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A randomized, placebo-controlled pilot trial of the delta opioid receptor agonist AZD2327 in anxious depression

Erica M. Richards¹, Daniel C. Mathews^{1,3}, David A. Luckenbaugh¹, Dawn F. Ionescu^{1,4}, Rodrigo Machado-Vieira¹, Mark J. Niciu¹, Wallace C. Duncan¹, Neal M. Nolan¹, Jose A. Franco-Chaves^{1,5}, Thomas Hudzik^{2,6}, Carla Maciag^{2,7}, Shuang Li², Alan Cross², Mark A. Smith², and Carlos A. Zarate Jr.¹

Erica M. Richards: erica.richards@nih.gov

¹Experimental Therapeutics and Pathophysiology Branch, Department of Health and Human Services, National Institute of Mental Health, National Institutes of Health, 10 Center Drive CRC, Room 7-5545, Bethesda, MD 20892, USA

²AstraZeneca Neuroscience Innovative Medicines, Cambridge, MA, USA

Abstract

Rationale—Patients with anxious major depressive disorder (AMDD) have more severe symptoms and poorer treatment response than patients with non-AMDD. Increasing evidence implicates the endogenous opioid system in the pathophysiology of depression. AZD2327 is a selective delta opioid receptor (DOR) agonist with anxiolytic and antidepressant activity in animal models.

Objective—This double-blind, parallel group design, placebo-controlled pilot study evaluated the safety and efficacy of AZD2327 in a preclinical model and in patients with AMDD.

Methods—We initially tested the effects of AZD2327 in an animal model of AMDD. Subsequently, 22 subjects with AMDD were randomized to receive AZD2327 (3 mg BID) or placebo for 4 weeks. Primary outcome measures included the Hamilton Depression Rating Scale (HAM-D) and the Hamilton Anxiety Rating Scale (HAM-A). We also evaluated neurobiological

Correspondence to: Erica M. Richards, erica.richards@nih.gov.

³Present address: Lundbeck LLC, Chicago, IL, USA

⁴Present address: Massachusetts General Hospital, Boston, MA, USA

⁵Present address: Veteran Affairs Caribbean Healthcare System, San Juan, Puerto Rico

⁶Present address: AbbVie, Chicago, IL, USA

⁷Present address: Sage Therapeutics, Cambridge, MA, USA

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Electronic supplementary material

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Compliance with ethical standards

The study was approved by the Combined Neuroscience Institutional Review Board (IRB) at the NIH. All subjects provided written informed consent before entry into the study and were assigned a Clinical Research Advocate from the NIMH Human Subjects Protection Unit to monitor the consent process and research participation throughout the study.

Conflict of interest

The authors declare that they have no competing interests.

markers implicated in mood and anxiety disorders, including vascular endothelial growth factor (VEGF) and electroencephalogram (EEG).

Results—Seven (54 %) patients responded to active drug and three (33 %) responded to placebo. No significant main drug effect was found on either the HAM-D ($p = 0.39$) or the HAM-A ($p = 0.15$), but the HAM-A had a larger effect size. Levels of AZ12311418, a major metabolite of AZD2327, were higher in patients with an anti-anxiety response to treatment compared to nonresponders ($p = 0.03$). AZD2327 treatment decreased VEGF levels ($p = 0.02$). There was a trend ($p < 0.06$) for those with an anti-anxiety response to have higher EEG gamma power than nonresponders.

Conclusion—These results suggest that AZD2327 has larger potential anxiolytic than antidepressant efficacy. Additional research with DOR agonists should be considered.

Keywords

Anxiety; Anxiousdepression; Anxiolytic; Major depressive disorder; Opiate; BDNF; EEG; Preclinical; Biomarkers; AZD2327

Introduction

Despite the array of antidepressants on the market, many patients continue to struggle with major depressive disorder (MDD). Comorbid anxiety disorders and/or co-occurring syndromal anxiety symptoms contribute to reduced remission rates in MDD (Ionescu et al. 2014); indeed, studies have reported rates of comorbid anxiety between 40–53 % in outpatients with MDD (Fava et al. 2000; Sanderson et al. 1990). Patients with anxious depression (AMDD)—defined as MDD with high levels of anxiety symptoms—often have poorer treatment outcomes, greater chronicity, and significantly lower antidepressant response and remission rates than those with non-AMDD (Andreescu et al. 2007; Domschke et al. 2010; Farabaugh et al. 2012; Fava et al. 2008; Greenlee et al. 2010; Ionescu et al. 2013; Ionescu et al. 2014), underscoring the urgent need to better identify patient characteristics and develop improved therapeutics for this population.

Although opioids have traditionally been associated with analgesia, increasing evidence links the endogenous opioid system to the development of MDD and to its treatment. There are three well-defined classes of opioid receptors—mu, kappa, and delta—each of which has been implicated, to varying degrees, in the pathophysiology of depression (Lutz and Kieffer 2013). In preclinical models, antidepressant treatment increased mu-opioid receptor (MOR) immunoreactivity in brain regions related to pain and affective state (de Gandarias et al. 1999; Ortega-Alvaro et al. 2004). Increased MOR density was also found in the brains of suicide victims (Escriba et al. 2004; Gross-Isseroff et al. 1990). Kappa opioid receptor (KOR) antagonists were found to have antidepressant- and anti-anxiety like effects in preclinical models (Knoll et al. 2011; Reindl et al. 2008).

The delta opioid receptor (DOR) has also been implicated in circuitry related to mood and anxiety (Jutkiewicz 2006; Kennedy et al. 2006). Receptor localization studies have shown that DORs reside in areas of the brain implicated in mood regulation (Blackburn et al. 1988; Cahill et al. 2001; Goodman et al. 1980; Mansour et al. 1993; Quirion et al. 1983). For

example, localization of the DOR in the amygdala is consistent with modulating fear and anxiety states (Knoll et al. 2011), whereas localization in the cortex and hippocampus is consistent with potential antidepressant action (Torregrossa et al. 2004). In addition, DOR agonist compounds have shown antidepressant properties comparable to those of prototypic antidepressants in several preclinical models (Broom et al. 2002; Naidu et al. 2007; Saitoh et al. 2004; Tejedor-Real et al. 1998; Torregrossa et al. 2006). DOR knockout mice also demonstrated increased levels of anxiety and depressive-like behaviors, while KOR and MOR knockout mice did not (Filliol et al. 2000), suggesting that DORs are a comparatively more interesting target for studies focusing on the treatment of AMDD.

Although the mechanistic underpinnings of the antidepressant and anxiolytic profiles of DOR agonists are not well understood, earlier studies found that they do not affect basal norepinephrine, dopamine, or serotonin levels (Smagin et al. 2008). These observations suggest a different mechanism than most currently marketed antidepressants. For example, the neurotrophic hypothesis of depression posits that alterations in neuroplasticity involved in emotional and cognitive processing contribute to the development of MDD (Duman 2004). In addition, both brain-derived neurotrophic factor (BDNF) and vascular endothelial growth factor (VEGF) are widely referred to as potential biomarkers of pathophysiology and treatment response in mood disorders. Specifically, serum BDNF levels have generally been found to be decreased in patients with MDD compared to healthy controls (Bocchio-Chiavetto et al. 2010), and results are mixed regarding differences in VEGF levels (Elfving et al. 2014; Ventriglia et al. 2009). Notably, DOR agonists have been shown to increase levels of BDNF (Torregrossa et al. 2005; Torregrossa et al. 2004), which is involved in neuroplasticity and in the putative mechanism of action of current antidepressant drugs (Duman 2004). However, the effects of DOR agonists on other biomarkers linked to the mechanism of antidepressant response, including VEGF, remain unknown. Monitoring changes in these neurotrophic factors may help elucidate biomarkers of AMDD and predict which patients are most likely to respond to specific treatments.

AZD2327 is a highly potent and selective DOR agonist. It binds with sub-nanomolar affinity to the human opioid receptor and is highly selective over the MOR and KOR subtypes (Hudzik et al. 2011). AZD2327 readily crosses the blood-brain barrier, and demonstrated anxiolytic and antidepressant activities (comparable to diazepam and imipramine, respectively) in rodent models of anxiety and depression (Hudzik et al. 2011). The anxiolytic activity of AZD2327 was fully reversible by pretreatment with a selective DOR antagonist (naltrindole), further confirming relevance to this target mechanism. Compared to other opioid agonist subtypes, DOR agonists produce minimal effects on respiratory and gastrointestinal systems and have lower abuse potential (Hudzik et al. 2014; Porreca et al. 1984). Although DOR agonists may be associated with a higher risk of producing convulsions than agonists at the other receptor subtypes (Filliol et al. 2000), and AZD2327 has produced seizures in animals, preclinical and phase I studies suggest that keeping plasma levels below 15 ng/ml (corresponding to oral doses of AZD2327 <10 mg) minimizes these events (Hudzik et al. 2011; Hudzik et al. 2014).

The preclinical signals for efficacy and the acceptable safety profile observed in phase I studies (AstraZeneca, unpublished data on file) suggest that AZD2327 may be a novel and

effective therapy for anxiety and depression. Because AMDD has not been adequately modeled preclinically, this study initially tested the effects of AZD2327 in a novel animal model of AMDD. We subsequently performed a 4-week pilot clinical study in subjects with AMDD to evaluate the antidepressant and anxiolytic efficacy, safety profile, and pharmacokinetic and pharmacodynamic effects of AZD2327 compared to placebo. We postulated that directly targeting the DOR with an agonist would bring about antidepressant and anxiolytic effects in patients with AMDD. We also evaluated the aforementioned neurobiological markers that have been implicated in mood and anxiety disorders, including VEGF and BDNF, in relationship to drug effects and response.

Materials and methods

Preclinical studies

Animal experiments were carried out in accordance with the National Institutes of Health (NIH) *Guide for the Care and Use of Laboratory Animals*. Detailed methods of the novel technique to evaluate preclinical models of anxiety can be found in the Online Resources. Briefly, pregnant female Sprague Dawley (SD) rats (Charles River Laboratories, Wilmington, MA) were single-housed in standard rat cages. They were assigned to either the control group or the prenatal stress (PNS) group in which the dams were exposed to a novel, variable stress paradigm. Behavioral measures, including the elevated plus maze (EPM) and forced swim test, were assessed in adult offspring after postnatal day 70 to determine the anxiolytic and antidepressant-like effects of AZD2327 (3.0 mg/kg orally for 7 days). In addition, BDNF levels were analyzed from samples of prefrontal cortex, hippocampus, and plasma of SD rats treated with AZD2327 (see Online Resources for detailed methods).

Human participants

Subjects were recruited from local inpatient psychiatric units, the Internet, and local and national physician referrals. Eligible participants were male and female, 18 to 65 years old, with a diagnosis of MDD, currently depressed without psychotic features as diagnosed by the Structured Clinical Interview for Axis I *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition* Disorders (DSM-IV)-Patient Version (SCID-P) (First et al. 2001). The original protocol called for randomizing 80 patients into the study; however, the trial was terminated for strategic reasons and only 22 subjects completed the study. The National Institute of Mental Health (NIMH) was the only site to participate, and 13 subjects (59 %) received active drug (Fig. 1).

Participants were required to be currently experiencing a major depressive episode lasting at least 8 weeks and less than 24 months. This cutoff was chosen to delineate patients experiencing an acute major depressive episode vs. those with more chronic symptoms, given that outcomes may differ between these two populations (Rush et al. 2012). In addition to a diagnosis of MDD, participants were required to have a 17-item Hamilton Depression Rating Scale (HAM-D) total score ≥ 20 , a Hamilton Anxiety Rating Scale (HAM-A) total score ≥ 16 , and a Clinical Global Impression–Severity (CGI-S) score ≥ 4 at both screening and randomization (an interval of at least 2 weeks). Women had to be either of non-childbearing potential or using a highly effective form of birth control as well as

double barrier method contraception. All subjects were studied at the NIMH Clinical Research Center in Bethesda, Maryland from November 2008 to October 2011.

Subjects were in good physical health as determined by medical history, physical exam with vital signs, blood screening labs, baseline electrocardiogram (ECG), electroencephalogram (EEG), urinalysis, and toxicology. They were free from comorbid substance abuse for at least 6 months and judged clinically not to be at serious risk for suicide prior to enrollment. Comorbid Axis II disorders were excluded if they had a major impact on the subject's current psychiatric status. Subjects with any history of seizure, a family history of epilepsy, or an EEG with evidence of epileptiform activity on initial baseline screening or after medication washout were also excluded. Additional exclusion criteria included any serious unstable medical disorder or condition, treatment with electroconvulsive therapy (ECT) within the past 3 months, or treatment with psychotropic medications 2 weeks before randomization (28 days for fluoxetine).

The study was approved by the Combined Neuroscience Institutional Review Board (IRB) at the NIH. All subjects provided written informed consent before entry into the study and were assigned a Clinical Research Advocate from the NIMH Human Subjects Protection Unit to monitor the consent process and research participation throughout the study.

Study design

This was a single center, double-blind, randomized, parallel group design, placebo-controlled phase II pilot study to assess the clinical effects and safety of AZD2327 for use as mono-therapy treatment of AMDD. The dose selected for the study was based on preclinical findings and greatest tolerability during phase I studies. AZD2327 appeared to be safe and well tolerated up to a daily oral dose of 15 mg; however, because syncope ($n = 1$, 15 mg) and a brief (<30 s) convulsion ($n = 1$, 25 mg) had been noted in earlier trials (AstraZeneca, data on file), we elected to study a maximum dose of 6 mg/day. The total duration of participation was up to 12 weeks (including an initial screening period). All primary and secondary analyses were evaluated during the 4-week treatment period. In order to ensure that approximately equal numbers of men and women were represented in both treatment conditions, a stratified randomization of participants by sex was employed. After meeting eligibility criteria and obtaining consent, subjects on any prior medications were tapered off and then remained medication-free for at least 14 days before randomization. Subjects remained inpatients until all study procedures were completed on day 4, as this time frame was thought to be associated with the greatest risk of serious adverse events and allowed for careful monitoring with regard to cardiovascular safety (blood pressure monitoring and ECG), risk for seizures (EEG), and other laboratory safety tests.

On day 1 of the treatment period, participants were randomized in a 2:1 ratio to receive either a 3 mg dose of AZD2327 or identically appearing placebo capsules based on the randomization schedule. Starting on day 2, the dose of AZD2327 or placebo was then increased to twice a day for a total of 4 weeks. Subjects were discontinued from the study if they developed significant side effects or could not tolerate the dose of 6 mg/day.

Outcome measures

The HAM-D and HAM-A were the primary outcome measures. Secondary outcome measures included the HAM-D item 10 score (psychic anxiety), analysis with the HAM-D anxiety/somatization (A/S) factor scores for subgroup effects, and the Clinical Global Impression–Improvement scale (CGI-I). The A/S factor, derived from Cleary and Guy’s factor analysis of the HAM-D scale, includes six items: psychic anxiety, somatic anxiety, gastrointestinal somatic symptoms, general somatic symptoms, hypochondriasis, and insight (Cleary and Guy 1977).

Pharmacokinetic, pharmacodynamic, and safety evaluation—Pharmacokinetic samples to determine plasma levels of AZD2327 and AZ12311418, one of its major metabolites, were collected before the first dose on day 1 of treatment, and at 1, 3, 7, and 12 h following administration of the first dose. Pharmacokinetic samples were also collected before the dose given on day 7 and at each subsequent outpatient visit. Given the potential adverse events of AZD2327 observed in phase I studies, additional precautions for convulsions and syncope were implemented (see Online Resources).

EEG, BDNF, and VEGF—EEG and serum samples for BDNF and VEGF analysis were collected as putative biomarkers of drug effects and response. While EEGs were primarily collected for safety reasons (as noted above), they were also used to measure gamma (30–50Hz) power vs. control band (2–30 Hz) power for pharmacodynamic effects. VEGF and BDNF samples were collected using the vacutainer system before initial administration of AZD2327 and at days 1, 4, 7, 14, 21, and 28 post-administration. Anti-VEGF and anti-BDNF sandwich ELISA was performed blind to clinical information.

Statistical analysis

The primary aim of the study was to assess the efficacy of 28 days of AZD2327 compared with placebo in improving overall depressive symptomatology in participants with AMDD. Although HAM-D and HAM-A scores served as the primary outcome measures, other individual items from the HAM-D and HAM-A were examined in an exploratory analysis to understand the specific effects of the drug. Comparing drugs, change from baseline in the intent-to-treat sample was examined using repeated measures ANCOVA where post-treatment ratings were examined every week for 4 weeks and the baseline rating was a covariate. Missing data were handled with the last observation carried forward. Cohen’s *d* was calculated to estimate the effect size for drug effects.

Additional secondary analyses included a logistic regression that compared the proportion of responders on each drug. Response was defined as a 50% reduction in HAM-D and HAM-A scores and a CGI-I score of 1 or 2 at week 4. Subgroup analyses with the HAM-D A/S factor evaluated subjects with a factor score ≥ 7 . Exploratory analyses also examined changes in responders vs. nonresponders based on HAM-D and HAM-A criteria. The significance criterion was set at 0.05, two-tailed. IBM SPSS Statistics version 21 was used to conduct the analyses.

A linear mixed model with restricted maximum likelihood estimation and a compound symmetry covariance structure was used to examine EEG, VEGF, BDNF, and drug levels over time with drug and time as fixed factors. VEGF and BDNF values were transformed using a base 10 log prior to analysis.

Results

Preclinical studies

PNS rats exhibited an anxious phenotype as evidenced by a markedly reduced amount of time spent in the open arms of the EPM compared to non-PNS animals (Fig. 2). At 1 mg/kg and 3 mg/kg doses, AZD2327 increased latency to immobility in PNS rats to levels similar to animals treated with imipramine (Fig. 1a). Pretreatment with a single dose of AZD2327 (3 mg/kg ip) 30 min prior to testing increased the percentage of time spent in the open arms of the EPM (Fig. 1b). BDNF expression was significantly elevated in the hippocampus of animals treated with AZD2327 but not in the frontal cortex (Online Resource Figure S1). Plasma BDNF levels in animals treated with AZD2327 remained unchanged compared to PNS controls (Online Resource Figure S1).

Clinical study

Demographic characteristics for the participants appear in Table 1. Completion rates did not differ between groups: 85 % (11/13) for active drug and 77 % (7/9) for placebo ($\chi^2 = 0.17$, $df = 1$, $p = 0.68$). Two patients receiving active drug left the study. The first patient dropped out on day 1 because of dizziness, orthostatic hypotension, and emesis. The second patient dropped out on day 28 after expressing concern over the transition to standard therapy following the study. Two participants receiving placebo left the study after 2 weeks due to worsening depression, and one also had worsening anxiety.

Efficacy—For all primary and secondary efficacy measures, no significant drug effects were found. Repeated measures ANCOVA found no significant drug effect as assessed by the HAM-D ($F = 0.77$, $df = 1, 19$, $p = 0.39$; $d = 0.40$; Fig. 3a), the HAM-A ($F = 2.30$, $df = 1, 19$, $p = 0.15$; $d = 0.70$; Fig. 3b), the psychic anxiety item of the HAM-D ($F = 2.32$, $df = 1, 19$, $p = 0.14$; $d = 0.70$; Fig. 3c), or the CGI-I ($F = 1.63$, $df = 1, 18$, $p = 0.22$; $d = 0.60$). However, effect sizes for AZD2327 on the HAM-A were higher than on the HAM-D ($d = 0.7$ vs. 0.4).

Repeated measures ANCOVA similarly found no interaction between drug and time for the HAM-D ($F = 0.51$, $df = 3, 57$, $p = 0.68$; Fig. 3a), the HAM-A ($F = 0.32$, $df = 3, 57$, $p = 0.81$; Fig. 3b), the psychic anxiety item of the HAM-D ($F = 0.35$, $df = 3, 57$, $p = 0.79$; Fig. 3c), or the CGI-I ($F = 0.68$, $df = 3, 54$, $p = 0.57$).

An examination of the endpoint (day 28) as the sole time point with baseline as the covariate also showed no significant drug difference on the HAM-D ($F = 0.36$, $df = 1, 19$, $p = 0.56$; $d = 0.28$), the HAM-A ($F = 1.78$, $df = 1, 19$, $p = 0.20$; $d = 0.61$), the psychic anxiety item of the HAM-D ($F = 2.25$, $df = 1, 19$, $p = 0.15$; $d = 0.69$), or the CGI-I ($F = 0.79$, $df = 1, 18$, $p = 0.39$; $d = 0.42$).

For all four measures, using length of illness as a covariate also did not alter the significance of the drug effect or its interaction with time. Participants receiving AZD2327 did not have significantly lower scores than the placebo group. A linear mixed model with drug and time as factors, baseline as a covariate, and restricted maximum likelihood estimation instead of last observation carried forward showed similar results. Finally, a logistic regression showed no difference in response rates at endpoint (day 28). For the HAM-D, five (39 %) participants responded to active drug and four (44 %) responded to placebo ($\chi^2 = 0.08$, $p = 0.78$; OR = 0.78). For the HAM-A, seven (54 %) participants responded to active drug and three (33 %) to placebo ($\chi^2 = 0.89$, $p = 0.35$; OR = 2.33).

Exploratory analyses with the remaining HAM-D items as well as the anxiety subscale from Cleary and Guy similarly found no significant changes. However, for the HAM-A, participants on active drug had significantly lower scores for autonomic symptoms ($F = 5.07$, $df = 1,19$, $p = 0.04$; $d = 1.03$). This finding did not remain significant after correction for multiple comparisons.

AZD2327 plasma levels—Analyses were performed separately for AZD2327 and AZ12311418, one of its major metabolites (see Online Resources for additional analyses). HAM-D responders did not have significantly higher levels of either AZ12311418 or AZD2327 than nonresponders (response: $F = 3.36$, $df = 1,11$, $p = 0.09$, $d = 1.09$; response by time: $F = 1.38$, $df = 8,71$, $p = 0.22$). However, responders as assessed by the HAM-A had significantly higher AZ12311418 levels than nonresponders (response: $F = 5.99$, $df = 1,11$, $p = 0.03$, $d = 1.49$; response by time: $F = 1.42$, $df = 8,71$, $p = 0.20$) (Fig. 4). The difference between responders and nonresponders began at day 7 and persisted through the end of the study.

VEGF and BDNF plasma levels—VEGF levels were significantly higher in participants who received placebo compared to those who received AZD2327 ($F = 6.23$, $df = 1,19$, $p = 0.02$; Fig. 5). Elevated VEGF levels were noted at all time points (no drug by time effect was noted). BDNF levels did not significantly differ between participants receiving AZD2327 and those receiving placebo ($F = 0.68$, $df = 1,19$, $p = 0.42$).

EEG analysis—Participants receiving AZD2327 had significantly larger gamma EEG power ($F = 6.34$, $df = 1,28$, $p = 0.02$) than those receiving placebo, both at day 1 post-drug and at day 28. However, in control band (2–30Hz), EEG power did not significantly differ from placebo at either time point. While no significant difference in gamma EEG power was observed between HAM-D or HAM-A responders and nonresponders, there was a trend ($p < 0.06$) for HAM-A responders to have higher EEG gamma power than HAM-A nonresponders (Online Resource Figure S2).

Correlations for all participants between day 1 serum AZ12311418 levels and gamma power, both elevated in HAM-A responders, were not significant.

Adverse events—Adverse events were recorded daily for the first week then weekly for the following 3 weeks (see Table 2). One patient dropped out of study after experiencing orthostatic hypotension followed by nausea and vomiting. These reactions were thought to

be secondary to receiving active drug (see Online Resources for further details). No seizures occurred in either group, and no significant changes were noted in ECG, EEG, or laboratory values. The most commonly observed adverse events were headache (69 % active vs. 67 % placebo, $p = 0.90$), dry mouth (69 % active vs. 44 % placebo, $p = 0.25$), and weight gain (62 % active vs. 22 % placebo, $p = 0.07$). Difficulty falling asleep occurred significantly more often on active drug than placebo (54 vs. 11 %, $p = 0.04$). Eye irritation occurred significantly less often on active drug than placebo (15 vs. 56 %, $p = 0.047$). The frequency of other treatment-related side effects did not differ significantly between the active drug and placebo groups.

Discussion

This study investigated the effects of AZD2327, a DOR agonist, in rodent models of anxiety and depression and in participants with AMDD. The preclinical component of this study found that AZD2327 had anxiolytic-like properties in PNS rodents. Specifically, AZD2327 increased the percentage of open-arm entries and time spent in open arms in the EPM. These anxiolytic-like properties are similar to those previously demonstrated in other preclinical models (Hudzik et al. 2011). In addition, a single dose of AZD2327 in rodents significantly increased BDNF expression in the hippocampus, but not in the prefrontal cortex or in plasma.

Despite these promising preclinical results, this first published report of a DOR agonist evaluated in a proof-of-concept, double-blind, randomized, parallel group design, placebo-controlled study in the treatment of AMDD observed no statistically significant differences between drug and placebo groups on change in rating scale scores for either anxiety or depression. Nevertheless, we did find a number of interesting observations that warrant further investigation in a clinical setting.

The effect sizes for AZD2327 on the HAM-A were higher than on the HAM-D ($d = 0.7$ vs. 0.4), suggesting that AZD2327 may have more of an anxiolytic than antidepressant profile. This observation further supports the findings of earlier preclinical studies (Hudzik et al. 2011; Hudzik et al. 2014). Although the present findings did not reach clinical significance, a larger sample size might have detected a significant difference with this outcome measure. Similarly, increasing the dose of AZD2327 may also have resulted in a greater effect; however, since earlier studies observed significant adverse event profiles with doses as low as 15 mg (C_{\max} concentrations <15 ng/ml), participants should be monitored closely at higher doses in future studies.

In the present study, we also found that VEGF levels were significantly higher in participants who received placebo compared to AZD2327. Other studies have similarly observed elevated baseline levels of VEGF in mRNA and plasma from depressed individuals compared to controls (Iga et al. 2007; Kahl et al. 2009). It has been hypothesized that elevated VEGF levels in depression could be a compensatory mechanism to promote neuroprotection (Lee and Kim 2012). However, additional studies focusing on VEGF are warranted since results from prior studies have been variable; increases, decreases, and no change in VEGF concentrations have all been linked to depression and to potential

antidepressant response (Clark-Raymond and Halaris 2013; Fornaro et al. 2013). Both our clinical and preclinical studies found no significant changes in plasma BDNF associated with active drug.

As no positron emission tomography (PET) ligands are available to measure drug occupancy at the DOR, EEGs were used as surrogate markers of CNS involvement. The 6 mg dose used in this study suggested likely CNS pharmacodynamics as evidenced by the fact that those participants receiving AZD2327 had significantly larger frontal gamma EEG power than those receiving placebo, both at treatment days 1 and 28.

Changes in EEG gamma band power have previously been thought to suggest enhanced cognitive processes in other neuropsychiatric disorders (Herrmann and Demiralp 2005) and were also found to be markers of clinical response in individuals with treatment-resistant MDD receiving the N-methyl-D-aspartate (NMDA) antagonist ketamine (Cornwell et al. 2012). The results of the present study may therefore support reported findings of complex interactions between DORs and the NMDA systems that include nociception, signal transduction, and dopamine efflux (Baker et al. 2002; Bosse et al. 2014; Cai et al. 1997; Fusa et al. 2005) and that may ultimately affect mood response.

Anxiety responders (as assessed by the HAM-A) had significantly higher levels of AZ12311418 (an active metabolite of AZD2327) than nonresponders; the difference appeared at day 7 and lasted through the end of the study. This finding has interesting implications with regard to individual patient metabolism or the potential off-site target action of AZ12311418. While no significant effects were observed on any of the 142 secondary targets (G-protein coupled receptors (GPCRs), ion channels, nuclear hormone receptors, and enzymes) for AZD2327, investigating the possible off-site target action of AZ12311418 may be valuable in future research. It should be noted that the elimination $t_{1/2\lambda_z}$ for AZ12311418 was longer than for the parent compound. This is particularly important because, in addition to being pharmacologically active, metabolites of some drugs can be a source of new drug candidates (Lin and Lu 1997). Because metabolites are subject to phase II conjugation reactions, they can also have better safety profiles.

Other areas to explore include AZD2327's potential role as an augmentation agent in AMDD. Medication augmentation after failure with primary antidepressants has shown clear benefit in outpatient populations (Trivedi et al. 2006). Furthermore, current treatments are often associated with CNS side effects, risk of addiction (eg, benzodiazepines), or slow onset of action (as with selective serotonin reuptake inhibitors (SSRIs)). These observations are compounded by the overall lower rates of antidepressant response and remission seen in AMDD and worse response to other treatment strategies, such as cognitive behavioral therapy (Domschke et al. 2010; Farabaugh et al. 2012; Fava et al. 2008). Thus, the development of new treatments for individuals with AMDD is vital, particularly given the likelihood of increased melancholic features, suicidal ideation, suicidal attempts, and added medical comorbidities (Fava et al. 2008). Lastly, AZD2327 may be beneficial as an adjunctive treatment in other disorders, such as generalized anxiety disorder (GAD), social anxiety disorder (SAD), and post-traumatic stress disorder (PTSD), particularly given their low remission rates (Taylor et al. 2012).

It should also be noted that although the DOR was targeted in this study, it may be useful to target two or more different opioid receptors at the same time, as modeled by other medications used in combination for clinical trials; one example would be combining buprenorphine (a moderate partial MOR agonist and KOR antagonist) and samidorphan (a selective MOR antagonist). This combination was found to improve mood in patients with treatment-resistant MDD while minimizing risk, including abuse liability (Alkermes 2015). Similar combinations that target the DOR may prove useful in treating AMDD.

This study has several limitations. The main limitation is the small sample size, given that only one site participated in the trial. Although this was originally proposed as a multi-site trial, the NIMH was the only site that participated because the study was terminated early by the company for strategic reasons. Consequently, only 22 patients (out of a projected 80) were recruited for the study. Therefore, while we were able to identify the signal of an effect, and to explore both safety and target engagement, the study was underpowered and thus unable to detect small to moderate differences in efficacy between active drug and placebo. The study was also limited to 4 weeks, and potentially significant antidepressant/anxiolytic effects might have separated from placebo given more time (i.e., >6 weeks), as is commonly observed with traditional antidepressants (National Institute for Clinical Excellence (NICE) 2004). Nevertheless, the study was also associated with several study design strengths that minimized confounding factors. Foremost among these was that AZD2327 was relatively well-tolerated, with higher completion rates than placebo. Common side effects were similar to those experienced with current antidepressants, and no epileptiform activity or seizures were observed in subjects, despite concerns in preclinical models.

As previously noted, novel, effective treatments for AMDD are urgently needed. Overall, these preliminary results suggest that AZD2327 may have greater potential anxiolytic vs. antidepressant signals; HAM-A responders had increased concentrations of the active metabolite AZ12311418. Future studies with this compound or its metabolites may be warranted on the basis of the signals noted earlier, particularly those exploring efficacy and tolerability with longer study duration or in combination with current antidepressants. In addition, enriching patient subgroups with more AMDD features in future trials with similar agents may contribute to more robust treatment effects. In conclusion, the potential utility of DOR agonist compounds as novel treatments of AMDD and/or primary anxiety disorders warrants further exploration.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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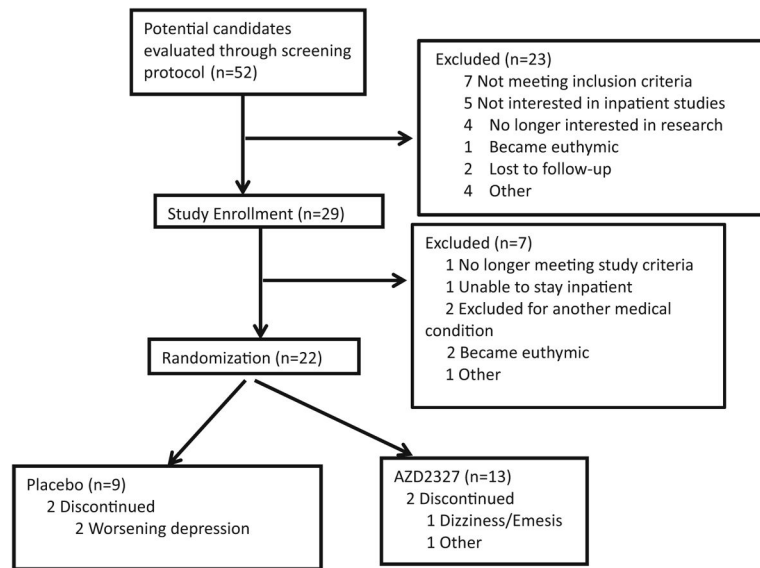


Fig. 1.
Consort diagram for overall study design and total number of subjects screened

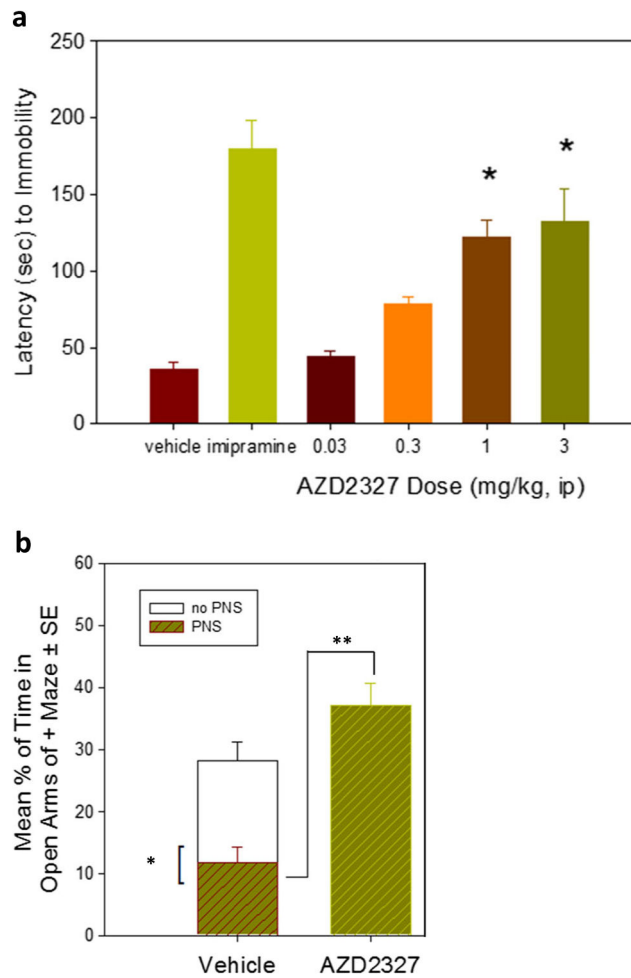


Fig. 2. Preclinical behavioral analysis of prenatally stressed (PNS) rats treated with AZD2327. **a** PNS rats treated with AZD2327 demonstrated increased latency to immobility at 1 and 3 mg/kg doses. This increase was similar to that seen when PNS rats were treated with imipramine (15 mg/kg). **b** PNS rats exhibited a phenotype consistent with anxiety as indicated by decreased time spent in the open arms of the elevated plus maze (EPM). This decrease was reversed when PNS rats were treated with AZD2327 (3 mg/kg) 30 min before testing $t(49) = 6/120$, $p < 0.0001$

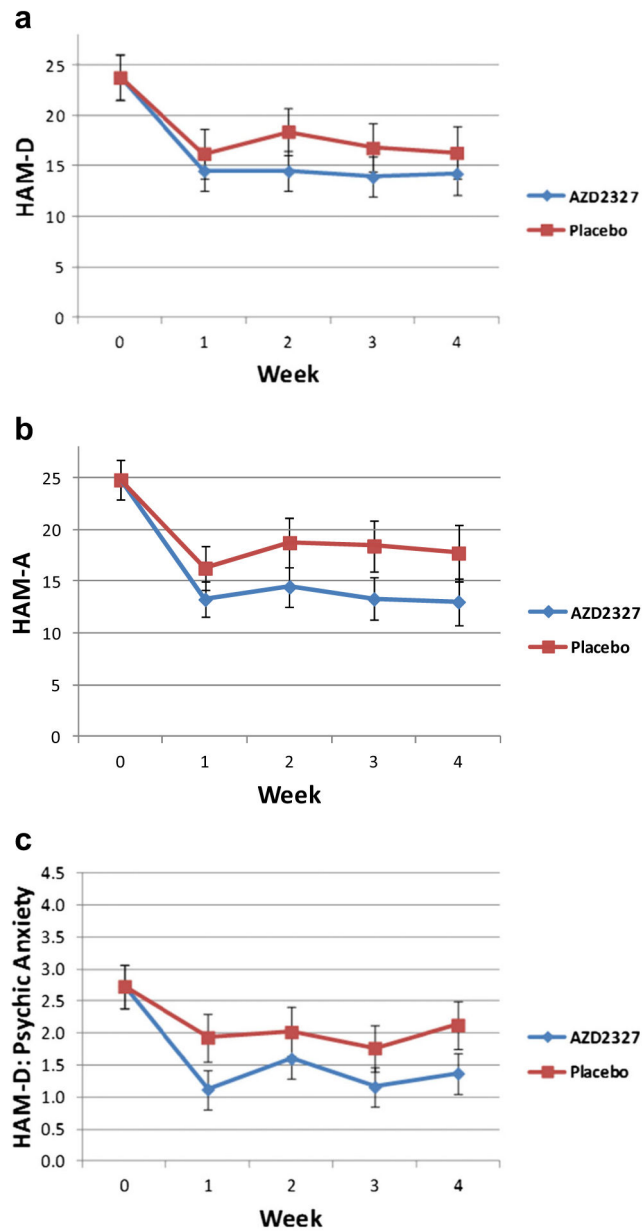


Fig. 3. No significant differences were noted in several mood and anxiety rating scales over 4 weeks of treatment with AZD2327. **a** Hamilton Depression Rating Scale (HAM-D), **b** Hamilton Anxiety Rating Scale (HAM-A), and **c** HAM-D psychic anxiety item all showed no significant differences in treatment with active drug (6 mg/day) vs. placebo ($N=22$)

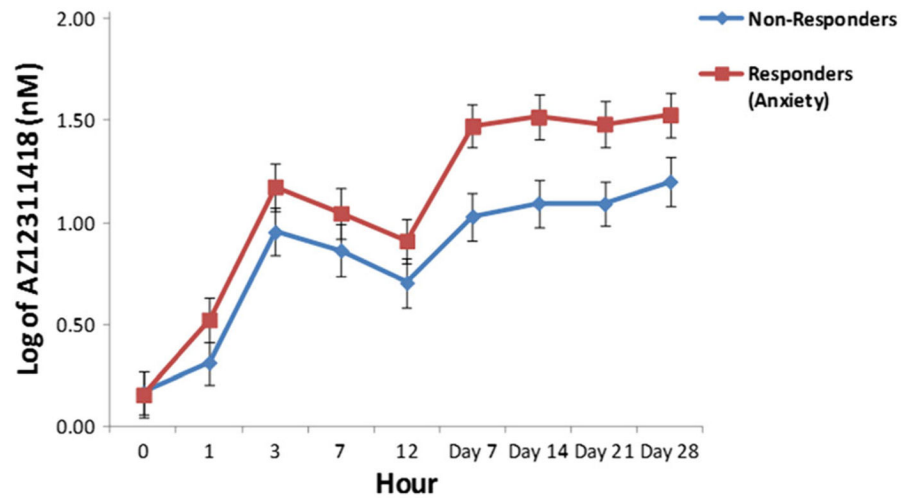


Fig. 4. Log transformed AZ12311418 (major metabolite) levels by anxiety response over 4 weeks. Responders had significantly higher levels of AZ12311418 than nonresponders ($F = 5.99$, $df = 1, 11$, $p = 0.03$, $d = 1.49$). No response by time interaction was observed ($F = 1.42$, $df = 8, 71$, $p = 0.20$)

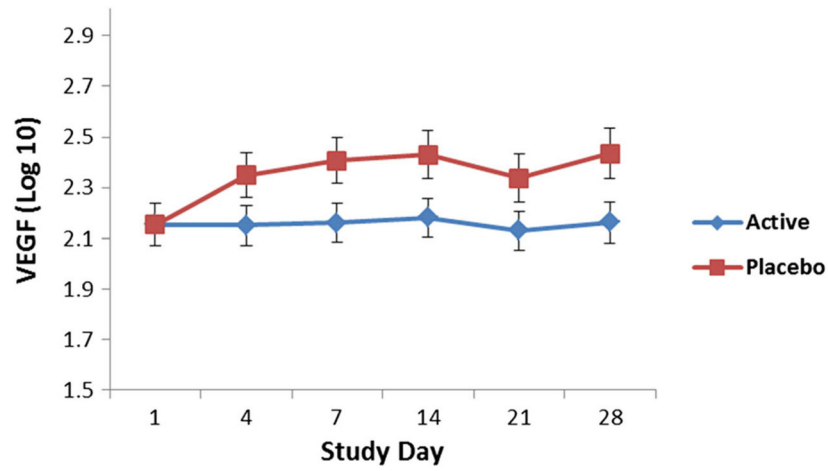


Fig. 5. Vascular endothelial growth factor (VEGF) levels were significantly lower across all time points in patients who received AZD2327. Compared to placebo ($p = 0.02$), no time by drug effect was observed

Table 1Demographics and clinical characteristics of the patient sample ($n = 22$)

	Placebo		AZD2327		
	<i>N</i>	%	<i>N</i>	%	<i>p</i> value
Gender (male)	5	56	7	54	0.94
Education (college graduate)	6	67	7	54	0.55
Family history of mood disorder	6	67	9	69	0.90
Race (Caucasian)	7	78	6	46	0.14
Ethnicity (Hispanic)	2	22	7	54	0.14
	Mean	SD	Mean	SD	<i>p</i> value
Age (years)	48.7	11.3	40.0	12.2	0.11
Age of Onset (Years)	17.3	7.0	25.5	12.2	0.09
Length of illness (years)	29.1	11.4	13.3	8.6	0.001
Length of current episode (months)	10.2	8.4	9.8	8.1	0.91
BMI	28.2	4.3	28.6	4.2	0.83
Weight (kg)	82.3	20.6	81.3	17.5	0.90
HAM-D (17 item)	23.0	2.6	24.3	2.3	0.23
HAM-A	24.7	3.1	24.9	4.8	0.91
CGI	4.7	0.7	4.6	0.5	0.76

BMI body mass index, *CGI* Clinical Global Impression rating scale, *HAM-A* Hamilton Anxiety Rating Scale, *HAM-D* Hamilton Depression Rating Scale

Table 2

Side effects for patients receiving placebo and AZD2327

System	Placebo		AZD2327		χ^2	p value	
	n	%	n	%			
Cardiovascular ^a	Hypertension	0	0	2	15	1.52	0.22
	Hypotension	2	22	2	15	0.17	0.68
	Tachycardia	1	11	2	15	0.08	0.77
Chest	Breast pain/swelling	0	0	1	8	0.73	0.39
	Chest pain	1	11	6	46	3.01	0.08
	Coughing	0	0	2	15	1.52	0.22
	Shortness of breath	1	11	3	23	0.51	0.47
	Wheezing	0	0	3	23	2.40	0.12
Ear	Ear ache	1	11	2	15	0.08	0.77
	Tinnitus	2	22	5	38	0.65	0.42
Eye	Blurred vision	1	11	1	8	0.08	0.78
	Eye irritation	5	56	2	15	3.96	0.047
Gastrointestinal	Appetite decrease	4	44	5	38	0.08	0.78
	Appetite increase	2	22	5	38	0.65	0.42
	Constipation	4	44	6	46	0.01	0.94
	Diarrhea	2	22	3	23	0.00	0.96
	Flatulence	2	22	5	38	0.65	0.42
	Increased thirst	1	11	6	46	3.01	0.08
	Increased urination	0	0	4	31	3.38	0.07
	Nausea	2	22	5	38	0.65	0.42
	Stomach or abdominal discomfort	2	22	6	46	1.32	0.25
	Stool discoloration	0	0	1	8	0.73	0.39
Genitourinary	Taste abnormality	1	11	4	31	1.17	0.28
	Vomiting	1	11	1	8	0.08	0.78
	Weight gain	2	22	8	62	3.32	0.07
	Weight loss	4	44	7	54	0.19	0.66
	Cramps	0	0	2	33	1.67	0.20

System	Placebo		AZD2327		χ^2	p value
	n	%	n	%		
Decreased libido	0	0	3	23	2.40	0.12
Genital discomfort	1	11	1	8	0.08	0.78
Menstrual irregularity	1	25	0	0	1.67	0.20
Painful urination	1	11	0	0	1.51	0.22
Pre-menstrual tension	0	0	2	33	1.67	0.20
Dizziness/faintness	3	33	7	54	0.90	0.34
Headache	6	67	9	69	0.02	0.90
Dental problems	2	22	0	0	3.18	0.07
Dry mouth	4	44	9	69	1.35	0.25
Gum problems	1	11	1	8	0.08	0.78
Hypersalivation	1	11	1	8	0.08	0.78
Mouth ulcer	2	22	2	15	0.17	0.68
Sore tongue	0	0	0	0	0.00	1.00
Akathisia	1	11	0	0	1.51	0.22
Edema	1	11	1	8	0.08	0.78
Muscle rigidity	1	11	3	23	0.51	0.47
Muscle/bone/joint pain	3	33	5	38	0.06	0.81
Tic movements	0	0	1	8	0.73	0.39
Tremor	0	0	3	23	2.40	0.12
Difficulty swallowing	0	0	3	23	2.40	0.12
Flu/upper respiratory	1	11	4	31	1.17	0.28
Nasal congestion	4	44	5	38	0.08	0.78
Sore throat	2	22	4	31	0.20	0.66
Anxiety	0	0	0	0	0.00	1.00
Psychological/behavioral						
Difficulty concentrating	1	11	2	15	0.08	0.77
Depression	1	11	2	15	0.08	0.77
Difficulty falling asleep	1	11	7	54	4.20	0.040
Drowsiness/sedation	3	33	7	54	0.90	0.34
Early morning awakening	2	22	6	46	1.32	0.25
Interrupted sleep	3	33	5	38	0.06	0.81

System	Placebo		AZD2327		χ^2	p value
	n	%	n	%		
Irritability	3	33	6	46	0.36	0.55
Memory problems	2	22	3	23	0.00	0.96
Motor activity: decreased	0	0	3	23	2.40	0.12
Motor activity: increased	2	22	5	38	0.65	0.42
Slurred speech	0	0	1	8	0.73	0.39
Suicidal ideas	1	11	2	15	0.08	0.77
Tiredness/fatigue	2	22	4	31	0.20	0.66
Dermatological irritation	3	33	4	31	0.02	0.90
Hair problems	0	0	0	0	0.00	1.00
Sweating	1	11	0	0	1.51	0.22
Accidental injury	1	11	1	8	0.08	0.78
Fever	1	11	2	15	0.08	0.77

^a A single patient receiving AZD2327 had elevated systolic (160, normal range 90–150) and diastolic blood pressure (98, normal range 55–85). On placebo, one patient had low systolic (86) and another had low diastolic (46) blood pressure. In addition, one patient on AZD2327 had a low pulse (50, normal range 60–120)