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The supination assessment task: an automated method for quantifying forelimb rotational function in rats

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Abstract

Background—Neurological injuries or disease can impair the function of motor circuitry controlling forearm supination, and recovery is often limited. Preclinical animal models are essential tools for developing therapeutic interventions to improve motor function after neurological damage. Here we describe the supination assessment task, an automated measure of quantifying forelimb supination in the rat.

New Method—Animals were trained to reach out of a slot in a cage, grasp a spherical manipulandum, and supinate the forelimb. The angle of the manipulandum was measured using a rotary encoder. If the animal exceeded the predetermined turn angle, a reward pellet was delivered. This automated task provides a large, high-resolution dataset of turn angle over time. Multiple parameters can be measured including success rate, peak turn angle, turn velocity, area under the curve, and number of rotations per trial. The task provides a high degree of flexibility to the user, with both software and hardware parameters capable of being adjusted.

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Conflict of Interest: AMS and RLR own shares in Vulintus, Inc., which is developing products based on this research. Vulintus, Inc. did not have any role in data collection, analysis, or the decision to publish.

Results—We demonstrate the supination assessment task can effectively measure significant deficits in multiple parameters of rotational motor function for multiple weeks in two models of ischemic stroke.

Comparison with Existing Methods—Preexisting motor assays designed to measure forelimb supination in the rat require high-speed video analysis techniques. This operant task provides a high-resolution, quantitative end-point dataset of turn angle, which obviates the necessity of video analysis.

Conclusions—The supination assessment task represents a novel, efficient method of evaluating forelimb rotation and may help decrease the cost and time of running experiments.

Keywords

Supination; Forelimb; Stroke; Operant Behavior; Motor Function; Automated Task

1. Introduction

Many neurological injuries or diseases impair the function of motor circuitry, which can lead to permanent physical disability (Jaquet et al. 2001; Anderson 2004; Walker and Pickett 2007; Langhorne et al. 2009). One common manifestation of motor dysfunction is impairment of forelimb supination, a fine motor skill critical for object manipulation (Braendvik et al. 2010; Lamercy et al. 2011; Klotz et al. 2013). Preclinical animal models are essential tools for developing therapeutic interventions to improve motor function after neurological damage. Given the prevalence of deficits in forearm rotation, it would be valuable to efficiently quantify this motor function in rats.

Motor assays designed to measure forelimb function in the rat, including pellet retrieval and pasta handling tasks, have provided important insight into motor learning and recovery after neurological damage (Whishaw et al. 1986; Montoya et al. 1991; Ballermann et al. 2001). While powerful, many of these tasks lack automation and require qualitative scoring of end-point measures. High-speed video analysis techniques provide valuable analysis of individual components of complex movements, including forelimb supination, that are too fast to measure in real-time (Whishaw et al. 1993; Alaverdashvili and Whishaw 2010; Carmel et al. 2010). However, video analysis greatly amplifies the cost and time to run experiments and precludes high-throughput testing.

We have developed a novel, automated method to quantitatively assess forelimb supination in rats. The task requires rats to reach through a narrow slot, grasp a spherical manipulandum similar to a doorknob, and rotate the manipulandum by supinating the forelimb to receive a reward pellet. The task is fully automated and allows testing of multiple animals simultaneously. Furthermore, this operant task provides a high-resolution, quantitative end-point dataset of turn angle, which obviates the necessity of video analysis. Assessment of various physical measures of forelimb rotational function can be calculated, including peak turn angle and rotational velocity.

Here we demonstrate that the supination assessment task can measure long-term deficits across multiple measures in two models of ischemic stroke. These results indicate that this

task provides an efficient, sensitive measure of forelimb supination function, and may be useful to accelerate the preclinical development of therapies to improve complex aspects of motor function.

2. Methods

2.1 Subjects

Fifteen adult female Sprague-Dawley rats weighing approximately 250g throughout the study were used in this experiment. All rats were maintained above 85% of their ideal body weight for their specific age. The rats were housed in a 12:12 reversed light cycle environment and behavioral training was performed during the dark cycle to increase daytime activity levels. All handling, housing, surgical procedures, and behavioral training were approved by the University of Texas at Dallas Institutional Animal Care and Use Committee.

2.2 Behavioral apparatus

The behavioral chamber consists of a clear acrylic cage (12" × 4" × 10") with a 0.5" wide slot on the right edge of the front wall (MotoTrak Base Cage Rat Model, Vulintus, Inc., Dallas, TX) (Fig 1A). The slot restricts use to the right forelimb while allowing full range of movement during interaction with the device (Fig. 1B). The spherical manipulandum is 0.375" in diameter and has miniature grooves to facilitate grip (Fig. 1C). An optical rotary encoder measures turn angle of the manipulandum with a 0.25 degree resolution. The encoder is mounted on a metal slide allowing the device to be placed at various fixed distances relative to the inside wall of the cage (Fig. 1D & 1E). A pulley provides counterweight to the manipulandum, limiting animals to clock-wise rotation (supination) while providing a constant torque and returning the manipulandum to the original location once released (Supplementary Video 1). Three pulley configurations with differing counterweights were used in this study: no counterweight, a 6-gram counterweight enacting 0.29mN*m of torque on the manipulandum, and a 7.5-gram counterweight enacting 0.37mN*m (MotoTrak Behavior Module, Vulintus, Inc., Dallas, TX).

2.3 Software and Behavioral Training

Custom MATLAB software was used to control the task (MotoTrak Software, Vulintus, Inc., Dallas, TX). The GUI displays real-time turn angle of the device in degrees with a 100 Hz sampling frequency and performance over the course of the behavioral session. Data was collected and stored on a trial-by-trial basis for each animal. Trial initiation occurred when the animal rotated the device a minimum of 5 degrees. Animals were required to rotate the pre-determined turn angle threshold within two seconds of trial initiation to receive a reward pellet and record a successful trial (Fig. 2D). If the turn angle did not exceed the threshold within the two seconds, the trial was recorded as a failure and no reward pellet was given. An additional two-second timeout window followed in which no pellet rewards were delivered to the animal. All activity one second prior and four seconds following trial initiation was recorded for analysis (Fig 2D & 2E). Reward pellets were delivered from pellet dispensers (Pellet Dispenser, Vulintus, Inc., Dallas, TX), delivering a 45mg pellet

(dustless chocolate precision pellet, BioServ, Frenchtown, NJ) upon successful completion of a trial.

Animals underwent two 30-minute behavioral training sessions daily, five days per week, with at least a 2-hour interval between training periods (Fig 2A). During the initial phases of shaping, the manipulandum was placed 0.5” inside from the cage wall, a position that allows animals to easily interact with it (Fig. 1D). The reward threshold was set to 5 degrees and no counterweight was attached, allowing the device to freely spin. During the initial phases of training, the experimenter encouraged animal interaction with the manipulandum by using ground pellet dust. When association between device rotation and pellet rewards was made, the device was retracted outside of the cage in 0.25” increments to a final location of 0.50” outside of the inner cage wall (Fig. 1E). The counterweight was then added and animals began the adaptive training program.

A training algorithm that uses adaptive success thresholds was utilized throughout this study. The algorithm uses the median of the peak turn angle of the previous 10 trials to calculate the current trial success threshold, with programmable minimum and maximum adaptive threshold bounds (Fig. 2B). The present study consisted of two cohorts (Cohort A and B), with both cohorts training with a minimum adaptive threshold bound of 15 degrees (i.e., the success threshold was never lower than 15 degrees). Cohort A was trained with a 7.5-gram counterweight and a 60-degree maximum adaptive threshold, and Cohort B was trained with a 6-gram counterweight and a 75-degree maximum adaptive threshold. Success rate on adaptive stages is defined as the percentage of trials greater than the maximum threshold (Fig. 2B). In both cohorts, once animals recorded four consecutive behavioral sessions with at least a 50% success rate, animals progressed to the prelesion baseline phase of training. Pre-lesion baseline was conducted on a static (i.e., non-adaptive) threshold stage, with the threshold fixed at the maximum adaptive threshold (Fig. 2C; Cohort A: 60 degrees; Cohort B: 75 degrees). Training continued until animals achieved a 75% success rate or greater averaged across six consecutive training sessions. Data from these six sessions was used for the “PRE” time point in all analyses. At this point, animals were considered proficient at the task and unilateral ischemic lesions were administered.

No behavioral testing was conducted for the 7 days following lesion. Following this seven day recovery period, animals were re-assessed on the static stage for four sessions, with this data being used for the “POST” time point in all analyses. Behavioral testing then continued twice daily for either 4 or 6 weeks depending on the cohort. Testing and analyses was split in to 1 week blocks, each consisting of ten consecutive sessions. The first eight sessions of testing for each week were conducted on the adaptive stage, and the ninth and tenth weekly sessions were on the static stage (Fig. 2A).

2.4 Unilateral motor cortex ischemic lesion

Unilateral motor cortex ischemic lesions were administered similar to previously described (Hays et al. 2013a, 2013b, 2014; Khodaparast et al. 2013, 2014, 2015; Sloan et al. 2015). Rats were anesthetized with ketamine hydrochloride (80mg/kg, i.p.) and xylazine (10 mg/kg, i.p.) and given supplemental doses as needed. Rats were placed in a stereotaxic frame (David Kopf Instruments, Tujunga, CA) and a craniotomy was performed to expose the forelimb

area of motor cortex contralateral to the trained limb. The dura mater was delicately removed. A 26-gauge Hamilton syringe affixed to the stereotaxic frame was used to inject endothelin-1 (Bachem, Torrance, CA, 1mg/mL in saline) at eight locations: anteriorposterior 2.5mm, 1.5mm, 0.5mm, -0.5mm, and mediolateral 2.5mm and 3.5mm relative to bregma, at a depth of 1.8mm. In Cohort B, an additional ninth injection site at 3.0mm lateral and 0mm anteriorposterior from bregma at a depth of 6mm was administered to target the dorsolateral striatum. All injections consisted of 0.2uL of endothelin-1 every 30 seconds for a total of 2 minutes and 1uL total volume. The Hamilton syringe was left in place for an additional 3 minutes after injection to minimize backflow. After the final injection, Kwik-Cast Sealant (World Precision Instruments, Sarasota, FL) was used to cover the craniotomy and coated with a thin layer of acrylic. The head incision was then sutured and treated with antibiotic ointment.

2.5 Histology

Within one week of the conclusion of behavioral testing, a subset of animals from Cohort A (n = 5) and Cohort B (n = 5) were transcardially perfused with 4% paraformaldehyde. Brains were removed and fixed in 4% paraformaldehyde overnight, and then cryoprotected in a 30% sucrose solution. Tissue was sectioned in 50- μ m slices and processed with Nissl and myelin stains for lesion identification.

2.6 Statistics

All data is represented as mean \pm SEM. All comparisons were planned in the experimental design a priori, and significant differences were determined using one-way repeated measures ANOVA, and two-tailed t-tests where appropriate. Alpha level was set to 0.05 for single comparisons and a Bonferroni-corrected alpha of 0.01 for Experiment 1 and an alpha of 0.007 for Experiment 2 for multiple comparisons were used where appropriate. Statistical tests for each comparison are noted in the text. Differences of $p < 0.05$ are indicated with an asterisk (*) and error bars are \pm SEM in all figures.

3. Results

3.1 Experiment 1: Motor cortex lesion impairs forelimb rotation

A cohort of rats (Cohort A: n = 7) were trained on the supination assessment task. Rats began to form an operant association between device manipulation and pellet reward within 5 ± 2 sessions, and completed adaptive training within 21 ± 2 sessions. Animals continued to train for an additional 31 ± 7 sessions on the final device configuration of 7.5-gram counterweight and 60 degree static threshold until they reached the criteria for lesion, defined as a 75% success rate or greater across six consecutive sessions. In total, animals completed training within 56 ± 11 sessions.

Animals were highly skilled at the task prior to injury, rotating the manipulandum a minimum of 60 degrees within 2 seconds on $84.0 \pm 1.6\%$ of trials (Fig. 3B). Forelimb kinematics of a trained animal performing a single trial are illustrated in Figure 1F. Individual trial attempts demonstrate a narrow distribution with the majority of attempts exceeding 60 degrees, indicative of consistent performance from trial to trial (Fig. 4A). The

average pre-lesion turn angle histogram across all animals in Cohort A exhibits a strong left skew greater than 60 degrees, indicating that most trials exceed the performance threshold (Fig. 4B).

Ischemic lesions were administered targeting the forelimb area of the left motor cortex to impair motor function of the trained forelimb (Figure 5). All observed metrics of task performance were substantially reduced by ischemic lesion. A repeated measures one-way ANOVA showed a significant effect of lesion on peak turn angle (Fig. 3A, $F[5,30] = 8.56$, $p < 0.001$) and success rate (Fig. 3B, $F[5,30] = 26.649$, $p < 0.001$). Peak turn angle was significantly decreased relative to pre-lesion during POST and Week 1, and hit rate was significantly decreased relative to pre-lesion at all post-lesion time points (Pre-lesion v. each week, paired t-test, $p < 0.01$ for all time points). The number of trials attempted per day showed a significant reduction following lesion at the POST time point, but returned to pre-lesion levels for the following weeks (Fig. 3C, Repeated measures one-way ANOVA, $F[5,30] = 7.78$, $p = 0.003$; Pre-lesion v. each week, paired t-test, $p < 0.01$ at POST). Analysis of the maximum turn velocity exhibited a transient reduction during POST and Week 1 time points (Fig. 3D, Repeated measures one-way ANOVA, $F[5,30] = 8.87$, $p < 0.001$; Pre-lesion v. each week, paired t-test, $p < 0.01$ at POST and Week 1). This suggests that the speed with which the animals turn the manipulandum is transiently slowed after injury, consistent with forelimb bradykinesia.

Examination of performance on individual trials highlights impairments in rotational function (Supplementary Video 2: POST; Supplementary Video 3: Week 4). Turn attempts became lower and more dispersed following injury, with substantially fewer attempts exceeding 60 degrees even on the fourth week of training (Fig. 4C & 4E). The group turn angle histogram shows a prominent leftward shift following injury, with a slight shift right after four weeks of training indicating partial recovery of function (Fig. 3D & 3F). Together, these findings demonstrate that the supination assessment task can measure lasting impairments in forelimb rotation after cortical ischemia.

3.2 Experiment 2: Combined cortical and subcortical lesion impairs forelimb rotation

A second experiment was conducted to replicate the results above with different task parameters and lesion. Animals (Cohort B: $n = 7$) were trained with a final device configuration of 6-gram counterweight and 75-degree maximum threshold. All other training procedures were conducted identically to Experiment 1. Operant association between device interaction and pellet rewards was achieved within 6 ± 1 sessions. Animals continued on adaptive training for 18 ± 5 sessions and completed pre-lesion baselines within 24 ± 5 sessions. Training was completed within 48 ± 8 sessions. Animals became highly proficient at the task preceding injury, successfully completing trials $77.0 \pm 1.5\%$ % of the time.

Consistent with the results in Experiment 1, combined cortical and subcortical lesions resulted in significant reductions in multiple measures of performance (Figure 6). A repeated measures ANOVA showed a significant effect of lesion on peak turn angle (Fig. 6A, $F[7,42] = 9.43$, $p < 0.001$) and success rate (Fig. 6B, $F[7,42] = 11.17$, $p < 0.001$). Peak turn angle was significantly decreased relative to pre-lesion for POST and Week 1 time points, and hit rate

was significantly decreased relative to pre-lesion during POST and Weeks 1-3 (Pre-lesion v. each week, paired t-test, $p < 0.007$ for POST and Weeks 1-3). A repeated measures ANOVA revealed a significant effect of lesion on trials per day, however paired t-tests at each time point post-lesion compared to pre-lesion do not show any significant reductions (Fig. 6C, Repeated measures one-way ANOVA, $F[7,42] = 5.34$, $p=0.01$; Pre-lesion v. each week, paired t-test, $p > 0.007$ for all time points). Maximum turn velocity showed a transient significant reduction following lesion up to Week 2 (Fig. 6D, Repeated measures one-way ANOVA, $F[7,42] = 7.78$, $p=0.003$; Pre-lesion v. each week, paired t-test, $p < 0.007$ for POST and Weeks 1-2). These findings confirm that the supination assessment task is capable of detecting long-lasting impairments in forelimb rotation after neurological injury.

3.3 Adaptive training provides equivalent measures of forelimb rotational function and increased trial counts compared to static thresholds

Two different thresholding paradigms were used in this study in order to account for variations in performance after injury. Adaptive thresholding calculates the median peak turn angle of the previous 10 trials to set the current trial success threshold within a training session (Fig. 2B & 2D). Static thresholding uses a fixed, user-defined threshold throughout an entire training session (Fig. 2C & 2E). We tested whether the different thresholding paradigms altered performance. To investigate this, animals in Cohort B were tested each week on eight sessions of adaptive training and two sessions with a static threshold. No significant difference in peak turn angle was detected between adaptive and static thresholds (Fig. 7A; Adaptive, 61.14 ± 4.29 ; Static, 64.53 ± 4.42 ; paired t-test, $p=0.15$). However, animals performed significantly more trials on adaptive than static (Fig. 7B; Adaptive, 155.95 ± 9.91 ; Static, 119.59 ± 8.64 ; paired t-test, $p < 0.01$). These results demonstrate that the adaptive thresholding algorithm provides an equivalent measure of performance and increases trial counts compared to static thresholding.

4. Discussion

In this study we describe a novel, automated task to quantitatively assess volitional forelimb rotation in rats. The task requires animals to reach, grasp, and supinate their forelimb to turn a spherical manipulandum attached to a rotary encoder measuring turn angle. Various hardware and software parameters can easily be modified, such as reach distance and turn angle thresholds. Two models of ischemic stroke result in significant, chronic impairments in multiple performance metrics, indicating that this task may be useful in the preclinical development and evaluation of therapies focused on improving forelimb function.

Many forms of neurological injuries and disease impair precise arm and hand motor function (Duncan et al. 1994; Noble et al. 1998; Snoek et al. 2004). Forelimb rotation is often severely diminished, and recovery is minimal (Vergara-Aragon et al. 2003; MacLellan et al. 2006; Lamercy et al. 2011). The prevalence of rotational deficits highlights the need for an efficient preclinical test to evaluate this motor function in rodents. Here, we demonstrate that the supination assessment task can effectively measure deficits in multiple parameters of rotational motor function in two models of ischemic stroke. Additionally, the animals in the present study underwent intensive training over multiple weeks, performing 7851 ± 651

of forelimb rotation. Various aspects of the task are easily modified, which may allow it to be adapted for various lesion types and rodent models. The supination assessment task represents a novel, efficient method of evaluating forelimb rotation and may help accelerate the development of motor therapies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

- Here we describe the supination assessment task, a novel automated method of quantifying forelimb supination in the rat.
- Animals are trained to reach out of a cage, grasp a spherical manipulandum, and supinate the forelimb.
- A rotary encoder provides a high-resolution, quantitative dataset of turn angle over time.
- Ischemic lesions of primary motor cortex significantly impair multiple measures of task performance.
- The supination assessment task represents a novel, efficient method of evaluating forelimb rotation and may help decrease the cost and time of running experiments.

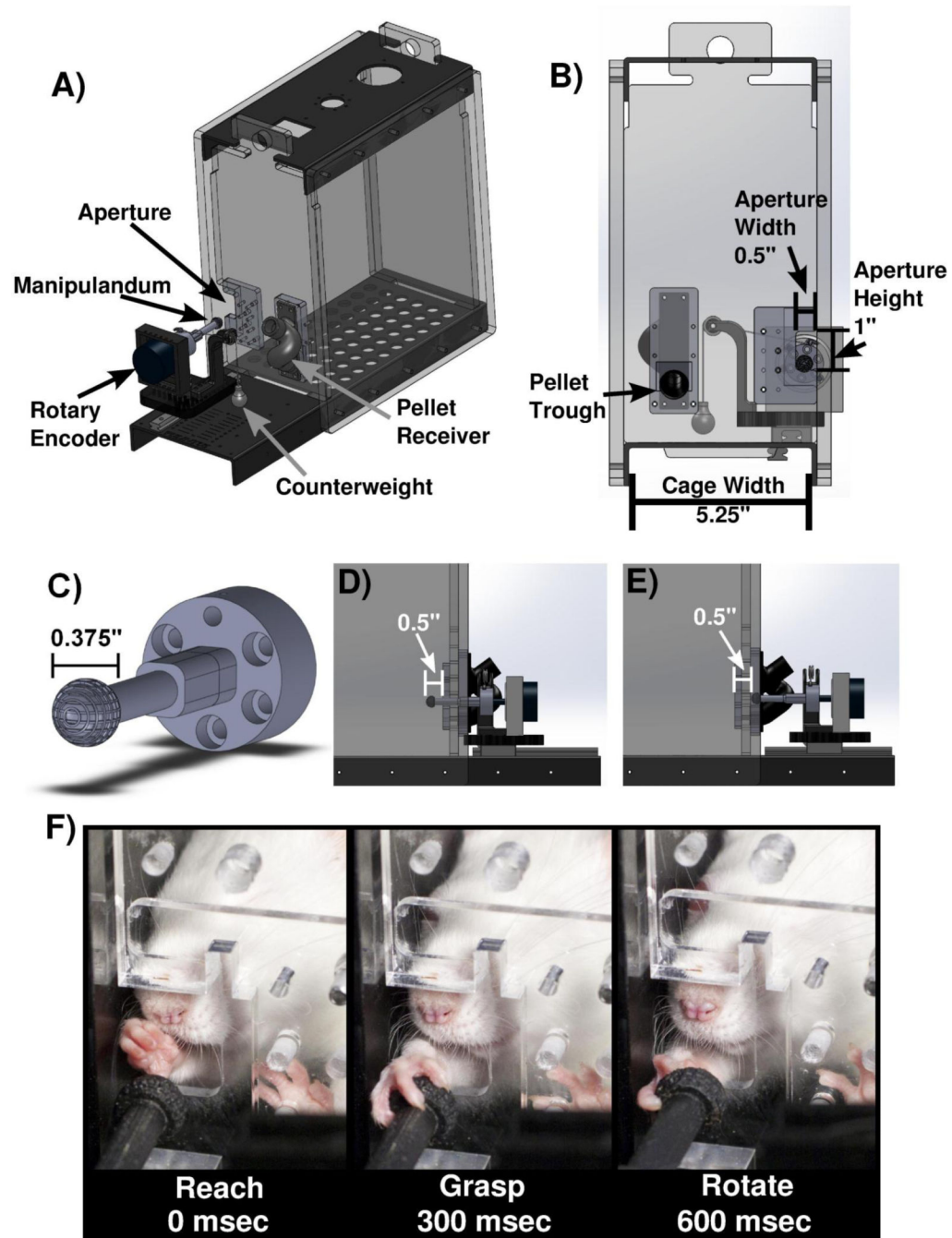


Figure 1. Behavioral apparatus. (A) Solidworks model of behavioral cage and device. (B) View from inside of the behavioral cage. Aperture dimensions and location restricts use to right forelimb only. Pellet trough is located on the left side of the front wall. (C) Close-up of manipulandum. (D) View of device located inside of the cage at the initial training position of $-0.5''$ inside of cage wall. (E) Close-up of device fully retracted outside of the cage, at the final training location $0.5''$ from inside of cage wall. (F) Sequential illustration of animal reaching, grasping, and rotating the manipulandum.

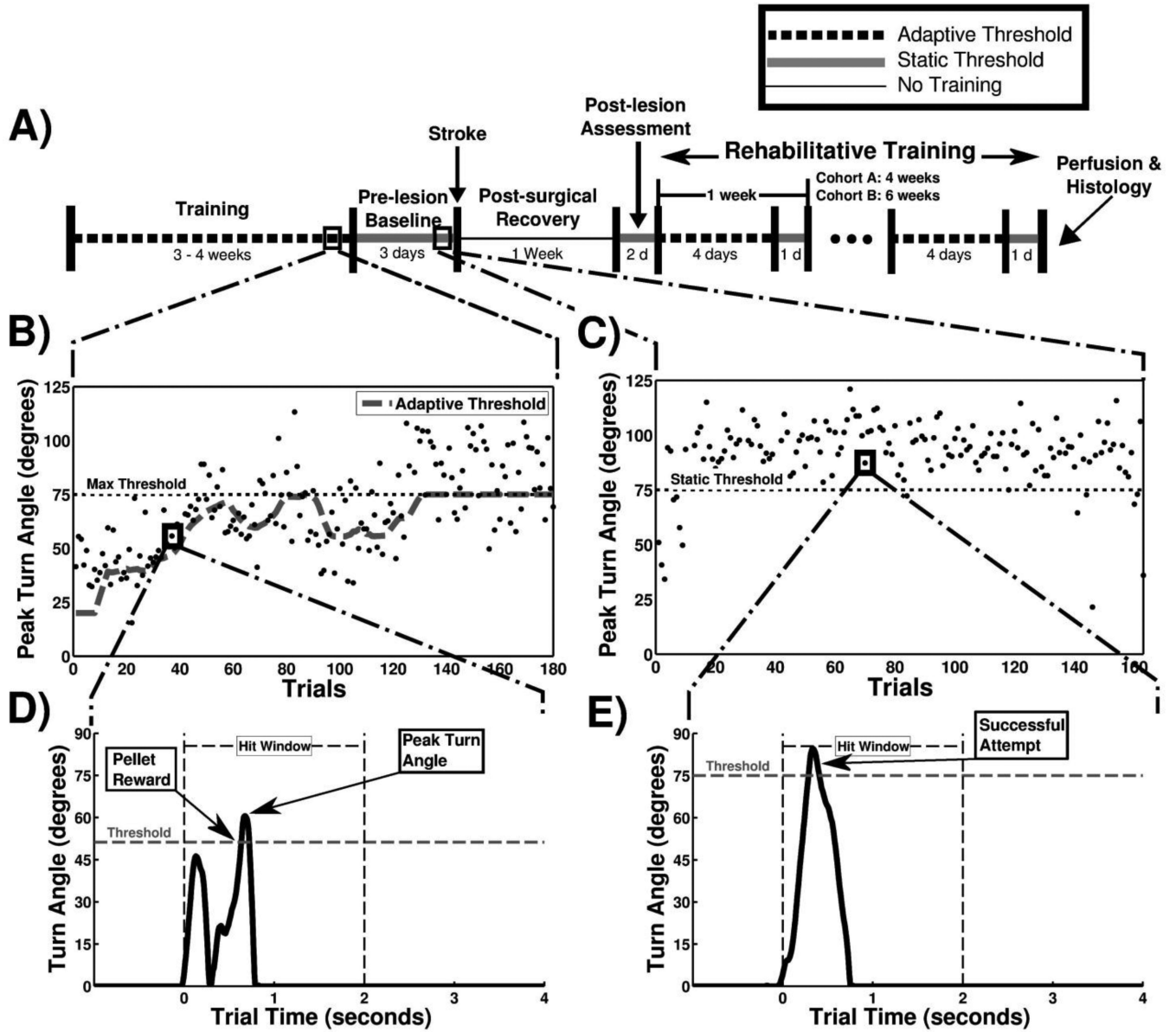


Figure 2. Experimental timeline and behavioral training. (A) Timeline of experiment. Training was performed twice daily. One week represents 5 days of training or 10 behavioral sessions. (B) Peak turn angle of individual trials taken from one session during the adaptive training. Each point represents the peak turn angle of a single trial. The horizontal black dotted line at 75 degrees represents the maximum threshold for Cohort B, and the large gray dashed line indicates the adaptive threshold within one behavioral session. (C) Example session during static training with the horizontal black dotted line at 75 degrees indicating the static threshold. (D) A single, representative trial from a pre-lesion animal during an adaptive training session. The horizontal gray dashed line indicates the turn angle success threshold. The leftmost arrow indicates when a pellet reward is delivered once the turn angle crosses the success threshold, and the rightmost arrow shows the peak turn angle of the trial. (E) A

single trial from a pre-lesion animal during a static training session, with the arrow indicating a successful trial attempt in which the turn angle exceeded the threshold.

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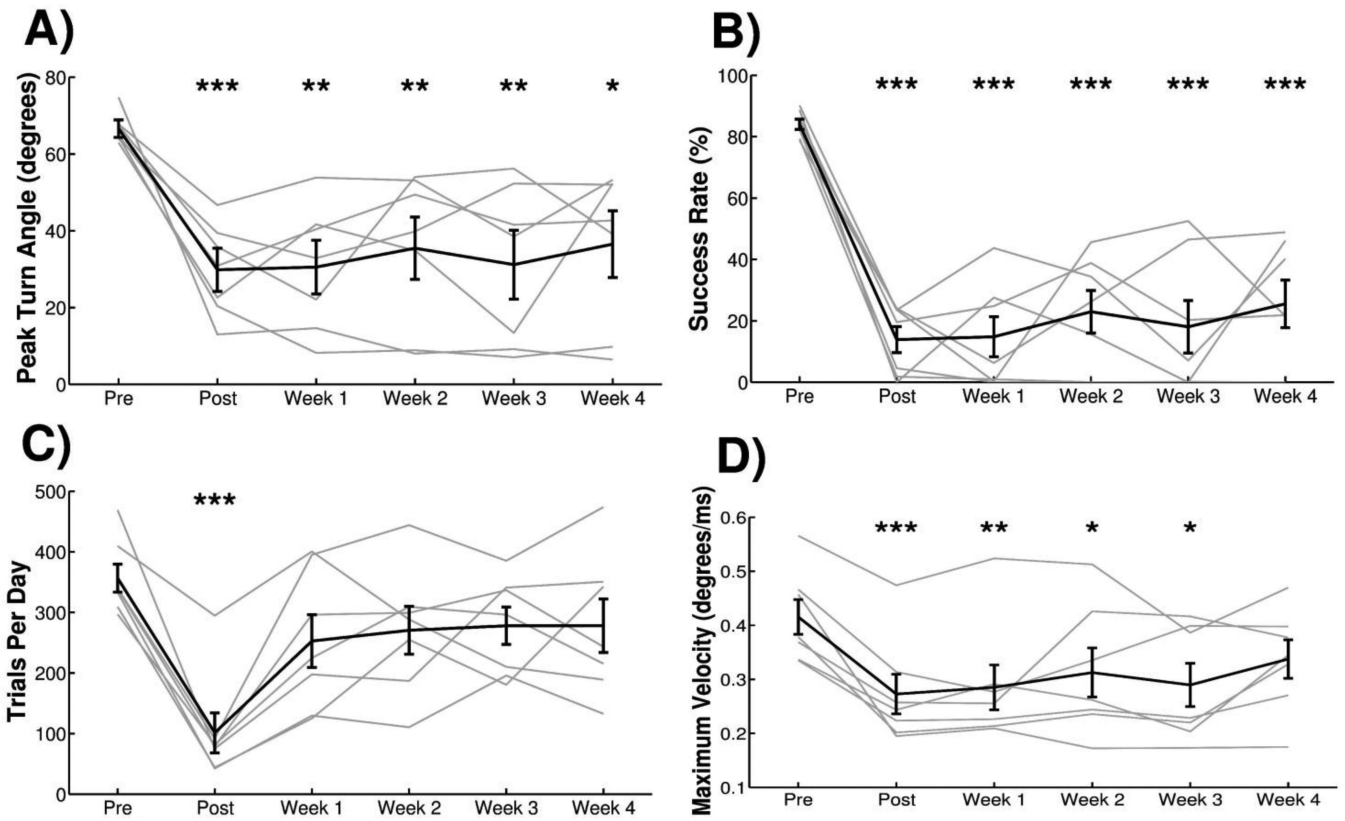
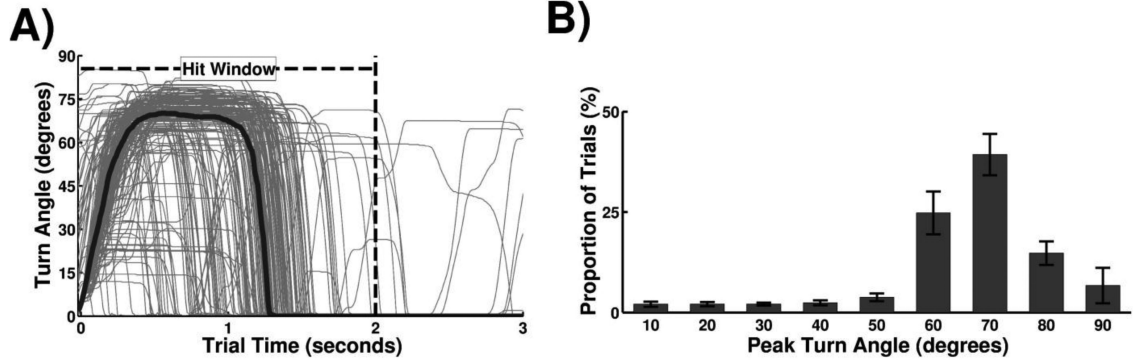


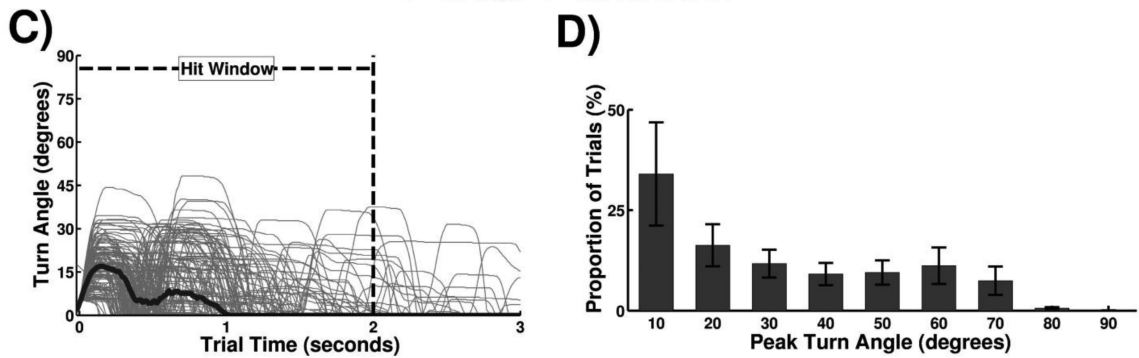
Figure 3.

Experiment 1: Ischemic lesion of motor cortex impairs multiple measures of task performance. (A) Peak turn angle and (B) success rate of animals in Cohort A was significantly reduced compared to pre-lesion at all time points following lesion. (C) Number of trials performed per day showed a transient reduction during Post, but returned to pre-lesion levels during Weeks 1-4. (D) The maximum turn velocity, calculated as the maximum of the derivative of the turn angle, exhibited a transient reduction following injury but did not reach significance at Week 4. All plots show group averages ($N=7$) in black lines and light gray lines represent individual animals. Error bars indicate SEM. Significant differences were determined by paired t -tests and are noted as * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

Pre-Lesion



Post-Lesion



Week 4

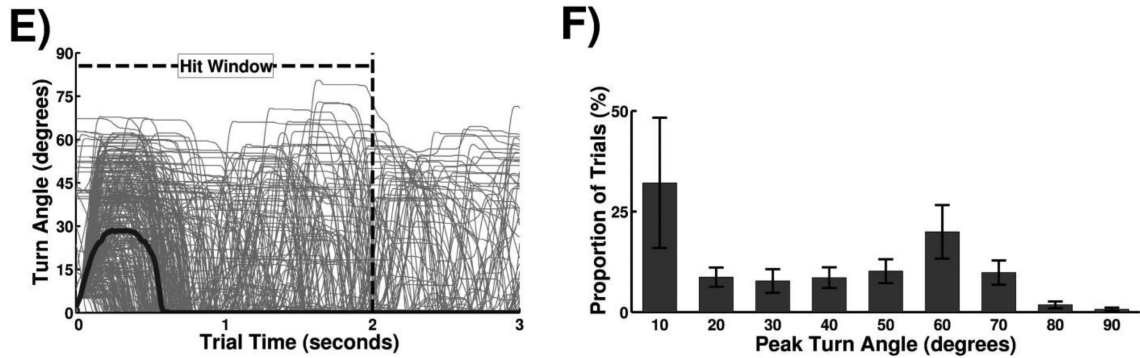


Figure 4. Turn angle measurements from a single animal and group turn angle histograms. (A) Trial signals overlaid from one pre-lesion animal during a behavioral session. Gray lines represent individual trial signals, and thick black line indicates average turn angle at each sample. (B) Pre-lesion group histogram of peak turn angle from Cohort A. (C) Trial signals and average overlaid from one post-lesion behavioral session. (D) Post-lesion group histogram of peak turn angle from Cohort A. (E) Trial signals and average overlaid from one session during the

fourth week of post-injury training. (F) Group histogram from the fourth week of post-injury training.

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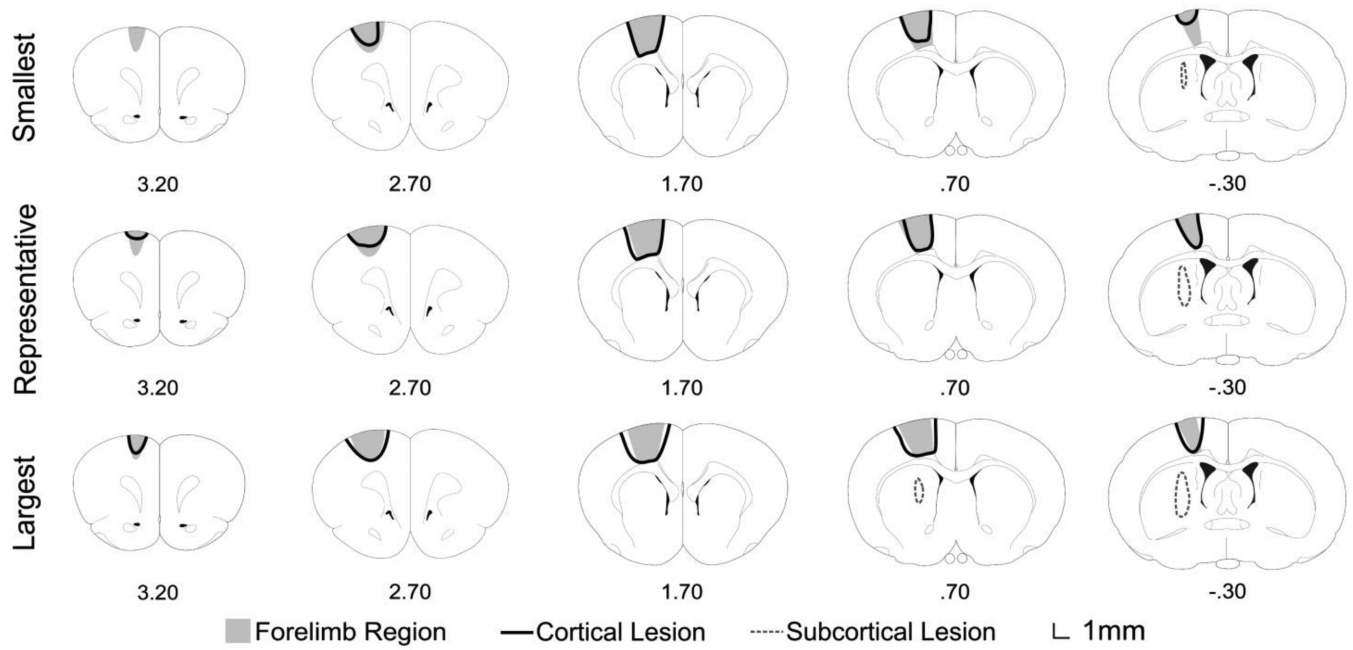


Figure 5. Reconstructions detailing the extent of ischemic damage from the smallest, representative, and largest lesions observed. Numbers denote distance from bregma in mm.

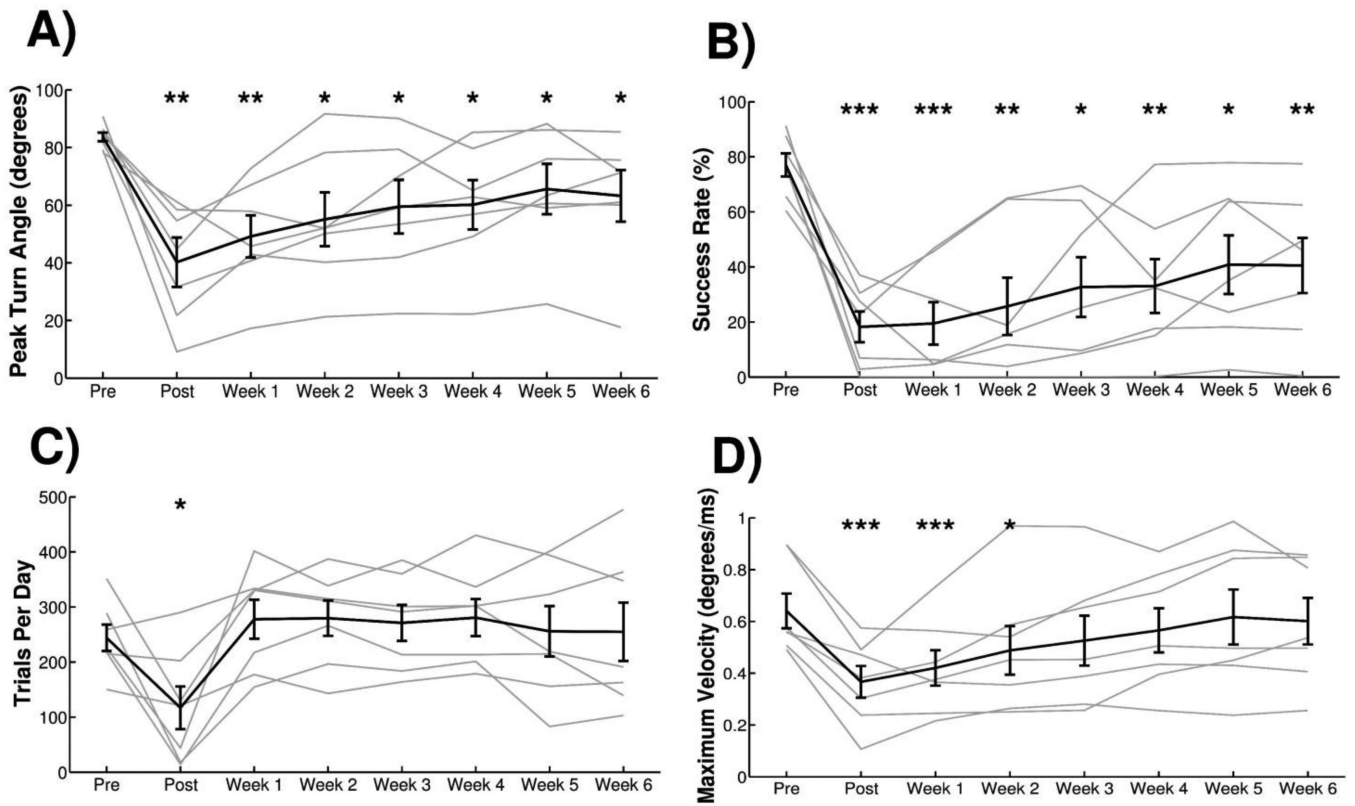


Figure 6. Experiment 2: Combined cortical and subcortical lesion impairs multiple measures of task performance. (A) Peak turn angle and (B) success rate was significantly reduced at all time points following injury. (C) Number of trials performed per day showed a transient reduction but returned to pre-lesion levels during Weeks 1-4. (D) The maximum turn velocity exhibited a transient reduction following injury through Week 2. All plots show group averages (N=7) in black lines and light gray lines represent individual animals. Error bars indicate SEM. Significant differences were determined by paired *t*-test and are noted as * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

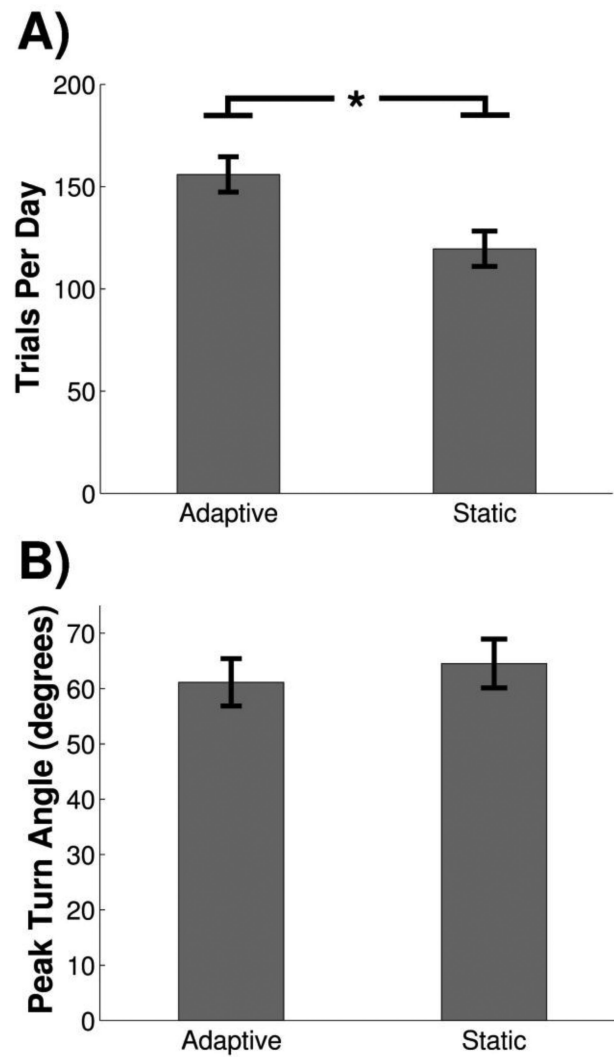


Figure 7. Adaptive training increases trial counts while providing an equivalent measure of performance compared to static thresholds. (A) Animals perform significantly more trials per day during adaptive training compared to static training. (B) Peak turn angle was not significantly different between adaptive and static thresholding sessions.