

# **Chromosome 5 of Human Pathogen** *Candida albicans* **Carries Multiple Genes for Negative Control of Caspofungin and Anidulafungin Susceptibility**

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*Candida albicans* **is an important fungal pathogen with a diploid genome that can adapt to caspofungin, a major drug from the echinocandin class, by a reversible loss of one copy of chromosome 5 (Ch5). Here, we explore a hypothesis that more than one gene for negative regulation of echinocandin tolerance is carried on Ch5. We constructed** *C. albicans* **strains that each lacked one of the following Ch5 genes:** *CHT2* **for chitinase,** *PGA4* **for glucanosyltransferase, and** *CSU51***, a putative transcription factor. We demonstrate that independent deletion of each of these genes increased tolerance for caspofungin and anidulafungin, another echinocandin. Our data indicate that Ch5 carries multiple genes for negative control of echinocandin tolerance, although the final number has yet to be established.**

andida albicans is a unicellular budding fungus that lives as part of normal human gut or genital microflora. It is also a major opportunistic pathogen in immunocompromised individuals. Naturally occurring strains of *C. albicans* are usually diploids with eight pairs of chromosomes. However, aneuploidy is well tolerated and is a means to introduce phenotypic diversity in a cell population [\(1\)](#page-9-0). Moreover, the copy number of a particular chromosome can control adaptation to a specific adverse environment [\(1\)](#page-9-0), including the development of resistance to fluconazole, a major antifungal from the azole class  $(1-3)$  $(1-3)$  $(1-3)$ . The best-studied regulation due to chromosome copy number is the reversible loss of chromosome 5 (Ch5) controlling resistance to L-sorbose, a toxic sugar that kills *C. albicans* or other fungi in a manner similar to that of echinocandins (reviewed in reference [4\)](#page-9-3). This regulation is complex, including multiple *CSU* (control of sorbose utilization) genes scattered along Ch5 that are organized in two functionally redundant pathways [\(5\)](#page-9-4). The expression of at least two such genes, *CSU51* (orf19.1105.2) and *CSU53* (orf19.3931), is finely tuned by antisense regulation  $(6)$ . Recently, we used laboratory mutants to demonstrate that the reversible loss of Ch5 also controls tolerance to the major echinocandin caspofungin, such that strains with one copy acquire caspofungin tolerance whereas strains that spontaneously duplicate monosomic Ch5 revert to susceptibility [\(4\)](#page-9-3). Based on the model system of sorbose resistance, Ch5 can carry multiple genes encoding negative regulators of echinocandin susceptibility.

Unlike research into the negative control of sorbose resistance, the study of negative control of echinocandin tolerance due to loss of one Ch5 is in its beginning. It was previously reported that disruption of both copies of the Ch5 gene *PGA4* (orf19.4035) confers increased caspofungin tolerance [\(7\)](#page-9-6). *PGA4* encodes a glycosylphosphatidylinositol (GPI)-anchored cell surface protein called 1,3-B-D-glucanosyltransferase, which resembles the GEL family of oligosaccharide transferases in *Aspergillus fumigatus*. However, this result needs reevaluation as the gene was disrupted in the genetic background of the BWP17 strain, which is unstable and responds to genetic manipulations in a nonconventional fash-ion [\(8\)](#page-9-7). Most importantly, one Ch5 in BWP17 lacks an  $\sim$ 36.8-kb portion adjacent to the right telomere [\(8\)](#page-9-7) that encompasses *PGA4*.

Another Ch5 gene that is a strong candidate for negative control is *CHT2* (orf19.3895), which encodes a GPI-anchored chitinase involved in hydrolysis of cell wall chitin. *CHT2* is repressed in the core caspofungin response [\(9,](#page-9-8) [10\)](#page-9-9). Of a total of four *C. albicans* genes for chitinases, only *CHT2* and *CHT3* (orf19.7586) were reported to be downregulated after treatment of *C. albicans* biofilm with micafungin, another echinocandin, which allowed the authors to suggest that *CHT2* and *CHT3* are involved in the cell wall's tolerance to stress caused by micafungin and the induction of chitin synthesis [\(11\)](#page-9-10). Earlier, mutations of *CHT2* and *CHT3* were found in a laboratory mutant that became highly tolerant to caspofungin and exhibited high chitin content but had no *FKS1* mutations causing clinical caspofungin resistance [\(12\)](#page-9-11). The authors suggested that mutations of *CHT2* and *CHT3* could result in increased chitin and could affect susceptibility to caspofungin.

In this work, we prepared and characterized deletion strains lacking an entire open reading frame (ORF) of either *PGA4* or *CHT2* and deletion strains lacking another putative GPI anchor, *CSU51*. The latter encodes a predicted transcription factor of the helix-loop-helix class, which, as described above, was previously found to be a negative regulator of sorbose resistance [\(5,](#page-9-4) [6\)](#page-9-5). We demonstrated that independent deletion of *PGA4*, *CHT2*, or *CSU51* conferred increased tolerance to the echinocandins caspofungin and anidulafungin. Our data indicate that *C. albicans* Ch5 carries multiple genes for the negative control of susceptibility to

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<span id="page-1-0"></span>**TABLE 1** *C. albicans* strains used in this study

Strain	Genotype	Source
$CAF4-2$	ura3 $\Delta$ ::imm434/ura3 $\Delta$ ::imm434	13
ER503 (fragmentation site 12)	394.22-kb truncation of right arm of Ch5	5
ER506 (fragmentation site 12)	Same as above, but independent truncation	5
NCS8 $(c\sin 51^{+/-})$	csu51 $\Delta$ ::URA3-FLP <sup>a</sup> /CSU51	This study
NCS6 $(c\sin 51^{+/-})$	$csu51\Delta$ ::URA3-FLP/CSU51	This study
NCS5 $(csu51^{+/-})$	$csu51\Delta$ ::URA3-FLP/CSU51	This study
NACS1 $(csu51^{-/-})$	$csu51\Delta::URA3-FLP/csu51\Delta::NAT1-FLP^b$	This study
NACS8 $(c\sin 51^{-/-})$	$csu51\Delta::URA3-FLP/csu51\Delta::NAT1-FLP$	This study
NACS19 $(c\sin 51^{-/-})$	$csu51\Delta::URA3-FLP/csu51\Delta::NAT1-FLP$	This study
NC136 $cht2^{+/-}$ )	cht2 $\Delta$ ::URA3-FLP/CHT2	This study
$NC72 (cht2^{+/})$	cht2 $\Delta$ ::URA3-FLP/CHT2	This study
NC133 $\left(\frac{cht2^{+/-}}{2}\right)$	cht2 $\Delta$ ::URA3-FLP/CHT2	This study
NAC4 $(cht2-/-)$	cht2 $\Delta$ ::URA3-FLP/cht2 $\Delta$ ::NAT1-FLP	This study
NAC12 $\left( cht2^{-/-} \right)$	cht2 $\Delta$ ::URA3-FLP/cht2 $\Delta$ ::NAT1-FLP	This study
NAC7 $(cht2^{-/-})$	cht2 $\Delta$ ::URA3-FLP/cht2 $\Delta$ ::NAT1-FLP	This study
NP6 $(pga4^{+/-})$	pga4 $\Delta$ ::URA3-FLP/PGA4	This study
NP3 $(pga4^{+/-})$	pga4 $\Delta$ ::URA3-FLP/PGA4	This study
NP5 $(pga4^{+/-})$	pga4 $\Delta$ ::URA3-FLP/PGA4	This study
NAP88 ( $pga4^{-/-}$ )	pga4 $\Delta$ ::URA3-FLP/pga4 $\Delta$ ::NAT1-FLP	This study
NAP86 ( $pga4^{-/-}$ )	pga4 $\Delta$ ::URA3-FLP/pga4 $\Delta$ ::NAT1-FLP	This study
NAP76 ( $pga4^{-/-}$ )	pga4 $\Delta$ ::URA3-FLP/pga4 $\Delta$ ::NAT1-FLP	This study
IRCT1	Clinical isolate	$\overline{\mathbf{4}}$
JMC200-3-3	Same as above, but a single Ch5, $MTL\alpha$	F. Yang and E. Rustchenko, unpublished data
JMC200-3-3-R	Same as above, but Ch5 duplicated	F. Yang and E. Rustchenko, unpublished data
SC5314	Clinical isolate	$\overline{4}$
SMC60-2-5	Same as above, but a single Ch5, MTLa	F. Yang and E. Rustchenko, unpublished data
SMC60-2-5-R	Same as above, but Ch5 duplicated	F. Yang and E. Rustchenko, unpublished data
BWP17	ura3 $\Delta$ ::imm434/ura3 $\Delta$ ::imm434 his1 $\Delta$ ::hisG/his1 $\Delta$ ::hisG arg4 $\Delta$ ::hisG/arg $\Delta$ ::hisG	27
DAY286	ura3\:imm434/ura3\:imm434 his1::hisG/his1::hisG pARG4::URA3::arg4::hisG/arg4::hisG	27
FJS5	ura3 $\Delta$ ::imm434/ura3 $\Delta$ ::imm434 his1::hisG/his1::hisG arg4::hisG/arg4::hisG	27
	cht2::Tn7-UAU1°/cht2::Tn7-URA3	
$CAF2-1$	$ura3\Delta::imm434/URA3$	28
<b>DSY1768</b>	cht2 $\Delta$ ::hisG-URA3-hisG/cht2 $\Delta$ ::hisG	28
$pqa4^{-/-}$ strain	ura3 $\Delta$ ::imm434/ura3 $\Delta$ ::imm434 his1::hisG/his1::hisG arg4::hisG/arg4::hisG pga4::Tn7-UAU1/pga4::Tn7-URA3	$\overline{7}$

*<sup>a</sup> URA3*-*FLP* denotes the *FRT-SAP2P–FLP-URA3-FRT* cassette (*URA3* flipper).

*<sup>b</sup> NAT1-FLP* denotes the *FRT-SAP2P–FLP-NAT1-FRT* cassette (*NAT1* flipper).

*<sup>c</sup> UAU1* denotes the *ura3*-*ARG4*-*ura3* cassette [\(33\)](#page-10-0).

caspofungin and anidulafungin drugs; however, the final number of these genes still needs to be determined.

## **MATERIALS AND METHODS**

**Strains, media, and growth conditions.** The *C. albicans* Ura<sup>-</sup> strain CAF4-2, a derivative of the reference strain SC5314 [\(13\)](#page-9-12), was used as a recipient strain to delete genes. This and other strains generated in this study are listed in [Table 1.](#page-1-0) Cells were routinely maintained at 37°C. To prevent induction of chromosome alterations, all strains used were preserved in 15% (vol/vol) glycerol solution at  $-70^{\circ}C$  [\(2,](#page-9-1) [8,](#page-9-7) [14,](#page-9-13) [15\)](#page-9-14).

Cells of *Escherichia coli* DH5α were used for plasmid amplifications. Synthetic dextrose (SD), yeast extract-peptone-dextrose (YPD), and L-sorbose media were prepared as previously described [\(16,](#page-9-15) [17\)](#page-9-16). Media were solidified with 2% (wt/vol) agar. Nourseothricin, 150  $\mu$ g/ml (Werner Bioagents, Jena, Germany); uridine, 50 µg/ml (Sigma, St. Louis, MO, USA); and caspofungin, 120 ng/ml or 200 ng/ml (Merck Sharp & Dohme Corp., Kenilworth, NJ, USA) or anidulafungin, 15 ng/ml (Pfizer Inc., New York, NY, USA), were added when needed.

All primers and plasmids used in this study are presented in [Tables 2](#page-2-0) and [3,](#page-3-0) respectively.

**Gene deletions.** We sequentially deleted two copies of the entire ORF of each gene in the genetic background of the recipient strain (CAF4-2) using a cloning-based method [\(18,](#page-9-17) [19\)](#page-9-18). The first copy was deleted with the cassette carrying *URA3*, whereas the second copy was deleted with the cassette carrying *NAT1*. We independently generated strains lacking *CHT2*, *CSU51*, or *PGA4*. Also, deletion strains lacking each gene were generated from at least three independent experiments to ensure that the phenotype of interest was related to the deleted gene and not a mutation.

Deletion cassettes were prepared either in plasmid pSFU1 carrying the *URA3* flipper [\(20\)](#page-9-19) or in pJK863 carrying the *NAT1* flipper [\(21\)](#page-9-20) by subcloning various sequences of approximately 300 bp that flanked ORFs of target genes. Flanking sequences were amplified by PCR from the total genomic DNA of CAF4-2. The 5' untranslated region (UTR) of the target gene was amplified with primers which introduced KpnI and ApaI restriction sites [\(Table 2\)](#page-2-0) and subsequently subcloned in either the pSFU1 or pJK863 plasmid linearized with KpnI/ApaI restriction enzymes. The 3'

### <span id="page-2-0"></span>**TABLE 2** Primers used in this study



UTR sequence was amplified with primers which introduced SacII and SacI restriction sites [\(Table 2\)](#page-2-0) and subsequently subcloned in either the pSFU1 or pJK863 plasmid linearized with SacII/SacI restriction enzymes. The deletion cassette was released by restriction digest with KpnI and SacI

enzymes and used to transform CAF4-2 cells. SD medium was used to select transformants carrying the *URA3* flipper, whereas YPD medium supplemented with 150 µg/ml of nourseothricin was used to select transformants for putative null mutants carrying both the *URA3* and *NAT1*

<span id="page-3-0"></span>**TABLE 3** Plasmids used in this study

Plasmid	Description <sup><math>a</math></sup>	Source
pSFU1	URA3-FLP cassette	20
pJK863	CaNAT1-FLP cassette carrying nourseothricin resistance gene	21
pNN1	Same as pSFU1, but <i>URA3-FLP</i> is flanked by 5' and 3' CSU51 <sup>NCR</sup> for CSU51 first allele knockout	This study
pNN3	Same as pSFU1, but <i>URA3-FLP</i> is flanked by 5' and 3' CHT2 <sup>NCR</sup> for CHT2 first allele knockout	This study
pNN5	Same as pSFU1, but <i>URA3-FLP</i> is flanked by 5' and 3' PGA4 <sup>NCR</sup> for PGA4 first allele knockout	This study
pNN <sub>2</sub>	Same as pJK863, but CaNAT1-FLP is flanked by 5' and 3' CSU51 <sup>NCR</sup> for CSU51 second allele knockout	This study
pNN4	Same as pJK863, but <i>CaNAT1-FLP</i> is flanked by 5' and 3' CHT2 <sup>NCR</sup> for CHT2 second allele knockout	This study
pNN6	Same as pJK863, but CaNAT1-FLP is flanked by 5' and 3' PGA4 <sup>NCR</sup> for PGA4 second allele knockout	This study

*<sup>a</sup>* NCR, noncoding region; *Ca*, *C. albicans*.

flippers. Transformants were randomly picked up and purified, and the proper integration of the deletion cassettes was confirmed with PCR and sequencing.

Spot assay. Cells from  $-70^{\circ}$ C freezer stocks were streaked on YPD plates and incubated at 37°C until young colonies (approximately  $2 \times 10^5$ cells per colony) appeared. Cells were collected, appropriate dilutions were prepared, and 5 µl of each dilution was spotted on control YPD solid medium supplemented with 50  $\mu$ g/ml of uridine and either 120 or 200 ng/ml of caspofungin. The plates were incubated at 37°C and photographed with a Molecular Imager Gel Doc XR+ system (Bio-Rad, Hercules, CA).

**Broth microdilution assay for determination of MICs.** To determine MICs, we performed a broth microdilution test in accordance with the CLSI reference M27-A3 broth microdilution method for yeasts [\(22\)](#page-9-23). An inoculum of  $1 \times 10^4$  cells/ml of each strain was prepared in RPMI 1640 medium buffered to pH 7.0 with 0.165 M morpholinepropanesulfonic acid (MOPS). A series of 2-fold dilutions of the drug were prepared directly in 96-well flat-bottom polystyrene microtiter plates. Subsequently, 100  $\mu$ l of the cell suspension was added to each well to give a final concentration of  $1 \times 10^3$  cells/ml in a total volume of 200  $\mu$ l. The microtiter plates were incubated for 24 h at 35°C. Both negative (inoculum-free) and positive (drug-free) controls were included. Each strain was tested in duplicate on a microtiter plate. The turbidities were determined using a microplate reader (Spectra Max M5; Molecular Devices Corp.) at 600 nm.

**Determination of MICs.** The data generated by the microplate reader (see above) were used to calculate MICs at 50% of the inhibition of growth compared with growth in the drug-free control well. For this purpose, we applied nonlinear least-squares regression analysis according to a doseresponse curve model using an equation,

$$
OD_{600} = \frac{A}{1 + 10\left(\log x - \left(\log M - \left(\log \left(\frac{b}{100 - b}\right)\right)/h\right)\right)h}
$$
(1)

where *A* is range of optical densities at 600 nm  $(OD_{600})$ , *x* is drug concentration, *M* is MIC, *h* is Hill slope value, and *b* is percent inhibition [\(23\)](#page-9-24). Then, the best-fit curve was generated using Microsoft Excel software.

In addition, projected MIC values were calculated at different degrees of inhibition: 70%, 80%, and 90%.

Determination of 1,3- $\beta$ -glucan content in the cell wall. Cells were cultured in YPD broth up to log phase and then harvested and washed with TE buffer (10 mM Tris-HCl, 1 mM EDTA, pH 8.0) twice. The same amount of cells from each strain was prepared. The 1,3-ß-glucan levels were determined by the aniline blue assay, as previously described [\(4,](#page-9-3) [24\)](#page-9-25). Briefly, 500 µl of cell suspensions from each strain was resuspended with 100  $\mu$ l of 6 M NaOH, followed by incubation at 80°C for 30 min. After solubilization of a glucan, 2.1 ml of aniline blue mix (0.03% aniline blue, 0.18 M HCl, and 0.49 M glycine-NaOH, pH 9.5) was added to each sample, which was incubated at 50°C for 30 min and then cooled to room temperature for 30 min. After incubation, fluorescence was measured in a black 96-well microplate using a fluorescence plate reader (Spectra Max M5; Molecular Devices Corp.) at an excitation wavelength of 400 nm, an emission wavelength of 460 nm, and a wavelength cutoff of 455 nm.

**Determination of chitin content in the cell wall.** The chitin content was determined by measuring the absorbance of glucosamine released by acid hydrolysis of the purified cell wall as described previously [\(4,](#page-9-3) [25\)](#page-9-26). Briefly, approximately  $3 \times 10^3$  CFU was plated on YPD plates and incubated at 37°C. Young colonies of each strain (approximately  $2 \times 10^5$  cells per colony) were harvested from the surface of plates with autoclaved distilled water and collected by centrifugation. After being washed with water, cells were disrupted with 0.5-mm glass beads (11079105; BioSpec Products, Inc., Bartlesville, OK) using a mini-Beadbeater (BioSpec Products, Inc., Bartlesville, OK). Then, the pellet was washed five times with 1 M NaCl, extracted in SDS-MerOH extraction buffer (50 mM Tris, 2% sodium dodecyl sulfate, 0.3 M mercaptoethanol, 1 mM EDTA, pH 8.0) at 100°C for 10 min, and then washed three times in distilled water ( $dH_2O$ ). Cells were dried with a SpeedVac concentrator (Phoenix Equipment Inc., Rochester, NY) and weighed. Dry samples were suspended in 1 ml of 6 M HCl and boiled for 17 h. The acid was evaporated at 65°C. The hydrolyzed samples were resuspended in 1 ml of sterile  $dH_2O$ . A 100- $\mu$ l portion of the sample was mixed with 100  $\mu$ l of 1.5 M Na<sub>2</sub>CO<sub>3</sub> in 4% acetyl acetone. The



<span id="page-3-1"></span>**FIG 1** Spot assay for caspofungin (CAS) susceptibility of two separately derived mutants, ER503 and ER505, each carrying a 395.22-kb deletion adjacent to the right telomere on one Ch5 [\(Table 1\)](#page-1-0). Shown is the comparative growth of ER503 and ER505 versus growth of the parental strain CAF4-2 and the control strain ER305 with integrated empty vector. Media and caspofungin concentration are indicated. From left to right,  $10^4$ ,  $10^3$ ,  $10^2$ , and  $10^1$  cells were spotted on a YPD control plate or a YPD plate supplemented with caspofungin and incubated for 3 days at 37°C. Strains and their genotypes are indicated on the left and right, respectively. Note that strains ER503 and ER505 with a truncated Ch5 grow slower than control strain CAF4-2 or ER305 on a control YPD plate. However, in the presence of caspofungin, ER503 and ER505 grow well whereas control strains fail to grow. Also shown is a cartoon of Ch5 indicating positions of *PGA4*, *CHT2*, and *CSU51*.



<span id="page-4-0"></span>**FIG 2** Sequential deletion of a gene with the *URA3* flipper deletion cassette followed by the *NAT1* flipper deletion cassette and verification of gene deletion by a PCR screening method. (A) Diagram showing sequential use of deletion cassettes and position of PCR primers using *CSU51* as an example. (B and C) Deletion of the first CSU51 copy. PCR amplicons represent the 5' and 3' junctions, respectively, of the URA3 flipper cassette. (D and E) Deletion of the second CSU51 copy.<br>The PCR amplicon in CAF4-2 corresponds to CSU51. PCR amplic (F) Deletion of the first CHT2 copy. The PCR amplicon on the left or on the right represents, respectively, the 5' or 3' junction of the URA3 flipper cassette. (G and H) Deletion of the second CHT2 copy. The PCR amplicon respectively, of the *NAT1* flipper cassette. (I) Deletion of the first *PGA4* copy. The PCR amplicon on the left or the right represents the 5' or 3' junctions, respectively, of the *URA3* flipper cassette. (J and K) Deletion of the second *PGA4* copy. The PCR amplicon in CAF4-2 represents *PGA4*. PCR amplicons in  $pga4^{-/-}$  represent the 3' and 5' junctions, respectively, of the *NAT1* flipper cassette. Primers [\(Table 2\)](#page-2-0) or representative deletion strains [\(Table 1\)](#page-1-0) are indicated on top. Lanes M contain a 1-kb Plus DNA ladder (Goldbio, St. Louis, MO, USA) with some bands indicated on the left in base pairs. NCR, *FRT*, *SAP2P*, *FLP*, and *ACT1T* stand for, respectively, noncoding region, *FLP* recombinase target, *SAP2* promoter, flippase, and transcription termination sequence of the *ACT1* gene. Note that PCR verification of deletions was done for all single- or double-deletion mutants [\(Table 1\)](#page-1-0); data for representative mutants are shown here. Numbers at left of panels represent size in base pairs.

mixture was boiled for 20 min, and then 700  $\mu$ l of 96% ethanol and 100  $\mu$ l of *p*-dimethylaminobenzaldehyde solution in a 1:1 mixture of ethyl alcohol and concentrated HCl were added, followed by 1 h of incubation at room temperature. Optical densities were read at 520 nm with a plate reader (Spectra Max M5; Molecular Devices Corp., Sunnyvale, CA). Glucosamine (Sigma-Aldrich, St. Louis, MO) was used as a standard for measurement of chitin content. The final chitin level in each sample was calculated as a percentage of the cell wall dry weight.

**PCR.** For cloning purposes, PCR was conducted using *Ex Taq* DNA polymerase (TaKaRa Biomedicals, Otsu, Shiga, Japan) according to the manufacturer's instruction. Other PCRs were conducted using Dream *Taq* DNA polymerase (Thermo Scientific, Rockford, IL) according to the manufacturer's instructions. PCR products were electrophoresed on 1% agarose at 95 V for 45 min and stained with 1  $\mu$ g/ml ethidium bromide for 10 min. Gel images were obtained using the Molecular Imager Gel Doc XR+ system (Bio-Rad, Hercules, CA).

**Semiquantitative reverse transcription-PCR (RT-PCR).** To determine expression of genes of interest, we standardized the growth of *C.* *albicans* cells. Briefly, petri dishes with synthetic medium in which glucose was substituted for sorbitol were seeded with  $\sim$ 3,000 CFU per plate and incubated at 37°C for 20 to 40 h until colonies contained  $\sim 10^5$  cells/ colony. We prepared three batches of total RNA from three independent cultures of each strain. RNA extraction and reverse transcription were conducted using an RNeasy minikit (Qiagen, Valencia, CA) and a highcapacity cDNA reverse transcription kit (Applied Biosystems; Thermo Fisher Scientific, Waltham, MA), respectively, according to the manufacturer's instructions. PCRs were performed using Dream *Taq* DNA polymerase (Thermo Scientific, Rockford, IL) according to manufacturer recommendations. The gene *REX2* (orf19.1466) was used as an internal control. Pilot PCRs were conducted and showed that 28 to 31 amplification cycles produce amplicons with a linear increase of DNA. In final PCRs, approximately 20 to 80 ng each of cDNA for a gene of interest and a control gene were used as the templates to amplify the genes for the above number of cycles.

Semiquantitative RT-PCR was previously described by us [\(6\)](#page-9-5). Briefly, PCR products from several consecutive cycles in the exponential phase



<span id="page-5-0"></span>**FIG 3** Analysis of caspofungin or anidulafungin susceptibility phenotype. (A) Spot assay shows comparative growth of all single- or double-deletion mutants that are listedi[nTable1v](#page-1-0)ersus their parental strainCAF4-2 on control YPDmedium, aswell as on YPDmedium supplementedwith caspofungin (CAS), asindicated.Also shown is the comparative growth of representative double-deletion mutants on control YPD medium, as well as on YPD medium supplemented with anidulafungin (ANI), as indicated. Strains are indicated on the left. For more details, see the legend to [Fig. 1.](#page-3-1) Note that each mutant was tested in three independent spot assays, of which one assay is shown here. See Fig. S1 in the supplemental material for the other two assays. Numbers above panels are numbers of cells. (B) Broth microdilution assay shows comparative growth of representative double-deletion mutants NAC4 (*cht2<sup>-/-</sup>*), NACS1 (*csu51<sup>-/-</sup>)*, and NAP88 (*pga4<sup>-/-</sup>)*, after 24 h of incubation in media containing different concentrations of either caspofungin or anidulafungin, as indicated. The best-fit curves in each experiment were generated as explained in Materials and Methods. Note that each mutant was tested in three independent assays, of which a representative assay is shown here. See Fig. S2 and S3 in the supplemental material for the other two assays. Also, note that assays with anidulafungin were performed on the same microtiter plate.

were electrophoresed on 1% agarose at 95 V for 45 min and stained with 1 g/ml ethidium bromide for 10 min. The stained DNA bands were photographed using the Molecular Imager Gel Doc XR+ system (Bio-Rad, Hercules, CA), and band intensities were detected using Image Lab software (Bio-Rad, Hercules, CA). The gene of interest was normalized against the control gene *REX2* by calculating the mutant/parent ratio of densitometry values.

**Miscellaneous.** Plasmid DNA purification from the gel was done with the QIAquick gel extraction kit (Qiagen, Valencia, CA). For transformation of *C. albicans* cells, the lithium acetate method was used as described previously [\(26\)](#page-9-27). Sequencing by the Sanger method was done in the Genomic Research Center of the University of Rochester. Plates were photographed with the Molecular Imager Gel Doc XR + system (Bio-Rad, Hercules, CA).

## **RESULTS**

**Truncation of Ch5 right arm confers an increased caspofungin tolerance.** As we reported earlier, truncating one Ch5 by deleting a 395.22-kb portion adjacent to the right telomere caused resistance to sorbose similar to that with the loss of an entire Ch5 [\(5\)](#page-9-4). This is because the truncation cuts the dose of the critical genes encoding negative regulators of sorbose resistance in half. We tested two independently derived strains, ER503 and ER506, which both lacked the 395.22-kb portion on one Ch5, for growth on caspofungin-supplemented medium and found that the truncated Ch5 also causes caspofungin tolerance, compared to parental and control strains [\(Fig. 1\)](#page-3-1). In contrast, small deletions on the Ch5 right arm had no effect on caspofungin susceptibility (data not shown). This result indicated that the portion of 395.22 kb on  $Ch5$  encompasses a genetic element(s) that acts to suppress caspofungin tolerance. We examined genes carried within this portion and found *PGA4*, which has been previously reported to negatively control caspofungin susceptibility [\(7\)](#page-9-6), as well as *CHT2* and *CSU51*, which could be candidates for negative regulation (see the introduction for more). Because sorbose and caspofungin kill *C. albicans* in similar manners, the presence of multiple Ch5 genes for negative regulation of caspofungin tolerance is in agreement with our previous finding of multiple Ch5 genes for negative regulation of sorbose resistance.

**The** *cht2***/***cht2***,***csu51***/***csu51***, and** *pga4***/***pga4* **mutants acquired caspofungin and anidulafungin tolerance.** We prepared independent deletion strains lacking one or two copies of *CHT2*  $(\text{cht2}^{+/-} \text{ or } \text{cht2}^{-/-}), \text{CSU51 } (\text{csu51}^{+/-} \text{ or } \text{csu51}^{-/-}), \text{ or } \text{PGA4}$ ( $pga4^{+/-}$  or  $pga4^{-/-}$ ) [\(Table 1\)](#page-1-0) as described in Materials and Methods and illustrated in [Fig. 2.](#page-4-0) We took care to prepare three separately derived mutants of each kind,  $cht2^{+/}$  or  $cht2^{-/-}$ , *csu51<sup>+/-</sup>* or *csu51<sup>-/-</sup>*, and *pga4<sup>+/-</sup>* or *pga4<sup>-/-</sup>* [\(Table 1\)](#page-1-0). All deletion strains were analyzed with an agar-based spot assay for susceptibility to caspofungin, and one representative double-deletion mutant of each kind was also analyzed for susceptibility to anidulafungin. Each measurement was repeated three times.

By conducting pilot experiments, we found optimal drug concentrations that revealed growth differences based on the number of growing spots between the parental strain CAF4-2 and mutants: 120 ng/ml or 200 ng/ml for caspofungin and 15 ng/ml for anidulafungin. For example, no growth of CAF4-2 versus the doubledeletion mutant lacking *CSU51* in any of the four spots on the plate with caspofungin or greatly reduced growth of CAF4-2 versus either a single- or double-deletion mutant lacking *PGA4* in the first one or two spots from left to right and no growth in the two remaining spots [\(Fig. 3A\)](#page-5-0) was considered suppressed growth of

<span id="page-6-0"></span>**TABLE 4** Calculated values of caspofungin MICs for double-deletion mutants and CAF4-2*<sup>a</sup>*

	$MIC$ (ng/ml)				
Strain	MIC <sub>50</sub>	MIC <sub>70</sub>	MIC <sub>so</sub>	MIC <sub>90</sub>	
$CAF4-2$	$43 \pm 7$	$53 \pm 8$	$60 \pm 10$	$73 \pm 12$	
NAC4 $\left( cht2^{-/-} \right)$	$188 \pm 37$	$221 \pm 43$	$246 \pm 48$	$288 \pm 56$	
$CAF4-2$	$57 \pm 17$	$84 \pm 24$	$101 \pm 29$	$151 \pm 44$	
NACS1 $(c\sin 51^{-/-})$	$277 \pm 32$	$306 \pm 36$	$325 \pm 38$	$356 \pm 42$	
$CAF4-2$	$60 \pm 1$	$61 \pm 1$	$63 \pm 1$	$67 \pm 2$	
NAP88 ( $pqa4^{-/-}$ )	$124 \pm 1$	$124 \pm 5$	$125 \pm 1$	$139 \pm 8$	

 $a$  MIC<sub>50</sub>, MIC<sub>70</sub>, MIC<sub>80</sub>, or MIC<sub>90</sub> refers to the concentration of caspofungin at which 50%, 70%, 80%, or 90% of growth is inhibited, respectively. See Materials and Methods for the calculation of MIC values. The differences between mutants and CAF4-2 were evaluated with Student's *t* test, and all *P* values were 0.005. Note that parental CAF4-2 was assayed together with each deletion mutant.

the parental strain compared to that of the mutants. Data from one representative experiment are shown in [Fig. 3A;](#page-5-0) the two other repeats are shown in Fig. S1A and B in the supplemental material.

We found that all mutants lacking both copies of *CHT2* or *CSU51* showed growth in all four spots in the presence of caspofungin, unlike the parental strain CAF4-2, which had no growth. Mutants lacking a single copy showed, predominantly, growth only in the first spot on the left. All mutants lacking either one or both copies of *PGA4* grew up better than CAF4-2, and their growth levels were similar, thus indicating haploinsufficiency. A representative mutant lacking *PGA4* also consistently formed larger colonies than the parental strain (data not shown). In addition, all representative mutants lacking both copies of *CHT2*, *CSU51*, or *PGA4* showed better growth in the presence of anidulafungin than CAF4-2.

We then used one representative double-deletion mutant of each kind,  $cht2^{-/-}$ ,  $csu51^{-/-}$ , and  $pga4^{-/-}$ , to perform a quantitative broth microdilution assay for determination of caspofungin or anidulafungin MICs (Materials and Methods). Each experiment was independently repeated three times. MICs were determined and the best-fit curves were generated in each experiment as explained in Materials and Methods. In representative experi-ments with caspofungin [\(Fig. 3B\)](#page-5-0), CAF4-2 displayed a MIC<sub>90</sub> of 62.5 ng/ml on each graph, whereas deletion mutants displayed higher MICs (see Fig. S2A and B in the supplemental material for two more repeats). In addition, we used one representative experiment to calculate projected MIC values at different degrees of inhibition: 70%, 80%, and 90% (Materials and Methods). As expected, projected MICs of mutants were higher than those of CAF4-2 [\(Table 4\)](#page-6-0). The differences were evaluated with Student's *t* test and were all 0.005. In a representative experiment with anidulafungin [\(Fig. 3B\)](#page-5-0), CAF4-2 displayed a MIC $_{50}$  of 3.91 ng/ml at approximately 50% inhibition, whereas deletion mutants displayed higher MICs (see Fig. S3A and B in the supplemental material for two more repeats). Regarding calculated projected MIC values at different degrees of inhibition, values for 70%, 80%, and 90% inhibition of mutants were higher than those of CAF4-2 [\(Ta](#page-7-0)[ble 5\)](#page-7-0). The differences were evaluated with Student's *t* test and were all  $<$ 0.001.

We also tested a  $pga4^{-/-}$  disruption mutant in which the Tn7-*UAU1* disruption cassette was inserted in *PGA4* [\(7\)](#page-9-6) [\(Table 1\)](#page-1-0). We also tested two *CHT2* mutants: the FJS5 mutant, in which the Tn*7*-*UAU1* disruption cassette was inserted in *CHT2* [\(27\)](#page-9-21), and the

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	$MIC$ (ng/ml)						
Strain	MIC <sub>50</sub>	MIC <sub>70</sub>	MIC <sub>so</sub>	MIC <sub>90</sub>			
$CAF4-2$	$3.9 \pm 0.1$	$4.1 \pm 0.1$	$4.2 \pm 0.1$	$4.3 \pm 0.1$			
NAC4 $\left( cht2^{-/-} \right)$	$8.0 \pm 0.9$	$10.2 + 1.1$	$11.9 \pm 1.3$	$15.0 \pm 1.6$			
NACS1 $(c\sin 51^{-/-})$	$10.3 \pm 0.6$	$12.2 \pm 0.7$	$13.6 \pm 0.8$	$16.0 \pm 0.9$			
NAP88 ( $pqa4^{-/-}$ )	$16.6 \pm 1.0$	$19.5 \pm 0.7$	$21.6 \pm 0.8$	$25.1 \pm 0.9$			

<span id="page-7-0"></span>**TABLE 5** Calculated values of anidulafungin MICs for double-deletion  $m$ tants and  $C$  $\Lambda$ E<sub>4</sub>-2<sup>*a*</sup>

 $^a\rm$  MIC<sub>50</sub>, MIC<sub>70</sub>, MIC<sub>80</sub>, or MIC<sub>90</sub> refers to the concentration of caspofungin at which 50%, 70%, 80%, or 90% of growth is inhibited, respectively. See Materials and Methods for the calculation of MIC values. The differences between mutants and CAF4-2 were evaluated with Student's *t* test, and all *P* values were 0.001.

![](_page_7_Figure_4.jpeg)

<span id="page-7-2"></span>**FIG 4** Levels of cell wall chitin or glucan in representative double-deletion mutants NAC4 (*cht2<sup>-/-</sup>*), NACS1 (*csu51<sup>-/-</sup>*), and NAP88 (*pga4<sup>-/-</sup>*), compared with the parental strain CAF4-2. Results were averaged from three independent experiments. The amount of chitin or glucan in the parental strain is set as 100%. The asterisks indicate a P value of <0.05, determined using Student's *t* test.

DSY1768 mutant, in which 490 nucleotides (nt) was deleted between nt  $+587$  (with respect to the first ATG) and nt  $+1076$  in the *CHT2* ORF [\(28\)](#page-9-22) [\(Table 1\)](#page-1-0). We found that the  $pga4^{-/-}$  mutant grows better than the control strain in the presence of caspofungin (see Fig. S4 in the supplemental material), i.e., indicating greater caspofungin tolerance, similarly to our deletion mutants. However, neither DSY1768 or FJS5 mutants acquired higher tolerance (see Fig. S4). Importantly, in the DSY1768 mutant, of a total *CHT2* ORF (1,752 nt) only 490 nt, or approximately one-third, of the middle portion of the ORF was deleted, leaving intact 587 nt at the beginning and 676 nt at the end of the ORF. Apparently, this deletion was not sufficient to abolish *CHT2* function. We believe that incomplete removal of the *CHT2* ORF in the DSY1768 mutant and disruption of *CHT2* instead of deletion in the FJS5 mutant allowed retention of *CHT2* function and determined the phenotypic difference between these mutants and our mutants lacking a full ORF of *CHT2*. Overall, in this study, we confirmed *PGA4*'s involvement in the negative control of caspofungin tolerance in different genetic backgrounds (see reference [7\)](#page-9-6). We also provided evidence of *CHT2* and *CSU51* involvement in the negative control of caspofungin tolerance, and we provided evidence of *CHT2*, *CSU51*, and *PGA4* involvement in the negative control of anidulafungin tolerance.

*CHT2***,** *CSU51***, and** *PGA4* **are downregulated on monosomic Ch5.** We examined whether Ch5 copy number controls expression of *CHT2*, *CSU51*, and *PGA4* in caspofungin-tolerant mutants, as could be expected for the genes encoding negative regulators of caspofungin tolerance, i.e., decreased expression on the monosomic Ch5 but not on the reduplicated Ch5. For this purpose, we prepared a sequential series of genetically related strains including parental strain JRCT1 followed by Ch5 monosomic mutant JMC200-3-3 followed by Ch5 reduplicated derivative

JMC200-3-3R (F. Yang and E. Rustchenko, unpublished data). The construction of a series of matched strains with a copy number of Ch5 alternating between two and one is a well-established procedure in our laboratory, as described in detail previously [\(4,](#page-9-3) [29\)](#page-9-28). Briefly, a Ch5 monosomic mutant was obtained by plating cells of JRCT1 on YPD medium supplemented with a lethal amount of caspofungin, whereas the Ch5 reduplicated derivative was obtained due to spontaneous duplication of the monosomic Ch5 when growing cells of JMC200-3-3 on YPD solid medium in the absence of selection. Expression changes were determined with semiquantitative RT-PCR (Materials and Methods). Gels with representative amplicons that were quantitated are shown in Fig. S5 in the supplemental material. We found that *CHT2*, *CSU51*, and *PGA4* are downregulated on the monosomic Ch5 but not on the duplicated Ch5 [\(Table 6\)](#page-7-1). This experiment establishes the relationship between negative control due to the loss of one Ch5 and Ch5-residing genes *CHT2*, *CSU51*, and *PGA4*.

*CHT2***,** *CSU51***, or** *PGA4* **controls the decrease of 1,3-**-**-glucan and increase of chitin in the cell wall.** Caspofungin tolerance is associated with cell wall remodeling, including the decrease of  $1,3-\beta$ -glucan and increase of chitin  $(4, 12, 30)$  $(4, 12, 30)$  $(4, 12, 30)$  $(4, 12, 30)$  $(4, 12, 30)$ . Thus, we asked whether the same is true for the *CHT2*, *CSU51*, or *PGA4* deletion strains (see Materials and Methods for the assays). As shown in [Fig. 4,](#page-7-2) the *cht* $2^{-/-}$ , *csu51<sup>-/-</sup>*, and *pga4<sup>-/-</sup>* representative deletion strains possessed significantly higher cell wall chitin contents than the parental strain. Glucan, however, was substantially lower in the  $cht2^{-/-}$  and  $csu51^{-/-}$  strains and marginally decreased in the  $pga4^{-/-}$  strain.

<span id="page-7-1"></span>**TABLE 6** Expression change of *CHT2*, *CSU51*, or *PGA4* carried on the monosomic or duplicated Ch5 versus normal disomic Ch5 of the parental strain, as determined with semiquantitative RT-PCR from three independent RNA preparations*<sup>a</sup>*

	Ch <sub>5</sub> monosomic				Ch <sub>5</sub> duplicated			
	JMC200-3-3/JRCT1		SMC60-2-5/SC5314		JMC200-3-3R/JRCT1		SMC60-2-5R/SC5314	
Gene	Mutant/parent ratio Mean $\pm$ SD						Mutant/parent ratio Mean $\pm$ SD Mutant/parent ratio Mean $\pm$ SD Mutant/parent ratio Mean $\pm$ SD	
CHT2	0.61, 0.70, 0.54		$0.62 \pm 0.08^b$ 0.10, 0.04, 0.06		$0.07 \pm 0.03^{b}$ 1.40, 1.32, 1.61		$1.45 \pm 0.15$ 1.14, 0.84, 0.96	$0.98 \pm 0.15$
	$CSU51$ 0.57, 0.67, 0.51	$0.58 \pm 0.08^b$	0.54, 0.61, 0.73	$0.68 \pm 0.06^b$	0.88, 0.80, 1.12		$0.93 \pm 0.17$ 1.13, 1.39, 1.48	$1.33 \pm 0.18$
PGA4	0.82, 0.82, 0.70		$0.78 \pm 0.07^b$ 0.65, 0.81, 0.85		$0.77 \pm 0.10^{b}$ 0.91, 0.92, 0.94		$0.92 \pm 0.02$ 0.87, 1.13, 0.90	$0.97 \pm 0.14$

<sup>a</sup> Expression change was determined as mutant/parent ratio for each gene using two series of sequential derivatives: JRCT1, parent → JMC200-3-3, Ch5 monosomic → JMC200-3-3R, Ch5 duplicated, or SC5314, parent  $\rightarrow$  SMC60-2-5, Ch5 monosomic  $\rightarrow$  SMC60-2-5R, Ch5 duplicated.

*<sup>b</sup>* The differences in expression between derivatives with monosomic or duplicated Ch5 and parent strain, JRCT1 or SC5314, were evaluated with Student's *t* test. *P* values were 0.05.

![](_page_8_Figure_1.jpeg)

<span id="page-8-0"></span>**FIG 5** Growth of representative double-deletion mutants NAC4 (*cht*2<sup>-/-</sup>), NACS1 (*csu51*<sup>-/-</sup>), and NAP88 (*pga*4<sup>-/-</sup>), on medium containing L-sorbose as a sole source of carbon. (A) Growth of streaks after 5 days of incubation at 37°C on control SD and sorbose media, as indicated. (B) Spot assay for growth with the strains shown in panel A after incubation for 6 days at 37°C on control SD and sorbose media, as indicated. Strains indicated on the left in panels A and B are the parental strain CAF4-2 for a negative control, Ch5 monosomic mutant Sor1210(60) [\(4\)](#page-9-3) for a positive control, and the abovementioned mutants. The [Fig. 3A](#page-5-0) legend contains more details. Note that only the mutant lacking *CSU51* grows on sorbose medium. Numbers above the panels are numbers of cells.

**Only** *CSU51* **controls susceptibility to both sorbose and caspofungin.** The *CSU51* gene has been previously reported as encoding the negative regulator of growth on sorbose medium [\(5,](#page-9-4) [6\)](#page-9-5). The  $\cosh^{-1}$  mutant, thus, is expected to grow on sorbose medium, as we confirmed here [\(Fig. 5\)](#page-8-0). However, the  $cht2^{-/-}$  or  $pga4^{-/-}$  mutant showed no growth on sorbose plates [\(Fig. 5\)](#page-8-0). This indicates that at least some *CSU* genes can negatively control both sorbose resistance and echinocandin tolerance. However, genes that negatively control echinocandin tolerance do not necessarily control sorbose resistance.

# **DISCUSSION**

To investigate the relation of Ch5 gene *CHT2*, *CSU51*, or *PGA4* to the caspofungin or anidulafungin susceptibility phenotype, we developed a rigorous approach to independently delete the coding region of two copies of these genes. Our approach included the use of a stable strain to perform deletions [\(8\)](#page-9-7); the consecutive use of two different deletion cassettes to avoid the excision of the first integrated cassette, a procedure that can be mutagenic; the design of flanking sequences for a deletion cassette that are approxi-mately 300 bp long to avoid disturbing the flanking genes [\(18\)](#page-9-17); and the generation of three separately derived double-deletion constructs for each gene to eliminate any potential phenotype confusion caused by an undesirable mutation that mimics the phenotype of the deleted gene.

We found that mutants lacking either *CHT2*, *CSU51*, or *PGA4* acquired greater relative tolerance to caspofungin or anidulafungin. The deletion mutants also acquired remodeled cell walls with decreased content of 1,3- $\beta$ -glucan but increased chitin content, which is typical for echinocandin-tolerant strains [\(4,](#page-9-3) [12,](#page-9-11) [30\)](#page-9-29). We also determined that, as could be expected, the abovementioned genes are downregulated on the monosomic Ch5 in the representative tolerant mutants that were generated by exposure to caspofungin, but these genes were not downregulated when Ch5 was reduplicated. This is significant, as genes on the monosomic Ch5 can be also upregulated to the disomic or above the disomic level [\(31\)](#page-10-1).

Because of the criteria used to select three genes for our study (see the introduction), we believe that these genes are not the only Ch5 genes encoding negative regulators of caspofungin or anidulafungin tolerance. Also, multiple genes for the same phenotype are expected to reside on the same chromosome, because *C. albicans* can control adaptation to adverse environments by varying the copy number of chromosomes, i.e., making an entire chromosome behave as a single regulatory unit. Such regulation presumes a biased distribution of genes over chromosomes, so that many of the genes relevant for the same phenotype can be controlled by a single event of the change of chromosome copy number. Only 140 genes, or approximately 25%, out of a total of 523 genes residing on Ch5 are characterized to date. The remaining 367 genes, and also possibly some of the characterized genes, comprise a sufficiently large pool to search for more genes for negative regulation of caspofungin tolerance. Primary candidates could be Ch5 GPIanchored genes similar to GPI-anchored *CSU51*, *CHT2*, and *PGA*4.

We emphasize that independent deletion of a single copy of *CHT2* or *CSU51* conferred practically no increase in tolerance, although lack of a single copy of *PGA4* was sufficient to increase tolerance. It seems that a combined loss of one copy of many genes on Ch5 with or without haploinsufficiency can lead to a cell acquiring a relatively robust level of tolerance that is sufficient for adaptation at least *in vitro*. This matter needs further clarification.

The Ch5 genes analyzed here encode GPI-anchored chitinase Cht2p and GPI-anchored glucanosyltransferase Pga4p for cell wall biosynthesis, as well as putative GPI-anchored transcription factor Csu51p, thus indicating that various metabolic pathways are involved in the negative control of echinocandin susceptibility. The function of *CHT2*, *PGA4*, and *CSU51* needs further clarification in order to fully understand each gene's role in echinocandin tolerance. For example, *CSU51* controls susceptibility to sorbose and echinocandins, but *CHT2* and *PGA4* control susceptibility only to echinocandins. Furthermore, *CHT2* is downregulated, sometime dramatically, on the monosomic Ch5 in either sorbose- or caspofungin-generated mutants [\(4;](#page-9-3) this work). However, despite this downregulation, sorbose-generated mutants often do not acquire tolerance to caspofungin [\(4\)](#page-9-3). Another laboratory recently reported that the level of Cht2p in *C. albicans* decreased upon heat stress [\(32\)](#page-10-2). We conclude that *CHT2* possibly has a broader function in a more generalized response to stress. The spectrum of stressors to which each such gene responds will be the subject of future studies.

In summary, our data indicate that Ch5 of *C. albicans* carries at least three genes encoding negative regulators of caspofungin and anidulafungin tolerance. There is a possibility that Ch5 carries more such genes. The final number of these genes is still to be

determined. This is similar to previously reported multiple Ch5 *CSU* genes for negative control of resistance to a toxic sugar sorbose that kills *C. albicans* in a manner similar to that of echinocandins [\(5\)](#page-9-4). Loss of one copy of Ch5, leading to echinocandin tolerance, is prominent in laboratory mutants. Thus, it is possible that similar Ch5 rearrangement can be found in clinical isolates. Such loss of one copy of Ch5 can contribute to the evolution of resistance, as based on point mutations in the *FKS1* gene. Clinically, it may eventually prove to be possible to improve the efficacy of echinocandins by enhancing products of Ch5 genes that repress echinocandin tolerance.

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