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### CD14<sup>bright</sup>CD16<sup>-</sup> monocytes and sCD14 level negatively associate with CD4-memory T-cell frequency and predict HCV-decline on therapy

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#### Abstract

During HIV+HCV+ co-infection CD14<sup>bright</sup>CD16<sup>-</sup>-monocytes produce soluble immune-activation markers that predict disease-progression and poor IFNα-treatment response. We evaluated relationships among immune-activation, monocyte phenotype, CD4-memory T-cells and HCV-, CMV- and CMV/EBV/Influenza (CEF)-specific IFNγ-response, before and during IFNα-treatment. Effector-memory and central-memory CD4-T-cell frequencies were lower in HCV+HIV + than uninfected-donors, and correlated negatively with HCV-level, CD14<sup>bright</sup>CD16<sup>-</sup>-monocytes and plasma sCD14. sCD14 and CD14<sup>bright</sup>CD16<sup>-</sup> monocytes negatively correlated with IFNα-dependent HCV-decline. sCD14 negatively associated with and CD4 effector-memory T-cells positively-associated with CEF-specific IFNγ-response. These data support a role for memory-CD4 T-cells in HCV-containment, and link immune-activation and CD14<sup>bright</sup>CD16<sup>-</sup>-monocyte frequency to failure of interferon-dependent HCV-clearance.

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#### Keywords

HIV; hepatitis C; CMV; cellular immunity; monocyte; T cell

#### Introduction

Immune-activation predicts morbidity during HIV-infection [1, 2]. Soluble (s)CD14, IL-6, IP-10 and sCD163 are plasma markers of immune-activation in chronic viral-infection [2-8]. Elevated sCD14 levels negatively predict response to HCV IFN-therapy during HCV+HIV+ co-infection [3, 4, 9]. Monocyte-activation is also negatively associated with response to HCV-therapy [10], and monocytes contribute to immune-activation via mechanisms that include elaboration of sCD14, IP-10, sCD163 and IL-6 in HIV and HCV infections [11-14].

Containment and clearance of HCV is dependent on CD4 T-cells [15-17], and positively associated with IFN $\gamma$  and cytolytic function [15, 18-21]. HCV-specific memory T-cells are positively associated with resolution of acute HCV-infection [16, 22]. Monocytes may partly shape this HCV-directed response, via direct-contact and polarizing cytokines [20, 21]. Our prior findings indicate soluble-factors of immune-activation, including IL-6 and sCD14, can impair CD4 T-cell responses [23, 24]. We extend these studies here to evaluate the role of immune-activation, monocyte-subset frequency, and CD4-memory T-cells in host control of HCV and IFN $\alpha$ -treatment-induced HCV-clearance during HCV+HIV+co-infection.

#### Methods

AIDS Clinical Trials Group (ACTG) A5294 was a phase-3 trial evaluating efficacy of boceprevir/pegylated-interferon (PegIFN)/ribavirin (rbv) in the setting of HCV+HIV+ co-infection. PegIFNa/rbv lead-in for 4 weeks preceded addition of boceprevir. Sixty-four HCV-treatment-naïve participants from A5294 whose entry criteria included at least 8 weeks on antiretroviral-therapy (ART) with CD4 count >200/mm<sup>3</sup>, genotype 1 HCV-infection, HIV-1 RNA <50 copies/mL and HCV RNA data were selected. After obtaining IRB-approved consent, peripheral blood mononuclear cell (PBMC) and plasma samples were prepared at each clinical-site, cryopreserved, and sent to a central-storage facility. Cryopreserved PBMCs from baseline (week 0), and plasma from baseline and week 4 of boceprevir/PegIFNa/rbv treatment were analyzed. Plasma and PBMC from uninfected control (n=25), and HCV+ mono-infected (n=34) participants were obtained at the Cleveland VA hospital under a separate IRB-approved protocol.

Plasma was evaluated for sCD14, IL-6, IP-10 and sCD163 by enzyme-linked immunosorbent assay (R&D Systems, Minneapolis, Minnesota).

Cryopreserved PBMCs were thawed and stained with monoclonal antibodies. Viable lymphocyte-gated cells that were CD3+CD8-CD4+CD27-/+CD45RO-/+ were analyzed. For monocyte phenotype, we quantified proportions of viable-gated cells that were CD14<sup>bright/dim</sup>CD16<sup>-/+</sup>. CD86 expression was recorded as mean fluorescence intensity, compared to isotype control.

HCV-peptides (n=441, 18aa each, overlapping by 11aa) representing the entire HCV-1a H77 sequence, CMV/EBV/Influenza (CEF) peptide pool (32 immunodominant CD8 epitopes) and CMV (pool of 138 15-mer peptides of the pp65 protein, overlapping by 11 aa) were supplied by the National Institutes of Health AIDS Research and Reference Reagent Program (Division of AIDS, NIAID). HCV peptides were pooled together into 10 pools (27-61 peptides/pool) according to viral protein region (core peptides 1-27; E1 peptides 28-55; E2 peptides 56-107; NS2 plus P7 peptides 108-147; NS3-1 peptides 148-193; NS3-2 peptides 194-239; NS4 peptides 240-287; NS5A peptides 288-348; NS5B-1 peptides 349-394; and NS5B-2 peptides 395–441). Peptide-pools were utilized at a final concentration of 2.7µg/mL each peptide ( 0.5% DMSO; Sigma). PBMCs (3×10<sup>5</sup> /well) were plated onto 96well IFN-y ELISPOT plates in presence or absence of CEF-, CMV-peptide, or each of the 10 HCV-peptide pools, incubated for 20hrs at 37°C, developed and analyzed as described [25-29]. A response was characterized as IFN- $\gamma$ -production frequency 3-fold greater than mean-background frequency with 15 spot-forming units (sfu) per well, as described [30]. Using similar criteria, we have previously observed no responses to HCV-peptide pools in healthy control or disease control subjects [25-29, 31].

We evaluated associations between continuous variables using Spearman rank correlation coefficients and inter-group comparisons by Mann-Whitney U test, both rank-based methods. All tests of significance were two-sided and P-values .05 were considered significant. Analyses were performed using SPSS for Windows v. 20.0 (IBM Corp, Armonk, New York).

#### Results

Baseline characteristics of the study participants are summarized in Supplemental Table 1. HCV+HIV+ co-infected participants had higher AST and ALT levels, higher and APRI score than uninfected controls. CD4 T-cell subsets were defined by expression of CD27 and CD45RO, withcentral-memory (CM) CD27+CD45RO+ and effector-memory (EM) CD27-CD45R0+, as previously described [32]. The data demonstrate that CD4CM and EM frequencies were lower in HCV+HIV+ co-infected compared to uninfected participants and HCV+ mono-infected participants; while HCV mono-infected participants had higher CD4CM and EM-T-cell frequencies compared to uninfected participants (Fig. 1a-b). While it is well documented that T-cell-mediated immunity is vital for HCV-clearance [15, 16, 18, 19, 22], the relationship between frequencies of CD4-memory T-cell subsets and HCVcontrol during HCV+HIV+ co-infection has not been investigated. We observed negative correlations between CD4CM T-cell frequency and HCV-level (r= -0.320, p=0.012), as well as CD4EM frequency and HCV-level (r= -0.368, p=0.004) prior to HCV-therapy in HCV +HIV+ co-infected patients (Fig. 1c). We noted similar negative associations between CD8CM and EM-T-cell frequencies and HCV-level prior to start of HCV-therapy (r=-0.268, p = 0.039; r = -0.368, p = 0.004 respectively, not shown). CD4CM and EM-T-cell absolute counts also negatively correlated with HCV-level (r= -0.273, p= 0.033; r= -0.33, p= 0.008, respectively, not shown). In HCV mono-infection, there was no association between CD4CM and EM frequencies and pre-treatement HCV viral load. These results are consistent with CD4CM and EM T-cells playing a role in HCV-control during HCV+HIV +co-infection.

To understand potential relationships among monocytes, HCV-level and CD4-memory T-cell populations, classical CD14<sup>bright</sup>CD16<sup>-</sup>, intermediate CD14<sup>bright</sup>CD16<sup>+</sup> and patrolling CD14<sup>dim</sup>CD16<sup>+</sup> monocytes) were analyzed as described [33]. In HCV+HIV+ co-infected participants, classical-monocyte frequency negatively correlated with CD4CM and EM frequencies (Fig 1d)and absolute counts (r= -0.609, p< 0.001; r= -0.478, p< 0.001, respectively, not shown). Upon activation, monocytes produce soluble markers of immuneactivation, including sCD14, IP-10, IL-6 and sCD163 [11], known to be elevated in patients with chronic HCV and HIV-infections [11-14]. Expectedly, serum sCD163, IP-10, and IL-6 were significantly higher in HCV+HIV+ co-infected participants compared to uninfected controls (not shown). These soluble immune-activation markers positively correlated with each other. Specifically, IL-6 levels were positively correlated with both sCD163 and sCD14 levels (Supp Fig 1a-b), and sCD163 directly correlated with IP-10 levels (Supp Fig 1c). sCD163 and IP-10 positively correlated with APRI score (r=0.571, p<0.001; r=0.300, p=0.017, respectively), a marker of liver fibrosis (Supp Fig1d-e). There was a trend towards classical-monocyte frequency positively correlating with levels of IP-10 (r=0.281, p=0.053), IL-6 (r=0.481, p=0.055), and sCD14 (r= 0.214, p=0.100) in HCV+HIV+. co-infected participants. No relationship was observed between classical-monocyte frequency and soluble markers in uninfected controls.

Upon treatment with Peg-IFNa/rbv, we observed an increase in sCD14, similar to our previous findings [3], and an increase in IL-6 during 4 weeks of therapy (not shown). In contrast, levels of sCD163 and IP-10 decreased during treatment (not shown). Baseline classical-monocyte frequency correlated negatively with HCV-decline at week 4 of PegIFNa/rbv-therapy (r=-0.33, p=0.020, Fig. 2a). We found a similar trend between classical-monocyte frequency and HCV-decline at 4 weeks of therapy in HCV mono-infected subjects (r= -0.250, p=0.180, not shown). Baseline sCD14 negatively correlated with HCV-decline (Fig. 2b), as we have previously reported [3]. CD4EM baseline frequency (Fig. 2c) and absolute count (not shown) positively correlated with greater HCV-decline (r=0.300, p=0.016; r=0.273, p=0.036) and negatively correlated with sCD14 levels at baseline (r= -0.254, p=0.048, Fig. 2d). In addition, there was a negative correlation between IL-6 level and CD4EM T-cell frequency (r= -0.301, p=0.018 not shown), indicating soluble immune-activation markers negatively associate with CD4 EM frequency. The negative association found between CD4CM and EM frequencies and HCV prior to therapy (Fig 1b), was upheld at week 4 of therapy (not shown).

We next examined whether anti-viral effector T-cells play a role in these relationships. We evaluated CEF-, CMV- and HCV-specific T-cell frequency by IFN $\gamma$  ELISPOT. 52% of HIV +HCV+co-infected participant PBMC samples responded to HCV-peptides, while 82% and 84% responded to CEF and CMV peptide pools, respectively. There was no association between cumulative frequency of HCV-specific T-cell responses and HCV-level or therapy-response. However, previous work has indicated that the response to therapy may be more related to the breadth of the response to HCV than magnitude of response [16, 17, 34, 35]. When the number of HCV-peptide pools targeted was examined, we observed a modest trend for enhanced IFN $\alpha$ -dependent HCV-decline among subjects who responded to 5 or more peptide pools (5 was the median number of pools targeted) (p=0.200). Given that CEF-peptide responses are CD8 T-cell-driven, we evaluated potential associations between CD8

T-cells and CMV- or CEF-specific IFN $\gamma$ -responses. As anticipated, we observed a positive trend between CD8CM and EM T-cell frequency and CEF-specific IFN $\gamma$ -response (r=0.258, p=0.080; r=0.215, p=0.150). sCD14 negatively associated with CEF-specific T-cell responses (r= -0.361, p=0.011, Fig. 2e). We also found CD4EM T-cell frequency and count positively associated with CEF-specific IFN $\gamma$ -response (r=0.351, p=0.016; r=0.302, p=0.040), the former shown in Fig. 2f. These results support the role of CD4EM T-cells in anti-viral-specific immune-function and suggest that sCD14 may be a negative predictor of anti-viral immune function.

#### Discussion

T-cell-mediated immunity plays a vital role in HCV-clearance [15, 17, 18], presumably by cytolysis of infected cells and IFN $\gamma$ -secretion [15, 18, 19]. Consistent with prior studies, our data show a negative relationship between both CD4CM and EM T-cell frequencies and HCV-level during HCV+HIV+ co-infection (Fig. 1b). There were similar negative associations between CD8CM and EM T-cell frequencies and HCV-level before start of HCV-therapy. We observed a positive association between CD4EM frequency and CEFspecific T-cell response (Fig. 2f), and a trend towards an association between HCV-specific T-cell breadth and IFNa-induced HCV-therapy response, supporting a role for CD4-memory T-cells in anti-viral immune-function. Furthermore, there was a positive relationship between CD4EM T-cell frequencies and greater IFNa-induced HCV-decline magnitude (Fig. 2d). An alternative to CD4 T-cells directly playing a role in viral-control would be that HCV directly or indirectly has a negative effect on CD4CM and EM numbers. To address the effect of HIV, we evaluated these cells during HCV+ mono-infection, where we observed heightened CD4CM and EM frequencies compared to those in uninfected participants (Fig 1a-b), and no relation between CD4CM or EM frequency and HCV-level. The latter suggests that the relationship may be restricted to persons with HIV-infection, possibly uncovering an interaction only when immune function is impaired, as is the case when CD4 numbers and function are reduced during HIV co-infection.

CD4CM and EM T-cell frequencies negatively associated with sCD14 (Fig. 2b) and IL-6, which in turn negatively predict HCV-therapy response [3, 4, 9]. These associations would be consistent with a negative impact of these factors on T-cell function. In fact, IL-6 has been shown to impair CD4 T-cell induction of pro-survival factor BCL-2 upon IL-7-stimulation [23], and there is at least one report that sCD14 can directly inhibit CD4 T-cell IL-2-production [24].

For the first time, we show that classical-monocyte frequency is negatively associated with both CD4CM and EM frequencies (Fig. 1c) and HCV-decline magnitude at week 4 of PegIFNα/rbv-therapy (Fig. 2a), consistent with a potential negative role for classicalmonocytes in IFNα-dependent T-cell-mediated HCV-clearance. No association was seen between patrolling- or intermediate-monocytes and HCV-levels, suggesting specificity of the finding. Circulating monocytes have an overlapping phenotype with Kuppfer cells, the resident liver-macrophages, both characterized by expression of CD14 [36]. Circulating monocytes are also capable of infiltrating into the liver and serving as precursors to Kuppfer cells [36]. Upon stimulation, classical-monocytes shed CD14 [11], CD163, and produce

IP-10, IL-6 and TNF [12, 14]. Here sCD14 negatively associated with CEF-specific IFN $\gamma$ -response (Fig. 2e). Together, these data suggest negative roles for classical-monocytes and sCD14 in anti-viral immune-function.

Our results extend our understanding of endogenous and exogenous mechanisms of IFNaaction and immune-mechanisms of HCV-control. In the IFN-free HCV-treatment era, the majority of treated subjects will achieve sustained virologic response (SVR) [37]. However, a treatment-resistant population exists [37], and HCV- eradication worldwide will likely require a preventative vaccine. Further evaluation of the role of T-cell and monocyte immune-function will inform refinement of therapeutic-strategies towards further improved viral-control and vaccine designs.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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## Figure 1. CD4CM and EM Cells are reduced in HCV+HIV+ co-infection and negatively correlate with HCV-level and classical monocyte frequency

A) Representative CD4 T cell subset gating strategy of cryopreserved PBMC of HCV+HIV +co-infected, HCV-infected and uninfected subjects (controls) which were stained with Yellow Live/Dead stain (Invitrogen, Grand Island, New York), anti-CD3-AlexaFluor700 (clone UCHT1), anti-CD14-Alexaflour700 (M5E2), anti-CD16-APC-H7 (3G8), anti-CD4-PE (RPA-T4), anti-CD8-PerCP (53-6.7), anti-CD27-PE-Cy7(M-T271), anti-CD45RO-FITC (UCHL1), anti-CD86-PE-Cy7 (IT2.2) or isotype controls. Flow cytometry data were acquired on a BD LSRII flow cytometer (BD Biosciences), and analyzed using FlowJo (TreeStar). Live cells were identified by forward and side scatter and viability. B) Week 0 CD4+CM and CD4+EM T cell frequencies (%) of each group. C) Baseline (Week 0) CD4 CM (top) and EM (bottom) frequencies of HCV+HIV+co-infected participants in relation to HCV level in absence of exogenous IFN. D) Week 0 classical monocyte (CD14<sup>bright</sup>CD16<sup>-</sup>) frequency (%) of HCV+HIV+co-infected participants (n=49) in relation to CD4 CM and EM frequencies.



Figure 2. Classical Monocyte frequency and sCD14 are negatively associated with HCV decline, while CD4EM frequency is positively associated with HCV decline during exogenous IFN