# REPORT



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#### ABSTRACT

Antibodies are an important class of biotherapeutics that offer specificity to their antigen, long half-life, effector function interaction and good manufacturability. The immunogenicity of non-human-derived antibodies, which can be a major limitation to development, has been partially overcome by humanization through complementarity-determining region (CDR) grafting onto human acceptor frameworks. The retention of foreign content in the CDR regions, however, is still a potential immunogenic liability. Here, we describe the humanization of an anti-myostatin antibody utilizing a 2-step process of traditional CDR-grafting onto a human acceptor framework, followed by a structure-guided approach to further reduce the murine content of CDR-grafted antibodies. To accomplish this, we solved the co-crystal structures of myostatin with the chimeric (Protein Databank (PDB) id 5F3B) and CDR-grafted anti-myostatin antibody (PDB id 5F3H), allowing us to computationally predict the structurally important CDR residues as well as those making significant contacts with the antigen. Structure-based rational design enabled further germlining of the CDR-grafted antibody, reducing the murine content of the antibody without affecting antigen binding. The overall "humanness" was increased for both the light and heavy chain variable regions.

**Abbreviations:** ActRIIB, activin receptor IIB; ADA, Anti-Drug Antibody; CDR, complementarity-determining region; EDL, Extensor digitorum longus; GDF8, growth differentiation factor 8; GDF11, growth differentiation factor 11; HAHA, human anti-human antibody; HAMA, human-anti-mouse-antibody; HSC, Human String Content; K<sub>D</sub>, dissociation constant; PDB, Protein Databank; RGA, reporter gene assay; RMSD, root mean squared deviation; SDR, specificity-determining residues; SPR, surface plasmon resonance; TGF- $\beta$ , transforming growth factor  $\beta$ 

# Introduction

Biologics, particularly antibodies, represent an increasing trend in therapeutics development, and over 44 antibody biotherapeutics are currently approved in the United States and/or Europe.<sup>1-3</sup> Antibodies offer specificity to antigens, as well as long serum half-life and effector functions, which are both mediated by specific sites on the constant domain.<sup>4</sup> Despite their obvious benefits, one major liability has been the tendency for many non-human-derived antibodies, e.g., from mouse or rat, to show a significant immunogenic response. Generally, a strong immune response (i.e., formation of anti-drug antibodies (ADA)) is mounted against the foreign (non-self) sequences of murine antibodies, producing a marked human-anti-mouseantibody (HAMA) response.<sup>5,6</sup> Moreover, it is believed that the higher the identity to natural human B cell antibodies, the lower the risk for HAMA response in the clinic.<sup>2,3</sup>

Given the apparent link between HAMA and non-human sequence content, one popular method to address this

immunogenicity risk is to reduce the murine content by humanizing the mouse antibody through complementaritydetermining region (CDR) grafting onto a human acceptor germline framework. This method leaves only the CDRs with xenogeneic residues.<sup>7-10</sup> Despite this, the human anti-human antibody (HAHA) responses can still be problematic when treating with humanized antibodies.<sup>2,10-14</sup> There is still murine content in the CDR and the HAHA response is usually associated with epitopes that at least partially contain CDR residues.<sup>15</sup> Therefore, to further reduce the potential risk of immunogenicity, the removal of the murine content in the CDRs is also desirable. This is potentially difficult as the CDR encodes the binding region for antibody:antigen interactions. Care must be taken to maintain these interacting and loop supporting residues. Despite this, some additional humanization is achievable because not all of the residues in the CDR region are required for binding. It has been postulated that only 20-33%

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of the residues within the CDRs are essential for the antibody to bind to the antigen.<sup>16</sup>

There are currently several different approaches to minimize the foreign CDR content, including methods that select for reduced content in the humanization process and those that remove the content after CDR grafting. The former approach includes use of only the murine residues from the specificitydetermining residues (SDRs), i.e., the residues that are essential for the binding of the antibody to the ligand, along with multiple human germline sequences as templates.<sup>9,17,18</sup> "Superhumanization" uses a CDR homology based approach to antibody humanization and effectively selects a germline with CDRs that most closely match the murine CDRs.<sup>19,20</sup> An additional method includes screening for germline segments while only grafting the CDR3 of the heavy and light chain.<sup>21</sup> This method can also be combined with affinity optimization using somatic hypermutation.<sup>22</sup> Finally, immunologically relevant antibody humanness, or human string content, is also a method that has been used to select humanized antibodies.<sup>23</sup> Here, framework segments are selected from multiple germlines to make the overall variable region more humanlike.

There are alternative approaches to reduce the potential immunogenicity risk associated with the remaining non-human content after CDR grafting. One method reduces CDR-containing immunogenic epitopes through mutations of residues informed by alanine scanning and guided selection.<sup>15</sup> Similarly, the method of CDR repair utilizes soft-randomization of CDR position with care taken to focus the library on germline amino acids.<sup>24</sup> Finally, a method of generating a binary substitution library between mouse and germline CDR allows for germlining any non-essential CDR residue.<sup>25</sup>

Beyond the potential risk of immunogenicity associated with non-human sequence content, the additional constraint of manufacturability must be considered when selecting germlines. Properties such as stability and expression vary among particular families of V-genes. V-genes from the VH1, VH3 and VH5 families are found to be better biotherapeutic candidates than those from other families.<sup>26</sup> However, CDR grafting onto a subset of frameworks does not always result in stable antibodies because there may be some incompatibility between the CDR and the framework residues. This is often compensated for by mutations in the framework region to recover the native mouse structure pair.<sup>27,28</sup>

In this study, we examined a structure guided approach to reduce the potential risk of immunogenicity of a rodent derived antibody. The antibody selected for humanization was the antimyostatin murine antibody RK35. Myostatin (growth differentiation factor 8/GDF8) is a negative regulator of skeletal muscle growth and a member of the TGF- $\beta$  (transforming growth factor  $\beta$ ) superfamily. The biological function of myostatin is well conserved, where there is 100% amino acid sequence identity between mouse and human homologs. Myostatin signaling deficiency through mutation or knock-out results in the increase of the skeletal muscles mass where the muscles are 100-200% larger than normal through hyperplasia and hypertrophy, as seen in mammals including humans.<sup>29-36</sup> In dogs with only a single functional myostatin allele, improved muscle function was observed, although not as an overt increase in muscle mass as seen with homozygotes.<sup>34</sup> Pharmacological

inhibition of myostatin activity in rodents from either myostatin neutralizing antibodies, mutant myostatin propeptides or even decoy myostatin receptor-fusion proteins, results in increased muscle mass and improved muscle function.<sup>37-39</sup> As previously reported, a murine antibody termed RK35 was obtained through hybridoma technology. RK35 neutralizes mature myostatin signaling through activin receptor IIB (ActRIIB), and showed promising in vivo results, including slowing of muscle atrophy and grip strength loss in rodent models of ALS.<sup>38,39</sup>

In this work, we initially demonstrate the successful humanization of the anti-myostatin antibody with a traditional CDR grafting approach onto clinically validated germline frameworks.<sup>40</sup> Following the traditional CDR grafting, we explored the further reduction of murine CDR content guided by co-crystal structures of the antibody:antigen complex. The co-crystal structures allowed us to understand the epitope and paratope of this antibody, as well as model the presentation and stability of the CDRs. Reducing the murine content of antibodies by rational design is a way to mitigate the possible associated risk of immunogenicity, while opening further optimization/engineering for therapeutic use, such as improvements in stability and other biophysical characteristics.

# Results

# Humanization by CDR grafting and characterization of RK35

RK35 is a mouse monoclonal antibody against mature myostatin. It was generated from myostatin knockout mice immunized with human myostatin. This antibody neutralized myostatin's interaction with the high affinity receptor ActRIIB, as shown with a competition ELISA and a reporter gene cell-based activity assay (RGA), which monitors the activation of the SMAD-regulated signaling pathway (Table 1). Additionally, this antibody increased muscle mass in vivo (Fig. 1). RK35 was also compared to a reference clinical human myostatin inhibitor, MYO-029,41 showing an increased binding affinity in surface plasmon resonance (SPR) analysis when comparing the chimeric RK35 (mouse variable region with human IgG1 constant region) to MYO-029 (Table 1). Importantly, RK35 significantly increased the maximum tetanic force, cross-sectional area and mass of the Extensor digitorum longus (EDL) muscle, whereas mice treated with MYO-029 did not show an increase in any of these parameters (Fig. 1).

Due to its superior properties compared to MYO-029 in the *in vitro* and *in vivo* models, the antibody RK35 was selected as a candidate for optimization. Although no experimental *in vivo* study was performed, it is well known that there is strong risk of an HAMA response for mousederived monoclonal antibodies.<sup>5,6</sup> This risk is highlighted using the Epivax *in silico* ADA prediction tool which indicates that murine RK35 would be at risk for potential immunogenicity based upon comparison to 23 other antibodies with known immune response (Fig. S1).<sup>42,43</sup> The RK35 antibody was selected for humanization to reduce this

Table 1. In vitro activity comparison of RK35.

Construct	Biacore K <sub>D</sub> (pM)	ELISA IC50 (nM)	RGA IC50 (ng/mL)
murine RK35 chimera RK35 RK35 1.0/1.0 MYO-029	21.8 # 3.0 2.6 +/- 1.8 (3) 11.4	0.17+/-0.02 (4) 0.17+/-0.02 (4) 0.14	33.5 +/ -21.2 (2) 24.3 +/- 2.5 (2) 27.3 +/- 8.5 (3)
Construct VH/VL	Biacore K <sub>D</sub> (pM)	ELISA vs 1.0/1.0	RGA vs 1.0/1.0
RK35 1.0/1.2 RK35 1.0/1.3 RK35 1.0/1.4 RK35 1.0/1.5 RK35 1.2/1.0 RK35 1.3/1.0 RK35 1.4/1.0 RK35 1.5/1.0 RK35 1.5/1.4	1.5 3.2 2.5 57 N/A N/A 284 9.2 5.8 +/- 0.5 (4)	0.91X 0.96X 0.61X 5.94X Not active Not active 1.71X 0.88X 0.92X	1.0X 0.9X 1.5X Not active N/A N/A 7.5X 1.3X 1.8X

Results from Biacore, Elisa and RGA assays are shown on top for the murine, chimeric and CDR-grafted RK35 (RK35 1.0/1.0) along with reference antibody MYO-029. Results for the same assays are shown on the bottom for mutant variants of the humanized RK35 1.0/1.0. These results are shown as a ratio of mutant to wild-type affinity or activity. All measurements were done with a single replicate except where noted by the standard deviation and number of replicates in parenthesis. The Biacore measurement for murine RK35 (#) was done using a different capture antibody (anti-murine IgG) so the results are not directly comparable to the other measurements. "Not Active" means that no activity detected in the assay. "N/A" means that this antibody was not measured because no activity was seen in the Elisa.

potential risk. The framework template selection was based upon sequence similarity to close human germline sequences, as well as homology to clinically validated germline sequences.<sup>40</sup> The CDRs of the heavy and light chains were grafted onto VH3 and VK1 germlines respectively. This CDR-grafted heavy chain (VH 1.0) and CDR-grafted light chain (VL 1.0) retained full activity as assayed by competition ELISA, RGA and SPR (Table 1). Knowing the high homology of myostatin to GDF11 (growth differentiation factor 11), SPR analysis was utilized to confirm that the dissociation constant ( $K_D$ ) of RK35 1.0/1.0 (VH1.0 heavy chain and VL1.0 light chain) to recombinant mature GDF11 was not statistically different from the  $K_D$  to recombinant mature myostatin ( $K_D$  of binding to GDF11 is 4.7 pM).

# Co-crystal structure of RK35 Fab and mature myostatin dimer

To aid in analysis and further humanization of the RK35 antibody, X-ray crystal structures of the RK35 chimeric antibody as well as the humanized version (RK35 1.0/1.0) in complex with myostatin were obtained. To do this, both the chimeric and humanized antibodies were processed with papain to obtain Fabs. The purified Fabs were complexed with recombinant mature myostatin dimer and screened for crystal formation. Crystals were obtained for the Fab:myostatin complex for both the humanized and chimeric RK35. The humanized complex was resolved to 2.70 Å (Protein Databank (PDB) id 5F3H) and the chimeric murine complex was resolved to 1.76 Å (PDB id 5F3B) using x-ray crystallography (See Methods and Table 2).

Both crystal structures of the mature myostatin in complex with either the chimeric Fab or the humanized 1.0/1.0 Fab show 2 Fabs interacting with each myostatin dimer (Fig. 2). There is one copy of this 2:2 complex in the unit cell of the chimera and 2 copies in the humanized. Each Fab is interacting on the end of one of the myostatin monomers and only makes contact with one of the 2 myostatin chains in the dimer. It is important to note that the structure of the myostatin dimer is noticeably different than those previously reported, and does not show the typical butterfly structure of other myostatin structures<sup>44</sup> or closely related TGF- $\beta$  family members.<sup>45-47</sup> There are 2 crystal structures in the PDB with 100% sequence identity in the myostatin region. These are 3hh2, which contains a myostatin dimer in complex with follistatin 288,<sup>44</sup> and 3sek which contains a myostatin monomer in complex with follistatin-like 3 complex.48 Looking at an alignment of the monomers of myostatin from 3hh2, 3sek, the humanized structure, and chimeric structure (Fig. 3a), we see that most of the  $\beta$ -strand "Fingers" region of myostatin aligns well, but there is a large shift in the regions identified as the "Pre-helix" region and the "Wrist-helix" region. This causes a large change in the dimer formation seen when comparing the dimer in 3hh2 with the chimeric structure (Fig. 3b-d). When one monomer is used for the alignment, the other monomer appears to bind with a vastly different conformation due to the repositioning of the



**Figure 1.** In vivo comparison of RK35 to benchmark MYO-29. Mouse EDL muscle phenotypic and contractile properties following treatment with anti-myostatin antibodies. Mice treated for 2 weeks with 10 mg/kg/wk of murine RK-35 (mRK-35) showed significant increase in muscle weight compared to vehicle treated animals. 10mg/kg/ wk MYO-029 treatment did not show a significant muscle weight change. The calculated cross-sectional area and measured maximum tetanic force significantly increased in mice treated with mRK-35 when compared to vehicle or MYO-029, while treatment with MYO-029 did not show a significant change in either measurement. \*p < 0.05 vs Vehicle; \* \* p < 0.05 vs Vehicle and MYO-029. Statistical analysis was done using a one-way ANOVA with Tukey's Post Test performed using GraphPad Prism.

Table 2. Data collection and refinement statistics.

	Chimera (5F3B)	Humanized (5F3H)
Space group	P1	P1
Cell dimensions		
a, b, c (Å)	66.09, 67.62, 78.56	75.14, 80.92, 101.40
α, β, γ (°)	78.98, 88.77, 67.44	88.33, 103.78, 92.40
Resolution (Å)	49.17 – 1.76	19.99 – 2.70
No. reflections	104791	40287
Completeness for Range	85.9%	63.5%
1/σΙ	13.4 (1.42)	6.0 (1.5)
R <sub>work</sub> / R <sub>free</sub> *	0.1794 / 0.2057	0.2182 / 0.2699
No. atoms		
Protein	8385	15925
Ligand/ion	30	0
Water	1047	0
B-factors		
Protein	27.4	39.1
R.m.s. deviations		
Bond lengths (Å)	0.010	0.008
Bond angles (°)	1.08	1.18

<sup>\*</sup>R<sub>work</sub> =  $\Sigma ||F_{obs}| - |F_{calc}| / \Sigma |F_{obs}|$ . R<sub>free</sub> is equivalent to R<sub>work</sub> except that it is calculated for a randomly chosen 5% of reflections omitted from the refinement process. *I* /  $\sigma I = \Sigma |I/\sigma I|$  over 20 resolution shell bins and the number in parenthesis is the  $|I/\sigma I|$  in the lowest resolution shell bin (1.831 Å to 1.760 Å for the Chimera and 2.838 Å to 2.701 Å for the Humanized).

wrist-helix, although we see that the wrist-helix from chain 2 for each dimer docks in approximately the same location onto chain one. This repositioning of the structure alters the conformation from the butterfly like dimer reported previously for myostatin<sup>44</sup> and other TGF- $\beta$  family proteins <sup>49</sup> (Fig. 3d) to a more linear dimer (Fig. 3c). Analysis of these myostatin structures and several additional TGF- $\beta$  family proteins suggests that the flexibility of the pre-helix/wrist helix region could enable this change in conformation associated with different binding partners and crystal environments (see Supplementary Results, Figs. S2 and S3 and Table S1).

The chimeric structure was used to determine the residues in the CDRs that were making contact with the binding epitope



**Figure 2.** Co-crystal structure of (A) Chimeric RK35 Fab and (B) Humanized RK35 Fab in complex with dimeric myostatin. In panel A, 2 chimeric RK35 Fabs (cyan/green and tan/gray) interact with one dimer of myostatin (magenta/yellow). In panel B, there are 2 copies of this interaction. One has the 2 humanized RK35 Fabs (cyan/green and magenta/yellow) interacting with a myostatin dimer (dark green/light green). The second copy contains the 2 Fabs (orange/blue and tan/gray) interacting with a second myostatin dimer (pink/gold).



**Figure 3.** Comparison of myostatin with PDB crystal structures. (A) myostatin from the humanized (blue) and chimeric (magenta) co-crystal structures are aligned with myostatin from PDBID:3hh2 (green) and PDBID3sek (cyan). The pre-helix and wrist helix are labeled for myostatin from the chimeric and humanized structures. These are shifted downwards for the 3hh2 and 3sek structures. (B) Alignment of dimeric myostatin from chimeric structure (magenta and yellow) with 3hh2 (green and cyan). (C) Linear structure of dimeric myostatin from the chimeric crystal structure. (D) Butterfly conformation of the dimeric myostatin from the 3hh2 crystal structure.

on myostatin (<5.0 Å), and cross referenced to the RK35 1.0/ 1.0 co-crystal structure (Fig. 4a-b). A list of these is found in Supplementary Table 2. In addition, the structure gave us more information behind the ease of humanization for the straight CDR grafting method. This was done by looking at the structural similarity of the human versus murine variable domain, particularly in the CDR region (Fig. 4c). The C $\alpha$ -root mean squared deviation (RMSD) of several components were compared, including the entire Fab, the variable regions, the variable frameworks, and each individual CDR. The overall similarity was quite high, with only a 0.88 Å deviation between the Fabs, 0.54 Å for the variable domain, 0.40 Å for the variable heavy, 0.56 Å for the variable light, 0.56 Å for the frameworks, and 0.32-0.76 Å for the CDRs. This is not much different than what one would expect when comparing multiple crystal structures of the same protein, which have an average variation of about 0.3 Å.50

## Epitope overlap

In vivo, myostatin binds to the ActRIIB and co-receptors, leading to the activation of SMAD pathway.<sup>51</sup> From the RGA assay, we can see that RK35 is able to block myostatin from activating this pathway (Table 1). To further investigate the biological function of RK35, we wanted to determine whether it would be able to directly block the epitope of ActRIIB on the surface of myostatin. For this, we aimed to generate a homology model of the ActRIIB:myostatin complex. Besides myostatin, there are a number of other TGF- $\beta$  family members that signal through



**Figure 4.** Ribbon diagram showing the superposition of the chimeric and humanized co-crystal structures with myostatin. Here for the Chimeric Fab, the heavy chain is shown in green, the light chain is shown in cyan and the myostatin dimer is shown in magenta and yellow. For the humanized Fab, the heavy chain is shown in dark green, the light chain is shown in blue, and the myostatin dimer is shown in red and yellow. (A) The structures are aligned using the interface residues. (B) A close-up of the interface from panel A with only the interface residues in color and sidechains shown as lines. (C) The Fab structures is aligned using the CDR residues. Here only the CDR residues are shown in color and the sidechains are shown as lines.

the ActRIIB receptor, including activin A, BMP-2, BMP-6, BMP-7, BMP-9, GDF-5, GDF-11, inhibin A, inhibin B and nodal.<sup>52,53</sup> Of these, there are several available complex crystal structures showing a conserved binding mode of ActRIIB binding to the fingers region of activin A,<sup>54,55</sup> BMP-2,<sup>56</sup> and BMP-9.<sup>57</sup> Analysis of available structural data showing the conserved binding modes to ActRIIB, the sequence homology of the predicted binding interface of these homologs to myostatin, similar binding affinities, and correlated changes of affinity associated with ActRIIB mutations predicted to be in the binding interface, suggests that myostatin should bind to ActRIIB with the same binding mode as these other TGF- $\beta$  family members (See Supplementary Results and Figs. S3 and S4). Therefore, available complex structures should provide reasonable templates to generate a homology model.

The template structure used to generate the homology model complex was the ActRIIB:Activin A complex (1nyu).<sup>55</sup> To generate a homology model, myostatin from the human RK35:myostatin complex was structurally aligned to the activin A domain of the crystal structure 1nyu. Using this ActRIIB: myostatin model and the human RK35:myostatin crystal structure, binding epitopes were determined as residues on myostatin that were within 5.0 Å of their respective binding partners. The epitope of RK35 contained 17 residues and ActRIIB contained 19 (see Supplementary Table 3). Of these residues on ActRIIB, 11 of them (58%) overlapped with the binding epitope on RK35 (see Fig. 5). Such a large overlap of the epitope suggests that the function of the RK35 antibody would be to directly compete with ActRIIB on the same binding patch on myostatin and inhibit the activation of the SMAD pathway.



**Figure 5.** Analysis of RK35 epitope versus ActRIIB binding site on mature myostatin (A) Alignment of the human RK35:myostatin crystal structure with the ActRIIB: myostatin homology model using the myostatin domain. Here myostatin is shown in yellow and magenta, the RK35 Fab in Cyan and Green and the ActRIIB ECD is shown in red. When the myostatin domains are aligned there is significant overlap between the ActRIIB ECD and the RK35 Fab. (B) The binding epitope of ActRIIB and RK35 are shown on the surface of myostatin. The epitopes were determined as residues on myostatin within 5 Å of the 2 binding partners. These were calculated from the human crystal structure for RK35 and a homology model from the crystal structure of Activin A bound to the ECD of ActRIIB (PDBID: 1nyu) for ActRIIB. Residues that are contained in both epitopes are shown in blue (11 residues), residues that are only in the ActRIIB epitope are in red (8 residues) and those only in the RK35 epitope are in green (6 residues).

Additionally, since the core of the epitopes overlap, it would be impossible for ActRIIB to bind myostatin without first removing RK35.

#### Further reduction of murine content by germlining of CDRs

In order to further humanize the antibody, we first wanted to determine which residues could be mutated to the human germline. This was done using the crystal structure contacts, as well as computational prediction of changes in binding affinity and stability upon mutation. For the heavy chain, the CDR-H1 was identical to the germline, and so only the CDR-H2 and the end of CDR-H3 were examined. For the light chain, all 3 CDRs were examined for potential mutations to the germline.

The CDR-H2 region for RK35-VH1.0 was defined as Kabat position H50 to H65, and the alignment with human germline is shown in Fig. 6. From this segment, 10 residues are conserved and 7 residues are not. We looked at the effect of mutation on each of the 7 non-conserved residues from the RK35-VH1.0 sequence to the human germline sequence. First, the change in mutation stability was determined using the stability prediction algorithm in Discovery Studio. The results are shown in Table 3. In this method, changes of >0 .5 kcal/mol are classified as significant changes. Sites 50, 52a, 55, and 60 all showed a loss of stability upon mutations, sites 53 and 56 showed no significant change in stability, and site 58 showed an increase in stability upon mutation. Next, we looked at the change in binding affinity upon mutation using 3 different methods (Discovery Studio, MacroModel and Prime) described in the methods section. For

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	CDRH1	CDRH2	CDRH3
VH 1.0	SYAMS T	ISSGGSYTSYPDS	VKG QDYAMNY
VH 1.5	SYAMS T	ISSSGSYTSYPDS	VKG QDYAMNY
VH 1.4	SYAMS T	ISSSGSYTSYPDS	VKG QDYAFDY
VH 1.3	SYAMS A	ISSSGGYTYYADS	VKG QDYAMNY
VH 1.2	SYAMS A	ISGSGGSTYYADS	VKG QDYAMNY
Human Frmwk	SYAMS A	ISGSGGSTYYADS	VKGFDY
murine	SYAMS T	ISSGGSYTSYPDS	VKG QDYAMNY
	CDRL1	CDRL2	CDRL3
VL 1.0	KASQDVSTAVA	SASYRYT	QQHYSTPWT
VL 1.5	RASQSISTALN	SASSLQS	QQSYSTPLT
VL 1.4	RASQSISTALN	AASSLQS	QQSYSTPWT
VL 1.3	RASQSISTALN	SASSLQS	QQSYSTPWT
VL 1.2	KASQDVSTAVA	AASSLQS	QQHYSTPWT
Human Frmwk	RASQSISSYLN	AASSLQS	QQSYST.LT
murine	KASQDVSTAVA	SASYRYT	QQHYSTPWT

Figure 6. Alignment of further humanized RK35 constructs CDR regions to the murine and germline sequences. Gray lettering is used for residues that are the same in mouse and human sequence, black lettering is used where the murine amino acid is different from the human germline, green are residues predicted to be acceptable to germline and blue residues are likely acceptable, but not all prediction methods agree.

the Discovery Studio method, a change of >0.5 kcal/mol were classified as a significant change. The other 2 methods required larger changes of >1.0 kcal/mol to be classified as significant. The results of these calculations are shown in Table 3. For site 50, the Thr to Ala mutation showed a loss of binding affinity

associated with some loss in the packing interaction, as suggested by only the Prime method. For site 52a, the Ser to Gly mutation was predicted to be neutral by all methods, showing some loss in the packing interaction, but made up for by a decrease in electrostatic energy. Both sites 53 (Gly to Ser) and

Table 3. Predicted changes in stability and binding affinity upon mutations to germline.

Site	DP-47	RK35 VH 1.0	DS3 Stability	DS3 Binding	MM Binding	Prime Binding	Stability Class	Affinity Class	Description
H50	Α	т	1.19	0.21	0.90	4.26	-	_	Small loss in packing
H52A	G	S	1.73	-0.01	0.56	0.01	-	0	Small loss in packing offset by small gain in electrostatics
H53	S	G	-0.13	-0.15	-0.34	0.58	0	0	Not a strong interaction
H55	G	S	1.17	0.41	0.08	-3.96	-	+	Small gain in solvation
H56	S	Y	0.04	2.19	2.37	1.26	0		Small desolvation gain with large loss of packing interactions
H58	Y	S	-1.64	8.49	181.3	88.64	+		Creates a large clash not accommodated by rearrangement
H60	А	Р	2.28	-0.04	0.35	-0.12	-	0	Not a strong interaction
H99	F	М	-0.67	-0.04	0.92	0.03	+	0	Not a strong interaction
H101	D	Ν	-0.15	-0.22	1.16	2.29	0		Mutation to Asp could destabilize salt-bridge formed by H96-Asp
		RK35 VL	DS3	DS3	ММ	Prime	Stability	Affinity	
Site	DPK9	1.0	Stability	Binding	Binding	Binding	Class	Class	Description
L24	R	К	-1.03	-0.01	-0.23	-0.09	+	0	Not a strong interaction
L28	S	D	-0.91	0.22	-0.05	0.58	+	0	Not a strong interaction
L29	1	V	-3.12	-0.11	0.11	-0.67	+	0	Not a strong interaction
L31	S	Т	1.48	-0.23	-0.11	1.03	-	0	Hbond maintained
L32	Y	Α	0.79	2.18	544.0	1547.9	-		Creates a large clash not accommodated by rearrangement
L33	L	V	-0.65	0.09	-0.12	-0.12	+	0	Not a strong interaction, minor change in internal packing
L34	Ν	Α	1.74	-0.07	1.03	-0.50	-	0	Not a strong interaction, minor change in VH/VL packing
L50	А	S	-0.08	0.13	0.95	0.76	0	0	Loss of Hbond offset by decreased desolvation.
L53	S	Y	-0.62	0.23	-2.20	-0.40	+	0	Not a strong interaction
L54	L	R	0.98	-0.16	-1.65	-0.28	-	0	Not a strong interaction
L55	Q	Y	2.01	-0.04	1.43	-0.08		0	Not a strong interaction, minor change in VH/VL packing
L56	S	Т	0.12	0.01	-0.06	0.33	0	0	Not a strong interaction
L91	S	Н	4.26	0.66	0.95	3.07		-	Small loss in packing
L96	L	W	2.62	1.59	0.01	5.41		-	Large loss of hydrophobic packing and packing at the VH/VL interface

All energy values are in approximate kcal/mol. DS3: are calculation performed using Discovery Studio 3.0. Prime: are calculations using the Schrodinger Prime program, and MM: are calculations using the Schrodinger MacroModel program. Stability and Binding affinity classes were defined by the following categories: (+) = slightly favorable to humanize, (0) = neutral, (-) = slightly unfavorable to humanize. (--) = unfavorable to humanize, and (---) = very unfavorable to humanize

60 (Pro to Ala) are predicted to be neutral by all methods and do not show a strong interaction at all. Site 55 is the only one that was predicted to have a significant increase in binding affinity as predicted by the Prime method. This method suggests that the mutation has a decrease in solvation energy without a loss in packing. Finally, mutations sites 56 (Tyr to Ser) and 58 (Ser to Tyr) were both predicted by all 3 methods to reduce affinity. For site 56, this mutation loses a strong packing interaction that is not compensated for by its decrease in unfavorable electrostatics energy, whereas, the mutation of site 58 Ser to Tyr creates a large steric clash that cannot be overcome by minimization.

Of the 7 possible mutations, only the G53S mutation was predicted to not decrease the stability or the binding affinity, suggesting that this would be safest mutation to make. Three mutations (S52aG, S55G and P60A) were seen to have a small decrease in stability with either no change or a slight increase in binding affinity, with a fourth (T50A) having a slight decrease in stability and binding affinity. The prediction suggests that these could be mutated without a large effect on binding or stability. The final 2 mutations (Y56S and S58Y) are both predicted to greatly decrease the binding affinity and should be avoided.

There is not a direct alignment of the CDR-H3 to the germline, but possible mutations of the Kabat positions H99 and H101 were examined to enable mutation to more common human residues. The mutations modeled were M99F and N101D. Here, the M99F was predicted to be slightly stabilizing, but does not show a large change in binding affinity by any of the 3 methods. For N100D, this mutation was predicted to decrease the affinity by 2 of the methods, as it will interfere with the Asp at H96 that is making a salt bridge with myostatin.

There are 14 possible mutations in the CDRs of the VL that are different between the germline and VL1.0. We performed the same analysis as for the H2 and H3 loops to determine which germline mutations would affect the binding and which could be tolerated. The results are shown in Table 3. From this, we predict that 11 of these sites could be mutated to the germline without any effect on binding (L24, L28, L29, L31, L33, L34, L50, L53, L54, L55 and L56). Of these, the L50 mutation of Ser to Ala is predicted to be neutral, but does alter the type of binding interaction. Here it replaces a hydrogen bond to myostatin with hydrophobic packing. Only one of the mutations was predicted have a small effect on binding (L91) and 2 are predicted to reduce binding greatly (L32 and L96).

## **Experimental validation of predicted CDR mutations**

RK35 VH1.0/VL1.0, which was both well expressed and fully functional after CDR grafting (Table 1), was used as the starting point in an effort to further humanize this antibody by reducing the murine content of the CDRs in the variable light and variable heavy chains. Initially, we attempted to introduce fully human germline residues into CDRH2 and CDRL2. These constructs were designated RK35 VH 1.2 and RK35 VL 1.2 respectively. Amino acid sequence alignment is shown in Fig. 6. For all variants made, quantitated conditioned medium (described in the Methods section) was used to assess binding activity in the competition ELISA. If the conditioned medium did not

show any activity in the ELISA, then the construct was not moved forward into purification and further analysis. RK35 VH 1.0/VL 1.2 was purified and the purified material was used to confirm that indeed germlining VL2 did not result in a loss of activity as measured by competition ELISA, Biacore  $K_D$ , or the RGA (Table 1). This correlates well with the prediction that none of the germline mutations should decrease the binding affinity. The heavy chain CDR-H2 germline construct RK35 VH1.2/VL 1.0 did not show any activity in the conditioned media, which also corresponds to the prediction that there are several mutations that could significantly lower the binding affinity.

For the light chain, we continued to further germline the construct since the initial CDR-L2 germlining was successful. Guided by the computational predictions and the RK35 CDR contacts with mature myostatin seen in the crystal structure, the constructs RK35 VL1.3 and VL1.4 were designed. Here both constructs further germlined the CDR-L1 and CDR-L3 residues that were not predicted to decrease the affinity. This includes 5 CDR-L1 mutations and one CDR-L3 mutation. The only difference between the 2 was that the L50 position was the murine residue for VL1.3 and the germline for VL1.4. This was done because this residue makes contact in the crystal structure and could alter the type of binding interaction. Both constructs showed little change in the binding affinity (Table 1). The VL1.4 construct retained all but 3 of the murine residues. One of these did not align to the germline and 2 were predicted to decrease the binding affinity. Finally, RK35 VL 1.5 was designed to attempt to further germline the construct. This was identical to VL 1.3 but mutated W96L. However, as predicted, RK35 VH 1.0/VL 1.5 showed reduced binding activity by ELISA and Biacore, and complete loss of neutralization activity in the RGA (Table 1). This Trp makes a large hydrophobic contact at the interface, and mutating to Leu removed a large amount of predicted van der Waals interaction.

The initial VH1.2 construct fully germlined the CDR-H2 and did not retain any activity. The new construct, VH 1.3 only retained murine amino acids if the co-crystal structure indicated that the residue was making direct contact with mature myostatin. The remaining residues in CDR-H2 not shown to make direct contact in the CDRs were germlined (Fig. 6). Again, this construct did not retain any activity. This is most likely due in part to the H56 mutation. Though the murine serine is not making a direct contact, the mutation to Tyr is predicted to clash.

The next set of constructs was more conservative for the CDR-H2. RK35 VH 1.4 contained substitutions at positions M99F and N101D, and RK35 VH 1.5 only has the substitution G53S in CDR-H2. Previous attempts to change CDR-H2 resulted in severe loss of activity, since CDR-H2 makes a number of important contacts with myostatin. RK35 VH 1.4/VL 1.0 purified showed 1.71X reduction in activity in the competition ELISA and 7.5X in the RGA assay compared to RK35 1.0/1.0. This correlated with the  $K_D$  reduction seen by Biacore. RK35 VH 1.5/VL 1.0 showed retained activity by ELISA, Biacore and RGA (Table 1) and correlated with the prediction based on structural modeling (Table 3).

Finally, we wanted to test if these heavy and light chain mutants could be used in combination. RK35 VH1.5/VL1.0

and RK35 VH1.0/VL1.4 were the fully active heavy and light chain mutants with the most germline mutations. To determine if the activity of these 2 mutants would be retained when combined, we generated the combination clone RK35 VH1.5/VL1.4. This combination shows retained activity by ELISA, Biacore and RGA (Table 1).

#### **Biophysical characterization of RK35 constructs**

In addition to function, it is important to monitor the biophysical characterization of antibodies because those properties can be indicative of manufacturability, thus influencing the potential of a drug as a therapeutic. The heavy and light chain mutants described above had their thermal stability and pH stability measured (Supplementary Table 4). The onset of unfolding, T1%, for all the proteins was above the threshold of 50°C, although it can be seen that RK35 VH1.0/VL1.0 is more stable than the other humanized variants. Variations in the pH stability assay were relatively small, although again version RK35 VH1.0/VL1.0 was among the most stable.

# **Increased humanness**

In this work, we introduced germline mutations to reduce the amount of non-human sequence content in the CDRs based upon the known correlation of non-human sequence content with an immune response.<sup>2,3,15</sup> Comparing the CDR grafted RK35 VH1.0/VL1.0 with the clones that had the least amount of murine content with retained activity by in vitro analysis (RK35 VL 1.4 and RK35 VH 1.5), we were able to remove 11 of 28 non-germline residues. To further demonstrate the potential decrease in immunogenicity risk, we used the Lazar et al. method, which quantifies the level of potential MHC/T-cell epitopes in an antibody sequence, to determine the "humanness" of the antibody sequence.<sup>23</sup> Here, the humanness was defined as the proportion of peptide strands in an antibody that are found within the set of human germline sequences. We calculated 2 different metrics of increased humanness from this method: the number of Perfect 9mers (9-mers that can be found in a human germline) and the Human String Content (HSC) score. To validate whether similarity to germline sequences correlated to reduced immunogenicity we analyzed a dataset of 43 clinical antibodies with reported immunogenicity.<sup>6,15,58,59</sup> From this we can see that the number of 9-mers and the HSC score do in fact show significant correlation with a decrease in immunogenicity. (Table S5 and Fig. S5). We then evaluated the HSC and 9-mers scores along with the percent sequence identity and percent sequence similarity of our designed sequences (Table 4). As can be seen, the RK35 VH1.0 and RK35 VL1.0 clones greatly increased their HSC score and the number of perfect 9mers over the chimera. Surprisingly though, the heavy chain shows an increased humanness over the light chain, which is the opposite of what is typically observed for humanized antibodies.<sup>60</sup> However, in this case the antibody has a relatively short 7 amino acid long CDR-H3, (80% of murine CDRs are longer than 7 amino acids)<sup>61</sup> and has a CDR-H1 that already matches the human germline. The further optimized clones, RK35 VL 1.4 and RK35 VH 1.5, have HSC scores of 96 and 91, respectively. These are increased

Table 4. Humanness of RK35 Constructs.

Variable Domain	Perfect 9mers	HSC	SeqID	SeqSim	T20
RK35_VH_murine	51	87	78	89	80.43
RK35-VH_1.0	74	90	89	91	89.18
RK35-VH_1.2	94	95	95	97	95.35
RK35-VH_1.3	81	93	93	95	93.66
RK35-VH_1.4	75	92	91	91	90.69
RK35-VH_1.5	74	91	90	92	89.66
RK35_VL_murine	10	79	70	86	73.79
RK35-VL_1.0	54	89	86	92	89.44
RK35-VL_1.2	67	93	91	94	90.89
RK35-VL_1.3	71	96	95	97	95.28
RK35-VL_1.4	79	96	96	97	96.31
RK35-VL_1.5	72	96	96	98	95.93

Perfect 9mers are the number of 9-mers in the sequence that can be found in a human germline.<sup>23</sup> HSC is the Human String Content score.<sup>23</sup> SeqID and SeqSim are the sequence identity or sequence similarity to the DP47 or DPK9 germlines. T20 is the humanness of monoclonal antibody variable region sequences as compared to the human antibody repitoire.<sup>59</sup>

from the heavy and light chain CDR grafted scores (90 and 89), as well as the original murine construct (87 and 79). Moreover, going from the murine to the CDR-grafted to further optimized constructs greatly reduces the amount of non-human sequence content present on the surface of these constructs (Fig. 7).

The definition of humanness can be defined more broadly to compare the similarity of the sequence to antibodies in the entire human repertoire.<sup>59,62</sup> We evaluated the humanness of these sequences using this definition with the T20 humanness calculator described in Gao et al., which has been shown to correlate with the immunogenicity of a similar set of clinical antibodies.<sup>59</sup> Here we found that the ranking of the T20 results were consistent with the 9-mer and HSC score germline definition of humanness (Table 4). Therefore, by either definition these mutants have increased humanness.

We examined the CDR regions more in-depth specifically because it has been shown that they are a significant cause of immune response in humanized antibodies.<sup>15</sup> Here we calculated the HSC and Perfect 9mers for sequence regions around each CDR that contained one or more CDR residues (Table 5). The majority of the increase in humanness is seen in the light chain CDRs, where, in clone VL1.4, mutations in L2 remove all the non-human sequence content and L1 and L3 humanness are greatly increased. For CDR-L1, an additional 8 of 19 potential 9-mer epitopes match human germlines, while in the CDR-L3 4 additional 9-mers are germlined. For the heavy chain, CDR-H1 is completely germlined in the CDR grafted version and only a small amount of non-human content was removed. This was accounted for by a small overall increase in the HSC score of CDR-H2.

## **Evaluation of prediction methods**

As described above we utilized a computational method to successfully identify tolerated CDR mutations to enhance humanness of RK35, while maintaining stability and affinity. Based upon this, we wanted to evaluate the expected predictive power of this method on a broader set of data and get a better understanding of its general utility beyond this single example. The design goal of this method was to differentiate mutations that would destabilize the antibody from those that would be



**Figure 7.** Reduction in non-human sequence content. The non-human sequence content, defined by alignments to DP47 and DPK9, is shown for the variable regions of (a) murine RK35 (b) RK35 1.0/1.0 and (c) RK35 1.5/1.4. Here red is the non-human sequence content and orange is the CDRH3 residues which do not align to germline.

neutral or stabilizing, and differentiate those that would reduce affinity from those that would maintain or increase affinity. To understand how well these methods performed at this

Table 5. Humanness of CDR regions.

Variable	CDR-H1 Porfoct		CDR-H2 Porfoct		CDR-H3	
Domain	9mers	HSC	9mers	HSC	9mers	HSC
RK35_VH_murine	12	95	5	79	1	63
RK35-VH_1.0	18	100	5	83	1	63
RK35-VH_1.2	18	100	25	100	1	63
RK35-VH_1.3	18	100	12	92	1	63
RK35-VH_1.4	18	100	5	83	2	70
RK35-VH_1.5	18	100	5	85	1	63
	CDR-	L1	CDR-I	L2	CDR-	L3
Variable	CDR- Perfect	L1	CDR-I Perfect	L2	CDR- Perfect	L3
Variable Domain	CDR- Perfect 9mers	L1 HSC	CDR-I Perfect 9mers	L2 HSC	CDR- Perfect 9mers	L3 HSC
Variable Domain RK35_VL_murine	CDR- Perfect 9mers 1	L1 HSC 73	CDR-I Perfect 9mers 0	L2 HSC 70	CDR- Perfect 9mers 1	L3 HSC 83
Variable Domain RK35_VL_murine RK35-VL_1.0	CDR- Perfect 9mers 1 1	L1 HSC 73 76	CDR-I Perfect 9mers 0 1	L2 HSC 70 76	CDR- Perfect 9mers 1 3	L3 HSC 83 85
Variable Domain RK35_VL_murine RK35-VL_1.0 RK35-VL_1.2	CDR- Perfect 9mers 1 1 1	L1 HSC 73 76 76 76	CDR-I Perfect 9mers 0 1 14	L2 HSC 70 76 100	CDR- Perfect 9mers 1 3 3	L3 HSC 83 85 85
Variable Domain RK35_VL_murine RK35-VL_1.0 RK35-VL_1.2 RK35-VL_1.3	CDR- Perfect 9mers 1 1 1 9	L1 HSC 73 76 76 89	CDR- Perfect 9mers 0 1 14 6	HSC 70 76 100 94	CDR- Perfect 9mers 1 3 3 7	HSC 83 85 85 91
Variable Domain RK35_VL_murine RK35-VL_1.0 RK35-VL_1.2 RK35-VL_1.3 RK35-VL_1.4	CDR- Perfect 9mers 1 1 1 9 9	L1 HSC 73 76 76 89 89 89	CDR- Perfect 9mers 0 1 14 6 14	HSC 70 76 100 94 100	CDR- Perfect 9mers 1 3 3 7 7 7	HSC 83 85 85 91 91

Perfect 9mers are the number of 9-mers in the sequence that can be found in a human germline.<sup>23</sup> HSC is the Human String Content score.<sup>23</sup>

classification, we evaluated all 3 affinity prediction methods and the single stability method on larger data sets of experimentally determined  $\Delta\Delta G$  of mutations with corresponding Xray crystal structures. For affinity measurements we utilized AbBind,<sup>63</sup> a large dataset that contains >1000 antibody:antigen interactions. To determine the predictive power of each method, we calculated their performance at correctly identifying affinity reducing mutations ( $\Delta\Delta G >=1.0$  kcal/mol). This is similar

to the hot-spot predictions to determine key interface residues.<sup>64-66</sup> We generated  $\Delta\Delta G$  predictions for the Prime and MacroModel methods on this data set and utilized predictions using the Discovery Studio method previously determined.<sup>63</sup> The results of these  $\Delta\Delta G$  predictions are shown in Supplementary Table 6. We analyzed these predictions for how well each method could identify an affinity reducing mutation, and whether the combination of methods added to the predictive performance. The results of this analysis are shown in Table 6. We used the same prediction cutoff as described above. For Discovery Studio, >0.5kcal was predicted to be affinity reducing and for Prime and MacroModel >1.0 kcal/mol was used. Those below this cutoff were defined as tolerated. For the individual predictions, Discovery Studio was able to correctly classify 62% of the affinity reducing mutations and 78% of the tolerated mutations, Prime was able to correctly classify 49% of the affinity reducing mutations, and 79% of the tolerated mutations, and MacroModel was able to correctly classify 50% of the affinity reducing mutations, and 86% of the tolerated mutations. In addition, we looked at the prediction accuracy of the 3 methods as measured by the percentage of predicted affinity reducing mutations that were also experimentally affinity reducing. For Discovery Studio, Prime and MacroModel these were 64%, 59% and 69%, respectively. The same prediction accuracy for tolerated mutations was 77%, 71% and 73%, respectively.

In addition to examining the methods individually, we also investigated whether a combined effect had more predictive power. This was indeed the case, as when 2 or more methods agreed, they accurately predicted the affinity reducing

#### Table 6. Analysis of Binding Affinity Prediction Methods.

	Number of Methods Predicted as Affinity Reducing					
Prediction	All 3	Only 2	Only 1	Majority	Minority	None
Affinity Reducing	108	102	97	210	179	82
Tolerated	27	63	147	90	528	381
Fraction Correct	0.80	0.62	0.40	0.70	0.75	0.82
Fraction Affinity Reducing	0.28	0.26	0.25	0.54	0.46	0.21
Fraction Tolerated	0.04	0.10	0.24	0.15	0.85	0.62
	Discov	very Studio	Pr	rime	Macrol	Model
Prediction	AR	Tol	AR	Tol	AR	Tol
Affinity Reducing	243	146	189	200	193	196
Tolerated	135	483	131	487	88	530
Fraction Correct	0.64	0.77	0.59	0.71	0.69	0.73
Fraction Affinity Reducing	0.62	0.38	0.49	0.51	0.50	0.50
Fraction Tolerated	0.22	0.78	0.21	0.79	0.14	0.86

The total number of affinity reducing and tolerated mutants found in each prediction group is shown for: (top) number of methods predicting a mutant to be affinity reducing, and (bottom) individual methods predictions of affinity reducing (AR) and tolerated (Tol) mutations. The Fraction correct is the true positive rate for "All 3" AR (n=3), "Only 2" AR (n=2), "Only 1" AR (n=1) and "Majority" AR (n>=2) predictions and "AR" predictions for individual methods, and the true negative rate for "Minority" AR (n<=1) and "None" AR n=0 predictions and "Tol" predictions for individual methods. The fraction affinity reducing and tolerated mutants in that group divided by the total number of affinity reducing or tolerated mutants, respectively.

mutations 70% of the time and tolerated mutations 75% of the time. Moreover, when all 3 methods agreed, this accuracy increased to 80% and 82%, respectively. This enables us to better understand why the prediction accuracy of RK35 mutants was quite high, as 16 of 23 mutations fall into the combined slightly favorable/neutral groups (all predicted as tolerated) and 2 of 23 in the very unfavorable group (all predicted as affinity reducing) (See Supplementary Results for further analysis of affinity prediction of individual mutations).

For stability measurements, we could not identify a database similar to AbBind that contains antibody specific mutations, so we evaluated a large dataset of >1000 mutations from 35 different protein types with available experimental stability data and crystal structures.<sup>67</sup> We predicted the change of stability for this data set using the Discovery Studio method (Supplementary Table 7). As before, we defined destabilizing mutations as those with an experimental  $\Delta\Delta G >= 1.0$  kcal/mol. We used the same type of analysis here as for the binding affinity dataset, but with only the single Discovery Studio method (Table 7). Here the prediction cutoff was 0.5 kcal/mol to separate destabilizing from tolerated mutations. For stability mutations, Discovery Studio was able to correctly classify 85% of destabilizing mutations, and 48% of tolerated mutations. The accuracy of predicting destabilizing mutations was 67% and for predicting tolerated mutations was 72%. The classification and accuracy of the stability measurements was similar to that of affinity, but with only a single method on which to base the categorization, the expected performance on the designed mutants would be somewhat reduced (See Supplementary Results for further analysis of stability prediction of individual mutations).

# Discussion

In this work we described a method of antibody humanization utilized to reduce the non-human sequence content in the frameworks and CDR regions. The initial step of humanization by CDR grafting onto a human germline frequently requires one or more back-mutations to restore activity.<sup>16,68-70</sup> However, in the case of humanizing RK35, no back-mutations were required. The ease of humanization of this antibody was not overly surprising given the similarity between the chimeric and CDR-grafted variants. Several methods of humanization of murine antibodies have been described previously, demonstrating a list of sites that have been frequently identified to be important to maintain the CDR loop conformation.<sup>16,68-70</sup> Of the sites that differ between the humanized and murine RK35 variable domains, McCafferty et al.<sup>70</sup> included only the H94 and L48 positions as residues being important for canonical conformation. Both the R H94 K and I L48 L mutation are very conservative. In addition, 2 residues, H49 and L48, are part of the Vernier zone.<sup>69</sup> These sites are described as being residues directly underneath the CDRs. L48 has a conservative mutation as described above and H49 is also a small change with a S\_H49\_A mutation. All the other mutations are not identified as critical for humanization by these methods. It is somewhat unique in this case to have both the chimeric and humanized crystal structures available to understand the humanization process. Given the similarity of the 2 structures, it becomes more apparent why the humanization was so straight-forward. The CDR positions are seen to be nearly identical between the 2 constructs, particularly the residues making

Table 7. Analysis of Stability Prediction Method.
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	Discovery Studio	
Prediction	Des	Tol
Destabilizing	482	83
Tolerated	239	217
Fraction Correct	0.67	0.72
Fraction Destabilizing	0.85	0.15
Fraction Tolerated	0.52	0.48

The table shows total number of destabilizing (Des) and tolerated (Tol) mutants found in each prediction group for the Discovery Studio stability prediction method. The Fraction correct is the true positive rate for destabilizing predictions and true negative rate for Tolerated predictions. The fraction destabilizing and fraction tolerated are the number of experimentally determined destabilizing and tolerated mutants in that group divided by the total number of destabilizing or tolerated mutants, respectively.

contact with myostatin. Thus the conservative differences in the H94, L48 and H49 positions do not alter the structure significantly, and were therefore not required to be back-mutated.

The ability to obtain co-crystal structures to determine the amino acids in the CDRs that form the antibody paratope allowed for the rational design approach to further humanize and optimize the RK35 antibody. For the most part, we accurately predicted which mutations would be tolerated and which would be unfavorable. The confidence in the affinity predictions was increased when different prediction methods agreed and had less confidence when they differed. The difference in the predicted  $\Delta\Delta G$  is associated with the construction of each individual method in terms of force fields, solvation models, sidechain placement and minimization. As has been reported before, individual score functions perform differently on different types of predictions.<sup>63,71</sup> Despite the different prediction methods, these methods appear to be somewhat complementary as there is an increased predictive power when all 3 make the same categorization. This enhancement based upon the agreement of the methods is probably due to a combination of factors, including the identification of residue positions that are easier to classify and those that are not associated with inaccurate biases in any particular method.

The reduction of xenogenic content in antibodies beyond CDR grafting increases the confidence of reduced immunogenicity risk before entering the clinic. Experimental conformation of this risk during preclinical assessment of immunogenicity could be performed in several ways,72 including the use of T cell proliferation assays. These assays use healthy donor peripheral blood mononuclear cells to investigate if the drug of interest, or specific peptide from the drug, is presented to T cells and results in the proliferation of those T cells. These methods are most appropriately used as indicative of the risk of inducing an immune response, rather than definitive measures of which peptides will induce the immune response. However, at present, these assays are neither technically nor clinically validated, and therefore are not as of yet reliable predictors of the expected immune response in patients.<sup>72</sup> Without such validated preclinical models, utilization of humanness as a metric, which has been demonstrated here and in the literature to correlate with decreased immunogenicity,<sup>59</sup> gives us further confidence in reducing this potential immunogenicity risk. Ultimate assessment, however, would require clinical validation of these antibodies.

The current work on further germlining the CDR-grafted RK35 antibody is another demonstration that not all residues in the CDRs are necessary for retained antigen binding, making additional optimization of the potential immunogenicity risk associated with non-human sequence content, stability and other biophysical properties possible. This approach of removing murine content through mutations of tolerated germline residues is possible without the knowledge of the antibody:antigen complex crystal structure. This can be accomplished through experimental screening and selection methods like those described in Townson et al.<sup>57</sup> There they described a method of phage display using germline substitutions in a binary selection library. From their CDR germlining of 3 different antibodies they were able to remove 32 to 53 % of the non-human residues (11 to 16 total

residues) from the CDRs H1, H2, L1, L2 and L3 left after CDR grafting. These results are similar to the content removed in the methods described here, where we were able to remove 50 % of the non-human sequence content (11 residues). The precise amount of murine content removed will undoubtedly vary by antibody and the nature of the interaction with the antigen, but each method has similar success in terms of reduction of potential immunogenic residues.

In the method described here, the x-ray structures were successfully solved, but in general this can sometimes be a labor intensive and time-consuming process, and some antigens or antibody:antigen complexes may not be suitable for crystallization. Despite the up-front resources, the overall design process, as compared to experimental screening, was quick and straight-forward, requiring only the prediction of 23 different mutations, and the generation of 10 different antibody constructs. This resulted in the removal of a similar amount of sequence content as in the phage display experiment. Therefore, when an X-ray structure is available, this method would be an efficient way to reduce the potential immunogenic risk associated with non-human sequence content. Moreover, the utility of a solved crystal structure is not limited to optimization of the germline sequence. In silico optimization can be used for many other properties of antibodies required for successful development. Properties such as viscosity, aggregation propensity, chemical instability, phase separation and reduced clearance rates benefit from a co-crystal structure for direct prediction,<sup>73-76</sup> as well as to prevent the introduction of destabilizing and affinity reducing mutations. Additionally, as described previously, determining the epitope of interaction can be useful in understanding the biological activity and function of the antibody. Given all of these benefits, determining the crystal structure of antibody:antigen complex is frequently an activity performed independent of the germline optimization of the CDR residues. Therefore, methods that can take advantage of this effort with minimal additional resources are well warranted.

Given the diversity of doses, diseases and allotype tolerance of patients in the clinic, predicting immunogenicity continues to be a complex goal that the field is striving toward. While *in silico* and other prediction methods for immunogenicity continue to be explored, it is the general belief that the reduction of xenogenic content of antibodies will improve the chances that low immunogenicity will be seen. In this work, we demonstrated a straightforward approach to significantly reduce the non-human sequence content of an antibody with a minimal number of constructs screened. This should allow for the mitigation of some potential immunogenicity risk for the development of antibodies as biotherapeutics.

# **Material and methods**

#### Cell culture expression and purification

Transient expression of antibodies was performed in COS-1 M6 cells<sup>77</sup> by co-transfection of RK35 heavy and light chain V-regions cloned into mammalian expression vectors. To each 100 mm tissue culture dish (Corning 430176),  $40\mu$ l of Trans-IT (Mirus MIR2306) was added to 2 mls of room temperature

Optimem (Invitrogen-Gibco 11058-021) + glutamine 2 mM final concentration. This mixture of Optimem and Trans-IT was vortexed and incubated at room temperature for 15 minutes. Maxiprep DNA was added (8  $\mu$ g of heavy chain and 8  $\mu$ g of light chain) to the mixture and incubated at room temperature for 15 minutes. This solution was then added to the p100 containing ~8 ml of growth media (DMEM + HI FBS + Penn + Strep + Glutamine). After 24 hours at 37°C, 10% CO<sub>2</sub>, the cells were rinsed with R1CD1 (serum free growth media) and, 10 ml of R1CD1 + PSG was added to each p100. Conditioned medium was harvested after 48 hours at 37°C, 10% CO<sub>2</sub>, spun down to pellet cells and the supernatant was removed to a new tube.

The conditioned media was then filtered through a 0.22  $\mu$ m filter and loaded onto a Protein A FF Sepharose column equilibrated with phosphate-buffered saline-calcium-magnesium free (PBS-CMF) buffer (137mM NaCl, 2.7 mM KCl, 8.1 mM Na<sub>2</sub>HPO<sub>4</sub>, 2.7 mM KH<sub>2</sub>PO<sub>4</sub>, pH 7.2). The column was washed with 5 CVs of PBS-CMF pH 7.2 before the antibody was eluted over a gradient (0–100%) using a Protein A Eluting Buffer (20 mM citric acid, 150 mM NaCl, pH 2.5) and peak fractions were filter pooled. Peak fractions from the Protein A capture were loaded onto a 4.5 L size exclusion column packed with Superdex 200. The purified antibody was then loaded onto a 1.1 L G-25 buffer exchange column equilibrated with 10 mM histidine, 5% sucrose, pH 6.0. The antibody was then concentrated using an Amicon stir cell equipped with a 30,000MWCO filter.

Myostatin was expressed from a Chinese hamster ovary (CHO) cell line and purified similar to the method described in Thies et al.<sup>78</sup>

## Crystallization of the RK35 and myostatin complex

Chimeric RK35 was cleaved with immobilized papain for about 16 hours. Following cleavage, papain was removed using a disposable column. The digested antibody fragments were dialyzed to a low salt buffer and the Fab and Fc components were separated using a HiTrap SP ion exchange column. The Fab was subsequently exchanged to a standard myostatin binding buffer containing 2% CHAPS and myostatin was added to a final ratio of 1:2.25 Fab. The complex was subsequently loaded to a Superdex 200 sizing column. Chimeric RK35 Fab and myostatin were purified and the protein complex was concentrated to 10.75 mg/ml in a buffer of 50 mM tris hydrochloride pH 7.5 and 100 mM sodium chloride. Crystals were obtained using the hanging drop method with equilibration at 18°C against a solution containing 20% PEG MME 5000 and 100 mM bis-tris pH 6.5.

Crystals containing the humanized RK35 Fab and myostatin were obtained in a similar manner, except the protein solution was equilibrated against an unbuffered solution containing 20% PEG 3350 and 200 mM sodium chloride.

# Data collection and refinement

Single-wavelength (1.0 Å) data for each crystal was collected at beamline 22-ID (Southeast Regional Collaborative Access Team, SER-CAT). A single crystal, cooled to  $-180^{\circ}$ C, was used

for each data set. Data processing was carried out with the *HK2000* program.<sup>79</sup>

The structure of the chimeric RK35 in complex with myostatin was solved by molecular replacement using the program AMORE.<sup>80</sup> The probe used in the molecular replacement search was PDB entry 1HZH. Prior to refinement, 5% of the data were randomly selected and designated as an R<sub>free</sub> test set to monitor the progress of the refinement. The protein model was rebuilt using iterative cycles of *Coot* <sup>81</sup> with subsequent refinement with *Buster*.<sup>82</sup> Once RK35 had been rebuilt, the coordinates for myostatin as found in PDB entry 3HH2 were placed manually into difference density. Additional cycles of rebuilding models with *Coot* followed by refinement with *Buster* resulted in a structure with good geometry and statistics (Table 2).

The structure of the humanized RK35 in complex with myostatin was solved by molecular replacement using the structure of the chimeric RK35 and myostatin complex as the probe. The humanized RK35 molecules were rebuilt using iterative cycles of *Coot* with refinement using *Buster* yielding a structure with good geometry and statistics (Table 2).

#### Structure preparation for molecular modeling

The x-ray crystal structure used for this set of calculations was the chimera RK35 plus myostatin, which had a resolution of 1.76 A. This structure contained 6 protein chains per unit cell corresponding to 2 light chains (B and F), 2 heavy chains (A and E) and 2 chains of myostatin (C and D). For input structures, only chains A and B were used for stability calculations, and chains A, B, C and D were used for binding affinity calculations. These represent a single Fab dimer and the Fab dimer/ myostatin dimer complex, respectively. To prepare the structure for different calculation methods, 2 different preparation methods were used. First the dsv formatted structure was constructed by applying the "Prepare Protein" protocol of Discovery Studio 3.0 (Accelrys Inc.) to the stability and binding affinity input structures with default parameters and the CHARMPLR forcefield. Next the *mae* formatted structure was prepared from the binding affinity structure using the "prepwizard" script of the Schrödinger 2010 software suite (Schrödinger LLC) with default parameters and the OPLS2005 forcefield.

#### Calculation of change in stability upon mutation

The change in stability upon mutation was calculated by applying the "Calculate Mutation Energy (Stability)" protocol from Discovery Studio 3.0 to the dsv formatted Fab dimer structure. The default parameters were used with the exception of the Non-polar Surface Coefficient, which was set to 0.007.

# Calculation of change in binding affinity upon mutation

Three different methods were used to calculate the change in binding affinity upon mutation using the Fab dimer/myostatin dimer complex.

# Discovery studio method

The change in binding affinity upon mutation was calculated by applying the "Calculate Mutation Energy (Binding)" protocol from Discovery Studio 3.0 to the *dsv* formatted structure. The default parameters were used with the exception of the Nonpolar Surface Coefficient which was set to 0.007. The ligand was defined as the C and D chains.

## MacroModel method

The change in binding affinity upon mutation was calculated by using a script that ran different components of the MacroModel package from Schrödinger 2010. The method of the script was to mutate the residue of interest and then minimize the side-chain conformation using a combination of a Monte Carlo search algorithm of the selected side chain and a local continuous structure energy minimization that included the selected side chain and the local structural environment. This method used the OPLS2005 forcefield. The binding affinity was calculated by applying this method to the *mae* structure with chains A, B, C and D present (bound state) followed by 2 states that only contained chains A+B and C+D (unbound states). The binding energy was the difference between the bound state and sum of the unbound states. The change in binding affinity upon mutation was calculated by taking the difference in binding energies.

# **Prime method**

The change in binding affinity upon mutation was calculated using a script that ran different components of the Prime package from Schrödingerr 2010. The method of the script was to mutate the residue of interest and then minimize the side-chain conformation using a discrete side-chain search algorithm that included the target side chain and other local side chains. This method used the OPLS2005 forcefield. The binding affinity was defined by applying this method to the *mae* structure with chains A, B, C and D present (bound state) followed by 2 states that only contained chains A+B and C+D (unbound states). The binding energy was the difference between the bound state and the unbound state. The change in binding affinity upon mutation was calculated by taking the difference in binding energies.

# Total human IgG ELISA

Conditioned medium from transient transfection was quantitated by total human IgG-Fc- specific ELISA. Briefly, a flat-bottom ELISA plate (Costar 3590) was coated overnight at room temperature with 100 $\mu$ l of 1 $\mu$ g/ml goat anti-human IgG in PBS (Pierce 31125) in each well. Plates were blocked with 100  $\mu$ l/well of a 0.02% Casein Solution in PBS for a minimum of 3 hours or up to 24 hours at room temperature. If the plates were not used immediately, they were stored for up to a month at 4°C in storage buffer (0.02% NaN<sub>3</sub> in PBS). Standard and samples were run in serial dilution series in assay buffer (0.5% BSA + 0.02%Tween-20 in PBS) with 100  $\mu$ l added to the washed wells of the ELISA plate and incubated for 3 to 24 hours at room temperature. After the plate was washed, 100  $\mu$ l of goat anti-human IgG (Pierce 31413) diluted 1:5000 in assay buffer was added to well and allowed to incubate for 15 minutes at room temperature. The plate was washed and developed in 100  $\mu$ l per well BioFX TMB (TMBW-0100-01). The reaction was then stopped in 100  $\mu$ l per well 0.18 N H<sub>2</sub>S0<sub>4</sub> and the plate was read at 450 nm on Molecular Devices vMax plate reader. The unknowns were calculated from the linear range of the curve from the dilution series of the standard.

## Competition ELISA and RGA cell based activity assay

Standard Competition ELISA techniques, as well as a pGL3-(CAGA)<sub>12</sub> reporter assay (described below) were used to determine the IC<sub>50</sub> for inhibition of binding of myostatin to its receptor, ActRIIB, and inhibition of downstream signaling. A recombinant ActRIIBFc chimera (generated by fusing the extracellular domain of the human ActRIIB receptor with human IgG1 Fc region) was coated on 96well flat-bottom assay plates (Costar, Cat. No. 3590) at 1  $\mu$ g/ml in 0.2 M sodium carbonate buffer overnight at 4°C. Plates were then blocked with 1 mg/ml BSA in PBS 0.1% tween, 200  $\mu$ l/well, for 1 hour at room temperature or overnight at 4°C and washed following a standard ELISA protocol. To analyze the inhibitory activity, RK35 was tested at various concentrations by pre-incubation with 10 ng/ml biotinylated mature myostatin. After incubation for 45 minutes at room temperature, 100  $\mu$ l of a RK35 and biotinylated myostatin mixture was added to the plate and incubated for 1 hour at room temperature. After a wash step, streptavidin-horseradish peroxidase (SA-HRP, Southern Biotech 7100-05) diluted at 1:5000 was added and incubated for 30 minutes, followed by the addition of TMB (BioFX TMB TMBW-0100-01). Colorimetric measurements were taken at 450 nm in a Molecular Devices microplate reader.

To demonstrate the activity of myostatin, a reporter gene assay (RGA) was developed using a reporter vector pGL3  $(CAGA)_{12}$  expressing luciferase under control of a TGF $\beta$ induced promoter. The CAGA is a TGF $\beta$ -responsive sequence within the promoter of the TGF $\beta$ -induced gene PAI1. A reporter vector containing 12 CAGA boxes was made using the basic luciferase reporter plasmid pGL3 (Promega). The TATA box and transcription initiation site from the adenovirus major later promoter (35/+10) was inserted between the BgIII and HindIII sites. Oligonucleotides containing 12 repeats of the CAGA boxes, i.e., AGCCAGACA, were annealed and cloned into the XhoI restriction site. The human rhabdomyosarcoma cell line A204 (ATCC HTB82) was transiently transfected with pGL3(CAGA)<sub>12</sub> using FuGENE 6 transfection reagent (Boehringer). Following transfection, cells were cultured in 96well plates in McCoy's 5A medium supplemented with 2 mM glutamine, 100 U/ml streptomycin, 100  $\mu$ g/ml penicillin and 10% fetal calf serum for 16 hours. Cells were then treated for 6 hours at 37°C with or without 10 ng/ml myostatin in McCoy's 5A medium containing glutamine, streptomycin, penicillin, and 1 mg/ml bovine serum albumin. Luciferase was quantified in the treated cells using the Luciferase Assay System (Promega). To test the inhibitory activity of RK35, myostatin was pre-incubated with the antibody for 1 hour at room temperature. This mixture was then added to the transfected cells and incubated

for 6 hours at 37°C. Luciferase was quantified using the Luciferase Assay System (Promega).

# SPR analysis

SPR analysis was performed at 25°C using a BIACORE 3000 (GE Healthcare). Protein A was immobilized on all 4 flow cells of a CM5 sensor-chip using amine coupling chemistry. The surface was activated by injecting a solution of 0.2 M N-ethyl-Ndimethylaminopropylcarbodiimide (EDC) and 50 mM Nhydroxysuccinimide (NHS) for 7 minutes. Protein A was diluted to 50  $\mu$ g/ml in 10 mM sodium acetate buffer pH 5.0 and injected for 3 minutes at a flow rate of 10  $\mu$ l per minute. The surface was then blocked using 1 M ethanolamine (ETH) for 7 minutes. Final immobilization levels of protein A were between 1000-1200 Response Unit (RU). The immobilization procedure was followed by several washes with running buffer to equilibrate the surface (0.01 M HEPES pH 7.4, 0.15 M NaCl, 3 mM EDTA, 0.005% P20). The RK 35 antibodies were diluted to 0.25  $\mu$ g/ml in HBS-EP buffer. 5  $\mu$ l of each antibody was injected over Protein A coated flow cells 2, 3, or 4 at a rate of 10  $\mu$ l/minute, yielding approximately 200 RU of captured antibody. A titration series of mature myostatin was prepared in 0.01 M sodium acetate pH 5.0, 0.15 M NaCl, 3 mM EDTA, 0.005% P20, which was also used as the assay running buffer. The concentrations of the 2-fold dilutions of myostatin ranged from 4.0 nM to 0.125 nM and were injected over the captured antibody for 2 minutes at a flow rate of 50  $\mu$ l/minute and allowed to dissociate for 30 minutes. After each cycle of antibody capture and myostatin injection the sensor chip surface was regenerated with 30  $\mu$ l of 10 mM NaPO4, 0.5 M NaCl pH 2.5 at a flow rate of 50  $\mu$ l/minute. BIAevaluation software version 4.1.1 (GE Healthcare) was used for data analysis. Data were double referenced,<sup>83</sup> subtracting the signal contributed by the buffer and the reference surface. The Langmuir 1:1 model was used to globally fit the sensogram data and calculate K<sub>D</sub> values. An example sensogram is shown in Fig. S6.

# Sypro Orange experiment for thermostability

This assay has been described previously by King et al.<sup>84</sup> Briefly, samples were diluted to 0.1 mg/ml in PBS and Sypro Orange dye (5000x stock solution in dimethyl sulfoxide, Invitrogen) was added to a final concentration of 2.5x. Samples were placed in a 96 well plate in quadruplicate and heated from 20°C to 95°C at 1°C/min on an ABI Prism 7000 sequence detection system (Applied Biosystems). Fluorescence was detected using the SYBR detector. The raw data, fluorescence vs. temperature, was background-subtracted and fitted to 3 Gaussians in Excel. Based on the fitting, at each temperature the un-foldedness of the protein could be calculated. The temperature at which the protein is 1% unfolded, T1<sub>%</sub>, was used as the onset of unfolding.<sup>84</sup>

# pH stability solutions

As described before,<sup>84</sup> a protein A loading buffer (650 mM sodium sulfate, 20 mM sodium citrate, 20 mM boric acid and 20 mM sodium phosphate, pH 9) and protein A elution buffer (20 mM citric acid and 150 mM sodium chloride, pH 1.5),

were titrated resulting in 24 solutions from pH 9 to pH 1.5. For fluorescence experiments, 98  $\mu$ l of each of the pH buffers was placed in black, clear-bottom 96-well plates (Corning Inc.). Antibody solutions were concentrated to 5 mg/ml where necessary, using MicroCon 30 kDa cut-off filters (Millipore) and 2  $\mu$ l aliquots were added to the 96-well plate, for a final protein concentration of 0.1 mg/ml ( $\sim$ 0.67  $\mu$ M for an antibody). Following sealing and storage at 4°C for 24 hours, the plate with different pH solutions and protein was equilibrated at room temperature for 30 minutes. Aliquots of 5  $\mu$ l 8-anilinonaphthalene-1-sulfonic acid ammonium salt (ANS; Sigma-Aldrich) in RODI water were added to a final ANS concentration of 67  $\mu$ M, 100-fold molar excess over antibody. Fluorescence intensity was read immediately on an Infinite M1000 plate reader (Tecan Systems Inc.) exciting at 360 nm and reading the emission at 500 nm (20 nm bandwidth). The fluorescence signal of ANS in the various buffers was negligible and therefore not subtracted from the protein samples. Plotting fluorescence intensity against pH, a Boltzman sigmoidal curve was fitted to the data using Origin 7 SR2 (OriginLab). The pH<sub>50</sub> value corresponded to the pH value where the fluorescence was at half maximum.

#### In vivo experiment

Male C57Bl/6 mice purchased from Charles River Laboratories were housed in a facility with 12 hour light-dark cycle and fed standard mouse diet (Lab Diet 5053) and water ad libitum. All procedures performed on animals in this study were in accordance with established guideline and regulations, and were reviewed and approved by Pfizer Institutional Animal Care and Use Committee. Pfizer care facilities that supported this work are fully accredited by AAALAC International.

At 8 weeks of age, mice were given weekly IP injections of either 10 mg/kg mRK-35, 10 mg/kg MYO-029, or Vehicle (PBS). After two weeks of treatment, mice were anesthetized with ketamine/xylazine and the EDL muscle was removed and placed in an oxygenated Ringer's bath. A silk suture was tied to the proximal and distal ends of the EDL just beyond the myotendinous junction, and the muscle was suspended between the lever arm of a force transducer (Aurora Scientific) and an immobile post. The muscle was set to resting length  $(L_0)$  by a series of twitches. To determine isometric tetanic force, an electrical pulse at 120 Hz for one half second was applied to the muscle 3 times with a 5 minute rest period between each pulse and the maximum force at each pulse was recorded. Cross-sectional area was calculated by dividing the muscle mass by the mammalian muscle density (1.06 mg/mm<sup>3</sup>) multiplied by L<sub>0</sub> times the ratio of muscle length to fiber length (0.45).<sup>85</sup> Statistical analysis was done using a one-way ANOVA with Tukey's Post Test performed using GraphPad Prism version 6.03 for Windows, GraphPad Software, La Jolla California USA (www. graphpad.com).

# **Accession numbers**

The structures generated in this study were deposited in the Protein Data Bank (PDB) under accession numbers 5F3B and 5F3H, as described in Table 2.

# **Disclosure of potential conflicts of interest**

No potential conflicts of interest were disclosed.

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