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# A Frailty Index predicts 10-year fracture risk in adults age 25 years and older: results from the Canadian Multicentre Osteoporosis Study (CaMos)

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# Abstract

Courtney C. Kennedy, George Ioannidis, Lehana Thabane, Susan Kirkland, and Laura E. Pickard declare no conflict of interest.

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**Conflicts of interest** Kenneth Rockwood discloses that the Dalhousie University Industry-Liaison Office is reviewing the commercialization potential of one version of the Frailty Index (not the one used here) based on a Comprehensive Geriatric Assessment.

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Dr. Jonathan Adachi consults for and has received lecture fees from Amgen, Eli Lilly, Merck, Novartis, Warner Chilcott.

**Summary**—We created a 30-item Frailty Index in the Canadian Multicentre Osteoporosis Study. A Frailty Index is a sensitive measure that can quantify fracture risk according to degree of frailty. Our results indicated that at any age, frailty was an important independent risk factor for fracture over 10 years.

**Introduction**—In later life, frailty has been linked to fractures. It is likely that the antecedents of fracture are seen across the life course, in ways not entirely captured by traditional osteoporosis risk factors. Using data collected from the prospective, population-based Canadian Multicentre Osteoporosis Study (CaMos), we created the 30-item CaMos Frailty Index and examined whether it was associated with incident fractures over 10 years.

**Methods**—All CaMos participants aged 25 years and older (n=9,423) were included in the analysis. To examine the relationship between baseline Frailty Index scores and incident fractures, a competing risk proportional sub-distribution hazards model was used with death considered a competing risk. Analyses were adjusted for age, sex, body mass index, education level, femoral neck T-score, and antiresorptive therapy.

**Results**—At baseline, the mean age was 62.1 years [standard deviation (SD) 13.4], and 69.4 % were women. The mean Frailty Index score was 0.13 (SD 0.11), ranging from 0 to 0.66. For every 0.10 increase in Frailty Index scores (approximately one SD), the hazard ratio was 1.25 (p<0.001) for all fractures, 1.18 (p=0.043) for hip fractures, and 1.30 (p= 0.001) for clinical vertebral fractures.

**Conclusion**—The CaMos Frailty Index quantified fracture risk according to degree of frailty. Irrespective of age and bone mineral density, the Frailty Index was associated with hip, vertebral, and all-type clinical fractures. Predicting late onset illnesses may have to consider overall health status and not just traditional risk factors.

#### Keywords

Deficits; Fracture; Frailty; Index; Longitudinal; Osteoporosis

## Introduction

Frailty can be characterized as a state of diminished reserves (energy, physical ability, cognition, health) that gives rise to vulnerability and adverse outcomes [1, 2]. While it is more common in older adults, frailty can occur across the life span and can be conceptualized as variability in health status for people of the same chronological age [3]. Despite general consensus about frailty as a construct, there is less agreement about its operational definition [4]. Three main approaches operationalize frailty. The frailty phenotype developed by Fried et al. [5] defines frailty as the presence of at least three of five components: unintentional weight loss, self-reported poor energy, weakness (poor grip strength), slow gait speed, and low physical activity. A second approach utilizes clinical judgment based on history-taking and clinical examination [1, 6]. A third approach, the focus of this paper, conceives frailty as an accumulation of health deficits (i.e., signs, symptoms, diseases, functional impairments) which are scored on a composite measure termed as cumulative deficits Frailty Index [2, 7,8].

Frailty has been linked with increased fracture risk in older adults [9–14]. However, previous studies have largely assessed frailty utilizing the frailty phenotype [12, 14] or modified versions [9–11, 15], all of which categorize individuals into discrete frail/nonfrail categories. A Frailty Index allows the quantification of risk according to the degree of frailty. More recently, it also appears to add to traditional risk factors associated with other late onset illnesses, including dementia [16], sleep disorders [17], heart disease [18], and the outcomes of intellectual disability from late middle age [19].

We created a Frailty Index in the Canadian Multicentre Osteoporosis Study (CaMos) [20] and examined (1) the age-sex distribution of frailty and (2) whether it was associated with incident fractures over 10 years in men and women age 25 years and older. We hypothesized that frailty would be an independent, nontraditional risk factor for fractures.

### Methods

#### Study setting and design

A more detailed description of CaMos methods has been published [20] (www.camos.org). CaMos is an ongoing, population-based, prospective cohort study that was established in 1995. The baseline cohort consisted of 9,423 noninstitutionalized individuals aged 25 years and older, including 6,539 women (70.7 %). The sampling frame consisted of randomly generated lists of noninstitutionalized residential telephone subscribers for nine study sites across Canada and represents 40 % of Canadian residents. Ethics approval was obtained at each participating center, and signed informed consent was received from all participants.

#### **Data collection**

Figure 1 displays the timeline of data collection and ascertainment of predictor and outcome variables.

**Full assessments**—At baseline and every 5-years thereafter, CaMos participants complete an extensive interviewer-administered questionnaire, and physical measurements are taken. Data collected include sociodemographic information, self-reported medical conditions, fracture history, family history, medication use, dietary intake, lifestyle data, quality of life (Health Utilities Index, SF-36), height and weight measurement, dual-energy X-ray absorptiometry (DXA) and, for participants 50 years and older, lateral lumbar and thoracic spine X-rays. Bone mineral density (BMD) was measured by dual-energy DXA; a European Spine Phantom was used for cross-calibration of DXA machines between sites. BMD T-scores at the femoral neck are based on published reference standards for Canadians [21].

**Short assessments**—Annually, in the intervening years, participants complete a mailed questionnaire regarding fractures, hospitalization, and the use of medications for bone health.

**Fracture ascertainment**—Self-reported, clinical fractures occurring between baseline and the tenth annual (2005–2006) follow-up were included. If consent was given, the treating physician or hospital was contacted for verification and to acquire further details.

Here, we categorized fractures into three groups: (1) all fractures excluding face, fingers, toes, and skull; (2) hip fractures; and (3) vertebral fractures.

#### **CaMos Frailty Index**

The CaMos Frailty Index was developed using a cumulative deficits framework [2, 7, 8], which specifies that variables must collectively cover a range of biological systems and not be overly representative of any particular system. Variables (termed deficits) should be added until there are at least 30–40 total deficits, with more deficits resulting in greater precision of estimates [8]. The focus is not on the exact combination of specific traits but rather on their cumulative impact, which in the aggregate characterizes physiological performance and overall function in a single variable [22]. As long as 30 or more variables are employed, various combinations of qualifying variables (randomly sampled from the same dataset) give comparable results [23]. Even so, to make serial comparisons in a given cohort, the same items need to be used.

The CaMos questionnaire and data dictionary were examined to identify variables for potential inclusion in the Frailty Index (Fig. 2). Osteoporosis, fractures, and falls were intentionally omitted. The cumulative deficits framework [2, 7, 8] specifies that variables must be present in at least 1 % of the sample, accumulate with age, not saturate too early (i.e., should not develop high prevalence at younger ages or be present in >80 % of the sample), and have fewer than 5 % missing data. A clinical panel (authors JDA, KR, AP) reviewed the final list to ensure biological plausibility and adequate representation of a range of systems.

The final CaMos Frailty Index consisted of 30 items (Table 1). Dichotomous variables were coded as 1 when the deficit was present and 0 when absent. Variables with multilevel responses were assigned deficit values in equal cut points (e.g., not limited=0, limited a little=0.5, limited a lot=1) or were combined into logical categories (e.g., same/somewhat better/better=0, somewhat worse/worse=1).

Total Frailty Index scores represent the sum of the deficit values divided by the total number of items (n=30). Scores range from 0 to 1, with higher scores indicating greater frailty.

#### Statistical methods

Continuous variables were summarized as mean and standard deviation (SD) and categorical variables as the number (percent). Box plots were constructed to display the distribution of Frailty Index scores by age decade and gender. One-way analysis of variance (ANOVA) was used to examine differences in mean Frailty Index scores between groups (i.e., age decades, gender). Post hoc comparisons were performed using Tukey's honestly significant difference (HSD) procedure. To enable comparisons with other studies, we report the prevalence of frailty using a Frailty Index cut point of 0.25 [24].

Simple linear regression was used to examine the relationship between the Frailty Index and age. We calculated the rate of accumulation of deficits per year (i.e., per 1-year increase in age) as the slope of the line of the logarithm of the Frailty Index versus age. A log transformation was selected to improve the symmetry of the distribution.

Unlike censoring, which merely obstructs the researcher from viewing the event (i.e., dropouts), a competing risk prevents the event of interest from occurring altogether, and the analysis should adjust accordingly to the competing factor. Thus, to examine the relationship between the Frailty Index and fractures over 10 years, we used a competing risk proportional sub-distribution hazards model where death was considered a competing risk [25]. This analysis determines probabilities where a participant is not only at risk of fracture but also from death. In the model, we adjusted for age, sex, body mass index (categorized as 20, 21–25, 26–30, 31–35, >35), education level, femoral neck T-score, and antiresorptive therapy. We conducted analyses for all participants combined and separately for men and women. Our analyses examined all clinical fractures combined (as above), hip and vertebral fractures. Hazard ratios (HR; p values) are expressed for each 0.10 increase in baseline Frailty Index score (approximately SD). In sensitivity analysis, we examined only participants over the age of 65 years. Statistical significance was set a priori at alpha=0.05. All statistical analyses were performed using IBM SPSS statistics v.20 and SAS v. 9.1.

## Results

Baseline characteristics of CaMos participants are displayed in Table 2. The mean Frailty Index score across the entire CaMos cohort was 0.13 (SD 0.11), ranging from 0 to 0.66, with a mean increase per year of age of 3.9 %.

#### Frailty and incident fractures

During a mean follow-up of 7.9 years (SD=3.1), 998 participants died before a fracture occurred and 1,224 (13.0 %) had a fracture. Adjusted for education level, body mass index, femoral neck T-score, age, and antiresorptive therapy, for every 0.10 increase in Frailty Index scores (approximately one SD), HRs were 1.25 (p<0.001) for all fractures, 1.18 (p=0.043) for hip fractures, and 1.30 (p<0.001) for vertebral fractures (Table 3). For example, consider two individuals with the same characteristics (age, sex, T-score, etc.) but different Frailty Index scores. Person A has a score of 0.1 and person B a score of 0.50; over 10 years, person B had a nearly 2.5-fold risk of any fracture as person A. The HRs for men showed a similar trend as for women; however, the HR for hip fractures was not statistically significant (Table 3).

**Sensitivity analysis**—When we restricted the cohort to participants 65 years and older, the magnitude of the estimates was very similar; however, the HR for hip fractures was no longer significant for women.

#### Frailty Index properties

Similar to other studies, the frequency distribution of the CaMos Frailty Index was rightskewed and well approximated by a gamma distribution. The maximal upper limit was 0.66. Across the entire baseline cohort (n=9423), the mean rate of deficit accumulation per year of age was 0.039. There was no significant interaction with gender when age was treated as a continuous variable.

**Burden of frailty in CaMos cohort**—As displayed in Fig. 3, after age 30, Frailty Index scores increased significantly with each subsequent decade, and women had higher frailty scores than men. Even though the Frailty Index is a continuous measure, to facilitate comparisons with other studies, we calculated the prevalence of frailty using a threshold value for frailty of 0.25. The prevalence of frailty was 14.7 % (17.3 % women, 8.9 % men) in the overall cohort, 22.8 % (25.6 % women, 15.3 % men) in participants age 65 and older, 8.9 % (10.3 % women, 5.4 % men) in participants ages 50 to <65, and 3.8 % (4.9 % women, 2.2 % men) in those under age 50.

## Discussion

In a large cohort of community-dwelling Canadians, we examined the age-sex distribution of frailty and evaluated whether it was a nontraditional, independent risk factor for fracture. Our results demonstrate that frailty, operationalized by a cumulative deficits Frailty Index, was associated with incident fractures, irrespective of age, and traditional risk factors (i.e., low bone mass). Over the 10-year study period, the risk of a hip fracture increased by 18 % and all fractures by 25 % for each 0.10 increase in baseline Frailty Index score. Note that the magnitude of the relationship between frailty and fractures was similar both when we included all participants (25 years and older) and just those adults 65 years and older. Although frailty is strongly related to advancing age, they are not synonymous [26]. Here, frail individuals could be identified across all age decades (Fig. 3), with frailty conferring a similar risk of fracture across the life span.

Our results suggest the importance of considering overall health status in predicting late onset illnesses, in addition to traditional risk factors. To date, few studies have considered how frailty modifies outcomes for individuals identified as high risk based on traditional risk factors for osteoporosis and fractures. Although further evidence is required, the substantial prevalence of frailty observed even in middle age (i.e., 8.9 % in ages 50 to <65) may in part be explained by the fractures occurring in this age group.

Previous studies that have established a link between frailty and fractures have utilized measures which categorize individuals into discrete categories (i.e., frail/pre-frail/nonfrail) [9–12, 14]. In cohorts which utilized the frailty phenotype [5, 9, 12, 14] or a simplified version [10, 11], individuals classified as frail versus nonfrail had greater risk of incident hip and/or nonvertebral fractures. In contrast, a study which utilized a nine-item measure similar to the frailty phenotype did not find a significant association between frailty/nonfrailty status and fractures [15]. One other study [13], the Beijing Longitudinal Study of Aging, examined the relationship between a cumulative deficits Frailty Index and fractures over 8 years; although a relationship was observed, the result was not significant. All of these cohorts only included older adults with the exception of one that included women age 55 years and older [12].

#### Strengths

A cumulative deficits Frailty Index correlates well with the frailty phenotype [5, 24]; although unique instruments, they may serve as complementary measures [27]. A shorter measure is an advantage of the frailty phenotype; however, flexibility and generalizability

may be reduced due to the highly specified items [28]. It may also be difficult to measure performance measures in patients with illness or severe physical limitations [29, 30], without special equipment (i.e., dynamometers; [27]), and these items are often not be available in population-based databases. The Frailty Index is highly generalizable, being derivable in any clinical or population-based dataset with a critical mass of relevant variables. Second, a Frailty Index does not require performance measures and can be applied to most population health databases. Third, a Frailty Index captures the multidimensional nature of the frailty construct and may offer an improvement in content validity [31]. Notably too, it can quantify the *degree of frailty* on a continuum rather than characterizing frailty as either an "all or none" construct. Statistically speaking, categorization assumes that the risk will not vary within categories and throws away valuable information which can reduce power [32].

Our study has some methodological strengths. The properties of the CaMos Frailty Index were comparable with other Frailty Indexes created in a wide range of datasets [2, 33], demonstrating external validity. CaMos is a randomly selected, longitudinal study across a wide age range and has detailed fracture information. Since we were interested in the relationship between frailty and fractures, our statistical modeling took death into account as a competing risk. Standard survival analyses (time-to-event) may produce biased estimates [34], as the assumption of these methods is that censored subjects (i.e., have not experienced the outcome but cannot be followed to the study endpoint) are considered "at risk" for the duration of the study, even if they died and cannot experience the event.

## Limitations

Several limitations should be acknowledged. Although the CaMos cohort is populationbased [20], it did not include individuals who were institutionalized or living in more remote or rural locations (i.e., >50 km from a study site), and it may have underrepresented economically marginalized groups (e.g., individuals without a telephone were not recruited). Furthermore, it is likely that the frailest individuals may have declined participation, given the effort required to participate in a study with multiple measurements. We only examined clinically recognized incident fractures, and thus, we were not able to include individuals with morphometric vertebral fractures. Missing values for Frailty Index deficits, or those coded as do not know/refused, were considered as no deficit present. This conservative approach likely attenuated any observed associations (i.e., bias toward the null); however, there was a low amount of missing data; only two variables had greater than 0.5 % missing data, and the majority were missing <0.1 %. This study may have been underpowered in relation to men, in whom, for example, we were unable to detect a relationship between frailty and hip fracture.

## Conclusion

The CaMos Frailty Index was a robust measure that predicted fracture outcomes in a community-dwelling cohort of Canadian men and women ages 25–103. The ability to quantify fracture risk along a frailty continuum offers added precision, and the Frailty Index would be a useful measure in future interventional studies. In addition to traditional risk factors for fracture, including poor bone strength and low bone mass, at any age, frailty

should be considered an important independent risk factor for fracture. This study joins others in suggesting that it is important to take into account overall health status, and not just traditional risk factors, in quantifying the risk of age-associated illnesses.

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Fig 1.

Ascertainment of predictor and outcomes variables

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Fig 2.

Framework for creating the CaMos Frailty Index





#### Table 1

## The CaMos Frailty Index

	Deficit	Deficit values/description
1	Osteoarthritis	No=0, Yes=1
2	Rheumatoid arthritis	No=0, Yes=1
3	Thyroid disease	No=0, Yes=1
4	Breast cancer	No=0, Yes=1
5	Uterine cancer/prostate cancer	No=0, Yes=1
6	Inflammatory bowel disease	No=0, Yes=1
7	Hypertension	No=0, Yes=1
8	Heart attack	No=0, Yes=1
9	Stroke	No=0, Yes=1
10	Neuromuscular disease <sup>a</sup>	No=0, Yes=1
11	Diabetes	No=0, Yes=1
12	Kidney disease	No=0, Yes=1
13	Phlebitis/thrombophlebitis	No=0, Yes=1
14	Vision	Six levels (0, 0.2, 0.4, 0.6, 0.8, 1); descriptive range: able to see well enough to read ordinary newsprint and recognize a friend on the other side of the street, without glasses or contact lenses=0 to unable to see at all=1
15	Hearing	Six levels $(0, 0.2, 0.4, 0.6, 0.8, 1)$ ; descriptive range: able to hear what is said in a group conversation with at least three other people, without a hearing aid=0 to unable to hear at all=1
16	Walking	Six levels $(0, 0.2, 0.4, 0.6, 0.8, 1)$ ; descriptive range: able to walk around the neighborhood without difficulty, and without walking equipment=0 to cannot walk at all=1
17	Dexterity	Six levels $(0, 0.2, 0.4, 0.6, 0.8, 1)$ ; descriptive range: full use of two hands and ten fingers=0 to limitations in use of hands or fingers to requires the help of another person for all tasks, not independent even with use of special tools=1
18	Cognition	Six levels $(0, 0.2, 0.4, 0.6, 0.8, 1)$ ; descriptive range: able to remember most things, think clearly and solve day to day problems=0 to unable to remember anything at all and unable to think or solve day to day problems=1
19	Pain	Five levels (0, 0.25, 0.5, 0.75, 1); descriptive range: free of pain and discomfort=0 to severe pain that prevents most activities=1
20	General health	Excellent=0; Very Good=0.25; Good=0.5; Fair=0.75; Poor=1
21	Change in general health (past 1 year)	Same/somewhat better/better=0; Somewhat worse/worse=1
22	Reduced daily work/other activities (last 4 weeks)	No=0, Yes=1
23	Interference with social activities due to physical/ emotional health (last 4 weeks)	Not at all/slightly=0; Moderately=0.5; Quite a bit/extremely=1
24	Limitation in moderate activities (e.g., moving table, vacuuming, golf, bowling)	Not limited=0; Limited a little=0.5; Limited a lot=1
25	Limitation in lifting/carrying groceries	Not limited=0; Limited a little=0.5; Limited a lot=1
26	Limitation in climbing a flight of stairs	Not limited=0; Limited a little=0.5; Limited a lot=1
27	Limitation in bending, kneeling, and stooping	Not limited=0; Limited a little=0.5; Limited a lot=1
28	Limitation in bathing/dressing	Not limited=0; Limited a little=0.5; Limited a lot=1
29	Energy	Have a lot energy all/most/a good bit=0; Some of the time=0.5; Have a lot energy a little/ none of the time=1

Deficit		Deficit values/description		
30	Feel tired	Feel tired a little/none of the time=0; Some of the time=0.5; Feel tired all/most/a good bit=1		

<sup>a</sup>Parkinson's/multiple sclerosis/other

#### Table 2

#### Baseline characteristics of the CaMos cohort

	All participants	Any incident fracture	Incident hip fracture	Incident vertebral fracture	
Sample size	9,423	1,224	192	191	
Age (years), mean (SD)	62.1 (13.4)	67.1 (11.8)	74.6 (8.4)	69.4 (10.8)	
Women [ <i>n</i> (%)]	6,539 (69.4)	973 (79.5)	148 (77.1)	158 (82.7)	
Attended university [n(%)]	2,620 (27.8)	334 (27.3)	45 (24.4)	50 (26.2)	
Taking antiresorptive therapy $[n(\%)]$	1,633 (17.3)	221 (18.1)	38 (19.8)	42 (22.0)	
Body mass index [mean (SD)]	27.0 (4.9)	26.7 (4.7)	25.3 (4.3)	26.8 (4.6)	
T-score Femoral neck [mean (SD)]	-1.08 (1.03)	-1.58 (0.98)	-2.12 (0.80)	-1.81 (0.93)	
Fallen in past week $[n(\%)]$	203 (2.2)	38 (3.1)	2 (1.0)	10 (5.2)	
Fallen in past month [n(%)]	621 (6.6)	105 (8.6)	4 (2.1)	19 (10.0)	
Frailty Index [mean (SD)]	0.13 (0.11)	0.17 (0.12)	0.20 (0.12)	0.19 (0.12)	

#### Table 3

Adjusted hazard ratios of all hip and vertebral fractures per 0.10 increase in Frailty Index scores

Characteristics	All participants (n=9,423)		Women ( <i>n</i> =6,539)		Men ( <i>n</i> =2,884)	
	Hazard ratio	p value	Hazard ratio	p value	Hazard ratio	p value
All fractures	1.25	< 0.001	1.22	< 0.001	1.29	< 0.001
Hip fracture	1.18	0.043	1.22	0.032	1.12	0.453
Vertebral fracture	1.30	< 0.001	1.27	< 0.001	1.38	0.021

Adjusted for education level, body mass index, femoral neck T-score, age, and antiresorptive therapy