

Inflammatory indexes as predictors of prognosis and bevacizumab efficacy in patients with metastatic colorectal cancer

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ABSTRACT

Background: To investigate the role of pre-treatment inflammatory indexes (II) as predictors of prognosis and treatment efficacy in patients with metastatic colorectal cancer mCRC randomized onto the prospective multicenter randomized ITACa (Italian Trial in Advanced Colorectal Cancer) trial to receive first-line chemotherapy (CT) with or without bevacizumab (Bev).

Results: In the overall population, PFS and OS were higher in patients with low SII ($p = .015$ and $.002$, respectively), low NLR ($p = .0001$ and $<.0001$, respectively) and low PLR ($p = .004$ and $.008$, respectively). Patients with low NLR in the CT plus Bev arm had a higher PFS than those treated with CT alone (HR = 0.69, $p = .021$).

Patients and Methods: Two hundred and eighty-nine patients were considered for this study, 141 receiving CT plus Bev and 148 receiving CT alone. The pre-treatment systemic immune-inflammation index (SII), neutrophil-to-lymphocyte ratio (NLR) and platelet-lymphocyte ratio (PLR) were evaluated to identify a potential correlation with progression-free (PFS) and overall survival (OS) in both the overall population and the 2 treatment arms.

Conclusion: Our results indicate that II, in particular NLR, are good prognostic and predictive markers for mCRC patients who are candidates for CT plus Bev.

INTRODUCTION

Bevacizumab (Bev) is a humanized monoclonal antibody with antiangiogenic activity that binds to the

vascular endothelial growth factor (VEGF), leading to the inhibition of the circulating ligand and to the prevention of receptor activation [1]. The use of Bev combined with fluoropyrimidine-based chemotherapy (CT) is considered

standard first-and second-line treatment for patients with metastatic colorectal cancer (mCRC).

Validated predictors of sensitivity or resistance to Bev are still not available, notwithstanding several studies have investigated this issue in recent years. The majority of these studies focused on the VEGF pathway, including tumor VEGF expression, whereas less attention was paid to the tumor microenvironment and inflammatory response [2].

It has increasingly been recognized that tumor infiltrating inflammatory cells are responsible for producing inflammatory mediators and cytokines that induce angiogenesis, tumor growth, invasion and metastasis [3-5]. Accordingly, serum white blood cells, neutrophils, lymphocytes, platelets and acute-phase proteins, such as C-reactive protein and albumin, have been evaluated in different malignancies and found to predict for prognosis and response to treatment [6-9]. Moreover, inflammatory indexes (II) obtained with different combinations of these factors, such as neutrophil-to-lymphocyte ratio (NLR) and platelet-lymphocyte ratio (PLR), have been reported to be useful prognostic factors in various malignant solid tumors, including CRC [10-18]. The systemic immune-inflammation index (SII) was recently investigated as a prognostic marker in several malignancies including renal cell carcinoma, small cell lung cancer and hepatocellular carcinoma [19-22].

In light of the close relationship that has emerged between inflammation and angiogenesis, considerable interest has been aroused in the role of II as predictors of the efficacy of Bev [23]. If validated, these parameters could represent a reproducible, inexpensive and easy method to select candidates for treatment with Bev.

We investigated the prognostic and predictive role of baseline II (SII, NLR and PLR) in mCRC patients treated with first-line CT with or without Bev in the phase III prospective multicenter randomized ITACa (Italian Trial in Advanced Colorectal Cancer) trial (EudraCT no. 2007-004539-44 and on ClinicalTrials.gov (NCT01878422) [24].

RESULTS

Patient population

Information on pre-treatment II levels was available for 289 of the 370 patients from the ITACa intention-to-treat population; 145 and 144 had low and high SII values, 168 and 121 had low and high NLR values, and 144 and 145 had low and high PLR values, respectively. Baseline characteristics of patients are shown in Table 1. Patients, divided into groups on the basis of marker cut-offs, were all comparable for age, gender, tumor localization,

CT regimen, KRAS status and treatment arm. A higher proportion of patients with high II had a performance status (PS) of 1-2, the high SII and PLR groups included more stage IV tumors at diagnosis, and the high PLR groups had higher grade-tumors.

Prognostic value of patient characteristics and II

Among patient characteristics, univariate analysis showed that PS was the only variable with a significant impact on survival. Patients with PS = 0 had higher median PFS (9.7 vs. 6.8 months; HR = 1.60, 95% CI 1.20-2.15; $p = .001$) and OS (24.8 vs. 13.7 months; HR = 2.60, 95% CI 1.90-3.56; $p < .0001$) than those with PS =1-2. No other characteristics correlated with survival (Supplementary Table S1, available online only). Patients with high NLR had a lower median PFS (7.8 vs. 10.2 months, $p = .0001$) and lower median OS (16.8 vs. 25.2 months, $p < .0001$) than those with low NLR. Patients with high PLR had a lower median PFS (8.3 vs. 10.2 months, $p = .004$) and lower median OS (19.0 vs. 25.2 months, $p = .008$) than those with high PLR. Patients with high SII levels had a lower median PFS (8.3 vs. 10.1 months, $p < .015$) and lower median OS (19.0 vs. 25.4 months, $p = .002$) than those with low SII (Table 2).

In multivariable analysis, a backward elimination approach confirmed NLR, tumor localization and PS as independent predictors of PFS ($p = .001$, .064 and .010, respectively) and OS ($p < .0001$, .006 and $< .0001$, respectively) (Table 3).

Predictive value of the II

Results of the impact of treatment (CT plus Bev and CT alone) on PFS and OS according to the analyzed II, together with 95% CI and HR data, are summarized in Table 4.

SII

Median PFS in the CT plus Bev group was 11.5 (95% CI 9.8-13.2) and 8.6 (95% CI 6.4-9.9) months in patients with low and high SII, respectively ($p = .014$), while in the CT-only arm it was 9.0 (95% CI 7.0-9.8) and 8.1 (95% CI 6.5-9.1) months in patients with low and high SII, respectively ($p = .408$). Median OS was significantly associated with SII levels in the CT plus Bev group (27.4 vs.15.1 months in low and high SII patients, respectively, $p = .002$), but not in the CT-only arm (24.8 vs. 20.4 months, $p = .114$). The interaction test did not reveal a significant correlation between SII levels on the basis of cut-off and treatment for either PFS or OS ($p = .290$ and .279, respectively). In contrast, the evaluation of SII as a continuous variable showed a positive interaction test for both PFS ($p = .033$) and OS ($p = .043$).

Table 1: Baseline patient characteristics (n = 289)

| Patient characteristics | NLR | | p | PLR | | p | SII | | p |
|----------------------------------|------------|------------|--------|------------|------------|-------|------------|------------|-------|
| | <3 | ≥3 | | <169 | ≥169 | | <730 | ≥730 | |
| | n (%) | n (%) | n (%) | n (%) | n (%) | n (%) | | | |
| Median age, years (range) | 65 (33-83) | 66 (34-81) | .662 | 66 (33-83) | 65 (34-81) | .777 | 66 (33-83) | 65 (34-81) | .351 |
| Gender | | | | | | | | | |
| Male | 103 (61.3) | 71 (58.7) | | 84 (62.2) | 90 (58.4) | | 85 (58.6) | 89 (61.8) | |
| Female | 65 (38.7) | 50 (41.3) | .653 | 51 (37.8) | 64 (41.6) | .593 | 60 (41.4) | 55 (38.2) | .665 |
| Performance Status ECOG | | | | | | | | | |
| 0 | 148 (88.1) | 82 (67.8) | | 118 (87.4) | 112 (72.7) | | 127 (87.6) | 103 (71.5) | |
| 1-2 | 20 (11.9) | 39 (32.2) | <.0001 | 17 (12.6) | 42 (27.3) | .002 | 18 (12.4) | 41 (28.5) | .0007 |
| Tumor localisation | | | | | | | | | |
| Rectum | 41 (24.4) | 36 (29.7) | | 33 (24.4) | 44 (28.6) | | 39 (26.9) | 38 (26.4) | |
| Colon | 127 (75.6) | 85 (70.3) | .379 | 102 (75.6) | 110 (71.4) | .429 | 106 (73.1) | 106 (73.6) | .922 |
| Stage at diagnosis | | | | | | | | | |
| I-III | 44 (27.8) | 25 (21.2) | | 40 (31.0) | 29 (19.7) | | 43 (31.6) | 26 (18.6) | |
| IV | 114 (72.2) | 93 (78.8) | .261 | 89 (69.0) | 118 (80.3) | .031 | 93 (68.4) | 114 (81.4) | .012 |
| Grade | | | | | | | | | |
| 1 | 9 (6.7) | 4 (4.4) | | 9 (7.9) | 4 (3.6) | | 7 (5.7) | 6 (5.8) | |
| 2 | 92 (68.1) | 51 (56.0) | | 78 (68.4) | 65 (58.0) | | 82 (66.7) | 61 (59.2) | |
| 3 | 34 (25.2) | 36 (39.6) | 0.068 | 27 (23.7) | 43 (38.4) | 0.034 | 34 (27.6) | 36 (34.9) | 0.482 |
| CT regimen | | | | | | | | | |
| FOLFOX4 | 107 (63.7) | 72 (59.5) | | 77 (57.0) | 102 (66.2) | | 92 (63.4) | 87 (60.4) | |
| FOLFIRI | 61 (36.3) | 49 (40.5) | .548 | 58 (43.0) | 52 (33.8) | .109 | 53 (36.6) | 57 (39.6) | .596 |
| KRAS status^a | | | | | | | | | |
| Wild type | 96 (61.2) | 56 (56.0) | | 72 (59.0) | 80 (59.3) | | 76 (57.1) | 76 (61.3) | |
| Mutated | 61 (38.8) | 44 (44.0) | .491 | 50 (41.0) | 55 (40.7) | .968 | 57 (42.9) | 48 (38.7) | .500 |
| ITACa treatment | | | | | | | | | |
| CT+B | 86 (51.2) | 55 (45.5) | | 67 (49.6) | 74 (48.1) | | 75 (51.7) | 66 (45.8) | |
| CT | 82 (48.8) | 66 (54.5) | .399 | 68 (50.4) | 80 (51.9) | .789 | 70 (48.3) | 78 (54.2) | .317 |

^aMandatory as consequence of amendment n. 1 of 3 May, 2009.

Abbreviations: NRL, neutrophil-to-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; ECOG, Eastern Cooperative Oncology Group; ITACa, Italian Trial in Advanced Colorectal Cancer; CT, chemotherapy; B, bevacizumab; n, number.

Table 2: Prognostic value of II in the overall population

| | No. patients | PFS | | | HR (95%CI) ^a | p | OS | | | HR (95% CI) ^a | p |
|----------|--------------|------------|------------------------------|--------|-------------------------|--------|------------|-----------------------------|---------|--------------------------|--------|
| | | No. events | Median PFS (months) (95% CI) | p | | | No. events | Median OS (months) (95% CI) | p | | |
| Overall | 289 | 270 | 9.1 (8.4-9.8) | - | - | - | 228 | 21.3 (19.7-24.5) | - | - | - |
| NLR <3 | 168 | 155 | 10.2 (9.1-11.3) | | 1.00 | | 127 | 25.4 (21.8-31.6) | | 1.00 | |
| ≥3 | 121 | 115 | 7.8 (6.3-8.9) | 0.0001 | 1.58 (1.21-2.07) | 0.0009 | 101 | 16.8 (13.7-19.9) | <0.0001 | 1.68 (1.25-2.27) | 0.0006 |
| PLR <169 | 144 | 132 | 10.2 (9.1-11.3) | | 1.00 | | 111 | 25.2 (21.3-29.2) | | 1.00 | |
| ≥169 | 145 | 138 | 8.3 (6.9-9.0) | 0.004 | 1.42 (1.09-1.85) | 0.008 | 117 | 19.0 (16.2-21.4) | 0.008 | 1.50 (1.13-2.00) | 0.006 |
| SII <730 | 145 | 134 | 10.1 (9.0-10.9) | | 1.00 | | 110 | 25.4 (21.6-29.9) | | 1.00 | |
| ≥730 | 144 | 136 | 8.3 (6.9-9.1) | 0.015 | 1.16 (0.89-1.49) | 0.265 | 118 | 19.0 (16.2-20.9) | 0.002 | 1.37 (1.03-1.82) | 0.030 |

^aadjusted by ITACa treatment, center, CT regimen, KRAS status and baseline characteristics.

Abbreviations: NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PFS, progression-free survival; OS, overall survival; HR, hazard ratio; CI, confidence interval.

NLR

In the CT plus Bev group, median PFS was 12.4 (95% CI 10.3-14.0) and 6.9 (95% CI 4.7-9.0) months in patients with low and high NLR, respectively ($p < .0001$), and median OS was 30.4 (95% CI 22.6-36.1) and 12.7 (95% CI 7.9-15.3), respectively ($p < .0001$). In the CT-only arm, median PFS was 8.9 (95% CI 7.2-9.8) and 8.0 (95% CI 6.2-9.1) months in patients with low and high NLR, respectively ($p = .315$), and median OS was 24.3 (95% CI 20.2-28.0) and 21.3 (95% CI 16.8-24.5), respectively ($p = .143$).

The interaction test involving NLR levels and the effect of treatment in either group suggested that the correlation between NLR levels and improved outcome was significantly associated with the addition of Bev for both PFS (HR 1.75; 95% CI 1.08-2.84; $p = .024$) and OS (HR = 1.90; 95% CI 1.12-3.22; $p = .017$). This association was confirmed by evaluating the index as a continuous variable (PFS, $p = .022$; OS, $p = .013$).

PLR

In the CT plus Bev group, median PFS was 11.4 (95% CI 9.8-13.4) and 8.8 (95% CI 6.4-9.9) months in patients with low and high PLR, respectively ($p = .006$), and median OS was 27.0 and 15.9 months ($p = .061$). In the CT-only arm, median PFS was 9.3 (95% CI 8.3-10.3)

and 7.3 (95% CI 5.5-8.9) months in patients with low and high PLR, respectively ($p = .158$), and median OS was 24.8 and 20.4 months, respectively ($p = .106$). The interaction tests, which considered the cut-off or the continuous variable, did not show any significant correlation between PLR levels and the effect of Bev on outcome (data not shown).

Efficacy of Bev as a function of II

The effect of adding Bev to CT as a function of II was also investigated. Among patients with low SII, a higher, albeit non significant, PFS was observed in those treated with CT plus Bev than in those receiving CT alone (HR = 0.74, 95% CI 0.52-1.04; $p = .079$). In high SII patients, PFS did not differ between the 2 treatment arms (HR = 0.97, 95% CI 0.69-1.36; $p = .852$) (Figure 1). Treatment with Bev did not lead to improved OS in patients with either high or low SII values (Supplementary Figure S1, available online only). Patients with low NLR in the CT plus Bev arm had a higher PFS than those treated with CT alone (HR 0.69, 95% CI 0.50-0.94, $p = .021$) (Figure 2), while Bev-treated patients with high NLR had a poorer OS than those receiving CT alone (HR = 1.69, 95% CI 1.14-2.51, $p = .009$) (Supplementary Figure S2, available online only).

Table 3: Multivariable analysis of PFS and OS

| | PFS | | OS | |
|---------------------------------------|------------------|-------|------------------|------------|
| | HR (95%CI) | p | HR (95% CI) | p |
| NLR (≥ 3 vs. < 3) | 1.52 (1.07-2.17) | 0.020 | 1.78 (1.17-2.70) | 0.007 |
| PLR (≥ 169 vs. < 169) | 1.38 (0.99-1.91) | 0.051 | 1.27 (0.89-1.80) | 0.186 |
| SII (≥ 730 vs. < 730) | 0.79 (0.53-1.16) | 0.226 | 0.84 (0.53-1.31) | 0.433 |
| Gender (male vs. female) | 0.97 (0.76-1.25) | 0.827 | 1.04 (0.79-1.37) | 0.767 |
| ECOG PS (1-2 vs. 0) | 1.47 (1.09-1.99) | 0.012 | 2.52 (1.82-3.48) | < 0.0001 |
| Tumor localization (colon vs. rectum) | 1.34 (1.01-1.77) | 0.042 | 1.58 (1.16-2.16) | 0.004 |
| CT regimen (FOLFIRI vs. FOLFOX4) | 1.26 (0.98-1.63) | 0.076 | 1.23 (0.94-1.63) | 0.135 |
| KRAS status (mutated vs. wild type) | 0.98 (0.76-1.26) | 0.891 | 1.07 (0.81-1.41) | 0.635 |
| ITACa treatment (CT+B vs. CT) | 0.84 (0.66-1.07) | 0.162 | 1.28 (0.98-1.67) | 0.070 |
| <i>After backward procedure:</i> | | | | |
| NLR (≥ 3 vs. < 3) | 1.51 (1.18-1.95) | 0.001 | 1.76 (1.33-2.32) | < 0.0001 |
| Gender (male vs. female) | 0.95 (0.74-1.22) | 0.677 | 1.02 (0.77-1.34) | 0.906 |
| ECOG PS (1-2 vs. 0) | 1.48 (1.10-2.00) | 0.010 | 2.51 (1.82-3.47) | < 0.0001 |
| Tumor localization (colon vs. rectum) | 1.30 (0.98-1.71) | 0.064 | 1.54 (1.13-2.09) | 0.006 |
| CT regimen (FOLFIRI vs. FOLFOX4) | 1.19 (0.93-1.53) | .167 | 1.18 (0.90-1.55) | .217 |
| KRAS status (mutated vs. wild type) | 1.00 (0.78-1.28) | .995 | 1.08 (0.82-1.42) | .592 |
| ITACa treatment (CT+B vs. CT) | 0.85 (0.66-1.09) | .193 | 1.29 (0.98-1.68) | .064 |

Abbreviations: NRL, neutrophil-to-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index;

PFS, progression-free survival; OS, overall survival; HR, hazard ratio; CI, confidence interval; ECOG, Eastern Cooperative Oncology Group;

PS, performance status; ITACa, Italian Trial in Advanced Colorectal Cancer; CT, chemotherapy; B, bevacizumab

Patients with low PLR baseline values in the CT plus Bev arm had a trend towards higher PFS than those in the CT-only arm (HR = 0.74, 95% CI 0.53-1.05; $p = .090$), while those with high PLR showed a similar PFS in both treatment arms (HR = 0.93, 95% CI 0.67-1.31; $p = .691$) (Figure 3). OS was not affected by the addition of Bev in patients with either high or low PLR values (Supplementary Figure S3, available online only).

DISCUSSION

Inflammation produced by the secretion of cytokines and chemokines promotes tumor growth,

angiogenesis and metastasis [3]. Several studies have shown that platelets induce circulating tumor cell epithelial-mesenchymal transition and promote extravasation to metastatic sites [25, 26]. Neutrophils promote adhesion and seeding of distant organ sites through the secretion of circulating growth factors such as VEGF and proteases [27, 28]. Lymphocytes play a crucial role in tumor defense by inducing cytotoxic cell death and inhibiting tumor cell proliferation and migration, thereby dictating the host's immune response to malignancy [29]. Thus, inflammation induces changes in the cancer microenvironment that favor cancer progression.

Table 4: Predictive value of II in the CT plus Bev and CT-only treatment arms

| | No. patients | PFS | | | HR (95%CI) ^a | p | OS | | | HR (95% CI) ^a | p |
|-------------|--------------|------------|------------------------------|---------|-------------------------|---------|------------|-----------------------------|---------|--------------------------|---------|
| | | No. events | Median PFS (months) (95% CI) | p | | | No. events | Median OS (months) (95% CI) | p | | |
| NLR | | | | | | | | | | | |
| <i>CT+B</i> | | | | | | | | | | | |
| NLR <3 | 86 | 77 | 12.4 (10.3-14.0) | | 1.00 | | 65 | 30.4 (22.6-36.1) | | 1.00 | |
| ≥3 | 55 | 54 | 6.9 (4.7-9.0) | <0.0001 | 2.27 (1.53-3.37) | <0.0001 | 48 | 12.7 (7.9-15.3) | <0.0001 | 2.48 (1.61-3.83) | <0.0001 |
| <i>CT</i> | | | | | | | | | | | |
| NLR <3 | 82 | 78 | 8.9 (7.2-9.8) | | 1.00 | | 62 | 24.3 (20.2-28.0) | | 1.00 | |
| ≥3 | 66 | 61 | 8.0 (6.2-9.1) | 0.315 | 1.12 (0.77-1.62) | 0.556 | 53 | 21.3 (16.8-24.5) | 0.143 | 1.19 (0.78-1.81) | 0.415 |
| PLR | | | | | | | | | | | |
| <i>CT+B</i> | | | | | | | | | | | |
| PLR <169 | 72 | 64 | 11.4 (9.8-13.4) | | 1.00 | | 56 | 27.0 (20.6-34.5) | | 1.00 | |
| ≥169 | 69 | 67 | 8.8 (6.4-9.9) | 0.006 | 1.62 (1.09-2.40) | 0.017 | 57 | 15.9 (12.9-20.9) | 0.061 | 1.67 (1.09-2.56) | 0.019 |
| <i>CT</i> | | | | | | | | | | | |
| PLR <169 | 72 | 68 | 9.3 (8.3-10.3) | | 1.00 | | 55 | 24.8 (20.3-29.2) | | 1.00 | |
| ≥169 | 76 | 71 | 7.3 (5.5-8.9) | 0.158 | 1.26 (0.88-1.80) | 0.214 | 60 | 20.4 (16.8-24.5) | 0.106 | 1.39 (0.93-2.08) | 0.105 |
| SII | | | | | | | | | | | |
| <i>CT+B</i> | | | | | | | | | | | |
| SII <730 | 75 | 68 | 11.5 (9.8-13.2) | | 1.00 | | 58 | 27.4 (21.8-34.5) | | 1.00 | |
| ≥730 | 66 | 63 | 8.6 (6.4-9.9) | 0.014 | 1.41 (0.97-2.06) | 0.072 | 55 | 15.1 (11.6-19.3) | 0.002 | 1.68 (1.10-2.57) | 0.016 |
| <i>CT</i> | | | | | | | | | | | |
| SII <730 | 70 | 66 | 9.0 (7.0-9.8) | | 1.00 | | 52 | 24.8 (20.2-29.9) | | 1.00 | |
| ≥730 | 78 | 73 | 8.1 (6.5-9.1) | 0.408 | 0.99 (0.70-1.42) | 0.978 | 63 | 20.4 (17.1-24.3) | 0.114 | 1.21 (0.80-1.82) | 0.369 |

^aadjusted by ITACa treatment, center, CT regimen, KRAS status and baseline characteristics.

Abbreviations: NRL, neutrophil-to-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PFS, progression-free survival; OS, overall survival; HR, hazard ratio; CI, confidence interval; CT, chemotherapy; B, bevacizumab.

In light of this, several II have been investigated as possible predictors of prognosis and response to treatment in different tumor types. Among these, NLR and PLR represent the most common indices [10-18], while the SII was only recently introduced [19-22]. Compared with other potential markers, the measurement of these parameters has the advantage of being inexpensive and reproducible.

The aim of our study was to evaluate the potential usefulness of these II to estimate prognosis and to predict the efficacy of treatment with Bev. Univariate analysis in the overall population showed that, in addition to performance status, SII, NLR, and PLR were significantly associated with PFS and OS, whereas in multivariate analysis, only ECOG PS and NLR remained markers of PFS and OS.

The impact of adding Bev to CT differed on the basis of the II and the incorporation (PLR and SII) or not (NLR) of the platelet count. In the present study, NLR appeared to be the most powerful indicator of prognosis. Patients with high NLR treated with Bev had a poorer OS than those treated with CT alone, whereas the addition of Bev did not lead to any significant difference in OS in the SII or PLR groups. Moreover, patients with low NLR in the CT plus Bev arm had a higher PFS than those treated with CT alone.

In addition to promoting tumor angiogenesis, there is evidence that VEGF favors tumor immune evasion and immune response suppression through different mechanisms mainly regulated by myeloid-derived suppressor cells (MDSCs) [30]. Bev, as an anti-VEGF agent, may thus induce immune response through several mechanisms including increased trafficking of T cells into tumors,

reduction of suppressive cytokines and tumor-infiltrating T regulatory cells and MDSCs, increased CD8+ and CD4+ central memory T cells, and reduced frequency of MDSCs [31-34]. Whilst the inhibition of VEGF signaling appears to enhance CT efficacy in front-line treatment of patients with low II, high NLR is associated with a poor prognosis and may be correlated with a detrimental immunological effect of Bev. Overall, the role of VEGF in the immune response and its critical role in CRC pathogenesis may represent a rationale to test whether the inhibition of the PD-L1/PD-1

pathway by immune checkpoint inhibitors in combination with anti-VEGF therapies enhances clinical response in mCRC patients. The combined use of II and histological biomarkers (e.g. PDL1 expression) could help to select suitable candidates for these treatments.

In conclusion, II are powerful prognostic and predictive indicators of poor outcome in mCRC patients treated with CT +/- Bev. The addition of Bev to CT only appears to improve clinical outcome in those with favorable II values. Validation in a larger prospective data set is warranted.

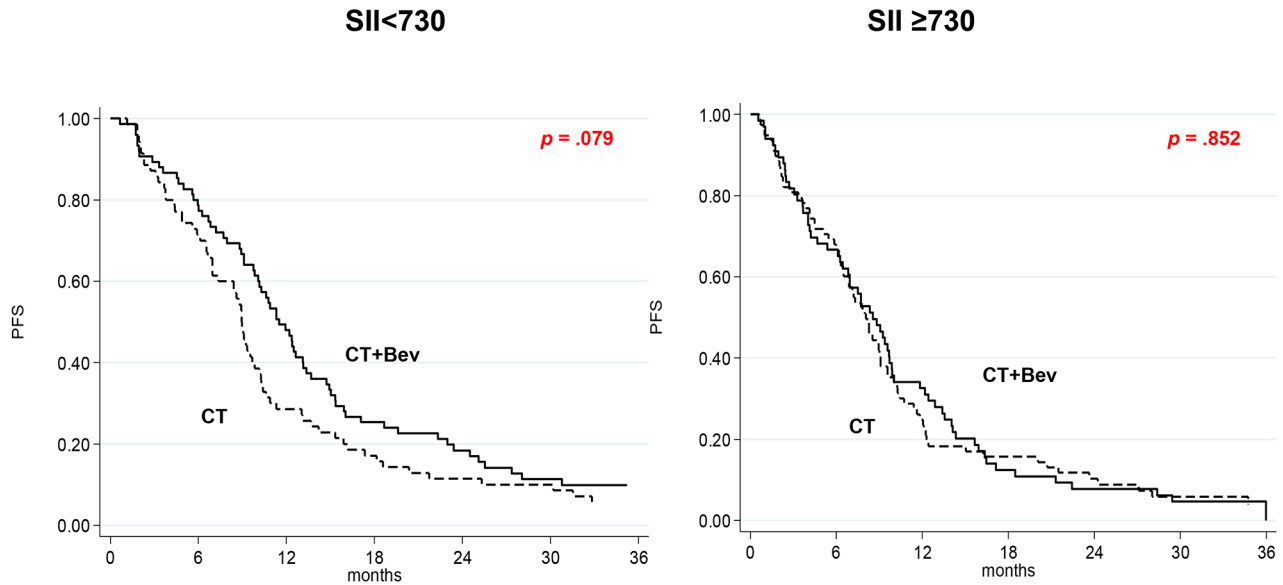


Figure 1: Kaplan-Meier curves of progression-free survival according to treatment as a function of SII.

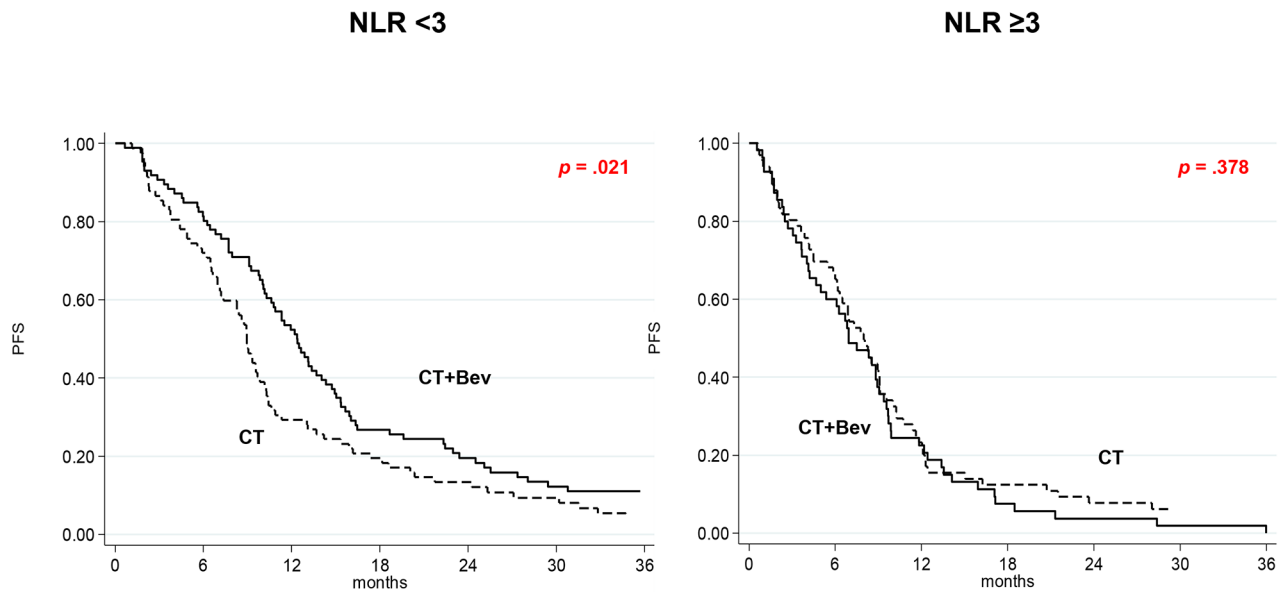


Figure 2: Kaplan-Meier curves of progression-free survival according to treatment as a function of NLR.

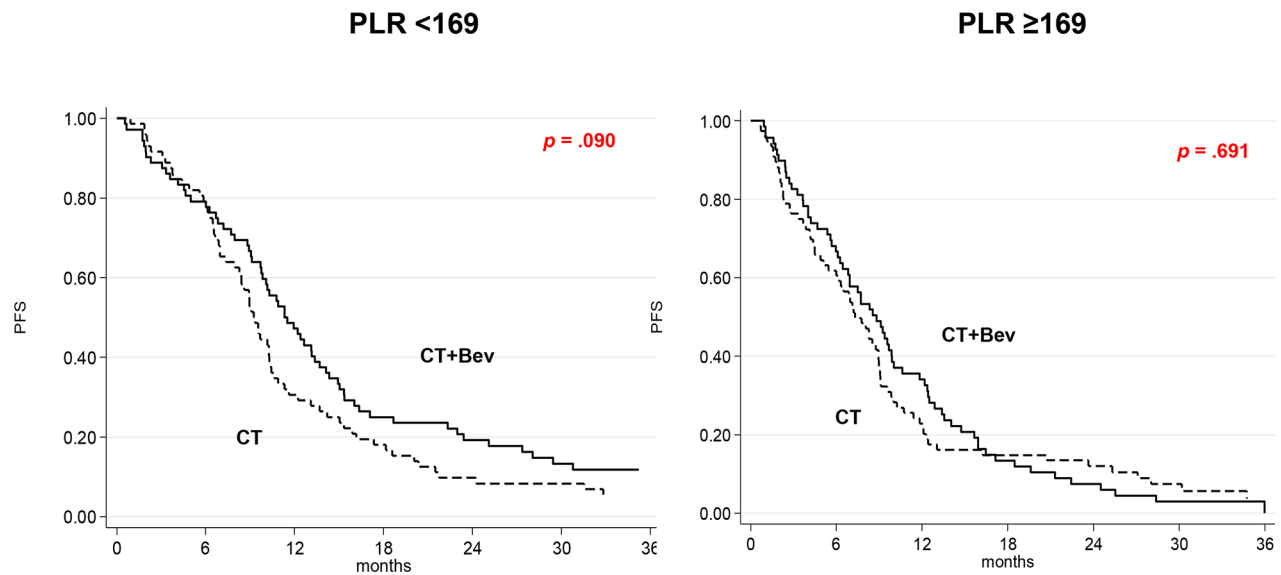


Figure 3: Kaplan-Meier curves of progression-free survival according to treatment as a function of PLR.

MATERIALS AND METHODS

Patient population and treatment regimens

Two hundred and eighty-nine patients enrolled onto the first-line ITACa trial were considered. The study design and key eligibility and exclusion criteria have previously been described in detail [24]. Patients were recruited from 14th November 2007 to 6th March 2012 and followed up until 31st December 2013. After randomization, 176 patients underwent CT (either FOLFIRI or FOLFOX4) plus Bev, while 194 patients received CT alone. Patients were treated until disease progression or unacceptable toxicity occurred. All patients provided written informed consent. The study was carried out in accordance with the Declaration of Helsinki under good clinical practice conditions and after ethics committee approval of all participating centers. Tumor response was radiologically evaluated every 8 weeks according to the Response Evaluation Criteria in Solid Tumors (RECIST) until disease progression or withdrawal. The primary endpoint of the trial was progression-free survival (PFS) and secondary endpoints included overall survival (OS).

Information on neutrophil, lymphocyte and platelet counts from blood tests carried out at baseline (before systemic treatment) was collected. SII was calculated as platelet count \times neutrophil count/lymphocyte count, NLR was obtained by dividing the absolute neutrophil count by the absolute lymphocyte count, and PLR was calculated as the ratio of absolute platelet count to absolute lymphocyte count.

Statistical analysis

The aim of this secondary analysis was to examine the association between baseline II levels and PFS and

OS in the overall population, and separately in the 2 treatment arms. The data cut-off for analysis was 31st December 2013 when the median duration of follow-up was 36 months (range 1-65). PFS was defined as the time from random assignment to the first documentation of PD (per investigator assessment), or death from any cause. Patients undergoing curative metastasectomy were censored at the time of surgery. OS was defined as the time interval between random assignment and death or last follow-up visit. PFS and OS were estimated by the Kaplan-Meier method and curves were compared by the log-rank test (at a significance level of 5%). Estimated hazard ratios (HRs) and their two-sided 95% Confidence Intervals (95% CI) were calculated using the Cox proportional-hazard model. HRs adjusted by center and baseline characteristics (gender, age, ECOG performance status, *KRAS* status, tumor localization (rectum/colon) and CT regimen (FOLFOX4/FOLFIRI) were calculated using the Cox proportional hazards model. Covariate selection was based on a list of suspected prognostic factors derived from the ITACa study [24].

The effect of the interaction between II levels and treatment on PFS/OS was evaluated using Cox regression models for the entire population (CT+B and CT-only arms) including II levels, treatment and treatment-by-II levels. X-tile 3.6.1 software (Yale University, New Haven, CT) was used for bioinformatic analysis of baseline data to determine the cutoff value for pre-treatment levels of each II. SII \geq 730, NLR \geq 3 and PLR \geq 169 were considered as elevated levels.

All *p* values were based on two-sided testing and statistical analyses were performed using SAS statistical software version 9.4 (SAS Inc., Cary, NC, USA).

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CONFLICTS OF INTEREST

The authors have declared that no competing interests exist.

Authors' contributions

AP, ES, UD conceived and designed the study, performed the analyses, interpreted data and drafted the article. All authors were involved in data acquisition and in revising the manuscript. All gave their approval for the present version of the manuscript to be submitted for publication.

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