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## Nucleic acid sensing and innate immunity: signaling pathways controlling viral pathogenesis and autoimmunity

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### Abstract

Innate immunity refers to the body's initial response to curb infection upon exposure to invading organisms. While the detection of pathogen-associated molecules is an ancient form of host defense, if dysfunctional, autoimmune disease may result. The innate immune response during pathogenic infection is initiated through the activation of receptors recognizing conserved molecular patterns, such as nucleic acids from a virus' genome or replicative cycle. Additionally, the host's own nucleic acids are capable of activating an immune response. Therefore, it follows that the nucleic acid-sensing pathways must be tightly controlled to avoid an autoimmune response from recognition of self, yet still be unimpeded to respond to viral infections. In this review, we will describe the nucleic acid sensing pathways and how they respond to virus infection. Moreover, we will discuss autoimmune diseases that develop when these pathways fail to signal properly and identify knowledge gaps that are prime for interrogation.

### Keywords

autoimmunity; interferon; RIG-I; MDA5; STING; cGAS

### Introduction

The innate immune response is the first line of defense to microbial infection, and it is initiated through the activation of receptors recognizing molecules that are signature of pathogenic infection. However, when the innate immune response is misregulated, autoimmunity can result. While innate immune pathways are found as far back as early branching metazoans, these signaling pathways have evolved extensively and become

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#### Conflict of Interest

Laura Ahlers and Alan Goodman declare that they have no conflict of interest.

#### Compliance with Ethics Guidelines

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increasingly complex in higher organisms. The innate immune response provides the first line of defense against pathogens by responding to foreign molecules within the cell that are a signature of pathogenic infection, such as cytosolic DNA or double-stranded RNA, which are by-products of bacterial and viral infections. Specifically, the innate immune response is initiated through the activation of pattern recognition receptors (PRRs) that recognize conserved pathogen motifs called pathogen-associated molecular patterns (PAMPs) [1,2]. Intracellular mammalian PRRs consist of the Toll-like receptor (TLR), nucleotide-binding oligomerization domain (NOD)-like receptor (NLR), the family of RIG-I-like receptors (RLR), and the cytosolic DNA receptors, among other families of PRRs [1,3,4]. The activation of these PRRs with their respective PAMPs results in the recruitment of a number of intermediate adaptor molecules, such as IFN regulatory factor 3 (IRF3), and IRF7, which results in the nuclear translocation of NF $\kappa$ B, [5]. This cascade culminates with the induction of IRF-responsive genes, including IFN $\beta$ , a cytokine produced during the early stages of infection that binds to its receptor and induces IFN-stimulated gene (ISG) expression through the activation of the JAK-STAT pathway [6–8]. IFN $\beta$  is also able to activate NF $\kappa$ B, thus amplifying the IFN response via a positive feedback loop due to increased NF $\kappa$ B activity leading to increased IFN $\beta$  and pro-inflammatory cytokine induction [9,10]. Pro-inflammatory cytokines are important for the recruitment of specialized immune cells to the site of infection to curb pathogen levels. However, if pro-inflammatory cytokines are activated in the absence of infection, autoimmune disease may occur. As such, one can imagine that while the innate immune response is important to control pathogenic infection, if the response is left unchecked, detrimental autoimmunity, characterized by high levels of inflammation in the absence of infection, may result.

Innate immune signaling must be finely tuned so that it can provide a sufficient response against pathogenic infection, yet not be constitutively or hyperactive in such a way that autoinflammatory or autoimmune disease results [11]. More than 20 million Americans suffer from forms of autoimmune disease, such as rheumatoid and juvenile arthritis, Crohn's disease (CD), systemic lupus erythematosus (SLE), Acardi Goutières Syndrome (AGS), and Sjogren's Syndrome. Moreover, autoimmune diseases can affect children. Approximately one in every thousand children is affected by juvenile arthritis, an autoimmune disease that causes persistent joint pain, swelling, and stiffness. Other autoimmune diseases occurring in children include celiac disease, type 1 diabetes (T1D), SLE, and scleroderma. Autoimmune diseases that occur in childhood often have significant, life-long health consequences (reviewed in [12]). While the exact etiology of many of these diseases still remains unknown, it is reasonable that chronic microbial infection or the presence of nucleic acids in the cytosol, such as DNA or RNA from apoptotic or necrotic cells, results in prolonged activation of the innate immune response, potentially causing inflammation-mediated autoimmune disease [13]. Understanding how innate immune pathways function may explain the mechanisms for autoimmune disease and lead to therapies to treat the disorders.

In this review, we will delineate the major nucleic acid sensing pathways in mammals, with a focus on the RLRs and cytosolic DNA sensing pathways. Upon describing these pathways, we will discuss how defects in the pathways manifests into disease. Specifically, we will describe autoimmune diseases that result from mutations in innate immune response genes as well as how the protein products from these genes are essential for responses to virus

infections. We will conclude by discussing therapeutic measures that are being developed to target these pathways to protect against viral infection and autoimmunity as well as animal models that can be used to interrogate these pathways.

## The RNA Sensing Pathways

RNA viruses and cytosolic dsRNA are recognized by the RIG-I-like Receptors (RLRs) [14]. There are three RLRs, which are involved in the innate immune response: Retinoic acid-inducible gene I (RIG-I) [3], Melanoma differentiation-associated gene 5 (MDA5) [15], and Laboratory of genetics and physiology 2 (LGP2) [16]. RIG-I and MDA5 have been demonstrated to sense viral RNA and signal for an innate immune response, and LGP2 is likely a component of negative feedback for IFN $\beta$  induction [3,17]. RIG-I and MDA5 both contain a DExD/H-box helicase domain that recognizes foreign RNA and two caspase-recruitment domains (CARDs) to interact with mitochondrial antiviral signaling (MAVS) [18]. Upon activation, RIG-I and MDA5 signal to the adaptor protein MAVS, which leads to the IRF3 and NF $\kappa$ B activation and induction of the cytokine IFN $\beta$  [19] (Fig. 1).

RIG-I and MDA5 both recognize non-self RNA and have varying functions in RNA sensing. RIG-I can recognize the 5'-triphosphate of single-stranded RNA [20,21]. RIG-I recognizes shorter double-stranded RNA sequences, and MDA5 recognizes longer double-stranded RNA sequences [14]. This length-dependence makes these two cytosolic sensors complementary to each other for viral nucleic acid sensing in the same pathway. These differences in RNA recognition allow each sensor to detect different types of viruses. For example, MDA5 senses picornaviruses and RIG-I senses many paramyxoviruses [20]. However, there is some overlap in viral recognition, as both sensors can respond to Dengue virus, for example [22].

Dysfunction in RLR pathways can lead to immune deficiencies and increased susceptibility to viruses such as Hepatitis C virus, West Nile virus (WNV), influenza virus, and Dengue virus (DENV). The adaptor MAVS is required to initiate an immune response to both WNV and DENV [23,24], indicating the importance of the RLRs that detect viral RNA and initiate an interferon response via MAVS. Interestingly, mutations in *RFC1*, the gene which codes for a subunit to activate DNA polymerase, are associated with patient susceptibility to neuroinvasive West Nile virus infection [25].

## RNA sensing dysfunction and autoimmunity

RIG-I and MDA5 are both implicated in autoimmune disease. Specifically, MDA5 has been linked to Type I diabetes (T1D). T1D can be triggered in an individual by a combination of viral infection in pancreatic beta cells and genetic predisposition [26]. For example, enterovirus and coxsackie virus infections localize to  $\beta$ -cells [27], and neutralizing antibodies to these infections have been found in T1D patients [28]. Additionally, cohort studies have indicated an association between enterovirus infection and T1D [29]. A Genome Wide Association Study identified that certain single-nucleotide polymorphisms (SNPs) cause higher expression of the gene that codes for MDA5, *IFIH1* (Interferon induced with helicase domain I), leading to an increase in risk for T1D [30]. Additionally, a missense

mutation in the *IFIH1* gene allowing MDA5 to be constitutively active in a mouse model induces lupus-like nephritis and autoimmunity, indicating a link between RNA sensing and autoimmunity [31]. The downstream adaptor MAVS is critical for the development of autoimmunity via MDA5, as mice carrying a mutation in MDA5 leading to hyperactivity rescues the autoimmune phenotype [31]. Moreover, additional components of the innate immune response activated by MDA5 may be implicated in T1D. Santin et al. hypothesize that inhibition of Ubiquitin-specific peptidase 18 (USP18) leads to an increase in interferon due to an increased MDA5 expression to signal through MAVS. The increase in interferon results in an increase in STAT signaling, leading to inflammation and apoptosis in pancreatic  $\beta$ -cells [32]. This study proposes USP18 as a “master regulator” of the interferon response. More recently, it was shown that mutations in the RNA-editing enzyme ADAR1 were associated with the autoimmune disease Aicardi-Goutières syndrome (AGS) [33]. Pestal et al. clarified the mechanism of this pathology by showing that ADAR1 is necessary to prevent AGS through its inhibition of MDA5-mediated MAVS activation [34]. Together, these studies show how MDA5 dsRNA sensing must be tightly regulated in order for normal innate immune signaling and to avoid inflammatory autoimmune disease.

While MDA5 hyperactivity leads to autoimmunity, reduced MDA5 expression has been correlated with resistance to T1D. Mice heterozygous for MDA5 are protected from T1D when infected with coxsackievirus serotype B4 (CB4) [35]. However, the tradeoff for this protection to T1D from MDA5-deficiency leaves organisms susceptible to encephalomyocarditis virus strain D (EMCV-D). McCartney et al. determined that MDA5 and TLR3 are both required to prevent T1D in EMCV-D-infected mice [36]. This requirement for median levels of MDA5 illustrates the immune system’s balancing act between immune deficiency and autoimmunity: high levels of active MDA5 can lead to autoimmunity and T1D, but a dearth of MDA5 leads to susceptibility to viral infections that can cause chronic disease from cell death.

*Dnajc3*, the gene that codes for P58<sup>IPK</sup>, has also been implicated in the innate immune response to viral infection and diabetes. P58<sup>IPK</sup> was initially discovered in experiments where influenza virus superinfection in cells infected with an adenovirus mutant lacking the gene to inhibit PKR, the interferon-induced dsRNA-activated eIF2 $\alpha$  kinase, restored PKR inhibition [37,38]. Subsequent studies delineated that P58<sup>IPK</sup> is proviral during influenza virus infection [39], vaccinia virus infection [40], and coxsackievirus infection [41].

Alternatively, the loss of P58<sup>IPK</sup> rendered mice more susceptible to influenza virus infection [42] due to a hyperactive innate immune response and loss of P58<sup>IPK</sup>-mediated PKR regulation. P58<sup>IPK</sup> also inhibits PERK, an eIF2 $\alpha$  kinase that is activated during ER stress [43]. Due to the inhibitory role that P58<sup>IPK</sup> has on PERK, mice lacking P58<sup>IPK</sup> display T1D and late-stage type 2 diabetes [44], although the disease phenotype is less severe than in mice lacking PERK [45]. In both models, the mice display pancreatic  $\beta$ -cell apoptosis due to disruptions in ER stress homeostasis. However, only recently has it been shown that in humans, the deletion of *Dnajc3* results in juvenile-onset diabetes and multisystemic neurodegenerative disorders [46]. Together, these studies show how P58<sup>IPK</sup> has diverse roles in both viral infection and autoimmune disease through its ability to interact with two different eIF2 $\alpha$  kinases.

RIG-I, which is encoded by the *DDX58* gene and is the RLR complementary to MDA5, has been implicated in immune deficiencies that cause inflammatory bowel disease and Crohn's disease (CD). Mice lacking RIG-I have fewer and smaller Peyer's patches, as well as an increase in apoptotic B220+ cells within the patches [47]. Additionally, CD patients have decreased levels of RIG-I in the intestinal epithelium [48]. In a healthy individual, the interferon response is negatively regulated via autophagy through the association with RIG-I and MAVS [49] or with NOD2 [50], and the development of autoimmunity is avoided. When autophagy is reduced, there are more reactive oxygen species within the cell, and more RLR activity to produce inflammation [51]. This indicates that the processes of autophagy and interferon-mediated immunity are in balance with each other. Autophagy is inhibited in CD epithelial cells, leading to an increase in inflammation during *E. coli* infection [52], and autophagy is increased when NF $\kappa$ B, the link to interferon activation, is inhibited [53]. Additionally, CD has been linked to mutations in the *IRGM* gene [54], which encodes a GTP-binding protein that induces autophagy by signaling through RIG-I.

It has also been demonstrated that RIG-I and NOD2 negatively regulate each other [50]. Particularly, knockdown of RIG-I results in increased NF $\kappa$ B activation through NOD2, which is corroborated by the finding that the main NOD2 mutations in CD patients are associated with increased negative regulation of RIG-I [50]. These interferon-producing pathways must be kept in balance in a healthy individual. In CD patients with reduced levels of RIG-I, the characteristic inflammation may be caused by an increase in NOD2 function.

Mutations in *DDX58* and *IFIH1* also converge on another autosomal disorder, called Singleton-Merten syndrome (SMS) [55,56]. While the originally identified syndrome in *IFIH1* patients was characterized by dental abnormalities, aortic calcification, glaucoma, and skeletal abnormalities, *DDX58* patients exhibited all of the above traits except for dental abnormalities. These patients were this diagnosed with atypical SMS. Nevertheless, in both studies, elevated levels of IFN $\beta$  were observed, thus identifying a common pathogenic autoimmune mechanism.

Finally, mutations in the *PEPD* gene causes prolidase deficiency (PD) and are associated with SLE, among other severe symptoms [57]. PD is a rare autosomal disorder, affecting 1 in 1–2 million newborns. A recent report has revealed that prolidase is required for normal expression of the type I IFN receptor and an IFN response during RNA virus infection [58]. Interestingly, Lubick et al. show that while prolidase deficiency results in a decreased type I IFN response, the mechanism of increased ISG production in PD patients may be due to an alternate mechanism via *RSAD2*/viperin activation. Taken together, these studies show how tight regulation of type I IFN and ISG induction must be controlled to defend against RNA virus infection and to avoid autoimmunity (Fig. 1).

## The DNA Sensing Pathways

While the innate immune signaling pathways downstream from the TLRs, NLRs, and RLRs converge on IFN $\beta$  and pro-inflammatory cytokine induction, so do signaling pathways from receptors that recognize cytosolic DNA. In 2008, four independent groups identified STING (stimulator of interferon genes, also known as TMEM173, MITA, ERIS, MYPS) as a critical

component of the innate immune response to cytosolic DNA and acting upstream of TANK binding kinase 1 (TBK1) and IRF3 phosphorylation [59–62]. However, at the time, it was not known if DNA bound directly to STING to activate the innate immune response. One of the first innate immune DNA sensors to be identified was DNA-dependent activator of IFN regulatory factors (DAI). Takaoka et al. demonstrated that DAI is critical for DNA-mediated IRF3 activation and subsequent IFN $\beta$  induction in response to HSV-1 infection [63]. In dendritic cells, DDX41 was shown to recognize exogenous dsDNA as well as genomic DNA from HSV-1 infection to activate IRF3 and NF $\kappa$ B to lead to IFN $\beta$  induction in a STING-dependent manner [64]. IFI16, another DNA sensor, has been shown to bind DNA from vaccinia virus and signal through STING and TBK1 [65]. IFI16 also responds to the herpesviruses herpes simplex virus 1 (HSV-1) and human cytomegalovirus, activating the inflammasome [66,67]. Further, while IFI16 is able to recognize HSV-1 viral DNA in the nucleus, the virus has established a mechanism to degrade IFI16-mediated DNA sensing via the viral protein ICP0 [68]. Finally, AIM2 has been identified as a DNA sensor whose activation leads to inflammasome activation [69,70], and AIM2 deficient mice fail to produce IL1 $\beta$  in response to viral and bacterial infection [71]. In fact, a number of AIM2-like receptors (ALRs) that contain PYHIN domains have been shown to colocalize with STING to induce IFN $\beta$  via a STING-mediated pathway [72].

Upon showing that STING binds directly to cyclic dinucleotides (CDNs) [73–77], the search for the metabolic source for these cytosolic CDNs began in earnest. It has been shown that c-di-AMP is secreted during *Listeria monocytogenes* infection, inducing IFN $\beta$  in a STING-dependent manner [78,79]. In 2013, the Chen lab discovered cyclic GMP-AMP synthase (cGAS), and when activated by cytosolic DNA ligands, cGAS metabolizes ATP and GTP into non-canonical cyclic GMP-AMP (cGAMP) containing 2'-5' phosphodiester linkages which then bind to and activate STING [80–84]. When activated by cGAMP, STING dimerizes and translocates to perinuclear regions where TBK1 is recruited, leading to STING and IRF3 phosphorylation [85]. The addition of cGAS to the family of DNA sensors provides the missing link between STING's natural ligand, CDNs, and the recognition of cytosolic DNA (Fig. 2).

These studies exemplify how the sensing of cytosolic DNA by cGAS initiates the metabolism of cGAMP and thus the amplification of the STING-mediated IFN $\beta$  response. It is thus no surprise that STING-mediated signaling must be kept under tight control to effectively respond to virus infection, but not exhibit hyperactivity and lead to an autoimmune response. A number of mechanisms have been shown to regulate STING activity. For example, K63-linked ubiquitination of STING by TRIM56 or TRIM32 leads to STING dimerization and interaction with TBK1 [86,87]. Alternatively, K48-linked ubiquitination of STING by RNF5 and RNF26 leads to decreased anti-viral activity due to STING degradation [88,89]. Additionally, ULK1-mediated phosphorylation of STING at S366 upon cGAMP stimulation has been shown to inhibit STING activity [90]. However, a subsequent report shows that TBK1 phosphorylates STING at S366 to activate IRF3 [85]. Taken together, positive and negative regulation of STING occurs through a number of mechanisms, each of which may play different roles in terms of STING-mediated microbial pathogenesis or autoimmune disease.

A number of viral proteins, including those from RNA virus infection, are able to inhibit the cGAS/STING pathway to inhibit the innate immune response. One of the first viruses to be utilized in studying the STING-mediated innate immune response was HSV-1, and it was shown both *in vitro* and *in vivo* that the loss of STING resulted in increased viral replication and mortality in animals [59,91]. Interestingly, the HSV-1 protein ICP0 was shown to interact with and stabilize STING in certain cell lines to achieve maximal viral replication [92]. However, cGAS is responsible for initiating the innate immune response during HSV-1 infection since cGAS-deficient mice succumb more rapidly to HSV-1 infection [93]. Members of the gammaherpesviridae, such as Kaposi's sarcoma herpesvirus or Epstein-Barr virus, encode inhibitors of STING and cGAS such as vIRF1, LANA, and ORF52 [94,95]. The function of these proteins is to inhibit either the binding of DNA to cGAS or STING activation. Human papillomavirus and adenovirus encode oncogenes that inhibit STING activity, namely E7 and E1A [96]. These studies provide mechanistic evidence as to how viral oncogenes inhibit STING, whose signaling is important to defend against tumorigenesis [97,98].

### DNA sensing implicated in autoimmune diseases

While the cGAS/STING-mediated innate immune response is necessary to defend against DNA virus infection, DNA-mediated signaling must also be controlled to prevent against certain autoimmune diseases. *TREX1* encodes a 3'-5' DNA exonuclease, and a loss of function mutation in this gene results in AGS, SLE, and other autoinflammatory diseases [99,100]. Interestingly, crossing *TREX1*-deficient mice with mice lacking either STING or the IFN $\alpha/\beta$  receptor resulted in a rescue of the autoinflammatory phenotypes [101,102], placing STING signaling central to these interferonopathies. Supporting this centrality, children bearing gain-of-function mutations in exon 5 of STING display a hyperactive interferon response that results in neonatal-onset systemic inflammation. The clinical syndrome is called STING-associated vasculopathy with onset in infancy (SAVI) [103]. An independent study also showed that the V155M mutation in STING resulted in spontaneous activation of STING and familial lupus-like disease [104].

Mutations in *RNASEH2A*, *RNASEH2B*, and *RNASEH2C* have also been linked to AGS [33,105]. The proteins encoded by these genes form the RNase H2 complex and function to degrade cellular RNA:DNA hybrids. In a mouse model of the disease due to a homozygous A174T knock-in mutation, the cGAS/STING pathway was central to the autoinflammatory pathology, since the loss of STING in these mice reduced levels of inflammatory cytokines.

Recently, it was shown that the kinase domain of the ribosomal protein S6 kinase 1 (S6K1) interacts with STING to mediate the formation of the S6K1-STING-TBK1 complex necessary for phosphorylation of IRF3 [106]. Considering that the pan-ribosomal S6 kinase (RSK) inhibitor BI-D1870 is able to protect mice from experimental autoimmune encephalomyelitis (EAE), a mouse model for studying multiple sclerosis, this may be evidence for the role of the STING signalosome in multiple sclerosis autoimmune disease [107]. Lemos et al. provide further evidence to link STING and multiple sclerosis in their study showing that the activation of cGAS/STING in an EAE mouse model suppresses autoimmunity [108]. Taken together, there is increasing evidence that STING plays a central

role in autoimmune interferonopathies (Fig. 2). Seeing that cGAS is hyperactive in Tbx1- and DNaseII-deficient mice, and that deletion of cGAS in these backgrounds rescues the lethal autoimmune phenotypes [109], it is possible that there are human genetic variants in cGAS that also result in autoimmune hyperinflammatory diseases.

## Conclusions

Defining how innate immune pathways function is central to understanding the mechanisms underlying the development of autoimmune disease and is a necessary prerequisite to devising targeted therapies for such disorders. Central to these autoimmune pathologies is elevated levels of type I interferon, a powerful molecule that is critical to combat virus infection and bridge the innate and adaptive immune responses. For some microbial diseases and cancers, interferon therapy is still the current standard of care, although this treatment is associated with severe side effects. The development of methods to inhibit nucleic acid sensors will be important for therapeutic intervention to treat autoimmune interferonopathies. Seeing as viruses already encode a number of inhibitors for different nodes of these nucleic acid sensing pathways, is it possible that we can turn to these mechanisms of viral inhibition to develop novel therapies?

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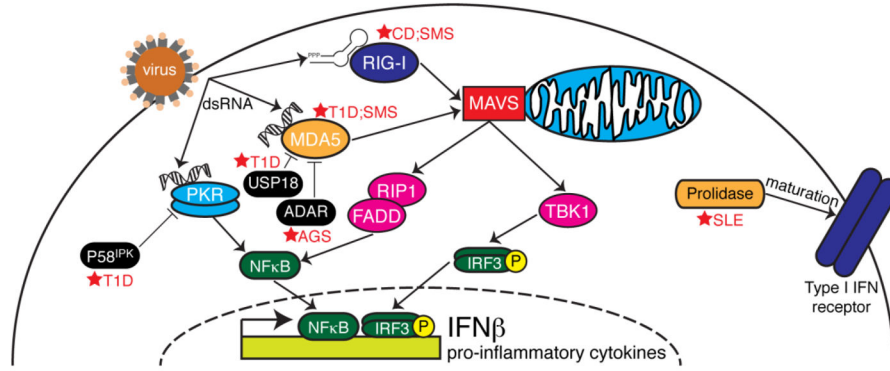
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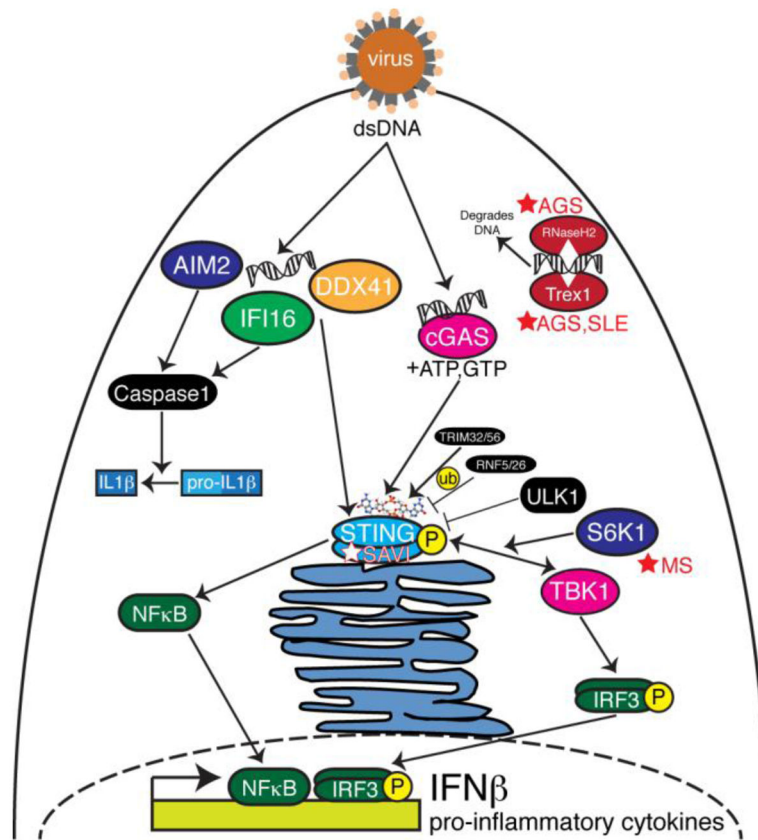
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**Figure 1. The RNA sensing pathways**

Following virus infection, dsRNAs are produced in the cell cytosol as a byproduct of the virus life cycle. While dsRNAs activate PKR and MDA5, short (<200 bp) RNAs with a 5' triphosphate group activate RIG-I. MDA5 and RIG-I signal through the adapter MAVS which is located on the mitochondrial membrane. MAVS then signals through TBK1 and RIP1/FADD to activate the transcription factors IRF3 and NFκB, respectively. PKR is capable to activating NFκB. When activated, these transcription factors localize to the nucleus where they induce IFNβ and pro-inflammatory cytokines, which are secreted from the cell and bind to the type I IFN receptor and recruit specialized immune cells to the site of infection. Dysfunction in certain nodes of pathway can result in autoimmune diseases (marked by red stars). Loss of the PKR inhibitor, P58<sup>IPK</sup> results in late onset of Type I diabetes (T1D), as does downregulation of the MDA5 inhibitor, USP18, or mutations in the gene encoding MDA5. Mutations in the MDA5 inhibitor ADAR may lead to Aicardi-Goutières syndrome (AGS), while mutations in RIG-I and MDA5 may lead to Singleton-Merten syndrome (SMS). Crohn's disease (CD) has been linked to RIG-I dysfunction. Finally, loss of prolidase, which aids in the proper maturation and surface expression of the type I IFN receptor, is linked with systemic lupus erythematosus (SLE).





**Figure 2. The DNA sensing pathways**

Following DNA virus infection, genomic dsDNA is sensed in the cytosol by AIM2, IFI16, DDX41, and cGAS. AIM2 and IFI16 signal through caspase 1 to activate IL1 $\beta$  and the inflammasome. IFI16 and DDX41 have been shown to activate STING. When activated by dsDNA, cGAS metabolizes cyclic GMP-AMP (cGAMP) which activates STING. A number of proteins regulate STING such as ubiquitin (ub) ligases, TRIM32, TRIM56, RNF5, and RNF26, and ULK1. The STING/TBK1/S6K1 complex activates IRF3, a transcription factor that localizes to the nucleus to induce IFN $\beta$ . STING also activates NF $\kappa$ B that localizes to the nucleus to induce pro-inflammatory cytokines. The DNA nucleases Trex1 and RNaseH2 act as checkpoints to degrade cytosolic DNA so that the DNA sensing pathways are not hyperactive. Mutations in these DNA nucleases lead to autoimmune inflammatory diseases such as Aicardi-Goutières syndrome (AGS) and systemic lupus erythematosus (SLE). Mutations in STING lead to STING-associated vasculopathy with onset in infancy (SAVI). Chemical inhibition of S6K1 protects mice from experimental autoimmune encephalomyelitis, a mouse model for studying multiple sclerosis (MS).