

Biallelic *SUN5* Mutations Cause Autosomal-Recessive Acephalic Spermatozoa Syndrome

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Acephalic spermatozoa syndrome is a rare and severe form of teratozoospermia characterized by a predominance of headless spermatozoa in the ejaculate. Family clustering and consanguinity suggest a genetic origin; however, causative mutations have yet to be identified. We performed whole-exome sequencing in two unrelated infertile men and subsequent variant filtering identified one homozygous (c.824C>T [p.Thr275Met]) and one compound heterozygous (c.1006C>T [p.Arg356Cys] and c.485T>A [p.Met162Lys]) *SUN5* (also named *TSARG4*) variants. Sanger sequencing of *SUN5* in 15 additional unrelated infertile men revealed four compound heterozygous (c.381delA [p.Val128Serfs*7] and c.824C>T [p.Thr275Met]; c.381delA [p.Val128Serfs*7] and c.781G>A [p.Val261Met]; c.216G>A [p.Trp72*] and c.1043A>T [p.Asn348Ile]; c.425+1G>A/c.1043A>T [p.Asn348Ile]) and two homozygous (c.851C>G [p.Ser284*]; c.350G>A [p.Gly114Arg]) variants in six individuals. These 10 *SUN5* variants were found in 8 of 17 unrelated men, explaining the genetic defect in 47.06% of the affected individuals in our cohort. These variants were absent in 100 fertile population-matched control individuals. *SUN5* variants lead to absent, significantly reduced, or truncated *SUN5*, and certain variants altered *SUN5* distribution in the head-tail junction of the sperm. In summary, these results demonstrate that biallelic *SUN5* mutations cause male infertility due to autosomal-recessive acephalic spermatozoa syndrome.

Approximately 15% of couples have difficulties conceiving, and male factors are likely involved in half of these.¹ Teratozoospermia is the main cause of male infertility. Acephalic spermatozoa syndrome is an apparently rare and severe type of teratozoospermia causing male infertility. So far, more than 20 cases have been reported, and its genetic cause in humans remains unknown.^{2–14} Semen from infertile men with this syndrome consistently shows nearly 100% abnormally shaped spermatozoa. The majority is comprised of headless tails, as well as a very small proportion of intact spermatozoa with an abnormal head-tail junction and also a few tailless heads. This syndrome has a typical ultrastructural anomaly involving the absence of the implantation fossa and basal plate between the sperm head and the tail. This sperm anomaly can be easily identified through detection of tailless heads, as well as of sperm with an abnormal head-tail junction.^{7,10,11} As for the acephalic sperm, they contain a normally arranged proximal centriole and other components in the normal sperm tail.^{7,10,11} This syndrome has been previously identified to be familial, and has also been found in a consanguineous family,^{11,12} strongly suggesting genetic origin.^{5,11,14} Whereas, in mice, loss of function of several genes (*Hook1*,¹⁵ *Prss21*,¹⁶ *Oaz3*,¹⁷ *Cntrob*,¹⁸ *Ift88*,¹⁹ *Odf1*,^{20,21} and *Spata6*²²) can cause male infertility due to acephalic spermatozoa syndrome, no mutations of these genes have been identified in humans. This can be explained by either genetic heterogeneity underlying this syndrome or differing functions or molecular pathogenesis between

mice and humans.²³ To identify the responsible gene variants in humans, we performed whole-exome sequencing (WES) in two unrelated infertile men with this syndrome and identified *SUN5* variants as the likely cause of the syndrome. Subsequent screening of 15 other unrelated affected individuals identified additional autosomal-recessive mutations, which were functionally assessed for loss-of-function effect.

Altogether, we have collected data from 17 infertile men with acephalic spermatozoa syndrome who were referred to us for primary infertility. We have evaluated all available clinical data. There were no previous records of significant illnesses, and complete andrological examinations of these individuals were normal. Numerous previous semen analyses revealed severe teratozoospermia. In all affected individuals, the predominant anomaly was acephalic spermatozoa, with a variable but low proportion of abnormal head-tail junctions and tailless heads (Figures 1A and 1B). Other semen parameters available for different individuals consisted of different amounts of oligozoospermia (less than 15×10^6 spermatozoa/mL) and asthenospermia (progressive motility of 0% in all cases) (Tables S1 and S2). Electron micrographs revealed acephalic spermatozoa (or decapitated tails) in all cases. The sperm otherwise contained a normally arranged proximal centriole and segmented columns (Figures 1C and 1E). The tailless heads (or decapitated heads) contained the nucleus, often enlarged, with or without a typical acrosome structure. Interestingly, all affected individuals had two consistent

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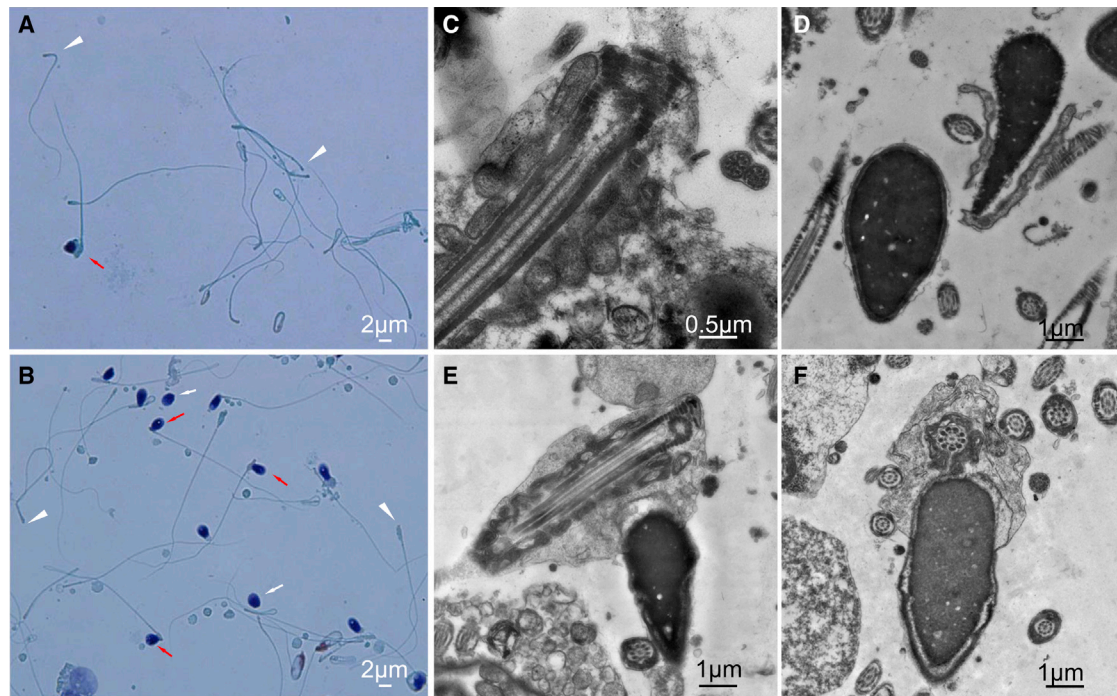


Figure 1. Analysis of the Sperm of Infertile Men with Acephalic Spermatozoa Syndrome Due to Mutations in *SUN5*

(A and B) Photomicrographs of ejaculated semen smear stained by Papanicolaou. The majority of the ejaculated spermatozoa are headless (white arrowhead). A small proportion of intact spermatozoa have an abnormal head-tail junction (red arrow), and a few tailless heads (white arrow) can be observed.

(C–F) Transmission electron micrographs of the representative spermatozoa. A headless spermatozoon contains the proximal centriole, segmented columns, mitochondria, and all the other components normally included in the tail (C). A tailless spermatozoon contains a slightly enlarged nucleus without a typical acrosome structure but lacks the implantation fossa and basal plate (D). A longitudinal section (E) and a cross section (F) of a spermatozoon with an abnormal head-tail junction show that the head is laterally inserted into the cytoplasmic residual body of the proximal tail. The head contains the nucleus and almost normally shaped acrosome but lacks the implantation fossa and basal plate at the caudal pole of the nucleus. The tail contains the proximal centriole, segmented columns, mitochondria (showed in C), and normally arranged axoneme (showed in C and E).

abnormalities: the absence of the implantation fossa and the basal plate (Figure 1D). The spermatozoa with abnormal head-tail junctions showed a nearly normal-shaped nucleus and acrosome but a lack of the implantation fossa and the basal plate in the head. The heads were attached to the tip or the sides of the midpiece without a linear alignment of the sperm axis, and angles of 90°–180° were frequently observed between the heads and tails (Figures 1E and 1F). No additional affected family members were identified among the relatives of these 17 unrelated individuals. However, 6 of our affected individuals (35.3%) were from consanguineous families. All of them had a normal karyotype (46, XY). DNA samples were obtained from all 17 individuals, 6 of whom also provided semen for western blotting and immunofluorescence studies. Additional semen samples for research purposes could not be obtained from any of the affected individuals. Testicular biopsies were not performed. Additionally, 100 DNA samples of unrelated, anonymous, male sperm donors from the same population were used as controls. Written informed consent was obtained from all participants. We have also used one human testis sample for RNA extraction from an individual with prostate cancer after obtaining oral consent from him. This individ-

ual was scheduled for bilateral castration as part of cancer treatment at the department of urology in the hospital. This study protocol was approved by the human research ethics committee of our hospital and the university.

We performed WES in two unrelated infertile men with this syndrome who were initially referred to us for infertility. Genomic DNA library was captured and enriched with the SureSelect Human All Exon V5 (Agilent), according to the manufacturer's protocol. Exome sequencing was performed on the HiSeq2000 sequencing platform (Illumina). Sequenced reads were collected, filtered for quality, and aligned to the human reference sequence (UCSC Genome Browser hg19) with the Burrows-Wheeler Aligner.²⁴ Genotypes were called by SAMtools,²⁵ Picard, and GATK. Sequence variants including single-nucleotide variants (SNVs) and small insertions or deletions (indels) were annotated by ANNOVAR software.²⁶ Common variants (defined as 10% frequency in 1000 Genomes) were excluded if they were present in the dbSNP (v.138 and v.142) database, the 1000 Genomes Project, the HapMap CHB (Han Chinese in Beijing, China) population, the National Heart, Lung, and Blood Institute Exome Sequencing Project (ESP) Exome Variant Server (EVS), or the Exome Aggregation Consortium (ExAC) Browser.

SNVs and indels were classified by position as intergenic, 5' UTR, 3' UTR, intronic, splicing, or exonic. Exonic variants were then classified by predicted amino acid change as a stopgain, missense, synonymous, or frameshift variant, inframe insertion or deletion, or possible splice-site mutation (Table S3). For coding or splice-site mutations, the conservation at the variant site and the predicted effect on protein function were evaluated with in silico tools SIFT,²⁷ PolyPhen-2,²⁸ MutationTaster,²⁹ and NNSplice.³⁰ Initially, we filtered genes that had predicted deleterious variants in both unrelated affected individuals. Full whole-exome data of both individuals are available upon request.

On the basis of the family pedigrees and clinical observations, we postulated an autosomal-recessive genetic model for this syndrome (Figure 2A). In family 1 (F1), the affected male is an offspring of a consanguineous marriage. To identify the likely responsible genetic variant(s) for this individual, F1:II-3, we focused on homozygous, identical-by-descent, predicted-to-be-damaging variants. There were three variants fulfilling this criterion: an acceptor splice-site variant in *DDX31* (MIM: 616533), a missense variant in *WDFY2* (MIM: 610418), and a missense variant in *SUN5* (MIM: 613942) (Table S4). Both *WDFY2* and *SUN5* were within two larger (>1 Mb) and thus likely identical-by-descent homozygous intervals (Table S3). Subsequently, we filtered and prioritized variants of individual II-4, from a non-consanguineous family (family 2 [F2]), to identify homozygous or compound heterozygous variants. No potentially damaging homozygous variants were detected. However, 11 compound heterozygous missense variants in five genes—*LONRF2*, *ZDHHC24*, *HELZ2* (MIM: 611265), *DNAH17* (MIM: 610063), and *SUN5*—fulfilled this criterion (Table S5). Because *SUN5* variants were identified in both families, *SUN5* (also called *TSARG4* or *SPAG4*^{31,32}) seemed the most appropriate candidate gene for this infertility syndrome.

The homozygous *SUN5* (GenBank: NM_080675.3) missense variant identified in individual F1:II-3, c.824C>T (p.Thr275Met), alters a highly conserved amino acid residue and is predicted to be highly damaging (Table 1). The unaffected parents and two sisters were heterozygous carriers for the variant (Figure 2A). Compound heterozygous missense variants were identified in individual F2:II-4, (c.1066C>T [p.Arg356Cys] and c.485T>A [p.Met162Lys]). Both variants disrupted highly conserved amino acids (Figure S1), and these substitutions are predicted to be highly damaging (Table 1). The affected individual inherited the c.1066C>T allele from his heterozygous father and the c.485T>A allele from his mother. One sister also carries the c.485T>A allele, and neither of these variants was detected in the unaffected brother.

Subsequently we screened 15 other unrelated individuals with a similar type of infertility by Sanger sequencing (primers in Table S6) and found candidate *SUN5* variants in six of them. Four of these individuals had compound heterozygous variants and two had homozygous variants

(Figure 2A and Table 1). Segregation analysis could not be performed in the consanguineous family 8, with homozygous variant c.340G>A (p.Gly114Arg), because parental DNA was not available. Other variants identified in families 3–7 were all heterozygous in corresponding individuals' parents and segregated in these families as expected (Figure 2A). The c.425+1G>A splice-site variant is predicted by NNSplice³⁰ to abolish the donor splice site of intron 7. The splice-site variant c.425+1G>A, the frameshift variant c.381delA, and the two nonsense variants c.216G>A and c.851C>G are predicted to be loss-of-function variants leading to a premature termination codon (PTC) in *SUN5* mRNA. Such PTC-containing mRNA is predicted to be degraded by nonsense-mediated mRNA decay (NMD).³³ The nonsynonymous variant c.340G>A (p.Gly114Arg) was predicted by NNSplice³⁰ to abolish the donor splice site in intron 5, leading to either a partial or whole retention of intron 5 or skipping of exon 5, either of which would introduce a PTC in the *SUN5* mRNA and lead to a complete loss of *SUN5*. Furthermore, *SUN5* is a 379-amino-acid transmembrane protein located in the inner nuclear membrane (INM)^{31,32} and composed of an N-terminal nucleoplasmic region, one transmembrane helix, a coiled-coil region, and a SUN-domain region in the C terminus (Figure 2B). If c.340G>A is not causing a splicing problem, the resulting missense change p.Gly114Arg is predicted to severely impair the transmembrane helix of *SUN5* (by PSORTII and TMHMM), with predicted loss of *SUN5* from the nuclear envelope (NE) during spermatogenesis. The missense variant p.Met162Lys is predicted to disturb the localization of *SUN5* to the NE through the impairment of the coiled-coil structure, whereas p.Val261Met, p.Thr275Met, p.Asn348Ile, and p.Arg356Cys, located in the C-terminal SUN domain, would disturb the interaction of *SUN* with its partners (uncharacterized proteins) in the perinuclear space (PNS)³⁴ (Figure 2B).

All ten variants were absent from the DNA of 100 (200 chromosomes) control fertile men from the same population. *SUN5* has a residual variation intolerance score³⁵ of -0.27 (corresponding to the top 34.71% of the human genes most intolerant to genetic variation). However, constraint metrics reported in the ExAC Browser indicated that *SUN5* is tolerant to both heterozygous loss-of-function (probability of loss-of-function intolerance = 0.00) and heterozygous missense (Z score = -0.03) mutations, which indicates that *SUN5* has more heterozygous variants in general populations than expected. Interestingly, inspection of the ExAC dataset ($n > 60,117$ exomes) (Table 1) identified five of ten of these *SUN5* variants as having a low allele frequency ($<27/121,228$), and not only in the Asian population but also in non-Asian populations. However, none of these variants was present in a homozygous state. We also noticed that *SUN5* has other loss-of-function ExAC variants in Asians as well as non-Asians. This suggests that *SUN5* mutations contribute to male infertility in non-Asian populations as well.

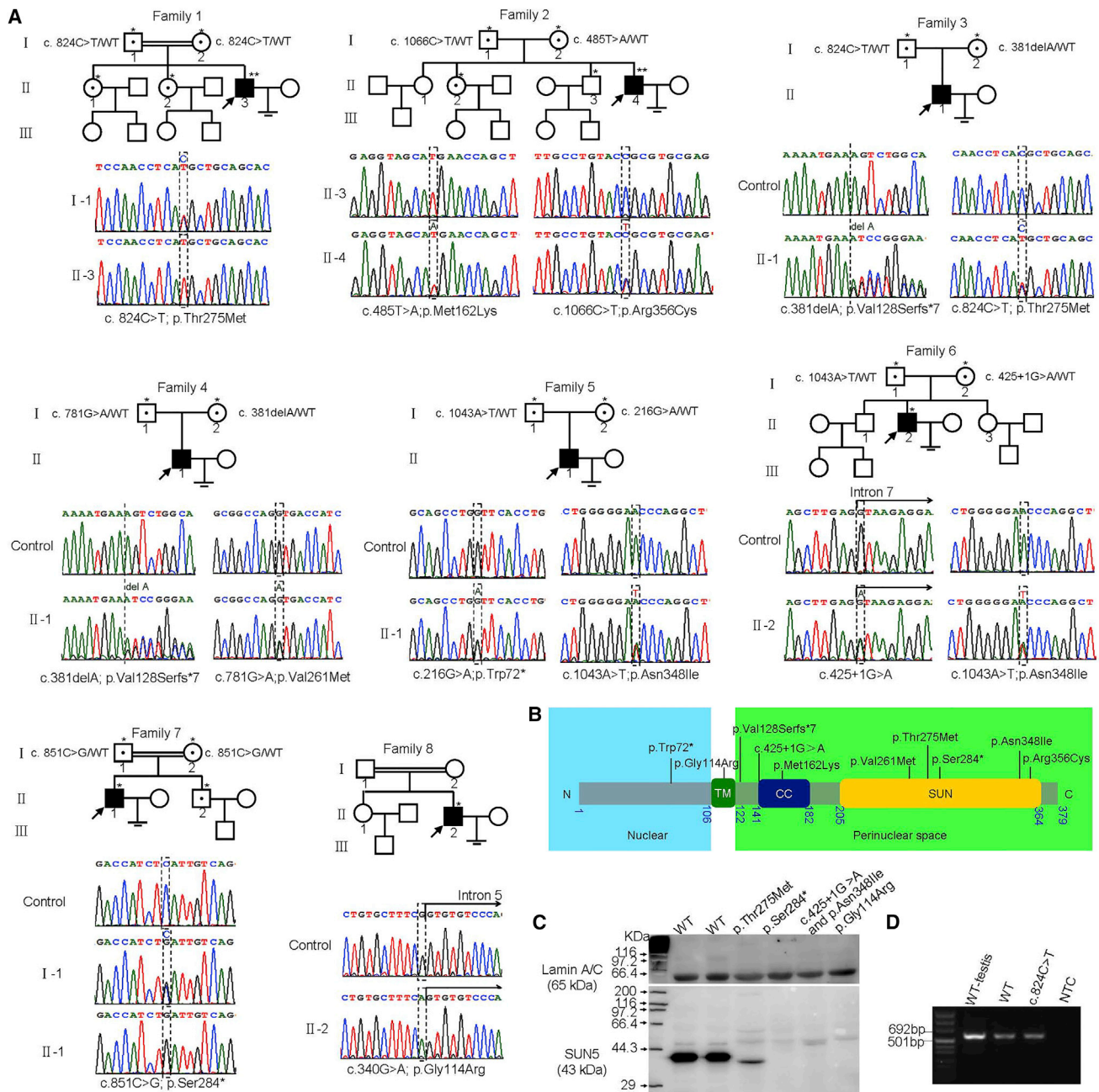


Figure 2. Pedigrees and *SUN5* Mutations in Families Affected by Acephalic Spermatozoa Syndrome

(A) Pedigrees of the eight families with inherited *SUN5* mutations are shown. The individuals with a single star were Sanger sequenced. Individuals F1:II-3 and F2:II-4 were tested by WES (two stars).

(B) The domain architecture of *SUN5* and the location of the *SUN5* variants. The protein has 379 amino acids (gray) and contains a single transmembrane (TM) domain (green), a coiled-coil (CC) domain (blue), and a SUN domain (yellow). *SUN5* is located on the inner nuclear membrane; the N terminus is in the nuclear space (light-blue background), and the C terminus extends to the perinuclear space (light-green background).

(C) Western blot showing Lamin A/C (Proteintech antibodies, 10298-1-AP) and *SUN5* (Proteintech antibodies, 17495-1-AP) levels on ejaculated sperm from two control individuals and four affected individuals with *SUN5* variants. Molecular weights, showed in the left lane, were determined according to the protein molecular weight marker (Takara, broad range, 3452Q).

(D) Agarose gel image of cDNA PCR products encompassing exons 10–13 of *SUN5* (forward primer 5'-CCTGAAGTCTATAGGGGCCA-3'; reverse primer 5'-ATCTCTCTAGGGTAGGGGTTTC-3'). A single band of expected size was detected in individual F1:II-3, with the c.824C>T (p. Thr275Met) mutation, suggesting that this variant does not affect splicing. WT testis is a sample from an individual with prostate cancer who underwent bilateral castration treatment. NTC represents negative control.

SUN5 is specifically expressed in testes,^{31,32,36} so we assessed *SUN5* amount and distribution in available semen samples. *SUN5* amounts were significantly lower and the

protein appeared to be slightly shorter in individual F1:II-3, with the p. Thr275Met variant, than in control individuals (Figure 2C). We excluded the possibility that

Table 1. Effects of SUN5 Mutations, Predicted with In Silico Tools										
Chromosome 20 Coordinates	cDNA Alteration	Amino Acid Alteration	Exon	Mutation	ExAC Allele Frequency	ExAC Homozygotes Frequency	NN5splice	SIFT	PolyPhen-2	MutationTaster
31,573,615G>A	c.824G>T	p.Thr275Met	11	missense	4/121,306	0/121,306	-	0.00 (D)	0.999 (D)	1.000 (D)
31,571,674G>A	c.1066C>T	p.Arg356Cys	13	missense	6/121,306	0/121,306	-	0.00 (D)	0.999 (D)	1.000 (D)
31,583,474A>T	c.485T>A	p.Met162Lys	8	missense	not found	not found	-	0.02 (D)	0.042 (B)	0.778 (N) ^b
31,585,452CT>C	c.381delA	p.Val128Serfs*7	6	frameshift	27/121,228	0/121,228	-	-	-	1.000 (D)
31,573,658C>T	c.781G>A	p.Val261Met	11	missense	not found	not found	-	0.04 (D)	0.999 (D)	0.987 (D)
31,589,080C>T	c.216G>A	p.Trp72*	4	nonsense	not found	not found	-	-	-	1.000 (D)
31,571,697T>A	c.1043A>T	p.Asn348Ile	13	missense	9/120,234	0/120,234	-	0.01 (D)	0.985 (D)	0.993 (D)
31,584,129C>T	c.425+1G>A	-	7	splice site	2/121,152	0/121,152	1.00 to <0.10 ^a	-	-	1.000 (D)
31,573,588G>C	c.851C>G	p.Ser284*	11	nonsense	not found	not found	-	-	-	1.000 (D)
31,587,880C>T	c.340G>A	p.Gly114Arg	5	splice site	not found	not found	0.52 to <0.10 ^a	0.35 (T)	0.954 (D)	0.990 (D)

(D), deleterious; (N), neutral; (T), tolerated; (B), benign.
^aThe mutations c.425+1G>A and p.Gly114Arg were predicted to cause loss of a donor splice site.
^bThe mutation p.Met162Lys was predicted by MutationTaster to cause loss of coil structure.

this variant affects splicing by RT-PCR (Figure 2D); however, we did not examine posttranslational modification. The p.Ser284* (F7:II-1), c.425+1G>A and p.Asn348Ile (F6:II-1), and p.Gly114Arg (F8:II-2) variants were nearly undetectable (Figure 2C). In a control sample, SUN5 was localized to the head-tail junction of the sperm, although there is some non-specific staining in the regions of the sperm head and tail in the affected and control individuals (Figure 3). This is consistent with a previous publication.³⁶ SUN5 could not be detected in the head-tail junction of sperm in individuals with SUN5 mutations. SUN5 amounts and distribution pattern were normal in the ejaculated sperm of two affected individuals in whom no SUN5 mutation was identified (Figures S2 and S3), helping to exclude the possibility that the absence of SUN5 in the head-tail junction of the sperm could be secondary to or caused by the destruction of the sperm-head junction.

SUN5 is synthesized in the endoplasmic reticulum (ER), transits to the Golgi apparatus, reaches the NE and attaches to the INM, and finally moves to the junction area between the sperm head and tail.³⁶ We speculated that the variants we identified would disturb this dynamic distribution of SUN5, leaving SUN5 susceptible to being discarded through the residual body (a giant vesicle transformed from most membrane compartments of the spermatid cytoplasm) at the end of the spermatid differentiation.³⁷

Acephalic spermatozoa have a typical ultrastructural anomaly—the absence of the implantation fossa and the basal plate.^{7,10,11} Given the fragile head-tail junction, the sperm heads and tails start to be separated within the seminiferous tubules of testes or during their transition through the seminal tract.^{2,5} The formation of the implantation fossa and the basal plate could be a highly regulated process. LINC complexes (linkers of the nucleoskeleton to the cytoskeleton), which tether nuclei to different cytoskeletal elements, might be involved. They are composed of SUN proteins on the INM and KASH proteins (Klarsicht, ANC-1, and Syne [also known as Nesprin] homology) on the outer nuclear membrane.^{34,36–41} The N-terminal nucleoplasmic region of the SUN proteins binds to the nucleoskeletal elements and chromatin, the C-terminal SUN domain extends to the PNS and directly interacts with the C-terminal KASH domain of the KASH proteins, and the N terminus of the KASH protein interacts with different cytoskeleton proteins. In mammals, five different members of the SUN-domain protein family were identified: SUN1, SUN2, SUN3, SUN4 (or, SPAG4), and SUN5 (or, SPAG4L).^{31,32,39–41} SUN5 was specifically expressed in testes.^{31,32,36} SUN5 was distributed in the head-tail junction, exactly in front of the implantation fossa, in epididymal sperm. This special pattern of SUN5 distribution was already observable in late condensing spermatids.³⁶ Therefore, SUN5 was a strong functional and structural candidate to participate in head-tail attachment.

In this study, we have identified ten different SUN5 variants that we predicted to be disease causing. These

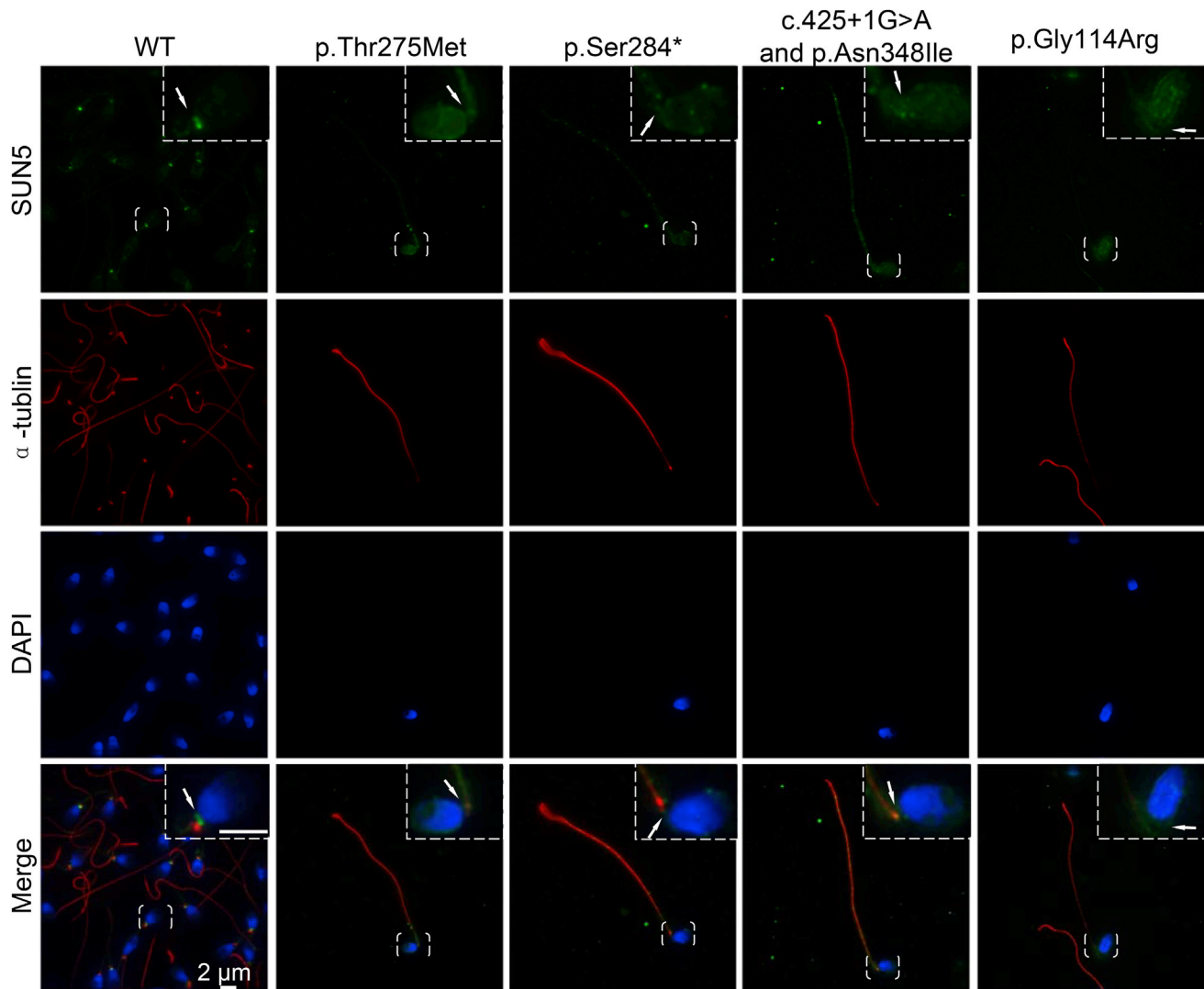


Figure 3. The Consequences of *SUN5* Mutations on Protein Distribution in Sperm

SUN5 immunofluorescence in sperm from control (WT) and affected individuals. *SUN5* staining is confined to the head-tail junction region (exactly in the distal part of the inner nuclear membrane, which is close to the distal end of the nucleus) in control sperm (highlighted by white arrow). This specific pattern of distribution is not observed in abnormal head-tail junction spermatozoon of affected individuals with *SUN5* mutations. Anti- α -tubulin antibody staining paints the tails of the sperm, and DAPI indicates the nucleus in the sperm head. The scale is shown at the bottom left panel. (Inset) Digital enlargement of the respective sperm head-tail junction regions.

include two nonsense, one frameshift, two splice-site, and five missense mutations (Table 1), identified in 8 of 17 unrelated individuals (47.06%). We show that at least some of the *SUN5* mutations lead to a loss of *SUN5*. The mechanism of action of the missense mutations was not further determined. However, given that there were no major clinical differences ($p > 0.05$) among the infertile men with these missense mutations and those with loss-of-function mutations (Table S2), we predict that all mutations identified lead to loss-of-function of *SUN5*. Identification of additional affected individuals and mutations will help to address the underlying mechanism. As for the five missense mutations, four are located within the C-terminal SUN domain of *SUN5* and might severely disrupt the interaction of *SUN5* with its

partners, such as some uncharacterized KASH or LINC proteins. One missense variant, p.Met162Lys, is located in the coiled-coil domain and might disturb the localization of *SUN5* to the NE and to the region of head-tail junction. We hypothesize that *SUN5* variants disturb the formation of its corresponding LINC complexes, causing disassociation between the nucleoskeleton and the cytoskeleton, or disturb the localization of the *SUN5* to the NE. Given that *SUN5* is located in the distal part of the INM or in front of the implantation fossa, we propose that this disassociation might disrupt the formation of the implantation fossa and the basal plate and cause further anomaly of the head-tail junction, which could be fragile enough to cause sperm heads and tails to detach.

In conclusion, our results determine that acephalic spermatozoa syndrome can be caused by recessive loss-of-function mutations of *SUN5*. These findings will allow precise genetic diagnosis of acephalic spermatozoa syndrome in Asian and non-Asian populations and facilitate genetic counseling for reproductive options.

Supplemental Data

Supplemental Data include three figures and six tables and can be found with this article online at <http://dx.doi.org/10.1016/j.ajhg.2016.08.004>.

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Web Resources

1000 Genomes, <http://www.1000genomes.org>
Berkeley Drosophila Genome Project NNSplice 0.9, http://www.fruitfly.org/seq_tools/splice.html
ExAC Browser, <http://exac.broadinstitute.org/>
GenBank, <http://www.ncbi.nlm.nih.gov/genbank/>
International HapMap Project, <http://hapmap.ncbi.nlm.nih.gov/>
MutationTaster, <http://www.mutationtaster.org/>
NHLBI Exome Sequencing Project (ESP) Exome Variant Server, <http://evs.gs.washington.edu/EVS/>
OMIM, <http://www.omim.org/>
PolyPhen-2, <http://genetics.bwh.harvard.edu/pph2/>
PSORTII Prediction, <http://psort.hgc.jp/form2.html>
SIFT, <http://sift.bii.a-star.edu.sg/>
TMHMM, <http://www.cbs.dtu.dk/services/TMHMM/>

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