

Dosage Compensation in *Drosophila*—a Model for the Coordinate Regulation of Transcription

Mitzi I. Kuroda,^{*,†,1} Andres Hilfiker,[‡] and John C. Lucchesi[§]

^{*}Division of Genetics, Brigham and Women's Hospital, and [†]Department of Genetics, Harvard Medical School, Boston, Massachusetts 02115,

[‡]Department of Cardiothoracic, Transplantation and Vascular Surgery, Hannover Medical School, D-30625 Hannover, Germany, and [§]Department of Biology, O. Wayne Rollins Research Center, Emory University, Atlanta, Georgia 30322

ABSTRACT The sex chromosomes have special significance in the history of genetics. The chromosomal basis of inheritance was firmly established when Calvin Bridges demonstrated that exceptions to Mendel's laws of segregation were accompanied at the cytological level by exceptional sex chromosome segregation. The morphological differences between X and Y exploited in Bridges' experiments arose as a consequence of the evolution of the sex chromosomes. Originally a homologous chromosome pair, the degeneration of the Y chromosome has been accompanied by a requirement for increased expression of the single X chromosome in males. *Drosophila* has been a model for the study of this dosage compensation and has brought key strengths, including classical genetics, the exceptional cytology of polytene chromosomes, and more recently, comprehensive genomics. The impact of these studies goes beyond sex chromosome regulation, providing valuable insights into mechanisms for the establishment and maintenance of chromatin domains, and for the coordinate regulation of transcription.

KEYWORDS FlyBook; *Drosophila*; X chromosome; dosage dependence; genetic screens; male-specific lethal

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¹Corresponding author: New Research Bldg. 168, Harvard Medical School, Ave. Louis Pasteur, Boston, MA 02115. E-mail: mkuroda@genetics.med.harvard.edu

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WITH respect to their sex chromosome constitution, *Drosophila* females are XX and males are XY. Such a chromosomal difference between the sexes is found in numerous diploid multi-cellular organisms where one sex carries an identical pair of sex chromosomes while, in the other sex, one of these chromosomes has undergone extensive structural modifications. In *Drosophila*, the Y chromosome has lost most of its genetic content and is retained in the karyotype only because of its specific genetic function in spermatogenesis. As a consequence of the difference in sex chromosomes, genes present on the X chromosome are represented in different doses in females and males, potentially leading to a disparity in the level of their gene products and their stoichiometry relative to autosomal proteins in the two sexes. This problem is preempted by the function of a regulatory mechanism that is sensitive to the ratio of X chromosomes to autosomes (X:A), and has evolved in order to compensate for the dosage differences in X-linked genes between males and females (Figure 1).

The occurrence of dosage compensation was first reported, three-quarters of a century ago, by Herman Muller (Muller 1932). Muller realized that, within each sex, dosage variation of some partial-loss-of-function mutant alleles that are present on the X chromosome leads to a phenotype that is proportional to the dose. Yet, males that carry one dose and females that carry two doses of the alleles have identical phenotypes (Figure 2) (Muller 1948).

The exceptional cytology of polytene chromosomes allowed remarkable early progress in the study of dosage compensation. Polytene chromosomes are produced in larval salivary gland cells, and consist of up to 1024 copies of actively transcribed chromosomal DNA produced by endoreplication in the absence of cell division. These chromosomal copies are lined up in register, and allow interphase chromosomes to be visible under simple light microscopy. Some 25 years after Muller's discovery, Theodosius Dobzhansky noted that the polytenic X in salivary glands of male larvae is wider and more diffuse than each X in females (Dobzhansky 1957). The significance of this observation was provided by George Rudkin who had determined that the DNA content of the male X is equal to that of each X in females (Aronson *et al.* 1954). These facts led to the hypothesis that the difference in morphology of the X chromosomes in the two sexes reflects a difference in levels of activity. This hypothesis was substantiated by A. S. Mukherjee in

Wolfgang Beermann's laboratory using transcription autoradiography. Considered, at that time, a state-of-the-art technique in molecular genetics, transcription autoradiography consisted of treating salivary glands with a short pulse of tritiated uridine, covering the spread polytene chromosomes with a photographic film and, following exposure and development of the film, counting the silver grains present over the chromosomes of interest. The results indicated that the levels of nascent transcripts on the male X chromosome and on the paired X chromosomes of females were similar and that the mechanism of compensation, responsible for correcting the difference in gene dosage between the sexes, operated at the level of transcription. As measurements were relative within each nucleus, whether the mechanism relied on increasing the level of transcription in males or reducing it in females could not be determined with certainty (Mukherjee and Beermann 1965).

The discovery of dosage compensation in *Drosophila* predated the study of the analogous phenomenon in mammals, where the facultative heterochromatization of one of the two X chromosomes in females involves the spread of noncoding RNAs (ncRNAs), as a harbinger of covalent modifications to both DNA and histones (reviewed in Dixon-McDougall and Brown 2016). Similarly it led to the discovery of dosage compensation in *Caenorhabditis elegans*, where the limited down-regulation of both X chromosomes in hermaphrodites involves a subset of proteins and factors that are normally engaged in chromosome condensation during cell division (reviewed in Meyer 2010). In mammals, Susumo Ohno made the landmark discovery that in regenerating female rat liver cells one of the two X chromosomes was heterochromatic, *i.e.*, highly condensed (Ohno *et al.* 1959). Mary Lyon, in her seminal paper, suggested that the condensed X could be either paternal or maternal in origin and that it is inactivated (Lyon 1961). It was only a year later, in a much longer paper discussing X chromosome gene activity, that she put forward the hypothesis that X inactivation in females is the basis for dosage compensation in mammals (Lyon 1962). Surprisingly, she did not cite any of the *Drosophila* observations that had led to the realization that such a regulatory mechanism exists in the first place. In contrast, when Barbara Meyer reported the existence of dosage compensation in *C. elegans*, she discussed her discovery in the perspective of the already extensive literature on the *Drosophila* mechanism (Meyer and Casson 1986).

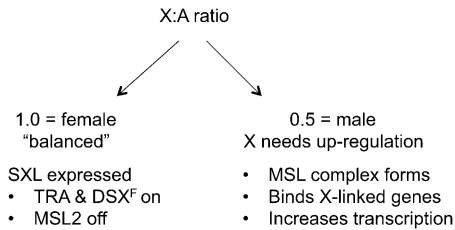


Figure 1 Sex-specific regulation of dosage compensation in *Drosophila* by the X chromosome to autosome ratio. In diploid females (left), the ratio is 1.0 (XX:2A), which represents a basal or "balanced" state of gene expression. The ratio of 1.0 triggers expression of SXL, which positively regulates female-specific splicing and transcriptional regulators (TRA and DSX^F) while repressing the translation of MSL2. Lack of MSL2 prevents inappropriate MSL-complex formation in females. In diploid males (right), the X:A ratio is 0.5 (XY:2A) leading to selective pressure to upregulate X-linked genes. SXL is not expressed, therefore MSL2 is translated, the full MSL complex forms, associates with X-linked genes, and increases their transcription. The lack of SXL also results in male-specific differentiation through expression of DSX^M (see Cline and Meyer 1996).

The Evolution of Sex Chromosomes Drove the Need for Dosage Compensation

Since the discovery of sex chromosomes, the selective forces that have led to their structural and functional differentiation have been the objects of speculation and of some limited experimentation. The most widely accepted view is that sex determination arose from a simple mating-type system based on a pair of sex-determining alleles: individuals of *aa* genotype could only "mate" with heterozygous *Aa* individuals. In such a scheme, the *A* allele is present only in one mating type, while the *a* allele is present in both. The evolutionary forces thought to transform such a simple genetic sex-determining mechanism into the existence of dimorphic sex chromosomes most likely involve: (1) the occurrence of mutations on the *A*-bearing chromosome that are favorable to the *Aa* mating type and unfavorable to *aa* individuals; (2) selection for a loss of recombination between the *A*- and *a*-bearing chromosomes, mediated for example by inversions, that would result in the linkage of the favorable mutations with the *A* allele; and (3) the retention in the population of chromosomes carrying randomly occurring mutations because of their association with the mutations favorable to the *Aa* genotype. As most randomly occurring mutations lead to loss-of-function alleles and are deleterious, the lack of recombination between the *A*- and *a*-bearing chromosomes would lead to a progressive, functional degeneration of the *A*-bearing chromosome. During the course of this process, the genes present in two active doses in *aa* individuals would be represented by a single active dose in *Aa* individuals providing the selective pressure for the evolution of a mechanism that would remedy this inequality of gene products between the two mating types or sexes (Lucchesi 1978; Charlesworth 1996).

Different species in the genus *Drosophila* allow a unique insight into the concomitant evolution of sex chromosomes and dosage compensation. The karyotype of all the members of the genus consists of different arrangements of six

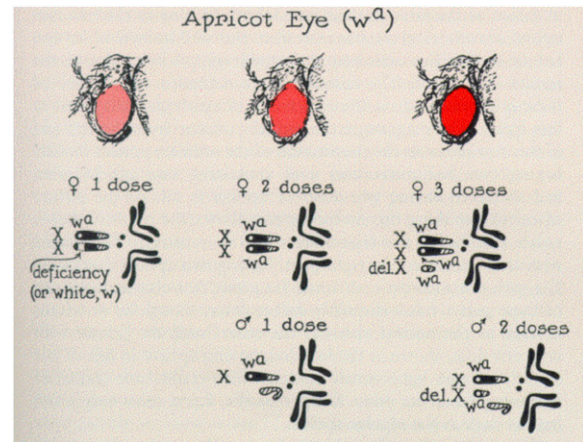


Figure 2 The *w^a* mutant is a partial loss-of-function allele that allows the deposition of some eye pigments in the eye. The greater the number of *w^a* alleles in the genotype of either females (top row of karyotypes) or males (bottom row of karyotypes) the greater the amount of pigmentation. Surprisingly, females with two doses and males with a single dose of the allele have the same eye color. From Muller 1948; reprinted with permission from The Harvey Society.

chromosomal arms and two "dot" chromosomes (Figure 3). Although numerous inversions and other rearrangements of the chromosomal arms differentiate the species, the genic content of the arms has been substantially maintained across the genus. In *Drosophila melanogaster*, the ancestral *A* element is the X chromosome while the fusion of the *B* and *C* arms and the *D* and *E* arms form the major autosomes. In *D. pseudoobscura* a fusion of the ancestral *A* and *D* arms gave rise to a metacentric X chromosome with both arms exhibiting dosage compensation (Abraham and Lucchesi 1974). The karyotypic configuration of the *D. pseudoobscura* species is reproduced in females of *D. miranda*, but the males of this species have an odd number of chromosomes, with one member of a pair of rods (chromosome 2R of *D. melanogaster* or the ancestral *C* element) apparently degenerating due to its fusion to the Y chromosome. This chromosome arm is thus present in two doses in females and only a single dose in males, and is thereby considered to be a second X chromosome (*X₂* or *neo-X*). In males, the single *X₂* chromosome pairs with the Y which, instead of being wholly heterochromatic as in *D. pseudoobscura*, exhibits a number of euchromatic regions that are homologous to the *X₂* chromosome. These regions are destined to become inactivated and heterochromatic as they accumulate loss-of-function mutations and transposable elements (Steinemann *et al.* 1993). As evidence that *D. miranda* provides a window on the evolution of dosage compensation, the *X₂* chromosome exhibits regions where the activity level in males was less than in females and others with equal levels of gene activity in the two sexes, *i.e.*, exhibiting dosage compensation (Strobel *et al.* 1978). Concordant results were obtained with respect to the association of the male-specific lethal (MSL) proteins (described below) along the *X₂* chromosome (Bone and Kuroda 1996; Marin *et al.* 1996) and the acquisition of chromatin entry sites

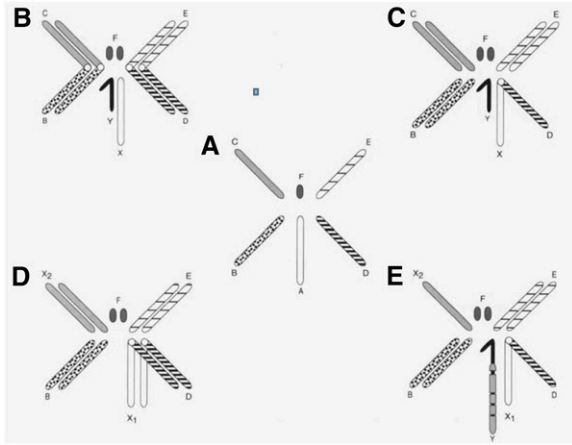


Figure 3 Overview of selected *Drosophila* karyotypes. (A) Diagram of the six chromosomal elements present in various configurations in different species of the genus *Drosophila*. (B) Male of *D. melanogaster*. (C) Male of *D. pseudoobscura*. One of the autosomal elements is fused to the ancestral X, yielding a metacentric X chromosome. (D) Female of *D. pseudoobscura* or *D. miranda*. (E) Male of *D. miranda*. A different autosomal element is fused to the Y chromosome and is in the process of becoming inactivated and heterochromatic. From Lucchesi 1978 reprinted with permission from John Wiley and Sons (New York).

[CESs, also known as high affinity sites (HASs), described below] to which the complex responsible for dosage compensation is targeted (Alekseyenko *et al.* 2013).

Discovery of the First *msl* Genes

Reasoning that if the mechanism operates in males, mutations that interfered with dosage compensation should be male-lethal, while the reverse would be true if the mechanism operates in females, John Belote set out to screen the major autosomes for sex-specific mutations (Belote and Lucchesi 1980a). Using the genetic scheme described in Box 1, MSL mutants were obtained by identifying three genes: *msl1*, *msl2*, and *maleless* (*mle*). The latter gene, *mle*, had already been discovered in natural populations by K. Oishi's laboratory (Fukunaga *et al.* 1975), which later reported the existence of a fourth gene (*msl3*) first found by T. K. Watanabe (Uchida *et al.* 1981). Linking male-specific lethality to dosage compensation was accomplished for three of the four genes by measuring a male-specific reduction in the level of several X-linked enzymes in mutant males (the mutations allow survival up to the third larval instar) and, in the case of an *mle* mutant, by demonstrating a male-specific reduction in the level of X-chromosome transcripts (Belote and Lucchesi 1980b; Breen and Lucchesi 1986). Note that for convenience, all four of these genes as well as *males absent on the first* (*mof*) (discussed below) are collectively referred to as the *msh* genes.

For approximately a decade, the research activities of different laboratories focused on four main questions: (1) how do individuals with aneuploid genomes (such as XXX;AA, XX;AAA, or X;AAA) or individuals with partially aneuploid

genomes (such as XX;AA) carrying large X-chromosome deficiencies, handle dosage compensation; (2) do X-chromosome genes relocated to the autosomes retain compensation, and do autosomal genes relocated to the X become compensated; (3) what are the interactions between dosage compensation and the sex-differentiation pathway, especially with the master-regulatory gene *Sex-lethal* (*Sxl*); and (4) what is the nature of the regulatory sequences that are presumably associated with X-linked genes and that mediate the upregulation of these genes in males (for review see Lucchesi and Manning 1987)? The following decade witnessed the cloning of the *msh* genes (see below), and the demonstration that their products form a regulatory complex that contains long ncRNAs. These results, added to the discovery by Bryan Turner's laboratory that the X chromosome in males is highly enriched in a particular histone post-translational modification, H4K16ac (Turner *et al.* 1992), and to the identification of the MOF acetyltransferase responsible for this modification by Andres Hilfiker (Hilfiker *et al.* 1997); ushered dosage compensation into the modern molecular biology age as the premier paradigm of the epigenetic regulation of entire chromosomes.

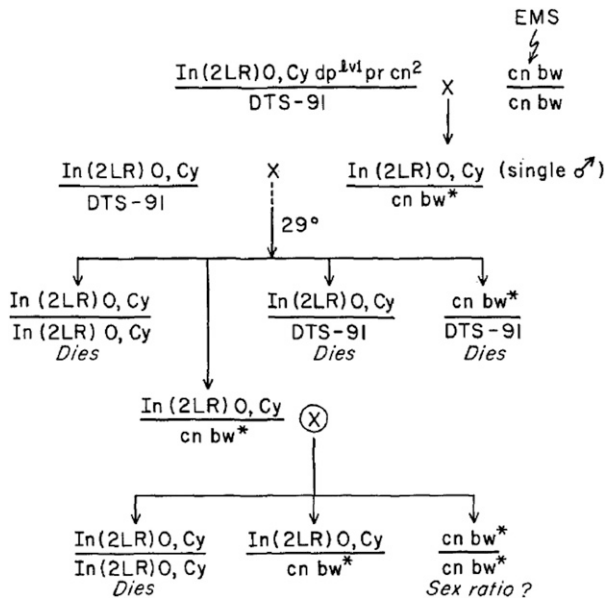
MSL Proteins Bind Along the Length of the Male X Chromosome

The first *msh* gene to be cloned was *mle* (Kuroda *et al.* 1991). The procedure involved the cytological mapping of the gene to a segment missing in two overlapping deficiencies. Within this segment, the breakpoint of a known translocation was associated with a mutant allele of *mle* (Kernan *et al.* 1991). Starting with a cloned gene in the vicinity, a "chromosome walk" was carried out by successively screening for overlapping DNA segments in a genomic library until the breakpoint of the translocation was reached. A computer-aided, "conceptual" translation of the sequence that overlapped the break revealed a 140-kDa protein that has two double-stranded RNA binding motifs, appeared to be a member of a particular family of helicases, and was highly homologous to the subsequently identified human RNA helicase A (RHAII). The purified recombinant MLE protein binds single-stranded RNA or DNA and is an ATP-dependent RNA:DNA helicase (Lee *et al.* 1997).

The *msh1* gene was cloned using the same approach (Palmer *et al.* 1993). The predicted protein did not have any significant resemblance to any of the proteins in the databases available at that time. Two years later, three separate laboratories cloned *msh2*. This gene had been mapped genetically to chromosome 2, and one cloning strategy relied on a series of Y-2 translocations and on combinations of translocations between chromosomes 2 and 3 to determine its physical location (Bashaw and Baker 1995). Cloning was also achieved by the use of deletions resulting from the imprecise excision of a transposable P element inserted near the *msh2* gene, and the selection of a cosmid containing the DNA that was missing in the deletions (Kelley *et al.* 1995). The third

BOX 1

The scheme developed by John Belote to screen for sex-specific lethals on chromosome 2 is illustrated below. A similar scheme was used for chromosome 3.



The chromosome marked with Cy is a balancer that is lethal in homozygous condition, and DTS-91 is a dominant temperature-sensitive lethal mutation present on chromosome 2. The use of these chromosomes allows the recovery of fourth-generation progenies that consist of males and female individuals carrying an EMS-treated chromosome over the Cy balancer and individuals homozygous for the treated chromosome to be examined for the absence of one of the two sexes.

successful attempt at cloning *msl2* was based on mapping the physical location of the gene using restriction fragment length polymorphism (RFLP) linkage analysis (Zhou *et al.* 1995). This method correlates the presence of the phenotype caused by a loss-of-function allele with the presence or absence of restriction enzyme cutting sites, within the segments of a DNA walk. As suspected, because of the presence of a conserved RING finger, human MSL2 is an E3 ubiquitin ligase now known to ubiquitinate p53 (Kruse and Gu 2009) as well as histone H2B (Wu *et al.* 2011). In flies, MSL2 ubiquitinates itself and the other MSLs and targets them for proteolysis, likely in order to maintain their stoichiometry (Villa *et al.* 2012). In addition, MSL2 binds DNA through its CXC domain—a stretch of 37 amino acids rich in cysteine (Fauth *et al.* 2010; Zheng *et al.* 2014).

The *msl3* gene was cloned by the Baker laboratory using a strategy similar to the one used to clone *msl2* (Gorman *et al.* 1995). MSL3 contains a chromodomain that targets the

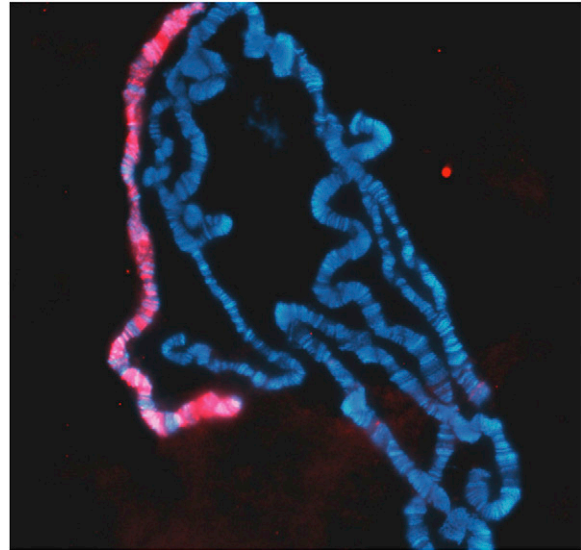


Figure 4 MSL proteins localize to the male X chromosome. MSL3 (red) is present in a reproducible interband pattern on the larval polytene male X chromosome, and not on the autosomes (blue). From Alekseyenko *et al.* 2006; reprinted with permission from Cold Spring Harbor Laboratory Press (Cold Spring Harbor, NY).

MSLs to active X-chromosome genes by associating with nucleosomes which contain histone H3 methylated at lysine 36 (H3K36me)—a mark deposited concomitantly with transcription (Larschan *et al.* 2007; Bell *et al.* 2008; Sural *et al.* 2008). MSL3 also has affinity for DNA and histone H4 methylated at lysine 20 (Kim *et al.* 2010; Moore *et al.* 2010).

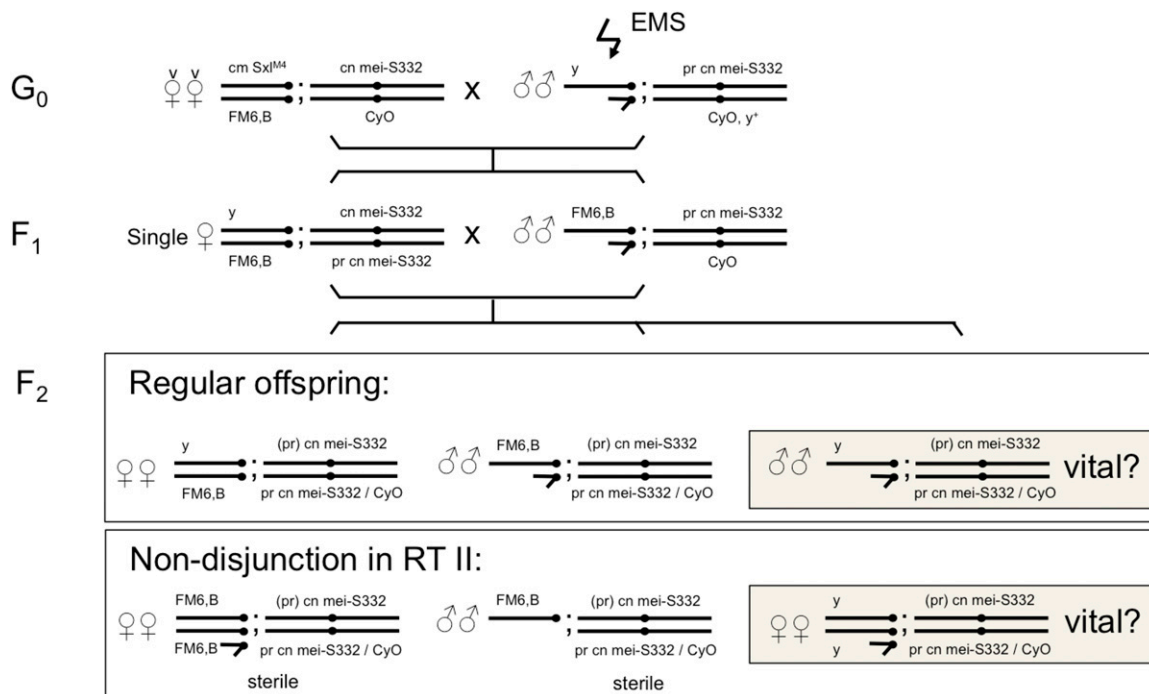
Once the *msl* genes were identified, a major question was whether their gene products might directly regulate the male X chromosome. To address this question, complementary DNA (cDNA) sequences were expressed in *Escherichia coli* and the resulting protein products were used to generate antisera. In turn, these antisera were used to detect the location of the different *msl* gene products on polytene chromosomes by indirect immunofluorescence. In all instances, the MSL proteins were present at numerous sites along the entire X chromosome in males and not in females (Figure 4). Importantly, their colocalization suggested that they might form a multi-protein complex. Supporting this contention were the observations that in the absence of MSL1 or MSL2, none of the other proteins associated with the X chromosome (Gorman *et al.* 1993). Interestingly, in the absence of MSL3 or MLE, a subset of sites is bound by the remaining MSL proteins (Palmer *et al.* 1994). These sites are now considered nucleation sites for MSL targeting and spreading, which we will discuss in the context of a targeting model below.

MOF Catalyzes Site-Specific Histone H4 Acetylation on the Male X

Several facts were known in the late 1980s regarding the molecular biology of chromatin: nucleosomes are not only involved in DNA packaging but also play an important role in

BOX 2

The scheme developed by Andres Hilfiker to screen for *male-specific lethals* on the X chromosome.



Since lethal mutations on the X chromosome affect males whether they are male specific or not, the screen takes advantage of the *mei-S332* mutation which causes a high frequency of nondisjunction in the second meiotic division in females. This results in eggs bearing two sister chromosomes that include the EMS-exposed X chromosomes to be tested for male-specific lethality. Fertilized by a Y chromosome, such zygotes develop into females. Therefore, the occurrence of y marked females paralleled by the absence of y marked males is indicative of the presence of an X-linked *msl* mutation.

Additional features of the screen: F₁ females need not be collected as virgins since all of their brothers bear an FM6 chromosome. Diagnostic nondisjunctional females could be present in the F₂ generation; however, the low fecundity caused by the *mei-S332* mutation makes it profitable to transfer the progeny of the single F₁ females and screen in the F₃ generation, when a reasonable number of flies have been generated.

regulating gene function; nucleosomal histones can be covalently modified and one modification in particular, acetylation, is associated with active transcription; in yeast, among the four acetylated lysines that occur on the terminal tail of histone H4, lysine 16 was uniquely necessary for gene expression. To determine the role of this lysine's acetylation and that of the other three—lysines 5, 8, and 12—Bryan Turner's group generated antisera that were able to recognize, individually, each of the acetylated lysines. This was accomplished by injecting rabbits with synthetic peptides acetylated at all four lysines, then testing the antisera present in each rabbit for their *in vitro* specificity with monoacetylated peptides (Turner and Fellows 1989). To attempt to discern some possible differences in the regulatory functions of nucleosomes containing H4 acetylated at different lysines, Turner and his collaborators immunostained *Drosophila* polytene chromosomes. Histone H4 acetylated at lysines

5 or 8 was distributed throughout the karyotype and H4 acetylated at lysine 12 was present in β -heterochromatin, in both sexes. Surprisingly, H4 acetylated at lysine 16 (H4K16ac) was found almost exclusively along the X chromosome in males (Turner *et al.* 1992). This mark colocalizes with the *msl* gene products on the X chromosome, and is present only if the *msl* genes are active and dosage compensation is normal (Bone *et al.* 1994). However, understanding the mechanism for enrichment of H4K16ac on the male X remained unknown until the discovery of the MOF acetyltransferase, the principal enzymatic function underlying dosage compensation.

As described above, the systematic search for sex-specific mutations had focused on the two large autosomes; chromosome 4 was not considered because its small size reduced the probability that it may carry the appropriate genes, and the X chromosome had been ignored because of the operational

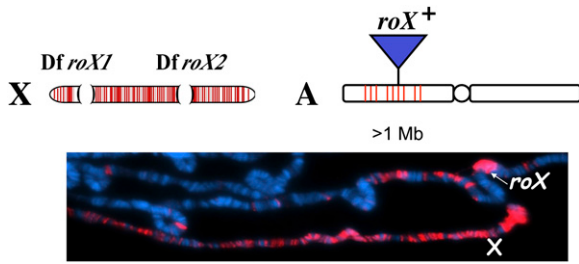


Figure 5 MSL proteins spread from *roX* transgenes inserted on autosomes. (Top) Diagram of a *roX1 roX2* double-mutant X chromosome (X) and an autosome (A) with a 5-kb wild-type *roX+* transgene insertion. (Bottom) Anti-MSL1 staining of male polytene chromosomes carrying the *roX+* transgene insertion on an autosome. MSL staining (red) is seen on the *roX1 roX2* mutant X chromosome, but also up to 1 Mb in *cis* to the transgenic insertion (adapted from Park *et al.* 2002).

difficulty of determining whether a male-lethal mutation could be made homozygous and tested for viability in females. Andres Hilfiker circumvented this problem by using an ingenious genetic scheme that allowed the generation of females homozygous for a mutated X chromosome by using the mutation *mei-S332* which causes a high frequency of nondisjunctions in the second meiotic division in the female germline (see Box 2). Such females would survive only if the X-linked lethality were male specific. One mutation that conformed to these phenotypic characteristics was isolated and shown to cause the absence of H4K16ac on the X chromosome of dying male larvae. Using RFLP mapping, the mutation was localized to a particular gene on the X chromosome that encodes a protein with substantial similarity to several histone acetyl transferases (Hilfiker *et al.* 1997). In fact, the *mof* gene product was shown to be the enzyme responsible for the acetylation of histone H4 at lysine 16 (Smith *et al.* 2000). The main function of all the other dosage-compensation regulatory proteins in *Drosophila* is likely to be the correct targeting of this histone acetyltransferase activity to active genes on the male X chromosome.

In addition to its role in dosage compensation, MOF associates with gene promoters throughout the genome in both males and females (Raja *et al.* 2010) although it activates only a subset of these genes (Feller *et al.* 2012). This nondosage-compensation function appears to be dispensable since females lacking MOF are viable (Hilfiker *et al.* 1997). The characterization of *mof* in *Drosophila* led to the identification of its human ortholog (Neal *et al.* 2000) which, similarly to the *Drosophila* enzyme, is responsible for the majority of H4K16 acetylation (Smith *et al.* 2005).

Discovery of the *roX* RNAs leads to the spreading model for X-chromosome targeting of dosage compensation

The noncoding-RNA components involved in dosage compensation were discovered fortuitously during investigations of the molecular genetic basis of brain function in flies. The

laboratory of Ron Davis had used an enhancer-detector transposable element marked with *LacZ* to establish a collection of cell-specific markers throughout the adult *Drosophila* brain. The transposable element was induced to reinsert randomly throughout the genome and was activated by neighboring enhancers or neighboring genes (Han *et al.* 1996). A particular line of flies was obtained with *LacZ* expression in a brain region of females. A search for genes adjacent to the site of insertion of the transposable element revealed a transcript that was expressed ubiquitously, but only in males. The transcript was spliced and polyadenylated, lacked an open reading frame of any significance, and was found to decorate the X chromosome exclusively (Meller *et al.* 1997). The gene was named *RNA on X 1 (roX1)*.

At the same time, Hubert Amrein in Richard Axel's laboratory was initiating studies of sex-specific behavior in *Drosophila* by preparing cDNA from male and female brains separately and screening libraries for genes exhibiting sex-specific expression. These studies lead to the identification of two separate sets of male-specific transcripts encoded by *roX1* and by a new gene—*roX2* (Amrein and Axel 1997). Although very different in size and sequence, *roX2* RNA shared the same characteristics with *roX1*: splicing, polyadenylation, no open reading frame, and association with the X chromosome in all tissues examined. Subsequent genetic studies demonstrated that single *roX1* or *roX2* mutants were viable, but double mutants were MSL; indicating that they play redundant, but essential, functions in dosage compensation (Meller and Rattner 2002).

roX genes are located on the X chromosome in all *Drosophila* species examined, strongly suggesting a functional importance to their X-linkage (Park *et al.* 2007; Quinn *et al.* 2016). However, *roX* transgenes inserted on autosomes can functionally complement *roX1 roX2* double mutants. In this genotype, transgenic *roX* RNA, as well as the MSL complex, bind not only the male X, but also significant genomic stretches surrounding the autosomal location of the *roX* transgene (Figure 5) (Park *et al.* 2002). This result, along with the partial MSL binding pattern seen in *msl3* and *mle* mutants (Palmer *et al.* 1994), support a model in which the MSL complex first assembles on a subset of nucleation sites and then spreads in *cis* in a reproducible pattern along the length of the X (Figure 6) (Kelley *et al.* 1999).

Sex-Specific Biogenesis of the MSL Complex

Identification of the MSL complex

Given the colocalization of the MSL proteins on the male X chromosome, do they form a multi-subunit complex? The first evidence of a physical association of the MSL proteins was the co-immunoprecipitation of MSL1 and MSL2 (Kelley *et al.* 1995), followed by the co-immunoprecipitation of these two subunits with MSL3 (Copps *et al.* 1998). The reason for the absence of MLE from these precipitates can be explained by the fact that its association with the X chromosome in

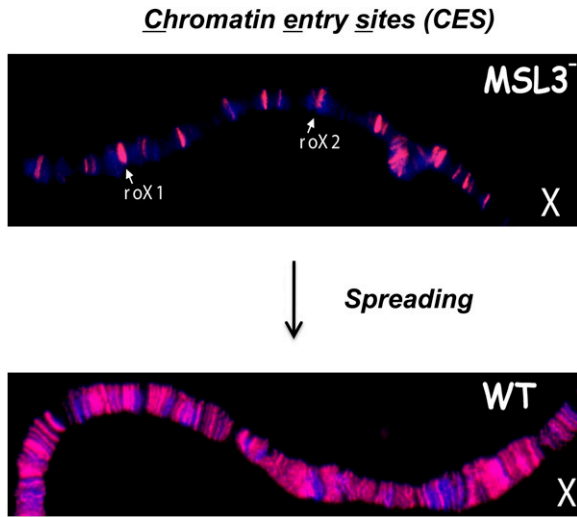


Figure 6 Model for MSL targeting of the X chromosome. Initial assembly occurs on the sites of roX RNA synthesis and may nucleate at CESs before spreading *in cis* to produce the wild-type MSL pattern on the X chromosome. Assembly is limited to CES in the absence of MSL3 protein (adapted from Kelley *et al.* 1999).

males was RNase sensitive (Richter *et al.* 1996); MLE might, therefore, be lost during the preparation of nuclear extracts. It is worthwhile to note that this observation led Richter and collaborators to suggest that a hypothetical RNA may be involved in dosage compensation. The roX RNAs were discovered during the following year and their colocalization with the MSL proteins along the X chromosome in males was evidenced by *in situ* hybridization with antisense, labeled probes (Meller *et al.* 2000). Using “RNA-friendly” conditions which included the use of a broad-spectrum RNase inhibitor and low salt during extraction, a complex containing all five MSL proteins was eventually isolated and shown to acetylate the H4K16 of nucleosomes (Smith *et al.* 2000). Recent proteomic analysis using formaldehyde cross-linking to stabilize the complex on DNA recovers MLE, JIL-1 kinase, and roX RNA efficiently (Aleksyenko *et al.* 2014). JIL-1 enrichment on the male X chromosome and its link to the MSL complex was discovered previously by the Johansen laboratory (Wang *et al.* 2001). Ongoing structural and functional studies continue to make valuable progress in understanding all of the potential components and organization of the MSL complex (recently reviewed in Keller and Akhtar 2015).

How the complex is assembled

The roX1 RNA is transcribed early during embryogenesis in both males and females; roX2 RNA is transcribed later and only in males. Of all the MSL proteins present at the time of roX RNA synthesis, MLE appears to be necessary for the stabilization of roX1 (Meller 2003) and, by inference, of roX2 RNA. Unless they associate with subunits of the MSL complex, the roX RNAs are unstable. In male embryos, although roX1, roX2, and four MSL proteins are present, formation of the complex must await the synthesis of MSL2; the only MSL



Figure 7 Diagram of the two roX RNAs showing the location of the conserved sequences (roX boxes) and structural features (stem loops). The sequences forming the stem loops are different in the two RNAs except for one copy of the roX box which they share. From Maenner *et al.* 2013; reprinted with permission from Elsevier (Amsterdam).

protein missing from the maternal contribution. Although very different in size (3.7 kb for roX1 vs. 600 nt for roX2) and with very different primary sequences, the two roX RNAs are functionally redundant (Meller and Rattner 2002). This characteristic depends on the presence of short conserved sequences—the roX boxes—in the 3' end of the RNAs, and on the formation of stem loops (SLroX1 or SLroX2) containing one of the roX boxes (Figure 7) (Stuckenholz *et al.* 2003; Park *et al.* 2007; Kelley *et al.* 2008; Park *et al.* 2008). Using one of several currently available techniques to determine the secondary structure of RNA, a number of additional smaller helices can be resolved, two of them located 3' of SLroX1 and a total of eight in roX2 (Ilik *et al.* 2013). MLE is necessary for the assembly of the MSL complex since mutations that inactivate its ATPase or helicase functions lead to the association of only MSL1 and MSL2 at the X-chromosome entry sites (Lee *et al.* 1997; Gu *et al.* 1998; Morra *et al.* 2008). MLE's role in dosage compensation may be to incorporate the roX RNAs into the MSL complex. A second subunit that appears to be required for the stable integration of roX RNA into the complex is MSL2 (Hallacli *et al.* 2012). Using methodology that allows the mapping of sites of RNA-protein contact at the single nucleotide level, Ilik and colleagues showed that MLE and MSL2 bind roX1 and roX2 at discrete stem loop domains (Ilik *et al.* 2013). The mechanistic basis and functional consequence of this binding were elucidated by Peter Becker and colleagues, who demonstrated that MLE binds to the major roX stem loop and remodels its topology to allow binding by MSL2 (Maenner *et al.* 2013). The methods used to determine changes in the stem loop made use of specific RNases that digest double-stranded RNA regions or single-stranded RNAs at specific residues, and by using chemicals that modify bases in single-stranded RNAs; single-stranded RNA regions could also be recovered by hybridization with labeled nucleotides. Two MSL2 subunits bind to a dimer of two MSL1 molecules, which interacts directly with MSL3 and MOF (Hallacli *et al.* 2012).

The complex does not assemble in females

During the course of his seminal investigations on the role of *Sxl* in sex determination, Tom Cline suggested that this gene might also act in dosage compensation to limit the occurrence of this regulatory mechanism to males. He hypothesized that a dominant mutation of *Sxl* that is expressed in

applied to this problem, was that the MSL complex is concentrated on *X*-linked gene bodies rather than promoter or intergenic DNA, where *cis*-acting regulatory elements are typically thought to be located (Alekseyenko *et al.* 2006; Gilfillan *et al.* 2006; Legube *et al.* 2006). In fact, the complex, as well as the H4K16ac chromatin mark that it catalyzes, occupies active genes with a 3' bias (Figure 8). Furthermore, no sequence specificity was evident from this broad binding pattern. Rather, binding correlated with gene activity, and subsequent analyses demonstrated that active autosomal genes were likewise bound if inserted on the *X* chromosome (Gorchakov *et al.* 2009). Binding in gene bodies coincides with H3K36me₃, a general mark on active genes (Larschan *et al.* 2007; Bell *et al.* 2008).

Discovery of the MSL recognition element

If the MSL complex is attracted to active genes, what limits it to the *X* chromosome? To identify the chromatin entry sites (CESs) implicated in initial recognition of the *X*, ChIP-chip was performed in *msl3* mutants where the entry-site pattern was first discovered on polytene chromosomes (Figure 6). The 150 potential entry sites identified by MSL2 ChIP-chip revealed a common GA-rich MSL recognition element (MRE) (Figure 9) (Alekseyenko *et al.* 2008). Studies of MSL binding in suboptimal cross-linking conditions identified high affinity sites (HASs) (Straub and Becker 2008), which are largely the same as the CESs. The 21-bp MRE motif is only slightly enriched on the *X* chromosome (approximately twofold), but this is doubled when considering its preferential location within or 3' to active genes (greater than fourfold enrichment). When inserted on an autosome, an entry site can direct local MSL spreading to flanking active autosomal genes (Alekseyenko *et al.* 2008). However, *roX* genes appear to be the most effective drivers of MSL spreading (Larschan *et al.* 2007), and may be a special class of entry site because they produce ncRNAs that associate directly with the complex. Biochemical analyses have not yielded evidence for additional ncRNA components of the MSL complex to date (Oh *et al.* 2003; Alekseyenko *et al.* 2014).

The molecular necessity of CES has been difficult to assess, as they are assumed to function redundantly along the length of the *X*. However, evolution of sex chromosomes is an ongoing process as can be seen in the karyotypes of several *Drosophila* species (Figure 3). In particular, analyses in *D. miranda* strongly suggest the functional importance of CES as an evolving neo-*X* chromosome exhibits the recent acquisition of MREs with the analogous consensus sequence and spacing (every ~50 kb on *X*) as in *D. melanogaster* (Alekseyenko *et al.* 2013). Extensive new analyses of *roX* RNAs from 35 *Drosophilid* species, including the genomic mapping of occupancy of four *roX* RNA orthologs, revealed that one mode for the evolution of new MRE sequences is likely to be from preexisting RNA splicing signals (Quinn *et al.* 2016). These results provide strong support for the functional significance of CES, and for the spreading model in the establishment of dosage compensation.

Finding a DNA sequence implicated in targeting of the MSL complex solves only part of the puzzle. The direct-binding factor(s) for the MRE motif were key missing links in dosage compensation. An RNA-interference (RNAi) screen coupled with a cell-based assay and genome-wide mapping identified the CG1832 zinc-finger protein as a key factor required for MSL targeting, and a strong candidate for sequence-specific binding of the MRE (Larschan *et al.* 2012). Subsequent direct interaction of CG1832 protein (renamed chromatin-linked adaptor for MSL proteins, CLAMP) with the MRE sequence was documented *in vitro* (Soruco *et al.* 2013). Independently, the CXC motif in MSL2 was discovered to bind and form a cocrystal with the MRE sequence (Zheng *et al.* 2014), providing a direct DNA contact with the core MSL complex. CLAMP binds the MRE sequence on all chromosomes but displays a clear preference for *X* (Soruco *et al.* 2013), thus perhaps its binding is stabilized in conjunction with MSL2.

In spite of all of these advances, understanding the exquisite *X* specificity of MSL binding is still a major challenge. Current evidence indicates that, as with many transcription factors, the preexisting chromatin environment and flanking sequence composition may help select functional binding sites by the MSL complex. Bioinformatic analysis of chromatin profiles from the *Drosophila* Model Organism Encyclopedia of DNA Elements (modENCODE) project, combined with experimental results inducing MSL complex in female cells, suggest that an active chromatin context plays a critical role in the initial binding of the MSL complex to the *X* (Alekseyenko *et al.* 2012). Furthermore, the GC content of the DNA surrounding functional binding sites is significantly higher than for nonfunctional motifs. However, many unanswered questions remain, including why MREs on autosomes can meet all of the currently known criteria but escape targeting.

Potential role of repetitive sequences on *X*

The conservation of specific-repeat enrichment on the *X*, including rapid population of neo-*X* chromosomes by these repeats, strongly suggests a functional role in dosage compensation (Pardue *et al.* 1987; Waring and Pollack 1987; DiBartolomeis *et al.* 1992). Recent genetic studies have raised the possibility that small RNAs derived from repetitive sequences on *X* play a role in chromosome-specific recognition. First, lowered dosage of the RNAi machinery can enhance lethality in males partially deficient in *roX* RNA function. Second, lowered dosage of the RNAi machinery can partially rescue females with inappropriate dosage compensation. Third, transgenes expressing hairpin RNAs derived from the *X*-specific 1.688 satellite sequence produce abundant small interfering RNAs (siRNAs) and increase male survival in mutants with defective *X* recognition (Menon and Meller 2012; Menon *et al.* 2014). MLE also exhibits strong affinity for hairpin RNAs *in vivo* (Cugusi *et al.* 2016). Unexpectedly, MSL proteins and *roX* RNAs were also recently implicated in full expression of autosomal heterochromatic genes in males, a sex-specific function separate from *X*-chromosome

dosage compensation (Koya and Meller 2015). Perhaps a common role for ncRNAs in chromatin underlies all of these novel findings.

Linkage and transcription play the pivotal roles in the final MSL binding pattern, irrespective of gene origin and DNA sequence

An alternative to the spreading model, discussed above, is that each MSL binding site is directed by DNA sequence, but that the elements are difficult to identify because they were degenerate, with varying affinities (Fagegaltier and Baker 2004; Oh *et al.* 2004; Dahlsveen *et al.* 2006). Although autosomal genes *could* be bound by MSL complex when a *roX* gene was inserted in *cis* on that chromosome (Park *et al.* 2002; Larschan *et al.* 2007), proponents of an affinities model argued that this was a highly artificial situation, potentially irrelevant to normal assembly and targeting on *X*. To distinguish between the two models, Gorchakov *et al.* (2009) proposed a test with distinct predictions for the fate of autosomal genes inserted on *X*. The spreading model predicted binding of the inserted autosomal genes, dependent on their transcriptional state. The affinities model predicted that the majority of autosomal genes should be skipped, unless they fortuitously carried a DNA sequence that had sufficient affinity to function within the MSL-rich *X* chromosome. The result was unambiguous. MSL complex bound, acetylated, and upregulated the transcriptionally active autosomal genes inserted on 14- and 65-kb autosomal transgenes tested at multiple locations on *X*, without any evidence for skipping. Therefore, a long-sought specific DNA sequence within each *X*-linked gene is not obligatory for MSL binding. However, the mechanistic basis for spreading remains far from understood.

To make further progress, it will be critical to determine what attracts the MSL complex to active genes. This occurs, at least in part, through association of the MSL3 chromodomain to the H3K36me3 active-chromatin mark. However, this is clearly not the only factor, as binding is diminished but not abolished in a *set2* mutant, which lacks detectable H3K36me3 (Larschan *et al.* 2007). Importantly, *roX* RNAs are also required for spreading, as MSL complex binding is restricted to CES in their absence (Figueiredo *et al.* 2012). Thus, one of the most interesting outstanding questions is what targets the *roX* RNAs to active genes to facilitate spreading.

Transcriptional Regulation on the Male X

There is a large body of evidence that the MSL complex functions by increasing the transcription of *X*-linked genes. For example, Dobzhansky (1957) proposed that the increased volume of the wild-type male polytene *X* chromosome compared to single autosomes might reflect its increased activity. Consistent with this observation, Belote and Lucchesi noted a reduced width of the polytene *X* chromosome in *mle* mutants (Belote and Lucchesi 1980b). The male-specific lethality of the *mle* mutants and the *X*-chromosome binding of the MSL proteins are all consistent with a direct

function on the *X*. The most definitive evidence comes from experiments in which the MSL complex is locally spread on autosomes. When genome-wide RNA expression is measured in transgenic flies with distinct insertion sites, local autosomal gene expression is increased, coincident with the location of MSL spreading specific to each line (Park *et al.* 2010).

The biochemical mechanisms for increasing gene expression on *X* must function over a wide dynamic range of transcription levels and differential expression patterns. Historically, experimental analysis of MSL function at the mechanistic level has been very challenging due to the small magnitude of the chromosome-wide effect and the lack of an *in vitro* system for biochemical analysis. However, with the development of new genomic tools to address transcriptional mechanisms using high throughput sequencing, the field has begun to make critical progress in this area.

The linkage of histone acetylation to transcriptional activity has been firmly established from yeast to human. Acetylation at multiple positions on the N-terminal tails of both H3 and H4 is typically seen on nucleosomes concentrated around the transcription start sites of active genes. Notably, the dosage compensated *X* chromosomes in *Drosophila* males display further enrichment for a *specific* acetylated form, H4K16ac, beyond 5' ends, across the bodies, with a bias toward the 3' ends of active genes (Smith *et al.* 2001). This modification is the specific product of the MOF histone acetyltransferase component of the MSL complex (Hilfiker *et al.* 1997; Smith *et al.* 2000). The significance of this distribution has been a major focus in the analysis of how transcriptional output is increased on the male *X* chromosome.

New genome-wide technologies have allowed experimental analysis of effects of MSL perturbation on the occupancy of RNA polymerase across genes on *X* and autosomes, and on nascent RNA transcription. Larschan *et al.* (2011) used global run-on sequencing (GRO-seq) to examine the specific effect of MSL-complex RNAi knockdown on RNA polymerase II (Pol II) on a genome-wide level. In this method, active polymerases are captured on the DNA during the isolation of nuclear extracts, and then mapped along genes via their ability to create a short tag of nascent RNA when provided with a pulse of labeled nucleotides. Results indicated that the MSL complex increases the density of Pol II across the bodies of active *X*-linked genes compared to autosomal genes, reminiscent of the original assays of nascent RNA linked to polytene chromosomes by Mukherjee and Beermann (1965). Notably, the considerable amount of paused RNA polymerase seen at 5' ends of both *X* and autosomal genes did not appear to be strongly affected by MSL function.

In contrast, using ChIP followed by sequencing (ChIP-seq) for Pol II, Conrad *et al.* (2012) reported an MSL-dependent increase in Pol II occupancy at 5' ends of *X*-linked genes, without any further increase over gene bodies. This supported an alternative model in which improved 5' Pol II recruitment is the key mechanism for dosage compensation in *Drosophila*, with no role in the elongation phase of transcription (Conrad

et al. 2012; Ferrari *et al.* 2013a; Straub and Becker 2013; Vaquerizas *et al.* 2013). It should be noted, however, that neither GRO-seq nor ChIP-seq provided the ability to differentiate with certainty between the recruitment, initiation, pausing, and elongation steps in early transcription (Ferrari *et al.* 2013b).

Further evidence for a role for the MSL complex in transcriptional elongation came from nascent RNA sequencing (Nascent-seq), a method to selectively isolate Pol II and nascent transcripts attached to the DNA template, based on the remarkable stability of transcriptionally engaged polymerase. Direct Nascent-seq documented dosage compensation with a new level of precision by comparing the positions of Pol II on *X* and autosomal genes at nucleotide resolution. The comparison of *X* and autosomes from additional GRO-seq and 5' short RNA sequencing data also complemented the Nascent-seq analyses for assessment of the role of Pol II 5' recruitment. The composite model from all of these studies suggests that male *X*-linked transcription is differentially regulated upon release from 5' pausing, with increasing compensation as polymerase transcribes through *X*-linked gene bodies (Ferrari *et al.* 2013b). This “jumpstart and gain” model (Figure 10) still encompasses two interpretations. One possibility is that H4K16 acetylation increases processivity of Pol II which might otherwise terminate prematurely during elongation. Alternatively, increased efficiency of successfully elongating Pol II may result in faster release of paused polymerase molecules waiting to engage in productive elongation. In either case, 5' replenishment of RNA polymerase does not appear to be the rate-limiting factor for most dosage-compensated genes on *X*.

If pausing release and the ability to efficiently elongate through the chromatin template are the likely steps affected by MSL function, is the acetylation at Lys16 on histone H4 the sole cause? This is an appealing model, as nucleosomes are known to be a barrier to efficient transcription, and acetylation can oppose the tendency of nucleosomes to cause compaction *in vitro* (Shogren-Knaak *et al.* 2006; Robinson *et al.* 2008). However, to date it has not been possible to separate the role that the MOF acetyltransferase plays in MSL complex integrity and targeting from its role in histone acetylation. Evidence that H4K16 acetylation may not explain all of MSL-dependent dosage compensation comes from studies demonstrating a role for MLE helicase activity and enrichment for Topoisomerase II function on *X*-linked genes (Cugusi *et al.* 2013). Furthermore, a role for higher order structure in the *X* chromosomal “territory” within the nucleus cannot be excluded (Akhtar and Gasser 2007; Grimaud and Becker 2009).

Comparisons of mRNAs from male and female somatic cells demonstrate near perfect dosage compensation of *X*-linked genes (Gupta *et al.* 2006), raising the question of how such a precise level of transcriptional control can occur. One possibility is that the transcriptional elongation process is already quite efficient, with a maximum improvement approaching twofold. Alternative models suggest that MOF's intrinsic ability to strongly augment transcription must be somehow

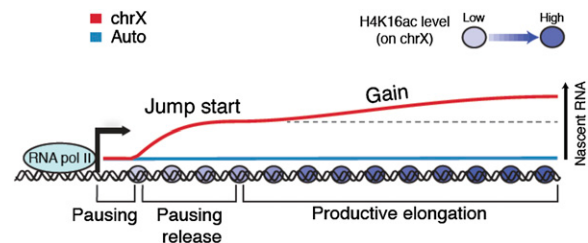


Figure 10 Jumpstart and gain model for dosage compensation. The transcription profile of genes on autosomes (Auto, blue line) compared to dosage compensated genes on *X* (chrX, red line). Both attract abundant Pol II, producing short transcripts while paused. An increase of mRNA production occurs on *X*-linked genes due to H4K16ac enriched on gene bodies. The facilitated steps include pause release (jumpstart), and a measurable gain during elongation. From Ferrari *et al.* 2013b; reprinted with permission from Elsevier (Amsterdam).

dampened in a precisely quantitative manner by the other MSL subunits (Sun and Birchler 2009; Villa *et al.* 2012). A related issue is whether MSL-complex activity accounts for the full twofold effect. Although measurements of MSL-dependent regulation suggest a <1.5-fold rather than a perfect 2-fold effect in the transcriptional studies cited above, this could be due to the inability to create a complete knockdown with transient RNAi in cell culture. Alternatively, additional dosage compensation mechanisms may normally contribute. These may include direct repression of *X*-linked mRNA stability by SXL (Gergen 1987; Kelley *et al.* 1995); MSL-independent regulation of early embryonic transcription (Lott *et al.* 2011), but not in all *Drosophila* species (Lott *et al.* 2014); and general feedback regulation seen for dosage disturbances on all chromosomes (Stenberg and Larsson 2011; Chen and Oliver 2015). Furthermore, the male germline displays dosage compensation without requiring MSL function (Rastelli and Kuroda 1998; Gupta *et al.* 2006). Current active areas of research into the mechanisms and targeting of somatic dosage compensation include the three-dimensional structure at the level of MSL-complex subunit interactions, as well as at the level of whole chromosome organization. The molecular mechanism for *roX* ncRNA function remains one of the most fascinating and challenging current topics.

Dosage Compensation in *Drosophila* as a Model for Chromatin-Based Regulation

Historically, fruit flies had a tremendous head start in genetic studies, and this history, combined with the outstanding repertoire of visible and chromosomal phenotypes available for study have allowed many “firsts” to occur in this organism. As noted at the beginning of this chapter, sex chromosome dosage compensation was first recognized in *Drosophila*, decades prior to its discovery in mammals and worms. Subsequently, the fly community enjoyed the polytene chromosomes as a precursor to microarray technology years before genome-wide binding studies were possible. The connection between histone acetylation and gene activity was in part established

by beautiful polytene chromosome immunostaining studies, showing increased site-specific acetylation on the male X (Turner *et al.* 1992). Furthermore, MOF, identified in a particularly clever fly genetic screen (Box 2), was the first histone acetyltransferase to have a clearly defined genetic function (Hilfiker *et al.* 1997). Finally, the roles of nucleation and spreading and ncRNAs in the establishment of chromatin-based targeting (Kelley *et al.* 1999) are becoming widely recognized general principles for all large genomes. Again and again the tiny fruit fly endures as a valuable model for all higher organisms.

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