

# Effects of Proton Pump Inhibitors on the Gastric Mucosa-Associated Microbiota in Dyspeptic Patients

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## ABSTRACT

Besides being part of anti-*Helicobacter pylori* treatment regimens, proton pump inhibitors (PPIs) are increasingly being used to treat dyspepsia. However, little is known about the effects of PPIs on the human gastric microbiota, especially those related to *H. pylori* infection. The goal of this study was to characterize the stomach microbial communities in patients with dyspepsia and to investigate their relationships with PPI use and *H. pylori* status. Using 16S rRNA gene pyrosequencing, we analyzed the mucosa-associated microbial populations of 24 patients, of whom 12 were treated with the PPI omeprazole and 9 (5 treated and 4 untreated) were positive for *H. pylori* infection. The *Proteobacteria*, *Firmicutes*, *Bacteroidetes*, *Fusobacteria*, and *Actinobacteria* phyla accounted for 98% of all of the sequences, with *Helicobacter*, *Streptococcus*, and *Prevotella* ranking among the 10 most abundant genera. *H. pylori* infection or PPI treatment did not significantly influence gastric microbial species composition in dyspeptic patients. Principal-coordinate analysis of weighted UniFrac distances in these communities revealed clear but significant separation according to *H. pylori* status only. However, in PPI-treated patients, *Firmicutes*, particularly *Streptococcaceae*, were significantly increased in relative abundance compared to those in untreated patients. Consistently, *Streptococcus* was also found to significantly increase in relation to PPI treatment, and this increase seemed to occur independently of *H. pylori* infection. Our results suggest that *Streptococcus* may be a key indicator of PPI-induced gastric microbial composition changes in dyspeptic patients. Whether the gastric microbiota alteration contributes to dyspepsia needs further investigation.

## IMPORTANCE

Although PPIs have become a popular treatment choice, a growing number of dyspeptic patients may be treated unnecessarily. We found that patients treated with omeprazole showed gastric microbial communities that were different from those of untreated patients. These differences regarded the abundances of specific taxa. By understanding the relationships between PPIs and members of the gastric microbiota, it will be possible to envisage new strategies for better managing patients with dyspepsia.

Specifically designed to shut down the H<sup>+</sup>/K<sup>+</sup>-ATPase of gastric parietal cells, through the blockage of acid transport (1), proton pump inhibitors (PPIs) are increasingly being used to treat gastroesophageal reflux disease (GERD) and other acid-related gastroduodenal disorders (2). Additionally, PPIs are included in standard 1-week triple or sequential therapies which are currently recommended to eradicate *Helicobacter pylori* from the human stomach (3).

While it is still unclear whether the presence of *H. pylori* influences the composition of the gastric microbial community, PPI administration is thought to alter gastric microbiota toward a more carcinogenic microbiota (i.e., dominated by bacteria that predispose to inflammation and cancer) (4), suggesting that *H. pylori* may be just a marker of these alterations (5, 6). It was also hypothesized that PPIs may affect the microbiota directly by targeting P-type ATPase enzymes of naturally occurring bacteria like *H. pylori* and *Streptococcus pneumoniae* (7) or indirectly by reducing the acidity of the gastric environment, which in turn leads to gastric bacterial overgrowth (8). Indeed, whatever the mechanisms by which PPIs affect microbes (9), gastric acid suppression proved to substantially increase the number of cultivable non-*H. pylori* bacteria in either the gastric mucosa or the stomach lumen;

notably, this effect was largely influenced by the infection with *H. pylori* and the duration of acid suppression, which occurred through both histamine<sub>2</sub>-receptor antagonists (H<sub>2</sub>RA) and PPIs (10). By means of nonculturing methods (i.e., quantitative PCR and 16S rRNA gene pyrosequencing), a recent study by Tsuda et al. revealed very similar bacterial numbers in the gastric fluid mi-

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crobiota between PPI users and PPI nonusers (11). However, the PPI administration induced a small but significant increase in the intersubject diversity (11), which was consistent with previous findings by Amir et al. showing an increase in the beta diversity of the gastric fluid microbiota of subjects after 8 weeks of PPI treatment (12). Furthermore, *H. pylori* was found to be a minor bacterium in the gastric luminal samples in the Tsuda and coworkers' study (11), whereas, as expected, the organism was identified as a dominant bacterium in gastric mucosal samples from *H. pylori*-infected patients (13).

Therefore, while the relationship between PPIs and gastric mucosa microbiota remains not fully understood, it is also plausible that PPIs affect the microbiota structure through the *H. pylori* interaction. So, understanding the gastric microbiota-*H. pylori*-PPI axis might be important in view of the clinical repercussions that would arise from this, for example, by clarifying the medical usefulness of PPI treatments (14). Dyspepsia is a highly prevalent condition characterized by symptoms (e.g., heartburn) originating from the gastroduodenal region (15). In western populations, 25% report having heartburn at least once a month and 5% describe daily symptoms, explaining the large demand for PPIs (16). However, especially in patients with functional dyspepsia, which is defined as the presence of symptoms with no evidence of pathologically based gastroduodenal disorders (i.e., GERD, hiatal hernia, peptic ulcer) (17), the efficacy of PPIs appears to be limited (18, 19). Nevertheless, there is growing perception of indiscriminate use of PPIs worldwide (20), which would arise from either medical hyperprescription (21, 22) or self-diagnosis and treatment due to the over-the-counter availability of these medications (23).

In this study, we investigated the effects of PPIs on the gastric microflora by profiling the mucosa-associated bacterial communities of patients with dyspepsia. Biopsy samples from 24 patients (12 on and 12 off PPIs) were thus analyzed using 16S rRNA gene pyrosequencing in order to (i) provide a comprehensive survey of dyspeptic stomach microbiotas and (ii) clarify the association between PPI administration, *H. pylori* infection, and gastric community diversity.

## MATERIALS AND METHODS

**Experimental samples and DNA isolation.** Gastric mucosal biopsy specimens were collected, in strict compliance with the Università Cattolica del Sacro Cuore (UCSC) Ethics Committee requirements (UCSC EC no. 4138/15), from adult patients who underwent upper gastrointestinal endoscopy for symptoms of dyspepsia (i.e., heartburn, nausea, epigastric pain and discomfort, bloating, and regurgitation) at the Department of Gastroenterology and Hepatology of the Agostino Gemelli Hospital (UCSC) in Rome, Italy. Informed consent was obtained from all patients included in the study. Twelve patients were currently on PPI therapy (i.e., omeprazole, 40 mg/day) and 12 were either PPI naive ( $n = 10$ ) or had discontinued PPI therapy at least 6 months before sample collection ( $n = 2$ ). All patients on PPIs were treated for at least 12 months prior to endoscopy (Table 1). Patients were not enrolled if they were taking PPIs for fewer than 12 months or were taking antibiotics in the past 3 months prior to endoscopy or if they were having or had a history of peptic ulcer disease, previous gastric surgery, or chronic use of nonsteroidal anti-inflammatory drugs. Patients who were on or had been treated with any other acid-reducing drugs like  $H_2RA$  (e.g., ranitidine) or antacids (e.g., alginate rafts) were also excluded. After enrollment, patients were determined to be positive for *H. pylori* if both histology and rapid urease tests provided a positive result (24) and physical and clinical examinations did not reveal comorbidities, and all the patients also reported normal dietary habits.

TABLE 1 Characteristics of the patient groups studied<sup>a</sup>

Characteristic	PPI-treated ( $n = 12$ )	Untreated ( $n = 12$ )
Age (mean $\pm$ SD) (yr)	56 $\pm$ 16.6	44.7 $\pm$ 9.6
Gender (men/women)	3/9	4/8
Body mass index (mean $\pm$ SD) (kg/m <sup>2</sup> )	23.0 $\pm$ 1.5	22.0 $\pm$ 1.8
<i>Helicobacter pylori</i> status (positive/negative)	4/8	5/7
Gastric mucosa inflammation degree (mild/moderate)	4/8	6/5
PPI treatment before upper endoscopy (mean $\pm$ SD) (mo)	16 $\pm$ 3	

<sup>a</sup> None of the comparisons were significantly different ( $P > 0.05$ , for all comparisons). Only one of the patients on proton-pump inhibitor (PPI) therapy had histological findings showing severe gastric inflammation.

Details about demographic and clinical characteristics of the 24 patients are shown in Table S1 in the supplemental material.

Patients followed a 12-h fasting period before mucosal biopsy specimens were recovered from the stomach antrum using a Pentax EG2990i gastroscope (Pentax, Tokyo, Japan) and single-use, sterile biopsy forceps. All biopsy specimens were repeatedly washed in sterile phosphate-buffered saline (PBS) immediately after their recovery to ensure that the microbiota from the biofilm associated with the gastric mucosa (i.e., those bacteria attached to the upper mucous layer or the juxtaepithelial mucus) could be analyzed and/or to significantly decrease the number of bacteria from the luminal fluid (25). Gastric biopsy samples were snap-frozen on dry ice and stored at  $-80^{\circ}\text{C}$  until processed. A total of 10 negative controls without samples (i.e., obtained by inserting the forceps through the gastroscope, bringing them into the stomach, and pulling back and inserting them into an empty vial) were included and processed identically to the biopsy samples. Samples were homogenized using a mini-bead beater (BioSpec Products, Bartlesville, OK) at maximum speed for 1 min (three pulses of 20 s each) to enhance the yield of material to be subjected to PCR amplification (see below), particularly from robust bacteria such as *Clostridium*, *Veillonella*, or *Streptococcus* (25). Then, DNA was extracted from the samples by using the DNeasy blood and tissue kit (Qiagen, Hilden, Germany), and DNA concentrations were measured by a NanoDrop ND-1000 spectrophotometer (Thermo Fisher Scientific, Minneapolis, MN).

**16S rRNA gene pyrosequencing and analysis.** Multitag ("multiplex") pyrosequencing was performed using GS titanium technology (Roche 454 Life Sciences, Branford, CT, USA), mainly according to a well-established protocol (26), to survey the V1-to-V3 variable region corresponding to positions 28 to 519 of the *Escherichia coli* 16S rRNA gene. Among the targeted gene regions that afford variable levels of taxonomic and phylogenetic informativeness (27), the V1-to-V3 region was shown to provide the greatest classification rate when different samples of the same mock community are tested (i.e., "defined mixture of microbial cells created *in vitro* to simulate the composition of a microbiome sample") (25). To this end, the 454 adaptor-linked 28F (5'-TTTGATCNTGGCTCAG) and 519R (5'-GTNTTACNGCGGCKGCTG) primers were designed to carry, on the reverse primer, sample-specific multiple identifier barcodes between the sequences of the 5'-adaptor A and the primer and, on the forward primer, only the 5'-adaptor B sequence (28). The amplification reaction was prepared in a 50- $\mu\text{l}$  final volume containing 0.4  $\mu\text{M}$  each primer, 200  $\mu\text{M}$  each deoxynucleoside triphosphate (dNTP), 1.8  $\mu\text{M}$   $\text{MgCl}_2$ , 5  $\mu\text{l}$  of  $10\times$  PCR buffer, 50 ng of template DNA, and 2.5 U of FastStart high-fidelity enzyme blend (Roche). The PCR conditions were as follows:  $95^{\circ}\text{C}$  for 2 min, followed by 35 cycles of  $95^{\circ}\text{C}$  for 20 s,  $56^{\circ}\text{C}$  for 45 s, and  $72^{\circ}\text{C}$  for 5 min, with final extension step at  $72^{\circ}\text{C}$  for 7 min. For each PCR, one additional PCR negative control without the DNA template was added. PCR products were purified with the Agencourt AMPure XP kit (Beckman Coulter, Milan, Italy), visually inspected on agarose gels to observe bands of the specific size (approximately 600 bp), and quantified with the

TABLE 2 Sequence diversity, coverage, and taxonomic complexity by groups of patients

Measurement	<i>H. pylori</i> status <sup>a</sup>		Proton pump inhibitor status		
	Positive (n = 9)	Negative (n = 15)	Treated (n = 12)	Untreated (n = 12)	Combined (n = 24)
No. of sequences					
Total	95,949	95,709	103,912	87,746	191,658
Assigned	95,758	93,854	103,519	86,093	189,612
Unassigned	191	1,855	393	1,653	2,046
<i>Helicobacter</i> sequences	45,739	994	15,834	30,899	46,733
No. of OTUs <sup>b</sup>					
Chao1 estimator of species richness	313.9	479.6	423.0	387.5	519.0
Shannon's index for diversity	3.5	5.7	4.9	4.6	4.9
Simpson's index for diversity	0.7	0.9	0.9	0.8	0.9
Evenness <sup>c</sup>	0.4	0.6	0.6	0.5	0.5
Good's estimator of coverage (%)	99.9	99.9	99.9	99.9	100
Classification success (% [no. of identified taxa])					
Phylum	70.6 (12)	100 (17)	94.1 (16)	88.2 (15)	100 (17)
Family	77.1 (64)	94.0 (78)	85.5 (71)	91.6 (76)	100 (83)
Genus	64.3 (74)	94.8 (109)	81.7 (94)	82.6 (95)	100 (115)

<sup>a</sup> As determined by conventional testing.

<sup>b</sup> OTU, operational taxonomic unit.

<sup>c</sup> The Shannon's index of evenness was calculated using the formula  $E = e^{D/N}$ , where  $D$  is the Shannon diversity index.

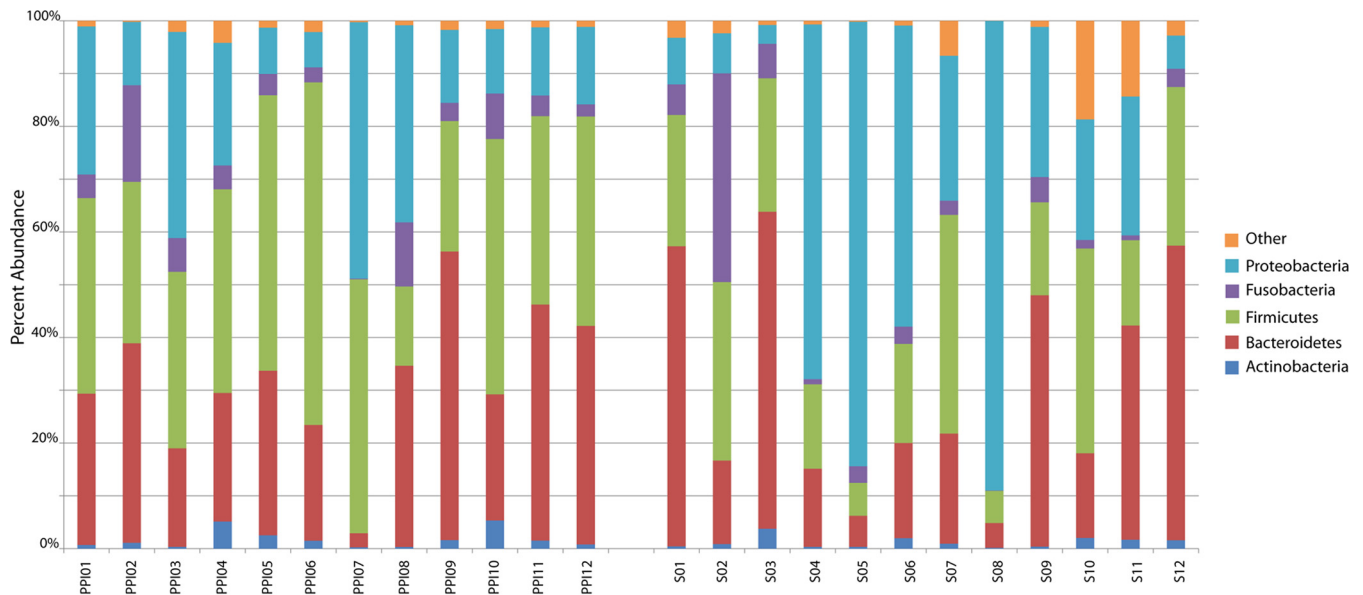
Quant-iT PicoGreen double-stranded DNA (dsDNA) assay kit (Life Technologies; Monza MB, Italy). After cleanup and quality control, amplicons from all of the 24 biopsy samples were normalized, pooled, purified, and then unidirectionally sequenced on the 454 GS Junior platform using a GS titanium sequencing kit according to the manufacturer's instructions (Roche). A negative-control sample that yielded any visible band (this occurred for 3 of the 10 controls without sample included) was sequenced, and sequence reads in the biopsy samples also present in the negative-control sample were excluded from further analysis (see below). Sequence data were processed with the QIIME (Quantitative Insights Into Microbiology Ecology) 1.8.0 pipeline (29). Sequence reads were demultiplexed and quality-filtered according to default parameters, and pyrosequencing errors were removed using the PyroNoise algorithm (30). The reads were then sorted and grouped into operational taxonomic units (OTUs) using the UCLUST algorithm ([http://drive5.com/usearch/manual/uclust\\_algo.html](http://drive5.com/usearch/manual/uclust_algo.html)) at a distance-based similarity of 97% (31). Potentially chimeric sequences were removed using the UCHIME algorithm (32). OTUs from the 3 controls mentioned above were filtered from the analysis. The OTU (phylo-type) representative sequences were aligned with PyNAST (33) and assigned to different taxonomic levels (from phylum to genus) using the UCLUST consensus taxonomy classifier (34) and the Greengenes taxonomy reference database (version 13.8.0), with a sequence identity threshold of 97%. Sequences that did not match with the existing sequences in the database were pooled as "unassigned" (Table 2). A phylogenetic tree was built with FastTree (35), whereas a table showing the counts of each assigned OTU in each sample was created, using the QIIME pipeline. To control for differences in coverage and to limit the effects of uneven sampling, the OTU table was rarefied to a depth of 863 sequences, as the sample with the fewest sequences contained 872 sequences, and was used in downstream analyses. Alpha diversity (diversity of microbial communities found within individual samples) was estimated using the Chao1 index, Shannon index, Simpson index, Shannon's index of evenness, and observed species. Also, Good's method was used (36) to estimate what percentage of the total species was represented in a sample (i.e., the percentage of coverage). Beta diversity (diversity of microbial communities found between different samples) was estimated using weighted UniFrac distances (37).

**Statistics.** Statistical analyses were performed using the R (v. 3.2.5) and GraphPad Prism (v. 6.07) software packages. Prior to statistical anal-

ysis, the relative abundance was calculated as the number of sequence reads for each taxon and normalized for sample, such that the total relative abundance for each sample sums to 100%. The normality of the data was examined by the Shapiro-Wilk test. Statistical comparison of alpha diversity between samples was performed using a  $t$  test, analysis of variance (ANOVA), or a nonparametric test, depending on either the distribution of the variable (normal versus nonnormal) or the number of groups (2 versus >2). The weighted UniFrac-based distance matrix was exported to the R package *vegan* (v. 2.3-0), and principal-coordinate analysis (PCoA) plots were generated using the first two principal coordinates (PCs). Relations between the PCoA scores of patient categories (i.e., PPI-treated/untreated, *H. pylori*-positive/*H. pylori*-negative, etc.) were assessed by means of the R *Adonis* function (2015-06-09 r68498), which implements a permutational multivariate analysis of variance (PERMANOVA) using distance matrices.

Differentially abundant taxa in the gastric microbiota between the groups of patients categorized according to medical (with/without PPI treatment) or biological (presence/absence of *H. pylori* infection) conditions (or classes) were analyzed using the Prism multiple  $t$  test analysis that performs many  $t$  tests at once. Additionally, differences in taxonomic (i.e., genus level) abundances were estimated using the linear discriminant analysis (LDA) effect size (LEfSe) method (38). In particular, the LEfSe algorithm (<https://huttenhower.sph.harvard.edu/galaxy/>) identifies features (e.g., bacterial genera) that are statistically different with respect to the class of interest by coupling standard tests for statistical significance (i.e., the nonparametric Kruskal-Wallis rank sum test, or the pairwise Wilcoxon rank sum test) with tests of biological consistency and effect size estimation (LDA). The LEfSe alpha parameter for pairwise tests was set to 0.05, and the threshold on the logarithmic score of LDA was set to 2.0. As was required, all the pairwise comparison rejected the null hypothesis for detecting the biomarker; thus, no multiple testing corrections were needed (38).

Following statistical analyses with multiple comparisons,  $P$  values were corrected using the Benjamini-Hochberg method to control the false discovery rate (FDR). An FDR value ( $Q$ ) of 0.05 was used as a statistically significant cutoff. When interpreted individually without respect to the others, each  $P$  value was considered to be statistically significant if it was less than the significance level, alpha, which was set to 0.05.



**FIG 1** Relative abundance at the phylum level (97% similarity) of most dominant bacteria found in the gastric mucosal biopsy specimens of 24 patients with dyspepsia. Biopsy specimens were named as PPI01 to PPI12 or as S01 to S12, depending on whether the patients were (PPI) or were not (S) treated with a proton pump inhibitor (PPI) drug at the time of sampling.

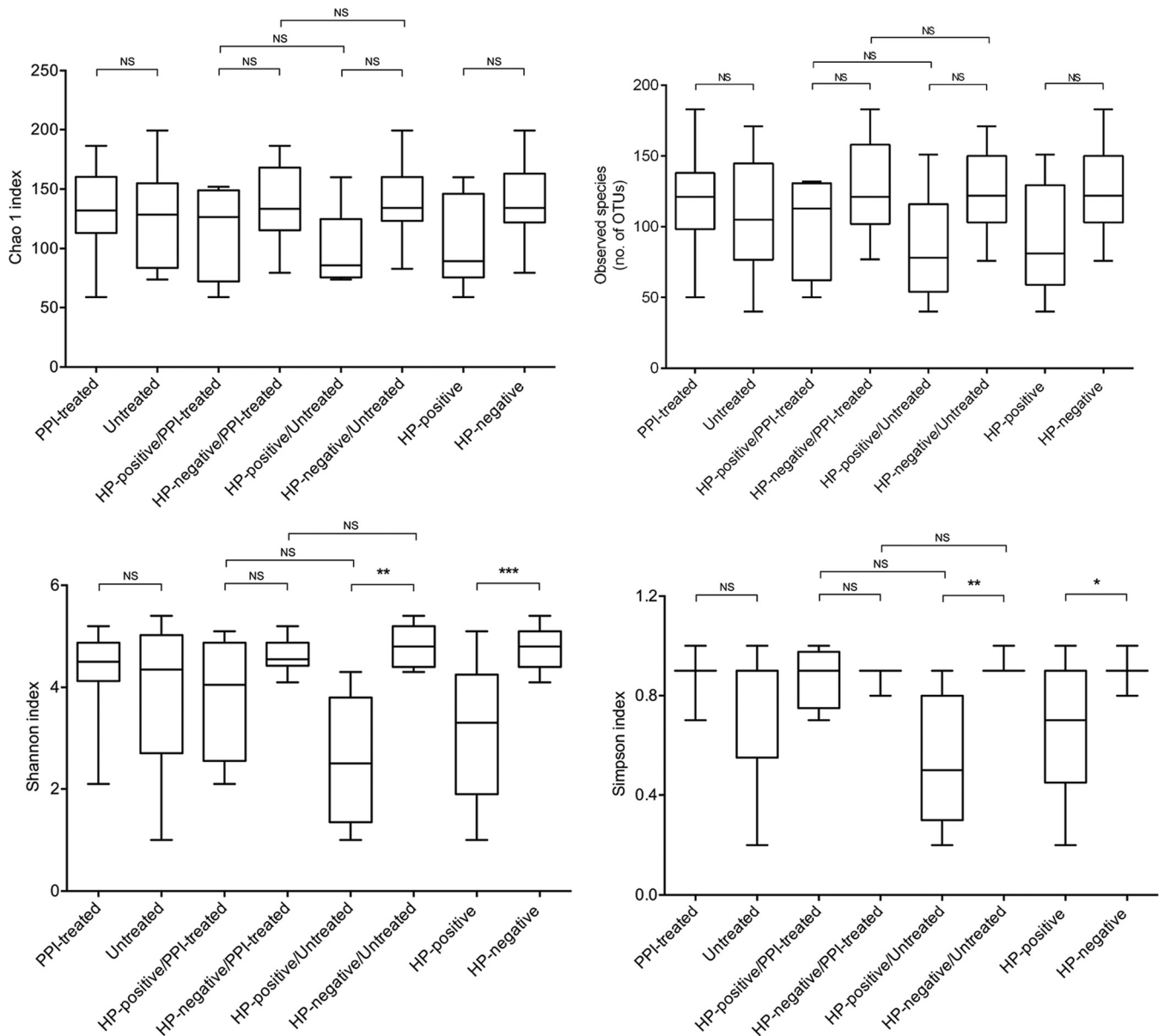
**Accession number(s).** The 16S rRNA gene sequences from this study are available through the NCBI Sequence Read Archive under the accession number [SRP060417](https://www.ncbi.nlm.nih.gov/seq/submit/submit.cgi?acc=SRP060417).

## RESULTS

**Bacterial genera found in the gastric mucosal microbiota of dyspeptic patients.** To investigate the gastric microbial community in human dyspepsia, DNA was extracted from individual stomach mucosa biopsy specimens of 24 dyspeptic patients (71% women; aged from 27 to 85 years), 12 of whom were treated with PPIs (Table 1; also see Table S1 in the supplemental material). As shown, although the average age did not differ significantly according to the PPI status, the only two subjects aged older than 80 were in the group of patients on PPIs. By means of PCR amplification and 454 pyrosequencing of the bacterial 16S rRNA gene, we obtained a total of 191,658 high-quality sequence reads, with a length of  $424 \pm 84$  bp (mean  $\pm$  SD). Excluding three samples that yielded highest numbers of reads (35,873, 27,624, and 21,610, respectively) and one sample that yielded the lowest number of reads (872), there were  $5,284 \pm 2,265$  sequences (mean  $\pm$  SD) per sample. Rarefaction curves showing the number of OTUs at a 3% genetic distance (see Fig. S1 in the supplemental material) suggested that enough sequencing effort was achieved for all 24 samples. Sequence clustering yielded a total of 519 OTUs (phylotypes), representing an overall Good's coverage of 99.9 (Table 2; see also Table S2 in the supplemental material). The OTUs were classified into 17 bacterial phyla, with some phyla being undetectable in some samples. Accounting for 98% of all sequence reads, *Proteobacteria*, *Firmicutes*, *Bacteroidetes*, *Fusobacteria*, and *Actinobacteria* represented the five most abundant phyla. Among them, *Proteobacteria* (3.56% to 88.97%), *Firmicutes* (6.10% to 64.92%), and *Bacteroidetes* (2.68% to 60.05%) were dominant (Fig. 1; see also Table S3 in the supplemental material). Rare phyla, defined as those with a relative abundance of less than 1%, were grouped in the "other" category (Fig. 1). The OTUs were classified into 83

different families, of which the most abundant (i.e., being  $\geq 1\%$  in relative abundance) were *Pseudomonadaceae*, *Comamonadaceae*, and *Neisseriaceae* (phylum *Proteobacteria*), *Streptococcaceae*, *Gemellaceae*, and *Veillonellaceae* (phylum *Firmicutes*), and *Prevotellaceae* and *Bacteroidaceae* (phylum *Bacteroidetes*). At finer taxonomic levels, we found that, among 115 genera identified in total, *Helicobacter* (24.6%), *Prevotella* (18.6%), *Streptococcus* (17.9%), *Veillonella* (6.7%), *Neisseria* (4.6%), *Porphyromonas* (4.4%), *Fusobacterium* (3.7%), *Gemella* (3.2%), *Haemophilus* (2.4%), and *Leptotrichia* (1.4%) represented the 10 most abundant genera. Of these, all taxa except *Helicobacter* and *Leptotrichia* were present among all samples. Sequences classified as *Helicobacter* were found in all of the 9 samples from *H. pylori*-positive patients (abundance varied from  $<1.0\%$  to 88.0%) and in 5 of 15 samples from *H. pylori*-negative patients (abundance varied from  $<1.0\%$  to 4.5%) (see Table S4 in the supplemental material). As mentioned above, the positive or negative *H. pylori* status was defined based on the results of conventional tests for all 24 patients; of these, 12 patients (including 4 *H. pylori*-positive and 8 *H. pylori*-negative) were treated and 12 patients (including 5 *H. pylori*-positive and 7 *H. pylori*-negative) were not treated with PPIs (see Table S1 in the supplemental material).

Species richness, evenness, and diversity indices were calculated for the entire sample set according to the *H. pylori* and PPI treatment status (Table 2). As shown in Fig. 2, Shannon's (ANOVA,  $P < 0.001$ ) and Simpson's (ANOVA,  $P < 0.05$ ) indices were significantly higher in samples from *H. pylori*-negative patients than in samples from *H. pylori*-positive patients. These results remained significant also when the comparisons were performed including only the samples from patients who did not receive PPIs (Fig. 2). Overall, no significant differences across the sample groups were found with respect to the Chao1 richness estimator and the observed species (ANOVA,  $P > 0.05$ , for all comparisons), indicating that neither the presence of *H. pylori* nor



**FIG 2** Alpha diversity plots of Chao1 estimator richness, observed species (number of OTUs), Shannon index, and Simpson index measures for the 24 patient samples grouped by the positive or negative *H. pylori* (HP) status, or by the presence (treated) or absence (untreated) of proton pump inhibitor (PPI) treatment. The HP-positive and HP-negative patient groups were subdivided by PPI treatment as indicated. The middle line in the box plot represents the median value, and the box is drawn from 25% to 75% quartiles. Whiskers show minimum and maximum values and the ends of the whiskers represent the nonoutlier range. *P* values of <0.05 (\*), <0.01 (\*\*), and <0.001 (\*\*\*) by an ANOVA and Tukey's *post hoc* test, were used to determine the statistical significance of differences between groups. A *P* value of >0.05 indicated the absence of statistically significant differences. NS, not significant.

the treatment with PPIs may influence the number of species which compose the gastric mucosa-associated microbiota in patients with dyspepsia (Fig. 2). As expected, when *Helicobacter* sequences were left out of the analysis, species evenness and diversity among samples in which *Helicobacter* sequences were found (samples were from both patients positive and negative for *H. pylori* by conventional testing) were higher (albeit not significantly;  $P > 0.05$ ) than those of samples without *Helicobacter* sequences (all samples were from patients negative for *H. pylori* by conventional testing) (data not shown).

Using the UniFrac analysis, we then examined the relative re-

latedness of the gastric bacterial communities of 24 dyspeptic patients. The PCoA visualization of weighted UniFrac distances and PERMANOVA analysis of this comparison are shown in Fig. 3. Notably, samples from *H. pylori*-positive and *H. pylori*-negative patients and samples from PPI-treated and untreated patients were seen to separate along the axes of two coordinates (i.e., PC1 and PC2, which accounted for 61.2% and 17.1% of the total variation), respectively. However, a significant difference in the overall bacterial community structure was observed comparing only *H. pylori*-positive and *H. pylori*-negative patients ( $P = 0.001$ ). When *Helicobacter* sequences were left out of the analysis, similar

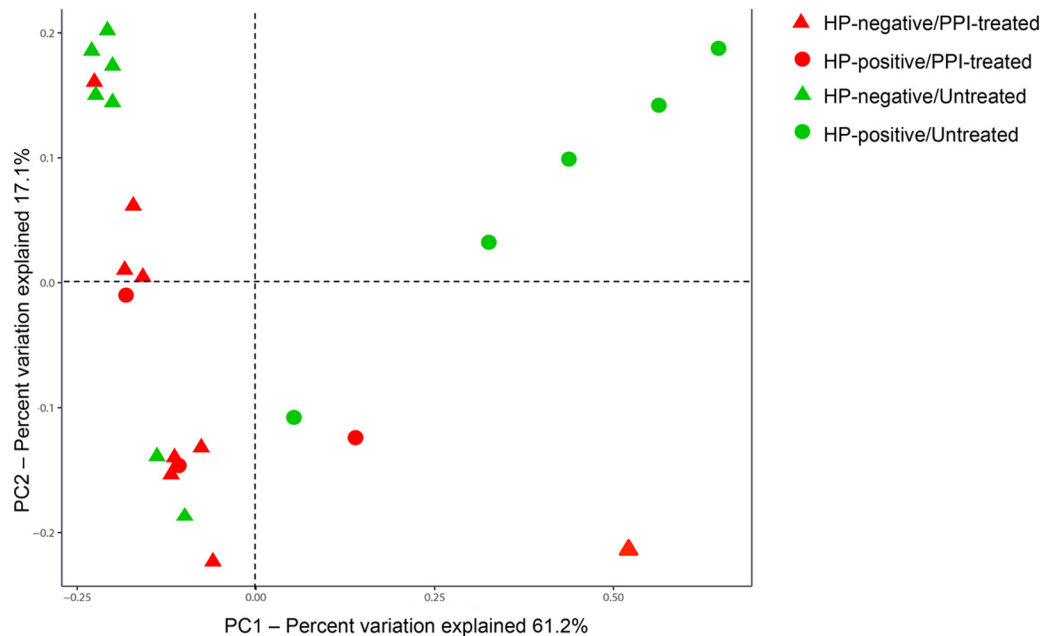


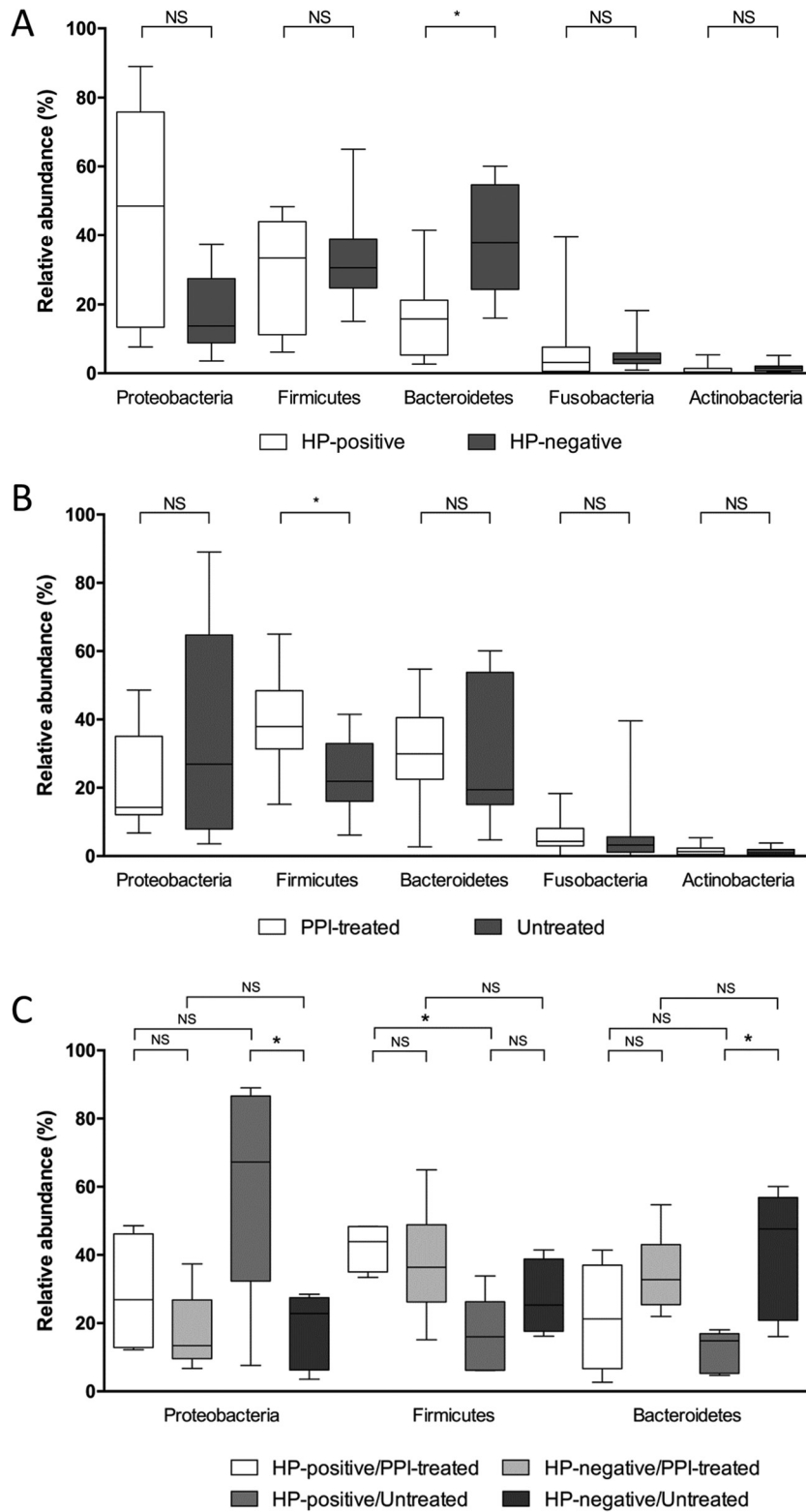
FIG 3 Principal-coordinate analysis (PCoA) of weighted UniFrac distances highlighting differences in gastric mucosal biopsy samples of 24 patients with dyspepsia. PC1 and PC2 represent the first two highest discriminating axes. The percent variation explained by each PC axis is indicated. Circle and triangle symbols represent the patients with a positive or negative *H. pylori* (HP) status (which was determined using conventional testing methods, as specified in the text), respectively. Differently colored symbols represent the patients treated or not treated with proton pump inhibitors (PPIs), respectively.

PCoA results were obtained (data not shown). Consistent with the PCoA separation (Fig. 3), additional pairwise comparisons showed that the bacterial community structures within different patient groups might be distinguished in a manner that related microbial composition with both of the patient conditions (see Table S5 in the supplemental material). Interestingly, among untreated patients, a significant distinction was observed when *H. pylori*-positive patients were compared with *H. pylori*-negative patients ( $P = 0.001$ ); in contrast, among *H. pylori*-negative patients, distinction did not reach statistical significance when PPI-treated patients were compared with untreated patients ( $P = 0.159$ ) (see Table S5 in the supplemental material).

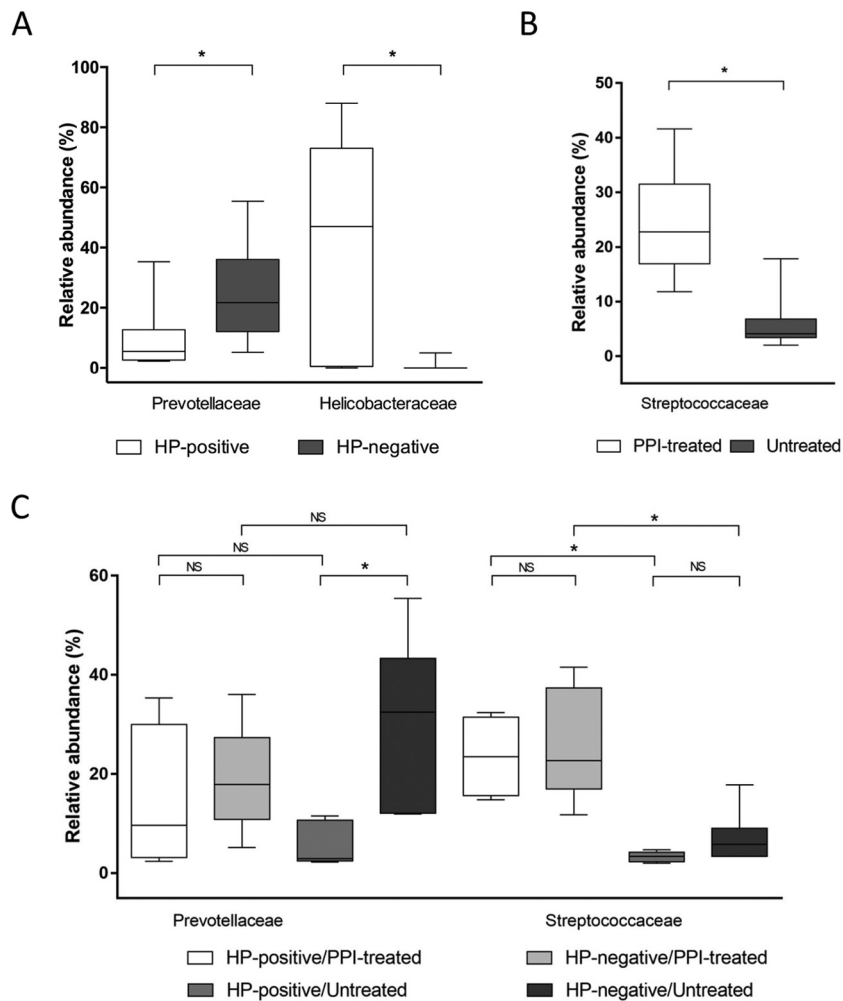
**Influences of *H. pylori* and PPIs on the gastric microbiota composition of dyspeptic patients.** At the phylum level, *H. pylori*-positive patients displayed lower relative abundance of *Bacteroidetes* (FDR-adjusted  $Q = 0.022$ ) than *H. pylori*-negative patients (Fig. 4A). In contrast, PPI-treated patients tended to have a higher relative abundance of *Firmicutes* (FDR-adjusted  $Q = 0.047$ ) compared with that of untreated patients (Fig. 4B). Among the *H. pylori*-positive patients stratified by PPI treatment, we found that *Firmicutes* were significantly more abundant in PPI-treated patients than in untreated patients (FDR-adjusted  $Q = 0.048$ ); in contrast, among the untreated patients stratified by *H. pylori* status, we found that *Proteobacteria* were significantly more abundant and *Bacteroidetes* less abundant (FDR-adjusted  $Q = 0.049$ , for both comparisons) in *H. pylori*-positive patients than in *H. pylori*-negative patients (Fig. 4C). These results did not remain significant (all FDR-adjusted  $Q = >0.05$ ) when *H. pylori* sequences were left out of the analysis; otherwise, among untreated patients, *Firmicutes* became significantly more abundant (FDR-adjusted  $Q = 0.029$ ) in *H. pylori*-positive patients than in *H. pylori*-negative patients (see Fig. S2 in the supplemental material).

At the family level, across the taxa significantly affected by *H. pylori* status, we found that *Helicobacteraceae* (phylum *Proteobacteria*) were relatively more abundant (FDR-adjusted  $Q = 0.001$ ), whereas *Prevotellaceae* (phylum *Bacteroidetes*) were relatively less abundant (FDR-adjusted  $Q = 0.013$ ) in *H. pylori*-positive patients than in *H. pylori*-negative patients (Fig. 5A). In contrast, across the taxa significantly affected by PPI treatment, we found that *Streptococcaceae* (phylum *Firmicutes*) were relatively more abundant (FDR-adjusted  $Q = 0.0001$ ) in PPI-treated patients than in untreated patients (Fig. 5B). Interestingly, we found that in PPI-treated patients, the relative *Streptococcaceae* abundance was significantly increased, compared to that in untreated patients, either only considering *H. pylori*-positive patients (FDR-adjusted  $Q = 0.048$ ) or *H. pylori*-negative patients (FDR-adjusted  $Q = 0.043$ ) (Fig. 5C). Among the untreated patients, *H. pylori*-positive patients displayed significantly decreased relative *Prevotellaceae* abundance (FDR-adjusted  $Q = 0.043$ ) compared to that of *H. pylori*-negative patients (Fig. 5C). These results remained significant (all FDR-adjusted  $Q = <0.05$ ) only for the *Streptococcaceae* family when *H. pylori* sequences were left out of the analysis (see Fig. S3 in the supplemental material).

To confirm the identification of such differently abundant family taxa (Fig. 4 and 5) by identifying the genera whose relative abundance might change in relation to the above-mentioned patient conditions, we applied a recently developed biomarker discovery method, LEfSe (38). Using this method, across the bacterial genera whose relative abundances were significantly changed in relation to *H. pylori* status, we found that 9 genera, including *Helicobacter* (family *Helicobacteraceae*), among others, were increased, whereas 6 genera, including *Tannerella* (family *Porphyromonadaceae*), *Enhydrobacter* (family *Moraxellaceae*), and *Mogibacterium* (family *Mogibacteriaceae*), among



**FIG 4** Differences in relative abundances of bacterial phyla composing the gastric mucosa-associated microbiota of 24 patients, who were stratified according to the positive or negative *H. pylori* (HP) status (which was determined using conventional testing methods, as specified in the text) (A) and the presence or absence of treatment with proton pump inhibitor (PPI) drugs (B). (C) Comparison of phylum abundances among patient groups, which were defined according to both of the above-mentioned conditions. The middle line in the box plot represents the median value, and the box is drawn from 25% to 75% quartiles. Whiskers show minimum and maximum values, and the ends of the whiskers represent the nonoutlier range. An asterisk indicates a statistically significant difference after the *P* value of each comparison by *t* test analysis was corrected for multiple testing (FDR-adjusted  $Q = <0.05$ ); a nonsignificant (NS) difference (FDR-adjusted  $Q = >0.05$ ) between each comparison is also indicated.



**FIG 5** Significant differences in relative abundances of bacterial families composing the gastric mucosa-associated microbiota of 24 patients, who were stratified according to positive or negative *H. pylori* (HP) status (which was determined based on the results of conventional testing methods, as specified in the text) (A) and the presence or absence of treatment with proton pump inhibitor (PPI) drugs (B). (C) Comparison of family abundances among patient groups, which were defined according to both of the above-mentioned conditions. The middle line in the box plot represents the median value, and the box is drawn from 25% to 75% quartiles. Whiskers show minimum and maximum values, and the ends of the whiskers represent the nonoutlier range. An asterisk indicates a statistically significant difference after the *P* value of each comparison by *t* test analysis was corrected for multiple testing (FDR-adjusted  $Q = <0.05$ ). In panel C, a nonsignificant (NS) differences (FDR-adjusted  $Q = >0.05$ ) between each comparison is also indicated.

others, were decreased using *H. pylori*-negative patients as the reference group (Table 3). Across the bacterial genera whose relative abundances were significantly changed in relation to PPI treatment, we found that *Capnocytophaga* (family *Flavobacteriaceae*), *Granulicatella* (family *Carnobacteriaceae*), and *Streptococcus* (family *Streptococcaceae*) were increased using untreated patients as the reference group (Table 3). Interestingly, when *H. pylori* sequences were left out of the analysis, these results were unchanged only for *Tannerella*, *Enhydrobacter*, *Mogibacterium*, and *Streptococcus*; in contrast, *Veillonella* (the fourth-ranked among most abundant genera of the gastric microbiota in this study) was seen to significantly increase in relation to PPI treatment (Table 3).

## DISCUSSION

To date, the small number of studies exploring the human stomach microbiota using advanced, culture-independent, molecular methods (i.e., 16S rRNA gene sequencing) showed that the gastric

mucosa-associated microbial community is, in the apparently “normal” acidic condition, strongly dominated by *Proteobacteria* (to which *H. pylori* belongs), *Firmicutes*, *Bacteroidetes*, *Actinobacteria*, and *Fusobacteria* (39–41; for review, see reference 42). It is now clear that the accuracy of classification increases with the length of the sequenced 16S rRNA gene region (27). However, using an earlier version of 454 pyrosequencing technology (i.e., based on shorter 16S rRNA gene sequence reads) to explore the differences in microbial communities along the digestive tract, Andersson et al. identified 177 phylotypes in stomach but not in throat, with the majority of them belonging to the *Proteobacteria* (40). Later, a core set of 19 bacterial genera was identified in all of the gastric biopsy specimens analyzed by Delgado et al. (43), whereas 8 bacterial genera were uniquely present in the gastric and lung fluid but not in the oropharyngeal communities of 116 children studied (44).

Our first goal was to provide a detailed characterization of the



TABLE 3 Genus level taxa that were significantly changed in relation to the patients' conditions in gastric mucosal samples<sup>a</sup>

Taxon/biomarker	Comparison of patients positive vs patients negative to the indicated condition, performed with:			
	<i>Helicobacter</i> sequences included in the analysis		<i>Helicobacter</i> sequences excluded from the analysis	
	Trend	LEfSe <i>P</i> value	Trend	LEfSe <i>P</i> value
<i>H. pylori</i> infection <sup>b</sup>				
p_Actinobacteria, g_Propionibacterium	Increased	0.003	No	
<b>p_Bacteroidetes, g_Tannerella</b>	<b>Decreased</b>	<b>0.001</b>	<b>Decreased</b>	<b>0.009</b>
p_Proteobacteria, g_Campylobacter	Increased	0.006	No	
<b>p_Proteobacteria, g_Enhydrobacter</b>	<b>Decreased</b>	<b>0.030</b>	<b>Decreased</b>	<b>0.043</b>
p_Proteobacteria, g_Haemophilus	Increased	0.029	No	
p_Bacteroidetes, g_Capnocytophaga	Increased	0.044	No	
p_Bacteroidetes, g_Prevotella	Increased	0.013	No	
p_Firmicutes, g_Bulleidia	Increased	0.011	No	
p_Proteobacteria, g_Pseudomonas	Increased	0.049	No	
p_Proteobacteria, g_Helicobacter	Increased	0.0001	No	
p_Proteobacteria, g_Acinetobacter	Decreased	0.041	No	
p_Firmicutes, g_Staphylococcus	Increased	0.042	No	
p_Actinobacteria, g_Corynebacterium	Decreased	0.014	No	
<b>p_Firmicutes, g_Mogibacterium</b>	<b>Decreased</b>	<b>0.021</b>	<b>Decreased</b>	<b>0.040</b>
p_Firmicutes, g_Dialister	Decreased	0.012	No	
PPI treatment <sup>c</sup>				
p_Bacteroidetes, g_Capnocytophaga	Increased	0.048	No	
p_Proteobacteria, g_Actinobacillus	Increased	0.028	No	
p_Firmicutes, g_Granulicatella	Increased	0.003	No	
p_Firmicutes, g_Veillonella	No		Increased	0.024
<b>p_Firmicutes, g_Streptococcus</b>	<b>Increased</b>	<b>0.003</b>	<b>Increased</b>	<b>0.037</b>

<sup>a</sup> Bold denotes genera whose relative abundance was found to change significantly when the linear discriminant analysis (LDA) effect size (LEfSe) method was applied in order to detect differentially abundant taxa among the patients' stomach bacterial communities, regardless of whether *Helicobacter* sequences were included or not included in the analysis. p., phylum; g., genus.

<sup>b</sup> Patients were categorized as positive or negative for the indicated condition, i.e., *H. pylori* (HP) infection, according to the results of conventional (nonsequencing) HP testing methods as specified in the text. Trends of increase or decrease for each taxon were calculated using the HP-negative patients as the reference group. Of the genera found to significantly differ in relative abundance among these patients, two genera, *Mogibacterium* (family Mogibacteriaceae) and *Dialister* (family Veillonellaceae), are members of the order Clostridiales.

<sup>c</sup> Patients were categorized as positive or negative for the indicated condition, i.e., proton-pump inhibitor (PPI) treatment, depending on whether they were, respectively, PPI users and PPI nonusers as specified in the text. Trends of increase or decrease for each taxon were calculated using the untreated patients (PPI nonusers) as the reference group. Of the genera found to significantly differ in relative abundance among these patients, two genera, *Granulicatella* (family Carnobacteriaceae) and *Streptococcus* (family Streptococcaceae), are members of the order Lactobacillales.

stomach-resident microbial community in patients with dyspepsia. Here, 454 pyrosequencing analysis of the gastric mucosa community underscores the prominence of genera such as *Streptococcus*, *Prevotella*, *Veillonella*, *Porphyromonas*, and *Haemophilus*. In the pioneer studies by Bik et al. (39) and Li et al. (41) on the gastric mucosa-associated microbiota, *Streptococcus* and *Prevotella* were detected as the two major abundant genera, although these studies analyzed two geographically and ethnically divergent populations and two medically different populations. Surprisingly, *Streptococcus* and *Prevotella* were also detected as the top two genera in the gastric luminal microbiota, suggesting similarities of the bacterial compositions between the two intragastric compartments (11). Thus, the question of whether specific, stomach-resident microbial communities or bacterial groups/organisms may contribute to or be associated with, the development of gastric disease becomes intriguing (43, 45, 46). In the aforementioned study, Delgado et al. included subjects who had dyspepsia without detectable gastric pathology (i.e., functional dyspepsia) (43). In the present study, all dyspeptic patients had histologically documented gastric mucosa inflammation (Table 1; see also Table S1 in the supplemental material). A comparison of the two studies revealed differences in the array of bacteria dominating the gastric mucosal

samples. Thus, Gram-positive organisms like *Streptococcus*, *Propionibacterium*, *Lactobacillus*, and *Enterococcus* dominated in that study (43), whereas a mixing of Gram-positive (only *Streptococcus*) and Gram-negative organisms was found by us. However, it is worth noting that differences in DNA extraction methods and PCR amplification protocols as well as in the sampling procedures used in the two studies might have biased the detection of some (e.g., Gram-positive) bacterial groups/organisms.

Previous studies on humans (39, 40, 47) reported significant changes in the gastric mucosa-associated microbiota in response to *H. pylori*. In one study, eight bacterial phyla (128 phylotypes) were identified in 23 North American patients, with no differences in species richness by *H. pylori* status (39); in another study, 13 bacterial phyla were identified in six Swedish patients, but the species diversity in *H. pylori*-negative stomachs (262 phylotypes) was higher than in *H. pylori*-positive stomachs (33 phylotypes) (40). Furthermore, using a high-density 16S rRNA gene microarray, Maldonado-Contreras et al. showed that 28% of total variation in the gastric microbiota of 12 patients (10 Amerindians and 2 non-Amerindians) was explained by *H. pylori* status (47). In the present study, *Bacteroidetes* were found to be the primary driver of the distinction in microbial composition between patients with

positive or negative *H. pylori* status (Fig. 3 and 4A), although differences in the gastric mucosa communities between the two patient groups could be explained by relative abundance differences of at least 15 specific biomarkers (Table 3). These included not only *Prevotella* but also low-abundance genera, such as *Bacteroidetes* other than *Prevotella* (*Tannerella* and *Capnocytophaga*), *Proteobacteria* (*Campylobacter*, *Enhydrobacter*, *Pseudomonas*, and *Acinetobacter*), *Firmicutes* (*Bulleidia*, *Staphylococcus*, *Mogibacterium*, and *Dialister*), and *Actinobacteria* (*Propionibacterium* and *Corynebacterium*) organisms. Whether these microbial alterations might have a role in the pathogenesis of gastritis needs to be elucidated in future studies. Unless infected subjects display symptoms, *H. pylori* infections are not treated, implying that firmly established infections persist in the stomach (48). It is plausible that *H. pylori* infections lead to changes in the microbiota over time (49) and that drug-induced variations in specific microbiota members affect the immune response to *H. pylori*, thereby contributing to *H. pylori*-associated upper gastrointestinal diseases (50).

The acidity of the human stomach can be greatly compromised in the case of *H. pylori* infection (and *H. pylori*-associated atrophic gastritis) and also in the case of pharmacological interventions, for example, with PPIs (6). Therefore, we looked at the role of PPI use on the gastric mucosa-associated microbiota in our patients, and we showed that PPI treatment was associated with increased abundance of *Firmicutes*, particularly *Streptococcaceae* (Fig. 4B and 5B). A closer inspection of the microbial composition in PPI-treated patients revealed a few genera that were relatively abundant in all gastric samples from these patients and thus considered biomarkers of these samples (Table 3). So, *Streptococcus* and *Granulicatella* (both from the phylum *Firmicutes*) together with *Capnocytophaga* (phylum *Bacteroidetes*) and *Actinobacillus* (phylum *Proteobacteria*) were differentiated by relative abundance. The *Streptococcus* genus in particular was detected by LEfSe with a very high LDA score (data not shown), reflecting marked abundance in PPI-treated patients and consistently low abundance in untreated patients. Using Metastats analysis, the only recent method that is an alternative to LEfSe analysis (51), Rosen et al. also found that *Streptococcus* was relatively more abundant in the gastric fluid of children treated with PPIs (44). Similarly, PPI use was shown to be associated with profound changes in the gut microbiota, involving the genera *Streptococcus*, *Enterococcus*, and *Staphylococcus*, and the species *Escherichia coli* (52). In another study, significant differences in the abundance of stool-associated bacterial taxa between PPI users and non-PPI users were seen for the *Holdemania*, *Streptococcus*, and *Blautia* genera (53). Also, in the largest study published to date, 16S rRNA gene profiling of fecal samples collected from 1,827 healthy twins found that PPI use was associated with significant increases in the abundances of oral and upper gastrointestinal tract bacteria and in particular of the *Streptococcaceae* family (54). Remarkably, these associations were replicated in a small interventional data set indicating causality (54). Because of their presumed overgrowth in the upper gastrointestinal tract (4), streptococci can thrive under PPI-induced hypochlorhydria conditions, triggering increased host susceptibility to disease and infection (55, 56). As aciduric bacteria, *Streptococcus* (and *Lactobacillus*) may directly contribute to the onset of dyspepsia by producing acid within the esophagus and oral cavity, whereas at least one *Streptococcus* species is known to have its own H<sup>+</sup>/K<sup>+</sup>-ATPase, which may be targeted by PPIs (7).

In this context, it is likely that the increased *Streptococcus* abundance in the stomach is an almost exclusive indicator of PPI use in dyspeptic patients and that this abundance is ultimately responsible for exacerbation and/or persistence of symptoms of dyspepsia during PPI therapy.

Finally, we tried to elucidate whether the interaction of PPIs with the gastric mucosa-associated microbiota is mediated by *H. pylori* infection. The PPIs are believed to interact directly with *H. pylori* by inhibiting the urease activity of the bacterium or by exerting a bacteriostatic effect against the bacterium (7). Thus, PPIs might mask the influence of *H. pylori* on the gastric microbiota via the overgrowth of specific taxa among the indigenous non-*H. pylori* bacteria (8). When searching for significant differences in the relative taxon abundance among the patient subgroups, we noticed that the significant increase in the relative *Streptococcaceae* abundance (Fig. 5B) was independent of whether the PPI-treated patients had a positive or negative *H. pylori* status (Fig. 5C). Importantly, this effect was not blunted when the statistical analyses were performed with our data set excluding the *Helicobacter* sequences (see Fig. S3 in the supplemental material). On the other hand, we noticed that *Prevotellaceae* were significantly decreased in patients with positive *H. pylori* status (Fig. 5A) and that this decrease was also significant in the untreated but not in the PPI-treated patient subgroup (Fig. 5C).

Taken together, our findings are strongly suggestive of a concomitant role of *H. pylori* and PPIs on the gastric mucosa-associated microbiota, although concomitant *H. pylori* and non-*H. pylori* bacterial infections may be implied in the pathogenesis of gastric inflammation/disease. It is biologically plausible that PPIs, if given after *H. pylori* is eradicated, contribute to gastric carcinogenesis by causing non-*H. pylori* gastric dysbiosis that perpetuates Correa's stepwise inflammatory process in the human stomach (20). It should be recalled that eradication of *H. pylori* significantly decreases the development of gastric cancer only in patients without *H. pylori*-induced precancerous gastric lesions such as atrophy and intestinal metaplasia (57). Once again, increased abundance of *Streptococcus* might be regarded as a biomarker of PPI-related modifications toward a less healthy gastric microbiota (46). If these modifications are linked with altered abundance and location of gastric *H. pylori* needs to be explored in the future.

In conclusion, the present study shows the potential of using 16S rRNA gene sequence data analyses to better understand the impact of xenobiotics such as PPIs on the stomach microbiota and to identify specific organisms as indicators of microbial composition changes under foreign stimuli. Although a popular treatment choice for dyspepsia, which remains one of most common gastrointestinal disorders among western people, PPIs exhibit substantial intersubject variability and commonly fail to provide a complete cure for the disorder (2). Thus, a patient-tailored use of these drugs could be derived by careful monitoring of the gastric microbiota changes that occur during PPI therapy. Future studies will help to reveal whether the way taken is the right one.

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