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## Are T<sub>regs</sub> defective in Type 1 Diabetes and can we fix them?

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### Abstract

Regulatory T cells (T<sub>regs</sub>) are critical regulators of peripheral immune tolerance. T<sub>reg</sub> insufficiency can lead to autoimmune disorders, including Type 1 Diabetes (T1D). Increasing evidence in mouse models of T1D, as well as other autoimmune disorders, suggest that there are defects in T<sub>reg</sub>-mediated suppression. Indeed, while T<sub>reg</sub> frequency in the peripheral blood of T1D patients is unaltered, their suppressive abilities are diminished compared to T<sub>regs</sub> in healthy controls. Although expression of the transcription factor Foxp3 is a prerequisite for T<sub>reg</sub> development and function, there are many additional factors that can alter their stability, survival and function. Much has been learned in other model systems, such as tumors, about the mechanism and pathways that control T<sub>reg</sub> stability and function. This review poses the question: can we use these findings to develop new therapeutic approaches that might boost T<sub>reg</sub> stability, survival and/or function in T1D, and possibly other autoimmune disorders?

### Introduction

T1D, also known as Juvenile Diabetes, is a chronic autoimmune disorder where a targeted immune response by both T and B cells leads to destruction of insulin producing  $\beta$ -cells in the islets of the pancreas (1). T1D is one of the most common chronic diseases of children. Around 70,000 children are diagnosed with T1D each year, a number that is rising by 3-5% each year in developing countries (2). Defects in the control of effector populations is a common culprit in many autoimmune disorders including T1D (3), and this may be due to dysfunctions in T<sub>reg</sub>-mediated suppression.

T<sub>regs</sub> are either generated within the thymus, known as thymic-derived T<sub>regs</sub> (tT<sub>regs</sub>), or in the periphery, known as peripherally-derived T<sub>regs</sub> (pT<sub>regs</sub>), where pT<sub>reg</sub> generation requires TGF $\beta$  for their differentiation (4, 5). While pT<sub>regs</sub> have been shown to play an important role at mucosal sites and at the fetal-maternal interface (6, 7), we will be focusing on tT<sub>regs</sub> as they are the dominant regulatory population that are impacted in T1D. tT<sub>regs</sub> arise in the thymus upon high-affinity T cell receptor (TCR) signals to self-antigens and have a diverse repertoire (8, 9), suggesting that they have broad antigen specificity. tTregs are typically found in lymphoid tissues and can traffic to peripheral tissues during times of inflammation.

$T_{\text{regs}}$  express the transcription factor forkhead box 3 (Foxp3), which is required for their development and function. In the absence of functional Foxp3, humans succumb to a lymphoproliferative disorder known as immunodysregulation polyendocrinopathy enteropathy X-linked syndrome (IPEX). Scurfy mice, which have a point mutation in *Foxp3*, develop a similar phenotype and succumb to disease early in life (10, 11). Bone marrow transplantation in IPEX patients and adoptive transfer of Foxp3<sup>+</sup>  $T_{\text{regs}}$  or T cell-enriched splenocytes into *Foxp3*<sup>-/-</sup> or Scurfy mice restores normal immune homeostasis, supporting the necessity for  $T_{\text{regs}}$  in preventing autoimmune responses (12, 13). p $T_{\text{regs}}$  arising from CD4<sup>+</sup>Foxp3<sup>-</sup> splenocytes have also been suggested to play a role in immune homeostasis as their TCR repertoire is non-overlapping with t $T_{\text{regs}}$  (14, 15). Of note, splenocyte transfer may also limit the expansion of recipient diabetogenic T cells independently of any impact of t $T_{\text{regs}}$  and p $T_{\text{regs}}$  (16).  $T_{\text{regs}}$  can suppress immune responses by both cell-cell (CTLA-4, Granzyme B) and soluble factor- (TGF $\beta$ , IL-10, IL-35, adenosine) mediated mechanisms (17, 18). These effector functions may become deficient upon  $T_{\text{reg}}$  instability, which may lead to the development of autoimmunity, in this case T1D.

A two-checkpoint hypothesis has been suggested in the progression of T1D from insulinitis to overt diabetes where  $T_{\text{regs}}$  play a central role at these checkpoints based on studies performed in mice (19). During the first checkpoint, autoreactive T cells begin entering the islet but are still under  $T_{\text{reg}}$ -mediated control and therefore insulinitic. The transition from insulinitis to overt diabetes occurs when  $T_{\text{regs}}$  lose their ability to suppress effector cell responses. Is the loss of stability in  $T_{\text{regs}}$  a factor in T1D progression from insulinitis to overt diabetes? While many factors, including genetics and environment, contribute to the development of T1D, this review will focus on the failure of  $T_{\text{regs}}$  to control autoreactive T cells and how this may relate to  $T_{\text{reg}}$  instability. This review will summarize the contributions from other models in understanding what factors are important for  $T_{\text{reg}}$  stability (Fig. 1). Can we use what has been learned toward stabilizing  $T_{\text{regs}}$  in T1D?

## Loss of $T_{\text{reg}}$ phenotype and function in T1D and autoimmune diabetes

While the majority of studies have reported no differences in the frequency of  $T_{\text{regs}}$  in peripheral blood isolated from T1D patients, defects in  $T_{\text{reg}}$  phenotype and suppressive capacity have been reported (20-24). Unfortunately, the majority of data obtained from T1D patients is from peripheral blood, due to the feasibility of obtaining pancreas samples from T1D patients. Therefore, whether  $T_{\text{regs}}$  are actively playing a role in limiting  $\beta$  cell destruction or have an altered phenotype of function in the islets during the disease course is unknown. Thus, mouse models of T1D have been employed to investigate disease progression in the islet microenvironment.

The most commonly used model for T1D is the non-obese diabetic (NOD) mouse. NOD mice spontaneously develop autoimmune diabetes starting at ~10 weeks of age in females and with increasing incidence over time until ~25 weeks (25). Both diabetes onset and progression is delayed in male NOD mice. Diabetes incidence in females and males is usually ~80% and ~30%, respectively. This may be due to differences in the gut microbiome between females and males, due to hormonal differences (26). Other environmental factors, including housing conditions and diet, can also affect the development of autoimmune

diabetes (25). Genetic analyses have uncovered susceptibility loci in NOD mice that are known as the insulin-dependent diabetes (*Idd*) loci. Over 40 *Idd* loci have been identified with the major histocompatibility complex (MHC) exhibiting the highest linkage with T1D incidence, (25, 27). The NOD mouse shares many similarities to T1D in humans, but with some notable differences (25). Nevertheless, the NOD mouse has proven to be a useful model to study the role of T<sub>regs</sub> in autoimmune diabetes.

T<sub>reg</sub> modulation studies have highlighted their importance in limiting autoimmune diabetes and controlling immune responses in the islet, despite some contradictory observations. Whereas T<sub>reg</sub> depletion using anti-CD25 (PC61) has been shown to accelerate autoimmune diabetes development in several studies (28-30), one group observed complete protection from the development of autoimmune diabetes (31), perhaps due to the depletion of activated diabetogenic CD25<sup>+</sup> effector T cells in addition to CD25<sup>+</sup> T<sub>regs</sub> as a consequence of late initiation of PC61 treatment (>9weeks). However, mice that lack T<sub>regs</sub>, due to *Foxp3* deficiency rapidly develop autoimmune diabetes (32). Indeed, temporal depletion of T<sub>regs</sub>, due to diphtheria toxin (DT) treatment of *Foxp3*-DTR (diphtheria toxin receptor) mice showed strong immune infiltrates in the pancreas two weeks after DT treatment (33). Of note, NOD.*Foxp3*-DTR mice (*Foxp3* bacterial artificial chromosome (BAC) Tg, DEREG mouse model) do not develop diabetes at an accelerated rate (34). These conflicting observations with two independently generated BAC Tg NOD.*Foxp3*-DTR strains may be due to differences in expression and deletional efficiency and warrant further investigation. Interestingly, mice expressing the BDC2.5 TCR transgene (expressed on CD4<sup>+</sup> T cells specific for the islet antigen chromogranin A), which are immunocompetent only develop insulinitis (35). However, when the BDC2.5 TCR transgene is expressed on a *Rag*<sup>-/-</sup> background, in which CD4<sup>+</sup> effector T cells develop but T<sub>regs</sub> do not, mice succumb to diabetes rapidly. Indeed, diphtheria toxin treatment of NOD.*Foxp3*-DTR mice crossed to BDC2.5 Tg also rapidly develops diabetes (33). Collectively, these studies suggest that diabetes onset may be associated with decreased T<sub>reg</sub> numbers or function.

If humans and mice are not T<sub>reg</sub> deficient, why do they succumb to T1D and autoimmune diabetes, respectively? What is affecting their functionality? Interestingly, islet infiltrating T<sub>regs</sub> in mouse models still express high levels of *Foxp3*, but have decreased expression of the high affinity IL-2 receptor CD25 and survival factor Bcl2 (36). Likewise in T1D patients, T<sub>regs</sub> found in PBMCs have low expression of another T<sub>reg</sub>-associated marker GITR (37), which will be further discussed later in the review. In children with T1D, a higher proportion of T<sub>regs</sub> produce the pro-inflammatory cytokines IL-12 and IL-18, which are also found at increase levels in serum, compared to healthy controls (23). Consequently, this altered T<sub>reg</sub> phenotype has been implicated in T1D pathogenesis. Thus, if altered T<sub>reg</sub> numbers and/or function are primary contributors to the development of T1D, boosting either parameter *in vivo* may provide a therapeutic opportunity.

## Boosting T<sub>regs</sub> in mice and humans

### Pharmacological-based therapy

Inducing T<sub>reg</sub> proliferation via multiple pharmacological methods has been proposed and attempted in both the NOD mouse model and in clinical trials. IL-2, which is important for

maintenance of T<sub>regs</sub>, has been a potential target for T<sub>reg</sub> therapy (38) (Fig. 1). While high dose IL-2 has been used as a therapeutic approach in the treatment of melanoma and renal cancers, low dose IL-2 in NOD mice can reverse established disease by increasing T<sub>reg</sub> numbers and function (36, 39). IL-2/anti-IL-2 Ab complexes have also been used to preferentially promote T<sub>reg</sub> expansion (40). Modulation of mTOR activity with rapamycin has been shown to promote T<sub>reg</sub> expansion, survival and function (41). Although no difference in T<sub>reg</sub> number, proliferation or cytokine production was seen with rapamycin therapy prior to islet transplantation, T<sub>regs</sub> do have increased suppressive capabilities (42). A combinational therapy has also been assessed with the use of IL-2/anti-IL-2 Ab complexes in combination with rapamycin and islet antigen peptide treatment. T<sub>reg</sub> expansion was observed and mice protected from diabetes development in both spontaneous and induced models of diabetes (43).

Non-activating, non-FcR binding CD3 antibodies may currently be the most promising treatment for T1D. More than 8 clinical trials have targeted this approach, with five of which are using teplizumab, a humanized non-FcR-binding anti-CD3 monoclonal antibody (44). C-peptide is a byproduct of insulin production and is produced at equimolar concentrations and thus can be used to determine the amount of insulin produced by  $\beta$ -cells. Short-term treatment of younger individuals and recent onset patients with teplizumab has shown promising results in four-year follow-up studies, based on C-peptide levels, with limited toxicity (45-48). While its mechanism of action is currently unclear, a two-fold tolerance induction has been suggested through depletion of pathogenic T cells and preservation of T<sub>regs</sub> and their function (49, 50). Although the mechanisms of action of all these therapeutic approaches is different, in all cases the common denominator is increased T<sub>reg</sub> number and function.

### Cell-based therapy

As T<sub>reg</sub> insufficiency may be a key driver of T1D and autoimmune diabetes, increasing the number of T<sub>regs</sub> in circulation may overcome this deficiency. Repeated T<sub>reg</sub> adoptive transfer into neonatal NOD mice can delay the onset of autoimmune diabetes (51), suggesting that T<sub>reg</sub> number or functionality may be deficient in NOD mice over time thereby requiring supplementation. Adoptive transfer of pre-diabetic NOD splenocytes or BDC2.5 TCR Tg T<sub>eff</sub> cells into immunodeficient NOD mice develop autoimmune diabetes ~14 days post-transfer. Interestingly, disease can be prevented following co-transfer with  $>10^6$  polyclonal T<sub>regs</sub> or as few as  $5 \times 10^4$  BDC2.5 TCR Tg T<sub>regs</sub> (34). Adoptive transfer of a low number of DC-expanded BDC2.5 TCR Tg T<sub>regs</sub> into pre-diabetic NOD mice also blocks diabetes development and can rescue mice with overt diabetes (52). While low numbers of antigen-specific T<sub>regs</sub> are able to reverse autoimmune diabetes, adoptive transfer of ten-fold more polyclonal T<sub>regs</sub> is not as effective in treating NOD mice therapeutically (53), suggesting that specificity for  $\beta$  cell antigens is critically important for optimal T<sub>reg</sub> functionality.

*In vitro*-expanded polyclonal T<sub>regs</sub> are currently in clinical trials as a promising alternative to pharmacological-based therapies. Phase 1 clinical trials have been performed in both children and adults with no safety concerns thus far (54-56). Interestingly, some potential efficacy has been observed in children at 4-5 week follow-up based on C-peptide levels.

However, while C-peptide levels were increased initially at one- and two-year follow-ups, they declined over time. Approximately 25% of the transferred T<sub>regs</sub> with a naïve/memory-like phenotype were still present in patients at one-year follow-up based on deuterium incorporation. A similar trial has also been conducted in Poland with promising results. At a one-year follow-up of 12 children with T1D, increased C-peptide levels and diminished use of insulin was observed in 8 of 12 patients and, remarkably, complete insulin independence was achieved in 2 of 12 patients (55). Whether these observations are durable and can be replicated in Phase 2 clinical trials remains to be determined.

While these initial observations are encouraging, the key challenge is likely to focus on understanding what the primary limitations are for successful, durable responses and can these be overcome with [i] increased T<sub>reg</sub> numbers, [ii] islet antigen specificity, and/or [iii] approaches that increased stability, survival, functionality and longevity. There is a growing consensus that future clinical trials need to focus on the development of T<sub>regs</sub> with  $\beta$  cell antigen-specificity to maximize [i] islet homing and therapeutic index, and [ii] retention of T<sub>regs</sub> over time to endure a durable response. Also, is the adoptive transfer of more T<sub>regs</sub> the only viable therapeutic approach or could the T<sub>regs</sub> that are already present in the patient be 'reinvigorated'? Clearly, gaining a greater understanding of the mechanisms and factors that control T<sub>reg</sub> stability and function will greatly inform future clinical development.

### Loss of T<sub>reg</sub> stability and function

What is T<sub>reg</sub> stability, how does this differ from plasticity, and what drives instability? T<sub>reg</sub> plasticity and stability have been used interchangeably in the past, but represent two distinct T<sub>reg</sub> fates. A stable T<sub>reg</sub> expresses the transcription factor Foxp3, is suppressive, produces anti-inflammatory cytokines (such as IL-10 and IL-35), and a minimal amount of effector cytokines (eg. IFN $\gamma$ , TNF $\alpha$ , IL-2) (57). When T<sub>regs</sub> exhibit plasticity, they still express Foxp3 and remain functionally suppressive but gain distinct migratory and functional programs that can enhance their capacity to suppress certain Th subsets (58). In contrast, destabilized T<sub>regs</sub> lose their suppressive abilities and gained effector functions, while either retaining Foxp3 expression (59) and eventually losing Foxp3 expression and becoming pathogenic "ex-T<sub>regs</sub>" in inflammatory environments (60). In both the NOD mice and humans with T1D, T<sub>regs</sub> are identified based on Foxp3 expression, yet exhibit defective suppressive activity suggesting that they may be destabilized. Further analysis of T<sub>reg</sub> stability has recently been extended to include epigenetic modifications by assessing the methylation pattern of the conserved noncoding sequence 2 (CNS2 or TSDR) in the 5' UTR of the *Foxp3* gene, where tT<sub>regs</sub> are demethylated at this locus and loss of stability has been associated with re-methylation at this locus (61). *Foxp3* CNS2 hypomethylation appears to be important for the binding of key transcription factors including NF- $\kappa$ B, CREB/ATF, Ets1 and STAT5 (62-64). Methylation studies have expanded to other T<sub>reg</sub> associated genes *Il2ra* (CD25), *Ikzf4* (Eos), *Ctla4* (cytotoxic T-lymphocyte-associated protein 4), and *Tnfrsf18* (GITR), which are also hypomethylated (65).

In addition to epigenetic modifications of target genes, other mechanisms including microRNAs (miRNAs) may also modulate disease development. These short non-coding RNAs are transcribed and processed via the RNAses Droscha and Dicer to generate mature

miRNAs that silence genes either through repressing translation or accelerating transcript degradation (66). Mice with a T<sub>reg</sub>-restricted deletion of Dicer or Drosha possess unstable T<sub>regs</sub> with poor suppressive ability, diminished expression of T<sub>reg</sub>-associated molecules, increased effector cytokines, and succumb to a Scurfy-like disease (67-69). Similar results have also been seen upon gene silencing of miR-126 in a breast cancer tumor model leading to increased anti-tumor immunity by altering activation of the PI3K/AKT pathway (70). While miR-155 is not necessary for T<sub>reg</sub> homeostasis or its suppressive function, its role in downregulating SOCS1, which increases responsiveness of STAT5, can make T<sub>regs</sub> better responders to IL-2, even under suboptimal conditions (71). T<sub>reg</sub>-specific ablation of the miR-17-92 cluster results in exacerbated EAE with decreased IL-10 producing T<sub>regs</sub> (72), but is not required for thymic generation of T<sub>regs</sub> (73). miR-10a is selectively expressed in T<sub>regs</sub> and expression has been correlated to autoimmune disease susceptibility as the autoimmune-resistant C57BL/6 strain expresses high levels of miR-10a while the autoimmune-susceptible NOD strain expresses lower levels (74). These studies suggest that certain miRNAs may be important in maintaining T<sub>reg</sub> stability and function. Indeed, miR-342, miR-191, and miR-510 are differentially expressed in T<sub>regs</sub> of patients with T1D, but whether these are biomarkers or contribute to disease still needs to be further elucidated (75).

Understanding the factors and pathways that control T<sub>reg</sub> stability would clearly facilitate their therapeutic utilization in T1D, as well as other autoimmune and inflammatory diseases, and potentially in transplantation. While Foxp3 is the master transcription factor that is required for T<sub>reg</sub> development and functionality, a variety of external signals from cytokines and surface receptors, via intracellular signaling molecules impinge on T<sub>regs</sub> and impact their stability.

## Factors that impact T<sub>reg</sub> stability

### Cytokines

Several cytokines have a substantive impact on T<sub>reg</sub> development and function (Fig. 1). IL-2, produced by effector T cells, is necessary for the maintenance and function of T<sub>regs</sub>, as they do not make their own autocrine IL-2 (76-78). The majority of T<sub>regs</sub> express the high affinity IL-2 receptor (*Il2ra*, CD25) that signals via STAT5 (79). Genetically manipulated mice deficient in *Il2* or *Il2ra* phenocopy *Foxp3*-deficient or T<sub>reg</sub>-ablated mice, yet still harbor T cells that express diminished levels of Foxp3 (80, 81). Humans with CD25 deficiency also have many of the same symptoms as seen in patients with IPEX (82). IL-2 reverses anergic, non-proliferative phenotype of T<sub>regs</sub> *in vitro* and promotes their capacity to suppress immune responses (83). IL-2 withdrawal has been shown *in vitro* to limit T<sub>reg</sub> suppressive ability (84). Under sub-optimal IL-2 conditions, the CNS2 element sustains Foxp3 expression, while in its absence, actively proliferating T<sub>regs</sub> lose Foxp3 expression at an accelerated rate (85). Genome wide association studies have identified IL-2 pathway polymorphisms in both T1D (*Il2ra*) and autoimmune diabetes (*Il2*) (86-88). Indeed, reduced IL-2 signaling, via pSTAT5 analysis, has been documented in T1D patients with diminished T<sub>reg</sub> suppressive capabilities (89, 90). In NOD mice, T<sub>regs</sub> have decreased Bcl2 and CD25 expression only in inflamed islets. This may be due to decreased levels of IL-2 in the islet as low-dose IL-2

treatment increases  $T_{reg}$  survival and protection (36). These studies highlight the importance of IL-2 in  $T_{reg}$  function and possible defects that might lead to the development of T1D.

Inflammatory environments have been shown to destabilize  $T_{regs}$  in many models due to their interaction with or production of pro-inflammatory cytokines. While several cytokines may destabilize  $T_{regs}$ , we will focus here on those that may be relevant to T1D.  $IFN\gamma$  is highly expressed in many inflammatory conditions and may limit  $T_{reg}$  function. Upon stimulation with  $IFN\gamma$  *in vitro*,  $T_{regs}$  downregulate CD25, lose Foxp3 expression, and exhibit limited expansion (91). Under high salt conditions,  $T_{regs}$  can begin to produce  $IFN\gamma$  and lose suppressive activity, which can be restored upon antibody blockade of  $IFN\gamma$  (92). Whether this  $T_{reg}$ -derived  $IFN\gamma$  acts on  $T_{regs}$  or  $T_{effs}$  still needs to be further elucidated (92). In T1D patients, increases in  $IFN\gamma^+Foxp3^+$   $T_{regs}$  has been observed in peripheral blood. These cells are predominantly hypermethylated at the CNS2 locus but still exhibit suppressive function (93).

$T_{regs}$  constitutively express TNFR2, which upon signaling leads to diminished Foxp3 mRNA and protein levels, and reduced suppressive activity. Not surprisingly, patients with active rheumatoid arthritis (RA) possess  $T_{regs}$  that express lower Foxp3 expression and suppressive ability, and this could be reversed with anti-TNF (infliximab) treatment (94). In contrast, others have shown the requirement for TNF signaling in the generation of functional  $T_{regs}$  within the thymus and their function in inflammatory settings. In colitis models, expression of TNFR2 expression is critical for  $T_{reg}$  function (95, 96). Likewise, in NOD mice, TNF receptor deficiency protects mice from autoimmune diabetes and increases  $T_{reg}$  mediated suppression (97).

The role of IL-27 in  $T_{reg}$  stability has been quite conflicting. IL-27 has been shown to antagonize p $T_{reg}$  generation (98), but has been shown to enhance t $T_{reg}$  function in a T-cell mediated colitis model via a Lag3-mediated mechanism (99). In a tumor model, IL-27R $\alpha$ -deficient mice have decreased  $T_{regs}$  in the tumor microenvironment suggesting IL-27 may act indirectly on  $T_{regs}$  via suppressing IL-2 generation by effector T cells (100). Nevertheless, the role of IL-27 specifically on  $T_{regs}$  has yet to be clarified. Increased IL-27 has been documented in autoimmune diabetes and blockade of IL-27 in NOD mice delays the onset of autoimmune diabetes (101).

Extensive studies still need to be performed to assess whether these cytokines directly impact  $T_{regs}$  before conclusions can be drawn regarding their role in modulating  $T_{reg}$  function in T1D and autoimmune diabetes.

## Surface molecules

Several cell surface molecules have been shown to impact  $T_{reg}$  stability and function (Fig. 1). OX40 (*Tnfrsf4*, CD134) is part of the tumor necrosis factor superfamily receptors (TNFRs) and is expressed on  $T_{regs}$  (102), yet its role in  $T_{reg}$ -mediated suppression has led to conflicting results both *in vitro* (103-105) and *in vivo*. OX40 expression on  $T_{regs}$  may play a role in suppressing inflammatory responses *in vivo* as mice with a  $T_{reg}$ -restricted deficiency in OX40 retain Foxp3 expression yet develop gut inflammation in a T cell-mediated gut inflammation model (106). Indeed, use of an agonist anti-OX40 (OX86) protects NOD mice

from the development of autoimmune diabetes (107). However, disease is inhibited in *Ox40*<sup>-/-</sup> mice and neutralizing anti-OX40L-treated NOD mice (108). Whether T<sub>regs</sub> are the primary subset responding to OX40L has not been fully addressed as CD4<sup>+</sup> and CD8<sup>+</sup> T cells also express OX40 during autoimmune diabetes progression (109).

GITR (*Tnfrsf18*, CD357) is another TNFR family member that is found at high levels on the surface of T<sub>regs</sub> (102). Paradoxically, use of an agonist anti-GITR (DTA-1) undermines T<sub>reg</sub>-mediated suppression and tolerance in tumor models. Both a decrease in T<sub>reg</sub> frequency and expression of Foxp3 in intratumoral T<sub>regs</sub> has been seen (110). This loss of Foxp3, and Helios, expression is mediated by the c-Jun N-terminal kinase (JNK) pathway. Treatment of lung allergy mice with a JNK inhibitor led to reversal of GITR-induced changes in phenotype and function; and therefore was rescued from disease (111). Indeed, accelerated development of autoimmune diabetes has also been seen using a different agonistic anti-GITR antibody (2F8) (112), suggesting that activation of this pathway may be detrimental to T<sub>reg</sub> stability.

Cytotoxic T lymphocyte antigen 4 (*Ctla4*, CD152) is highly expressed on T<sub>regs</sub> and has extensively been studied as an inhibitory molecule important for T cell homeostasis and tolerance (113). *Ctla4*<sup>-/-</sup> mice succumb to fatal lymphoproliferative disease (114), while T<sub>reg</sub> numbers are increased (115, 116). Results from *in vivo* models of autoimmunity have been quite conflicting, where *Ctla4*<sup>-/-</sup> T<sub>regs</sub> are suppressive in some instances but not in others (115, 117). *Ctla4* is a susceptibility gene in autoimmune diseases, including T1D, where many polymorphisms have been identified (118-120). Costimulation blockade using anti-CTLA4 (Abatacept) has recently been shown in phase II clinical trials to delay the progression of T1D (121), but whether T<sub>regs</sub> are playing a direct role still needs to be assessed further.

Neuropilin-1 (Nrp1) is an important factor in axonal guidance during embryonic development, but its role in the immune system has only recently been appreciated. Nrp1 is highly expressed on tT<sub>regs</sub> but is expressed at lower levels in pT<sub>regs</sub> (122-124). A role for Nrp1 in promoting the stability, survival and function of T<sub>regs</sub> has been suggested (125). Nrp1 on T<sub>regs</sub> has been shown to interact with both Semaphorin-4a (Sema4a) and VEGF. Mice with a T<sub>reg</sub>-specific Nrp1 deletion had substantially reduced tumor growth in multiple models suggesting that T<sub>reg</sub>-mediated suppression of anti-tumor immunity has been lost (125, 126). Interestingly, these mice did not succumb to autoimmunity and inflammatory disease and the frequency of Foxp3<sup>+</sup> T<sub>regs</sub> was not altered (125). Stabilization via the Nrp1:Sema4a pathway enhances expression of the survival factor Bcl2, effector molecules IL-10 and CD73, limits expression of lineage-associated transcription factors, including Tbet, IRF4 and RORγt, and the pro-inflammatory cytokine IFNγ (125). Boosting T<sub>reg</sub> function by engaging the Nrp1:Sema4a pathway may be a possible therapeutic approach to stabilize T<sub>regs</sub> *in vivo* or prior to adoptive transfer.

### Intracellular signaling molecules

There are also several intracellular proteins that appear to modulate T<sub>reg</sub> stability and function by dependently or indirectly modulating Foxp3 function or stability (Fig. 1). Eos (*Ikzf4*), a zinc-finger transcription factor, is a member of the Ikaros family of transcription



factors and is highly expressed in  $T_{\text{regs}}$ . *Eos* interacts directly with *Foxp3* and is necessary for gene silencing (*Il2*, *Ifng*, etc.) while maintaining expression of key  $T_{\text{reg}}$ -associated genes including *Ctla4* and *GITR* (127). Silencing of *Eos* using siRNA does not result in loss of *Foxp3* expression but does result in the loss of  $T_{\text{reg}}$  suppression in a T cell-mediated colitis model and induction of effector cytokines, such as  $\text{IFN}\gamma$  and IL-2 (127). Downregulation of *Eos* expression is required for the reprogramming of  $T_{\text{regs}}$  into helper-like cells that retain *Foxp3* expression (128). These  $\text{Eos}^{-}\text{Foxp3}^{+}$   $T_{\text{regs}}$  (*Eos*-liable) exhibit reduced regulatory function and enhanced expression of CD40L, IL-2 and IL-17 (128). Of note, global deletion of *Eos* in mice does not affect the function or phenotype of  $T_{\text{regs}}$  *in vivo* and *in vitro*, but does result in the development of more severe EAE. This observation was attributed to the function of *Eos* in  $T_{\text{eff}}$  populations (129).

*Helios* (*Ikaros*), another member of the Ikaros transcription factor family, was once thought to distinguish  $tT_{\text{regs}}$  from  $pT_{\text{regs}}$ , however, it now appears that *Helios* expression is highly dependent on antigen stimulation via the TCR (130, 131). While *Helios* does not form a complex with *Foxp3* or bind to the *Foxp3* locus, *Helios* plays an indirect role in supporting  $T_{\text{reg}}$  stability (132, 133). In mice with a  $T_{\text{reg}}$ -specific *Helios* deficiency develop autoimmunity and appear to possess unstable  $T_{\text{regs}}$  with diminished *Foxp3* expression, increased effector cytokine expression and reduced suppressive activity (133). ChIP-Seq and pathway analysis of *Helios* targeting genes in  $T_{\text{regs}}$  highlighted deficiencies in the IL-2Ra/STAT5b pathway suggesting that *Helios* may be important in regulating IL-2 signaling and  $T_{\text{reg}}$  survival (133).

*Foxo1* and *Foxo3*, which are also forkhead box transcription factors, play a key role in maintaining *Foxp3* expression in  $T_{\text{regs}}$  (134). Mice deficient in *Foxo1* in  $T_{\text{regs}}$  succumb to a Scurfy-like phenotype by 5 weeks. This lymphoproliferative disease is not due to the loss of  $T_{\text{reg}}$  number but rather their loss of function (135, 136). This phenotype can be rescued by expression of *Foxo1*<sup>AAA</sup>, where *Foxo1* is insensitive to 14-3-3-mediated cytosolic restriction and is thus confined to the nucleus where it can facilitate *Foxp3* function. Autoimmunity is further exacerbated by dual deletion of *Foxo1* and *Foxo3* (136). *Foxo1/3* binds directly to the *Foxp3* locus and controls promoter activity (136, 137).

The phosphatase, PTEN, has recently been shown to play a pivotal role in mediating  $T_{\text{reg}}$  stability. PTEN is an upstream inhibitor of the PI(3)K-Akt pathway and therefore inhibits mechanistic target of rapamycin complex (mTORC)1 and mTORC2 activity (138). Upon genetic deletion of PTEN in  $T_{\text{regs}}$ , mice have increased levels of auto-antibodies, renal pathology and ongoing age-related autoimmunity. Nevertheless,  $T_{\text{regs}}$  are found in high numbers and readily proliferate compared to PTEN-sufficient  $T_{\text{regs}}$ . These  $T_{\text{regs}}$  are highly activated and express higher levels of ICOS, PD-1 and  $\text{IFN}\gamma$ , decreased levels of CD25, and have a higher proportion of “ex- $T_{\text{regs}}$ ” based on the use of lineage-tracing experiments (61, 139). The mechanism of  $T_{\text{reg}}$ -mediated loss of suppression is via upregulation of mTORC2 activity upon PTEN loss (139). Indeed, inhibition of mTOR in  $T_{\text{regs}}$  leads to heightened stability of *Foxp3* expression (140) and  $T_{\text{reg}}$  specific loss of mTOR inhibitor tuberous sclerosis 1 (TSC1) results in loss of *Foxp3* expression, suppressive functionality, and increased expression of IL-17 (141). Interestingly, *Nrp1*, which as discussed above promotes  $T_{\text{reg}}$  stability and function, has been shown to signal via PTEN that in turn limits Akt

activity, reduces Foxo phosphorylation and thus nuclear exclusion, thereby promoting Foxp3 activity (125). Taken together, these observations provide a potential causal link between Nrp1, PTEN and Foxo in mediating T<sub>reg</sub> stability and function.

## Conclusions

In summary, many factors impinge on T<sub>regs</sub> to either promote or undermine their stability, survival and function (Fig. 1). Some of these pathways are inherent, while others are induced or selectively utilized in inflammatory environments (59). We postulate that a primary driver of autoimmunity may be T<sub>reg</sub> insufficiency caused by a failure to promote pathways that enforce their stability survival and function. In tumors, where T<sub>reg</sub> activity is arguably at its most robust, T<sub>reg</sub> stability is enforced by an Nrp1:PTEN:Foxo axis, and potentially other mechanisms, to prevent effective anti-tumor immunity. This also appears to protect T<sub>regs</sub> from destabilizing forces that may be quite severe given the hostile intratumoral microenvironment, which is hypoxic, acidic, and nutrient and glucose starved. Thus, under normal circumstances T<sub>regs</sub> seem to be well adapted to respond to cues from diverse microenvironments to maintain T<sub>reg</sub> stability and function. However, we posit that genetic, environmental or contextual factors conspire to undermine these programs that ultimately leads to T<sub>reg</sub> insufficiency and autoimmunity.

This hypothesis and the information outlined above raise several key questions. (1) Can we boost T<sub>regs</sub> that are already present but appear to exhibit insufficiency? This could be achieved by developing therapeutics that promote utilization of the Nrp1:PTEN:Foxo axis. For example, Sema4a-Ig fusion proteins may act as Nrp1 agonists thereby promoting T<sub>reg</sub> stability and function. Alternatively, intracellular delivery of therapies that promote Foxo stability and nuclear translocation may produce a similar T<sub>reg</sub> stabilizing effect. (2) Can we inhibit pathways that lead to instability? While we need to gain a greater understanding of the factors that promote T<sub>reg</sub> instability, approaches that limit the factors that are known to drive these processes may be beneficial. The use of blocking antibodies against cytokines that can destabilize T<sub>regs</sub> may be useful in a manner analogous to TNF $\alpha$  blockade in RA. We could also develop antibodies to block OX40L from interacting with OX-40 on T<sub>regs</sub>. (3) Is a combinatorial therapy possible and necessary? Given that there may be a two-fold defect in T<sub>reg</sub> number and function in T1D, combinatorial therapy may be most useful. One could combine T<sub>reg</sub> adoptive transfer with approaches that promote T<sub>reg</sub> stability, prior to and/or following transfer. Of course, these approaches may also be combined with current therapies that are in clinical trials for T1D, such as teplizumab (non-FcR binding anti-CD3). Indeed, one might argue that as combinatorial approaches are the mainstay of effective cancer therapy it is likely that combinatorial approaches will be required for the treatment of T1D, with perhaps the inclusion of therapies that promote T<sub>regs</sub> stability and function.

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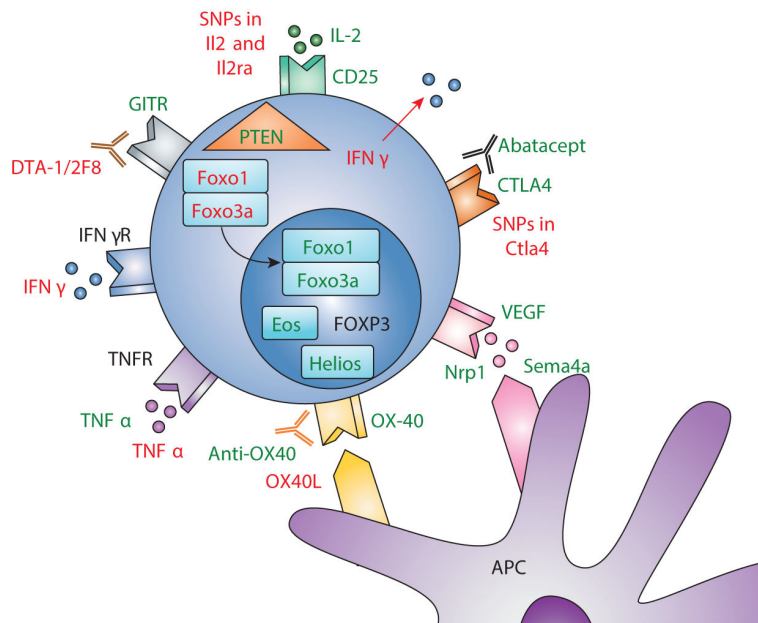


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**Figure 1. Mechanisms of T<sub>reg</sub> stability and instability**

IL-2 is critical for T<sub>reg</sub> stability and maintenance where polymorphisms in both *Ii2* and *Ii2ra* have been seen in diabetes. Pro-inflammatory cytokines including IFN $\gamma$  and TNF $\alpha$  may alter the T<sub>reg</sub> phenotype. Many T<sub>reg</sub>-associated molecules are important for optimal suppressive function including CTLA4, GITR, and OX-40. Interestingly, agonistic antibodies to GITR are detrimental to T<sub>reg</sub> mediated stability and suppression. Intracellular molecules including Helios, Eos, and PTEN are also key molecules in optimal T<sub>reg</sub> function. Foxo1/3a localization into the nucleus is necessary to stabilize Foxp3 in T<sub>regs</sub>. Green: stabilizing signal; Red: destabilizing signal