Neuro-stimulation Techniques for the Management of Anxiety Disorders: An Update

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Neuro-stimulation techniques have gradually evolved over the decades and have emerged potential therapeutic modalities for the treatment of psychiatric disorders, especially treatment refractory cases. The neuro-stimulation techniques involves modalities like electroconvulsive therapy (ECT), repetitive transcranial magnetic stimulation (rTMS), transcranial direct current stimulation (tDCS), vagus nerve stimulation (VNS) and others. This review discusses the role of neuro-stimulation techniques in the treatment of anxiety disorders. The various modalities of neuro-stimulation techniques are briefly discussed. The evidence base relating to use of these techniques in the treatment of anxiety disorders is discussed further. The review then highlights the challenges in conducting research in relation to the use of neuro-stimulation techniques with reference to patients with anxiety disorders. The review provides the future directions of research and aimed at expanding the evidence base of treatment of anxiety disorders and providing neuro-stimulation techniques as promising effective and acceptable alternative in select cases.

KEY WORDS: Brain stimulation; Anxiety disorders; Therapeutic technique; Treatment refractory cases.

INTRODUCTION

Anxiety disorders are among the most common mental health problems worldwide. ^{1,2)} These disorders are associated with considerable societal costs and impairment of quality of life. ^{3,4)} Despite adequate pharmacotherapy and psychotherapy, many patients still remain symptomatic. Hence, there remains a need for other modalities as treatment options for anxiety disorder, especially in refractory cases.

Neuro-stimulation techniques provide a novel approach to treatment of patients with anxiety disorders. The neuro-stimulation techniques commonly refer to methods of stimulation of brain matter through electrical or magnetic impulses. The present review discusses the various neuro-stimulation techniques that have current or potential application for the treatment of patients with anxiety disorders.

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NEURO-STIMULAION METHODS

Brain stimulation methods are an important modality of treatment developed over the last century for use in various neuro-psychiatric disorders. Table 1 summarizes various neuro-stimulation methods.

Electroconvulsive therapy (ECT) is the oldest neuro stimulation therapy developed in the early part of the nineteenth century (1930s). 5,60 The main goal of ECT is to produce a seizure through the electrical stimulation of the brain. Another modality of treatment with similar goal (to produce seizure for getting therapeutic effects) has been developed in 2000s in the form of magnetic seizure therapy (MST).⁶⁾ In MST, seizure is induced for a therapeutic purpose through magnetic stimulation. ⁷⁾ High frequency magnetic stimulation is given to produce seizure in MST and anesthetic agents and muscle relaxants are given to modify the visible seizure movements like ECT. Cognitive side effects are less and patients usually have short lasting seizures with MST in comparison to ECT, which makes it more acceptable.⁸⁾ Its efficacy is being studied in treatment resistant depression and the initial results are promising.⁹⁾ In MST, the magnetic stimulation is focal, producing focal seizures than generalized ones, hence it seems to be more safer than ECT; however, further studies

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Table 1. Neuro-stimulation techniques

Neuro-stimulation technique	Year of development	Brief overview	Type of neuro-stimulation
Electroconvulsive therapy (ECT)	1930s	Non-invasive, production of seizure through passage of electrical current through the brain	Convulsive, diffuse
Transcranial electrical stimulation	1950s	Non-invasive, stimulation of brain by using electrical stimulus without production of seizure, electrodes are placed over the cranium	Non-convulsive, diffuse to focal
Transcranial direct current stimulation (tDCS)	1960s	Non-invasive, electrical stimulus passes between surface electrodes (cathode and anode) producing either neuronal depolarization or hyperpolarization	Non-convulsive, diffuse
Vagus nerve stimulation (VNS)	1980s	Invasive, vagus nerve is stimulated to produce inhibition of focal cortical areas	Non-convulsive, focal
Repetitive transcranial magnetic stimulation (rTMS)	1990s	Non-invasive, magnetic stimulation to brain areas to either stimulate or inhibit cortical neuronal function	Non-convulsive, focal
Deep brain stimulation (DBS)	1990s	Invasive, electrodes implanted stereotactically and stimulated through pulse generators	Non-convulsive, focal
Epicranial stimulation (EpCS)	2007	Minimally Invasive, electrode placed over a cranial nerve or dura mater is stimulated using electrical pulse generator	Non-convulsive, focal
Magnetic seizure therapy (MTS)	2008	Non-invasive, production of seizure through magnetic stimulation. Produces a focal seizure	Convulsive, focal to diffuse

are needed to reach at this conclusion.⁷⁾

Transcranial electrical stimulation is a non-invasive method of neuro-stimulation, which was evolved over years and newer technologies has been incorporated to it, giving it different forms like transcranial pulsed current stimulation (tPCS), transcranial direct current stimulation (tDCS), cranial electrotherapy stimulation (CES), transcerebral electrotherapy (TCET), neuro-electric therapy (NET), transcutaneous cranial electrical stimulation (TCES), electroanesthesia (EA), transcranial alternating current stimulation (tACS), and transcranial random noise stimulation (tRNS).⁵⁾ Transcranial electrical stimulation includes all modalities of electro-stimulation used transcranially with electrodes and the electrical stimulus is often generated by batteries.¹⁰⁾

tDCS is a non-invasive method of neuro-stimulation, in which low strength electrical stimulus is applied on the skin surface of cranium. The electrical stimulus may traverse from the anode to cathode, which produces depolarization leading to cortical excitability, and its reverse cause hyperpolarization resulting in suppression of cortical excitability which results in therapeutic effect. The depolarization and hyperpolarization response is being mediated through alteration in resting membrane potential of the neurons.

Epicranial stimulation (EpCS), is a minimally invasive technique of neuro-stimulation, where the electrode is placed over a cranial nerve (like occipital nerve) or over the dura mater and is electrically stimulated by the pulse generator.¹⁴⁾ It has been utilized in refractory headache.¹⁵⁾

Vagus nerve stimulation (VNS) is an important neuro-stimulation technique, where the vagus nerve is stimulated through electrical stimulus to produce inhibition of certain focal cortical activities, which in result helpful in various neuropsychiatric conditions like epilepsy, depression, anxiety disorder and dementia. ¹⁶⁾ Vagus nerve is connected with important brain areas like locus ceruleus, amygdala, hippocampus, orbito-frontal cortex as well as insular cortex, which are involved in emotional and cognitive processing. ¹⁷⁾

Repetitive transcranial magnetic stimulation (rTMS) is a non-invasive neurostimulation technique that is administered through a magnetic coil applied over the scalp. In the rTMS technique the magnetic field gets converted to electrical field on reaching the brain surface. ¹⁸⁾ rTMS is found to be effective in various neuropsychiatric disorders like Parkinson's disease, Alzheimer's disease, dystonia, epilepsy, stroke, resistant depression, obsessive compulsive disorder (OCD), chronic pain, persistent auditory hallucination, autism, generalized anxiety disorder and post-traumatic stress disorder (PTSD). ¹⁹⁾

In deep brain stimulation (DBS), electrode are implanted in specific brain areas, through stereotactic technique and stimulated through electrical pulse generators. DBS is effective in psychiatric disorders like treatment resistant depression, treatment resistant obsessive compulsive disorder and Gilles de la Tourette syndrome. However, in recent studies, DBS is also found to be effective in other psychiatric disorders like PTSD. 22)

Table 2. Summary of evidence base

Neuro-stimulation method	Level of evidence	
Electroconvulsive therapy (ECT)	OCD - CS; PTSD - OL	
Transcranial electrical stimulation	OCD - OL; PTSD - OL; GAD - OL; phobia - OL; social anxiety disorder - OL	
Transcranial direct current stimulation (tDCS)	OCD, PTSD, GAD - CR	
Vagus nerve stimulation (VNS)	OCD, PTSD, panic disorder - OL	
Repetitive transcranial magnetic stimulation (rTMS)	OCD - SR, MA; PTSD - RCT; GAD - OL	
Deep brain stimulation (DBS)	OCD - SR, DBRCT; PTSD - RCT; GAD - OL	

OCD, obsessive compulsive disorder; CS, case series; PTSD, posttraumatic stress disorder; OL, open label trial; GAD, generalized anxiety disorder; CR, case report; SR, systematic review; MA, meta analysis; RCT, randomized controlled trial; DBRCT, double blind RCT.

BRAIN STIMULATION TREATMENT OF ANXIETY DISORDER

Various neuro-stimulation techniques have been utilized for the treatment of anxiety disorders. The evidence base for each of the techniques is highlighted in the following paragraphs and is summarized in Table 2.

Electroconvulsive Therapy

ECT is effective in improving the symptoms of OCD in pharmacotherapy and behavior therapy refractory cases as seen in several case studies. ^{23,24)} There is no difference in unilateral or bilateral ECT, so far the treatment efficacy is concerned in OCD patients, as per the limited evidences available till now. ¹¹⁾ Though the standard guidelines do not approve ECT in the management of OCD, but it may be considered as an alternative option in treatment refractory cases and in presence of co-morbidities. ²⁵⁾

In a study on effectiveness of ECT in patients with co-morbid major depressive disorder and PTSD, Watts²⁶⁾ found that ECT is effective in reducing the symptoms of depression as well as PTSD. In an open label study on 20 patients of treatment refractory PTSD, ECT was found to be effective in reducing the symptom severity by 40%.²⁷⁾ Several case studies suggest that ECT is effective in ameliorating the symptoms of PTSD and OCD (late onset type, with co-morbidities like depression, Parkinson's disease, schizoaffective disorder).^{25,28-30)}

The exact biological changes produced by ECT for the therapeutic response in anxiety disorders including OCD are not known. Modulation of the abnormal activity of the cortico-striato-thalamo-cortical loop may be responsible for clinical response to ECT in patients with OCD. 11)

Transcranial Electrical Stimulation

Bystritsky *et al.*³¹⁾ studied the effectiveness of transcranial electrical stimulation in patients of generalized anxiety disorder and found that electrical stimulus of 0.5 Hz frequency and 300-mµA intensity over six weeks peri-

od to be effective in reducing the anxiety score significantly. Fontani *et al.*, ³²⁾ in their study in patients with social anxiety disorder (SAD), found that non-invasive brain stimulation through radio electric asymmetric conveyor is effective in reducing the anxiety symptoms which is comparable with sertraline. Radio-electric stimulation of brain is also found to be effective in reducing the anxiety and depressive symptoms in patients with generalized anxiety disorder with co-morbid major depression. ³³⁾ Stimulation of brain using radio electric asymmetric conveyor was found to be effective in treatment of patients with pharmacotherapy resistant agoraphobia. ³⁴⁾

Transcranial electrical stimulation techniques remodulate the hyperfunctioning neuronal networks associated with fear and anxiety processing producing a normalizing response.³³⁾ This mechanism probably plays role in reducing the symptoms of anxiety.

Transcranial Direct Current Stimulation

The evidences regarding efficacy of tDCS in anxiety disorders is scarce. Conditions of cortical excitability like OCD and anxiety disorders can be targeted through cathodal stimulation, which is intended to reduce cortical excitability, but current evidences are not sufficient enough to prove this hypothesis. ¹¹⁾ The evidence of tDCS in generalized anxiety disorder is limited to single case report. ³⁵⁾

tDCS has been found to be effective in treatment resistant cases of OCD as reported in several case studies. ³⁶⁻³⁸⁾ Cathodal stimulations are used in these cases in order to reduce cortical excitability. ^{37,38)} Anodal stimulation at the pre supplementary motor area and supplementary motor area increases the inhibitory control; hence effective in controlling the symptoms of OCD. ³⁶⁾

In OCD, the cortico-subcortical circuit (orbitofrontalstiato-thalamic) is in excited state, which gets modulated by tDCS producing the therapeutic response. ¹¹⁾ In a study on healthy volunteers, tDCS was applied to the dorso-lateral pre-frontal cortex (DLPFC; anodal stimulation of left DLPFC and cathodal stimulation of right DLPFC) and the vigilance to threatening stimuli was reported to be reduced.³⁹⁾ It seems to have strong implication in management of anxiety disorders like PTSD, phobia and panic disorder as anxiety related to vigilance on threatening stimuli is observed in these conditions.

Repetitive Transcranial Magnetic Stimulation

Therapeutic response due to rTMS is mediated through modulation of the excitability of focal brain regions. 11) rTMS is capable of modulating the activity of superficial cortical zones. 11) In a Cochrane review, Martin et al. 40) concluded that the evidence is not conclusive regarding the efficacy of rTMS in treatment of OCD. Jaafari et al. 41) reviewed 12 open label and randomized, sham-controlled studies and found that rTMS targeting either supplementary motor cortex or orbito-frontal cortex is effective in controlling the symptoms of OCD; however, stimulation of DLPFC is ineffective. Neuro-navigational techniques are helpful in accurately localizing these brain areas and delivering the stimulus. 41) Berlim et al. 42) had conducted a meta-analysis of randomized controlled trials (RCTs) on rTMS in patients suffering from OCD and found active rTMS to be more efficacious than sham rTMS and that modulating the activity of orbito-frontal cortex and supplementary motor area of brain causes improvement in the OCD symptoms. 42) Modulation of the activity of the above areas helps in diminishing the excitability of cortico-striatial network, which is beneficial in patients with OCD. 11) High frequency and low frequency rTMS over DLPFC, modulates dopamine release and regional blood flow respectively on specific brain regions involved in OCD.¹¹⁾

Watts et al. 43) evaluated the efficacy of rTMS in patients with PTSD in comparison to sham rTMS and found that rTMS at a frequency of 1 Hz, with 10 sessions delivered at the right DLPFC was effective in improving the symptoms of PTSD. rTMS applied to right sided DLPFC interferes with episodic memory in healthy individuals, which may be beneficial in patients with PTSD in whom the traumatic episodic memory retrieval can be potentially manipulated before it gets re-experienced. 44) Cohen et al., 45) in their double-blind, placebo-controlled trial, found that 10 Hz rTMS at 80% of motor threshold in 10 daily sessions over a period of two weeks to be effective in reducing the symptoms of PTSD and found it superior over low frequency rTMS. Findings of this study was replicated later in a larger double-blind, placebo-controlled study. 46) This study also revealed that high frequency rTMS (20 Hz rTMS used in this study) on right DLPFC improves

the anxiety symptoms whereas stimulation of DLPFC in left side causes improvement in mood symptoms. 46)

In a pilot study, Isserles *et al.*⁴⁷⁾ found that deep Transcranial magnetic stimulation targeting medial prefrontal cortex is also effective in patients with PTSD, who are resistant to conventional treatment.⁴⁷⁾ In experimental animals as well as in human studies, inhibitory rTMS of prefrontal cortex has been found to be effective in patients with anxiety disorder like PTSD and panic disorder.⁴⁸⁾

Though both low frequency and high frequency rTMS has been used in different studies for treatment of PTSD, but the existing evidences are favoring the role of high frequency rTMS in treatment.⁴⁹⁾

Bystritsky *et al.*⁵⁰⁾ applied functional magnetic resonance imaging guided rTMS in patients of generalized anxiety disorder and found it an effective modality of treatment in this group of patients in their study.⁵⁰⁾ Evidences are scarce regarding effectiveness of rTMS in adults with generalized anxiety disorder, though a systematic review highlights about the anxiolytic effects of rTMS.^{48,51)}

Activity of amygdala, which mediates anxiety response, is controlled by the prefrontal cortex through its inhibitory inputs. Dysfunction of this cortical network occurs in various anxiety disorders like panic disorder and phobia as well as generalized anxiety disorder. The anxiolytic effect of rTMS is mediated by modulation of the hyperactive amygdala activity through the prefrontal cortex. ⁴⁸⁾

Li *et al.*⁵²⁾ had conducted a Cochrane database systematic review about role of rTMS in management of panic disorder. They found only two RCTs with small sample sizes using 1 Hz rTMS on right DLPFC, results of which are inconclusive. In another study with small sample of patients suffering from panic disorder with co-morbid depression, symptoms of both the disorders had improved with low frequency rTMS over right DLPFC.⁵³⁾

The effectiveness of rTMS in social anxiety disorder was limited to case studies. ⁵⁴⁾ As medial prefrontal cortex is the most consistent zone of brain in anxiety processing, it was targeted in the therapy. ⁵⁴⁾ In this case study, it was found that low frequency rTMS and high frequency rTMS targeting the right and left medial prefrontal cortex respectively for four weeks was effective in the treatment of social anxiety disorder. ⁵⁴⁾

Deep Brain Stimulation

Genesis of OCD is a severe mental health problem, which has been suggested to be related to over-activity of

cortico-striato-thalamo-cortical loop. Here, the basal ganglia (striatum) has an important role, hence DBS, targeting this area helps in improving the psychopathology.⁵⁵⁾ Similarly, Seijo-Zazo et al. 56) also suggested that DBS of limbic part of sub-thalamic nucleus may be helpful in refractory OCD. This again is a part of cortico-subcortical loop, and targeting the elements of the loop is likely to cause improvement in the symptoms of OCD. Islam et al., 57) in their study on DBS in treatment resistant OCD, had targeted two separate brain areas—nucleus accumbens and bed nucleus of stria terminalis in two halves of patient sample and found that DBS of both the brain areas are safe and effective. ⁵⁷⁾ DBS targeting nucleus accumbens causes release of dopamine in the striatum, through which it causes improvement of symptoms of OCD.⁵⁸⁾ Nucleus accumbens also has a modulatory role in flow of information between important brain structures like amygdala, corpus striatum, meso-limbic areas related to dopaminergic transmission, medio-dorsal thalamus and prefrontal cortex which are associated with OCD and other anxiety disorders.⁵⁹⁾

In their study on patients with treatment resistant OCD, van den Munckhof *et al.*, ⁶⁰⁾ found that DBS targeting stimulation of nucleus accumbens actually stimulate the surrounding areas more, which might be responsible for the therapeutic effect and hence proposed that the important surrounding structure—ventral part of anterior limb of internal capsule stimulation possibly contributes to the clinical response. ⁶⁰⁾ Different studies suggest that DBS of other brain areas like sub-thalamic nucleus, ventral striatum, and inferior thalamic peduncle may be beneficial in treatment resistant OCD. ⁶¹⁻⁶⁵⁾

Inferior thalamic peduncle is an important link with orbitofrontal cortex and it has also connection with the cortico-striato-thalamo-cortical loop, which is involved in OCD. 11) DBS targeting the inferior thamic peduncle improves the symptoms of OCD by modulating the activity of the associated areas. 11) Kohl *et al.*, 65) in their systematic review, mentioned that DBS applied to inferior thalamic peduncle is more efficacious in comparison to DBS of other brain areas; however, a small sample size limits the relevance of this finding. In a study on experimental animals, using high frequency stimulation of nucleus accumbens revealed that possible suppression of cholinergic interneurons have a role in stabilizing the hyperactivity of the prefrontal cortex in OCD. 66)

Stidd *et al.*⁶⁷⁾ had used DBS as a treatment modality focusing on amygdala to treat patients with PTSD and found that stimulation of amygdala controls its excessive firing,

hence can be promising option in management of PTSD. In patients with PTSD, there is increase in the volume of the amygdala, while the volume of several other brain structures like subgenual anterior cingulated gyrus, caudate nucleus, hypothalamus, left middle temporal gyrus including the insular cortex, and right middle frontal gyrus are found to be reduced. High frequency DBS targeting the baso-lateral amygdala has been found to reduce the hyperactivity of amygdala, causing improvement in the PTSD symptoms. Algorithms and the properties of properties of

Vagus Nerve Stimulation

The areas of brain connected to vagus nerve which includes locus ceruleus, hippocampus, orbito-frontal cortex, amygdala and insular cortex are also responsible for processing the psycho-somatic elements of anxiety, making VNS to be a potential therapeutic modality in anxiety management. George *et al.*, in their pilot study of VNS in anxiety disorders, studied the short-term as well as long-term efficacy of VNS in patients of treatment resistant OCD, panic disorder and PTSD and found favorable outcomes. The fear response in patients with PTSD is resistant to extinction and VNS has been found to facilitate the process of extinction of the conditioned fear response in animal model.

Other Modalities like Epicranial Stimulation and Magnetic Seizure Therapy

There is no evidence of use of EpCS or MST for treatment of anxiety disorders as per the current evidence. However, these neuro-stimulation modalities may be taken in future research in psychiatric disorders including anxiety disorders, where focal cortical stimulation is required.

CHALLENGES IN RESEARCH

Despite of availability of numerous neuro-stimulation techniques and their advancement over decades, their applicability and clinical utility are restricted to few psychiatric disorders. Several challenges to research of neuro-stimulaiton techniques for psychiatric disorders in general and anxiety disorders in specific have been encountered. These are discussed as follows.

The first relates to methodological design. It is quite difficult to devise sham procedures for evaluation of the neu-

ro-stimulation techniques in a randomized controlled blinded design. Also, the consensus on locations of the stimulus to be delivered and the dose requirement requires refinement with reiteration, which may require large and diverse samples of patients. The effect of concurrent medications and/or psychotherapy also needs to be considered while attributing efficacy.

The second issue relates to the heterogeneity of psychiatric disorders. The phenomenology of anxiety disorders can have variable expression leading to differences in the areas of brain being involved. Also, the different symptoms of anxiety may occur together in varying combinations, thus requiring refinement in the quantum and pattern of neuro-stimulation delivered based upon the disorder. The third issue relates to feasibility of the conduct of the studies. Many neuro-stimulation techniques are invasive and require neurosurgical assistance. Moreover, the technicality associated with the implementation of these therapeutic modalities needs trained manpower and considerable material investment. Furthermore, getting consent from anxious patients for invasive procedures may be a daunting task.

Studies have been limited by small sample sizes till now as only refractory cases are taken up for these neuro-stimulation techniques. With time, however, more evidence may accrue from even single case reports, case series as well as larger trials about which patients may be most suitable for these techniques.

CONCLUSION

Neuro-stimulation techniques are evolving as alternate effective treatment modalities in management of anxiety disorders. As per the current evidences, most of the neuro-stimulation techniques have been suggested for patients with treatment resistant anxiety disorder and many of them are yet receive regulatory approvals treatment of anxiety disorders. Further systematic research may help to provide better answers to the indications, operational methodologies, clinical outcome, safety profile and client acceptability of these neuro-stimulation techniques.

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