## Activated protooncogenes in human lung tumors from smokers

(carcinogenesis/point mutation/polymorphism/metastasis)

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Communicated by George H. Hitchings, November 5, 1990

ABSTRACT Fourteen primary human lung tumor DNAs from smokers were analyzed for transforming activity by two DNA transfection assays. Activated protooncogenes were detected in 3 of 11 tumor DNAs by the NIH 3T3 focus assay, whereas activated protooncogenes were detected in 11 of 13 tumor DNAs by the NIH 3T3 cotransfection-nude mouse tumorigenicity assay. K- or NRAS genes activated by point mutation at codons 12 or 61 were detected in a large cell carcinoma, a squamous cell carcinoma, and 5 adenocarcinomas. An HRAS oncogene activated by a different mechanism was detected in an epidermoid carcinoma. One adenocarcinoma was found to contain an activated RAF gene. Two unidentified transforming genes were detected in a squamous cell carcinoma DNA and two adenocarcinoma DNAs. Eight of 10 lung adenocarcinomas that had formed metastases at the time of surgery were found to contain RAS oncogenes. No significant increase in metastasis was observed in the lung adenocarcinomas that contained one or more 6-kilobase EcoRI alleles of the  $LMYC$  gene. Overall, 12 of 14 (86%) of the lung tumor DNAs from smokers were found to contain activated protooncogenes. RAS oncogenes appear to play a role in the development of metastases in lung adenocarcinomas.

Epidemiological studies have indicated that  $\approx 85\%$  of all lung cancer deaths in the United States are associated with tobacco smoking  $(1, 2)$ . It is generally thought that an initial step in lung tumorigenesis in smokers involves the conversion of some of the 3800 chemicals in cigarette smoke (1, 3, 4) to electrophilic species that can react covalently with DNA to yield promutagenic DNA adducts. 32p postlabeling techniques have shown that cigarette smokers have higher DNA adduct levels than nonsmokers and many of the DNA adducts appear to be smoking specific (5, 6). A causal relationship between specific chemicals and lung cancer has not been established, but a linear relationship has been established between the number of cigarettes smoked and lung cancer risk (7).

Two classes of genes, protooncogenes and tumor suppressor genes, are highly conserved in nature and therefore appear to be involved in normal cellular processes such as growth, differentiation, and regulation of gene expression  $(8, 8)$ 9). Investigations in rodent models for chemical carcinogenesis imply that certain types of protooncogenes are activated by carcinogen treatment and that this activation process is an early event in tumor induction (10-13).

Lung tumors from smokers provide a unique opportunity to investigate protooncogene activation in a chemicalassociated human tumor type. Polymerase chain reaction (PCR) gene amplification followed by oligonucleotide mismatch hybridization or single-strand conformation polymorphism analysis have detected activated RAS genes in approximately one-third of primary adenocarcinomas of the lung and

at lower frequency in other forms of non-small-cell lung cancer (14-16). We have therefore used two general oncogene detection techniques, the NIH 3T3 focus assay and the NIH 3T3 cotransfection-nude mouse tumorigenicity assay, to analyze protooncogene activation in a series of primary human lung tumors from smokers. Our results provide insight into the mechanisms and frequency of protooncogene activation in chemical-associated human tumors.

## MATERIALS AND METHODS

Source of Human Lung Tumors. Human lung tumors were obtained from Beth Israel Hospital and Duke University Medical Center.

Transfection Assays. High molecular weight DNA was isolated from normal or tumor tissues and DNA transfection analysis for the NIH 3T3 focus assay was performed as described (17).

Nude Mouse Tumorigenicity Assays. The nude mouse tumorigenicity assay was performed as described (18).

Southern Blot Analysis. Southern blot analysis was performed as described (17). The DNA hybridization probes were as described in the figure legends.

DNA Amplification. Amplification reactions were carried out as described (19).

Direct DNA Sequencing of Amplified DNA. Amplified RAS exons were sequenced by a modification of the dideoxynucleotide method (20). The sequencing primers were endlabeled with  $[32P]ATP$  (Amersham;  $>5000$  Ci/mmol; 1 Ci = 37 GBq) by the method of Zarbl et al. (12).

Slot-Blot Oligonucleotide Hybridizations. Amplified DNA samples (500 ng) were mixed with 100  $\mu$ l of 0.4 M NaOH/25 mM EDTA and applied to Nytran nylon filters with <sup>a</sup> slot-blot apparatus. The filters were hybridized and washed according to the method of Verlaan-de Vries et al. (21).

## RESULTS

Transforming Activity of DNA from Human Lung Tumors. Three of <sup>11</sup> tumor DNAs exhibited transforming activity in the NIH 3T3 focus assay, whereas <sup>11</sup> of <sup>13</sup> tumor DNAs exhibited transforming activity in the NIH 3T3 cotransfection-nude mouse tumorigenicity assay (Table 1). The tumors that arose in nude mice appeared with a latency of 21-77 days after injection of NIH 3T3 cells that had been transfected with human lung tumor DNAs. Transfection of DNA from the T24 bladder carcinoma cell line, which contains a codon 12 activated HRAS oncogene, gave tumors at eight of eight injection sites with a latency of 21-35 days. Control transfections using NIH 3T3 or normal human lung DNA typically gave one tumor per eight injection sites, with a latency of 63-112 days.

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Abbreviation: PCR, polymerase chain reaction.

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NT, not tested.

\*Tumors/injection sites.

Identification of Transforming Genes in Foci and Nude Mouse Tumor DNAs. The foci and nude mouse tumor DNAs were initially analyzed for the presence of human Alu repetitive DNA sequences (data not shown). Those DNAs that were Alu positive were then screened by Southern blot analysis with DNA probes specific for H-, K-, and NRAS, RAF, MET, NEU, MYC, ABL, and ERBB. We determined that five activated KRAS genes (Fig. IA; Table 1), one activated HRAS gene (Fig. 1B; Table 1) and two activated  $NRAS$  genes (Fig. 1C; Table 1) were present in 8 of the 14 lung tumor DNAs analyzed.

Two primary nude mouse tumor DNAs derived from transfection of adenocarcinoma <sup>14</sup> DNA were found to contain human RAF genes (Table 1; Fig. 2, lanes <sup>e</sup> and h).

Retransfection of these two primary nude mouse tumor DNAs resulted in tumor formation at eight of eight injection sites with a tumor latency of 21-28 days. Southern blot analysis of those secondary nude mouse tumor DNAs revealed that the process of tumorigenicity segregated with the presence of human RAFgenes (lanes f, g, i, and j). A number of investigators have detected an artifactually activated RAF gene by DNA transfection techniques, but this type of activation typically occurs as a single event (22-24). The DNA restriction pattern of the two RAF containing primary nude mouse tumor DNAs (lanes <sup>e</sup> and h) demonstrates that these two tumors were the result of different transfection events and thus represent multiple detection of RAF from adenocarcinoma 14 DNA.



FIG. 1. Southern blot analysis of K-, H-, and NRAS sequences in NIH 3T3 foci and nude mouse tumor DNAs derived from transfection of human lung tumor DNAs. (A) Lanes: a, NIH 3T3 DNA; b, nude mouse liver DNA; c, human T24 cell line DNA; d, f, h, andj, primary nude mouse tumor DNAs from lung tumors 3, 31, 36, and 32, respectively; e, i, and k, focus DNAs from lung tumors 31, 32, and 1, respectively; g, cell line DNA from <sup>a</sup> primary nude mouse tumor DNA from lung tumor 36. The probe was the Kirsten murine sarcoma virus ras gene. DNAs were digested with EcoRI. (B) Lanes: a, NIH 3T3 DNA; b, nude mouse liver DNA; c, human T24 cell line DNA; d, e, g, and h, primary nude mouse tumor DNAs from lung tumors 3, 31, 36, and 32, respectively; f, cell line DNA from <sup>a</sup> primary nude mouse tumor DNA from lung tumor 36. The probes were the Harvey murine sarcoma virus ras gene and the human HRASI gene. The DNAs were digested with Sac I. (C) Lanes: a, NIH 3T3 DNA; b, nude mouse liver DNA; c, human T24 cell line DNA; d and e, primary nude mouse tumor DNAs from lung tumors <sup>46</sup> and 81, respectively. The probe was the first coding exon of the human NRAS gene. DNAs were digested with Taq I.

The primary nude mouse tumor DNAs that were Alu positive but did not hybridize to the previously mentioned DNA probes were subjected to <sup>a</sup> second round of DNA transfection in the nude mouse tumorigenicity assay. Five primary nude mouse tumor DNAs from adenocarcinoma 33, two primary nude mouse tumor DNAs from squamous cell carcinoma 40, and one primary nude mouse tumor DNAfrom adenocarcinoma 41 yielded secondary nude mouse tumors with a very high frequency and short latency relative to the normal control DNAs. All of the secondary nude mouse tumor DNAs were found to contain human Alu sequences. Selected secondary nude mouse tumor DNAs were passaged in the nude mouse tumorigenicity assay and also resulted in high tumor incidences and short latency (data not shown).

The third cycle nude mouse tumor DNAs were then analyzed for common Alu-containing bands by Southern blot analysis. After EcoRI and BamHI double digestion, two highly conserved Alu-containing bands are observed at 15.1 kilobases (kb) and <sup>4</sup> kb in DNAs induced by transfection of adenocarcinoma <sup>33</sup> DNA (Fig. 3, lanes a-e). Four highly conserved Alu-containing bands are observed at 5.6, 3, 2.6, and 2.4 kb in DNAs induced by squamous cell carcinoma 40 and adenocarcinoma <sup>41</sup> DNAs (lanes f-h). These data suggest that an unidentified transforming gene was repeatedly detected in adenocarcinoma <sup>33</sup> DNA and <sup>a</sup> second unidentified transforming gene was detected twice in squamous cell carcinoma <sup>40</sup> DNA and once in adenocarcinoma <sup>41</sup> DNA.

Mechanisms of Activation of RAS and RAF Oncogenes. PCR gene amplification followed by direct dideoxynucleotide DNA sequencing revealed all of the KRAS oncogenes in the foci or nude mouse tumor DNAs to be activated by a  $G \rightarrow T$ transversion at the first base of codon 12 (Fig. 4A; Table 2). The same activating  $G \rightarrow T$  transversions were detected in the original lung tumor DNAs (Fig. 4B). The NRAS genes detected in adenocarcinoma 46 and squamous cell carcinoma 81 were found to be activated by an  $A \rightarrow T$  transversion at the second base of codon 61 or a  $G \rightarrow A$  transition at the second base of codon 12 (Table 2), respectively.

The HRAS oncogene detected in epidermoid carcinoma <sup>3</sup> was found to contain only the normal DNA sequences in all four coding exons (data not shown). However, the nude





kb a b c d e <sup>f</sup> g h



FIG. 3. Southern blot analysis of conserved DNA fragments containing human Alu sequences in third cycle nude mouse tumor DNAs that contain unidentified transforming genes. Lanes: a-e, DNAs derived from transfection of lung tumor <sup>33</sup> DNA; <sup>f</sup> and g, DNAs derived from transfection of lung tumor <sup>40</sup> DNA; h, DNA derived from transfection of lung tumor <sup>41</sup> DNA. DNAs were digested with EcoRI and BamHI. The probe was human Alu.

mouse tumor DNA containing the lung tumor HRAS oncogene was highly efficient at inducing morphological transformation of NIH 3T3 cells in <sup>a</sup> number of DNA transfection experiments. Southern blot analysis of the human HRAS genes detected in these foci DNAs revealed a normal restriction pattern, indicating that there was no truncation of the  $-1$ exon (25). Furthermore, PCR amplification and direct dideoxynucleotide DNA sequence analysis of the splice sites for the IDX intron (26) in the transfected HRAS oncogenes showed only the normal DNA sequence at those sites (data not shown).

These foci cell lines produce p21 proteins with normal electrophoretic mobilities when analyzed by SDS/PAGE (27), further suggesting that there are no altered or mutated



FIG. 4. Identification of a codon <sup>12</sup> KRAS mutation by direct sequencing of PCR-amplified DNA. (A) Primary nude mouse tumor DNA derived from transfection of lung tumor <sup>32</sup> DNA. (B) Human lung tumor 32 DNA. Antisense strand sequences are shown on the left and mutations are noted by nucleotides marked with an asterisk. Note that both the mutated and normal allele are observed in the original lung tumor DNA.

Table 2. Oncogene activation and metastasis in adenocarcinoma of the lung

Adenocarcinoma	Oncogene*	<b>Mutation</b>	<b>TNM<sup>†</sup></b>
1	<b>KRAS</b>	<b>TGT</b>	$T_3N_0M_0$
14	RAF		$T_3N_1M_0$
18	<b>KRAS</b>	<b>TGT</b>	$T_2N_1M_0$
31	<b>KRAS</b>	<b>TGT</b>	$T_2N_2M_1$
$32 - B^{\ddagger}$	KRAS	<b>TGT</b>	$T_3N_0M_0$
$32-D‡$	KRAS	<b>GTT</b>	$T_2N_1M_0$
35	<b>KRAS</b>	<b>TGT</b>	$T_1N_0M_0$
36	KRAS	<b>TGT</b>	$T_4N_2M_0$
43	KRAS	<b>TGT</b>	$T_4N_2M_1$
44	KRAS	<b>GCT</b>	$T_3N_2M_1$
46	<b>NRAS</b>	<b>CTA</b>	$T_3N_0M_1$
64	<b>KRAS</b>	<b>TGT</b>	$T_1N_0M_0$
72	KRAS	<b>TGT</b>	$T_2N_0M_0$
95	KRAS	GCT	$T_2N_1M_0$

\*Data obtained from nude mouse tumorigenicity and focus assays (Table 1) and from analysis of an additional 19 adenocarcinomas analyzed for KRAS mutations as described in text.

tTNM, tumor size, regional lymph node metastasis, and metastasis to other organs, respectively.

tTumor samples from the Beth Israel Hospital (B) and Duke University Medical Center (D).

forms of HRAS protein produced by the transfected RAS oncogenes (data not shown).

RAF oncogenes detected in transfection assays have frequently been found to be activated by truncation of the <sup>5</sup>' portion of the gene and subsequent fusion of the remaining <sup>3</sup>' portion of the gene to other cellular sequences. These truncations and fusions result in the expression of aberrant-sized mRNA transcripts for the activated RAF genes (22). We therefore analyzed RAF mRNA expression in NIH 3T3 cell lines containing the RAF oncogenes detected in adenocarcinoma <sup>14</sup> DNA. A normal 3.5-kb RAF transcript was detected in the human T24 bladder carcinoma and CaLu-1 lung carcinoma cell lines, whereas an abundantly expressed 3.1-kb RAF transcript was detected in NIH 3T3 cell lines carrying either of the two transfected RAF oncogenes. The normal 3.3-kb mouse RAF transcript was detected in NIH 3T3 cells and in all of the RAF transformed NIH 3T3 cell lines (data not shown). These data suggest that the mechanism of activation of RAF in adenocarcinoma <sup>14</sup> involves truncation or deletion of <sup>a</sup> portion of the RAF gene.

RAS Activation and Metastasis. A number of transfection studies have demonstrated that activated oncogenes such as RAS or RAF are capable of conveying metastatic properties to recipient cell lines (28, 29). We therefore analyzed <sup>a</sup> total of <sup>28</sup> lung adenocarcinomas for KRAS gene activation to determine a possible involvement in the metastatic process. This tumor sample consisted of the 9 adenocarcinomas analyzed in the DNA transfection analysis (Table 1) and an additional 19 untested adenocarcinomas.

The additional 19 adenocarcinomas were analyzed for KRAS activation by PCR gene amplification of exon <sup>1</sup> followed by mismatch hybridization to 19-mer oligonucleotide probes containing all possible activating mutations at

Table 3. LMYC polymorphism and metastasis in adenocarcinoma of the lung

	LMYC allele			
	10 kb/10 kb	$10 \text{ kb}/6 \text{ kb}$	$6 \text{ kb}/6 \text{ kb}$	
<b>Total cases</b>		11	9	
$N(+)$				
$M(+)$	0			

 $N(+)$ , regional lymph node metastasis at time of surgery.  $M(+)$ , metastasis to other organs at time of surgery.

codon 12. A combination of the data from the oligonucleotide mismatch hybridization analysis and the transfection analysis indicated that 13 of 28 (46%) of the adenocarcinomas contained activated RAS genes (Table 2). Ten of 28 of the adenocarcinomas had metastasized to regional lymph nodes or other organs at the time of surgery. Eight of 10 of the metastatic adenocarcinomas were found to contain an activated RAS gene and <sup>1</sup> of the <sup>2</sup> remaining metastatic adenocarcinomas contained an activated RAF gene (Table 2).

LMYC Polymorphism and Metastasis. Kawashima et al. (30) have reported a striking correlation between the presence of a 6-kb EcoRI allele of the LMYC gene and the speed and extent of metastasis at the time of surgery for Japanese lung cancer patients.

The <sup>28</sup> adenocarcinoma DNAs that were tested for RAS gene activation were analyzed by EcoRI digestion and Southern blot analysis to determine the distribution of 10- and 6-kb LMYC alleles in those tumors (Table 3). We observed <sup>a</sup> 1.4-fold increase in regional lymph node metastasis in the adenocarcinomas that contained one or two copies of the 6-kb allele of LMYC relative to adenocarcinomas that contained only the 10-kb allele of LMYC (Table 3).

## DISCUSSION

In this report we examined a series of primary human lung tumors from smokers for the presence of oncogenes by two DNA transfection techniques. The NIH 3T3 focus assay detected oncogenes in 3 of 11 tumors analyzed, whereas the NIH 3T3 cotransfection-nude mouse tumorigenicity assay detected oncogenes in 11 of 13 tumors analyzed. Overall, 12 of 14 (86%) of the primary human lung tumors from smokers were found to contain oncogenes (Table 1). With the exception of pancreatic tumors (31, 32), this represents the highest incidence of protooncogene activation reported for tumors of human origin. It is notable that pancreatic and lung cancer, the two human tumor types that exhibit the highest incidences of oncogene activation, have tobacco smoking as a common risk factor (1). The high incidences of oncogene activation in these two tumor types are comparable to those observed in rodent model carcinogenesis systems (10-13) and suggest that protooncogenes are targets for carcinogens in humans as well as in rodents.

Of the tumor types tested, adenocarcinomas contained the highest incidence of RAS gene activation (46%) (Tables 1 and 2), an incidence slightly higher than but similar to that reported by Rodenhuis et al. (14, 15) and Suzuki et al. (16). The mutation profile of the activated RAS genes detected in this (Table 2) and other studies (14-16) reveals that  $G \rightarrow T$ transversions are the most frequently detected mutations in adenocarcinomas and large cell carcinomas of the lung. The fact that  $G \rightarrow T$  transversions are also the most frequently detected mutations in activated RAS genes in benzo[a]pyrene-induced mouse lung tumors (33) suggests that mutagens in cigarette smoke that give rise to aromatic or bulky hydrophobic DNA adducts might be responsible for activation of the majority of RAS genes in human large cell carcinomas and adenocarcinomas of the lung. In fact, several reports have demonstrated the existence of large numbers of bulky hydrophobic DNA adducts in the lung tissue of smokers and that these adducts persist for up to several years after the cessation of smoking (5, 6).

Activated RAS genes were also detected in other human lung tumor types, notably epidermoid and squamous cell carcinoma (Table 1). These results are in agreement with those reported by Suzuki et al., in which a low level (2 of 13) of squamous cell carcinomas of the lung were found to contain activated HRAS genes (16). The absence of detectable alterations in the transfected HRAS oncogene from epidermoid carcinoma 3 suggests overexpression of the nor-

mal RAS p21 protein as <sup>a</sup> mechanism of activation. The possibility of HRAS gene activation by overexpression in squamous cell tumors is strengthened by the report of Kurzrock et al. (34), who have reported that 9 of <sup>11</sup> squamous cell tumors of the lung overexpress RAS p21 protein by 4- to 10-fold relative to adjacent normal tissue, whereas only <sup>1</sup> of <sup>9</sup> lung adenocarcinomas overexpressed RAS p21 protein. The <sup>5</sup>' flanking region of the human HRAS gene contains <sup>a</sup> number of Spl transcription factor binding sites (35) as well as two sets of positive and negative elements that affect the efficiency of HRAS oncogene transformation (36). There are seven GC runs within this <sup>5</sup>' flanking region, several of which are within the Spl transcription factor binding sites. These GC runs have been shown to be preferred sites of alkylation in vitro by guanine alkylating agents (37). This suggests that protooncogenes such as RAS and MYC, which have extensive GC runs in their promoter regions (37), could be activated by mutations that would affect expression of the normal gene product as well as by mutations that would induce alterations in the coding sequence of the gene. Further molecular analysis of the activated HRAS oncogene will be necessary to determine its actual mechanism of activation.

Activated RAF genes have been reproducibly detected only in radioresistant, noncancerous skin fibroblasts from a cancerprone family (38) and in a radiation-resistant human laryngeal cancer cell line (39), indicating that RAF is activated at <sup>a</sup> low but detectable incidence in human tissues. The frequency with which activated RAF was detected, 1 of 14 lung tumors  $(7\%)$ , would imply that RAF gene activation is a rare event in lung tumors as well as in other human tumor types.

Three of 14 tumors were found to contain unidentified transforming genes (Table 1; Fig. 3). One the basis of the limited sample size, our data indicate that  $\approx$  20% of human lung tumors contain transforming genes unrelated to  $H<sub>-</sub>$ ,  $K<sub>-</sub>$ , or NRAS, RAF, MET, MYC, NEU, ABL, or the epidermal growth factor receptor. The mechanism and extent of activation of these genes can be more accurately assessed after molecular cloning and identification of the transforming genes.

The metastatic spread of cancer cells from a primary tumor to other sites in the host is a major cause of death in cancer patients. Our finding that 8 of 10 metastatic adenocarcinomas contained an activated RAS gene indicates that RAS gene activation may be tightly linked to the process of metastasis (Table 2). However, the fact that an additional five adenocarcinomas contained activated RAS but were not metastatic suggests that activated RAS is not in itself sufficient for the induction of metastasis (Table 2).

The 1.4-fold increase we observed in regional lymph node metastasis in adenocarcinomas that contained one or more copies of the 6-kb allele of  $LMYC$  (Table 3) was significantly smaller than the 3.4-fold increase reported by Kawashima et al. (30). Our data most closely resemble that of Tefre et al. (40), who found no significant association between the presence of the 6-kb EcoRI allele of LMYC and increased risk of metastasis in Norwegian lung cancer patients. A number of the patients included in our study had advanced disease at the time of surgery. Therefore, the discrepancy between our results and those of Kawashima et al. (30) could be explained in part if the LMYC polymorphism is related to the speed rather than the extent of metastasis.

The high incidence of activated oncogenes in lung tumors (86%) from smokers indicates that oncogene activation is an integral step in the development of certain types of human tumors, especially in those types related to chemical exposure. While it was not possible to determine the stage of tumor development at which protooncogene activation occurred in these human lung tumors, there did appear to be a good correlation between the presence of RAS oncogenes and metastatic progression in the lung adenocarcinomas. The

similarity and incidence of oncogene activation in our study and in chemically induced rodent lung carcinogenesis studies (33) suggest that rodent models for carcinogenesis have applicability to human tumorigenesis.

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