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## An Open-label, Dose-Escalation Phase 1 Study of anti-TYRP1 monoclonal antibody IMC-20D7S for patients with relapsed or refractory melanoma

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### Abstract

**Purpose**—Tyrosinase-related protein-1 (TYRP1) is a transmembrane glycoprotein that is specifically expressed in melanocytes and melanoma cells. Preclinical data suggest that monoclonal antibodies targeting TYRP1 confer anti-melanoma activity. IMC-20D7S is a recombinant human IgG1 mAb targeting TYRP1. Here we report the first-in-human phase 1/1b trial of IMC-20D7S.

**Experimental Design**—The primary objective of this study was to establish the safety profile and the maximum tolerated dose (MTD) of IMC-20D7S. Patients with advanced melanoma who progressed after or during at least one line of treatment or for whom standard therapy was not indicated enrolled in this standard 3 + 3 dose escalation, open-label study. IMC-20D7S was administered intravenously every 2 or 3 weeks.

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### **Potential conflicts of interest:**

M. Postow, Bristol-Myers Squibb (advisory board participation and research grant). J. Wolchok, Bristol Myers Squibb, Medimmune, Merck Pharmaceuticals, Genentech, Polynoma Pharmaceuticals (Grants/Research Support/Consultant).

**Results**—Twenty seven patients were enrolled. The most common adverse events were fatigue and constipation experienced by 9 (33%) and 8 (30%) patients respectively. There were no serious adverse events related to treatment, no discontinuations of treatment due to adverse events, and no treatment related deaths. Given the absence of dose-limiting toxicities, an MTD was not defined but a provisional MTD was established at the 20-mg/kg q2w dose based on serum concentration and safety data. One patient experienced a complete response (CR). A disease control rate, defined as stable disease or better, of 41% was observed.

**Conclusion**—IMC-20D7S is well tolerated among patients with advanced melanoma with evidence of anti-tumor activity. Further investigation of this agent as monotherapy in selected patients or as part of combination regimens is warranted.

### Keywords

Melanoma; monoclonal antibody; ADCC; ADCP; immunotherapy

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### Introduction

The incidence of melanoma in the United States has increased over the last three decades, with an estimated 76,100 new cases diagnosed in 2014(1). Historically, treatment of unresectable melanoma has been challenging as cytotoxic chemotherapy has failed to improve overall survival in this patient population. More recently, immunotherapy (2,3) and small molecule inhibitors targeting BRAF and MEK (4,5) have been shown to improve outcomes among patients with advanced melanoma. Nevertheless, many patients will either be refractory to such treatment or ultimately develop resistance to therapy and succumb to their disease. There remains a need to develop efficacious treatment options for this group of patients.

TYRP1 is a transmembrane glycoprotein involved in melanin biosynthesis that is specifically expressed in melanocytes(6). Following protein translation, TYRP1 is trafficked from the endoplasmic reticulum through the Golgi apparatus to melanosomes; it is subsequently transferred to the melanocyte cell surface upon membrane fusion(7). TYRP1 is highly expressed in melanocytes and melanoma cells (8), and its expression is generally stable throughout melanoma progression(9). Given its expression pattern, TYRP1 is a promising and potentially safe therapeutic target for melanoma patients.

The ability of therapeutic IgG1 monoclonal antibodies (mAbs) to induce antibody-dependent cell-mediated cytotoxicity (ADCC) and complement dependent cytotoxicity (CDC) on target cells has led to the successful development of multiple mAbs now in clinical use (10). Of note, successful targeting of cell surface proteins that appear to be uninvolved in growth signaling (e.g., CD20 in B-cell lymphomas) highlights the importance of ADCC and CDC, as opposed to the inhibition of signaling pathways, in the anti-cancer activity of some therapeutic mAbs (11).

IMC-20D7S is a recombinant human IgG1 mAb against TYRP1. Development of this clinical antibody is based on preclinical data showing that TA99, a murine IgG2a anti-TYRP1 mAb, localizes to subcutaneous melanoma xenografts (12), and inhibits syngeneic

tumor growth in preclinical models (13). The antitumor effect was dependent on the intact antibody (7), the presence of Fc receptor(14), and natural killer (NK) cells (13) highlighting the importance of NK-mediated ADCC for this mAb.

Given the preclinical activity of TYRP1-directed mAb therapy, we conducted a phase 1/1b of IMC-20D7S in patients with advanced melanoma. The primary objective of this study was to assess the safety of IMC-20D7S and establish a maximum tolerated dose (MTD). Secondary objectives were to describe the pharmacokinetic profile of IMC-20D7S, to recommend doses for subsequent clinical trials, to evaluate the immunogenicity of IMC-20D7S, and to assess progression free survival (PFS).

## Materials and Methods

### Patient population

All enrolled patients were at least 18 years of age and had confirmed, previously treated unresectable stage III or IV melanoma with measurable disease as per the Response Evaluation Criteria in Solid Tumors (RECIST 1.1). Patients who progressed after or during at least one line of treatment or for whom standard therapy was not indicated were enrolled. Other inclusion criteria included a life expectancy of at least three months, Eastern Cooperative Oncology Group (ECOG) performance status of 2 or better and adequate hematologic, renal, and hepatic function. Key exclusion criteria included ongoing grade 2 or worse side effects from prior radiation or chemotherapy, symptomatic brain or leptomeningeal disease, and ongoing immunosuppressive therapy, including steroid use. Patients were enrolled at three academic centers, and the protocol was approved by the institutional review boards of the respective participating institutions. All patients provided written informed consent.

### Study Design and Treatment

This was an open-label, dose-escalation phase 1/1b study. IMC-20D7S injection for intravenous infusion was provided by Eli Lilly and Company. An initial dose of 5 mg/kg, administered over 60 minutes, was selected based on preclinical toxicology studies. This clinical study consisted of evaluating escalating doses of IMC-20D7S in two different schedules: an every 2 week schedule (Arm A) with a cycle composing 4 weeks and an every 3 week schedule (Arm B) with a cycle composing 6 weeks. After starting treatment for the first patient in the initial cohort (1A), a minimum of 7 days observation period elapsed until the next patient started treatment within this cohort. No waiting period was mandated in other cohorts, and no inpatient dose escalation was permitted.

This study was performed with a 3 + 3 dose escalation study design. Within Arm A, planned dosing levels in the absence of dose limiting toxicities (DLT) were 5, 10, 20, and 30 mg/kg. Arm B (q 3 week dosing) was opened after the cohort receiving 10mg/kg every 2 weeks was completed without any safety concerns. Planned dosing levels in Arm B were 10, 20, and 30 mg/kg. Patients were enrolled into both Arm A and Arm B in parallel. Cumulative DLTs across all dose levels in both arms were assessed on an ongoing basis, but dose escalation within each arm proceeded independently. Following completion of Arms A and B

escalating-dose cohorts, a provisional MTD was to be defined. An expanded cohort was to be formed at the dose level defined at the MTD. At least six patients in total were to be treated at this dose level.

Patients in the escalating-dose cohorts were able to continue to receive IMC-20D7S in the absence of treatment failure, treatment intolerance, or consent withdrawal. Radiographic assessment of tumor response in both study arms was scheduled for every six weeks and evaluated as per RECIST v1.1. Additional imaging was performed if clinically indicated.

### **Tolerability and Safety**

The incidence and severity of adverse events were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) v4.02. Treatment emergent adverse events (TEAEs) were defined as events that occurred or worsened after the first dose of study drug. Serious adverse events (SAEs) were defined as any untoward medical occurrence, at any dose, that was life threatening, resulted in death, significant incapacity, or congenital anomaly; or that required (or extended) hospitalization, intervention to prevent permanent impairment, or intervention to prevent one of the other listed serious outcomes. Dose limiting toxicities (DLTs) were defined as any Grade 3 or above toxicity that emerged during study treatment and was clearly not attributable to melanoma or co-medication and was possibly, probably, or definitely related to IMC-20D7S in the judgment of the investigator. If a patient experienced a DLT, the patient would not receive further IMC-20D7S.

### **Pharmacokinetics and biomarker studies**

In Arm A, serial blood samples were collected prior to infusion and up to 2 weeks (336 hours) following the first (Cycle 1 Day 1) and fifth infusions (Cycle 3 Day 1). In Arm B, blood samples were collected prior to and up to 3 weeks (504 hours) following the first infusion (Cycle 1 Day 1), and up to two weeks following the fifth infusion (Cycle 3 Day 1). Two blood samples (pre- and 1 hour post end of infusion) were collected for the first infusion of cycles 2, 4, and subsequent cycles. Serum concentrations of IMC-20D7S were quantified using a non-validated enzyme-linked immunosorbent assay (ELISA) using human gp75 protein as the capture antigen and peroxidase-conjugated anti-human IgG Fc $\gamma$  as the detector antibody. IMC-20D7S concentrations were derived using Softmax Pro software from a four-parameter logistic regression line taken off the standard curve. Serum concentration data were analyzed by standard non-compartmental analysis (NCA) using Phoenix WinNonlin 6.3.

A blood sample was also collected prior to study treatment to assess Fc-receptor polymorphism status. We used a linear regression model, adjusted for dosage, gender, and age, to ask whether polymorphisms correlated with treatment response or duration of treatment.

### **Statistical analysis**

Efficacy and safety analyses were planned to be descriptive. The safety and efficacy population consisted of all patients exposed to any amount of study drug. Median PFS was

determined by the Kaplan-Meier method. They were performed using SAS Version 9.2. Data from patients in the expanded cohort were included with those of patients initially treated at the same dose in the escalating-dose cohort. With regard to Fc-receptor polymorphism studies, we used linear regression models to associate duration of treatment with candidate single nucleotide polymorphisms (SNPs) with adjustment for covariates (age, gender, and treatment arms). Such analyses were done using R 3.0.2 software. Significance was defined as  $p < 0.05$  for specific polymorphisms.

## Results

### Patient Population and Treatment

This study enrolled 27 patients between June 29, 2010 and August 20, 2012 of which 16 were men and 11 were women. Age ranged from 44 to 84 years with a median of 67 years. Each of the seven escalating-dose cohorts included three patients with the exception of Cohort 2B, which included four patients, one of whom had withdrawn prior to completing the first treatment cycle and had been replaced per protocol. An expanded cohort of 5 patients was treated at 20 mg/kg every two weeks (Cohort 3A dosing). Detailed patient characteristics are shown in Table 1.

Treatment duration ranged from 3 to 27 weeks with the highest number of treatment cycles (7) completed by one patient in Cohort 2A. Across all treatment groups, mean duration of treatment was 10.5 weeks. The cohort with the shortest mean treatment duration (5.3 weeks) was cohort 1A; the longest mean treatment duration (18.7 weeks) was in Cohort 2A.

### Safety and tolerability

Fourteen patients (51.9%) experienced treatment-related adverse events. Most of these treatment-related adverse events were low grade, and no patients had grade 3 or greater treatment-related adverse events. Adverse events occurring in more than one patient in the study are shown in Table 2. The most frequent adverse events were fatigue and constipation, occurring in 9 and 8 patients respectively.

A total of 12 patients experienced 21 treatment-emergent serious adverse events (SAEs) collectively (Table 2). Each treatment-emergent SAE occurred in one patient, and none were characterized as treatment-related. Furthermore, none of the SAEs led to death or discontinuation of study treatment. No deaths occurred during the study or during the protocol-required 30-day follow up period. There were no DLTs in this study (see supplementary Table 1 for a list of grade 3 or greater TEAE by system organ class).

### Pharmacokinetics and biomarker studies

The pharmacokinetic parameters computed for IMC-20D7S, are shown in Table 3. The terminal elimination half-life ( $t_{1/2}$ ) could not be reliably estimated due to the limited sampling time. Clearance at steady state for IMC-20D7S was approximately 0.01L/hr.

Consistent with recently published data on cetuximab (15), which carries the same IgG1 backbone as IMC-20D7S, there was a significant correlation between the duration of treatment and a polymorphism in the gene encoding Fc $\gamma$ RIIa, namely FCGR2A ( $p < 0.05$ );

while there was no discernible correlation between duration of treatment and genotype for FCGR3A or FCGR2B (Figure 1). The dose of drug administered positively correlated with duration of treatment ( $p < 0.05$ ). There was no significant correlation between clinical outcome and genotype.

## Efficacy

There was one patient in cohort 2A who had a complete response (CR) to IMC-20D7S at week 24 (Figure 2). At baseline, this 67 year old man had ileal metastases measuring  $3.3 \times 1.7$  cm in conglomerate dimension. His first on-treatment CT showed regression, with a CR evident by week 24. His PFS was 5.95 months. No patients had a best response of partial response (PR). Ten patients (37%) had stable disease; their PFS values were as follows: 2.6, 3.98, 2.6, 4.4, 4.21, 2.1, 5.55, 4.3, 2.73, and 4.14 months. Twelve patients (44%) had progressive disease. Three patients (11%) were not evaluable. The disease control rate, defined as stable disease or better, was 41% (Table 4). Median PFS of pooled patients from all dose levels was 2.10 months (95% confidence interval, 1.22 to 2.73). Six patients had a PFS beyond 3 months (4.14, 4.21, 4.3, 4.4, 5.55 and 5.95 months respectively) with 6 to 13 infusions in total.

## Discussion

Treatment with IMC-20D7S was well tolerated with doses safely escalated to 30mg/kg every 2 weeks (Arm A) and 30mg/kg every 3 weeks (Arm B). No MTD was determined since there were no treatment-related SAEs, DLTs, or grade 3 toxicities. The recommended dose for further evaluation was established at 20 mg/kg given every two weeks based on pharmacokinetic and safety data. While the overall objective response rate in this study was low, there was one patient with a complete response. Ten patients achieved stable disease.

There is consistent data showing an association between FcR polymorphisms and function of tumor-targeting mAbs (16,17). Since Fc $\gamma$ RIIa has been implicated in ADCC (18) and antibody-dependent cell-mediated phagocytosis (19) (ADCP) and the efficacy of the 20D7S preclinical analogue, TA99, was dependent upon Fc $\gamma$ R interactions, we hypothesized that patients' Fc $\gamma$ RIIa polymorphisms would be relevant to clinical outcome with 20D7S. Due to the low response rate and patient numbers, however, we were unable to find an association between Fc $\gamma$ RIIa polymorphisms and clinical response. Nevertheless, we found that Fc $\gamma$ RIIa polymorphism status was correlated with treatment duration (Figure 1). Further exploration of Fc $\gamma$  receptor polymorphisms, in larger cohorts, is warranted.

Although the efficacy of IMC-20D7S as a single agent was limited, IMC-20D7S may have greater clinical efficacy in combination with other immunotherapeutic approaches such as checkpoint (e.g., CTLA-4, PD-1, PD-L1) blockade. In principle, since tumor-targeted mAb therapeutics like IMC-20D7S can induce a tumor-specific T-cell response via ADCP (20–22), the induced T-cell response may theoretically be augmented with checkpoint blockade. The favorable toxicity profile of 20D7S makes it an attractive candidate for use in such combinations in subsequent clinical trials.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Statement of translational relevance

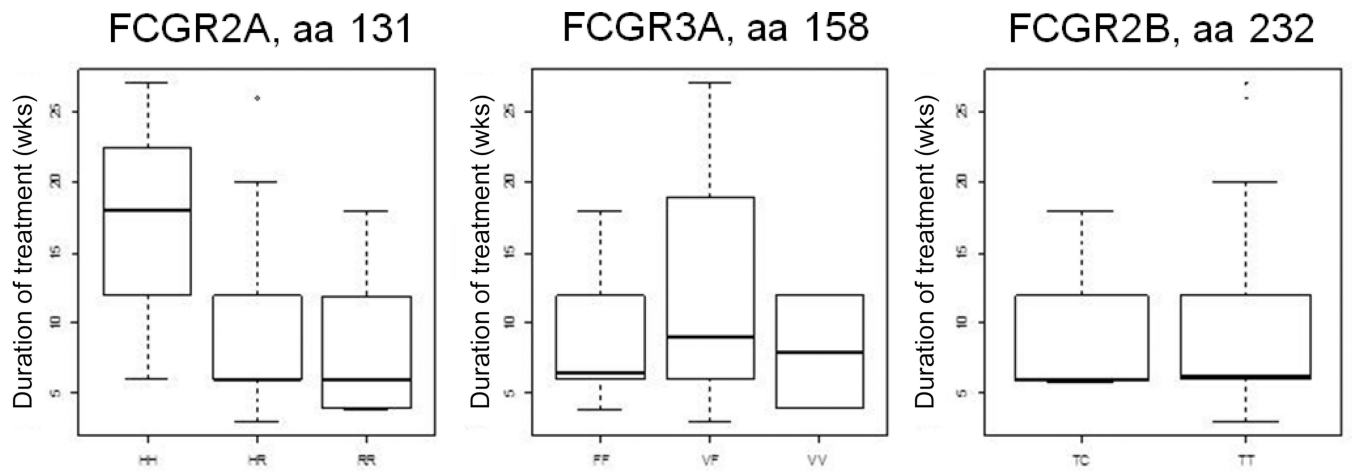
IMC-20D7S is a monoclonal antibody targeting TYRP1 on melanoma cells. We find that IMC-20D7S is well tolerated among patients with advanced melanoma. Furthermore, there is evidence of anti-tumor activity including a patient who achieved a complete response. In light of the possibility that it triggers an anti-tumor T cell response via antibody-dependent cell-mediated phagocytosis, its efficacy may be augmented with immune checkpoint blockade. Given IMC-20D7S's safety profile and its mechanism of action, there is strong rationale for testing it in combination with checkpoint blockade therapies such as anti-PD-1 and anti-CTLA-4 monoclonal antibodies. Further investigation of IMC-20D7S in melanoma patients is thus warranted.

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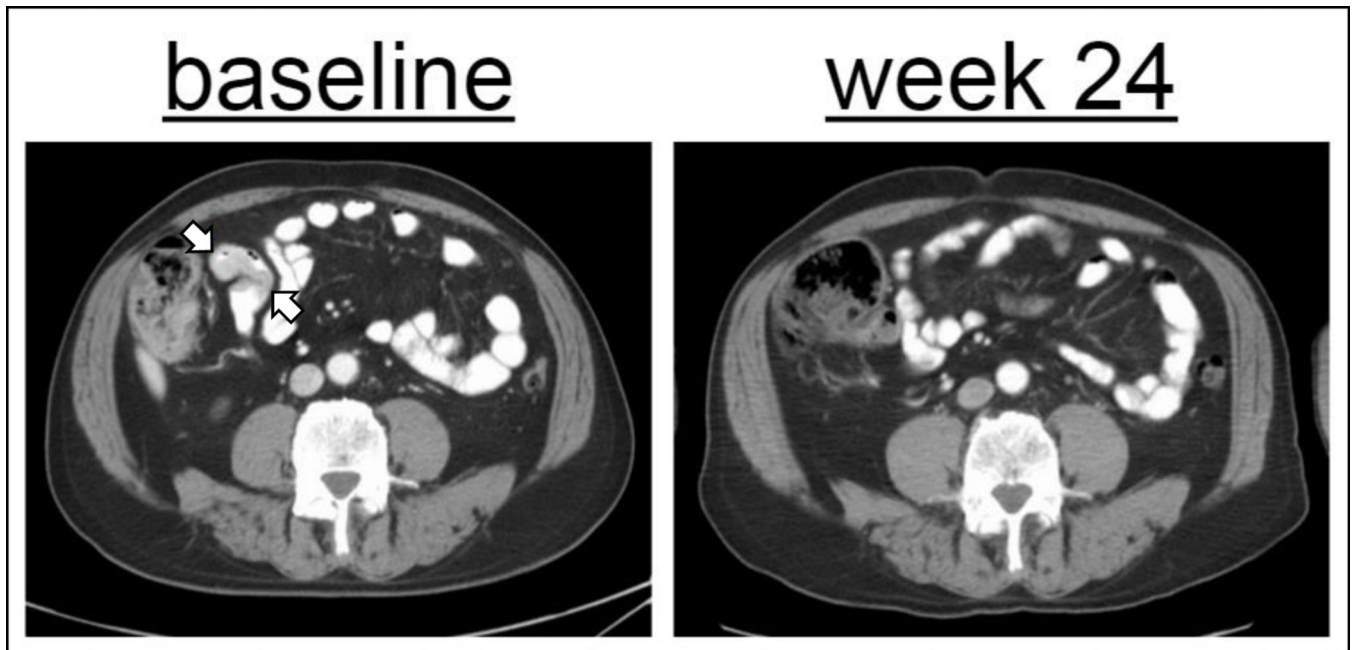
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**Figure 1. FCGR polymorphism status**  
FCGR2A polymorphisms correlate with duration of treatment.



**Figure 2. Patient achieving CR**  
Resolution of ileal metastases measuring  $3.3 \times 1.7$  (arrows) cm in conglomerate dimension.

**Table 1**

Patient Characteristics.

	Cohort	1A	2A	3A	4A	1B	2B	3B	All treatment groups
	Dose (mg/kg)	5	10	20	30	10	20	30	
	schedule	q2w	q2w	q2w	q2w	q3w	q3w	q3w	
	N	3	3	8	3	3	4	3	27
<b>Gender, n (%)</b>	Male	1 (33.3)	2 (66.7)	5 (62.5)	2 (66.7)	2 (66.7)	3 (75.0)	1 (33.3)	16 (59.3)
	Female	2 (66.7)	1 (33.3)	3 (37.5)	1 (33.3)	1 (33.3)	1 (25.0)	2 (66.7)	11 (40.7)
<b>Race, n (%)</b>	White	2 (66.7)	2 (66.7)	8 (100.0)	3 (100.0)	3 (100.0)	4 (100.0)	3 (100.0)	25 (92.6)
	Black/AA	0	1 (33.3)	0	0	0	0	0	1 (3.7)
	Asian	1 (33.3)	0	0	0	0	0	0	1 (3.7)
<b>Ethnicity, n (%)</b>	Hispanic/Latino	0	0	1 (12.5)	0	0	0	0	1 (3.7)
	Not H/L	3 (100.0)	3 (100.0)	7 (87.5)	3 (100.0)	3 (100.0)	4 (100.0)	3 (100.0)	26 (96.3)
<b>Age (yrs)</b>	N	3	3	8	3	3	4	3	27
	Mean	77.7	64.9	69.8	61.1	66.6	69.1	65.4	68.2
	SD	5.5	4.92	8.37	11.7	4.41	5.53	18.9	9.26
	Median	75	67.2	71	65.7	64.6	67.9	72.1	68.6
	Min	74.1	59.2	58.3	47.8	63.6	63.8	44.1	44.1
	Max	84	68.2	82.7	69.7	71.6	76.8	80.1	84
<b>Age group, n (%)</b>	18 to <65	0	1 (33.3)	2 (25.0)	1 (33.3)	2 (66.7)	1 (25.0)	1 (33.3)	8 (29.6)
	>= 65	3 (100.0)	2 (66.7)	6 (75.0)	2 (66.7)	1 (33.3)	3 (75.0)	2 (66.7)	19 (70.4)
<b>ECOG, n (%)</b>	0	3 (100.0)	1 (33.3)	5 (62.5)	0	2 (66.7)	2 (50.0)	2 (66.7)	15 (55.6)
	1	0	2 (66.7)	3 (37.5)	3 (100.0)	0	2 (50.0)	1 (33.3)	11 (40.7)
	>=2	0	0	0	0	1 (33.3)	0	0	1 (3.7)
<b>Prior Therapy</b>	Systemic	3	3	8	3	3	4	3	27
	Radiotherapy	2	2	3	2	1	2	1	13
	Surgery	3	3	8	3	3	4	3	27

Abbreviations: q2w, every two weeks; q3w every three weeks; AA African American, H Hispanic, L Latino

**Table 2**

Safety

Serious Adverse Events									
Cohort	1A	2A	3A	4A	1B	2B	3B	All treatment groups	
N	3	3	8	3	3	4	3	27	
No (%) of patients with SAE	1 (33.3)	1 (33.3)	4 (50.0)	2 (66.7)	0	4 (100.0)	0	12 (44.4)	
No of Treatment emergent SAEs	1	3	6	4	0	7	0		
SAEs	Syncope	Abdominal Pain, Pyrexia, Metastatic Pain	Disease Progression, UTI, Failure to thrive, Hypoglycemia, Cerebral Hemorrhage, Mental Status Change	Melena, Mouth hemorrhage, hematuria, urinary bladder hemorrhage		Subdural hematoma, Hypophosphatemia, Metastases to CNS, Dyspnea, Hypoxia, Pleural effusion, DVT			
TEAE occurring in more than one patient per cohort									
Cohort	1A	2A	3A	4A	1B	2B	3B		
N	3	3	8b	3	3	4	3		
Event/n (%)	NA	night sweats / 2 (66.7)	Constipation / 4 (50.0), Fatigue / 4 (50.0), Arthralgia / 2 (25.0), Musculoskeletal pain / 2 (25.0), Cough / 2 (25.0)	Diarrhea / 2 (66.7), Dry mouth / 2 (66.7), Hyponatremia / 2 (66.7)	NA	Hypophosphatemia / 2 (50.0)	Fatigue / 2 (66.7), Arthralgia / 2 (66.7)		
TEAE Occurring in More than one patient per study									
No. of patients (%)	TEAE								
9 (33.3)	fatigue								
8 (29.6)	constipation								
5 (18.5)	hypophosphatemia, arthralgia								

4 (14.8)	headache, cough, diarrhea, decreased appetite,
3 (11.1)	abdominal pain, hyponatremia, night sweats
2 (7.4)	dry mouth, chills, upper respiratory tract infection, dehydration, back pain, muscle spasms, musculoskeletal pain, nodule on extremity, peripheral neuropathy, insomnia, dyspnea, pleural effusion, postnasal drip, hyperhidrosis, pruritis, deep vein thrombosis

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**Table 3** Pharmacokinetic parameters following Single (Cycle 1 Day 1) and Multiple Administrations (Cycle 3 Day 1).

	Geometric Mean (CV%) <sup>d</sup>									
	Arm-A (q2w)					Arm-B (q3w)				
	5 mg/kg q2w (N=3)	10 mg/kg q2w (N=3)	20 mg/kg q2w (N=8)	30 mg/kg q2w (N=3)	10 mg/kg q3w (N=3)	20 mg/kg q3w (N=4)	30 mg/kg q3w (N=3)			
<b>First Infusion (Cycle 1 Day1)</b>										
<b>C<sub>max</sub></b> (µg/mL)	159 (30)	609 (4)	1228.893; 1450.289 <sup>b</sup>	1320 (64)	580.517; 331.732 <sup>b</sup>	1290 (15) <sup>c</sup>	1280 (21)			
<b>t<sub>max</sub></b> (hr) <sup>d</sup>	1.50 (1.50-1.50)	1.50 (1.00-2.00)	9.00; 1.32 <sup>b</sup>	1.52 (1.52-4.43)	2.00; 1.58 <sup>b</sup>	2.00 (1.00-2.00) <sup>c</sup>	3.65 (1.83-8.63)			
<b>AUC<sub>(0-336)</sub></b> (µg·hr/mL)	20900 <sup>e</sup>	34200; 47600 <sup>b</sup>	153000; 135000 <sup>b</sup>	100000; 321000 <sup>b</sup>	64700 <sup>e</sup>	161000 (43) <sup>c</sup>	152000 (23)			
<b>Fifth Infusion (Cycle 3 Day1)</b>										
<b>C<sub>max</sub></b> (µg/mL)	NA	505 (22)	NA	2501.066 <sup>e</sup>	850.041 <sup>e</sup>	1159.235 <sup>e</sup>	NA			
<b>t<sub>max</sub></b> (hr) <sup>d</sup>	NA	25.00 (1.00-49.00)	NA	2.63 <sup>e</sup>	2.03 <sup>e</sup>	3.67 <sup>e</sup>	NA			
<b>AUC<sub>τ</sub></b> (µg·hr/mL)	NA	59300; 87400 <sup>b</sup>	NA	395000 <sup>e</sup>	NC	NC	NA			
<b>CL<sub>ss</sub></b> (L/hr)	NA	0.0118; 0.00744 <sup>b</sup>	NA	0.00922 <sup>e</sup>	NC	NC	NA			
<b>V<sub>ss</sub></b> (L)	NA	1.70 <sup>e</sup>	NA	2.51 <sup>e</sup>	NC	NC	NA			
<b>R<sub>A,Cmax</sub></b>	NA	0.829 (22)	NA	0.981 <sup>e</sup>	1.46 <sup>e</sup>	0.808 <sup>e</sup>	NA			
<b>R<sub>A,AUC</sub></b>	NA	1.24; 2.56 <sup>b</sup>	NA	1.23 <sup>e</sup>	NC	NC	NA			

Abbreviations : AUC(0-336) = area under the concentration-time curve from time 0 to 336 hours; AUC<sub>τ</sub> = area under the concentration-time curve during 1 dose interval (336 hours for q2w and 504 hours for q3w); CL<sub>ss</sub> = clearance at steady state (after intravenous administration); C<sub>max</sub> = maximum observed drug concentration; CV = coefficient of variation; N = number of patients dosed; n = number of observations; NA = not available; NC = not calculated; R<sub>A,AUC</sub> = accumulation ratio calculated using AUC; R<sub>A,Cmax</sub> = accumulation ratio calculated using C<sub>max</sub>; t<sub>max</sub> = time of maximum observed drug concentration; V<sub>ss</sub> = volume of distribution at steady state.

<sup>a</sup> Geometric mean and geometric CV% are provided for n = 3; otherwise actual values are provided.

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<sup>b</sup> Values separated by semicolon are provided when  $n=2$ .

<sup>c</sup>  $n=3$ .

<sup>d</sup> Median (range) are provided for  $t_{max}$ .

<sup>e</sup> The value is given when  $n=1$ .



**Table 4**

Response

	Cohort	1A	2A	3A	4A	1B	2B	3B	All treatment groups
	N	3	3	8	3	3	4	3	27
<b>Best overall response, n (%)</b>	CR	0	1 (33.3)	0	0	0	0	0	1 (3.7)
	PR	0	0	0	0	0	0	0	0
	SD	0	2 (66.7)	2 (25.0)	0	1 (33.3)	2 (50.0)	3 (100.0)	10 (37.0)
	Non-CR/Non-PD*	1 (33.3)	0	0	0	0	0	0	1 (3.7)
	PD	2 (66.7)	0	5 (62.5)	2 (66.7)	2 (66.7)	1 (25.0)	0	12 (44.4)
	Not Evaluable	0	0	1 (12.5)	1 (33.3)	0	1 (25.0)	0	3 (11.1)
<b>Disease control rate (CR+PR+SD)</b>		0.00%	100.00%	25.00%	0	33.30%	50	100.00%	40.70%
	95% CI (%)	0.0, 70.8	29.2, 100.0	3.2, 65.1	0.0, 70.8	0.8, 90.6	6.8, 93.2	29.2, 100.0	22.4, 61.2
	p-value	0.1000							

\* One patient in this cohort had nontarget disease only, and as per protocol was characterized as Non-CR/Non-PD