

Visualization of the spontaneous emergence of a complex, dynamic, and autocatalytic system

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Autocatalytic chemical reactions are widely studied as models of biological processes and to better understand the origins of life on Earth. Minimal self-reproducing amphiphiles have been developed in this context and as an approach to de novo “bottom-up” synthetic protocells. How chemicals come together to produce living systems, however, remains poorly understood, despite much experimentation and speculation. Here, we use ultrasensitive label-free optical microscopy to visualize the spontaneous emergence of an autocatalytic system from an aqueous mixture of two chemicals. Quantitative, in situ nanoscale imaging reveals heterogeneous self-reproducing aggregates and enables the real-time visualization of the synthesis of new aggregates at the reactive interface. The aggregates and reactivity patterns observed vary together with differences in the respective environment. This work demonstrates how imaging of chemistry at the nanoscale can provide direct insight into the dynamic evolution of nonequilibrium systems across molecular to microscopic length scales.

autocatalysis | label-free microscopy | interferometric scattering | protocells | emergence

Autocatalysis is a fundamental class of chemical reactions that drives many biological processes and underpins research into the origins of life on Earth (1). Surfactant molecules can self-reproduce through physical autocatalysis, a process in which aggregates of these monomers, in the form of micelles or vesicles, catalyze the formation of additional monomers. Several chemical models of physical autocatalysis have been developed that involve biphasic reaction conditions (2). In these systems, reactants are partitioned between aqueous and organic phases and react to give amphiphilic products, which aggregate into micelles or vesicles. Autocatalysis occurs in these reactions because the product aggregates take organic precursor molecules into the aqueous phase, allowing more efficient mixing of the reaction components and thereby increasing the rate of reaction. Understanding the dynamics of individual lipid aggregates during growth and division is a long-standing problem in the field of prebiotic chemistry (3–7) because vesicles are widely thought to have compartmentalized and catalyzed reactions in the prebiotic world (8–10). A full understanding of these dynamics has not yet been achieved in large part owing to analytical limitations.

Although physical autocatalysts have been widely studied for 25 years, their behavior remains poorly understood (2). Furthermore, direct observation of individual lipid aggregates remains elusive. At the single-particle level, division of giant vesicles (>1 μm) has been visualized in real time, using optical microscopy (11). However, smaller aggregates such as micelles and submicron vesicles can only be imaged directly with electron microscopy, which strongly perturbs the system and precludes real-time analysis (4, 12). Ensemble methods such as dynamic light scattering (12) and fluorescence resonance energy transfer (7) enable the analysis of aggregate populations, and ensemble spectroscopic methods are frequently used to record the concentration of individual molecular species in reaction mixtures. The critical nanometer scale on which physicochemical self-replication occurs, however, has not been imaged dynamically. As a result, we struggle to understand the dynamics of

even the simplest supramolecular aggregates such as micelles and vesicles. As a corollary we do not fully comprehend how protocells may evolve out of chemical mixtures and ultimately to what degree they are relevant to primitive life. Here, we show that interferometric scattering microscopy (iSCAT) (13–15) can be used to monitor physical autocatalysis in situ because it enables the direct observation of the generation of new lipid aggregates at the reactive interface, without the use of labels or any other perturbations to the system, down to single micelles.

Results

Our system consists of a biphasic reaction between aqueous and organic components placed above a microscope cover glass (Fig. 1A). The reaction of thiol **1** with enone **2** at high pH yields a single amphiphilic product, **3**. The product **3** aggregates into micelles at millimolar concentrations and enables the reagents to mix more efficiently, thus behaving as a physical autocatalyst.

Compound **3** is an analog of a physical autocatalyst that we previously characterized (16). At present we are unable to reliably detect the smaller micelles of the earlier system using iSCAT, and so compound **3**, bearing a longer hydrophobic tail, was selected as it forms larger micelles ($R_H \sim 3$ nm, Figs. S1–S3), which can reliably be detected by iSCAT. Unsaturation in the alkyl chain was introduced to keep the corresponding thiol **1** a liquid at room temperature for experimental simplicity, allowing the thiol to be used neat rather than as a solution in an organic solvent. The corresponding saturated compound, 1-octadecanethiol, is a solid at room temperature.

To determine the sensitivity limits of iSCAT in visualizing the reaction products directly, we monitored binding of individual

Significance

Chemical reproduction is central to biology, and understanding how chemical systems may give rise to complex systems that form self-reproducing cell-like structures is a leading goal for scientists. Here we use an ultrasensitive optical microscopy technique to directly monitor the formation and dynamics of self-replicating supramolecular structures at the single-particle level. As a result, we are able to quantify the kinetics of these systems and changes in nanoparticle distribution over time. Our ability to observe a variety of complex phenomena may contribute to understanding how cell-like systems can emerge from much simpler chemical components and provides a general route to studying assembly and disassembly on the nanoscale.

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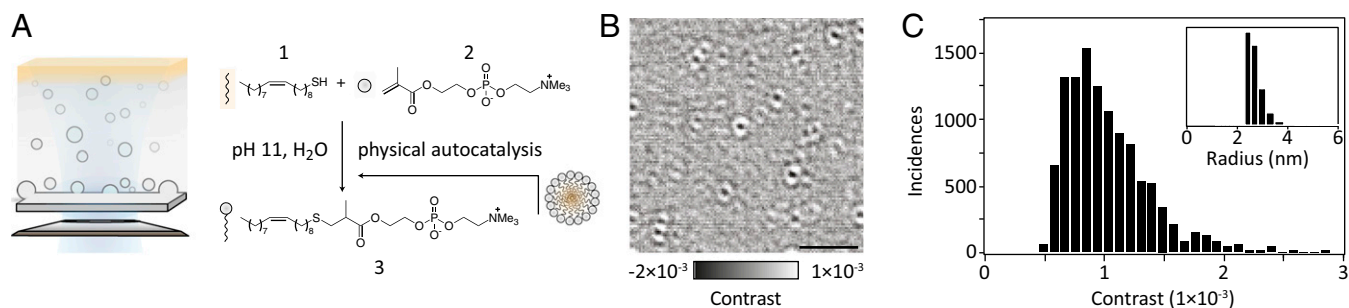


Fig. 1. Visualizing physical autocatalysis by iSCAT. (A) Schematic of the biphasic reaction of aqueous **2** with neat water-insoluble **1** carried out on a microscope coverslip. iSCAT relies on illuminating the sample with a coherent light source and imaging the reflected and backscattered light from the sample. (B) Representative differential iSCAT image of single micelles of **3** bound to microscope cover glass after subtraction of the static scattering background. (Scale bar: 2 μm .) (C) iSCAT contrast histogram of a sample of **3** in water. *Inset* shows dynamic light scattering number distribution of **3** (1 mM).

micelles to a microscope cover glass from a solution of pure **3**. Binding of micelles to the cover glass changes the local refractive index and thus the scattering properties of the surface, which is detected by the iSCAT microscope. The resulting differential images consist of diffraction-limited spots, with a contrast on the order of 0.1% (Fig. 1B). Given an average micelle hydrodynamic radius of 3 nm determined by dynamic light scattering (DLS) (Fig. 1C, *Inset*), an iSCAT contrast of 0.18% for a single 500-kDa unlabeled protein (**13**) and the unimodal distribution in the detected signal for the aggregates in iSCAT and dynamic light scattering (Fig. 1C) demonstrates that these signatures arise from individual micelles. At this point a quantitative conversion from iSCAT contrast to hydrodynamic radius is not achievable; the contrast depends not only on the particle polarizability and hence volume, but also on the effective refractive index, which may vary with particle size. In addition, the detected signal depends in part on the focal position and optical path length, requiring a constant focus position across measurements. Nonetheless, the comparison between iSCAT contrast and the DLS size distribution demonstrates that we can detect the smallest micelles present in samples of **3**.

To monitor the synthesis of **3** in situ we take advantage of the stochastic binding of micelles to the cover glass surface, analogous to localization-based superresolution fluorescence microscopy (17). In contrast to fluorescence imaging, light scattering does not saturate or bleach. Thus, a surface partially covered by micelles acts as a new scattering background that can be subtracted. Subtraction of consecutive images reveals only changes in surface scattering (18), even though the respective raw scattering images are essentially indistinguishable (Fig. 2A). This is because the rough cover glass surface and any micelles or vesicles already present dominate the signal (15). In our assay micelle binding results in dark spots, whereas departing/rupturing particles generate a bright, positive contrast.

Before the onset of the autocatalytic reaction, consecutive image subtraction (*Materials and Methods, Data Processing*) reveals no binding to the surface as expected in the absence of micelles in solution (Fig. 2A). Approximately 15 min after establishing the interface, we observe binding of micelles to the surface, the rate of which then rapidly accelerates and also involves unbinding events as the surface saturates. We can generate a superresolution map of binding events (Fig. 2B), because we can detect and localize each particle arriving at or departing from the surface.

A corresponding time course of binding events allows us to determine the landing rate per unit area as a function of time (Fig. 2C). We observe an exponential increase in the landing rate after an initiation period, which tails off, resembling a Langmuir adsorption isotherm as the available binding sites on the cover glass surface become occupied (Fig. 2C, orange). The exact shape of the time course and final binding is somewhat variable (Fig. S4) but the overall trend is consistent and reproducible.

The observed variation of the saturation point between and within experiments is likely a consequence of multiple factors. The maximum landing rate is given by the availability of binding sites on the substrate. The availability of binding sites itself depends on several competing dynamic processes such as unbinding events, formation of a supported lipid bilayer, deformation of individual aggregate structures, and the size of the aggregate products. Some of these processes have been reported to be dependent on the local density of particles on the substrate (19). Hence variation in the maximum landing rate is not unexpected.

Beyond characterization of the landing rate, we can monitor the particle size distribution as it evolves in time. Under these conditions, the average particle contrast converges around $0.26 \pm 0.02\%$ (Fig. S5). This result is consistent with positive controls carried out in the reaction medium, giving an average particle contrast of $0.28 \pm 0.02\%$ (Fig. S6). The absolute contrast is sensitive to the refractive index of the solution and the focal position, and consequently is somewhat higher in the reaction mixture than in pure water. Positive controls carried out in the presence of starting material **2** and Cs_2CO_3 reveal a sharp critical micelle concentration between 0.5 mM and 0.75 mM (Fig. S7), with an equilibrium binding rate, above this concentration, in agreement with the saturation binding rate observed in reactions.

In negative control experiments where **2** is omitted, no micelles are formed (Fig. 2C, black). By contrast, inclusion of 0.5 mM of **3** in the aqueous solution to initiate the catalyzed reaction eliminates the lag period, and rapidly forms the product upon addition of **2** (Fig. 2C, purple). The final binding rate in this case is close to the average binding rate observed in the unseeded reactions (Fig. S4) and quantitatively distinct from the much lower binding rate observed in a positive control of 0.5 mM **3** in the absence of any thiol **1** (Fig. S4).

Given that iSCAT can be used to detect and quantify the autocatalytic synthesis of **3** at the single-particle level, we attempted to directly image the reactive interface. To do so we generated micrometer-sized thiol droplets on the glass coverslip and surrounded them with an aqueous solution of **2** (Fig. 3A). This allowed the direct visualization of the thiol–water interface and the production of new aggregates (Fig. 3B).

Here, small lipid aggregates diffuse out of the thiol–water interface (Fig. 3C). Remarkably, there is a clear spatial association of reactivity with the thiol–water interface: Near the interface there are high levels of activity and many lipid aggregates form, whereas far from the interface the rate of binding is lower (Movie S1). These observations can be quantified, demonstrating that the binding rate is negatively correlated to the distance away from the interface (Fig. 3D). This observation agrees with the proposed biphasic reaction mechanism: If the reaction is indeed occurring at the thiol–water interface, the binding rate should decrease with distance from the interface. Conversely, if

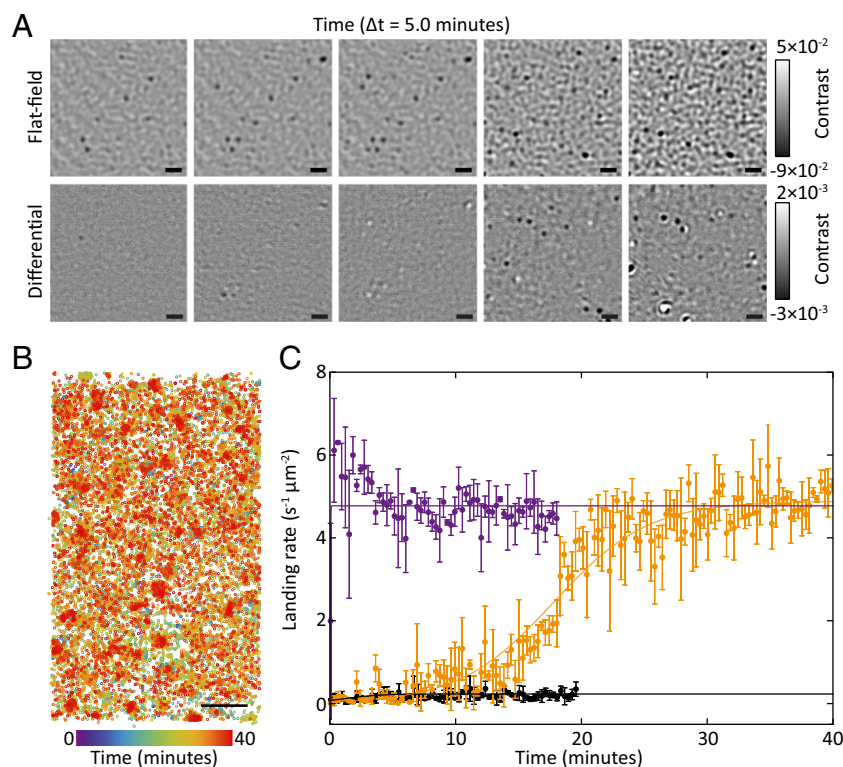


Fig. 2. Quantification of reaction kinetics by label-free superresolution imaging. The binding of aggregates of **3** to a glass surface is monitored by iSCAT. The binding and unbinding of particles is detected as a change in the local refractive index and counted, allowing quantification of the binding/unbinding rates per unit area. (A, Top) Flat-field images of aggregates of **3** binding to a microscope cover glass over 25 min. (A, Bottom) Corresponding background-subtracted images, highlighting binding (dark colors) and unbinding (white) events of single aggregates to the surface. Images are taken from the same dataset as the orange line in C. Each image is the average of 150 frames. (B) Superresolution map identifying the center of mass for each binding event over time. Counting each binding event in this map per unit time gives the data shown in C. Data are the same as the orange line in C albeit cropped to a $8.1 \times 4.8 \mu\text{m}$ window. (Scale bars: $1 \mu\text{m}$.) Kinetic curves for these reactions and additional replicates are included in Figs. S8–S10. (C) Characterization of reaction kinetics by counting the number of binding events per unit time and area. Background-subtracted images (illustrated in A, Bottom) are analyzed and each binding/unbinding event is counted to give the kinetic curves shown. Data points with error bars represent the average and SD of three consecutive 1-s measurements sampled once every 6 s. Solid lines are fits to sigmoidal kinetics for the reaction between **1** and **2** (orange) and the reaction between **1** and **2** seeded with **3** (purple). The seeded reaction features a high rate of reaction immediately upon addition of **1**, without the lag period required to build up product/catalyst as observed in unseeded reactions. The black line and corresponding data points refer to the negative control, consisting of thiol **1** and an aqueous solution of Cs_2CO_3 . Note that solid lines do not represent a detailed kinetic model and are intended only to highlight major trends.

the binding arises from reactivity at a distant interface, or from a homogeneous reaction in the aqueous phase, the rate of binding should be independent of the distance from the observed interface. As such, the quantification of this correlation supports the proposed mode of reactivity, providing spatial information that would be difficult to obtain by other methods (16).

One hour after the addition of **2**, the interface around these droplets breaks down almost entirely, and complex extended lipid structures emerge (Movies S2–S4). These lipid structures proliferate rapidly and lead to events consistent with the growth and division of individual nanometer-scale vesicles: New material is seen to rapidly grow and separate from existing vesicles, although it is difficult to isolate individual events owing to the large number of vesicles.

We are also able to generate macroscopic water–thiol interfaces where the interfacial curvature is negligible on the nanometer scale (Fig. 4). Here, the reactive behavior is rather different. Whereas we previously found proliferation of aggregates around the interface, here we observe the steady retreat of the organic phase and corresponding movement of the aqueous phase across the coverslip. Interestingly, the retreat of the thiol phase was not a continuous process as might be expected. Instead, we could discern the formation of individual aggregates at the interface and merging with an intermediate phase, which pushes the thiol phase back in a series of discrete events. Consecutive image subtractions of these data clearly

reveal discrete events (Fig. 4B). Overlaying the differential series on the flat-field images reveals colocalization of these events with the retreating interface (Fig. 4C and Movie S5). It is likely that these discrete events correspond to the formation of individual vesicles at the interface.

Discussion

We have demonstrated the spontaneous formation of complex aggregates from simple precursors by directly visualizing an autocatalytic reaction on the nanometer scale. Through label-free, superresolution imaging of individual micelles and thus direct probing of the supramolecular product/catalysts, we can obtain quantitative kinetic data that allow the study of a physical autocatalytic reaction. Further, we are able to directly image the reactive interface and distinguish between processes occurring at different regions of the multiphase system, thereby revealing the complexity and diversity of the dynamics of physical autocatalysts on the nanometer scale.

The capability to observe the products of a chemical reaction, label-free and in real time, provides us with the opportunity to study complex nonequilibrium systems at the single-particle level, so that we may better understand the collective behavior of autocatalytic aggregates. Understanding how complex supramolecular dynamics give rise to the formation of extended membranes, and the production,

The possibility of each diffraction-limited spot being attributed to more than one micelle is excluded by consideration of the binding rate. Namely, if the landing rate of the micelles is high, corresponding to a high particle density, so too is the likelihood of having more than one particle land within a diffraction-limited area simultaneously (i.e., within a single exposure time or effective exposure time given by averaging multiple frames together to enhance the signal-to-noise ratio). To estimate how likely this would be, we refer to the observed maximal rates of particle landing (i.e., the saturation points) in the assay, which are ~ 4 particles $\cdot s^{-1} \cdot \mu m^{-2}$ (Fig. 2). Assuming a diffraction limit area $= \pi(0.125 \mu m)^2 \sim 0.05 \mu m^2$, we have a landing rate of less than 1 particle $\cdot s^{-1}$ per diffraction limited spot. Now, considering an effective temporal window (t) of 0.1 s (equivalent to averaging 100 frames taken at 1,000 fps), we now have a landing rate per

diffraction-limited spot of 0.025 particle $\cdot t^{-1}$. Assuming a Poisson-distributed process, the probability that more than one particle lands under such a scenario can be estimated to be $< 0.1\%$. Under these circumstances this effect can be neglected; for higher densities, however, one can minimize this issue by increasing the temporal resolution of the detection.

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