

Marine Bacterial and Archaeal Ion-Pumping Rhodopsins: Genetic Diversity, Physiology, and Ecology

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SUMMARY

The recognition of a new family of rhodopsins in marine planktonic bacteria, proton-pumping proteorhodopsin, expanded the known phylogenetic range, environmental distribution, and sequence diversity of retinylidene photoproteins. At the time of this discovery, microbial ion-pumping rhodopsins were known solely in haloarchaea inhabiting extreme hypersaline environments. Shortly thereafter, proteorhodopsins and other light-activated energy-generating rhodopsins were recognized to be widespread among marine bacteria. The ubiquity of marine rhodopsin photosystems now challenges prior understanding of the nature and contributions of "heterotrophic" bacteria to biogeochemical carbon cycling and energy fluxes. Subsequent investigations have focused on the biophysics and biochemistry of these novel microbial rhodopsins, their distribution across the tree of life, evolutionary trajectories, and functional expression in nature. Later discoveries included the identification of proteorhodopsin genes in all three domains of life, the spectral tuning of rhodopsin variants to wavelengths prevailing in the sea, variable lightactivated ion-pumping specificities among bacterial rhodopsin variants, and the widespread lateral gene transfer of biosynthetic genes for

bacterial rhodopsins and their associated photopigments. Heterologous expression experiments with marine rhodopsin genes (and associated retinal chromophore genes) provided early evidence that light energy harvested by rhodopsins could be harnessed to provide biochemical energy. Importantly, some studies with native marine bacteria show that rhodopsin-containing bacteria use light to enhance growth or promote survival during starvation. We infer from the distribution of rhodopsin genes in diverse genomic contexts that different marine bacteria probably use rhodopsins to support lightdependent fitness strategies somewhere between these two extremes.

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INTRODUCTION

Light is one of the most pervasive phenomena in nature, and life has had to cope with it from the very beginning. Like every other environmental factor, light can be a threat or an asset. Living beings have developed a variety of molecules to deal with both these aspects of light. Carotenoids were likely some of the earliest light-absorbing molecules appearing in evolution. They may have originated as a way to reinforce the structure of archaeal cell walls [\(1\)](#page-20-2). Over evolutionary time, carotenoids were recruited for a variety of other cell functions, particularly for protection against blue and UV radiation and the oxygen radicals they generate.

Among proteins, a variety of molecules (e.g., sensory rhodopsins [SRs], phytochromes, photoactive yellow protein [PYP], phototropin [LOV], and blue-light sensing using FAD [BLUF]) act as light sensors that can modulate the behavior and life history of organisms and influence, for example, phototaxis, diurnal and seasonal rhythms, synthesis of protective pigments, and expression of particular genes. These molecules are beyond the scope of the present work (but see references [2](#page-20-3) and [3\)](#page-20-4). With regard to light-driven energy-generating mechanisms, only two general biological systems are known to be capable of net energy conservation: one is based on chlorophylls and the other on retinal molecules. These two systems have very distinct characteristics.

Chlorophyll systems are complex, being composed of dozens of proteins and pigments forming reaction centers, antenna complexes, and photosystems. Synthesis of the key pigment, chlorophyll, requires at least 16 specific metabolic steps with their corresponding enzymes. Moreover, cofactors such as Mg^{2+} are needed, imposing extra requirements on the organisms with such systems. Chlorophyll systems are present in the domains *Bacteria* and *Eukarya* and are very efficient at transforming light energy into reducing power as NADPH or NADH, as well as ATP during photosynthetic electron flow. Contemporary chlorophyll-based oxygenic photosynthesis, by supplying both energy and reducing power, using one of the most abundant and biologically compatible reductants on the planet (water), has evolved to become the most prevalent photosystem on Earth.

Retinal-based photosystems (e.g., rhodopsins), on the other hand, consist essentially of only one protein, an opsin, and one chromophore, retinal. The retinal has no cofactors and is produced in one metabolic step from the widely distributed carotenoid beta-carotene. Since light-absorbing photoprotective carotenoid metabolic pathways were present early in evolutionary history, their recruitment for retinal biosynthesis is relatively straightforward. Retinal-based photosystems are present in members of all three domains of life and have been modified to perform several different biological functions: proton pumping, ion pumping, light sensing and gene regulation, phototaxis, and vision.

According to their amino acid sequences, opsin proteins fall in two large groups: type I, found in *Bacteria*, *Archaea*, and *Eukarya*, and type II found only in animals [\(4,](#page-20-5) [5\)](#page-20-6). The primary structures of these two types of rhodopsins are so divergent that it has been speculated that their secondary and tertiary structural similarities arise from convergent evolution. Results from a recent empirical test of this hypothesis using mutational analyses, however, contradicted central predictions of convergent evolution, implying that type I and II opsins most likely share an ancestor [\(6\)](#page-20-7). Within type I opsins, Ihara et al. [\(7\)](#page-20-8) concluded that many of the opsin

genes found in haloarchaea were paralogous. Type II opsins are linked to a G protein located on the cytoplasmic side of the membrane, and they transform the light detected into a signal to this G protein. Thus, they are also called "G protein-coupled receptors." Type II opsins are responsible for vision in animals [\(5,](#page-20-6) [8\)](#page-20-9) and are beyond the scope of the present review.

The recognition of microbial rhodopsins as light-driven ion pumps began in the 1970s when Stoeckenius and Oesterhelt decided to study the colorful inhabitants of crystallizer ponds in solar salterns [\(9,](#page-20-10) [10\)](#page-20-11). The photopigment they found in *Halobacterium salinarum* (*Archaea*) they named bacteriorhodopsin (BR), and its discovery initiated a long and detailed series of structural, biochemical, and biophysical studies (see references [4](#page-20-5) and [11](#page-20-12) for reviews). As a result, bacteriorhodopsin quickly became one of the most well-understood membrane proteins at the time and a model for generally understanding membrane protein interactions, structure, and activities. Moreover, a variety of physiological roles of rhodopsins in haloarchaea were uncovered [\(12](#page-20-13)[–](#page-20-14)[14\)](#page-20-15). Another area of interest was exploiting such molecules for harnessing light energy for biotechnological applications (see, for example, references [15](#page-20-16) and [16\)](#page-20-17). After the initial discoveries of Stoeckenius and Oesterhelt, four other types of rhodopsins were found in haloarchaeal isolates [\(14,](#page-20-15) [17](#page-20-18)[–](#page-20-19)[19\)](#page-20-20), further igniting research into microbial rhodopsins.

Rhodopsin-like proteins were later discovered in eukaryotic microorganisms, including algae and fungi. The discovery rate increased substantially at the turn of the century, since the sequencing of genomes revealed rhodopsin-like genes in many organisms. One of the more notable findings is that of the channelrhodopsins (ChRs) in the single-cell photosynthetic green alga *Chlamydomonas reinhardtii*strains 495 and CC-503 [\(20](#page-20-21)[–](#page-20-22)[22\)](#page-20-23). Two different genes that acted as light-gated ion channels were present. These were similar to the sensory rhodopsins previously described in haloarchaea. However, since these proteins belonged to eukaryotes, the possibility of bioengineering them into human cells appeared. This approach has matured into a very successful neuron bioengineering technology: optogenetics [\(23,](#page-20-24) [24\)](#page-20-25). When two different channelrhodopsins are introduced into brain cells, for example, they can be stimulated to depolarize the cell membrane by shining specific wavelengths of light on them. In this way, the triggering of the nervous impulse can be stimulated or inhibited in an extremely precise way (at the single-cell level). Thus, epileptic seizures have been prevented in mice via channelrhodopsin-enabled, light-directed mediation of nerve impulses. Many more channelrhodopsins have been found in algae by screening transcriptomes [\(25\)](#page-20-26), while both phototaxis receptors and proton pumps have been found in fungi [\(26,](#page-20-27) [27\)](#page-20-28).

The study of rhodopsins received another major impulse when metagenomic studies revealed the presence of a new family of rhodopsins, dubbed proteorhodopsin (PR), in marine bacteria [\(28\)](#page-20-29). In short order following this discovery, microbial rhodopsins were suddenly recognized to be not just an exception but probably the rule, being present in perhaps half or more of all "heterotrophic" bacteria living in the surface ocean. The ubiquity of proteorhodopsins in bacterioplankton was recognized to potentially impact not only microbial survival ability but also carbon and energy fluxes in the world's oceans. It must be emphasized that this discovery occurred through the use of metagenomics and discovery-driven research. With most techniques and research approaches, what one finds in nature is what one is looking for; the

FIG 1 Schematic representation of different proton, sodium, and chloride rhodopsin pumps. Colored arrows show the different wavelengths at which each rhodopsin absorbs. In the case of proteorhodopsin (PR), the arrows represent the absorption of blue-tuned (\sim 490-nm) and green-tuned (\sim 530-nm) rhodopsins. For xanthorhodopsin (XR), the arrows represent absorption at different wavelengths (\sim 470 and 520 nm) due to XR having an additional carotenoid antenna molecule. Peak absorption maxima and ranges of absorption for green- and blue-tuned PRs in the visible light range are detailed in [Fig.](#page-8-2) [3,](#page-8-2) top panel. NaR, sodium-pumping rhodopsin; ClR, chloride-pumping rhodopsin. (Redrawn and modified from reference [32.](#page-20-33))

rest remains invisible. The discovery of PR demonstrated the power of metagenomics, especially when combined with subsequent hypothesis testing at the physiological or biochemical level (in this case, experiments with heterologously expressed PR validated its retinal binding and light-driven proton-pumping activities [\[28\]](#page-20-29)). The biochemistry and photobiology of this protein have also been studied in detail [\(29\)](#page-20-30), and a blue-tuned PR variant (Med12BPR) was the first for which the crystal structure was determined [\(30\)](#page-20-31).

In this review, we report on the diversity, evolution, physiology, and ecology of microbial type I rhodopsins, with particular focus on ion-pumping rhodopsins in *Bacteria* and *Archaea* in the marine environment. We only briefly allude to some important aspects of the biophysics and biochemistry of rhodopsins relevant to the physiology and ecology of *Bacteria* and *Archaea*, since excellent comprehensive presentations on the structure, biophysics, and molecular mechanism of rhodopsins are already available [\(8,](#page-20-9) [31](#page-20-32)[–](#page-21-0)[34\)](#page-21-1). For sensory rhodopsins, we refer to insightful reviews [\(2,](#page-20-3) [8\)](#page-20-9). Nevertheless, to allow assessment of the genetic diversity of rhodopsins in marine microorganisms, we carried out a phylogenetic analysis of the full range of type I rhodopsins, including also sequences from eukaryotes and from nonmarine environments. We therefore also briefly mention some features of such rhodopsins. Most recently, a wealth of new information on the microbial ecology of rhodopsins has resulted from studies of protonpumping proteorhodopsins in marine bacteria, and these rhodopsins will be a central focus point of this review, with some discussion also of more recently discovered rhodopsin types in marine viruses and single-celled eukaryotes.

TYPE I RHODOPSIN CONSERVATION AND DIVERSITY

Rhodopsins are based on the covalent union of a retinal chromophore molecule to an opsin protein (apoprotein). The opsin amino acid chain folds to form seven alpha-helices linked by short, apparently unorganized, sections [\(Fig. 1\)](#page-2-2). This structure is conserved across all domains of life. The amino acid and nucleo-

tide sequences, however, are very different between diverse life forms. For proteorhodopsin, this transmembrane apoprotein is encoded by the *prd* gene (the gene nomenclature follows that proposed upon original experimental validation of PR functioning through mutational analysis of the gene encoding proteorhodopsin in *Vibrio* sp. strain AND4 [\[35\]](#page-21-2)) and requires the binding of the chromophore cofactor retinal to become functional [\(Fig. 1\)](#page-2-2). Retinal is produced through cleavage of beta-carotene, and Sabehi et al. [\(36\)](#page-21-3) identified a potential pathway for retinal biosynthesis in marine bacteria. Sabehi et al. [\(37\)](#page-21-4) thereafter demonstrated the function of the enzyme encoded by *blh* (bacteriorhodopsinrelated-protein-like homolog protein) in cleaving beta-carotene to retinal after expressing it in *Escherichia coli*. Synthesis of betacarotene is mediated by the carotenoid biosynthesis genes *crtEBIY* from isoprenoid precursors. While basic isoprenoid synthesis pathways are found in a large number of living organisms, the ability to synthesize specific carotenoids is more limited, which has implications for how PR phototrophy is distributed among divergent microbial taxa (see "Lateral Transfer of Rhodopsin Genes" below).

Rhodopsin Types

Type I opsins have been found in *Bacteria* (*Proteobacteria*, *Bacteroidetes*, *Cyanobacteria*, *Firmicutes*, *Actinobacteria*, *Deinococcus*-*Thermus*, *Chloroflexi*, *Planctomycetes*, and *Ktedonobacteria*), *Euryarchaeota* (especially haloarchaea), and *Eukarya* (*Alveolata*, including dinoflagellates, diatoms, and cryptophytes; fungi, including both *Basidiomycota* and *Ascomycota*; and *Viridiplantae*, including *Glaucophyta*, *Chlorophyta*, and *Streptophyta*) (see reviews by Sharma et al. [\[38\]](#page-21-5) and Ernst et al. [\[5\]](#page-20-6) for overviews). New types of rhodopsins are constantly being discovered, and the distribution of recognized types is extended to taxa where they were previously not observed. Thus, it is challenging to present a full and coherent picture of the diversity of microbial rhodopsins. Moreover, since amino acid sequences have diverged considerably, alignments for phylogenetic analyses are delicate, and inferred trees are sensitive to which sequences are included. There is as yet no comprehensive phylogenetic tree of rhodopsins in the literature, although a database (MicRhoDE) for phylogenetic analysis of microbial rhodopsins was presented in June 2015 [\(39\)](#page-21-6). [Figure 2](#page-3-0) shows our best effort to build a robust tree with all the different types of type I rhodopsins known in March 2016. It must be kept in mind that future discoveries may change some aspects of the topology of the tree.

The tree shows two main clusters of type I rhodopsins [\(Fig. 2\)](#page-3-0), which was already noted by Sharma et al. [\(38\)](#page-21-5). Cluster A has mostly archaeal sequences but also (at least) three eukaryal clusters and a few bacterial sequences. Cluster B has mostly bacterial sequences but also includes a few archaeal sequences and (at least) one eukaryal cluster. It is tempting to compare this clustering to that of the rRNA tree, where *Bacteria* branched off earlier, while *Archaea* and *Eukarya* separated from each other later. The sequences found in the "wrong" cluster (for example bacterial sequences in cluster A) might be the result of lateral gene transfer (LGT) across domains (see Genomics of Marine Bacterial and Archaeal Rhodopsins below). The first major branching, therefore, seems linked to phylogeny. An ancient rhodopsin gene was inherited by both branches and then evolved separately in *Bacteria* on the one hand and in *Archaea* and *Eukarya* on the other. LGT events then occurred in both directions. Determining the relative

FIG 2 Phylogenetic relationships of rhodopsins. An unrooted tree of rhodopsins from the three domains of life is shown. A total of 756 rhodopsin sequences were downloaded from RefSeq [\(http://www.ncbi.nlm.nih.gov/refseq/;](http://www.ncbi.nlm.nih.gov/refseq/) release 75, 14 March 2016), following identification using the PFAM for bacteriorhodopsin-like protein (Bac_rhodopsin, PF01036). The sequences were aligned using MUSCLE and further edited with Gblocks to eliminate highly divergent regions. The tree was constructed by the maximum-likelihood method using the LG model of amino acid substitution rates with empirical amino acid frequencies and the gamma model of rate heterogeneity (PROTGAMMALGF) in RAxML. Bootstrap support values higher than 50% are shown above branches based on 100 pseudoreplicates. Only the region of the peptide that spans the PFAM protein family specific for rhodopsin was considered in the alignment. GenBank accession numbers are shown in parentheses for the individual sequences. Blue asterisks indicate individual organisms for which there is experimental evidence for the function of the rhodopsin; in the case of collapsed branches (marked in red), asterisks indicate that there is experimental evidence for at least one organism. Numbers within circles are the number of sequences in the collapsed branches. The scale bar represents substitutions per site.

importance of vertical inheritance versus LGT remains an important future challenge for understanding type I rhodopsin evolutionary history.

Within each major cluster (clusters A and B), rhodopsins form smaller clusters with different functions. In many cases, bootstrap support for these clusters is low, so that associations may change when more rhodopsins are discovered. Moreover, biochemical evidence of the specific activities and ion specificities has been obtained for only a limited number of rhodopsins (see "Protonpumping rhodopsins" and "Chloride and sodium ion-pumping rhodopsins" below). Furthermore, the physiological functions and roles of these photoproteins have been determined for only a very few microorganisms (see Experimental Assessment of Rhodopsin Photoheterotrophy in Heterologous Hosts and Native Aquatic Bacteria below). The remaining sequences are assumed to carry out the same function as those in the same cluster. However, physiological, biochemical, and biophysical experiments are necessary to confidently assign a function to any newly discovered rhodopsin.

One interesting aspect is that while many microorganisms lack rhodopsin genes, others have several, and in these cases, they may encode rhodopsins with different functions. For example, *Halobacterium salinarum* has two sensory rhodopsins (SR I and SR II), a halorhodopsin (HR), and bacteriorhodopsin. In a similar way, genes encoding distinct rhodopsins are present in some marine bacteria, such as *Dokdonia eikasta* NBRC 100814^T (*Flavobacteriaceae*family, *Bacteroidetes* phylum; isolate previously called*Krokinobacter eikastus* NBRC 100814^T), *Dokdonia* PRO95, and *Gillisia limnaea* R-8282^T , that contain a sodium-pumping rhodopsin (NaR) and a regular proton pump [\(40](#page-21-7)[–](#page-21-8)[42\)](#page-21-9), where some of the NaRs were originally identified as a novel group of xanthorhodopsin (XR)-like rhodopsins [\(41,](#page-21-8) [42\)](#page-21-9). Notably, *Nonlabens marinus* S1-08^T (*Flavobacteriaceae*) carries genes encoding three functionally and structurally distinct rhodopsins: chloride-, sodium-, and proton-pumping rhodopsins [\(43\)](#page-21-10). Another example is the freshwater green alga *C. reinhardtii* CC-503, which has at least five rhodopsin genes, encoding one rhodopsin (Cop), two channelrhodopsins (ChR1 and ChR2), and two rhodopsins linked to histidine kinases (HKR1 and HKR2) [\(44\)](#page-21-11). The *Salinibacter ruber* $M31^T$ genome, in turn, encodes four rhodopsins, one related to those from bacteria (xanthorhodopsin) and the others clearly having archaeal origins, i.e., an inward-directed chloride pump and two SR Is [\(45\)](#page-21-12). Strikingly, the marine cryptophyte alga *Guillardia theta* CCMP2712 and the dinoflagellate *Oxyrrhis marina* CCMP1788 genomes carry over 50 and 40 rhodopsin genes, respectively [\(46](#page-21-13)[–](#page-21-14)[49\)](#page-21-15). Among the *G. theta* proteins are at least 11 light-gated ion channels (also called channelrhodopsins). In contrast to the cation-conducting channelrhodopsins (CCRs) previously discovered in *C. reinhardtii* and many other green (chlorophyte) algae, there are two channelrhodopsins in *G. theta* that belong to a new family, namely, light-gated anion channels (ACRs) [\(48\)](#page-21-14). Recently, CCRs that are structurally distinct from chlorophyte CCRs have also been identified in the cryptophyte *G. theta*, apparently having converged on cation channel function independently [\(49\)](#page-21-15). Intriguingly, so far only CCRs have been found in chlorophyte algae, while ACRs as well as CCRs have been discovered in cryptophyte algae. Whether this fact will hold when more algae are examined, however, is unknown.

Phylogenetic Distribution of Microbial Rhodopsins According to Biochemical Functions

In cluster B, three different functions have evolved (at least that are known today): proton pumps (proteorhodopsins, xanthorhodopsins, and actinorhodopsins), halogen ion pumps, and sodium ion pumps. Some of these can also have dual functionalities [\(40,](#page-21-7) [50\)](#page-21-16). Cluster A, in contrast, contains several more functions, including proton pumps (bacteriorhodopsins, proteorhodopsins), halogen and other ion pumps (halorhodopsins), light sensors (sensory rhodopsins I, II, and III), light-gated channels (channelrhodopsins), and light-sensing two-component systems (enzymerhodopsins, HKRI histidine kinase). The last two functions have appeared only in *Eukarya*. The appearance of these functional clusters seems best explained by gene duplication followed by specialization of the paralogous genes. It is interesting that, apparently, this process occurred in the two large clusters independently.

The most straightforward function carried out by rhodopsins is pumping ions across the cell membrane. This can be achieved by a single rhodopsin unit (i.e., opsin with bound retinal). A photon of the appropriate wavelength is absorbed by the retinal, and the conformational changes induced result in one ion being absorbed on one side and one ion released on the other side of the membrane. Rhodopsins pumping protons, chloride, or sodium ions exist in *Bacteria*, *Archaea*, and *Eukarya*. Sensory rhodopsins are functionally more complex.

Proton-pumping rhodopsins. The proton gradient across the cell membrane is the main contributor to the proton motive force, the primary source of energy for the cell, fueling processes such as flagellar motility or transport of solutes. One of the most important functions of this proton gradient is tied to membrane-bound ATPase, which generates ATP while dissipating the gradient. There are different ways to generate this gradient. In respiring cells, the protons are pumped out of the cell by electron transport chains. In fermenting cells, ATP is generally generated by substrate-level phosphorylation, and then the ATPase functions in reverse and consumes ATP to pump protons out of the cell. Microbial rhodopsins also contribute to generate this proton gradient by using light energy. The relative contribution of rhodopsins to the gradient varies depending on the particular microorganism and the growth conditions (see Experimental Assessment of Rhodopsin Photoheterotrophy in Heterologous Hosts and Native Aquatic Bacteria below). In any case, proton pumping is one of the most metabolically central functions of rhodopsins.

The electron flow in chlorophyll-based photosystems generates a proton motive force. It is remarkable that the retinal-driven proton-pumping systems achieve proton pumping with one single protein (for a discussion of how this proton gradient is used by bacteria, see Experimental Assessment of Rhodopsin Photoheterotrophy in Heterologous Hosts and Native Aquatic Bacteria below). It is thus tempting to think that proton pumping mediated by rhodopsins might have been the first mechanism that evolved to convert light energy into useful biochemical energy in early cellular life forms. A possible association with early life confers an additional interest to the study of the biochemistry, physiology, and ecology of rhodopsins.

Proton-pumping rhodopsins are found in both clusters A and B. In cluster A, the bacteriorhodopsins are found only in haloarchaea [\(Fig. 2\)](#page-3-0). Bacteriorhodopsin from *H. salinarum* was in fact

TABLE 1 Rhodopsins from environmental samples*^a*

^a Abbreviations: BACs, bacterial artificial chromosomes; qPCR, quantitative PCR; SAGs, single amplified genomes; PR, proteorhodopsin; ActR, actinorhodopsin; XR, xanthorhodopsin; BR, bacteriorhodopsin.

^b Bacteria from multiple classes or phyla.

the first rhodopsin discovered [\(10\)](#page-20-11) and remains the best studied with respect to its biochemistry and photobiology. In cluster B, proton-pumping rhodopsins have experienced a spectacular radiation. The largest cluster includes the proteorhodopsins that are widespread in aquatic environments. These rhodopsins are found in *Proteobacteria*, *Bacteroidetes*, and two clusters of marine *Euryarchaeota*. Proteorhodopsins are very well known due to the fact that they are the most widely distributed rhodopsins in the oceans, making them the most abundant rhodopsins on our planet [\(51\)](#page-21-17). All proteorhodopsins studied so far primarily absorb visible light in the blue and green range. Most sequences group in two clusters, one with *Proteobacteria* and the other with *Bacteroidetes*sequences [\(Fig. 2\)](#page-3-0).

The rhodopsins in *Proteobacteria* were the first to be discovered, and this is the origin of the name proteorhodopsin. The PR gene was found in a fosmid from an environmental metagenome that also had the small-subunit rRNA (SSU rRNA) gene of SAR86, an uncultured member of the *Gammaproteobacteria* [\(28\)](#page-20-29) [\(Table](#page-5-0) [1\)](#page-5-0). This early application of metagenomics, and downstream biochemical study, resulted in assigning a novel function to a member of the plankton that was known to be relatively abundant (through SSU rRNA gene surveys) but that was not in culture and so was unknown with respect to its metabolism. Since then, PR genes have been found in many other uncultured members of the *Alpha*- and *Gammaproteobacteria* [\(52](#page-21-18)[–](#page-21-19)[54\)](#page-21-20) [\(Table 1\)](#page-5-0) and also in cultured isolates from *Alphaproteobacteria* [\(55\)](#page-21-21), *Betaproteobacte-*

TABLE 2 Cultured microbial isolates with rhodopsins

$Strain(s)$; species or taxon	Taxon (clade)	Geographic origin	Rhodopsin type ^a	Reference
HTCC2207; SAR92	Gammaproteobacteria	Oregon, East Pacific	PR	53
IMCC3088; MO60/NOR5	Gammaproteobacteria	South Korea, Yellow Sea	PR	60
IMCC2047; Alteromonadales	Gammaproteobacteria	South Korea, Yellow Sea	PR	61
AND4; Vibrio sp.	Gammaproteobacteria	Andaman Sea	PR	35
BAA-1116; Vibrio campbellii	Gammaproteobacteria	Marine	PR	142
PEL22A; Vibrio campbellii	Gammaproteobacteria	Abrolhos Bank, South Atlantic	PR	62
BAA-2122; Vibrio caribbeanicus	Gammaproteobacteria	Curaçao, Caribbean Sea	PR	63
ATCC 33789; Vibrio splendidus	Gammaproteobacteria	Seawater, Hawaii, Pacific	PR	63
10 strains; SAR11	Alphaproteobacteria	Oregon, East Pacific	PR	55
11 strains; SAR11	Alphaproteobacteria	Oregon coast; $BATSd$	PR	102
IMCC1322; Puniceispirillum marinum	Alphaproteobacteria (SAR116)	South Korea, West Pacific	PR	59
HTCC2181; OM43	Betaproteobacteria	Oregon, East Pacific	XR	57
MOLA814	Betaproteobacteria	Beaufort Sea, Arctic Ocean	PR	58
MED134, MED152; Flavobacteriia	Bacteroidetes	West Mediterranean Sea	PR	65
38 strains: Flavobacteriia	Bacteroidetes	Japan, West Pacific	PR	67
M31; Salinibacter ruber	Bacteroidetes	Solar saltern	XR, HR, 2 SR	75
DSW-6; Nonlabens dokdonensis	Bacteroidetes	South Korea, West Pacific	NaR, PR	87
NBRC 100814; Dokdonia eikasta	Bacteroidetes	West Pacific	NaR, PR	40
R-8282; Gillisia limnaea ^b	Bacteroidetes	Lake Fryxell, Antarctica	NaR, PR	41
PRO95; Dokdonia sp.	Bacteroidetes	North Sea	NaR, PR	42, 168
S1-08; Nonlabens marinus	Bacteroidetes	Northwestern Pacific Ocean	NaR, ClR, PR	43
5 luna mixed cultures	Actinobacteria	Great Lakes, Canada	ActR	82
JL-3; Exiguobacterium sp.	Firmicutes	Freshwater lake	SR^c	74
CCMP 1788; Oxyrrhis marina (and others)	Dinoflagellate (multiple)	Caribbean Sea	PR	47
UNC 1102; Pseudo-nitzschia granii	Diatoms	Indian Ocean	XR	155
Organic lake phycodnavirus	Phycodnaviridae	Antarctica	PR	156
Phaeocystis globosa virus (PGV)	Phycodnaviridae	Antarctica	PR	156

^a PR, proteorhodopsin; XR, xanthorhodopsin; HR, halorhodopsin, SR, sensory rhodopsin; NaR, sodium-pumping rhodopsin; ClR, chloride-pumping rhodopsin.

 $^{\emph{b}}$ The genome of the strain carries a third rhodopsin gene, likely encoding a truncated NaR containing only 120 amino acids.

^c Rhodopsin pumps protons when overexpressed in *E. coli*.

^d Bermuda Atlantic Time Series study site.

ria [\(56](#page-21-22)[–](#page-21-24)[58\)](#page-21-25), and *Gammaproteobacteria* [\(59](#page-21-26)[–](#page-21-27)[61\)](#page-21-28); some bacterial isolates, such as *S. ruber* M31^T and *N. marinus* S1-08^T , even have two or more different rhodopsins [\(Table 2\)](#page-6-0). Of particular relevance was the discovery of the PR gene in members of the most abundant heterotrophic bacterial clade in the surface ocean, the SAR11 clade, represented by the isolate "*Candidatus* Pelagibacter ubique" HTCC1062 [\(55\)](#page-21-21). The finding of PR in genetically tractable members of the genus *Vibrio*, i.e., *Vibrio* sp. AND4 and *Vibrio campbellii* BAA-1116, substantially extended the physiological repertoire of this genus, which otherwise was known for containing several notorious pathogens [\(35\)](#page-21-2). Subsequent analyses of available genome sequences revealed the PR photosystem in additional*Vibrio* genomes, i.e., those of *V. campbellii* PEL22A [\(62\)](#page-21-29) and *V. splendidus* ATCC 33789 and *V. caribbeanicus* BAA-2122 [\(63\)](#page-21-30) [\(Table 2\)](#page-6-0).

Venter et al. [\(64\)](#page-21-23) suggested that *Bacteroidetes* also had PRs, based on the taxonomic affiliation of an RNA polymerase subunit gene on a PR-containing scaffold. This finding was subsequently confirmed by genome analyses of cultured *Bacteroidetes* large genome fragments or isolates [\(56,](#page-21-22) [65\)](#page-22-12). *Bacteroidetes* in general, and members of the class *Flavobacteriia* in particular, frequently con-stitute an important fraction of marine bacterioplankton [\(66\)](#page-22-13). Later, screening of a set of *Flavobacteriia* showed that 38 out of 75 isolates carried the PR gene [\(67\)](#page-22-14) [\(Table 2\)](#page-6-0). Further, flavobacterial PRs were found in two single amplified genomes retrieved from a marine environment [\(68\)](#page-22-3).

Two subclusters of archaeal PRs are embedded within the bac-

terial PR cluster (*Archaea* clades A and B [\[Fig. 2\]](#page-3-0)). Analysis of large insert DNA fosmid libraries made from microorganisms in the North Pacific Subtropical Gyre led to the discovery of PR genes in marine group II *Euryarchaeota* [\(69\)](#page-22-2). These bacterial-like PRs were restricted to *Euryarchaea* inhabiting the photic zone, while other euryarchaeal lineages residing in deeper waters lacked PR genes. Ghai et al. [\(70\)](#page-22-8) found that a few of the rhodopsins in the deep chlorophyll maximum belonged to *Euryarchaeota*. Analysis of metagenomes from Puget Sound (Pacific Ocean, coastal Washington), led Iverson et al. [\(71\)](#page-22-10) to report that *Euryarchaeota* encode two distinct rhodopsins, which they defined as marine group II *Euryarchaeota* rhodopsin clade A and clade B [\(Fig. 2\)](#page-3-0). The clade A *Euryarchaeota* PRs are phylogenetically related to PRs from *Proteobacteria* and correspond to the PRs originally reported by Frigaard et al. [\(69\)](#page-22-2). Clade B *Euryarchaeota* PRs are distinct but were found also among PR sequences from the Global Ocean Sampling (GOS) sampling [\(71\)](#page-22-10).

One small rhodopsin cluster consists of sequences related to the Gram-positive genus *Exiguobacterium. E. sibiricum*255-15 was isolated from a 3-million-year old permafrost sample in Siberia. Its rhodopsin (ESR) is distantly related to marine rhodopsins and was able to function in *E. coli*, pumping protons upon illumination with green light [\(72,](#page-22-11) [73\)](#page-22-15). In contrast, *Exiguobacterium* sp. strain JL-3 was isolated from Jinniu Lake, China. Characterization of its proteorhodopsin suggested that it might be a sensory rhodopsin despite a 90% similarity to the PR from *E. sibiricum* [\(74\)](#page-22-16).

Another cluster includes the xanthorhodopsins. Xanthorho-

dopsin was initially found in *S. ruber* $M31^T$ [\(75\)](#page-22-17). In addition to retinal, this proton pump is associated with a particular carotenoid molecule named salinixanthin [\(Fig. 1\)](#page-2-2). This carotenoid expands the range of wavelengths that can be absorbed and thereby transfer energy to the retinal. The structure of this complex has attracted attention from crystallographers [\(76\)](#page-22-19), largely due to interest in its unique accessory pigments. The *S. ruber* sequence is related to many other sequences from *Planctomycetes*, *Proteobacteria*, and a subcluster of the eukaryotic *Alveolata*, including diatoms such as *Fragillariopsis* and dinoflagellates such as *Oxyrrhis*, *Alexandrium*, and *Polarella*.

The large xanthorhodopsin-like cluster contains several poorly defined subclusters. One of them includes related sequences from several cyanobacteria. The *Gloeobacter violaceus* PCC 7421 gene has been cloned in *E. coli*, where it was expressed and could be complemented with both retinal and the salinixanthin from *S. ruber* M31^T [\(77\)](#page-22-20). This suggests that this clade of rhodopsins may function in a similar way to that of *S. ruber*. Moreover, similar to salinixanthin, the carotenoid echinenone that is naturally present in *G. violaceus* binds to the rhodopsin through the carotenoid 4-keto group and thereby also functions as a light-harvesting antenna [\(78\)](#page-22-21). Another subcluster includes sequences from *Roseiflexus* RS 1 and several members of the genus *Thermus*. These bacteria share the same high-temperature environments, but they belong to different phyla (*Chloroflexus* and *Deinococcus-Thermus*, respectively).

Pyrocystis lunula, one of the dinoflagellates whose PR falls in the large xanthorhodopsin cluster, has been demonstrated to have a rhodopsin related to the proton-pumping type [\(79\)](#page-22-22). In *O. marina* CCMP1788, two types of rhodopsins were detected in an expressed sequence tag (EST) library [\(47\)](#page-21-31). One was related to the eukaryotic sequences in cluster A discussed above, including *Cyanophora*, *Guillardia*, and others. This included two different sequences, presumably representing two genes, that were scarcely represented in the EST library. The other group included over 40 different clusters, and some of them were the most abundant in the EST library. Based on the amino acid sequence, the rhodopsins in this group were assumed to act as proton pumps. Together with the closeness to xanthorhodopsins [\(Fig. 2\)](#page-3-0), this suggests that the *Alveolata* rhodopsins in this cluster likely act as proton pumps. Slamovits et al. [\(47\)](#page-21-31) suggested an interesting function for these proton-pumping PRs. If the proteins are in the membranes of food vacuoles, they could act to acidify the interior, a necessary condition for the food digestion in the vacuoles. Thus, they point out, a former phototroph (the dinoflagellate), which had lost the capacity for photosynthesis, had become a heterotroph thanks to a return to the use of light with PR. This idea could possibly be further extended to organelles in other organisms.

The sequences from *Actinobacteria* in freshwater environments have been named actinorhodopsins (ActR) [\(80](#page-22-23)[–](#page-22-6)[83\)](#page-22-24). In view of the tree in [Fig. 2,](#page-3-0) where the ActR are embedded in the xanthorhodopsin cluster and bootstrap values are low, a name for ActR different from xanthorhodopsin may be unwarranted. These ActR were first reported in the lakes sampled in the frame of the GOS expedition [\(84\)](#page-22-4). Subsequent analyses extended the findings of ActR to many freshwater environments and to *Actinobacteria* in culture, suggesting that the globally abundant acI lineage may also carry ActR [\(82\)](#page-22-6). Evidence for ActR in the acI cluster was finally provided through analysis of single amplified genomes in temperate lakes [\(81\)](#page-22-9). The function of these ActR awaits experimental confirmation.

Chloride and sodium ion-pumping rhodopsins. Other known ion-pumping rhodopsins include those that pump anions inward or cations outward across the cell membrane. It has been experimentally shown that a proton-pumping bacteriorhodopsin can be converted into a chloride pump by changing a single amino acid [\(85\)](#page-22-25). Thus, the conversion of proton pumps into chloride ion pumps in some cases could lead to relatively rapid functional transition in evolution.

Chloride ion pumps are found in *Bacteria* and *Archaea* living in hypersaline systems. These rhodopsins have arisen several times over the course of evolution, with those found in marine bacteria [\(43\)](#page-21-10) being structurally and evolutionarily distinct from those found in haloarchaea in high-salinity habitats. Their abundance in the environment remains largely unexplored. Since sodium and chloride are the two most abundant ions in marine environments, having such pumps may be helpful in maintaining osmotic balance, as well as in generating energy via a chloride or sodium chemiosmotic potential.

Cluster A includes two rather different types of halogen pumps. Halorhodopsins are exclusively archaeal, although the bacterium *S. ruber* M31^T has a homologous gene. These were the first chloride ion pumps discovered, and their structure and function are very well characterized [\(86\)](#page-22-26). A second type is the channelrhodopsins found in the eukaryal group *Chlorophyta* and *Cryptophyta*. These rhodopsins act as light-gated ion channels. In proton-pumping rhodopsins, the transfer of protons is a two-step process. The proton taken up on the inside of the cell membrane is not the proton released outside the cell. The two parts are physically separated, likely to avoid back flow. Channelrhodopsins, on the other hand, consist of a channel that allows ions to move through when opened in response to the appropriate light signal. Thus, not only protons and chloride but also sodium, potassium, lithium, and calcium can be transferred from one side of the membrane to the other. Channelrhodopsins have an additional protein domain attached to the carboxyl end of the opsin in the cytoplasmic part of the membrane [\(22\)](#page-20-23).

Cluster B includes a substantial number of chloride and sodium ion pumps. The currently known sequences form two statistically well supported sequence clusters [\(Fig. 2\)](#page-3-0), one containing rhodopsins pumping sodium and another containing rhodopsins pumping chloride. The sodium pumps have been found in *Bacteroidetes*, as well as in members of the *Deinococcus-Thermus* phylum isolated from very different environments [\(40,](#page-21-7) [87,](#page-22-18) [88\)](#page-22-27). Interestingly, sodium pumps have been shown to have dual functionality, transporting protons and halogens depending on the chemical environment [\(40,](#page-21-7) [50,](#page-21-16) [89\)](#page-22-28). It will be exciting to discern how such variability in function could influence the physiology of bacteria in their natural environment. The chloride pumps have so far been found in marine *Alphaproteobacteria* and in one member of *Bacteroidetes* [\(43\)](#page-21-10).

Sensory rhodopsins. Sensory rhodopsins are found only in cluster A [\(Fig. 1\)](#page-2-2). The simplest type is found in *Archaea*, and at least three slightly different types have been defined. Type I sensory rhodopsins (SR I) are used by *Archaea* to move toward the light and have been found in haloarchaea and *S. ruber*[\(90\)](#page-22-29). Type II sensory rhodopsins (SR II), in contrast, are used to move toward the dark and have thus been named phoborhodopsins; these have been found only in haloarchaea [\(90\)](#page-22-29). A third type of sensory rhodopsins (subsequently termed SR III) was first proposed based on study of rhodopsins in *Haloarcula marismortui* ATCC 43049 [\(19\)](#page-20-20).

The photoprotein of *Anabaena* strain PCC7120 was the first sensory rhodopsin described in *Bacteria* [\(91\)](#page-22-30). This peptide fits within a larger group that includes a number of cyanobacteria. Thus, the proteins in the cyanobacteria *Cyanothece*, *Chroococcidiopsis*, *Gloeocapsa*, *Leptolyngbya*, and *Chamaesiphon* might also be sensory rhodopsins (labeled "Cyanobacteria sensory rhodopsin" in [Fig. 2\)](#page-3-0). Interestingly, the protein in *Anabaena* is associated with a soluble protein that likely transduces the signal from the rhodopsin sensor. This is different from the archaeal sensory rhodopsins discussed above, where the signal is transduced to another membrane-bound protein.

Eukarya have another type of sensory rhodopsin that is also localized within cluster A. These rhodopsins are the most complex within type I rhodopsins, since the peptides accommodate, in addition to the conserved rhodopsin domain, a histidine kinase and a response regulator domain. In effect, the structure of these genes is the classical structure of two-component systems triggered by light. These rhodopsins have been referred to as "enzymerhodopsins" and have been found in cyanobacteria and some algae [\(2\)](#page-20-3). One consistent clade according to the bootstrap value includes enzymerhodopsins from different prasinophytes, i.e., *Micromonas*, *Bathycoccus*, and *Ostreococcus*, three of the most abundant algal genera in coastal marine waters. A clade with low support includes sequences from the freshwater green alga *Chlamydomonas* and the marine cryptophyte *Guillardia*. The cyanobacterial enzymerhodopsin is phylogenetically very different from those of eukaryotic algae. Notice also that the *C. reinhardtii* sequence with accession number [EDO99289](http://www.ncbi.nlm.nih.gov/nuccore?term=EDO99289) is also a His kinase and does not cluster with the other enzymerhodopsins [\(Fig. 2\)](#page-3-0).

ENVIRONMENTAL DISTRIBUTION OF BACTERIAL RHODOPSINS

Following the initial discovery of a rhodopsin gene in a SAR86 genomic fragment recovered off the coast of California [\(28\)](#page-20-29), the exploration of rhodopsin gene diversity in a variety of marine habitats accelerated [\(Table 1\)](#page-5-0). A large number of studies reported on the presence and diversity of rhodopsins in seawater from the Northern Hemisphere, while fewer studies were conducted in waters south of the equator. Quantitative estimates of the proportion of bacteria in surface waters carrying rhodopsins vary broadly, from 15% to over 70% [\(84,](#page-22-4) [92](#page-22-5)[–](#page-22-7)[94\)](#page-22-1), compared to that of bacteria carrying genes in pathways associated with aerobic anoxygenic photosynthesis (AAP) in bacteriochlorophyll-containing cells which are in up to 30% of planktonic bacteria [\(95\)](#page-22-31). In a recent survey of published microbial metagenome studies, Finkel et al. [\(51\)](#page-21-17) estimated that on average over 60% of the microbial cells in the 0.1- to 0.8- μ m size fraction (as determined by pore size of filters to collect microorganisms) carry rhodopsin genes, while around 20% carry genes for the AAP photosynthetic reaction centers. At present, it is clear that major portions of marine bacteria in sunlit environments carry rhodopsin genes. Still, variability in precise proportions between studies can likely be attributed both to differences in methodologies for quantification and to actual differences driven by environmental factors.

Biogeography of Rhodopsin Spectral Tuning

Given that rhodopsins function in part as light energy-absorbing molecular entities, it seems logical that spectral tuning of rho-

FIG 3 Absorption spectra of PRs in relation to light attenuation patterns in different marine environments. Top panel, spectra of green-tuned PR (GPR) and blue-tuned PR (BPR) collected from different depths at the Hawaii Ocean time series (HOT) station ALOHA. Absorption spectra were obtained following heterologous expression in *E. coli*. Middle and lower panels, typical light attenuation in open ocean and coastal environments, respectively. (Inspired by reference [169.](#page-24-10))

dopsins to the light fields (i.e., wavelength and light intensity distribution) prevailing in the natural environment might play an important role in their ecological distribution [\(Fig. 3\)](#page-8-2). Early functional surveys of proteorhodopsin genes showed that the SAR86 PR had an absorbance maximum in the green light range (520 nm), coinciding with the available photosynthetically active radiation (PAR) in oceanic surface water [\(28\)](#page-20-29). Soon thereafter, Béjà et al. [\(96\)](#page-22-0) described a divergence of PRs with absorption maxima in the range from blue (around 490 nm) to green (around 540 nm) light and deduced that these PRs represent spectral tuning to the dominant light field in deeper and shallow oceanic waters, respectively [\(Fig. 3\)](#page-8-2). These findings sparked research into determining the genetic and biochemical basis for spectral tuning, which in turn provided a basis for interpreting ecological patterns of distributions of distinct PRs. Accordingly, site-directed mutagenesis demonstrated the primary role of PR amino acid 105 in the retinal binding pocket for spectral tuning, so that, e.g., Leu gives green- and Gln gives blue-tuned PRs, although other amino acids at this position can also provide similar tuning effects [\(97\)](#page-22-32). In *Flavobacteriia*, for example, PRs primarily contain a hydrophobic side chain amino acid (Met) at this position instead of the "canonical" Leu, which also results in an absorbance maximum in the green light (535 nm) [\(65\)](#page-22-12). Amino acid residues at other positions were subse-quently shown to contribute to spectral fine-tuning [\(98](#page-22-33)[–](#page-22-34)[100\)](#page-23-5).

Sabehi et al. [\(101\)](#page-23-6) elaborated on the original suggestion of distinct depth distributions of differentially tuned rhodopsins. They found that in the Eastern Mediterranean Sea, under stratified summer conditions, green-tuned rhodopsins were dominant in the surface and blue-tuned rhodopsins greatly dominated at the

55-m depth. However, during winter, the distributions of the two tuning types were relatively similar throughout the mixed layer (with roughly one-third of rhodopsins being green-tuned). In the Sargasso Sea, in contrast, nearly all rhodopsins consisted of bluetuned variants irrespective of season and depth [\(101\)](#page-23-6). Further insight into the spatial distribution of rhodopsin tuning variants was provided by the GOS expedition, where Rusch et al. [\(84\)](#page-22-4) investigated the diversity and spatial distribution of rhodopsins across a transect from the northwest Atlantic to the Pacific. Among the total of 1,874 rhodopsin sequences encoding the primary tuning residue, green-tuned rhodopsins were relatively more abundant in temperate coastal waters, whereas blue-tuned rhodopsins dominated in warmer open ocean water [\(84\)](#page-22-4). They thus suggested that, analogous to the light depth distribution [\(96\)](#page-22-0), the spatial distribution of rhodopsin tuning variants might reflect changing spectral properties of the light along the coastal-open ocean transition [\(Fig. 3\)](#page-8-2). Consistent with these findings, PCR screening showed that PRs in SAR11 isolates from the Oregon coast were either green- or blue-tuned, while all isolates from the Sargasso Sea had blue-tuned rhodopsins [\(102\)](#page-23-4). In comparison, among a total of 117 PRs from the North Sea affiliated with *Proteobacteria* and *Bacteroidetes*, nearly all PRs (97%) were green-tuned [\(93\)](#page-22-7), while a majority (71%) of bacterial and archaeal rhodopsins in the Red Sea surface waters were putatively blue-tuned [\(103\)](#page-23-2).

Rhodopsin Diversity and Distributional Surveys

In the first large survey of rhodopsins in DNA from marine prokaryotes, using PCR with degenerate PR primers applied to Mediterranean and Red Sea samples, Sabehi et al. [\(94\)](#page-22-1) uncovered a large phylogenetic diversity of rhodopsins, with some rhodopsin clades (groups) consisting of sequences from both seas and others apparently found primarily in one of the seas. Curiously, at this time, it remained unknown if distinct PR variants represented "functionally divergent paralogous genes, derived from the same or similar species, or orthologous proteorhodopsins that are distributed among divergent planktonic microbial taxa" [\(94\)](#page-22-1). This issue was soon after resolved by findings that in most (but not all) cases, divergent PRs belonged to different microbial taxa [\(Tables 1](#page-5-0) and [2\)](#page-6-0) (interestingly, marine prokaryotes containing several rhodopsins have now been found, but in these cases the rhodopsins are mostly distinct; see "Rhodopsin Types" above). Thus, the first report on metagenome shotgun sequencing of microbial DNA from the Sargasso Sea substantially extended the range of phylogenetic diversity of PRs that can be found at a single geographic location, by a claimed study of 782 rhodopsin genes, of which several were distant from known PRs or other rhodopsins [\(64\)](#page-21-23). The actual number of new rhodopsins found is uncertain, however, since partial gene sequences mapped onto a scaffold phylogeny were used to estimate PR diversity. It is probable that different nodes in their phylogenetic tree were actually derived from different portions of identical molecules, hence overinflating their diversity claims. In the expanded GOS expedition data set, Rusch et al. [\(84\)](#page-22-4) found that in several SAR11 PR clades, similar PR sequences were found in the Atlantic and Pacific Oceans (see also "Biogeography of Rhodopsin Spectral Tuning" above).

To obtain further knowledge on the spatial structuring of rhodopsins, Campbell et al. [\(92\)](#page-22-5) investigated the distribution of PR genes in the North Atlantic Ocean. They first characterized PR gene diversity in a sample from the Sargasso Sea using clone library construction from PCR products obtained by degenerate PR

gene primers. Among the total of 27 PR gene clusters recorded, quantitative PCR (qPCR) analysis of four distinct clusters of PRs (three alphaproteobacterial, of which SAR11 and HOT2C01 PRs were particularly abundant, and one flavobacterial) indicated that roughly 50% of bacteria in the Sargasso Sea contained these PRs. However, these PRs were much less abundant further northeast in the Atlantic. Interestingly, there was an overall negative correlation between PR gene abundance and chlorophyll *a* concentrations (but not light) in the surface samples and also in the depth profiles [\(92\)](#page-22-5). In the latter there was also a negative correlation between PR genes and inorganic nutrients. Still, some variability in the relationship between these environmental variables and the four distinct PR types was observed. Using a similar approach, but focusing on flavobacterial PRs, Zhao et al. [\(104\)](#page-23-0) recorded that PRs were structured essentially according to clone library sampling site in the eastern and southern China Sea. Moreover, qPCR showed a higher abundance of these PR genes in offshore waters than in coastal waters (overall, these flavobacterial PRs were represented in up to 1.6% of total bacteria $[104]$, which is similar to the up to 3.0% found with primers specific for PRs from an uncultured flavobacterial group in the Sargasso Sea [\[92\]](#page-22-5)).

Distinct spatial distributions of PRs have also been found in PR gene clone libraries from the Arctic compared to samples from lower latitudes [\(105\)](#page-23-1), and Arctic PRs were also different from PRs previously reported from Antarctica by Béjà et al. [\(96\)](#page-22-0). Moreover, qPCR analyses showed that from summer to winter, the abundance of PR gene-containing bacteria largely changed in relation to total bacterial abundance, and the proportion of photoheterotrophs (PR- and bacteriochlorophyll-containing bacteria alike) remained stable across these seasons, despite extreme differences in day length [\(105\)](#page-23-1). A relatively stable abundance of PR genes during dark winter months in the Arctic was confirmed in the Amundsen Gulf of the southeastern Beaufort Sea [\(106\)](#page-23-3). Still, analysis of PR gene clone libraries showed that PR diversity changed from early to late winter (with notable changes in PR genes affiliated with *Bacteroidetes* and *Alphaproteobacteria*, while gammaproteobacterial PRs were consistently abundant).

Taken together, so far, few studies have comprehensively investigated spatial variability in PR diversity and prevalence distributions. It will be intriguing to learn about the biogeography of rhodopsins from the summed global oceanic GOS, *Tara* Oceans, and Malaspina 2010 expeditions. Even fewer studies have investigated temporal dynamics in PR gene distributions (see, e.g., references [101,](#page-23-6) [105,](#page-23-1) [106,](#page-23-3) and [107\)](#page-23-7). An important task for the future is therefore to determine dynamics of rhodopsin-containing bacterial populations in relation to rhodopsin gene expression patterns over different ranges of spatiotemporal resolution. An intriguing question that remains to be answered is whether expression of rhodopsin genes might provide sufficient ecological benefits that in turn determine the seasonal dynamics and activity of particular bacterial populations.

Environmental Rhodopsin Gene Expression Analyses

Analyses of expression of rhodopsins have been carried out in a quite limited number of marine environments. Yet, the studies done so far show that rhodopsins are widely expressed in both coastal and open ocean waters. After the original finding of the proteorhodopsin gene in the ocean [\(28\)](#page-20-29), analysis of membrane preparations from Monterey Bay surface water using spectroscopic analysis revealed photochemical activity consistent with an

abundance of expressed and functional PR protein in native marine bacterioplankton [\(96\)](#page-22-0). Further north along the Pacific coast of the United States, expressed PR protein was detected by mass spectrometry (MS) in seawater samples off Oregon, with mass spectra similar to those of PRs in cultured SAR11 bacteria [\(55\)](#page-21-21). Pioneering metatranscriptomic analyses from the Hawaiian Ocean time-series station ALOHA in the North Pacific Subtropical Gyre showed PR to be among the highly expressed gene products [\(108,](#page-23-8) [109\)](#page-23-9). Subsequent extended metatranscriptomic analyses at the ALOHA station showed that PR genes were highly expressed in the photic zone (25- to 125-m depth) but not in the mesopelagic at 500 m [\(110\)](#page-23-10). Notably, rhodopsin genes were found at up to nearly two orders of magnitude higher relative abundances in the transcript pool than in the gene pool. A majority of transcripts belonged to the SAR11 clade (around 60%), with contributions up to 10% also of *Bacteroidetes*, SAR86, and euryarchaeal PRs (see Fig. S5 in reference [110\)](#page-23-10). In particular among "*Ca.* Pelagibacter"-related populations, PR genes were among the most highly expressed transcripts. Expression of SAR11 and SAR86 clade PR genes has also been detected in the Red Sea [\(103\)](#page-23-2).

Further detail on PR gene expression levels was recently provided by Satinsky et al. [\(111\)](#page-23-11), who carried out a quantitative inventory of microbial gene expression patterns at an outer continental shelf station in Amazon River Plume waters where a phytoplankton bloom was occurring. The PR gene accounted for \sim 1% of total transcripts and was the most abundantly expressed of all genes defined as biogeochemically relevant, in both the freeliving and particle-attached fractions of the prokaryote community (\sim 2 \times 10⁹ transcripts liter⁻¹). Interestingly, transcription in the latter fraction, where *Flavobacteriia* and SAR116 clade bacteria were dominant, was around 3-fold higher on a per-gene basis; SAR11 clade bacteria had a similar per-gene expression ratio in the free-living and particle-attached fractions.

Given that phytoplankton gene expression regulation is linked to diurnal variations in light and darkness, the influence of such variations on rhodopsin-associated metabolisms has attracted some attention. Comparisons of gene expression patterns in daynight samples collected at the station ALOHA showed a relatively higher abundance of PR transcripts during the day [\(109\)](#page-23-9). Similarly, in Delaware coastal waters an elevated abundance of PR genes belonging to SAR11 clade bacteria and *Flavobacteriia* was observed during daylight hours [\(112\)](#page-23-12). A follow-up study in the same waters confirmed that SAR11 PR gene expression is higher $(-2.5-fold)$ during the day at different seasons [\(113\)](#page-23-13). Using metatranscriptomic analysis on samples collected in southeast U.S. coastal waters, it was recorded that, in general, PR genes are abundantly expressed by a multitude of taxa [\(114\)](#page-23-14). Surprisingly, though, a subsequent study in the same waters showed significant differences in PR gene expression between day and night samples for only 2 out of 27 taxa carrying the PR gene [\(115\)](#page-23-15).

Comprehensive monitoring of diurnal changes in microbial transcript abundance over 48 h in the Pacific off Monterey Bay revealed pronounced temporal variability in a multitude of genes involved in different metabolic pathways in the PR-containing lineages SAR11, SAR86, and marine group II *Archaea*. In contrast to genes belonging to phytoplankton, the overall changes in gene expression levels in these bacteria were not directly linked to light intensity or time of day [\(116\)](#page-23-16). Nevertheless, detailed analyses of the same data set showed pronounced peaks in expression of the glyoxylate shunt gene encoding isocitrate lyase in the tricarboxylic

acid (TCA) cycle coinciding with highest solar irradiance, which were suggested to be tightly linked to PR phototrophy [\(117\)](#page-23-17). Even more pronounced diurnal dynamics in isocitrate lyase gene expression in a wide variety of *Proteobacteria* clades (e.g., SAR11 and SAR86) were then found using automated sampling in both California coastal waters and the North Pacific Subtropical Gyre [\(118\)](#page-23-18). Using the same automated sampler, with sampling extended over 3 days at station ALOHA, pronounced diurnal cycles in gene expression patterns of both photoautotrophs and photoheterotrophs (including both PR- and bacteriochlorophyll-containing bacteria) were observed [\(119\)](#page-23-19). Notably, significant diurnal changes in PR expression were recorded for SAR11, SAR116, SAR86, and SAR324 clade bacteria, with peaks in expression levels near dawn.

There are as yet no analyses of rhodopsin gene expression in marine bacterioplankton across broad seasonal/annual scales. The one exception so far is work in the Arctic, showing a relatively stable presence of PRs in the gene pool, which was in stark contrast to very pronounced changes in PR gene expression levels [\(106\)](#page-23-3). Accordingly, expression of the investigated *Alpha*- and *Gammaproteobacteria* and *Bacteroidetes* PR types decreased from December to very low levels in winter (i.e., from January to March, the period dominated by darkness), followed by peaks in expression in spring (early April and June) [\(106\)](#page-23-3).

Interestingly, experiments and expression analyses on surface ocean bacterioplankton have suggested links between the proton motive force created by PR phototrophy and the stimulation of nutrient uptake through various membrane transporters. Conducting tracer experiments in the Atlantic, Mary et al. [\(120\)](#page-23-20) suggested that an observed 23% increase in amino acid uptake in the light among low-nucleic-acid cells sorted by flow cytometry (60% of which were SAR11 cells) could be attributed largely to PR phototrophy in these bacteria (in contrast, high-nucleic-acid cells showed no light-stimulated uptake). In a subsequent study in the Atlantic, Gómez-Pereira et al. [\(121\)](#page-23-21) confirmed that the uptake of radiolabeled ATP (either the phosphate or adenosine moieties) as well as methionine by SAR11 bacteria (and *Prochlorococcus*) was stimulated by around 30% in the light compared to darkness.

The exact molecular mechanisms underlying such light-induced substrate uptake by SAR11 clade bacteria or other PR photoheterotrophs remain unknown. Yet, Morris et al. [\(122\)](#page-23-22) proposed a link between PR and nutrient uptake membrane transporters (in their case TonB transporters, which utilize the proton motive force), based on observing concomitantly elevated expression levels of these membrane proteins in South Atlantic surface waters. Dominance of membrane transport proteins that rely on ATP or directly on the proton gradient across the membrane (i.e., ATP-binding cassette [ABC] and tripartite ATP-independent periplasmic [TRAP] transporters) has also been found in proteomes of marine bacterioplankton from Pacific coastal waters off Oregon [\(123\)](#page-23-23). Incidentally, at the Pacific time-series station ALOHA, "*Ca.* Pelagibacter" strain HTCC7211 PR genes were abundantly expressed along with high relative levels of genes encoding components of the C_4 -dicarboxylate transport (Dct) system. These secondary membrane transporters are directly dependent on the electrochemical proton gradient, rather than ATP, for transporting carbon compounds like mannitol and malate, indicating a potential link between PR proton pumping and nutrient transport into the cell [\(110\)](#page-23-10). Such links have been experimentally shown in starving cells of "*Ca.* Pelagibacter ubique" HTCC1062, where taurine uptake was 65% higher in the light than in darkness $(124).$ $(124).$

GENOMICS OF MARINE BACTERIAL AND ARCHAEAL RHODOPSINS

In the marine environment, rhodopsin genes are distributed in a wide variety of microbial taxa and habitats. As already mentioned, the main branching in the rhodopsin tree seems coincidental with deep branching in the rRNA tree: *Bacteria* form the deepest root, with *Archaea* and *Eukarya* forming a subsequent bifurcation. Moreover, many rhodopsin genes fall within taxonomically coherent clusters. While most microorganisms have inherited rhodopsin genes from their ancestors, there are substantial mismatches between the phylogenetic assignments of the rhodopsin compared to the SSU rRNA genes for several microorganisms. This suggests that lateral gene transfer of rhodopsin genes is a fairly frequent process. In this section we examine the acquisition of rhodopsin genes by LGT, consider the consequences of incorporating this gene in different genomic backgrounds, and finally explore how its expression might be regulated.

Lateral Transfer of Rhodopsin Genes

An important consequence of the genetic simplicity of the rhodopsin photosystem is the relative ease with which lateral gene transfer might occur [\(38,](#page-21-5) [56,](#page-21-22) [69,](#page-22-2) [125\)](#page-23-25). Thus, inconsistencies between the phylogeny of taxonomic markers, such as the SSU rRNA gene, and the rhodopsin and carotenoid genes are frequently observed [\(36,](#page-21-3) [56\)](#page-21-22). A particularly striking example is that of *S. ruber* $M31^T$ [\(45\)](#page-21-12). This bacterium belongs to a group close to the basal branching of the *Bacteroidetes* and lives exclusively in hypersaline aquatic environments, particularly in crystallizer ponds of solar salterns. *S. ruber* shares this environment with the haloarchaeon *Haloquadratum walsbyi*. They are both found in very large concentrations, sometimes reaching 10⁸ cells ml⁻¹. S. ruber has four rhodopsin genes, encoding xanthorhodopsin (a proton pump), halorhodopsin (a chloride pump), and two sensory rhodopsins [\(45\)](#page-21-12). Except for the xanthorhodopsin gene, the other three genes cluster together with homologous genes from haloarchaea, which is parsimoniously explained by LGT between these two coexisting microorganisms [\(45\)](#page-21-12). There are many other examples of transfers across domains. For example the PR genes from group II *Euryarchaeota* (*Archaea* clades A and B) are highly similar to PR genes from *Proteobacteria* but only distantly related to known archaeal PR genes, indicative of LGT from *Bacteria* to *Archaea* [\(69\)](#page-22-2).

LGT has also occurred between phyla. For example, there are *Gammaproteobacteria* genomes carrying PR genes clustering with *Actinobacteria* [\(81\)](#page-22-9). Further, there is differentiation within lowerlevel taxa, such as the *Gammaproteobacteria* genera *Vibrio* and *Glaciecola*, where some members have PRs clustering with *Alphaproteobacteria* and others have PRs clustering with *Gammaproteobacteria* [\(63\)](#page-21-30). Curiously, though, *Bacteroidetes* PRs cluster together and are consistently distinct from PRs in other taxa, such as *Proteobacteria* [\(Fig. 2\)](#page-3-0). Thus, PR genes appear to have moved within *Proteobacteria* (e.g., between *Alpha*- and*Gammaproteobacteria* and maybe from *Proteobacteria* to other distant taxa) but not to or from *Bacteroidetes*.

LGT events can also be detected among closely related genera. For example, among *Bacteroidetes*, the PR in *Dokdonia* sp. strain MED134 is closer to the PR in *Polaribacter*sp. strain MED152 than to the PR in the more closely related *Dokdonia* sp. strain PRO95

[\(126\)](#page-23-26). In fact, within the *Bacteroidetes* family *Flavobacteriaceae*, several studies report that the PR phylogeny is not in all cases congruent with their SSU rRNA gene phylogeny, indicating past LGT events [\(42,](#page-21-9) [67,](#page-22-14) [126\)](#page-23-26). These and other instances show that LGT of rhodopsin genes has occurred relatively frequently between both closely and distantly related taxa. It should be noted that the potential transfer frequency between closely related taxa is harder to distinguish, and thus it may be more frequent than realized so far.

LGT can also be observed in natural assemblages. Thus, an analysis of a set of 119 single amplified genomes (SAGs) from freshwater bacteria containing both PR and SSU rRNA genes showed that in a large majority of the SAGs the phylogeny of the PR gene was congruent with that of the SSU rRNA gene, while in around 5% of the SAGs it was not, implying that in the latter case they were acquired through LGT [\(81\)](#page-22-9). Interestingly, this analysis of SAGs also resulted in the first evidence for recombination between ActR and PR genes, resulting in composite rhodopsins in *Deltaproteobacteria* and *Betaproteobacteria* SAGs, giving another twist to the occurrence of LGT of rhodopsin genes.

An important consideration in relation to the often-observed indications for LGT of PR is that this system requires the presence of the carotenoid synthesis genes. Otherwise, retinal will not be made and the PR will not be functional. In some bacteria, the genes for beta-carotene synthesis are already present (for example, in many *Bacteroidetes* genomes). In these genomes, the PR gene is flanked only by *blh* (for cleaving beta-carotene to retinal) [\(Fig. 4\)](#page-12-2), and as a consequence, the transfer of these *blh-prd* tandem genes among bacteria with the ability for beta-carotene synthesis should be relatively straightforward [\(56,](#page-21-22) [126\)](#page-23-26).

In other cases, the carotenoid synthesis genes must be transferred with the *blh-prd* tandem. An excellent example is that of the family *Vibrionaceae* [\(63\)](#page-21-30). Most genomes in the family do not have carotenoid synthesis genes or PR. The *Vibrio* genomes with PR, however, have a gene cluster consisting of *blh*, the four *crtEBIY* genes, and *prd* [\(Fig. 4\)](#page-12-2). Importantly, PR is present only in vibrios that also have the *idi* gene somewhere in the genome; *idi* encodes an enzyme involved in the synthesis of carotenoid precursors (either a type 1 or a type 2 isopentenyl-diphosphate delta-isomerase). Curiously, neither of the pathogens *Vibrio cholerae* and *V. splendidus* carries the *idi* gene, except the one strain, *V. splendidus* ATCC 33789, that also has the *prd-blh* gene cassette, and in this strain the *idi* gene is positioned immediately upstream from the PR gene, suggesting that it may have been transferred along with the *prd-blh* cassette [\(63\)](#page-21-30). The association of the *prd-crtEIBY-blh* gene cluster with *idi* was also observed in genome fragments from the ocean [\(37,](#page-21-4) [56\)](#page-21-22). In effect, one-third of the environmental genome fragments analyzed by McCarren and DeLong [\(56\)](#page-21-22) carried the PR gene linked with the necessary set of *crt* genes. This arrangement was found in both *Proteobacteria* and *Planctomycetes* but not in planktonic *Euryarchaea* [\(56,](#page-21-22) [69\)](#page-22-2). Thus, in many bacteria these six genes make up a "gene cassette" that can confer phototrophic potential to new hosts with relative ease if transferred laterally [\(Fig. 4\)](#page-12-2). This indicates that the gene arrangement next to the rhodopsin gene and the gene set in the new host would determine the likelihood for successful outcomes of LGT events. This further suggests that LGT is possible even when the receptor cell does not have the carotenoid synthesis genes. Thus, the general genome architecture is highly likely to have implications for the

FIG 4 Arrangement of rhodopsin and carotenoid synthesis genes in different bacteria. (A) Carotenoid synthesis genes (*crt*) and the gene for synthesizing the chromophore retinal (*blh*) are in red. Rhodopsin variants are shown in green. In blue are genes whose products are known to respond to light. tRNA in black might be involved in lateral gene transfer. Labels above genes denote gene products. (B) Gene arrangement in the *Flavobacteriia* single amplified genome (SAG) MS024-2A; the PR is next to the conserved cluster of highly expressed genes that includes ribosomal protein, RNA polymerase subunit alpha, and transcription factors genes, in addition to Sec secretion pathway and TCA cycle genes.

extent of LGT of rhodopsin phototrophy between different bacteria.

Extraordinary verification of the potential ease of transfer between organisms came from experiments in which genome fragments containing PR gene cassettes were recombined into the standard laboratory model heterotrophic bacterium *E. coli*, where they were expressed to yield photosystems that were functional in the receiving host [\(127\)](#page-23-27). An intriguing but yet-unresolved issue in the context of lateral gene transfer is how the genes for PR phototrophy, once acquired, are incorporated into the metabolic and genetic regulatory network so that adequate expression and physiological integration in relation to relevant environmental conditions are obtained.

Regulation of Rhodopsin Gene Expression

The benefits provided by expressing rhodopsin genes likely depend on the level of sophistication of the regulation of their expression. The genetic and genomic elements in different bacteria that contribute to the regulation of rhodopsin gene expression, or rhodopsin photoheterotrophy in general, remain largely unexplored but presumably involve a multitude of layers.

Genome context. The role of genome architecture and gene location is increasingly recognized as an important factor in regulation of cell metabolism in eukaryotes and, more recently, also in prokaryotes [\(128\)](#page-23-28). In microorganisms, the PR gene is found in very different phylogenetic and physiological contexts. However,

there are some examples where a notable degree of synteny exists. Thus, in several genomes, the PR gene is in the vicinity of one of the longest conserved gene clusters in the bacterial world. Since this gene cluster contains genes that are part of the translational machinery, including a number of ribosomal protein genes and the gene for the alpha subunit of RNA polymerase, it is highly expressed in actively growing bacteria [\(129\)](#page-23-29). Highly active genes tend to be in loops that extend into the cytoplasm closer to enzymes, transcription factors, and ribosomes [\(128\)](#page-23-28). It is known that the cytoplasm of all organisms from all three domains of life is not homogenous [\(130\)](#page-23-30). Such is also the case of the expression of highly active genes, which occurs in subcellular locations referred to as "transcription factories" [\(131\)](#page-23-31). In these locations, RNA polymerases gather along with transcription factors and the genes that are being expressed. Therefore, the location of the rhodopsin gene close to such clusters likely contributes to expressing the rhodopsin gene at adequate levels in relation to the most actively expressed genes.

Genomes with the PR in this genetic context include the following: the environmental DNA fragments HOT2C01 (*Alphaproteobacteria*) and EBAC31A08 (*Gammaproteobacteria*), where the PR gene is only 12 genes away from the cluster [\(52\)](#page-21-18); the flavobacterial SAGs MS024-2A and MS024-3C, which are abundant in surface ocean samples [\(132\)](#page-23-32), where the gene is right next to the cluster [\(Fig. 4B\)](#page-12-2); and some flavobacterial isolates such as *Leeuwenhoekiella* strain Hel-I-48 (eight genes away) and *Mesoflavibacter zeaxanthinifaciens* S86 (seven genes away). In other bacteria, the distance is a bit longer, such as in *Polaribacter* isolates and SAGs, for example, where the PR gene is about 35 genes away, and in *S. ruber*, in which it is around 25 genes away. Therefore, placement of the PR gene in this location seems to be fairly common in environmentally abundant bacteria.

Other locations are also conserved in several bacteria. For example, in the genus *Dokdonia* (*Flavobacteriaceae*), the rhodopsin gene is next to a genomic island that is flanked by a gene with a conserved BLUF domain, whose product detects blue light and therefore might be a light regulator. There is also a tRNA, which is usually the flanking gene of genomic islands [\(126\)](#page-23-26).

A comparison of assemblies of SAR11 metagenomic fragments with the genome of "*Ca.* Pelagibacter ubique" HTCC1062 showed that of the 10 genes surrounding the PR gene, eight consistently conserved synteny, while two genes from HTCC1062 were consistently missing from the metagenome. These results show that there is a certain conservation of the location of PR in SAR11 from the Pacific Ocean [\(133\)](#page-23-33). The consistency of this and other cases of synteny needs to be determined by examining more examples, but we foresee that analyses of gene placement for the regulation of the rhodopsin phototrophy is a promising avenue of research.

Promoters, light sensors, and transcription factors. Another, more fine-tuned mode of regulation occurs more locally at the level of individual *prd* genes. González et al. [\(126\)](#page-23-26) found a strong promoter sequence in the upstream region of the PR gene in *Dokdonia* sp. MED134. This sequence is recognized by sigma factor RpoD (σ^{70}) , which is the main sigma factor initiating the transcription of housekeeping genes in most bacteria, including all *Bacteroidetes*. A strong promoter sequence upstream of the PR gene would ensure relatively high levels and adequate timing of the PR gene expression. Two pieces of evidence are consistent with a regulation by a strong promoter. First, the PR gene in *Dokdonia* sp. MED134 was one of the relatively few genes in this bacterium

predicted to be highly expressed based on codon bias [\(126\)](#page-23-26), a prediction shared with the usual highly expressed genes involved in ribosome synthesis, for example. Second, a high expression of PR in *Dokdonia* sp. MED134 was confirmed experimentally [\(117\)](#page-23-17), which also showed its expression depends on the growth phase [\(117\)](#page-23-17).

Microbial cells have several proteins with light-sensing domains, such as BLUF, PAS, EAL, GAF, GGDEF, phytochromes, or cryptochromes, that play different roles in the regulation of metabolism and growth. It would be interesting to ask whether microbes with rhodopsins have more or fewer such genes than bacteria without rhodopsins. Analysis of the genomes of *Polaribacter* sp. MED152 and *Dokdonia* sp. MED134 showed that these PRcontaining bacteria carry a considerable number of light-sensing genes with a variety of architectures [\(134\)](#page-23-34); such an abundance of light sensing genes was not observed in *Alpha*- or *Gammaproteobacteria*.

Almost nothing is known about other possible regulation mechanisms of rhodopsin photoheterotrophy, e.g., two-component systems or riboswitches. One intriguing possibility is that small RNAs may be involved, since Kimura et al. [\(135\)](#page-23-35) found that some small RNAs were highly expressed in the light together with the PR genes of *Dokdonia* MED134.

EXPERIMENTAL ASSESSMENT OF RHODOPSIN PHOTOHETEROTROPHY IN HETEROLOGOUS HOSTS AND NATIVE AQUATIC BACTERIA

The first experimental work on PR-containing cultured marine bacterial members of the SAR11 and SAR92 clades did not identify significant phenotypic properties that might be expected for rhodopsin-containing bacteria grown in light, such as elevated growth rates or yields [\(53,](#page-21-19) [55\)](#page-21-21). Notably, these organisms were grown in seawater, so their specific carbon sources, or other factors that might limit their growth, were unknown at the time. The simplistic perspective that higher growth rates or yields are the only physiological or ecological advantages provided by lightdriven energy generation raised doubts about the ecological relevance of PR phototrophy in marine bacteria [\(136,](#page-23-36) [137\)](#page-24-11). Currently, new knowledge is accumulating on how light energy harvested by PR is utilized, resulting from ecophysiological response experiments with different bacteria. Thus, a picture is emerging where the benefit of PR phototrophy can be realized through a multitude of physiological and ecological strategies. This is not so surprising, given the great diversity of phylogenetic, genomic, and physiological backgrounds in which PR is found. This also, however, poses challenges to broad generalizations or oversimplifications regarding the significance of rhodopsins for the global energy budgets. Current understanding of the physiological strategies and ecological roles of rhodopsins among different bacteria can be further advanced by defining the potential principal ecophysiological strategies for how rhodopsin phototrophy is utilized: (i) for promoting survival during conditions of starvation, (ii) for stimulating growth (note that these first two are principal components of ecological fitness), and (iii) for promoting general physiological efficiencies that might provide less readily detected fitness benefits, for example, by enhancing synergistic community interactions. It should be recognized that these are potential extremes on a continuum of life history strategies within which PR phototrophy might benefit bacteria, and it would be no surprise if the details in the benefits of PR phototrophy and its regulation were as

diverse as the bacterial community itself. In the following we provide insights into the benefits of rhodopsin phototrophy gained from experiments with expression of PR in heterologous hosts followed by experimental evidence for the use of PR in marine bacteria naturally harboring the PR photosystem.

Clues to the Mechanisms of Proteorhodopsin Photophysiology from Expression of Proteorhodopsin in Heterologous Hosts

Expression of PR in heterologous bacterial hosts has been an important approach to investigate both biochemical characteristics of PR and potential effects of light-driven proton pumping on bacterial physiology. Recently, a functional metagenomics assay that allows detecting pH changes from light-induced proton pumping by *E. coli* cells carrying fosmids with environmental DNA encoding rhodopsins was presented [\(138\)](#page-24-12). Analyses of heterologously expressed rhodopsins have provided mechanistic understanding of the potential use for rhodopsin phototrophy in different aspects of cellular bioenergetics.

Given the central role of the proton motive force for driving motility in *E. coli*, Walter et al. [\(139\)](#page-24-13) determined the bioenergetic potential of PR light harvesting by quantifying swimming and flagellar rotation speeds in *E. coli* cells expressing PR (compared to wild-type *E. coli* without the PR gene). Under standard aerobic growth conditions, light exposure had no effect. However, in cells under respiratory stress (from the poison azide, which inhibits respiration, or from low-oxygen conditions), light exposure considerably stimulated the flagellar rotation speeds in the *E. coli* with PR [\(139\)](#page-24-13). Interestingly, the relative contribution of PR light harvesting to total proton motive force increased with increasing respiratory stress, ultimately essentially covering the cellular energy demands, and even led to improved survival under such stress (i.e., having potential to replace the proton motive force driven by active respiration).

Further exploring the potential for light energy harvesting through PR, Martinez et al. [\(127\)](#page-23-27) screened fosmid libraries from metagenomic DNA from the ALOHA station in the Central North Pacific Gyre, using *E. coli* as host bacterium, for the presence of expressed retinal synthesis and PR genes that could generate a functional PR photosystem. Orange or red colony pigmentation of the *E. coli* host was used as a possible indication of recombinants with the PR photosystem, which resulted in two distinct clones with confirmed insertions of the *crtEIBY*, *blh*, and *prd* genes. Mutational analysis confirmed the function of each of the PR photosystem genes, and assays showed light-induced proton pumping across the cell membrane in both clones (but not in the mutants devoid of functional PR). Moreover, the *E. coli*recombinants with functional PR were able to synthesize 29% more ATP in the light than in darkness, thanks to the activity of proton-ATP synthase [\(127\)](#page-23-27). This represented the first direct measure of photophosphorylation in bacteria containing PR.

The ability to use a PR-derived proton motive force for driving distinct enzymatic reactions was further investigated in *E. coli* BL21 carrying PR and [NiFe]-hydrogenase genes on plasmids [\(16\)](#page-20-17). Under illumination, coexpression of the two genes increased production of hydrogen gas by 30% compared to that with hydrogenase gene expression only. Further, increasing the light intensity from 70 to 130 μ mol photons m⁻² s⁻¹ caused a yield improvement up to 80%. Subsequent work on fine-tuning the genetic setup in relation to defined growth conditions has resulted in the construction of an *E. coli* MG1655 *hemD* mutant that under anaerobic conditions reaches 10% higher growth yields upon growth with lactose (but not glucose) in the light compared to darkness, thanks to the expression of PR carried on a plasmid [\(140\)](#page-24-14). Interestingly, the authors noted that light stimulation was found only when using a codon-optimized SAR86 PR gene (i.e., from a gammaproteobacterium like *E. coli*), while tests with four other PRs from *Flavobacteriia* and *Alphaproteobacteria* were unsuccessful.

Johnson et al. investigated the benefit of PR proton pumping for physiological functions in another heterotrophic *Gammaproteobacteria* species, *Shewanella oneidensis* MR-1, in part also to explore potential practical aspects of metabolic engineering [\(15\)](#page-20-16). The PR gene from a SAR86 clade bacterium expressed from a broad-host-range vector in *S. oneidensis* resulted in an estimated 40,000 PR molecules per cell, and light exposure of starving cells caused similar levels of energized cells as amendment of the medium with lactate as an organic matter source under anaerobic conditions. Moreover, cultures exposed to light maintained viability over 6 days of stationary-phase starvation, while viability in dark cultures decreased to levels only 25% of those for cultures exposed to light. Measures of currents generated by cells colonizing the electrode in an electrochemical chamber showed that the oxidation current increased with increasing light intensity from 0.7 mW cm^{-2} to 9.0 mW cm $^{-2}$. These findings potentially indicate that the PR-generated proton motive force increases the rate of uptake of lactate. In a complementary study, PR was shown to provide sufficient proton motive force to run substrate-level phosphorylation under anaerobic growth with lactate in an *S. oneidensis* MR-1 ATP synthase mutant [\(141\)](#page-24-15).

Collectively, these studies support the long-held view that lightdriven PR proton pumping can provide important benefits to bacteria, both by the immediate strengthening of a variety of cellular processes directly dependent on the proton motive force and by providing the driving force for production of ATP. These studies also raise a series of questions relevant to understanding the mechanisms for proton motive force generation and/or increase in ATP production rates and, in particular, how adequate signaling is obtained to generate a required balance between the generation of ATP, reducing power, and cellular building blocks. Future work on PR gene expression in heterologous hosts could provide novel insights into how these complex processes are regulated.

Increased Subsistence of Naturally Proteorhodopsin-Containing Bacteria under Starvation

Gómez-Consarnau et al. [\(35\)](#page-21-2) investigated the growth and light responses of a PR-containing member of the genus *Vibrio*, strain AND4, which is closely related to the taxonomically described species *V. campbellii*. *Vibrio* sp. AND4 prefers growth under eutrophic conditions and does not show improved growth yields in the light compared to darkness [\(Fig. 5\)](#page-15-0). However, during extended periods of starvation (up to 2 weeks), biomass levels and bacterial abundance remain 40 to 60% higher in the light [\(35\)](#page-21-2) [\(Fig. 5\)](#page-15-0). Parallel analyses of a PR gene deletion mutant and a mutant strain complemented with the PR gene in *trans* provided the first direct evidence for a role of PR in mediating the light responses in bacteria naturally carrying the PR gene (wherefore we have chosen to use their designated gene name, *prd*, for the PR gene *sensu stricto*). Regrowth experiments after starvation periods in light or darkness with the wild-type and mutant strains, where

FIG 5 Temporal dynamics in bacterial abundance and proteorhodopsin gene expression. (A) Growth of *Vibrio* sp. AND4 in Zobell rich medium as measured by optical density (circles) and relative expression of the PR gene (triangles). Relative expression values were obtained by quantitative real-time PCR; the housekeeping genes*rpoD* and *rpoZ*were used for normalization. (Redrawn from reference [63.](#page-21-30)) (B) Dynamics in optical density of *Vibrio* sp. AND4 during starvation in constant light (open circles) and in darkness (closed circles). (Redrawn from reference [35.](#page-21-2)) (C) Growth of the flavobacterial isolate *Dokdonia* sp. MED134 in the light (open circles) and in the dark (closed circles) in seawater medium with complex organic matter as a carbon source. Also shown is relative expression of the PR gene in the light (open triangles) and in the dark (closed triangles). Error bars denote the standard deviations from three biological replicates; if not visible, error bars are hidden in the symbols (for gene expression in panel C, error bars denote standard error from biological triplicates). (Redrawn from reference [117.](#page-23-17))

the most rapid growth responses were found for the wild-type strain starved in the light, confirmed the fitness benefit for promoting survival of PR light energy harvesting under starvation.

Further work on *Vibrio* sp. AND4 and *V. campbellii* BAA-1116 has provided detail on the effects of PR phototrophy during starvation [\(63,](#page-21-30) [142\)](#page-24-6). Wang et al. [\(142\)](#page-24-6) characterized the function of PR in *V. campbellii* BAA-1116, establishing its light-induced proton pumping ability and demonstrating a 61% increase in cellular levels of ATP concentration upon light exposure compared to dark conditions and compared to those in a mutant lacking the PR gene. Starvation experiments with cells in nutrient-poor artificial seawater revealed that when challenged with respiratory stress induced by the poison sodium azide, cell viability was substantially higher in the light than in darkness [\(142\)](#page-24-6). This emphasizes the importance of photophosphorylation for sustaining viability under nutrient-poor conditions.

In *Vibrio* sp. AND4, improved survival under starvation in the light was observed only for cells in late stationary phase and not for actively growing cells. This is consistent with low levels of PR gene expression during exponential growth phase and a pronounced peak in expression right at the shift between active growth and stationary phase in this strain [\(63\)](#page-21-30) [\(Fig. 5\)](#page-15-0). For comparison, in BAA-1116, PR gene expression peaks later and well after entry into stationary phase. Curiously, in BAA-1116, survival is better in darkness than in light, possibly due to damage by light stress or induction of prophage [\(142\)](#page-24-6). Another difference between these closely related strains is that the PR gene expression is induced by nutrient limitation in AND4 [\(63\)](#page-21-30) but is induced by light in BAA-1116 [\(142\)](#page-24-6). Mutational analysis further clarified that the gene encoding the alternative sigma factor RpoS, a major regulator of cellular adjustments to stationary phase, positively regulates PR gene expression in BAA-1116 [\(142\)](#page-24-6). Quantitative PCR analyses further showed that *rpoS* gene expression precedes PR gene expression in AND4 [\(63\)](#page-21-30). Light-induced responses have been investigated also in the copiotrophic *Photobacterium angustum* S14, another member of the family *Vibrionaceae*. Like *Vibrio* sp. AND4, this bacterium reaches equal cell yields in the light and in darkness when growing in rich medium (18 mM carbon as glucose) in batch cultures. However, using the continuous-culture approach to quantify physiological responses under nutrient limitation, light-exposed cultures (12-h light and 12-h dark cycles) showed rearrangements of cell stoichiometry and elevated estimated yield values in rich medium (even though direct measures of cell abundance were higher in darkness, which is possibly attributable to fluctuations in flow rates), whereas no influence of light in cultures with 5-fold-diluted medium were detected [\(143\)](#page-24-16).

Steindler et al. [\(124\)](#page-23-24) investigated a wide variety of cellular properties during growth and in stationary phase with carbon starvation in the PR-containing strain "*Ca.* Pelagibacter ubique" HTCC1062, a member of the cosmopolitan SAR11 clade. During exponential growth, cells were similarly comma shaped in both light and dark, and while cell shape remained stable after entry into stationary phase in the light, cells in the dark became smaller and more unevenly shaped (and connected by pili). Light exposure further affected the oxygen consumption patterns, so that in late exponential phase and into stationary phase, oxygen concentrations in the seawater medium decreased less in the light than in the dark, although cell abundances under the two light conditions were similar at around 5×10^8 cells ml⁻¹. At different times in stationary phase, experiments to measure ATP content per cell upon short-term (5-min) light exposure were carried out [\(124\)](#page-23-24). This showed that ATP per cell increased around 17% in the light compared to darkness in early stationary phase, and values increased to around 50% later into stationary phase (similar to the 61% observed in *Vibrio* sp. BAA-1116 [\[142\]](#page-24-6)). This suggests that

the relative importance of photophosphorylation as a means of obtaining energy increases with the severity of starvation. Further, light resulted in 65% higher uptake rates of the N- and S-containing organic acid taurine, providing first evidence that light exposure in PR-containing bacteria can stimulate ATP-dependent transport of resources into the cell [\(124\)](#page-23-24). Microarray analysis was used to determine changes in whole-genome gene expression patterns induced by light. Nearly 10% of the genes ($n = 132$) were differentially expressed, around half of which were upregulated in the light. These included transcriptional regulators and, for example, genes in glycolysis and the glyoxylate shunt. In contrast, the pilin gene and several genes in the pathway of oxidative phosphorylation were upregulated in the dark. Notably, the PR gene also was upregulated 2-fold in the dark, but overall the PR gene expression appears to be relatively stable in this SAR11 clade representative (in comparison to SAR11 PR transcripts in nature). These consistent results, from measures of oxygen consumption to gene expression patterns, support the conclusion that oxidative phosphorylation (i.e., respiration) rates were reduced in carbonstarved "*Ca.* Pelagibacter ubique" cells in the light [\(124\)](#page-23-24), thanks to PR phototrophy providing ATP.

Taken together, the analyses of bacteria with such different life strategies as the oligotrophic SAR11 clade representative "*Ca.* Pelagibacter ubique" HTCC1062 and the copiotrophic *Vibrio* sp. AND4 appear to indicate principal similarities in how bacteria use PR phototrophy to promote survival under starvation. Nevertheless, future direct comparisons of distinct bacteria under controlled experimental conditions are likely to uncover exciting variations in the efficiency and molecular regulation of how rhodopsin phototrophy contributes to promoting fitness under starvation conditions.

Light-Stimulated Growth of Naturally Proteorhodopsin-Containing Bacteria

Growth stimulation by light. Light-mediated growth stimulation has currently been reported for five different bacteria, of which four are members of the class *Flavobacteriia* (*Bacteroidetes*) and one is a *Gammaproteobacteria* [\(Fig. 5\)](#page-15-0). Laboratory experiments with the naturally PR-containing isolate *Dokdonia* sp. MED134 (*Flavobacteriaceae*, *Bacteroidetes*) were the first to show that PR light harvesting could result in improved growth of marine bacteria [\(65\)](#page-22-12). Growth stimulation was strongest for cultures growing under exposure to green light, in accordance with the wavelength absorbance maximum of the MED134 PR. Moreover, stimulation of growth was most pronounced in seawater with low concentrations of organic carbon, where over 4-fold-higher cell yields in the light compared to darkness were recorded [\(65\)](#page-22-12). Subsequent experimental analyses on *Dokdonia* sp. MED134 confirmed that the relative benefit of PR phototrophy was larger in seawater with low concentrations of dissolved organic carbon (DOC), showing the stimulation of light on both cell yields and growth rates [\(135\)](#page-23-35). This study provided further evidence for the role of PR in mediating the positive growth response to light, using a specific inhibitor of the retinal biosynthesis pathway, 2-(4-methylphenoxy)triethylamine hydrochloride (MPTA); while comparable cell yields were obtained with or without MPTA in the dark, growth in seawater with MPTA abolished the positive light response.

It is generally recognized that both the quantity and the quality of DOC are likely to influence bacterial growth [\(144\)](#page-24-17). Palovaara et al. [\(117\)](#page-23-17) compared the phototrophic growth response of *Dokdo-* *nia* sp. MED134 in seawater with low concentrations of either a mixed organic carbon source (yeast extract and peptone [YEP]) or the single compound alanine. This showed that the relative benefit of PR light energy harvesting was notably more pronounced during growth with only alanine, emphasizing the role of DOC quality or composition in conjunction with light for determining fundamental growth characteristics of PR-containing bacteria [\(117\)](#page-23-17).

In the first experiments with another flavobacterial isolate, *Polaribacter* sp. MED152, growth in rich medium (1:8 strength marine broth) was similar in light and darkness [\(134\)](#page-23-34). Still, subsequent analyses in growth media with lower levels of organic matter and nutrients showed that this *Flavobacteriia* representative also can use its PR phototrophy machinery to achieve higher growth yields in the light [\(145\)](#page-24-18).

Work on the PR-containing psychrophilic sea ice bacterium *Psychroflexus torquis* ATCC 700755 (*Flavobacteriia*) has provided further intriguing knowledge on how light affects distinct bacteria [\(146\)](#page-24-19). In this bacterium, growth is generally stimulated under illumination compared to darkness, with better growth achieved at very low light levels of 3.7 µmol photons m^{-2} s⁻¹ than at 27.7 μ mol photons m⁻² s⁻¹. The growth response at such low light levels is remarkable but was suggested to be in accordance with light levels attainable in sea ice. It should be noted that there are at present no studies that in a comprehensive way have investigated PR phototrophy responses under different light intensities (but see reference [35\)](#page-21-2). Importantly, the light stimulation of growth in *P. torquis* is salinity dependent, so that the strongest responses to light are found at salinities lower (17.5) or higher (70.0) than the salinity for optimal growth (35.0). The PR gene expression in this strain is stable across growth phases and different light conditions (as normalized against the SSU rRNA gene expression). Nevertheless, the relative abundance of PR protein in the cells, as determined from liquid chromatography (LC)-MS, varied in relation to both light and salinity conditions; the highest abundance was found in the light at intermediate salinities [\(146\)](#page-24-19). The distinct patterns of gene expression compared to relative protein abundance suggested that PR in *P. torquis* ATCC 700755 is under posttranscriptional regulation. Further detailed experiments on *P. torquis* uncovered pronounced proteome rearrangements under distinct light and salinity conditions [\(147\)](#page-24-20). Notably, proteins involved in central metabolic pathways increased under light compared to dark conditions, complemented by anaplerotic $CO₂$ fixation enzymes. Moreover, light significantly influenced the relative abundance of a range of membrane transporters, in particular TonB-dependent transporters [\(147\)](#page-24-20). These findings suggest that PR light energy harvesting allows *P. torquis*to adapt to the dynamic life conditions in sea ice.

Stimulation of growth by light is also observed in the flavobacterial isolate *N. marinus* S1-08, which contains three phylogenetically and functionally distinct rhodopsins (i.e., proton-, sodium-, and chloride-pumping varieties) [\(43\)](#page-21-10). In low-carbon seawater medium (0.14 mM carbon), its growth was around 30% higher in the light than in the dark. Still, it remains an intriguing issue to unravel whether this growth response is specifically determined by any particular of the rhodopsins or whether it results from the concerted action of all three rhodopsins.

In a manner similar to that in these *Flavobacteriia*, growth of the proteorhodopsin-containing marine gammaproteobacterium HIMB30, which contains the genetic machinery for carbon fixation (complete Calvin cycle and carboxysome components) but

not chlorophyll, is also improved in the light [\(148\)](#page-24-21). In this strain, cell yields in seawater enriched with low-micromolar concentrations of glucose doubled under continuous light compared to darkness; under 12:12-h light-dark cycles, yields increased by around 30%.

Anaplerotic reactions and central metabolism during lightstimulated growth. The findings of an ample set of genes encoding anaplerotic enzymes in the genome analysis of *Polaribacter* sp. MED152 inspired the first measures of the potential stimulation of bicarbonate fixation through PR phototrophy in an otherwise heterotrophic marine bacterium [\(134\)](#page-23-34). This showed that $CO₂$ fixation rates were nearly twice as high in the light than in darkness. Still, per-cell rates of fixation were very low $(< 0.001$ fg C cell⁻¹ h^{-1}), possibly reflecting that the bacteria were grown in rich medium (full-strength marine broth diluted 1:8 with seawater) where PR phototrophy did not have an influence on bacterial growth yields. Subsequent comparative genome analysis of *Bacteroidetes* genomes revealed that PR-containing *Bacteroidetes* have a higher number of genes involved in anaplerotic CO₂ fixation than *Bacteroidetes* without PR [\(149\)](#page-24-22). Expanded work on the photophysiology of anaplerotic reactions in *Dokdonia* sp. MED134 was carried out during growth in seawater cultures with relatively low DOC concentrations (i.e., enrichment with 0.3 to 0.7 mM C in the form of yeast extract and peptone [YEP] or alanine) [\(117\)](#page-23-17). Under these conditions, per-cell $CO₂$ fixation rates of 0.02 to 0.47 fg C cell⁻¹ h^{-1} were over 100-fold higher than those for MED152 growing in rich medium (i.e., around 30 mM C). Anaplerotic $CO₂$ fixation in MED134 thus contributed 24 to 31% of cell carbon in the light, with the highest levels in the cultures with alanine, while levels were below 14% in the dark [\(117\)](#page-23-17).

Mechanism of proteorhodopsin phototrophy: insights from gene expression analyses of rhodopsin-containing bacterial isolates. In *Dokdonia* sp. MED134, PR gene expression levels are substantially higher upon growth in the light than with growth in darkness [\(65,](#page-22-12) [117,](#page-23-17) [135,](#page-23-35) [150\)](#page-24-23) [\(Fig. 5\)](#page-15-0). Results for genes involved in the synthesis of the PR cofactor retinal are more varied, with some genes being upregulated in the light and some remaining stable [\(135\)](#page-23-35). Comparison of genome-wide gene expression patterns showed that an overall 20% of genes carried in the genome of MED134 ($n = 601$) are differentially expressed in light and dark cultures, with around half showing higher relative expression levels in the light (compared to 10% in "*Ca.* Pelagibacter ubique" HTCC1062 [\[124\]](#page-23-24)). Notably, a histidine kinase was highly upregulated in the light, indicating its possible involvement in regulating the PR light response [\(135\)](#page-23-35). Also, one cryptochrome and two putative DNA photolyase/cryptochrome genes were more highly expressed in light, while other genes containing light-sensing domains were equally expressed in light and darkness. Further, in accordance with the general stimulation of growth, transcript abundance was significantly higher in the light for several genes involved in central metabolic pathways (e.g., glycolysis and the TCA cycle) and genes for membrane transporters (e.g., Na⁺-dependent alanine or phosphate transporters). Genes involved in anaplerotic reactions (e.g., bicarbonate uptake and $CO₂$ fixation through carboxylating enzymes) were not upregulated in the light; no differences in ATP synthase transcript abundance were found [\(135\)](#page-23-35).

Detailed monitoring of relative gene expression patterns of a selection of genes in MED134 by qPCR showed that PR gene expression levels in the light vary considerably over time (20- to

100-fold), with peak values during late exponential phase [\(117\)](#page-23-17) [\(Fig. 5\)](#page-15-0). PR gene expression was detected at all growth phases also in the dark, but at levels 10 to 30 times lower than in the light [\(Fig.](#page-15-0) [5\)](#page-15-0). Further, a number of genes encoding enzymes involved in bicarbonate acquisition and anaplerotic $CO₂$ fixation were more highly expressed in the light, although the ranges of response for these genes were much smaller than for the PR gene. Remarkably, the two genes in the glyoxylate shunt, encoding isocitrate lyase and malate synthase, showed very pronounced dynamics. The relative expression of these genes was very low and relatively stable across growth phases in both the light and darkness in seawater with mixed DOC in the form of YEP. A similar (lack of a) pattern was observed during growth with the single carbon compound alanine in darkness. However, in light-exposed seawater cultures with alanine, the two glyoxylate shunt genes changed nearly 200-fold over time, with around 400-fold higher values in the light than in darkness from late exponential phase onwards. This suggested that glyoxylate shunt regulation in MED134 is an important component of the PR phototrophy response in a manner strongly dependent on the quality of available DOC.

Curiously, in the flavobacterial isolate *Dokdonia* sp. PRO95, which has a PR gene that is 76% identical to that of *Dokdonia* sp. MED134, growth is not stimulated by light. In this strain, PR gene expression levels normalized to the SSU rRNA gene were similar in the light and in the dark [\(93\)](#page-22-7). It should be noted, though, that the original work on PRO95 was done in seawater media with $10\times$ higher, or more, concentrations of organic carbon $(9.7 \text{ and } 121)$ mM carbon) than in the seawater used for experiments with MED134. Nevertheless, subsequent analysis in artificial seawater amended with only 0.14 mM carbon confirmed that PRO95 (which also turns out to have a rhodopsin affiliated with sodiumpumping "NDQ motif" rhodopsins) does not respond to light by increasing growth yields, even though light induction of proton pumping was recorded under these conditions [\(42\)](#page-21-9). Genomewide gene expression analysis of PRO95 cells in half-strength marine broth or artificial seawater showed that rhodopsins as well as associated retinal synthesis genes were expressed under these conditions. Gómez-Consarnau et al. [\(150\)](#page-24-23) recently found compelling indications from gene expression analyses that differences in growth responses to light between the closely related *Dokdonia* sp. strains MED134 and DSW-1 T on the one hand and strain PRO95 on the other hand are linked to vitamin B_1 acquisition. Thus, the authors suggested that the former two species, which are vitamin B_1 auxotrophs, efficiently use light to take up vitamin B_1 from their surroundings, while the latter species, which is a vitamin B_1 autotroph, is inefficient in vitamin B_1 uptake and thus needs to spend substantial energy on vitamin synthesis [\(150\)](#page-24-23). These findings provide an important impetus to investigate the ecological settings under which rhodopsin photoheterotrophy provides fitness benefits.

Proton-Pumping Rhodopsins in Chlorophyll-Containing Microbes and Associated Viruses

There are several marine microorganisms that have both rhodopsin genes and genes for synthesis of chlorophyll. These include a few bacteria with bacteriochlorophyll *a*, such as *Fulvimarina pe*lagi HTCC2506^T, which carries a xanthorhodopsin-like photoprotein that putatively is involved in proton translocation [\(151\)](#page-24-24). It is true also of several chlorophyll *a*-containing eukaryotic phytoplankton in the *Alveolata* and *Viridiplantae*, as it is for several cyanobacteria. In many cases, the rhodopsins in these organisms seem to be used as sensory proteins or as ion channels (for example, in *Chlamydomonas*) and not as proton pumps. However, in a few cases there has been experimental demonstration of protonpumping rhodopsins in chlorophyll *a*-based photoautotrophs that harbor these photoproteins.

Analysis of transcripts from Long Island Sound revealed that dinoflagellates can carry and express a gene for a xanthorhodopsin-like photoprotein with genetic features consistent with proton pumping [\(152\)](#page-24-25). This was immediately followed by a report on a proton-pumping rhodopsin in a specific marine eukaryote species, the heterotrophic dinoflagellate *Oxyrrhis marina. O. marina* CCMP1788 contains both a sensory rhodopsin related to other eukaryotic rhodopsins and a rhodopsin phylogenetically affiliated with proton-pumping bacterial xanthorhodopsins [\(47\)](#page-21-31). The latter rhodopsin was highly expressed via typical eukaryote transcriptional regulatory mechanisms in *O. marina* and was found in several other dinoflagellates as well. Slamovits et al. [\(47\)](#page-21-31) further reported that the photosynthetic dinoflagellate *Karlodinium micrum* contains another rhodopsin affiliated with PRs in *Proteobacteria*. The authors speculated that proton-pumping rhodopsins could contribute to the acidification of feeding vacuoles in phagotrophic dinoflagellates. Subsequent analyses in the photosynthetic dinoflagellate *Prorocentrum donghaiens* CCMAXU-364 showed that xanthorhodopsin gene expression is regulated by light and influenced by the light spectrum and intensity [\(153\)](#page-24-26). Recent work on *O. marina* CCMP1795 showed that light induces rhodopsin expression and promotes survival under starvation [\(79\)](#page-22-22), supposedly by providing energy for maintenance of basic cell integrity.

An exciting development in marine microbial oceanography is the recent indication that rhodopsins may be expressed in diatom and *Phaeocystis* lineages in the open ocean but not in coastal areas [\(154\)](#page-24-5). These findings resulted from studies investigating adaptations of phytoplankton to iron deficiency in the surface ocean, where the independency of rhodopsins from iron cofactors, in contrast to chlorophyll reaction centers, might provide a selective advantage. Further exploration of genomes and transcriptomes from isolated phytoplankton from different seas revealed rhodopsin expression in a wide variety of phytoplankton species [\(155\)](#page-24-8). Indeed, laboratory experiments showed that the rhodopsin gene and protein expression in the open ocean diatom *Pseudo-nitzschia granii* UWOSP1E was regulated by iron, with highest levels under iron-limited growth [\(155\)](#page-24-8). The authors thus conjectured that rhodopsins could "influence carbon cycling indirectly by maintaining seed populations of PR-containing diatoms under chronic iron stress.'

Genes predicted to encode a distinct cluster of rhodopsins have been found in viruses with large genomes that infect unicellular eukaryotes [\(156\)](#page-24-9), and by using these rhodopsins as queries, they were also found in metagenome libraries from the Global Ocean Survey. Analysis of conserved amino acids for rhodopsin functioning indicated that these were sensory rhodopsins, thus potentially affecting, for example, phototaxis of infected photosynthetic protists, such as *Phaeocystis* [\(156\)](#page-24-9). Such virus-associated rhodopsins have also been found in Red Sea metagenomes [\(103\)](#page-23-2). Taking these findings together, future analyses of the way in which rhodopsin- and chlorophyll-based systems for gathering light energy are coordinated promise to provide fascinating novel insights

into the factors that control the ecology of marine planktonic microorganisms under different environmental conditions.

TOWARD QUANTIFICATION OF MARINE PHOTOHETEROTROPHY

A large number of studies show that bulk activities of heterotrophic bacteria (here broadly referring to nonphotosynthetic prokaryotes) in aquatic environments are affected by light [\(120,](#page-23-20) [157](#page-24-27)[–](#page-24-28)[160\)](#page-24-29). Moreover, laboratory experiments indicate that light can influence the structure of bacterioplankton assemblages [\(161\)](#page-24-30). The current knowledge of the general influence of light on heterotrophic bacterioplankton activity was comprehensively reviewed by Ruiz-González et al. [\(162\)](#page-24-31). Many unknowns remain, however, especially with respect to the differential effects of light on oxygenic phototrophs versus photoheterotrophic microorganisms with respect to productivity and nutrient cycling. For example, and importantly, the degree to which bacterioplankton growth and survival are indirectly influenced by light effects on phytoplankton photosynthesis and dissolved organic matter production, compared to direct light effects on the photoheterotrophic metabolism of rhodopsin- or bacteriochlorophyll-containing microbes, remains to be determined.

While current studies have provided a rich perspective on the diversity and function of marine microbial rhodopsins, it is essential to obtain more quantitative constraints on the contribution of photoheterotrophy-mediated controls on biogeochemical fluxes of carbon and energy. An initial modeling approach applied to photoheterotrophic bacteria compared net benefits of light harvesting compared to photosystem maintenance costs for bacteriochlorophyll- and rhodopsin-containing bacteria [\(137\)](#page-24-11). While this was a useful exercise, many of the model assumptions about light-harvesting efficiencies and other parameters were not well constrained, and the great physiological variability known to be represented in opsin-containing microbes was not incorporated in the model. This initial analysis concluded that light harvesting could be beneficial to bacteriochlorophyll-containing bacteria but not to bacteria with rhodopsins (except under high light intensities or for bacteria with a large number of rhodopsins in their membranes). While this conclusion largely contradicts experimental data with rhodopsin-containing bacteria (see Experimental Assessment of Rhodopsin Photoheterotrophy in Heterologous Hosts and Native Aquatic Bacteria above), such model-based analyses could be further explored to determine how different parameter values (e.g., number of photosynthetic units per cell and energy yield per light unit) affect the potential net benefit to rhodopsin-containing bacteria. For example, the use of accessory pigments in addition to retinal (as found in xanthorhodopsin) may have a large effect on photosynthetic cross sections and light utilization efficiencies. It is quite likely that model parameter values differ significantly between bacteria that use rhodopsins for survival and bacteria in which light stimulates growth, and parameters such as carbon sources utilized, life history strategies, and other biologically relevant traits may differ also.

We recognize that there are important gaps in knowledge on the kinetics of a range of biochemical and physiological processes that ultimately determine the energetic benefit of rhodopsin light harvesting. Still, there are a few studies that provide actual estimates of a number of variables in marine bacteria of relevance to quantitatively assess the potential for light harvesting. Thus, Béjà et al. [\(96\)](#page-22-0) estimated that SAR86 bacteria may contain 2.4 \times 10⁴ PR

molecules per cell. The corresponding estimate was 1.0×10^4 PR molecules per cell for SAR11 bacteria [\(55\)](#page-21-21). For *Flavobacteriia*, Yoshizawa et al. [\(67\)](#page-22-14) estimated that *Winogradskyella* sp. strain PG2 in culture has approximately 5.2×10^4 PR molecules per cell (cell size, 1.2 μ m long and 0.5 μ m wide) or 1.6 \times 10⁴ PR molecules per cell for the size of these bacteria in the sea. The functional analyses of a diverse subset of eight *Flavobacteriia* isolates represented the first direct measures of light-driven proton pumping by PR in naturally PR-containing marine bacteria, indicating a rate of 124 protons per PR molecule per minute [\(67\)](#page-22-14). Subsequent studies on aquatic PR-containing bacteria have confirmed this finding [\(146\)](#page-24-19). In a heterologous expression system, *S. oneidensis* MR-1 produced 4.0×10^4 PR molecules per cell while growing anaerobically in minimal medium [\(15\)](#page-20-16). In another heterologous expression system, Martinez et al. [\(127\)](#page-23-27) found that starved *E. coli* harboring recombinant PR retrieved 2.2 \times 10⁵ molecules of ATP from 5 min of illumination, which was compared to 9×10^5 molecules of ATP from heterotrophic utilization of succinate under similar conditions. Similarly, modeling work by Walter et al. [\(139\)](#page-24-13) suggested that under respiratory stress "PR is able to maintain *E. coli* cellular pmf [proton motive force] near this maximum potential ($V_{PR} \sim$ $-0.2V$) with sufficiently bright illumination (K_M \sim 60mW/ cm²)," which is similar to the corresponding values for *E. coli* aerobic respiration. Recently, a surprisingly low estimate of around 300 PR molecules per cell in membranes in *E. coli* was reported to yield a 10% increase in growth under light with specific growth conditions [\(140\)](#page-24-14).

CONCLUDING REMARKS

While microbial rhodopsins span a wide range of divergent amino acid sequences, their tertiary structure and general biochemical function are remarkably conserved. However, rhodopsins are distributed among microbes belonging to a very wide variety of taxa, having vastly different genomic backgrounds and distinct physiologies. This suggests that the ecophysiological roles of rhodopsins will differ substantially among the variety of rhodopsincontaining bacteria. Accordingly, rhodopsin genes are found in the genomes of *Bacteria* and *Archaea* having very different life strategies. For example, among heterotrophic bacteria, PR genes are present in members of the widespread SAR11 clade, which are oligotrophic bacteria with the smallest known genome size for free-living bacteria. At the other end of the spectrum, PR genes are found in copiotrophs in the genus *Vibrio* with severalfold-larger genomes.

Therefore, it seems clear that the light-driven proton pumping of PR serves a continuum of functions, from promoting longterm starvation survival and short-term persistence under lownutrient conditions to growth rate and yield enhancement. As such, promotion of starvation survival and stimulation of growth are the two extremes by which PR phototrophy could benefit marine bacteria. This provides the framework necessary for interpreting seemingly conflicting results from experiments with different bacteria or analyses of light responses of bacterioplankton in their native marine environment. In fact, one should expect fundamentally different modes of regulation of metabolism and physiology depending on whether light energy harvested by rhodopsins is used for growth or for survival.

There are cases where light does not immediately stimulate growth or long-term survival under starvation of rhodopsin-containing bacteria. In such bacteria, rhodopsins probably are providing more subtle physiological or ecological benefits. Further, one can envision significant interactions between rhodopsins and other gene systems, whereby rhodopsin genes may affect the regulation and function of other genes in the same genome. For example, PR phototrophy may cause some genes to become unnecessary, and therefore these genes may be lost through evolution. The function of some other genes, such as genes for transporters that utilize the chemiosmotic proton motive force, may be enhanced by the activity of the rhodopsin, and in this case, these genes might be favorably selected for. Ingenious analyses, using alternative experimental approaches, with different rhodopsincontaining microbes will be necessary to reveal ecological benefits, as well as the evolutionary trajectories that have led to the widespread distribution and maintenance of PR photosystems among highly divergent bacterial groups.

Upon the initial discovery of PR, Béjà et al. [\(28\)](#page-20-29) speculated that PR could potentially drive an unrecognized kind of photosynthesis. RuBisCO, the key enzyme that fixes $CO₂$ in the Calvin cycle, has been found in strain IMCC2047 (*Gammaproteobacteria*), which also has a rhodopsin [\(61\)](#page-21-28). Still, rhodopsin-driven proton pumping does not directly generate the reductant necessary for $CO₂$ fixation, as does, for example, oxygenic photosynthesis. In order to support net $CO₂$ fixation, then, reducing power would have to be obtained from exogenous chemical sources. There is as yet no direct evidence for metabolisms where rhodopsin-based energy generation is combined with generation of reducing power. Thus, to date, rhodopsin-based light energy capture is known to support photoheterotrophy, not net photoautotrophy. Yet, untangling the intricate regulation of mechanisms for ATP, reducing power, and cell carbon acquisition in cells that contain multiple routes for production of these essential components will be a promising venue for future research.

Another intriguing venue of research will concern the physiology and regulation in those microorganisms with several rhodopsin genes. The case of *C. reinhardtii*strains is particularly complex, but there are several bacteria with three or four rhodopsin genes. Some of them clearly have different functions, such as the three genes in *N. marinus* S1-08T , but even in this well-known case, the regulation has not been well studied. Other cases involve microorganisms with both chlorophyll- and retinal-based systems for using light energy. The regulation in this case must be fascinating.

Even in photoheterotrophic microorganisms with a single rhodopsin proton pump, many aspects remain unknown. There is evidence that both the concentration and the quality of organic matter will result in different expression and benefits of the PR. It is likely that the response will also depend on inorganic nutrients, temperature, light quality and quantity, oxygen concentration, and many other environmental factors that have yet to be explored.

In addition, the functions of many rhodopsins are still unknown, and the ecological and physiological roles of others, such as chloride or sodium pumps, remain to be explored experimentally. There is also undoubtedly a considerable amount of rhodopsin diversity remaining to be discovered. For example, how extensive are additional rhodopsin-associated accessory pigments acting like those of xanthorhodopsin antennae in the oceans? Are there more complex antennae intermediate between xanthorhodopsin and those of chlorophyll systems? How many clusters are we missing in the rhodopsin tree? How extensive has lateral gene transfer been? We can foresee a substantial number of novel rhodopsins being discovered in the near future and hope that, eventually, a less confusing nomenclature will be achieved, once the natural diversity of rhodopsins has been mapped satisfactorily.

An even more challenging yet critical objective is to determine how rhodopsin-based light harvesting affects the carbon cycling and ecosystem energy fluxes globally and how PR-containing bacteria might react to increasing $CO₂$ concentrations and climate change relative to strictly heterotrophic bacteria. All these questions herald future discoveries and insights that are sure to arise from ecologically grounded rhodopsin research. Over the past few decades, many studies have fruitfully leveraged interdisciplinary research on rhodopsins carried by microorganisms in the marine environment by combining both applied and discovery-driven research, an approach that certainly can be usefully extended well into the future.

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