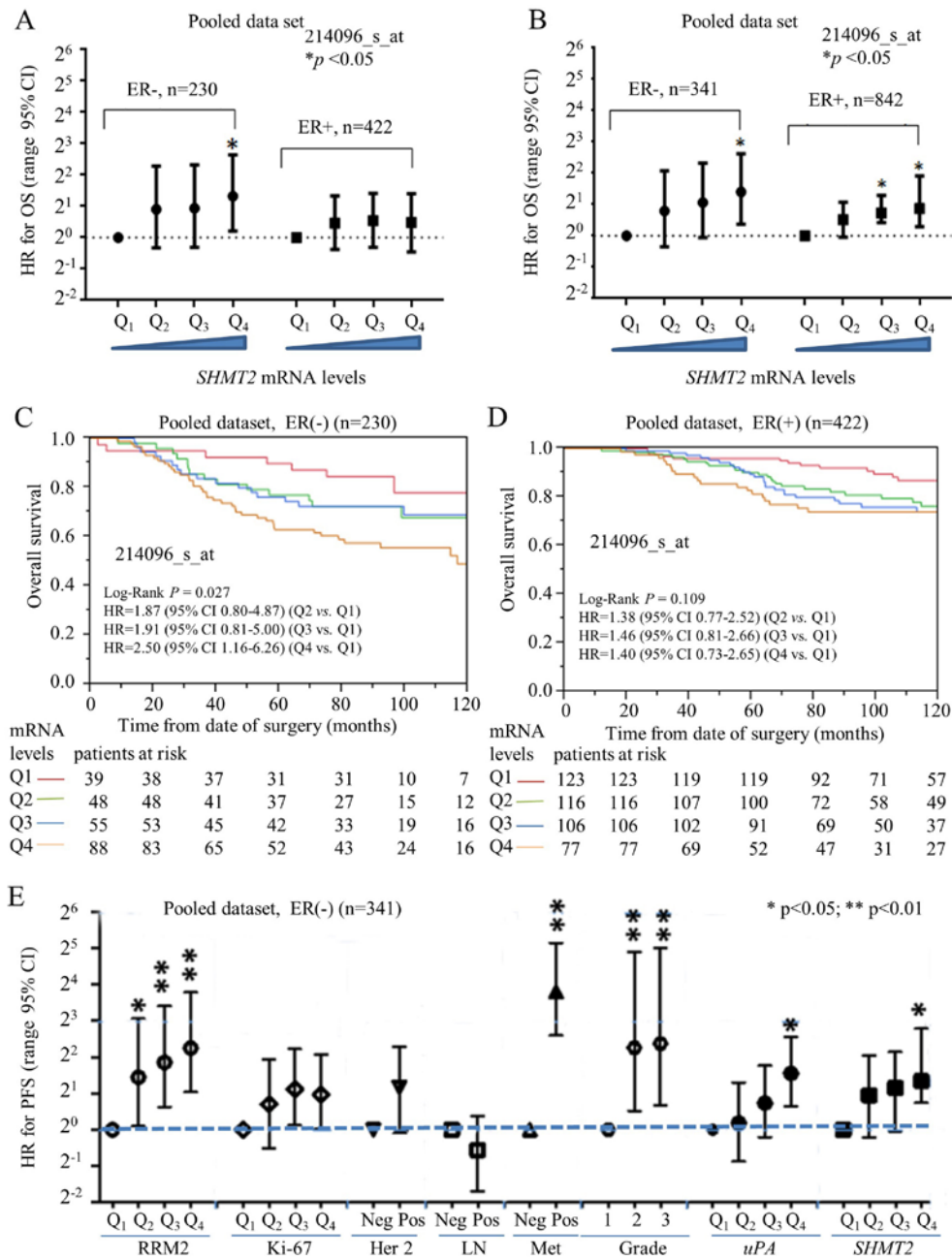


Figure 4. Stratification analysis for prognostic performance of *SHMT2* in subgroups of ER-negative and ER-positive breast cancers. For the public breast cancer microarray data, we pooled all eligible breast cancers after normalization. (A) Cox proportional hazard analysis of *SHMT2* for OS in ER-negative and ER-positive breast cancer. (B) Comparison of prognostic value of *SHMT2* for PFS between ER-negative and ER-positive breast cancer. (C) Kaplan-Meier analysis was used to visualize the dose-dependent relationship between *SHMT2* and the OS in ER-negative breast cancer. (D) mRNA levels of *SHMT2* and OS in ER-positive breast cancer. (E) Comparing the prognostic performance of *SHMT2* with other factors in ER-negative breast cancers. The HR of OS for various expression levels of *SHMT2*, HER2, lymph node involvement, distant metastasis, Elson grade, uPA and RRM2 were determined using Cox proportional hazard analysis. LN, lymph node; Met, metastasis; *p<0.05, **p<0.01.

a stratified approach to compare the prognostic performance of *SHMT2* in ER-positive vs. ER-negative breast cancer subtypes. Multivariate Cox analysis indicated that the HR value for OS steadily increased and significantly as levels of *SHMT2* increased in the ER-negative subgroup (Fig. 4A). For PFS, however, *SHMT2* was predictive for poor survival in both the ER-negative and ER-positive cancers (Fig. 4B). Kaplan-Meier analysis revealed *SHMT2* had better prognostic value in the ER-positive and ER-negative subgroups. The results of the OS analysis indicated that *SHMT2* significantly

affected the survival of patients in the ER-negative (log-rank p=0.027) (Fig. 4C), but not in the ER-positive (log-rank p=0.109), breast cancer subgroups (Fig. 4D). Therefore, the overall prognostic performance of *SHMT2* was better in the patients with ER-negative breast cancer.

The prognostic value of *SHMT2* in ER-negative breast cancer patients was further compared with other markers (e.g., HER-2 status, lymph node involvement, distant organ metastasis, Elson histological stage, RRM2 and uPA). The analysis of the pooled dataset revealed that the HR for *SHMT2*

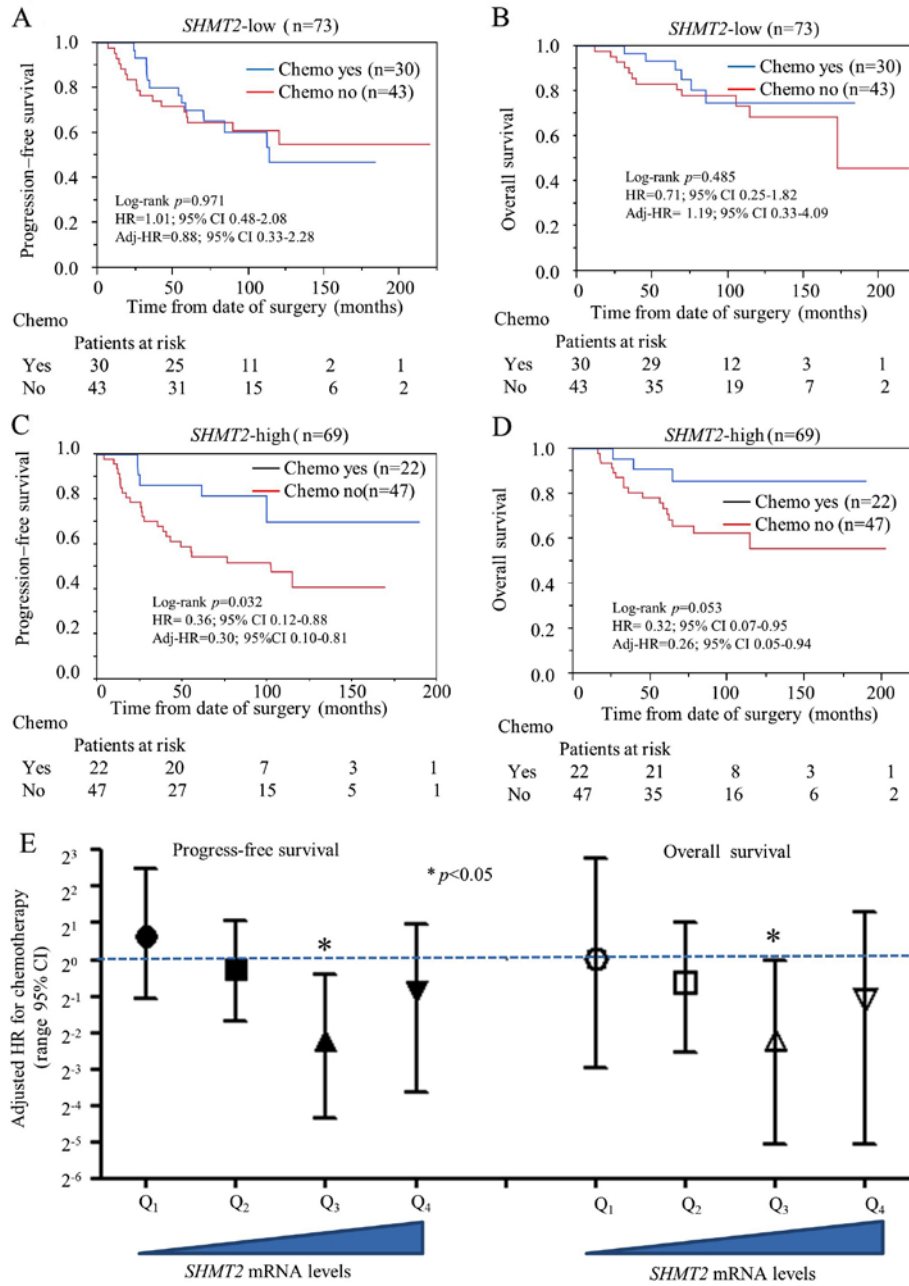


Figure 5. Stratification analysis for efficiency of chemotherapy in stage IIa breast cancer with different expression of *SHMT2*. In the Kaplan-Meier analysis, all participants in the NKI set were re-stratified as *SHMT2*-low (Q₁ and Q₂) or *SHMT2*-high (Q₃ and Q₄) subgroups. The HR represents the relative risk of chemotherapy (yes vs. no). Adj-HR is adjusted HR, the value of HR was adjusted by co-factors including age, hormone therapy, Elson grade and intrinsic molecular subtype. (A) Kaplan-Meier analysis of PFS for chemotherapy on *SHMT2*-low stage IIa breast cancer subgroup. (B) OS analysis for chemotherapy *SHMT2*-low stage IIa breast cancer subgroup. (C) PFS analysis for chemotherapy *SHMT2*-high stage IIa breast cancer subgroup. (D) OS analysis for chemotherapy *SHMT2*-high stage IIa breast cancer subgroup. (E) Multivariate Cox proportional hazard analysis for chemotherapy at different expression levels of *SHMT2* subgroups.

steadily increased with increased *SHMT2* mRNA expression levels for OS (data not shown) and for PFS (Fig. 4E). The prognostic performance of *SHMT2* was comparable to uPA and was better than Ki-67, HER-2 and lymph node involvement. However, *SHMT2* was less efficient than RRM2 and distant metastasis.

Predictive capability of SHMT2 for chemotherapy selection in stage IIa breast cancer. Since *SHMT2* has a genetic role in cancer development, we were also interested in exploring its potential role as a predictive biomarker for

chemotherapy selection. We performed a stratified analysis to compare chemotherapy efficacy between the *SHMT2*-low and *SHMT2*-high breast cancer patients. The NKI set was used for the analysis since it was the only dataset with chemotherapy information. Only data from stage IIa patients were selected for analysis to avoid TNM staging-related confounding effects. Other potential confounding factors (hormone therapy, Elson grade and intrinsic molecular subtype) were also added to adjust the HR. Our results indicate that chemotherapy did not reduce the relative risk of OS and relapse in the *SHMT2*-low subgroup (Fig. 5A and B).

However, chemotherapy did significantly reduce probability of relapse (adjusted HR=0.30; 95% CI, 0.10-0.81) and death (adjusted HR=0.26; 95% CI, 0.05-0.94) in the *SHMT2*-high subgroups (Fig. 5C and D). Multivariate Cox analysis revealed that the relative risk of chemotherapy decreased significantly as *SHMT2* mRNA expression increased (Fig. 5E). Overall, our findings suggest *SHMT2* may be a valid predictive biomarker for chemotherapy selection and efficacy.

Discussion

Combining multiple-tissue arrays with worldwide public microarray databases provides researchers the ability to discover novel biomarkers. The present study revealed that *SHMT2* protein and mRNA levels were significantly associated with tumor grade, size, relapse, metastasis and positive lymph nodes (Table I and Fig. 2). The GSEA results provided further support for these findings (Fig. 1). We found that higher *SHMT2* expression was significantly correlated with poor overall survival (OS) (log-rank $p=0.0096$), but not with progression-free survival (PFS) (Fig. 3A and B). This difference was due to the limited number of cases (128 cases) recorded in the ZJU set. Prognostic validation results indicated that *SHMT2* overexpression was significantly associated with poor survival for breast cancer patients in a dose-dependent manner in four of five independent breast cancer microarray datasets. *SHMT2* did not significantly affect the survival of breast cancer patients in the Desmedt set, most likely due to the small sample size of 158 cases. Nevertheless, our analysis of the Desmedt set indicated that there was an increasing trend in HR values as mRNA levels increased. We further assessed the prognostic performance of *SHMT2* mRNA and compared it with multiple gene signatures, including 70-genes, wound-response genes and the 21 gene recurrence score. Our findings suggested that *SHMT2* not only correlated with poor prognosis in different breast cancer subgroups, but it also had prognostic power similar to several known multiple gene signatures.

Only a limited number of biomarkers are widely available for clinical application for ER-negative breast cancer patients. In view of the precision medicine era and current focus on outcome based therapy, it is likely that more breast cancer subtypes may be classified in the future. Identification of novel therapeutic targets and prognostic biomarkers may be critical for clinical and drug discovery purposes for breast cancer. Our findings suggest that *SHMT2* levels were significantly associated with poor OS and PFS in patients with ER-negative and ER-positive breast cancer, but particularly in ER-negative cases (Fig. 4). In the ER-negative breast cancers, the prognostic value of *SHMT2* proved greater than Ki-67, HER-2 and lymph node involvement, but poorer than RRM2 and distant metastasis (Fig. 4E). *SHMT2* may be a valid prognostic biomarker for ER-negative breast cancer. The reasons why *SHMT2* had greater prognostic efficiency in ER-negative breast cancer remain unknown. We seek to investigate the mechanisms involved in future studies.

Alterations in cellular metabolism have recently been recognized as a hallmark feature of cancer growth (32). Glycine metabolism is a key step in cancer cell proliferation. Study results also link the folate cycle to various enzymes that regulate

DNA synthesis and DNA methylation (33,34). The folate cycle pathway may contribute to tumor homeostasis by providing essential precursors to sustain cancer cell growth and affect methylation capacities (35). *SHMT2* has been implicated as a critical factor in serine/glycine metabolism in several cancer cell types, including breast cancer (36). This phenomenon ultimately affects patient mortality (37). Whether indeed, *SHMT2* is a cancer driver gene, it may serve as a promising target for anticancer agents. Recent developments in our understanding of serine/glycine metabolic pathways provide novel translational opportunities for drug development, dietary intervention and biomarker discovery (38). Even though the *SHMT2* localization in mitochondria makes it a difficult target for inhibition, we believe that further drug discovery efforts are warranted.

SHMT2 inhibitors are not commercially available for cancer therapy. However, agents such as methotrexate and fluorouracil, which inhibit downstream targets of *SHMT2*, are currently being used (38). These compounds have been used in combinations with other agents to treat breast cancer. Examples of these combinations include CMF (cytoxan, methotrexate and fluorouracil), FAC (fluorouracil, adriamycin and cytoxan) and CAF (cytoxan, adriamycin and fluorouracil). We performed a preliminary stratified analysis of stage IIa subgroup breast cancers (NKI set) and found that chemotherapy significantly reduced the relapse of breast cancer in *SHMT2*-high subgroup (HR=0.36; 95% 0.12-0.87), but not in *SHMT2*-low subgroup (HR=1.01; 95% 0.48-2.08), patients (Fig. 5). While reasons why *SHMT2* had greater prognostic efficiency for ER-negative breast cancer compared to ER-positive breast cancer cases remain unknown, we intend to investigate the mechanisms involved in this difference in future studies.

We recognize that the small sample size of ZJU set was a limitation to the present study. We recommend further studies to validate our findings on prognostic value of *SHMT2* in ER negative patients and chemotherapy selection. While GSEA analysis was performed in the present study, we did not perform laboratory experiments or animal studies to explore the exact mechanism of the *SHMT2* effect on prognosis or chemotherapy response.

Taken together, our findings confirm the *SHMT2* significance as a prognostic biomarker for breast cancer, particularly in ER-negative subgroup patients.

Acknowledgements

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