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Innate immune responses to influenza A H5N1: friend or foe?

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Abstract

Avian influenza A H5N1 remains unusual in its virulence for humans. While infection of humans remains inefficient, many of those with H5N1 disease have a rapidly progressing viral pneumonia leading to acute respiratory distress syndrome and death but its pathogenesis remains an enigma. Comparisons in the virology and pathogenesis of human seasonal influenza viruses (H3N2 and H1N1) and H5N1 in patients, animal models and in relevant primary human cell cultures remains instructive. While the direct effects of viral replication and differences in the tropism of the virus for cells in the lower respiratory tract clearly contribute to the pathogenesis, we focus here on the possible contribution of the host innate immune response in the pathogenesis of this disease.

Keywords

influenza; H5N1; pandemic; innate immune response; cytokine; macrophage

Type A and B influenza viruses cause regular seasonal influenza epidemics but only type A influenza viruses are associated with influenza pandemics. The virus haemagglutinin (HA) and neuraminidase (NA) are the major surface proteins of the virus which induce protective host antibody responses and they are classified into 16 HA and 9 NA subtypes by antigenic analysis. Influenza virus is a single stranded RNA virus with an 8-segmented genome. As with other RNA viruses, mutations generate genetic and antigenic diversity (“genetic drift”). The segmented RNA genome allows the virus an additional mechanism for generating diversity through genetic reassortment. The pandemics of 1957 and 1968 arose by the prevailing human H1N1 influenza virus acquiring a novel HA and the polymerase basic 1 (PB1) gene (and in 1957 also the NA) from an avian source to generate a virus with a novel subtype; H2N2 in 1957 and H3N2 in 1968¹. The origin of the H1N1 virus in 1918 remains controversial, with some arguing that it arose from an avian virus directly (i.e. all 8 gene

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segments) adapting to efficient transmission in humans² while others contend that it is also derived by reassortment³.

Avian influenza H5N1 continues to zoonotically transmit to humans causing severe disease and poses a pandemic threat⁴. However, this virus has so far not adapted to efficient human-to-human transmission. In early 2009, a novel H1N1 variant (H1N1v) virus of swine origin emerged and has now become pandemic⁵. This confounded the previously held dogma that an influenza pandemic is associated with the emergence of a virus with a novel HA subtype. The novel H1N1 virus is antigenically distant from the prevailing human H1N1 virus and there is little prior cross-reacting humoral immunity in the population with the exception of those individuals older than 60 years or so⁶. Thus we now have a pandemic virus of an influenza subtype (H1N1) that is already endemic in the human population. It remains to be seen whether the novel pandemic H1N1 2009 virus will replace or co-circulate with the previously endemic H1N1 and H3N2 viruses. Although the infection appears to be comparatively mild, some patients have developed fatal pneumonia with acute respiratory distress syndrome (ARDS)⁷ but information on the pathogenesis of pandemic H1N1 is still emerging⁸. In this review we will focus on innate immune responses in the pathogenesis of lung disease caused by influenza, with a particular emphasis on human H5N1 disease.

Seasonal and pandemic influenza

Influenza is typically a self limiting upper respiratory disease but may range from an asymptomatic illness to (rarely) a severe illness with potentially fatal complications, especially in those with a pre-existing underlying disease. Complications of influenza include pneumonia, exacerbation of asthma or chronic obstructive pulmonary disease (COPD)⁹. Influenza is also associated with febrile seizures in children, encephalopathy which is particularly notable in Japan, and also with increased risk of myocardial infarction and strokes^{10–12}. Since there is little evidence of systemic spread of the seasonal influenza virus, the systemic manifestations (e.g. myalgia) as well as some of these complications of seasonal influenza have been attributed to cytokines and other inflammatory mediators^{10,13}.

The pneumonia following influenza is generally a rare complication and may be a primary viral pneumonia, or more commonly, secondary to bacterial infection. In contrast, a primary viral pneumonia is a major manifestation of human H5N1 disease^{14,15}. Primary viral pneumonia was seen in the severe pandemic of 1918. A similar diffuse alveolar pattern of disease was also seen in a minority of young patients dying in the 1957 pandemic. Virus antigen has been detected in alveolar epithelial cells and alveolar macrophages. There continues to be controversy over whether the lung pathology of primary influenza viral pneumonia is solely due to a direct viral cytopathic effect or whether it is contributed to by innate immune responses^{16–18}. Primary viral pneumonia is also now being reported in those with severe pandemic H1N1v disease⁸.

Human H5N1 disease

Virology

The current lineage of highly pathogenic avian influenza (HPAI) H5N1 virus derives from a group of H5N1 viruses first recognised in geese in Guangdong province, China in 1996^{19,20}. These viruses have undergone a series of genetic reassortments with other avian influenza viruses to give rise to a number of different virus “genotypes” (constellation of 8 genes). The virus HA has also undergone genetic mutation over the last 14 years to give rise to a number of recognised virus clades and sub-clades which are antigenically and genetically diverse²¹. However only limited numbers of the H5N1 genotypes and clades are known to have caused human disease¹⁵.

A number of viral mutations are recognised as potential virulence factors for humans. The non-structural 1(NS1) gene segment is an interferon (IFN) antagonist and plays a key role in evading host innate immune responses²². NS1 binds double stranded RNA thereby preventing the activation of 2'5' oligo(A) synthetase and the downstream consequences of its activation. NS1 interacts with RIG-1 RNA helicase and suppresses its normal function as a cytosolic innate immune sensor of viral infection²³. The four carboxy-terminal amino acids of NS1 form a PDZ ligand domain motif which is relevant in mouse virulence²⁴. The PB1 gene segment of most human and avian influenza viruses encode a second open-reading frame, PB1-F2. Via its interaction with mitochondrial proteins, PB1-F2 is believed to induce apoptosis²⁵, enhance inflammation in mice and synergistically enhance the severity of secondary bacterial infections²⁶. In addition, influenza virus infection blocks many features of dendritic cell (DC) maturation (e.g. co-stimulatory molecules CD80, CD86) that are key to T-cell stimulation²⁷.

While the basic amino acids at the HA cleavage site is clearly an important virulence factor for chicken and turkeys and also for mice, its contribution to virulence in humans is less clear. The PB2 Lys627 is associated with virulence in mice²⁸ and contributes to replication competence in mammalian cells at lower temperatures²⁹. However, humans infected with clade 2.2 H5N1 viruses that consistently carry PB2 Lys627 do not manifest more severe disease; in fact the reverse may be true (see below)³⁰. Other known viral determinants of pathogenicity include PB2 Asp701Asn, PB1-F2 Asn66Ser, NS1 Asp92Glu (reviewed elsewhere^{31,32}).

Clinical features and epidemiology

Avian influenza H5N1 is inefficiently and rarely transmitted to humans in spite of repeated and substantial exposure to the virus^{33,34}. Underlying immunocompromising factors or other diseases associated with increased risk for seasonal influenza are not commonly observed in patients with H5N1 disease. Thus, exposure to virus is necessary but is not a sufficient explanation for the observed epidemiology – other factors such as host genetic or immunological susceptibility or unusual routes of exposure are likely to play a role. Interestingly, H5N1 disease is less common in those over 40 years of age, an observation not explainable by the population age-structure or risk behaviour of affected populations^{35,36}. It is possible that cumulative hetero-subtypic immunity through repeated exposure to seasonal

influenza may contribute to this age distribution. There is increasing evidence of antigenic epitopes that can mediate such cross-subtype immunity³⁷.

The overall mortality of patients with virologically confirmed H5N1 disease is over 60%¹⁵. While this observation may be skewed by the selective investigation and diagnosis of patients who are more severely ill, there is no doubt that H5N1 disease in humans is overall associated with a markedly worse clinical outcome. A proportion of patients appear to have a milder disease presentation and these have been most notably reported in Hong Kong in 1997 (clade 0 virus) and more recently in Egypt (clade 2.2 virus) although even then, overall case mortality was >30%. In these two instances, young children had a milder disease presentation than adults^{30,38}. Whether this reflects increased case detection of milder cases in Hong Kong and Egypt or is a reflection of differences of virulence of different virus clades remains unclear. Patients with severe H5N1 disease have a rapidly progressive primary viral pneumonia associated with leukopenia (a finding also documented in the 1918 outbreak), gastro-intestinal symptoms and mild liver and renal dysfunction^{15,38}. The key autopsy findings are diffuse alveolar damage with hyaline membrane formation, i.e. the pathology of ARDS. Patchy interstitial infiltrates and pulmonary congestion is seen with varying degrees of haemorrhage (Figure 1A). The cellular infiltrate predominantly comprises of macrophages, neutrophils and activated lymphocytes (Figure 1B). Apoptosis of alveolar epithelial cells is noted. Lymphocyte depletion is seen in the spleen and lymph nodes³⁹⁻⁴⁴.

Pathogenesis

The severe disease associated with H5N1 disease in humans may possibly arise through different mechanisms (or combinations thereof). These include a) dissemination of virus beyond the respiratory tract (in contrast with seasonal flu), b) higher and prolonged viral replication leading to direct viral cytolytic damage, c) differences in the tissue tropism of the avian H5N1 virus (in contrast to the human seasonal influenza viruses) and d) differences in host responses induced by H5N1 virus (Figure 2). While H5N1 virus appears to have the ability to spread beyond the respiratory tract, i.e. the virus can be isolated from the faeces⁴⁵, serum^{46,47} and very rarely from the central nervous system⁴⁶, the lung pathology (ARDS) remains the major cause of mortality in human H5N1 disease. However, at the time of death, immune-histochemistry rarely shows overwhelming viral infection of the lungs and often very few if any virus infected cells are demonstrable^{39,41,43,44}. Since the limited autopsy data arises from patients who have died after prolonged periods of illness and assisted ventilation, the paucity of virus at autopsy does not of course preclude a major role for virus in initiating the lung injury.

The mechanism for ARDS is not fully defined, but cytokine-induced inflammatory responses are believed to play a significant role (reviewed in^{48,49}). Scientific approaches to address the pathogenesis of ARDS in human H5N1 disease includes clinical studies, investigations in relevant animal models and *in vitro* investigations. While each has advantages and limitations, a synthesis of knowledge from all three approaches would be informative.

H5N1 virus load in the respiratory tract remains elevated for much longer than is usually seen with seasonal influenza⁵⁰. This is not surprising because, in contrast to seasonal influenza which infects most of us repeatedly, leading to development of cross-reacting immune responses, most humans (perhaps with the exception of older individuals, see discussion above) are unlikely to have prior immunity against H5N1. The H5N1 polymerase complex is associated with its virulence in ferrets⁵¹. The Glu627Lys in the viral gene PB2 determines virulence in mice as well as efficiency of viral replication in mouse cells⁵².

It has been proposed that the avian H5N1, which binds sialic acids (SA) with α 2–3 linkages (typically found in avian cells) preferentially infects cells of the human lower respiratory tract. The alveolar epithelial cells express α 2–3 SA-Gal-GlcNAc while the upper respiratory tract has a paucity of these receptors. In contrast the human upper respiratory tract (nasopharynx, trachea) has an abundance of α 2–6 SA which preferentially binds the human seasonal influenza viruses H3N2 and H1N1. They also have O-linked α 2–3 SA which bind both avian and human influenza viruses^{53–57}. Furthermore, within the lung, H5N1 viruses preferentially attach to type 2 pneumocytes and macrophages. These findings led to the hypothesis that the lung pathology of H5N1 is caused by differential targeting of the virus to the lower respiratory tract. Furthermore, if the H5N1 virus is unable to replicate efficiently in the upper respiratory tract, it would explain why the virus is not readily transmitted to humans. However, there are some key observations that do not fit in with such a hypothesis. H5N1 viruses readily infect *ex vivo* cultures of upper respiratory tissues e.g. nasopharyngeal and tonsillar tissue⁵⁴ and immunohistochemistry for viral antigen and virus receptors in tracheal tissue of a patient with fatal H5N1 disease demonstrated that the virus can be found infecting tracheal epithelium⁵⁸. Conversely, some seasonal influenza viruses H1N1 can readily infect the *ex vivo* cultures of lung (lower respiratory tissues)^{53,54} but they are not commonly associated with severe lung pathology. There are also patients with H5N1 disease who had mild self-limited upper respiratory illness without lower respiratory involvement. As part of rapid diagnostic procedures, influenza antigen was detected in nasopharyngeal epithelial cells from nasopharyngeal aspirates of these patients suggesting that H5N1 virus can in fact infect the upper respiratory tract³⁸. While tissue tropism may well play a role, we contend that other mechanisms also contribute to the unusual severity of human H5N1 disease (discussed below).

Host responses to H5N1 influenza

Host responses to influenza are clearly complex and involve humoral and cell mediated immune responses as well as innate immune responses. While specific antibodies are the best established correlate of protection against infection, cell mediated immune responses play a key role in recovery from disease⁵⁹. Adoptive transfer experiments have shown that CD8⁺ T memory cells can crossprotect across different subtypes⁶⁰. Furthermore, memory T cells induced in response to seasonal human influenza can cross-react even with avian influenza H5N1⁶¹. There is however, limited data on cell mediated immune responses in H5N1 disease. For the purpose of this review, we will therefore focus on innate immune responses, while remaining fully cognizant of the importance of the contribution of adaptive immune responses in the clinical outcome of H5N1 disease.

Clinical data

When compared with seasonal influenza, patients with H5N1 disease have higher serum levels of macrophage and neutrophil chemoattractant chemokines (CXCL10, CXCL2, IL-8) and both pro- and anti-inflammatory cytokines (e.g. IL-6, IL-10, IFN- γ)^{50,62}. Patients who died had higher serum levels of these mediators than those who survived. However, the levels of these mediators also correlated with viral load in the nasopharynx and may simply be a reflection of increased virus replication and increased pathology⁵⁰.

Animal models

Ferrets, mice, macaques and other mammals have been used as experimental models for influenza pathogenesis, each with their own advantages and limitations^{63,64}. The most appropriate animal model for use depends on the purpose, i.e. vaccine evaluation, antiviral testing, transmission or pathogenesis. The most challenging of these is the choice of an animal model to study transmission and pathogenesis. While guinea-pigs are being used to study virus transmission, influenza causes minimal pathology or disease in this animal model⁶⁵. Relevant features of available animal models for the study of pathogenesis of HPAI H5N1 and human seasonal influenza are summarised in the table. Ferrets most closely mimic humans in the distribution of putative SA receptors in the respiratory tract while mice and macaques do not⁵⁷. For instance, ferrets can be infected with human influenza viruses without prior adaptation while mice typically cannot. There is however a paucity of immunological reagents and genomic data on ferrets, an issue that currently imposes major limitations on the detailed immunological study of this animal model. While mice have been widely used for studies on influenza virulence and pathogenesis, particularly because of the availability of reagents, inbred mouse strains and mice with defined gene defects still have a number of caveats. Firstly, there is sometimes poor correlation between lethality of viruses for mice and for ferrets⁶³. For instance, some H5N1 viruses are highly neurotropic in mice and fatality is therefore more likely related to virus dissemination to the brain rather than related to the lung pathology. Many investigators use H5N1 viruses with rapid lethality for mice because they provide a clear end-point, although such lethality may in fact reflect neurotropism rather than the ARDS-like lung pathology which is the cause of death in humans.

In general, in comparison with seasonal influenza viruses, HPAI H5N1 viruses show increased virulence in mice, ferrets and macaques with evidence of increased viral replication and dysregulated host responses. Mice infected with H5N1 had cytokine and chemokine responses including the IL-1 β , IFN- γ , TNF- α , MIP-1 α , IL-6 and MIP-2, MCP-1, KC (equivalent to human IL-8), IL-1 α , at day 3–5 post-infection^{66–68}. This was associated with recruitment of macrophages and neutrophils into the lungs causing acute lung inflammation⁶⁸. Ferrets infected with H5N1 viruses had markedly stronger induction of the chemokine *CXCL10* and IFN response genes in the lungs in comparison with H3N2 subtype seasonal influenza. On the contrary, CD45, GRB2, phosphoinositide-3-kinase gene and the mitogen-activated protein kinase (MAPK) genes associated with B and T-cell signaling were all downregulated⁶⁹. Blocking of CXCR3, the cognate receptor of CXCL10, with the drug AMG487 in H5N1-infected ferrets resulted in a reduction of symptom severity and delayed mortality compared to vehicle treatment⁶⁹. Macaques infected with H5N1 virus

had more intense and more protracted expression of type I IFN responses, IFN-induced genes IL-1 and 6, TNF- α and CXCL10 than was seen in H1N1 infected macaques. Similarly, H5N1 virus infection was associated with higher and more prolonged viral replication in the lung, more severe lung pathology as well as a dramatic depletion of CD4⁺ and CD8⁺ T cells and premature apoptosis of DCs⁷⁰. Surprisingly, although the H5N1 virus induced a more potent IFN response, virus replication remained poorly controlled.

The aberrant host responses induced by H5N1 virus in mice and macaques is reminiscent of those induced by the 1918 H1N1 virus in these animals. In mice, the 1918 virus differentially activated apoptosis pathways, IL-6, type I IFN and Toll-like receptor (TLR) response genes and these findings were associated with severe pulmonary pathology⁷¹. Similarly further studies found higher viral titres in the lung and increased lung macrophage and neutrophil infiltration, MIP-1 α , IL-1, IL-6 and IFN in association with the 1918 virus⁶⁸. In macaques, the 1918 virus led to dysregulated immune responses with higher IL-6 and lower type I IFN titres⁷². A recombinant seasonal influenza H1N1 virus with the 1918 HA and NA with or without the NS gene segment were studied for their pathogenicity in macaques⁷⁰. While these recombinant viruses did not have the virulence associated with the full 1918 H1N1 virus reported by Kobasa and colleagues⁷², the 1918 HA and NA appeared to increase the virulence of the seasonal influenza H1 N1 virus and was also associated with changes in gene expression profile.

Studies with gene defective mice and the effect of experimental immunomodulator treatment

When challenged with H5N1 viruses, mice deficient in IL-6 or MIP-1 α had comparable morbidity and mortality in comparison to wild type controls although this is not surprising since most cytokines and chemokines have some redundancy in their effector pathways. Mice with defects in genes for the IL-1 receptor, the type I interferons IFN- α or IFN- β had a worse outcome following H5N1 infection suggesting that these pathways are protective^{67,73}. Interestingly, tumor necrosis factor (TNF) receptor 1 deficient mice as well as mice treated with anti-TNF antibody had less weight loss following infection when compared with controls although survival was no different⁶⁷. This may reflect a role for TNF receptor signalling in the pathogenesis of influenza-induced lung disease although mortality (which in these mice was associated with neuro-invasion) was unaffected. In a study where a number of immunomodulators currently in clinical use were investigated together with an antiviral agent (zanamivir) in a mouse model of H5N1 disease, zanamivir in combination with a COX2 inhibitor (Celecoxib) and mesalazine led to improved survival when compared to the antiviral alone⁷⁴. The hyper-induction of chemokines by H5N1 virus led to the accumulation of a particular subset of DC described as TNF- α /inducible nitric oxide synthase (iNOS) producing DCs (tipDCs) in the lung airways. These tipDCs are important for proliferation of influenza specific CD8⁺ T-cells in the lung. CCR2 defective mice who lack this chemokine attraction have markedly reduced accumulation of tipDCs in the lung leading to delayed virus clearance. However, modulation of the tipDC trafficking by treatment with the peroxisome proliferator-activated receptor- γ (PPAR- γ) agonist pioglitazone moderated the deleterious effects of tipDC recruitment without losing its beneficial effects of cytotoxic T cell recruitment⁷⁵.

Studies with seasonal influenza viruses have suggested that defects in TLR-3 or COX2 are beneficial to mice challenged with seasonal H3N2 virus^{76,77} suggesting that innate immune responses may sometimes be deleterious. On the other hand, ferrets infected with a higher virulence influenza virus induced weaker type I and II IFNs and IL-8 mRNA but more IL-6 mRNA in the nasal fluid washes compared to animals infected with a lower virulence virus. The authors of this study speculated that the lack of an IFN response allowed the virus to spread to the lung leading to the increase in virus virulence. However, the lung cytokine levels were not measured⁷⁸. These findings would support the therapeutic use of IFN to correct this weaker induction of IFN by the more virulent seasonal influenza virus.

It has been found that mice with inactivating mutations in TLR-4 or TRIF (but not MyD88) were protected from acute lung injury by chemicals as well as inactivated H5N1 virus administered intra-tracheally⁷⁹. IL-6 deficient mice were also protected from lung pathology in this model. Inactivated H5N1 virus was shown to induce oxidized phospholipids which triggered an inflammatory response leading to acute lung injury (ALI) via TLR-4 and the TRIF / TRAF6 signalling pathway. Deletion of the *Ncf1* gene, which controls reactive oxygen species production, reduced the severity of H5N1-mediated ALI. Collectively this suggests that oxidative stress and innate immunity are key lung injury pathways that control the severity of ALI. It remains to be seen if these pathways are relevant to infection with the live H5N1 virus.

Protease-activated receptors (PAR) are activated by extracellular proteases that are abundantly found in the lung. PAR₂ was shown to be induced by seasonal influenza virus infection and appears to inhibit virus replication via an IFN- γ dependent pathway. PAR₂ agonists increase survival of A/PR/8/34 (H1N1) virus infected mice. The increased survival was associated with reduced viral titres in the lung, reduced neutrophil infiltrates, reduced RANTES and increased IFN- γ secretion⁸⁰.

Studies in primary human cells in vitro and ex vivo cultures

The studies in humans with H5N1 disease as well those from animals experimentally infected with H5N1 and seasonal influenza viruses demonstrate that the increased pathology of the H5N1 virus is associated with increased viral replication and enhanced host responses. As these observations reflect the outcome of multiple cycles of virus replication and associated tissue damage in the human or animal, it is not possible to differentiate whether the aberrant host responses are merely secondary to the enhanced pathology caused by H5N1 virus, whether they reflect increased cumulative viral load, or whether there are intrinsic differences between viruses in their ability to induce these host responses. This question can only be addressed by experiments carried out on physiologically relevant well defined cell-populations with a defined virus inoculum, a synchronous virus infection and sampling of the host response parameters at defined times. Since alveolar pneumocytes and macrophages are the two key cells infected by H5N1 viruses *in vivo* (see above), we and others have compared the host responses induced in primary human macrophages and type 1 epithelial cells by H5N1 or seasonal influenza (H1N1, H3N2) viruses. Compared with human H1N1 or H3N2 viruses, equivalent infecting doses (approx 2 infectious virus particles per cell) of H5N1 virus more strongly induces a range of cytokines and chemokines

including TNF- α , IFN- α and - β , IL-1 β , CCL2, CCL3, CCL4, CCL5 and CXCL10 from primary human macrophages^{68,81}. Similarly, H5N1 viruses differentially upregulated CXCL10, IL-6, IL-8, CCL2, CCL5, and IFN- β from alveolar epithelial cells⁸². Thus, many of the cytokines and chemokines found to be differentially elevated in the sera of patients with H5N1 disease (compared to seasonal influenza) were also more strongly induced *in vitro* using comparable challenge doses of the virus (Figure 3). This differential gene expression occurred within the first few hours of virus infection and was dependent on infection with live virus. Furthermore, increasing the infecting dose of H1N1 (low cytokine phenotype) virus to 10 times that of H5N1 virus could still not result in a comparable host response.

Cytokine responses are induced directly by the stimulus but are also amplified by autocrine and paracrine mediator cascades. The primary mediators directly and differentially induced by H5N1 virus are TNF- α , IFN- β and IFN- λ 1, the other mediators being the result of autocrine and paracrine responses⁸³ (Figure 3). The induction of the primary mediators occurred, at least in part, by the virus differentially activating interferon regulatory factor (IRF)-3 and p38MAPK pathways^{83,84}. In an intact organ, there are interactions between different cell types and we have tried to mimic such interactions between virus infected macrophages and alveolar epithelial cells *in vitro*⁸⁵. The virus-free supernatants of H5N1-infected macrophages induce mediator cascades in alveolar epithelial cells and these lead to amplification and broadening of the host responses. For example, TNF- α is not induced directly by the H5N1 virus infection of alveolar epithelial cells but virus-free supernatants from H5N1 infected macrophages do. COX-2 was found to be a key controller of this amplifying cytokine cascade and COX2 inhibitors could dampen these amplifying mediator cascades⁸⁵ (summarised in Figures 3 and 4). Some of these cytokines (e.g. IFNs) are expected to have an antiviral effect (see above), but the overall effects of these cytokine cascades may well contribute to pathogenesis. While we had reported that H5N1 more strongly induced type 1 IFNs from alveolar epithelial cells⁸², others reported that H5N1 induced a weaker type 1 IFN response from differentiated bronchial epithelium than seasonal influenza H3N2⁸⁶.

Taken together these findings support the contention that the differences in host responses seen in animal models and in humans with H5N1 infection at least in part reflect intrinsic differences in the virus-induced host response. Interestingly, in contrast with H5N1 viruses, the 1918 H1N1 and seasonal influenza H1N1 viruses induced comparable levels of cytokines in macrophages infected *in vitro*⁶⁸. Thus the differential host responses seen in animals with 1918 H1N1 infection (see above) might not reflect differential host response at the individual cell level, although further work needs to be done on other cell types (e.g. alveolar epithelium).

It is important to understand the virus genetic factors that determine the high-cytokine phenotype of H5N1 viruses. Using virus reverse genetics, we have established that the H5N1 virus HA and NA are not essential to the high cytokine phenotype. Rather, the constellation of internal genes, in particular the polymerase genes and NS gene segments play key roles in this⁸⁷. Interestingly not all H5N1 virus genotypes manifest the high cytokine phenotype but those that are associated with human disease appear to do so^{87,88}.

Cytokine induction is not the only host response pathway that is differentially modulated by H5N1 viruses. Seasonal influenza virus H1N1 induces apoptosis pathways faster than H5N1 viruses⁸⁹. Induction of early apoptosis is potentially a host defense mechanism to limit viral replication and these differences may therefore have pathogenic relevance. On the other hand, H5N1 viruses appear to enhance expression of TNF-related apoptosis-inducing ligand (TRAIL) and lead to more potent bystander apoptosis of T cells⁹⁰. This may contribute to the lymphopenia seen in H5N1 disease.

H5N1 virus infects other cells of the innate immune system with potentially pathogenic consequences. *In vitro* infection of human myeloid DCs leads to productive virus replication, production of IFN- α and TNF- α and leads to cell death within 24 hours. DCs are present below the respiratory epithelial layer and migrate to draining lymph nodes upon activation. Thus productive infection of these DCs might contribute to dissemination of the virus, however depletion of these cells might lead to an impaired immune response to the virus infection. Pre-treatment of DCs with IFN- α abolishes virus infection and plasmacytoid DCs (pDCs), which are naturally high type I interferon producers, are refractory to virus infection and produce large amounts of IFN- α after co-culture with H5N1 virus^{68,91}. H5N1 virus can also infect and replicate in primary human NK cells leading to apoptosis. Targeting NK cells might help the virus evade NK cell innate immune defences⁹². Finally, recent *in vitro* studies have demonstrated that $\gamma\delta$ T cells (which are chiefly associated with mucosal surfaces) can be activated and expanded to have potent cytotoxic activity against cells infected with a wide range of influenza subtypes including H5N1 viruses⁹³.

Conclusion

The world is currently in the throes of a pandemic caused by a novel H1N1v virus. The disease remains relatively mild although a small minority of patients appear to manifest with a primary viral pneumonia which may progress to an ALI or ARDS-like clinical presentation. Most of these patients have been healthy young adults and some of them had no underlying illnesses that would predispose to severe influenza disease. While the clinical presentation in these patients with severe respiratory disease is reminiscent of human H5N1 disease⁷, the underlying disease pathogenesis remains to be explored.

Notwithstanding the current H1N1v pandemic, H5N1 remains endemic in poultry in Asia and parts of Africa and continues to pose a major threat to public health. Its morbidity and mortality in humans not contained very effectively by antiviral therapy alone. For example, in Indonesia, while earlier commencement of oseltamivir treatment is associated with improved survival, even treatment within the first 4 days of disease is not a guarantee of survival and is still associated with a 42% mortality⁹⁴. Alternative therapeutic strategies are urgently needed. The first step towards this is to understand the pathogenic mechanisms underlying the lung pathology associated with human H5N1 disease and how it differs from seasonal influenza. Here, we have reviewed data that suggests that the innate immune response may be both friend and foe. This points to possibilities of novel therapeutic interventions that deserve further investigation. Preliminary data suggests that the differences in host responses induced by H5N1 appear to be similar to those of seasonal H1N1, albeit of greater intensity⁸³ but more systematic studies using gene expression

profiling and proteomic studies to address this question are warranted. It is relevant to also investigate whether biomarkers that provide early indication of a poor prognosis can be identified to guide such immunomodulatory interventions. It is particularly interesting that targeting some signalling pathways that are associated with inflammation (e.g. the RAF-MEK-ERK kinase cascade, NF- κ B activation) can also block viral replication⁹⁵. Thus, such therapeutic interventions may potentially combine antiviral effects with beneficial immunomodulatory effects.

As the pandemic H1N1 virus arose from swine, its spread globally may possibly be associated with a panzootic of this novel H1N1 virus in swine. This enhances the opportunity for reassortments between the pandemic virus and other viruses including with H5N1 virus which has been reported in pigs⁹⁶. The internal gene “cassette” of these swine viruses from which the H1N1 pandemic viruses arises appears to have unusual propensity for reassortment. Given the unprecedented severity of H5N1 disease and its continued threat to human health, it is important that we better understand the biological basis of its virulence and pathogenesis. This would also provide an improved understanding of the pathogenesis of ALI and ARDS caused by influenza as well as other causes. ALI and ARDS arising from many diverse causes continues to be a major cause of morbidity and mortality⁹⁷.

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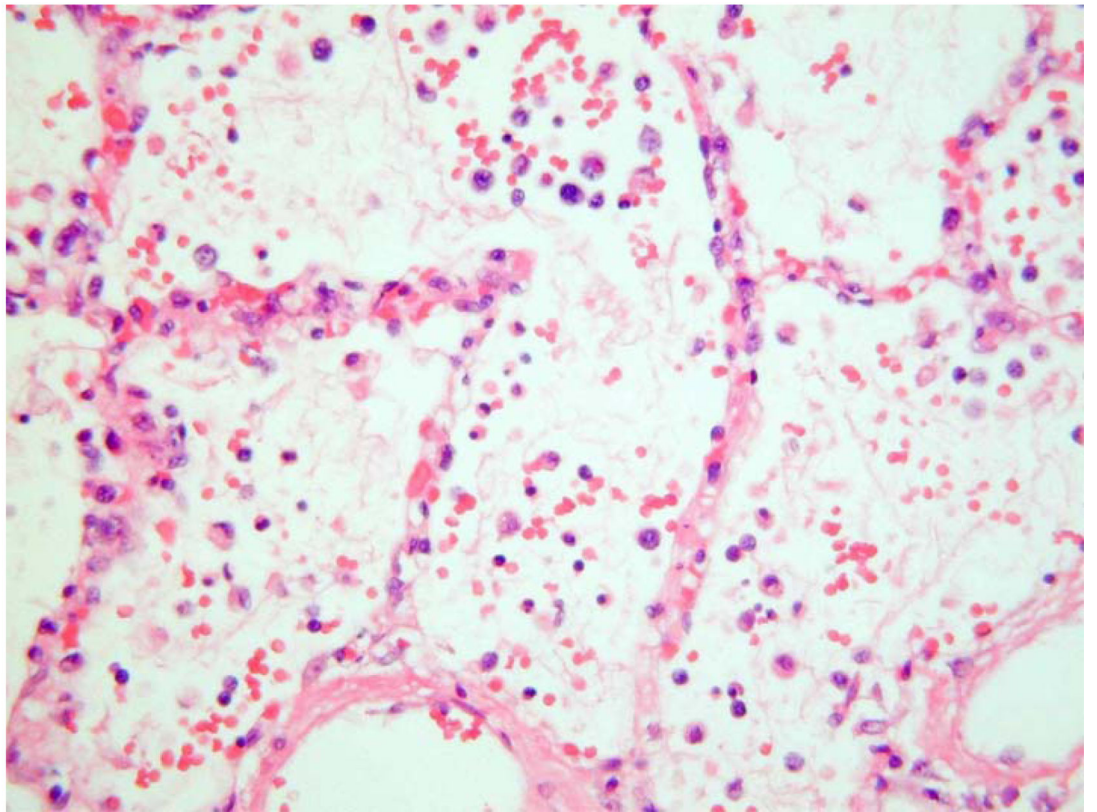
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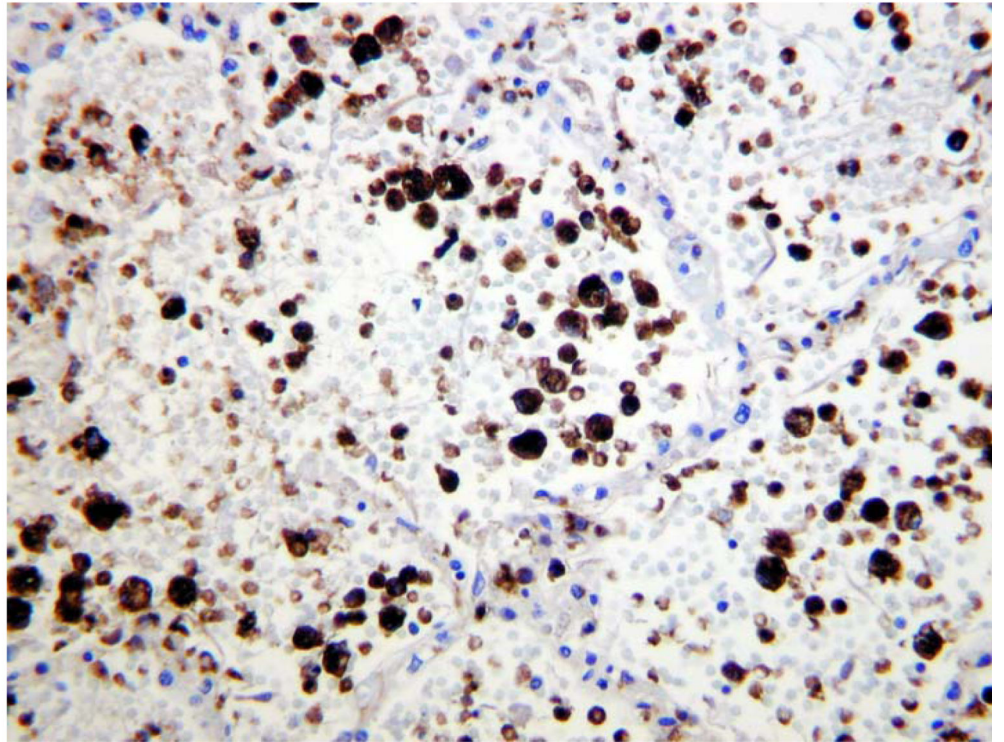
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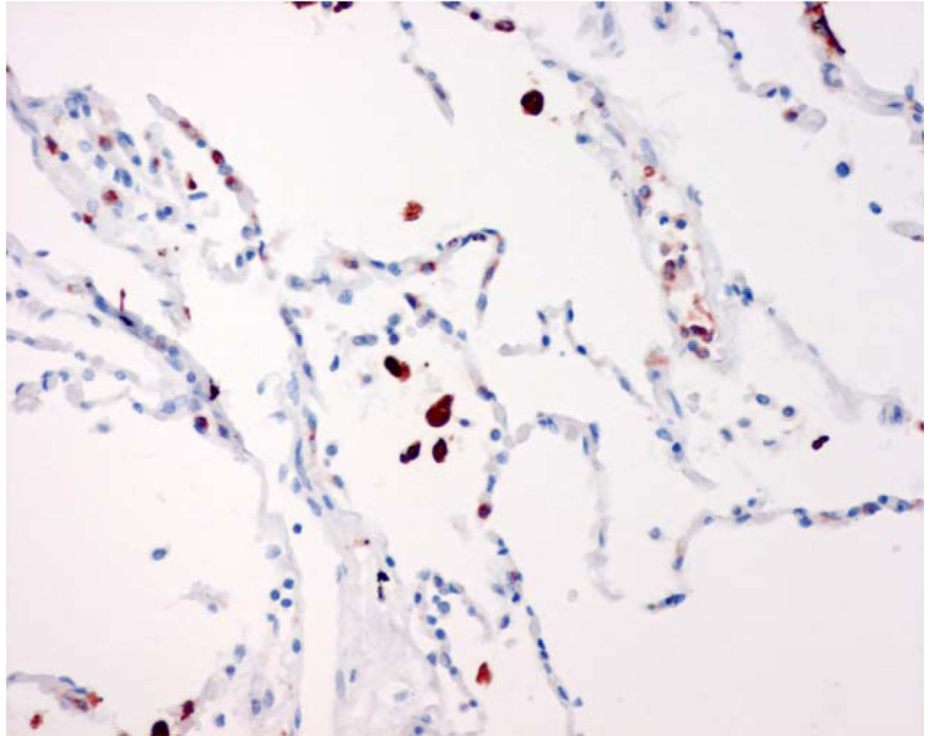
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**Figure 1.**

(A) Lung histology of fatal human H5N1 disease stained with Haematoxylin & Eosin showing increased cellular inflammation within the alveoli compared to normal lung. (B) Immunohistochemistry for the macrophage marker CD68 (brown) shows increased numbers of macrophages infiltrating the lung tissue. (C) The histological appearance and alveolar macrophages shown by CD68 immunohistochemistry in a control lung is shown for comparison. Magnification $\times 200$.

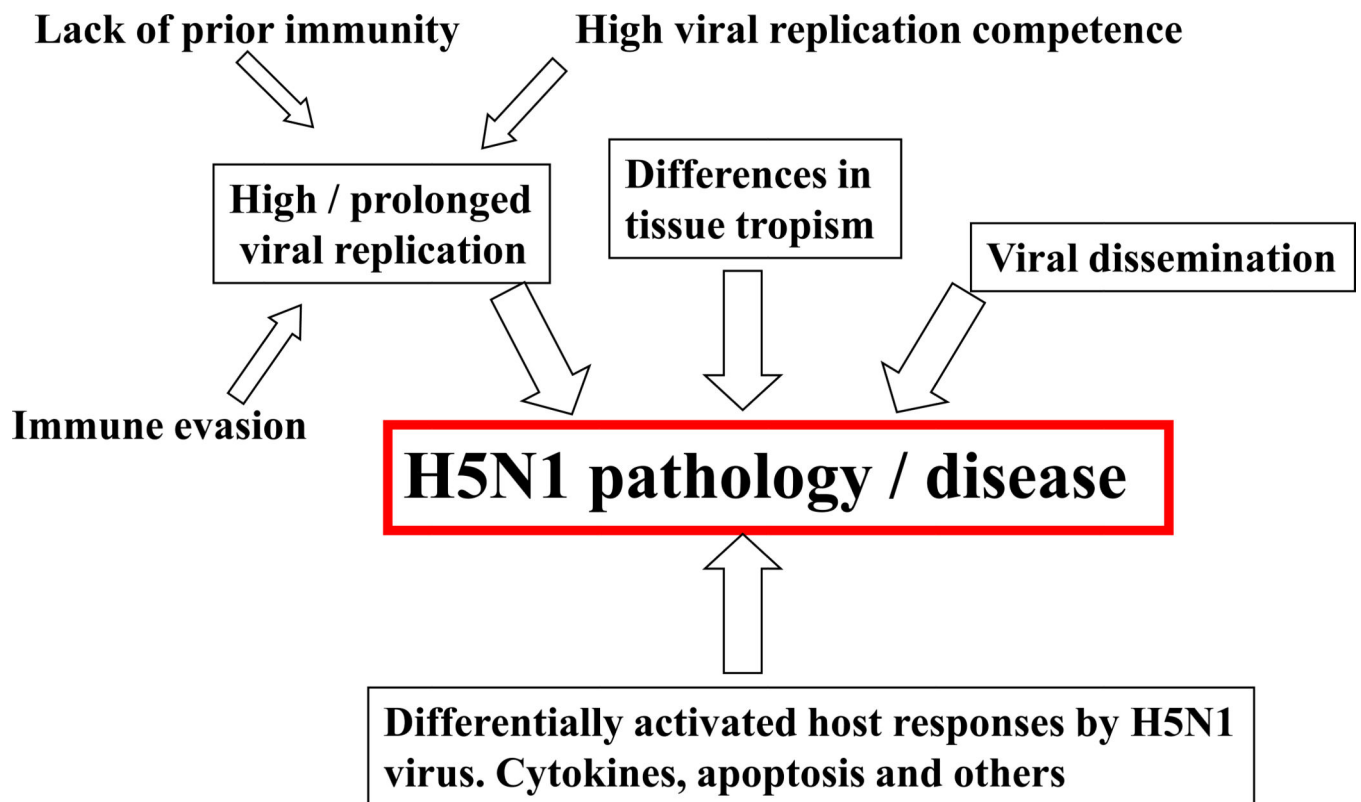


Figure 2.

Mechanisms that may contribute to the pathogenesis of H5N1 disease. Arrows indicate the factors contributing to outcome. Higher levels of viral replication, the binding of the H5N1 virus to receptors in alveolar epithelial cells, and the spread of the virus beyond the respiratory tract could all contribute to the severity of human H5N1 disease. In this review, we argue that the H5N1 virus differentially activates host responses in macrophages and primary lung alveolar epithelia and such differences in host responses contribute to disease pathogenesis. This figure is modified from reference 3.

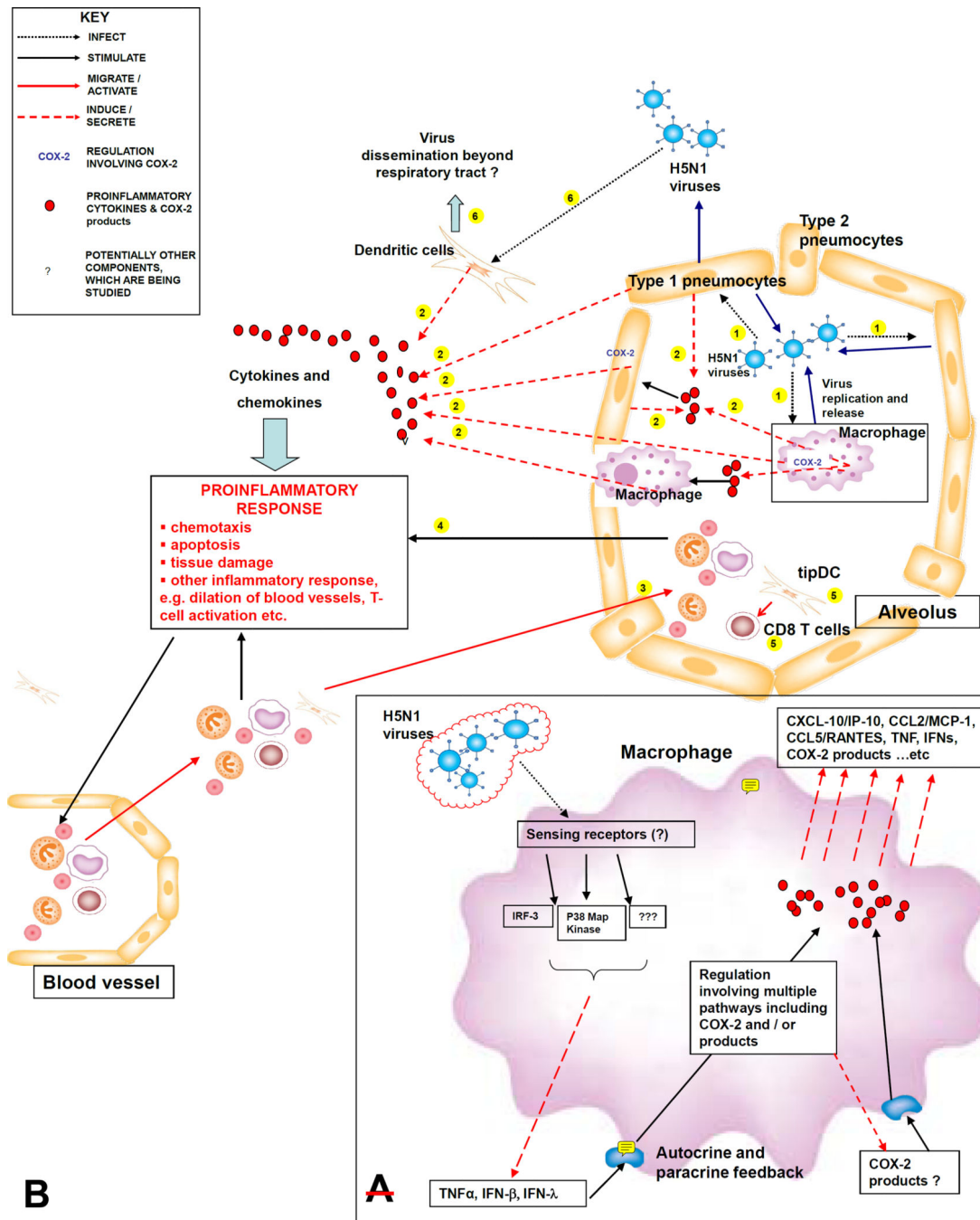


Figure 3. Cytokine and chemokine induction in macrophages infected with H5N1 virus. Virus infection activates interferon regulatory factor 3 (IRF-3) and the p38-MAPK signaling pathways as well as others. Activation of these pathways leads to the expression of primary mediators such as tumor necrosis factor- α (TNF- α), the type I interferons (IFN)- α and - β which in turn trigger release of other cytokines and chemokines through autocrine and paracrine effects. Cyclooxygenase-2 (COX-2) is involved in regulating cytokine expression

within the infected cell, as well as those activated by secreted mediators in adjacent uninfected cells.

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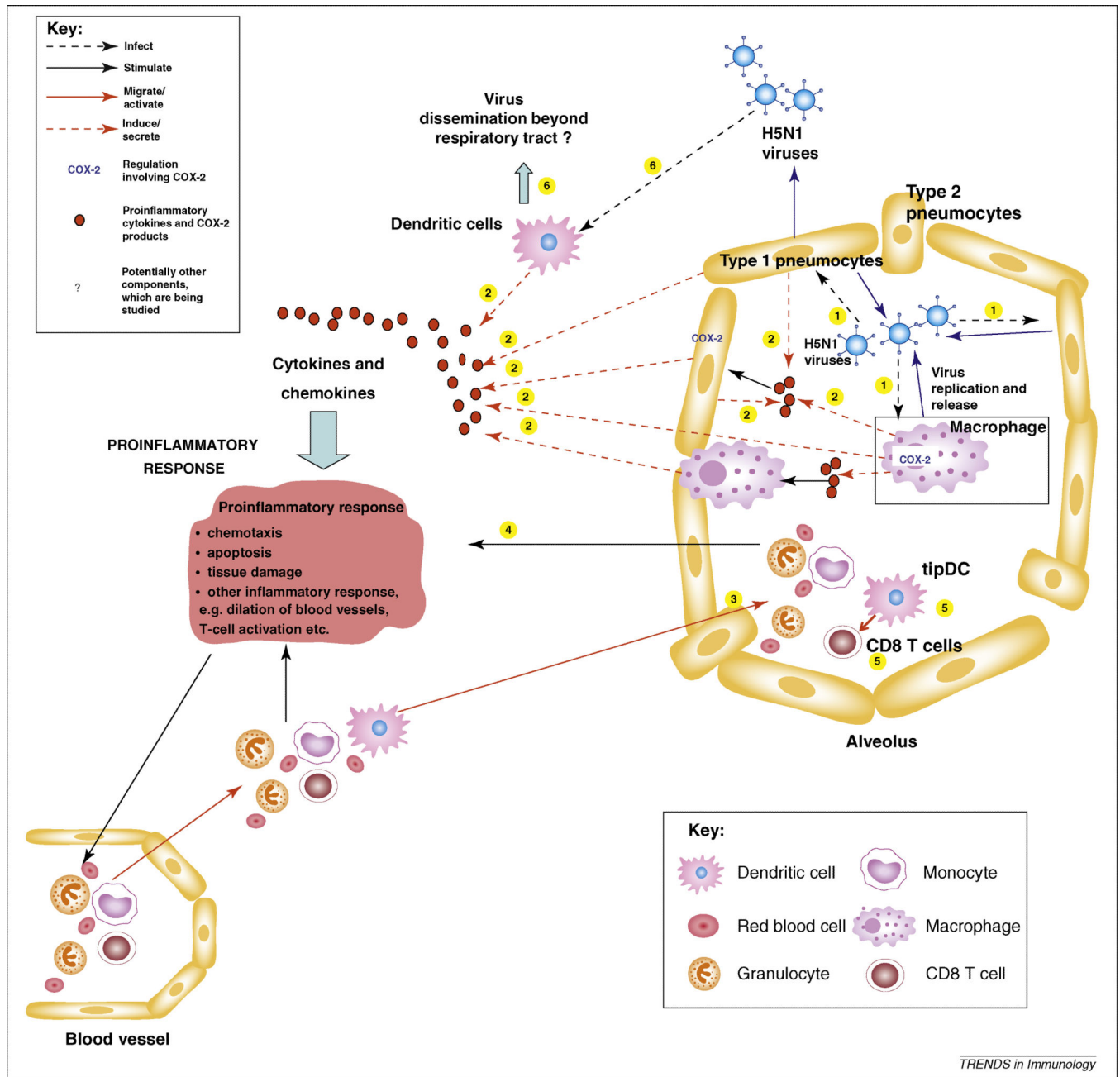


Figure 4. Proinflammatory cascades in the pathogenesis of lung damage in H5N1 disease. (1) Virus infection of macrophages and alveolar epithelium leads to virus replication as well as release of cytokines and chemokines (2), which trigger autocrine and paracrine proinflammatory cascades involving both cell types and infected as well as uninfected cells. These host responses are more potently induced by H5N1 virus compared to seasonal influenza viruses. Some of these cytokines (e.g. interferons) are expected to have an antiviral effect, but the overall effects of these cytokine cascades may well contribute to pathogenesis. The amplification cascade involving adjacent uninfected cells leads to a faster and broader

inflammatory response than that induced by direct virus infection. (3) Chemokines lead to the infiltration of inflammatory cells (lymphocytes, monocytes/macrophages, neutrophils, and dendritic cells) into the alveolar spaces thereby further amplifying these proinflammatory cascades (4). Infiltration of tipDCs (TNF- α /inducible nitric oxide synthase [iNOS] producing DCs) into the alveolar space leads to proliferation of influenza-specific CD8⁺ cytotoxic T-cells in the lung (5). These CD8⁺ cells are important for the control of the virus infection, but in excessive numbers, may also contribute to tissue damage. (6) Virus may also infect and replicate in myeloid DCs and this may possibly allow virus to be disseminated by these cells to other organs and tissues.

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Table

Animal models used for the study of pathogenesis of highly pathogenic avian influenza (HPAI) H5N1 and seasonal human influenza (based on data and reviews in^{64,63,57})

| | Human | Mice | Ferret | Macaque | Cat | Pig |
|---|---|--|---|---|---|--|
| H5N1 virus | | | | | | |
| Binding of H5N1 virus. Anatomical site and cell type⁵⁷ | Alveoli>>>Trachea Type II pneumocyte | Trachea>Alveoli Type II pneumocyte | Alveoli>>>Trachea Type II pneumocyte | Alveoli>>>Trachea Type I pneumocyte | Alveoli>>>Trachea Type II pneumocyte | Alveoli>>>Trachea Type II pneumocyte |
| Disease readily caused by H5N1 virus without prior virus adaptation | Yes ARDS Hyaline membranes | Yes ARDS with some strains, e.g. A/Ck/Hebei/108/02 | Yes | Yes ARDS with a few strains A/HK/156/97 | Yes ARDS-like Hyaline membranes | Poor |
| Dissemination beyond respiratory tract | Limited extent | May be widespread. Depends on virus strain | May be widespread. Depends on virus strain. Dissemination may not correlate with strains that disseminate in mice | No | Yes | No |
| H1N1 or H3N2 virus | | | | | | |
| Binding of human seasonal H1N1 or H3N2 virus. Anatomical site and cell type⁵⁷ | Trachea>>>Alveoli Type I pneumocyte | +/-alveoli | Trachea>>>Alveoli Type I pneumocyte | Poor binding | Poor binding | Poor binding Type I pneumocyte |
| Disease following human H1N1 or H3N2 virus without prior virus adaptation | Yes | Very mild and does not recapitulate human disease. Mice-adapted viruses may lead to severe disease. | Yes Mimics human disease. | Mild illness | No | Mild illness. Tracheal inoculation may lead to more severe pneumonia |
| Other comments | Availability of genetic sequence data, microarrays and immunological reagents | Availability of genetic sequence data, microarrays, knock-out mouse lines and immunological reagents | Paucity of genetic information and immunological reagents | Availability of some genetic sequence data and immunological reagents | Paucity of genetic information and immunological reagents | Paucity of genetic information and immunological reagents |