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## **Combined Targeting of BCL-2 and BCR-ABL Tyrosine Kinase Eradicates Chronic Myeloid Leukemia Stem Cells**

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## **Abstract**

BCR-ABL tyrosine kinase inhibitors (TKIs) are effective against chronic myeloid leukemia (CML), but they rarely eliminate CML stem cells. Disease relapse is common upon therapy cessation, even in patients with complete molecular responses. Furthermore, once CML progresses to blast crisis (BC), treatment outcomes are dismal. We hypothesized that concomitant targeting of BCL-2 and BCR-ABL tyrosine kinase could overcome these limitations. We demonstrate increased BCL-2 expression at the protein level in bone marrow cells, particularly in Lin−Sca-1+cKit+ cells of inducible CML in mice as determined by CyTOF mass cytometry. Further, selective inhibition of BCL-2, aided by TKI-mediated MCL-1 and BCL- $X_L$  inhibition, markedly decreased leukemic Lin<sup>−</sup>Sca-1<sup>+</sup>cKit<sup>+</sup> cell numbers and long-term stem cell frequency, and prolonged survival in a murine CML model. Additionally, this combination effectively eradicated CD34+CD38−, CD34+CD38+, and quiescent stem/progenitor CD34+ cells from BC CML patient samples. Our results suggest that BCL-2 is a key survival factor for CML stem/

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progenitor cells and that combined inhibition of BCL-2 and BCR-ABL tyrosine kinase has the potential to significantly improve depth of response and cure rates of chronic phase and BC CML.

#### **Introduction**

Chronic myeloid leukemia (CML) is characterized by the t(9;22) Philadelphia translocation in hematopoietic stem cells, which results in constitutive activation of BCR-ABL tyrosine kinase and aberrant myeloid cell proliferation. BCR-ABL tyrosine kinase inhibitors (TKIs) are the most successful class of molecular targeted therapy of any malignant disease and, as such, they are the first-line therapy for newly diagnosed CML. However, they are inactive against CML stem cells (1–3). Hence, cures of CML with TKIs are rare (4).

CML stem cells are quiescent, and this likely accounts for the lack of disease eradication by TKIs in most patients (1–8). CML stem cells can accumulate additional mutations, including those in *BCR-ABL*, and thus may provide a reservoir for the emergence of drug-resistant clones. With additional mutations, activation of alternative signaling pathways, and mechanisms that are not fully understood, a subset of CML progresses to blast crisis (BC). Not surprisingly, TKIs are essentially ineffective against BC CML (9), and treatment options for these patients are limited. Furthermore, in these patients leukemia stem cells can also originate from progenitor cells that acquire self-renewal capacity (10). Therefore, strategies that eradicate CML stem cells and target BC CML are needed to improve true cure rates in chronic phase CML and treatment outcomes in BC CML.

BCL-2 family proteins are key regulators of mitochondrial-mediated apoptosis (11, 12) and critical for the survival of leukemia and leukemia stem cells (13–16). BCL-2 anti-apoptotic protein expression is higher in CML than in normal hematopoietic stem cells, and is further increased in BC CML (17, 18). BCR-ABL signaling supports CML cell survival, in part, by upregulating anti-apoptotic BCL-2 proteins including BCL-X<sub>L</sub> and MCL-1 (19, 20). We and others have reported that combined treatments with dual BCL-2/BCL- $X_L$  or pan-BCL-2 inhibitors and TKIs can eliminate CML stem/progenitor cells (17, 21–23). Recently, we demonstrated that activation of  $p53$  in combination with BCL-2/BCL- $X_L$  or BCR-ABL inhibition has synergistic effects in CD34<sup>+</sup> proliferating and quiescent BC CML cells, in part by inducing pro- and suppressing anti-apoptotic BCL-2 proteins (24). However, it is not entirely clear which BCL-2 members are indispensable for CML stem cell survival. ABT-263, a potent BCL-2/BCL- $X_L$  inhibitor, has entered clinical trials for hematological malignancies. Although it has shown effectiveness, it causes pronounced thrombocytopenia resulting from the depletion of BCL-X<sub>L</sub>-dependent platelets (25). Thus, for the future development of this targeting concept, it is critical to determine if inhibition of BCL-2 alone is sufficient to sensitize CML stem cells to TKIs.

ABT-199/GDC-0199 (venetoclax) is a potent and highly selective BCL-2 inhibitor with strong antitumor activity (26, 27). Preclinical studies demonstrated that ABT-199 has activity against various hematological malignancies (28–32). ABT-199 was recently approved by FDA for chronic lymphocytic leukemia and has entered clinical testing for acute myeloid leukemia (AML), lymphoma, and multiple myeloma. A recent study showed that ABT-199 enhanced imatinib-induced CML progenitor cell death in vitro (33). However,

the effect of ABT-199 alone and in combination with TKIs on CML stem cells in vivo and stem/progenitor cells from patients with TKI-resistant BC has not been investigated.

Using CyTOF mass cytometry, a *BCR-ABL* transgenic murine model, and cells from patients with BC, we determined the role of BCL-2 in CML and the effect of its inhibition by ABT-199 alone and in combination with TKIs. We demonstrate the critical role of BCL-2 in CML cells and stem/progenitor cells and show that selective inhibition of BCL-2, aided by TKI-mediated BCL- $X_I/MCL-1$  inhibition, has the potential to cure CML by eliminating CML stem cells.

## **Results**

## **Targeting of BCL-2 and BCR-ABL exerts potent anti-leukemia activity in BCR-ABL transgenic mice**

To assess the anti-leukemia activity of ABT-199 and TKI combinations in CML, we used an inducible transgenic CML mouse model (Scl-tTa-BCR-ABL) in a FVB/N background as previously described (34). We withdrew tetracycline (Tet-off), and the mice developed a myeloproliferative disease as evidenced by increased white blood cell (WBC) and neutrophil counts and enlarged spleens (fig. S1), reminiscent of human CML as previously described for this model. We collected bone marrow  $(BM)$  cells from these mice (Tet-off,  $n = 5$ ; Teton,  $n = 4$ ) and quantified *Bcr-Abl, Bcl-X<sub>L</sub>, Mcl-1*, and *Bcl-2* as well as *Bax, Bim,* and *Bid* mRNA. Induction of Bcr-Abl expression was associated with markedly increased expression of  $Bcl-X_L$  (Tet-off/Tet-on = 7.6-fold) and Mcl-1 (6.0-fold) and increased  $Bcl-2$  expression (2.4-fold) (Fig. 1A), consistent with previous reports for the regulation of anti-apoptotic BCL-2 proteins by BCR-ABL (19, 20). Induction was also observed to a lesser degree for pro-apoptotic BCL-2 proteins (fig. S2A).

To determine whether this transcriptional regulation translated into protein changes, we determined the expression of BCL-2 family proteins in the Tet-off ( $n = 6$ ) and Tet-on ( $n = 5$ ) mouse BM hematopoietic cells ( $CD45^+$ ) and also in Lin<sup>-</sup>Sca-1<sup>+</sup>cKit<sup>+</sup> (LSK) cell population by CyTOF and SPADE analysis. CyTOF can simultaneously measure the expression of cell surface and intracellular proteins at single-cell resolution, therefore determine protein expression in phenotypically defined rare cell populations. With SPADE, cell populations from all samples are clustered hierarchically according to the expression of surface markers and displayed in single minimal spanning tree, where nodes can be annotated for further analysis. Fig. 1B shows the LSK population (identified as a single node in the tree) and the expression levels of individual surface markers in the SPADE tree of mouse BM cell populations. As shown in Fig. 1C, although not statistically significant, we observed overall increases in BCL-2 and MCL-1, but not BCL- $X_L$  protein expression in CD45<sup>+</sup> cells in Tetoff compared to Tet-on mice. This increases were also detected in LSK cells for BCL-2,  $BCL-X<sub>L</sub>$ , and MCL-1. Only the BCL-2 protein expression was higher in LSK compared to CD45+ cells in Tet-off mice, and this difference was not observed in Tet-on mice. Although there were no major differences in pro-apoptotic proteins in CD45+ cells, BIM, BID, and BAX protein expression was increased in BM LSK cells from Tet-off compared to Tet-on mice. These data suggest a critical role for BCL-2 in the survival of CML bulk and stem/

progenitor cells and imply that CML stem cells may be sensitive to apoptosis induction by BCL-2 inhibition.

After demonstrating the effectiveness of ABT-199 and its combination with nilotinib in vitro (fig. S2B), we performed an in vivo study. We crossed Scl-tTa-BCR-ABL mice with transgenic green fluorescent protein (GFP)-expressing mice. Four weeks after Tet-off, BM cells from these mice were collected. GFP+ cells were FACS-sorted and injected into irradiated wild-type FVB/N mice (34). Upon development of neutrophilic leukocytosis in the recipient mice, they were randomized into four groups ( $n = 14-16$ /group) and treatment was initiated. At the end of the 23-day treatment period, three mice per group were sacrificed, and BM and spleen cells were collected (Fig. 2A). Treatment with ABT-199 significantly decreased the number of highly immature BM LSK cells (GFP+LSK) ( $P=$ 0.018, Fig. 2B), whereas nilotinib had only modest effect. However, the activity of ABT-199 was enhanced by its combination with nilotinib ( $P = 0.009$ ), and the combination was significantly more effective than either agent alone ( $P = 0.038$  and 0.033 compared to ABT-199 and nilotinib, respectively). ABT-199 alone and in combination with nilotinib significantly decreased the number of BM GFP+ WBCs and mature myeloid cells  $(GFP<sup>+</sup>Gr-1<sup>+</sup>Mac-1<sup>+</sup>)$  (Fig. 2B). In addition, there was a significant reduction in the number of leukemic common myeloid progenitor (CMP) cells (GFP+Lin<sup>-</sup>Sca-1<sup>-</sup>cKit<sup>+</sup>CD34+FcγRII/III<sup>lo</sup>) ( $P = 0.036$ ) in the combination treatment group. Although the number of leukemic granulocyte-macrophage progenitor (GMP) cells (GFP+Lin−Sca-1−cKit+CD34+FcγRII/IIIhi) in the BM was significantly lower in the three treatment groups as compared to the control group, the combination was significantly more effective than ABT-199 or nilotinib alone (Fig. 2B). The most pronounced effects were seen in LSK, CMP, and GMP cells, and less so in mature myeloid cells.

Both ABT-199 and the combination were highly active against spleen LSK cells, and enhanced effect was discernible in mature myeloid cells (Fig. 2C). We also analyzed BM and spleen GFP−LSK cells from treated mice because they may reflect the effects of treatments on normal stem/progenitor cells. Although the number of GFP−LSK cells decreased in the combination treatment group compared to controls, the effect was not significant (fig. S3), suggesting selectivity of the treatment of CML cells.

At eight weeks after cessation of treatment, we still observed strong anti-leukemia activity, which consisted of significantly fewer total and leukemic WBCs and neutrophils in peripheral blood (PB) of all treatment groups relative to controls (Fig. 3A, top). However, at 12 weeks, the mice treated with nilotinib alone showed re-emergence of total and  $GFP<sup>+</sup>$ leukemia cells resembling clinical disease relapse after TKI cessation. Mice treated with ABT-199 alone or in combination with nilotinib still demonstrated a statistically significant reduction of leukemic WBCs and neutrophils (Fig. 3A, bottom), indicating a persistent antileukemic activity.

The explanation for the similar leukemia burden observed in controls at eight and 12 weeks was likely that mice with higher leukemia burden had died before the earlier time point. The nilotinib-treated mice (median survival 70 days) tended to live longer than controls (median survival 34.5 days) after discontinuation of therapy (Fig. 3B) but the difference did not reach

statistical significance ( $P = 0.2146$ ). The median survival after ABT-199 treatment was 115 days, which was significantly longer than controls ( $P = 0.0079$ ). This survival benefit was even more pronounced for the combination therapy group (median survival 168 days,  $P=$ 0.0002, Fig. 3B).

#### **Inhibition of BCL-2 and BCR-ABL targets CML stem cells in BCR-ABL transgenic mice**

In a separate experiment, leukemic WBCs and neutrophils in each group were reduced to the same degree as in the first study at the end of the 23-day treatment period (Fig. 4A,  $n = 7-8/$ ) group). BM cells from each treatment group were collected and transplanted at  $1 \times 10^6$ , 0.5  $\times$  10<sup>6</sup>, or 0.25  $\times$  10<sup>6</sup> cells plus 0.2  $\times$  10<sup>6</sup> normal BM cells into each irradiated wild-type recipient mouse (Fig. 2A). At 16 weeks after transplant, we detected markedly fewer PB  $GFP<sup>+</sup>$  cells in mice transplanted with cells from the ABT-199/nilotinib co-treatment group than in any other group. Fig. 4B shows the percentages of GFP+ PB cells in each group (left) and in individual mice (right) after a secondary transplant at  $0.25 \times 10^6$  BM cells/mouse. We defined non-engraftment as PB GFP-positivity of less than 1%, which was considered background (equivalent to the amount seen in sham transplanted FVB mouse). Fig. 4C shows the numbers of engrafted versus tested mice in all groups, and the long-term leukemia stem cell (LT-HSC) frequency, which differed significantly between groups ( $P = 3.51 \times$  $10^{-5}$ ). Nilotinib treatment alone did not affect engraftment or LT-HSC frequency ( $P = 0.78$ ) compared to controls, in agreement with previous published data suggesting that TKIs are inactive against CML stem cells  $(1-3)$ . Treatment with ABT-199 decreased the number of engrafted mice and LT-HSC frequency compared to controls ( $P = 0.049$ ). Moreover, the combination treatment significantly decreased secondary engraftment and LT-HSC frequency ( $P = 9.38 \times 10^{-6}$  compared to controls and  $P = 0.006$  compared to the ABT-199 treated group). For every 22.4 LT-HSCs detected in mice transplanted with BM cells from untreated CML mice, 9.67, 20.03, and only 1.05 such cells were found in mice transplanted with BM cells obtained from animals treated with ABT-199, nilotinib, and their combination, respectively.

In addition, we obtained BM cells from mice that had received a secondary transplant with  $0.25 \times 10^6$  BM cells from each treatment group and determined the percentage of GFP positivity and extent of Bcr-Abl expression using real-time reverse transcription (RT) polymerase chain reaction (PCR). Mice with  $\frac{3 \log$  reduction in BM *Bcr-Abl* mRNA also had 1% BM GFP positivity, and mice transplanted with BM cells from combinationtreated donors had only background levels of  $GFP<sup>+</sup>$  cells and negligible *Bcr-Abl* mRNA expression (Fig. 4D).

## **BCL-2 and BCR-ABL inhibition induces apoptosis in bulk and stem/progenitor BC CML cells**

To determine the effects of combined inhibition of BCL-2 and BCR-ABL on BC CML, cells from BC CML patients  $(n = 6)$  were treated with ABT-199, nilotinib, or the combination. Some of the patient samples had T315I or other mutations of BCR-ABL, and all six had experienced treatment failures with one or more TKIs (Table 1, samples 1–3, 4a, 5, 6). Apoptosis in bulk and CD34+CD38− stem/progenitor cells was determined. Because CML stem cells in BC can derive from progenitors acquiring self-renewal capacity, we also

determined treatment effects in CD34<sup>+</sup>CD38<sup>+</sup> cells. As expected, neither bulk, CD34+CD38−, or CD34+CD38+ cells were sensitive to nilotinib (Fig. 5A). Although ABT-199 induced apoptosis, its combination with nilotinib synergistically enhanced this effect in bulk (mean combination index CI [± SEM], 0.014 ± 0.024), CD34+CD38− (CI < 0.001), and CD34<sup>+</sup>CD38<sup>+</sup> (mean CI [ $\pm$  SEM], 0.015  $\pm$  0.026) cells obtained from these patients regardless of their previous clinical responses to TKIs. The responses of these six samples to treatments plotted individually are shown in fig. S4.

To better understand anti-apoptotic BCL-2 protein expression and ABT-199 sensitivity in CML cells, we determined the  $IC_{50}$  of ABT-199 and the expression of BCL-2, BCL- $X_L$ , and MCL-1 by immunoblot in these samples (fig. S4). Samples 1 and 6 had the lowest amounts of BCL-2 and the highest  $IC_{50}$ . Sample 4a had the highest BCL-2, but also the highest BCL-XL and high MCL-1, which made it relatively resistant to ABT-199. These data support ABT-199 specificity for BCL-2 and establish MCL-1 and BCL- $X_L$  as resistance factors for ABT-199. The combination therapy had minimal activity against normal BM ( $n = 3$ ) CD34<sup>+</sup> cells (Fig. 5B). Similar results were obtained when ABT-199 was combined with other TKIs (fig. S5A).

We assessed protein and gene expression of BCL-2 family members in selected samples (Table 1: patients 2, 4a, 5). Overall, ABT-199 treatment did not markedly decrease BCL-2 protein, as we expected. Nilotinib treatment partially decreased BCR-ABL signaling, as measured by the amount of phosphorylated CRKL (p-CRKL), and reduced MCL-1, BCL-XL, or both, while having only a minimal effect on BCL-2 expression. The combination greatly decreased p-CRKL expression, and enhanced the reduction of all three BCL-2 family proteins, particularly MCL-1, regardless of whether mutations in BCR-ABL were detected in these samples (patients 2 and 4a) or not (patient 5) (Fig. 5C).

Real-time RT-PCR analysis demonstrated that nilotinib treatment decreased  $Bcl-X_L$  and Mcl-1 mRNA expression, further confirming their transcriptional regulation by BCR-ABL.  $McI-1$  and  $BcI-X_L$  mRNA expression was further reduced by the combination treatment, but Bcl-2 mRNA expression was less affected (Fig. 5C). Although the individual agents and the combination did not show marked reduction in mRNA of pro-apoptotic BCL-2 proteins, some decreases in BAX and BID proteins were observed, especially with the combination treatment (fig. S5B). However, BIM protein expression was largely unchanged, and the basal amount of BAX protein was high and was still detectable after treatment. This is likely sufficient for induction of apoptosis, because the combination treatment greatly diminished MCL-1, partially decreased BCL-X<sub>L</sub> expression and antagonized BCL-2 function.

To determine whether the synergy of nilotinib and ABT-199 treatment was caused, at least in part, by TKI-mediated  $BCL-X<sub>L</sub>/MCL-1$  inhibition, we knocked down the expression of  $BCL-X<sub>L</sub>$ , MCL-1, or both in cells obtained from BC patients (Table 1: patients 2, 3, and 4a) by specific siRNAs. Twenty-four hours later, the cells were treated with ABT-199 for additional 48 hours. A partial inhibition of BCL-XL and MCL-1 slightly increased the sensitivity of CML cells to ABT-199 (Fig. 5D), suggesting that the cooperative inhibition of BCL-2 and BCL- $X_I/MCL-1$  contributed to the observed synergy.

#### **Targeting of BCL-2 and BCR-ABL induces apoptosis in quiescent CD34+ BC CML cells**

To evaluate the effects of ABT-199 and TKI combinations on TKI-insensitive quiescent CML stem/progenitor cells, we labeled cells from BC CML patients with the cell divisiontracking dye carboxyfluorescein succinimidyl ester (CFSE) and co-cultured them with human BM-derived mesenchymal stromal cells (MSCs). Once proliferating and quiescent cells were distinguishable by flow cytometry, they were treated with ABT-199, TKIs, and combinations for 48 hours. Apoptosis was determined in proliferating (CD34+CFSEdim) and quiescent (CD34<sup>+</sup>CFSE<sup>bright</sup>) progenitor cells. Fig. 6A shows the flow cytometric profiles of one patient's cells before and after treatments (upper panel; patient 8) and of six patients after treatments (lower panel; patients 1–3, 4b, 7, 8, Table 1). The combination was significantly more effective than either agent alone in inducing apoptosis in proliferating CD34+ cells from all six patients and although it was less effective than in proliferating cells  $(P = 0.0255)$ , it was also significantly more effective than either agent alone in quiescent CD34+ cells.

We previously demonstrated that MSCs in the BM microenvironment protect AML cells in part by inducing the expression of anti-apoptotic proteins (35, 36). Here, we found that coculture of BC CML cells with MSCs also increased the expression of anti-apoptotic BCL-2,  $BCL-X_L$ , and MCL-1 proteins. Fig. 6B illustrates a representative result from one and quantitative results from five patient samples. These increases are unlikely due to MSC contamination, because negligible amounts of MSCs were found in the collected leukemia cells (fig. S6A) and we did not see similar changes in pro-apoptotic BCL2 proteins (fig. S6B). Co-cultures with MSCs partially protected proliferating, but not quiescent CD34<sup>+</sup> cells from ABT-199/nilotinib–induced apoptosis (Fig. 6C). The combination treatment was highly synergistic in inducing apoptosis in both proliferating (mean CI  $[\pm$  SEM], 0.020  $\pm$  0.015) and quiescent (mean CI [ $\pm$  SEM], 0.011  $\pm$  0.010) leukemia cells, even when these cells were co-cultured with MSCs (mean CI [ $\pm$  SEM]: 0.006  $\pm$  0.005 for proliferating and  $0.018 \pm 0.018$  for quiescent cells) (Fig. 6C). We obtained similar results with ABT-199 combined with other TKIs (fig. S6C) (Table 1, samples, 1–3, 4b, 7, 8).

### **Discussion**

Although TKIs have dramatically improved long-term survival for CML patients, the vast majority of them has residual disease as determined by Bcr-Ab/RT-PCR. Relapse occurs in >50% of these patients upon discontinuation of treatment, even in patients who achieved complete molecular responses. Thus, to control the disease, patients need to be treated with TKIs continuously. Consequently, the number of CML survivors on continuous treatment is ever increasing and there will be over 100,000 patients with CML in 2020 in the United States alone (37). Long-term treatment with TKIs for these patients comes at a high cost, both in terms of side effects (see (38) for a review) and financially. Worldwide, most CML patients cannot afford the extraordinary expenses associated with TKI-based therapy. Furthermore, acquired BCR-ABL mutations that render TKIs ineffective can develop, and in patients progressing to BC, there are no meaningful responses to TKIs and survival is counted in weeks or months.

We and others identified BCL-2 as a key survival factor for myeloid leukemia cells (16, 17, 31). Anti-apoptotic BCL-2 proteins were reported to be regulated by BCR-ABL tyrosine kinase (19, 20). However, their induction at the protein level, especially in stem/progenitor cells has not been not well investigated. Although several studies (17, 21–23) have shown that BH3 mimetics synergize with TKIs to target CML cells and stem/progenitor cells, none has established a critical role of BCL-2 in CML stem cell survival.

We determined BCL-2 protein expression and examined whether selective BCL-2 inhibition could render CML cells, including stem cells, more senstive to TKIs. To mimic human chronic-phase CML, we used a validated inducible transgenic CML mouse model (39, 40). We observed higher  $Bcl-X_L$  and Mcl-1 and to a lesser degree  $Bcl-2$  mRNA expression in BM cells in Tet-off as compared to Tet-on BCR-ABL–transgenic mice, supporting the transcriptional regulation of these proteins by BCR-ABL. Aided by CyTOF, we found BCL-2 not only induced in CML cells but also differentially overexpressed in LSK vs. bulk CML cells at the protein level, suggesting its critical role in CML stem cells. Treatment of the CML mice with ABT-199 decreased leukemia burden and LSK leukemic cells in the BM, which greatly prolonged the survival of CML mice. It also decreased engraftment and leukemia LT-HSC frequency in mice upon secondary transplantation, supporting a critical role of BCL-2 in CML cell/stem cell survival.

Nilotinib showed stronger anti-leukemia activity in circulating blood than in the BM. Although nilotinib tended to decrease the numbers of various CML cell populations, it failed to significantly affect leukemic LSK cells. Like ABT-199, nilotinib was highly effective in controlling leukemia burden in PB even eight weeks after treatment discontinuation. However, at 12 weeks, in contrast to ABT-199, the anti-leukemia effect of nilotinib was lost, which mirrored the relapses observed in CML patients upon cessation of TKI therapy. When ABT-199 and TKIs were combined, the enhanced anti-leukemia activity was reflected in reduced leukemia burden and increased survival of CML mice after only 23 days of treatment. The combination was highly effective in preventing secondary engraftment and reducing leukemia LT-HSC frequency, suggesting the eradication of leukemia stem cells and potential of curing CML with an optimized treatment schedule.

It has been reported that cellular BCL-2 protein expression correlates with cell sensitivity to ABT-199 (31). The amount of anti-apoptotic BCL-2 proteins increases in CML patients as they progress to BC, as determined by reverse-phase protein arrays (18) and qRT-PCR (17). Samples that were examined in vitro were obtained from TKI-resistant patients with BC CML, and we showed that treatment with nanomolar concentrations of ABT-199 induced apoptosis in these cells. These concentrations of ABT-199 have been achieved systemically in clinical trials in chronic lymphocytic leukemia and AML (41).

Apoptosis was synergistically enhanced by combining ABT-199 with TKIs in BC CML, likely because of the partial inhibition of MCL-1 and, to a lesser degree,  $BCL-X_L$  by TKIs. The fact that exposure to *Mcl-1/Bcl-X<sub>L</sub>* siRNAs sensitized cells to ABT-199, even with insufficient knockdown of MCL-1/BCL-X<sub>L</sub> in patient samples, supports this notion. We found that combined inhibition of BCL-2 and BCR-ABL cooperatively targeted antiapoptotic BCL-2 proteins. Although we cannot exclude the possibility that some samples

may consist of more than one clone and that some of the clones were sensitive to one or more TKIs, clinical PCR monitoring showed that patient 4 had a T315I mutation in 100% of the PCR product. Nilotinib had minimal effect on p-CRKL and no effect on MCL-1 and BCL-XL in this patient. When nilotinib was combined with ABT-199, p-CRKL and MCL-1 were greatly reduced and  $BCL-X_L$  also decreased.

We and others have reported that TKI activity can be enhanced by multiple agents other than ABT-199, even in TKI-resistant cells (24, 34, 42). For ABT-199 and TKI combinations, in addition to the proposed mechanisms, we cannot rule out other mechanisms, particularly because we observed further decreases of not only phosphorylated but also total CRKL in all samples with the combination treatment. Cellular functions are dynamically regulated by multiple interacting pathways to determine their outcomes. Combination therapies can modify protein dynamics and change cell fates, providing new therapeutic opportunities (43), likely also the case for combinations of TKIs and ABT-199.

Collectively, our results demonstrate that combined blockade of pro-survival BCL-2 and an oncogenic tyrosine kinase has the potential of curing CML and improving outcomes in patients with BC, and, as such, it warrants clinical testing. This combination strategy may also apply to other malignancies that depend on kinase signaling for progression and maintenance.

## **Materials and Methods**

#### **Study design**

This study was designed to test the hypothesis that inhibition of BCL-2 in combination with TKIs that affect MCL-1/BCL- $X_L$  inhibition has the potential to eradicate CML stem cells and BC CML cells. We demonstrated in chronic phase CML, using an established inducible transgenic mouse model, that the combination eliminates leukemic LSK cells, prolongs survival, and decreases leukemia stem cell frequency in secondary transplant experiments. In BC CML, using TKI-resistant samples from patients, we showed that the combination is effective in killing bulk, CD34+CD38+, CD34+CD38−, and both proliferating and quiescent  $CD34<sup>+</sup>$  stem/progenitor cells. Sample sizes and P values are indicated in the text, figure legends, or in figures.

#### **In vivo study**

Mouse care and experiments were performed in accordance with protocols approved by the Animal Care and Use Committee of The University of Texas MD Anderson Cancer Center. All mice are on a FVB/N background. Inducible transgenic Scl-tTa-BCR-ABL/GFP mice were generated as described previously (34, 39, 40). Four weeks after Tet-off induction of Bcr-Abl expression in the mice, GFP-expressing cells in mouse BM were sorted by flow cytometry and transplanted by tail vein injection  $(0.6 \times 10^6 \text{ cells/mouse})$  into wild-type FVB/N recipient mice (The Jackson Laboratory) irradiated at 900 cGy. After they developed neutrophilic leukocytosis, the mice were randomized and given ABT-199 (100 mg/kg; vehicle: 60% Phosal 50 PG, 30% PEG400, and 10% ethanol) (27, 31), nilotinib (50 mg/kg; vehicle: 10% N-methyl-2-pyrrolidone and 90% PEG300) (44, 45), ABT-199 plus nilotinib,

or a vehicle control (1:1 volume of each vehicle) daily by oral gavage ( $n = 14-16$ /group). At the end of the 23-day treatment, GFP+ LSK hematopoietic cells, myeloid progenitor cells, and myeloid cells in BM collected from femurs and spleen samples ( $n = 3/$ group) were counted after cells were stained with a lineage cocktail and antibodies against Sca-1, cKit (CD117), CD34, Fc $\gamma$ RII/III, Gr-1 (Ly6 G), and Mac-1 (CD11b) (all from BioLegend). Leukemia LSK cells were defined as GFP+Lin−Sca-1+cKit+ cells; total leukemia WBC cells as GFP+CD45+ cells; leukemic CMP and GMP cells as GFP+Lin−Sca-1−cKit+CD34+FcγRII/IIIlo and GFP+Lin−Sca-1−cKit+CD34+FcγRII/IIIhi cells, respectively; and myeloid leukemia cells as  $GFP+Gr-1+Mac-1+$  cells. The leukemia burden in PB samples was monitored by total and GFP<sup>+</sup> WBC (CD45<sup>+</sup>) and total and GFP<sup>+</sup> neutrophil (Ly6  $G^+$ ) cells using flow cytometry. Mouse survival was recorded. BM cells obtained from another set of treated mice were pooled and diluted for secondary transplant  $(1 \times 10^6, 0.5 \times 10^6, \text{ or } 0.25 \times 10^6 \text{ cells/mouse plus } 0.2 \times 10^6 \text{ normal BM cells/mouse})$  as described previously (34). Engraftment of leukemia cells was monitored using flow cytometry-based determination of the percentage of GFP+ cells in PB and BM samples. The number of mice with evidence of engraftment in PB at 16 weeks after secondary transplant was determined, and the leukemia LT-HSC frequency was calculated.

#### **Cells, cell culture, and cell treatment**

Samples were acquired from BC CML patients and normal controls after informed consent following the MD Anderson Cancer Center IRB approved protocol. The patients' characteristics are listed in Table 1. Mononuclear cells from these samples and BM cells from Tet-off (3 to 4 weeks) and Tet-on transgenic Scl-tTa-BCR-ABL mice were cultured in α minimal essential medium supplemented with 10% heat-inactivated fetal calf serum, 2 mM L-glutamine, 100 U/ml penicillin, and 100 μg/ml streptomycin and treated with ABT-199, TKIs, or their combinations. Doxycycline  $(1 \mu g/ml)$  was included in the medium for cells from Tet-on mice.

#### **Identification and treatment of proliferating and quiescent CML cells**

Proliferating and quiescent cells were identified by staining mononuclear cells obtained from BC CML patients with CFSE and co-culturing them with human BM-derived MSCs as described previously (21, 46). Cell proliferation was monitored using flow cytometric measurement of cellular CFSE intensity, which halved with cell division, and compared with that of Colcemid-treated (100 ng/ml) cells. Once proliferating and quiescent cells became distinguishable (co-culture days and % quiescent CD34+ cells for each sample are shown in Table 1), cells were treated with ABT-199, TKIs, and the combinations with or without MSC co-culture. MSCs were isolated from BM samples from healthy subjects as described previously (47). Quiescent cells are defined as those in a region of CFSE fluorescence of Colcemid-treated cells (CFSE<sup>bright</sup>) and proliferating cells as those with a fluorescent intensity less than the control cells (CFSEdim).

#### **Apoptosis assay**

Apoptosis was estimated by flow cytometry measurement of phosphatidylserine externalization with annexin V staining (BD Biosciences) in bulk, CD34<sup>+</sup>CD38<sup>+</sup>, CD34+CD38−, and proliferating (CD34+CFSEdim) and quiescent (CD34+CFSEbright) CML

progenitor cells. To assess apoptosis in leukemia cells co-cultured with MSCs, leukemia cells were collected by combining cells in the supernatant and after two washes with PBS. Apoptotic cells were defined as annexin V-positive CD45+ cells. Specific apoptosis was defined using the following formula (21):

> $\%$  of apoptosis in treated cells  $-\%$  of apoptosis in untreated cells  $\times$  100% % of viable untreated cells

#### **Immunoblot analysis**

Proteins were quantified by immunoblot analysis as described previously (21, 24). For coculture experiments, leukemia cells were collected by combining cells in the supernatant and after two washes with PBS. Antibodies against p-CRKL, BID, and BCL- $X_L$  were purchased from Cell Signaling Technology, MCL-1 from Santa Cruz Biotechnology Inc., BIM and CRKL from Abcam, BAX from Sigma, and BCL-2 from Dako. Signals were detected using an Odyssey infrared imaging system and quantitated using the Odyssey software program (version 3.0; LI-COR Biotechnology). β-ACTIN (Sigma) was used as a loading control.

#### **Real-time RT-PCR**

Cell pellets were lysed in Trizol solution (Thermo Fisher Scientific), and RNA was isolated as described previously (48). cDNA was prepared from total RNA in a mixture containing dNTP, random hexamers, SuperScript III reverse transcriptase, and Superase In RNase Inhibitor (Thermo Fisher Scientific) at 50°C for 1 hour and 70°C for 15 minutes. The relative abundance of target mRNAs was measured by an ABI 7900HT Fast Real Time PCR System (Thermo Fisher Scientific) using TaqMan Gene Expression Assays and TaqMan Fast Universal Master Mix as directed by the manufacturer (Thermo Fisher Scientific). Primer sets used for the study are shown in table S1. Abl RNA was used as an internal control. The abundance of each transcript relative to that of Abl was calculated using the  $2<sup>-</sup>$ Ct method, in which Ct is the mean Ct of the transcript of interest minus the mean Ct of Abl transcript.

#### **Protein determination by CyTOF mass cytometry**

BM cells collected from Tet-on  $(n = 5)$  and Tet-off  $(n = 6)$  mice were stained with a panel of metal-tagged antibodies (table S2) against cell surface and BCL-2 family proteins and subjected to CyTOF analysis as previously described (49). FCS files from individual samples were normalized against EQ-beads loaded with each sample, using v.2 beads passport in CyTOF software (Fluidigm). Viable (cisplatin low) single cells were gated with FlowJo software (v10.1r5, FlowJo LLC) and exported as FCS data for subsequent analysis in SPADE (v3.0,<http://pengqiu.gatech.edu/software/SPADE/>). A SPADE tree was generated using all surface markers (table  $S_2$ ). The LSK node(s) were identified by negative expression of lineage markers (table S2) and positive expressions of Sca-1 and cKit. ArcSinh-transformed counts for each marker were then exported and visualized with heat maps to show differences in bulk and LSK populations and between Tet-off and Tet-on mice.

#### **Knockdown of protein expression**

To transiently knock down the expression of  $BCL-X_L$ , MCL-1, or both, PB cells from CML patient samples  $(2, 3, 4a, 7ab)$  were transfected with siRNAs for  $Bcl-X_L$ , Mcl-1, or both (on TARGETplus SMARTpool; Thermo Scientific Dharmacon) via electroporation using an Amaxa apparatus (Amaxa Biosystems) following the manufacturer's instructions.

#### **Statistical analyses**

The results were expressed as mean  $\pm$  SEM. Statistical significance was set at  $P < 0.05$  using the two-sided Student  $t$ -test. The CI, determined using the Chou-Talalay method (50), was expressed as the mean of CI values obtained at the effective doses in 50%, 75%, and 90% of the population exposed to the agents:  $CI < 1$ , synergistic;  $CI = 1$ , additive; and  $CI > 1$ , antagonistic. Mouse survival data were analyzed using the log-rank test. LT-HSC frequency was calculated using the Extreme Limiting Dilution Analysis software program ([http://](http://bioinf.wehi.edu.au/software/elda/) [bioinf.wehi.edu.au/software/elda/](http://bioinf.wehi.edu.au/software/elda/)) (51).

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Fig. 1. Expression of BCL-2 proteins in Tet-off/on CML mice**

(A) BM cells were collected from Tet-off/on mice, and the mRNA expression of Bcr-Abl,  $Bcl-X_L$ , Mcl-1, and  $Bcl-2$  was determined by real-time RT-PCR. Horizontal bars indicate the mean values. (B) SPADE tree analysis of mouse BM cell populations determined by CyTOF. (C) BCL-2, BCL- $X_L$ , MCL-1, BIM, BID, and BAX protein expression in BM cells from Tet-off and Tet-on mice determined and quantified by CyTOF.



#### **Fig. 2. Targeting leukemic cells and leukemic LSK cells in BCR-ABL–expressing mice by inhibiting BCL-2 and BCR-ABL**

(A) BM cells obtained from Tet-off Scl-tTa-BCR-ABL/GFP CML mice were transplanted into wild-type (wt) recipient mice  $(0.6 \times 10^6 \text{ cells/mouse})$  irradiated at 900 cGy. Mice were randomized after flow cytometric confirmation of the development of myeloproliferative disease and given a vehicle control (n = 14), ABT-199 (100 mg/kg) (n = 15), nilotinib (50 mg/kg) ( $n = 16$ ), or both agents ( $n = 16$ ) daily by oral gavage. At the end of a 23-day treatment, three mice per group were sacrificed. BM and spleen cells were collected (arm I). The rest of mice was followed for leukemia burden and survival (arm II). A separate set of mice were treated for second transplant (arm III). (B) BM and (C) spleen ( $n = 3$ ): numbers of leukemia LSK cells (GFP+Lin−Sca-1+cKit+) in each treatment group are shown on the

left and numbers of leukemia cells (GFP+ WBCs), mature myeloid leukemia cells  $(GFP+Gr-1+Mac-1+),$  and leukemia progenitor cells (CMPs: GFP+Lin−Sca-1−cKit+CD34+FcγRII/IIIlo; GMPs: GFP+Lin−Sca-1−cKit+CD34+FcγRII/ IIIhi) in each treatment group on the right. CON, control.





#### **Fig. 3. Effect of targeting BCL-2 and BCR-ABL on leukemia in transgenic BCR-ABL– expressing mice**

A subset of mice from Fig. 2A (arm II) underwent follow-up for examination of leukemia burden and survival. (A) Leukemia burden was assessed according to the number of total and GFP+ WBCs and neutrophils in mouse PB samples by flow cytometry at 8 and 12 weeks after treatment. CON, control. (B) Survival curves for mice in the vehicle control, ABT-199, nilotinib, and combined treatment groups. D, days. Note: at the end of 12-week post treatments, 3 mice per group were sacrificed for additional experiments which were not conducted. These mice were not included in the survival curves.



**Fig. 4. Combined inhibition of BCL-2 and BCR-ABL on leukemia LT-HSC frequency**

A separate experiment was performed as described in Fig. 2A. At the end of treatment, BM cells from each group ( $1 \times 10^6$ ,  $0.5 \times 10^6$ , and  $0.25 \times 10^6$  cells/mouse) plus  $0.2 \times 10^6$  wildtype BM cells were transplanted into wild-type FBV/N recipient mice irradiated at 900 cGy (Fig. 2A, arm III). (A) PB leukemia burden at the end of treatments. (B) PB  $GFP<sup>+</sup>$  cells obtained from mice 16 weeks after secondary transplant at  $0.25 \times 10^6$  cells/mouse (left, plotted as mean  $\pm$  SEM and right as individual animals). (C) PB engrafted/total transplanted mice and LT-HSC frequency in mice transplanted with BM cells from each treatment group. (D) BM GFP+ cells and Bcr-Abl mRNA expression in BM cells in mice 16 weeks after secondary transplant at  $0.25 \times 10^6$  cells/mouse. CON, control.



#### **Fig. 5. Targeting of BCL-2 and BCR-ABL in bulk, CD34+CD38−, and CD34+CD38+ leukemia cells from BC CML patients**

(A) Cells from TKI-resistant BC CML patients (Table 1,  $n = 6$ ) were treated with ABT-199, nilotinib, or both. Apoptosis in bulk, CD34+CD38−, and CD34+CD38+ cells was assessed after 48 hours. (B) Normal BM cells were treated with ABT-199, nilotinib, or both. Apoptosis in CD34+ cells was assessed after 48 hours. (C) Cells from TKI-resistant BC CML patients were treated with ABT-199, nilotinib, or both for 24 hours. Protein expression in the cells was examined using immunoblot, and mRNA expression using real-time RT-PCR analysis. (D) Cells from TKI-resistant BC CML patients were treated with siRNAs against  $BcI-X_L$ , McI-1, or both for 24 hours and then with ABT-199 for 48 hours. Cell death was then assessed. CON, control; Nil, nilotinib; AnnV, annexin V.



#### **Fig. 6. Targeting of BCL-2 and BCR-ABL in proliferating and quiescent CD34+ cells from TKIresistant BC CML patients**

(A) CFSE-stained cells were treated with ABT-199, nilotinib, or both. Apoptosis in proliferating (P) and quiescent (Q) CD34<sup>+</sup> cells was assessed after 48 hours. Upper panel shows flow cytometric profiles of cells from patient 8 (Pt 8) before and after treatment. Lower panel shows the results of six treated patient samples, where each dot represents the results for one patient sample. (B) Expression of BCL-2, BCL- $X_L$ , and MCL-1 in cells from CML patient samples co-cultured with MSCs (cocx) for 24 hours or 5 days was examined using immunoblot. (C) CFSE-labeled cells (Table 1,  $n = 6$ ) were treated with ABT-199, nilotinib, or both with or without MSC co-culture. Apoptosis was assessed in proliferating and quiescent CD34+ cells after 48 hours. con, control; Nil, nilotinib.



**Table 1**

Patient characteristics and in vitro treatments. Patient characteristics and in vitro treatments.



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quiescent cells over total CD34 quiescent cells over total CD34<sup>+</sup> cells.